

Scheduling jobs with controllable processing time, truncated job-dependent learning and deterioration effects

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Abstract

In this paper, we consider single machine scheduling problems with controllable processing time (resource allocation), truncated job-dependent learning and deterioration effects. The goal is to find the optimal sequence of jobs and the optimal resource allocation separately for minimizing a cost function containing makespan (total completion time, total absolute differences in completion times) and/or total resource cost. For two different processing time functions, i.e., a linear and a convex function of the amount of a common continuously divisible resource allocated to the job, we solve them in polynomial time respectively.

Keywords: Scheduling; Resource allocation; Deterioration job; Learning effect

1 Introduction

Scheduling problems jobs with variable job processing times (for example, learning effect and/or deterioration effect and/or resource allocation) have received a lot of attention in the recent academic literatures (Wang et al. (2010), Yang and Kuo (2010), Cheng et al. (2011), Wu et al. (2011), Bai et al. (2012a), Bai et al. (2012b), Wei et al. (2012), Wu et al. (2012), Cheng et al. (2013), Li et al. (2013), Low and Lin (2013), Qian and Steiner (2013), Sun et al. (2013), Wang and Wang (2013a), Wang and Wang (2013b), Wang and Wang (2013c), Wang et al. (2013a), Wang et al. (2013b), Wu et al. (2013), Yang (2013), Guo et al. (2014), Huang et al. (2014), Wang et al. (2014), Wu et al. (2014), Yang et al. (2014a), Yang et al. (2014b), Yin et al. (2014a), Yin et al. (2014b), Li et al. (2015), Niu et al. (2015), and Kacem and Levner (2016)). For more details on scheduling problems and models with learning effects, the reader may refer to the recent survey by Biskup (2008). For more details on scheduling with time-dependent scheduling, the reader may refer to the recent book by Gawiejnowicz (2008). For more details on scheduling with controllable processing times (resource allocation), the reader may refer to the recent paper by Shabtay and Steiner (2007).

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Biskup (1999) assumed that the actual processing time of job J_j is $p_{jr}^A = p_j r^a$ where $a \leq 0$ is the learning index, r is a position in a sequence, and p_j is the normal processing time of job J_j . Wu et al. (2011) studied a truncated learning effect model, i.e., $p_{jr}^A = p_j \max\{r^a, b\}$, where b is a truncation parameter with $0 < b < 1$. Mosheiov and Sidney (2003) studied job-dependent learning effects, i.e., $p_{jr}^A = p_j r^{a_j}$, where $a_j \leq 0$ is the job-dependent learning index of job J_j . Wang et al. [31] studied truncated job-dependent learning effect model, i.e., $p_{jr}^A = p_j \max\{r^{a_j}, b\}$. Yang and Kuo (2010) studied job-dependent learning effect and deteriorating jobs, i.e., $p_{jr}^A = p_j r^{a_j} + ct$, where t is the starting time of job J_j and $c \geq 0$ is a deterioration rate. Niu et al. (2015) studied effects of deterioration and truncated job-dependent learning, i.e., $p_{jr}^A(t) = p_j \max\{r^{a_j}, b\} + ct$. Shabtay and Steiner (2007) considered the linear resource consumption model $p_j^A(u_j) = p_j - \theta_j u_j$, where u_j is the amount resource allocated to job J_j and θ_j is the positive compression rate of job J_j . For a convex resource consumption function: $p_j^A(u_j) = \left(\frac{p_j}{u_j}\right)^l$, $u_j > 0$, where l is a positive constant.

In this paper we combine truncated job-dependent learning effect with deterioration effect and resource allocation, which are either linear or convex resource consumption functions of the amounts of a common continuously divisible resource allocated to the jobs, i.e., we propose a new model stem from Shabtay and Steiner (2007) and Niu et al. (2015). *“The phenomena of deterioration, truncated job-dependent learning effect, and resource allocation occurring simultaneously can be found in many real-life situations. For example, in steel production, more precisely, in the process of pre-heating ingots by gas to prepare them for hot rolling on the blooming mill. Before the ingots can be hot rolled, they have to achieve the required temperature. However, the pre-heating time of the ingots depends on their starting temperature, i.e., the longer ingots wait for the start of the pre-heating process, the lower goes their temperature and therefore the longer lasts the pre-heating process. The pre-heating time can be shortened by the increase of the gas flow intensity, i.e., the more gas is consumed, the shorter lasts the pre-heating process. Thus, the ingot pre-heating time depends on the starting moment of the pre-heating process and the amount of gas consumed during it (Bachman and Janiak (2000)). On the other hand, the truncated job-dependent learning effect reflects that the workers become more skilled to operate the machines through experience accumulation, but the learning effect is controlled will not drop to zero precipitously as the number of jobs increases. For this situation, considering these, the job deterioration, truncated job-dependent learning effect, and resource allocation in job scheduling is both necessary and reasonable (Wang et al. (2012), and Niu et al. (2015)).*

The remaining part of this study is organized as follows. In Section 2, we present the model. In Sections 3 and 4, we consider the scheduling problems for the linear and convex resource consumption functions respectively. The last section presents the conclusions.

2 Problems description

The general problem we study in this paper may be stated as follows: n independent and non-preemptive jobs $J = \{J_1, J_2, \dots, J_n\}$ are immediately available for processing on a single machine. In this paper, we consider two new resource allocation, deterioration effect and truncated job-dependent learning effect models, i.e.,

A linear resource consumption function:

$$p_{jr}^A(t, u_j) = p_j \max\{r^{a_j}, b\} + ct - \theta_j u_j, r, j = 1, 2, \dots, n, \quad (1)$$

where p_j is a normal processing time of J_j , $a_j \leq 0$ is the learning rate of job J_j , b is a truncation parameter with $0 < b < 1$, c is a deterioration rate, $t \geq 0$ is the starting time for job J_j , u_j is the amount of a non-renewable resource allocated to job J_j , with $0 \leq u_j \leq \bar{u}_j < \frac{p_j \max\{r^{a_j}, b\}}{\theta_j}$, where \bar{u}_j denotes the maximum amount of resource allocated to job J_j and θ_j is the positive compression rate of job J_j .

A convex resource consumption function:

$$p_{jr}^A(t, u_j) = \left(\frac{p_j \max\{r^{a_j}, b\}}{u_j} \right)^l + ct, u_j > 0, r, j = 1, 2, \dots, n. \quad (2)$$

For a given schedule $\pi = [J_1, J_2, \dots, J_n]$, let $C_j = C_j(\pi)$ be the completion time of job J_j . Let $C_{\max} = \max\{C_j | j = 1, 2, \dots, n\}$ be the makespan; $\sum C_j = \sum_{j=1}^n C_j$ be the total completion time; $TADC = \sum_{i=1}^n \sum_{j=i}^n |C_j - C_i|$ be the total absolute deviation in completion time. Our goal is to determine the optimal resource allocations and the optimal sequence of jobs so that the following cost functions be optimal:

$$Z(\pi, u) = \delta_1 \rho + \delta_2 \sum_{j=1}^n v_j u_j, \quad (3)$$

where weights $\delta_1 \geq 0, \delta_2 \geq 0$ are given constants, v_j is the per time unit cost associated with the resource allocation, $\rho \in \{C_{\max}, \sum C_j, TADC\}$. Using the three-field notation of Graham et al. (1979), the problems can be denoted as $1|B|\delta_1 \rho + \delta_2 \sum_{j=1}^n v_j u_j$, where $B \in \{p_{jr}^A(t, u_j) = p_j \max\{r^{a_j}, b\} + ct - \theta_j u_j, p_{jr}^A(t, u_j) = \left(\frac{p_j \max\{r^{a_j}, b\}}{u_j} \right)^l + ct\}$, and $\rho \in \{C_{\max}, \sum C_j, TADC\}$.

3 Linear resource allocation problem

Let $C_{[k]}$ ($p_{[k]}^A$) denote the completion (actual processing) time of the k th job in a sequence, by mathematical induction method, we have

Lemma 1 *For the problem $1|p_{jr}^A(t, u_j) = p_j \max\{r^{a_j}, b\} + ct - \theta_j u_j|\delta_1 \rho + \delta_2 \sum_{j=1}^n v_j u_j$, the completion time and the actual processing time of the k th job in a sequence are:*

$$C_{[k]} = (p_{[k]} \max\{k^{a_{[k]}}, b\} - \theta_{[k]} u_{[k]}) + (1 + c) (p_{[k-1]} \max\{(k-1)^{a_{[k-1]}}, b\} - \theta_{[k-1]} u_{[k-1]})$$

$$\begin{aligned}
& + \dots + (1+c)^{k-1} (p_{[1]} \max \{1^{a_{[1]}}, b\} - \theta_{[1]} u_{[1]}) \\
& = \sum_{j=1}^k (1+c)^{k-j} (p_{[j]} \max \{j^{a_{[j]}}, b\} - \theta_{[j]} u_{[j]}) ;
\end{aligned} \tag{4}$$

$$p_{[k]}^A = (p_{[k]} \max \{k^{a_{[k]}}, b\} - \theta_{[k]} u_{[k]}) + c \sum_{j=1}^{k-1} (1+c)^{k-1-j} (p_{[j]} \max \{j^{a_{[j]}}, b\} - \theta_{[j]} u_{[j]}) . \tag{5}$$

From Eqs. (1), (3), (4) and (5), we have

$$\begin{aligned}
Z(\pi, u) &= \delta_1 \rho + \delta_2 \sum_{j=1}^n v_{[j]} u_{[j]} \\
&= \delta_1 \sum_{j=1}^n \omega_j p_{[j]}^A + \delta_2 \sum_{j=1}^n v_{[j]} u_{[j]} \\
&= \delta_1 \sum_{j=1}^n \omega_j \left((p_{[j]} \max \{j^{a_{[j]}}, b\} - \theta_{[j]} u_{[j]}) + c \sum_{h=1}^{j-1} (1+c)^{j-1-h} (p_{[h]} \max \{h^{a_{[h]}}, b\} - \theta_{[h]} u_{[h]}) \right) \\
&\quad + \delta_2 \sum_{j=1}^n v_{[j]} u_{[j]} \\
&= \delta_1 \sum_{j=1}^n \Omega_j p_{[j]} \max \{j^{a_{[j]}}, b\} + \sum_{j=1}^n (\delta_2 v_{[j]} - \theta_{[j]} \Omega_j) u_{[j]},
\end{aligned} \tag{6}$$

where $\omega_r = 1$ for C_{\max} , $\omega_r = (n-r+1)$ for $\sum C_j$, $\omega_r = (r-1)(n-r+1)$ for $TADC$ (Kanet (1981)) and

$$\begin{aligned}
\Omega_1 &= \omega_1 + c\omega_2 + c(1+c)\omega_3 + \dots + c(1+c)^{n-2}\omega_n, \\
\Omega_2 &= \omega_2 + c\omega_3 + c(1+c)\omega_4 + \dots + c(1+c)^{n-3}\omega_n, \\
\Omega_3 &= \omega_3 + c\omega_4 + c(1+c)\omega_5 \dots + c(1+c)^{n-4}\omega_n, \\
&\dots \\
\Omega_{n-1} &= \omega_{n-1} + c\omega_n, \\
\Omega_n &= \omega_n.
\end{aligned} \tag{7}$$

Lemma 2 For a given sequence of the problem $1|p_{jr}^A(t, u_j) = p_j \max \{r^{a_j}, b\} + ct - \theta_j u_j| \delta_1 \rho + \delta_2 \sum_{j=1}^n v_j u_j$, where $\rho \in \{C_{\max}, \sum C_j, TADC\}$, the optimal resource allocation can be determined as follows:

$$u_{[j]}^* = \begin{cases} 0, & \text{if } \delta_2 v_{[j]} - \theta_{[j]} \Omega_j > 0, \\ u_{[j]}, & \text{if } \delta_2 v_{[j]} - \theta_{[j]} \Omega_j = 0, \\ \bar{u}_{[j]}, & \text{if } \delta_2 v_{[j]} - \theta_{[j]} \Omega_j < 0, \end{cases} \tag{8}$$

where $0 \leq u_{[j]} \leq \bar{u}_{[j]}$ and $u_{[j]}^*, j = 1, 2, \dots, n$, represents the optimal resource allocation of the job in position j .

Proof. For the problem $1|p_{jr}^A(t, u_j) = p_j \max\{r^{aj}, b\} + ct - \theta_j u_j | \delta_1 \rho + \delta_2 \sum_{j=1}^n v_j u_j$, taking the derivative by $u_{[j]}$ to Eq. (6), we have $\frac{df(\pi, u)}{du_{[j]}} = \delta_2 v_{[j]} - \theta_{[j]} \Omega_j$ for $j = 1, 2, \dots, n$. Then, for any sequence, the optimal resource allocation of a job in a position with a negative $\delta_2 v_{[j]} - \theta_{[j]} \Omega_j$ should be its upper bound on the amount of resource $\bar{u}_{[j]}$, and the optimal resource allocation of a job in a position with a positive $\delta_2 v_{[j]} - \theta_{[j]} \Omega_j$ should be 0. If $\delta_2 v_{[j]} - \theta_{[j]} \Omega_j = 0$, then the optimal resource allocation of the job in this position may be any value between 0 and $\bar{u}_{[j]}$. \square

In order to obtain the optimal sequence, we formulate the problem $1|p_{jr}^A(t, u_j) = p_j \max\{r^{aj}, b\} + ct - \theta_j u_j | \delta_1 \rho + \delta_2 \sum_{j=1}^n v_j u_j$, where $\rho \in \{C_{\max}, \sum C_j, TADC\}$, as an assignment problem.

Let

$$\Lambda_{jr} = \begin{cases} \delta_1 \Omega_r p_j \max\{r^{aj}, b\}, & \text{if } \delta_2 v_j - \theta_j \Omega_r \geq 0, \\ \delta_1 \Omega_r p_j \max\{r^{aj}, b\} + (\delta_2 v_j - \theta_j \Omega_r) \bar{u}_j, & \text{if } \delta_2 v_j - \theta_j \Omega_r < 0, \end{cases} \quad (9)$$

and X_{jr} be a binary variable which equals 1 if job J_j is scheduled in position r , and 0, otherwise. Hence, the optimal matching of jobs to positions requires a solution for the following assignment problem:

$$\text{Min } \sum_{j=1}^n \sum_{r=1}^n \Lambda_{jr} X_{jr} \quad (10)$$

Subject to

$$\sum_{r=1}^n X_{jr} = 1, \quad j = 1, 2, \dots, n,$$

$$\sum_{j=1}^n X_{jr} = 1, \quad r = 1, 2, \dots, n,$$

$$X_{jr} = 0 \text{ or } 1, \quad j, r = 1, 2, \dots, n.$$

Recall that solving an assignment problem requires $O(n^3)$ time (using the well-known Hungarian method).

Based on the above analysis, we can obtain that the problem $1|p_{jr}^A(t, u_j) = p_j \max\{r^{aj}, b\} + ct - \theta_j u_j | \delta_1 \rho + \delta_2 \sum_{j=1}^n v_j u_j$ ($\rho \in \{C_{\max}, \sum C_j, TADC\}$) can be solved by the following algorithm:

Algorithm 1

Step 1. Calculate Λ_{jr} for $r, j = 1, 2, \dots, n$ by using Eq. (9).

Step 2. Solve the assignment problem (10) to determine the optimal job sequence.

Step 3. Calculate the optimal resource allocations by using Eq. (8).

Step 4. Calculate the optimal processing times by using Eq. (1).

Theorem 1 *Algorithm 1 solves the problem $1|p_{jr}^A(t, u_j) = p_j \max\{r^{aj}, b\} + ct - \theta_j u_j | \delta_1 \rho + \delta_2 \sum_{j=1}^n v_j u_j$ in $O(n^3)$ time, where $\rho \in \{C_{\max}, \sum C_j, TADC\}$.*

We present the following example to illustrate Algorithm 1 for the problem $1|p_j^A(t, u_j) = p_j \max\{r^{a_j}, b\} + ct - \beta_j u_j | \delta_1 \sum_{j=1}^n C_j + \delta_2 \sum_{j=1}^n v_j u_j$.

Example 1 There are $n = 6$ jobs to be processed on a single machine, where $b = 0.7, l = 2, c = 0.05, \delta_1 = \delta_2 = 1$, and other corresponding data are shown in Table 1. From Eq. (7), we have $\Omega_1 = 6.8019, \Omega_2 = 5.5256, \Omega_3 = 4.3101, \Omega_4 = 3.1525, \Omega_5 = 2.0500, \Omega_6 = 1$.

Solution

Step 1. From Eq. (9), the values $\Lambda_{jr}, r, j = 1, 2, \dots, n$ are given in Table 2.

Step 2. Solve the assignment problem (11), we obtain that the optimal job sequence is $\pi^* = [J_3, J_5, J_6, J_2, J_1, J_4]$.

Step 3. From Eq. (8), the optimal resource allocations are $u_1 = 0, u_2 = 0, u_3 = 3, u_4 = 0, u_5 = 2, u_6 = 2$.

Step 4. From Eq. (1), the optimal processing times are $p_1^A = 7.7312, p_2^A = 6.8849, p_3^A = 2.0000, p_4^A = 16.1650, p_5^A = 1.4103, p_6^A = 4.3279$, and the total cost is $\delta_1 \sum_{j=1}^n C_j + \delta_2 \sum_{j=1}^n v_j u_j = 170.6448$.

Table 1 Data of Example 1

J_j	J_1	J_2	J_3	J_4	J_5	J_6
p_j	10	8	11	18	9	16
β_j	2	1	3	2	3	4
\bar{u}_j	3	2	3	1	2	2
v_j	10	8	12	11	14	9
a_j	-0.25	-0.15	-0.2	-0.1	-0.3	-0.25

Table 2 Values of Λ_{jr}

$j \setminus r$	1	2	3	4	5	6
1	57.2076	43.3110	32.7497	22.2915	14.3500	7.0000
2	54.4152	39.8396	29.2421	20.4850	12.8824	6.1146
3	49.6038	39.1831	35.2680	26.2806	16.3438	7.7000
4	119.8304	92.7490	69.5101	49.3994	31.4144	15.0473
5	48.4057	35.2400	27.8993	19.8607	12.9150	6.3000
6	72.4152	48.1385	35.9187	28.4465	22.9600	11.2000

The bold numbers are the optimal solution

4 Convex resource allocation problem

4.1 Problem 1 $|p_{jr}^A(t, u_j) = \left(\frac{p_j \max\{r^{a_j}, b\}}{u_j} \right)^l + ct|\delta_1\rho + \delta_2 \sum_{j=1}^n v_j u_j$

Lemma 3 *For the problem 1 $|p_{jr}^A(t, u_j) = \left(\frac{p_j \max\{r^{a_j}, b\}}{u_j} \right)^l + ct|\delta_1\rho + \delta_2 \sum_{j=1}^n v_j u_j$, the completion time and the actual processing time of the k th job in a sequence are:*

$$\begin{aligned} C_{[k]} &= \left(\frac{p_{[k]} \max\{k^{a_{[k]}}, b\}}{u_{[k]}} \right)^l + (1+c) \left(\frac{p_{[k-1]} \max\{(k-1)^{a_{[k-1]}}, b\}}{u_{[k-1]}} \right)^l \\ &\quad + \dots + (1+c)^{k-1} \left(\frac{p_{[1]} \max\{1^{a_{[1]}}, b\}}{u_{[1]}} \right)^l \\ &= \sum_{j=1}^k (1+c)^{k-j} \left(\frac{p_{[k]} \max\{k^{a_{[k]}}, b\}}{u_{[k]}} \right)^l; \end{aligned} \quad (11)$$

$$p_{[k]}^A = \left(\frac{p_{[k]} \max\{k^{a_{[k]}}, b\}}{u_{[k]}} \right)^l + c \sum_{j=1}^{k-1} (1+c)^{k-1-j} \left(\frac{p_{[j]} \max\{j^{a_{[j]}}, b\}}{u_{[j]}} \right)^l. \quad (12)$$

From Eqs. (2), (3), (11) and (12), we have

$$\begin{aligned} Z(\pi, u) &= \delta_1 \rho + \delta_2 \sum_{j=1}^n v_{[j]} u_{[j]} \\ &= \delta_1 \sum_{j=1}^n \omega_j p_{[j]}^A + \delta_2 \sum_{j=1}^n v_{[j]} u_{[j]} \\ &= \delta_1 \sum_{j=1}^n \omega_j \left(\left(\frac{p_{[j]} \max\{j^{a_{[j]}}, b\}}{u_{[j]}} \right)^l + c \sum_{h=1}^{j-1} (1+c)^{j-1-h} \left(\frac{p_{[h]} \max\{h^{a_{[h]}}, b\}}{u_{[h]}} \right)^l \right) \\ &\quad + \delta_2 \sum_{j=1}^n v_{[j]} u_{[j]} \\ &= \delta_1 \sum_{j=1}^n \Omega_j \left(\frac{p_{[j]} \max\{j^{a_{[j]}}, b\}}{u_{[j]}} \right)^l + \delta_2 \sum_{j=1}^n v_{[j]} u_{[j]}, \end{aligned} \quad (13)$$

where Ω_j are given by Eq. (7).

In the following lemma, we determine the optimal resource allocation $u^*(\pi)$.

Lemma 4 *For the problem 1 $|p_{jr}^A(t, u_j) = \left(\frac{p_j \max\{r^{a_j}, b\}}{u_j} \right)^l + ct|\delta_1\rho + \delta_2 \sum_{j=1}^n v_j u_j$, where $\rho \in \{C_{\max}, \sum C_j, TADC\}$, the optimal resource allocation as a function of the job sequence, i.e.,*

$$u_{[j]}^* = \left(\frac{l\delta_1\Omega_j}{\delta_2 v_{[j]}} \right)^{\frac{1}{l+1}} \times (p_{[j]} \max\{j^{a_{[j]}}, b\})^{\frac{l}{l+1}}, \quad (14)$$

where Ω_j are given by Eq. (7).

Proof. By taking the derivative given by Eq. (13) with respect to $u_{[j]}, j = 1, 2, \dots, n$, equating it to zero and solving it for $u_{[j]}$, we obtain Eq. (14). \square

By substituting Eq. (14) into Eq. (13), we have

$$Z(\pi, u^*(\pi)) = \left(l^{\frac{-l}{l+1}} + l^{\frac{1}{l+1}} \right) \delta_2^{\frac{l}{l+1}} \times \sum_{j=1}^n (v_{[j]} p_{[j]})^{\frac{l}{l+1}} (\delta_1 \Omega_j)^{\frac{1}{l+1}} (\max \{j^{a_{[j]}}, b\})^{\frac{l}{l+1}}, \quad (15)$$

where Ω_j are given by Eq. (7).

Lemma 5 *The optimal job sequence for the problem $1|p_{jr}^A(t, u_j) = \left(\frac{p_j \max\{r^{a_j}, b\}}{u_j} \right)^l + ct|\delta_1\rho + \delta_2 \sum_{j=1}^n v_j u_j$ ($\rho \in \{C_{\max}, \sum C_j, TADC\}$) can be determined in $O(n^3)$ time by the following linear assignment problem:*

$$\text{Min} \sum_{j=1}^n \sum_{r=1}^n \Theta_{jr} X_{jr} \quad (16)$$

Subject to

$$\sum_{r=1}^n X_{jr} = 1, \quad j = 1, 2, \dots, n,$$

$$\sum_{j=1}^n X_{jr} = 1, \quad r = 1, 2, \dots, n,$$

$$X_{jr} = 0 \text{ or } 1, \quad j, r = 1, 2, \dots, n.$$

where

$$\Theta_{jr} = \left(l^{\frac{-l}{l+1}} + l^{\frac{1}{l+1}} \right) \delta_2^{\frac{l}{l+1}} (v_j p_j)^{\frac{l}{l+1}} (\delta_1 \Omega_r)^{\frac{1}{l+1}} (\max \{r^{a_j}, b\})^{\frac{l}{l+1}}. \quad (17)$$

Hence the problem $1|p_{jr}^A(t, u_j) = \left(\frac{p_j \max\{r^{a_j}, b\}}{u_j} \right)^l + ct|\delta_1\rho + \delta_2 \sum_{j=1}^n v_j u_j$ ($\rho \in \{C_{\max}, \sum C_j, TADC\}$) can be solved by the following optimization algorithm.

Algorithm 2

Step 1. Calculate Θ_{jr} for $j, r = 1, 2, \dots, n$ by Eq. (17).

Step 2. Sequence the jobs according to Lemma 5, and denote the resulting optimal sequence by $\pi^* = [J_{[1]}, J_{[2]}, \dots, J_{[n]}]$.

Step 3. Calculate the optimal resources by using Eq. (14).

Step 4. Calculate the optimal processing times by using Eq. (2).

Theorem 2 *Algorithm 2 solves the scheduling problem $1|p_{jr}^A(t, u_j) = \left(\frac{p_j \max\{r^{a_j}, b\}}{u_j} \right)^l + ct|\delta_1\rho + \delta_2 \sum_{j=1}^n v_j u_j$ ($\rho \in \{C_{\max}, \sum C_j, TADC\}$) in $O(n^3)$ time.*

We present the following example to illustrate Algorithm 1 for the problem $1|p_j^A(t, u_j) = \left(\frac{p_j \max\{r^{a_j}, b\}}{u_j}\right)^l + ct|\delta_1 \sum_{j=1}^n C_j + \delta_2 \sum_{j=1}^n v_j u_j$.

Example 2 There are $n = 6$ jobs to be processed on a single machine, where $l = 2, b = 0.7, c = 0.05, \delta_1 = \delta_2 = 1$, and other corresponding data are shown in Table 3. From Example 1, we have $\Omega_1 = 6.8019, \Omega_2 = 5.5256, \Omega_3 = 4.3101, \Omega_4 = 3.1525, \Omega_5 = 2.0500, \Omega_6 = 1$.

Solution

Step 1. From Eq. (17), the values $\Theta_{jr}, r, j = 1, 2, \dots, n$ are given in Table 4.

Step 2. Solve the assignment problem (16), we obtain that the optimal job sequence is $\pi^* = [J_2, J_1, J_5, J_6, J_3, J_4]$.

Step 3. From Eq. (14), the optimal resource allocations are $u_2 = \left(\frac{l\delta_1\Omega_j}{\delta_2 v_{[j]}}\right)^{\frac{1}{l+1}} \times (p_{[j]} \max\{j^{a_{[j]}}, b\})^{\frac{l}{l+1}} = \left(\frac{2 \times 6.8019}{8}\right)^{\frac{1}{3}} \times (8 \max\{1^{-0.15}, 0.7\})^{\frac{2}{3}} = 4.7744, u_1 = 4.2753, u_5 = 2.9548, u_6 = 4.4759, u_3 = 2.7900, u_4 = 4.3863$.

Step 4. From Eq. (2), the optimal processing times are $p_2^A = 2.8076, p_1^A = 4.0090, p_5^A = 5.1399, p_6^A = 6.9871, p_3^A = 9.1128, p_4^A = 13.1712$, and the total cost is $\delta_1 \sum_{j=1}^n C_j + \delta_2 \sum_{j=1}^n v_j u_j = 351.0909$.

Table 3. Data of Example 2

J_j	J_1	J_2	J_3	J_4	J_5	J_6
p_j	10	8	11	18	9	16
v_j	10	8	12	11	14	9
a_j	-0.25	-0.15	-0.2	-0.1	-0.3	-0.25

Table 4. Values of Θ_{jr}

$j \setminus r$	1	2	3	4	5	6
1	77.1456	64.1292	55.1751	47.3852	40.7772	32.0996
2	57.2925	49.8783	44.0898	38.5982	32.7021	25.2778
3	92.8312	78.9720	68.8700	59.7165	50.2195	38.6263
4	121.6433	108.3767	97.1029	85.8274	73.2596	56.9729
5	89.9964	73.1030	62.0516	54.9075	47.5698	37.4467
6	98.3754	81.7770	70.3588	60.4252	51.9987	40.9331

The bold numbers are the optimal solution

In the following, we present a simpler and more efficient solution for a special case, i.e., $a_j = a$ for $j = 1, 2, \dots, n$. Stem from (16), we have

$$Z(\pi, u^*(\pi)) = \left(l^{\frac{-l}{l+1}} + l^{\frac{1}{l+1}} \right) (\delta_1)^{\frac{1}{l+1}} (\delta_2)^{\frac{l}{l+1}} \times \sum_{j=1}^n (v_{[j]} p_{[j]})^{\frac{l}{l+1}} (\Omega_j \max \{j^a, b\})^{\frac{1}{l+1}}, \quad (18)$$

where Ω_j are given by Eq. (7).

In order to find the job sequence that minimizes $Z(\pi, u^*(\pi))$, we have to optimally match $(\Omega_j \max \{j^a, b\})^{\frac{1}{l+1}}$ with $(v_{[j]} p_{[j]})^{\frac{l}{l+1}}$. It is well known that $\sum_{j=1}^n (v_{[j]} p_{[j]})^{\frac{l}{l+1}} (\Omega_j \max \{j^a, b\})^{\frac{1}{l+1}}$ is minimized by sorting the elements of the $(\Omega_j \max \{j^a, b\})^{\frac{1}{l+1}}$ and $(v_{[j]} p_{[j]})^{\frac{l}{l+1}}$ vectors in opposite orders (i.e., HLP principle, Hardy et al. (1976)). Hence, we have

Lemma 6 *The optimal job sequence for the problem $1|p_{jr}^A(t, u_j) = \left(\frac{p_j \max \{r^{aj}, b\}}{u_j} \right)^l + ct, a_j = a|\delta_1 \rho + \delta_2 \sum_{j=1}^n v_j u_j$ ($\rho \in \{C_{\max}, \sum C_j, TADC\}$) can be determined in $O(n \log n)$ time by the HLP principle (Hardy et al. (1976)).*

The results of our analysis are summarized in the following optimization algorithm that solves the problem $1|p_{jr}^A(t, u_j) = \left(\frac{p_j \max \{r^{aj}, b\}}{u_j} \right)^l + ct, a_j = a|\delta_1 \rho + \delta_2 \sum_{j=1}^n v_j u_j$ ($\rho \in \{C_{\max}, \sum C_j, TADC\}$).

Algorithm 3

- Step 1.* Calculate $(\Omega_j \max \{j^a, b\})^{\frac{1}{l+1}}$ and $(v_j p_j)^{\frac{l}{l+1}}$ for $j, r = 1, 2, \dots, n$.
- Step 2.* Sequence the jobs by the HLP principle (Lemma 6), and denote the resulting optimal sequence by $\pi^* = [J_{[1]}, J_{[2]}, \dots, J_{[n]}]$.
- Step 3.* Calculate the optimal resources by using Eq. (14).
- Step 4.* Calculate the optimal processing times by using Eq. (2).

Theorem 3 *Algorithm 3 solves the scheduling problem $1|p_{jr}^A(t, u_j) = \left(\frac{p_j \max \{r^{aj}, b\}}{u_j} \right)^l + ct|\delta_1 \rho + \delta_2 \sum_{j=1}^n v_j u_j$ ($\rho \in \{C_{\max}, \sum C_j, TADC\}$) in $O(n \log n)$ time.*

4.2 Problem $1|p_{jr}^A(t, u_j) = \left(\frac{p_j \max \{r^{aj}, b\}}{u_j} \right)^l + ct, \sum_{j=1}^n u_j \leq U|\rho$

In this subsection, we consider the problem $1|p_{jr}^A(t, u_j) = \left(\frac{p_j \max \{r^{aj}, b\}}{u_j} \right)^l + ct, \sum_{j=1}^n u_j \leq U|\rho$, subject to $\sum_{j=1}^n u_j \leq U$, where $U > 0$ is a given constant, and $\rho \in \{C_{\max}, \sum C_j, TADC\}$.

Lemma 7 *For the $1|p_{jr}^A(t, u_j) = \left(\frac{p_j \max \{r^{aj}, b\}}{u_j} \right)^l + ct, \sum_{j=1}^n u_j \leq U|\rho$, where $\rho \in \{C_{\max}, \sum C_j, TADC\}$,*

$$u_{[j]}^* = \frac{(\Omega_j)^{1/(l+1)} (p_{[j]} \max \{j^{a_{[j]}}, b\})^{l/(l+1)}}{\sum_{j=1}^n (\Omega_j)^{1/(l+1)} (p_{[j]} \max \{j^{a_{[j]}}, b\})^{l/(l+1)}} \times U \quad (j = 1, 2, \dots, n), \quad (19)$$

where Ω_j are given by Eq. (7).

Proof For a given sequence, the Lagrange function is

$$\begin{aligned} L(\mathbf{u}, \lambda) &= \rho + \lambda \left(\sum_{j=1}^n u_{[j]} - U \right) \\ &= \sum_{j=1}^n \Omega_j \left(\frac{p_{[j]} \max \{j^{a_{[j]}}, b\}}{u_{[j]}} \right)^l + \lambda \left(\sum_{j=1}^n u_{[j]} - U \right), \end{aligned} \quad (20)$$

where λ is the Lagrangian multiplier. Deriving (20) with respect to $u_{[j]}$ and λ , we have

$$\frac{\partial L(\mathbf{u}, \lambda)}{\partial \lambda} = \sum_{j=1}^n u_{[j]} - U = 0, \quad (21)$$

$$\frac{\partial L(\mathbf{u}, \lambda)}{\partial u_{[j]}} = \lambda - l\Omega_j \times \frac{(p_{[j]} \max \{j^{a_{[j]}}, b\})^l}{(u_{[j]})^{l+1}} = 0, \quad \forall j = 1, 2, \dots, n. \quad (22)$$

Using (21) and (22) we obtain

$$u_{[j]} = \frac{(k\Omega_j (p_{[j]} \max \{j^{a_{[j]}}, b\})^l)^{1/(l+1)}}{\lambda^{1/(l+1)}}, \quad (23)$$

and

$$\lambda^{1/(l+1)} = \frac{\sum_{j=1}^n (l\Omega_j)^{1/(l+1)} (p_{[j]} \max \{j^{a_{[j]}}, b\})^{l/(l+1)}}{U}. \quad (24)$$

From (7) and (8), we have

$$u_{[j]}^* = \frac{(\Omega_j)^{1/(k+1)} (p_{[j]} \max \{j^{a_{[j]}}, b\})^{l/(l+1)}}{\sum_{j=1}^n (\Omega_j)^{1/(k+1)} (p_{[j]} \max \{j^{a_{[j]}}, b\})^{l/(l+1)}} \times U.$$

□

Substituting (19) into $\rho = \sum_{j=1}^n \Omega_j \left(\frac{p_{[j]} \max \{j^{a_{[j]}}, b\}}{u_{[j]}} \right)^l$, we have

$$\begin{aligned} \rho(\pi, u^*) &= U^{-l} \left(\sum_{j=1}^n (\Omega_j)^{1/(l+1)} (p_{[j]} \max \{j^{a_{[j]}}, b\})^{l/(l+1)} \right)^{l+1} \\ &= U^{-l} \left(\sum_{j=1}^n \Omega_j (p_{[j]} \max \{j^{a_{[j]}}, b\})^l \right), \end{aligned} \quad (25)$$

where Ω_j are given by Eq. (7).

Similar to Subsection 4.1, we have

Lemma 8 *The optimal job sequence for the problem $1|p_{jr}^A(t, u_j) = \left(\frac{p_j \max \{r^{a_j}, b\}}{u_j} \right)^l + ct, \sum_{j=1}^n u_j \leq U | \rho$ ($\rho \in \{C_{\max}, \sum C_j, TADC\}$) can be determined in $O(n^3)$ time by the following linear assignment problem:*

$$\text{Min} \sum_{j=1}^n \sum_{r=1}^n \vartheta_{jr} X_{jr}, \quad (26)$$

Subject to

$$\begin{aligned} \sum_{r=1}^n X_{jr} &= 1, \quad j = 1, 2, \dots, n, \\ \sum_{j=1}^n X_{jr} &= 1, \quad r = 1, 2, \dots, n, \\ X_{jr} &= 0 \text{ or } 1, \quad j, r = 1, 2, \dots, n, \end{aligned}$$

where

$$\vartheta_{jr} = U^{-l} \Omega_r (p_j \max \{r^{a_j}, b\})^l. \quad (27)$$

The results of our analysis are summarized in the following optimization algorithm that solves the problem $1|p_j^A = \left(\frac{p_j \max \{r^{a_j}, b\}}{u_j}\right)^l + ct, \sum_{j=1}^n u_j \leq U|\rho$ ($\rho \in \{C_{\max}, \sum C_j, TADC\}$).

Algorithm 4

Step 1. Calculate ϑ_{jr} for $j, r = 1, 2, \dots, n$ by Eq. (27).

Step 2. Sequence the jobs according to Lemma 8, and denote the resulting optimal sequence by $\pi^* = [J_{[1]}, J_{[2]}, \dots, J_{[n]}]$.

Step 3. Calculate the optimal resources by using Eq. (19).

Step 4. Calculate the optimal processing times by using Eq. (2).

Theorem 4 *Algorithm 4 solves the scheduling problem $1|p_j^A = \left(\frac{p_j \max \{r^{a_j}, b\}}{u_j}\right)^l + ct, \sum_{j=1}^n u_j \leq U|\rho$ ($\rho \in \{C_{\max}, \sum C_j, TADC\}$) in $O(n^3)$ time.*

Similar to Subsection 4.1, if $a_j = a$, $j = 1, 2, \dots, n$, we have

$$\rho(\pi, u^*(\pi)) = U^{-l} \left(\sum_{j=1}^n \Omega_j (\max \{j^a, b\})^l (p_{[j]})^l \right). \quad (28)$$

where Ω_j are given by Eq. (7).

Theorem 5 *The problem $1|p_j^A = \left(\frac{p_j \max \{r^a, b\}}{u_j}\right)^l + ct, \sum_{j=1}^n u_j \leq U|\rho$ ($\rho \in \{C_{\max}, \sum C_j, TADC\}$) can be solved in $O(n \log n)$ time.*

4.3 Problem $1|p_{jr}^A(t, u_j) = \left(\frac{p_j \max \{r^{a_j}, b\}}{u_j}\right)^l + ct, \rho \leq R|\sum_{j=1}^n u_j$

In this Subsection, we consider the “inverse version” of the problem $1|p_j^A = \left(\frac{p_j \max \{r^{a_j}, b\}}{u_j}\right)^l + ct, \sum_{j=1}^n u_j \leq U|\rho$, i.e., we consider the problem $1|p_{jr}^A(t, u_j) = \left(\frac{p_j \max \{r^{a_j}, b\}}{u_j}\right)^l + ct, \rho \leq R|\sum_{j=1}^n u_j$, where $R > 0$ is a given constant, and $\rho \in \{C_{\max}, \sum C_j, TADC\}$. Similar to Subsection 4.2, we have

Lemma 9 For the problem $1|p_{jr}^A(t, u_j) = \left(\frac{p_j \max\{r^{a_j}, b\}}{u_j} \right)^l + ct, \rho \leq R | \sum_{j=1}^n u_j$, where $\rho \in \{C_{\max}, \sum C_j, TADC\}$,

$$u_{[j]}^* = (\Omega_j)^{\frac{1}{l+1}} (p_{[j]} \max\{j^{a_{[j]}}, b\})^{\frac{l}{l+1}} \left(\sum_{j=1}^n (\Omega_j)^{\frac{1}{l+1}} (p_{[j]} \max\{j^{a_{[j]}}, b\})^{\frac{l}{l+1}} \right)^{\frac{1}{l}} \times R^{-\frac{1}{l}}, \quad (29)$$

where Ω_j are given by Eq. (7).

Substituting (29) into $\sum_{j=1}^n u_j$, we have

$$\sum_{j=1}^n u_j(\pi, u^*) = \left(\sum_{j=1}^n (\Omega_j)^{\frac{1}{l+1}} (p_{[j]} \max\{j^{a_{[j]}}, b\})^{\frac{l}{l+1}} \right)^{\frac{1}{l}+1} \times R^{-\frac{1}{l}}, \quad (30)$$

where Ω_j are given by Eq. (7).

Lemma 10 The optimal job sequence for the problem $1|p_{jr}^A(t, u_j) = \left(\frac{p_j \max\{r^{a_j}, b\}}{u_j} \right)^l + ct, \rho \leq R | \sum_{j=1}^n u_j$ ($\rho \in \{C_{\max}, \sum C_j, TADC\}$) can be determined in $O(n^3)$ time by the following linear assignment problem:

$$\text{Min } \sum_{j=1}^n \sum_{r=1}^n \eta_{jr} X_{jr} \quad (31)$$

Subject to

$$\sum_{r=1}^n X_{jr} = 1, \quad j = 1, 2, \dots, n,$$

$$\sum_{j=1}^n X_{jr} = 1, \quad r = 1, 2, \dots, n,$$

$$X_{jr} = 0 \text{ or } 1, \quad j, r = 1, 2, \dots, n.$$

where

$$\eta_{jr} = (\Omega_r)^{\frac{1}{k+1}} (p_j \max\{r^{a_j}, b\})^{\frac{k}{k+1}}. \quad (32)$$

Theorem 6 The problem $1|p_j^A = \left(\frac{p_j \max\{r^{a_j}, b\}}{u_j} \right)^l + ct, \rho \leq R | \sum_{j=1}^n u_j$ ($\rho \in \{C_{\max}, \sum C_j, TADC\}$) can be solved in $O(n^3)$ time.

Theorem 7 The problem $1|p_j^A = \left(\frac{p_j \max\{r^a, b\}}{u_j} \right)^l + ct, \rho \leq R | \sum_{j=1}^n u_j$ ($\rho \in \{C_{\max}, \sum C_j, TADC\}$) can be solved in $O(n \log n)$ time.

4.4 Problem 1| $p_j^A = \left(\frac{p_j \max\{r^a, b\}}{u_j}\right)^l + ct|(\rho, \sum_{j=1}^n u_j)$

In this section, we consider the problem of identifying the set of Pareto-optimal solutions for $(\rho, \sum_{j=1}^n u_j)$, where a schedule π with $\rho = R$ and $\sum_{j=1}^n u_j = U$ is called Pareto-optimal if there does not exist another schedule π' such that $\rho(\pi') \leq R$ and $\sum_{j=1}^n u_j(\pi') \leq U$ with at least one of these inequalities being strict. Obviously, the Pareto-optimal of the problem 1| $p_j^A = \left(\frac{p_j \max\{r^a, b\}}{u_j}\right)^l + ct|(\rho, \sum_{j=1}^n u_j)$ can be obtained by solving the problem 1| $p_j^A = \left(\frac{p_j \max\{r^{aj}, b\}}{u_j}\right)^l + ct, \sum_{j=1}^n u_j \leq U|\rho$ for various values of U , or the problem 1| $p_j^A = \left(\frac{p_j \max\{r^{aj}, b\}}{u_j}\right)^l + ct, \rho \leq R|\sum_{j=1}^n u_j$ for various values of R (see Hoogeveen (2005), and Shabtay and Steiner (2007)). From Eq. (25), the efficient frontier is $\rho(\pi^*, u^*) = U^{-l} \left(\sum_{j=1}^n \Omega_j (p_{[j]} \max\{j^{a[j]}, b\})^l\right)$ (a function of $U = \sum_{j=1}^n u_j$ and can be obtained by Algorithm 4), or from Eq. (30), the efficient frontier is $\sum_{j=1}^n u_j(\pi^*, u^*) = \left(\sum_{j=1}^n (\Omega_j)^{\frac{1}{l+1}} (p_{[j]} \max\{j^{a[j]}, b\})^{\frac{l}{l+1}}\right)^{\frac{1}{l}+1} \times R^{-\frac{1}{l}}$ (a function of $R = \rho$), hence, we have

Theorem 8 *The problem 1| $p_j^A = \left(\frac{p_j \max\{r^{aj}, b\}}{u_j}\right)^l + ct|(\rho, \sum_{j=1}^n u_j)$ ($\rho \in \{C_{\max}, \sum C_j, TADC\}$) can be solved in $O(n^3)$ time.*

Theorem 9 *The problem 1| $p_j^A = \left(\frac{p_j \max\{r^a, b\}}{u_j}\right)^l + ct|(\rho, \sum_{j=1}^n u_j)$ ($\rho \in \{C_{\max}, \sum C_j, TADC\}$) can be solved in $O(n \log n)$ time.*

5 Conclusions

This article combines truncated job-dependent learning effect with deterioration effect and resource allocation. For linear and convex resource consumption functions, we showed that several single machine scheduling problems can be solved in polynomial time respectively (see Table 5). Future research may focus on considering other regular and non-regular objective functions, studying the flexible flow shop scheduling problems, and investigating scheduling problems with maintenance activities (Xu et al. (2008), and Xu et al. (2015)).

Table 5. Main results of this paper ($\rho \in \{C_{\max}, \sum C_j, TADC\}$)

Problem	Complexity	Ref.
$1 p_{jr}^A(t, u_j) = p_j \max\{r^{aj}, b\} + ct - \theta_j u_j \delta_1 \rho + \delta_2 \sum_{j=1}^n v_j u_j$	$O(n^3)$	Theorem 1
$1 p_{jr}^A(t, u_j) = \left(\frac{p_j \max\{r^{aj}, b\}}{u_j} \right)^l + ct \delta_1 \rho + \delta_2 \sum_{j=1}^n v_j u_j$	$O(n^3)$	Theorem 2
$1 p_{jr}^A(t, u_j) = \left(\frac{p_j \max\{r^a, b\}}{u_j} \right)^l + ct \delta_1 \rho + \delta_2 \sum_{j=1}^n v_j u_j$	$O(n \log n)$	Theorem 3
$1 p_{jr}^A(t, u_j) = \left(\frac{p_j \max\{r^{aj}, b\}}{u_j} \right)^l + ct, \sum_{j=1}^n u_j \leq U \rho$	$O(n^3)$	Theorem 4
$1 p_{jr}^A(t, u_j) = \left(\frac{p_j \max\{r^a, b\}}{u_j} \right)^l + ct, \sum_{j=1}^n u_j \leq U \rho$	$O(n \log n)$	Theorem 5
$1 p_{jr}^A(t, u_j) = \left(\frac{p_j \max\{r^{aj}, b\}}{u_j} \right)^l + ct, \rho \leq R \sum_{j=1}^n u_j$	$O(n^3)$	Theorem 6
$1 p_{jr}^A(t, u_j) = \left(\frac{p_j \max\{r^a, b\}}{u_j} \right)^l + ct, \rho \leq R \sum_{j=1}^n u_j$	$O(n \log n)$	Theorem 7
$1 p_j^A = \left(\frac{p_j \max\{r^{aj}, b\}}{u_j} \right)^l + ct (\rho, \sum_{j=1}^n u_j)$	$O(n^3)$	Theorem 8
$1 p_j^A = \left(\frac{p_j \max\{r^a, b\}}{u_j} \right)^l + ct (\rho, \sum_{j=1}^n u_j)$	$O(n \log n)$	Theorem 9

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