

1 **BEAM Plus Implementation in Hong Kong: Assessment of Challenges and Policies**

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5 **ABSTRACT:** Green building development has increasingly gained momentum globally due to
6 growing public concerns and government policies. A variety of rating systems have been
7 developed to assess the sustainability of a construction project. In Hong Kong, BEAM Plus is
8 the most preferred system among the practitioners, however, its implementation is slow due to
9 industry and policy-level challenges. While scholarly works relating to the performance and
10 assessment factors of rating schemes have been conducted, limited efforts have been made
11 towards the investigation of the challenges to the implementation of BEAM Plus. This research,
12 therefore, conducted a thorough investigation to identify the challenging factors, and potential
13 policies to encourage the use of BEAM Plus among construction stakeholders. A comparison
14 of BEAM Plus with leading green building assessment schemes is made and the current policies
15 regarding the implementation of these schemes in Hong Kong and other countries are
16 discussed. Questionnaire surveys and expert interviews were conducted to validate the
17 challenges and potential policies. The collected data is studied using Analytical Hierarchy
18 Process and the responses from the interviews are found to mostly aligned with the AHP results.
19 It is found that ‘high initial cost’ is the most critical factor affecting the application of BEAM
20 Plus whereas ‘shortage of green building experts’ is the least important concern. The study
21 revealed that ‘gross floor area concession’ is the most attractive policy whereas the
22 effectiveness of the ‘assessment fee subsidy’ is insignificant. It is also disclosed that significant
23 changes are required in existing policies such as gross floor areas should be granted on the level
24 of green achievements instead of only registering for the scheme.

25 **Keywords:** BEAM Plus, Green Building Assessment Schemes, Government Policies, Energy
26 Efficiency, Sustainability

27 **1. INTRODUCTION**

28 Building and construction sector represent one of the main contributors to environmental
29 deterioration and global warming (Hong et al. 2015; Wong and Kuan 2014). For example, the
30 building sector in China in 2005 accounted for 40-45% of the total energy use from the life
31 cycle perspective (Chen and Lee 2013). In the United States, buildings use 70% of the
32 electricity and emit more than 30% of the greenhouse gas emissions (ASE 2018). In Hong
33 Kong, the situation is even worse; as per the Construction Industry Council (2017), buildings
34 account for 90% of electricity usage and 60% of carbon emissions. Due to the increased
35 pressure on the building sector to enhance the energy performance, several environmental
36 rating systems have been developed and implemented in different economies such as the US
37 Green Building Leadership in Energy and Environmental Design (LEED), the British Research
38 Establishment Environmental Assessment Method (BREEAM), Japan Comprehensive
39 Assessment System for Built Environment Efficiency (CASBEE), China Green Building Label
40 (GBL), and Hong Kong Building Environmental Assessment Method (BEAM Plus) (Guo and
41 Lau 2014; Wong and Kuan 2014). These green building assessment tools are considered as
42 one of the most effective methods of improving the environmental performance of any building
43 and are developed for a different type of buildings including industrial buildings, residential
44 buildings, commercial buildings and other types of buildings (Guo and Lau 2014; Wong and
45 Kuan 2014).

46 The building industry in various countries has taken strides for the implementation of ‘green
47 measures’ for green building construction (Hwang and Tan 2012). In line with the trend, the
48 Hong Kong government has also shifted its focus to green development. BEAM Plus scheme
49 (formerly known as HK-BEAM) was launched in 1996 (Chen and Lee 2013) and utilized as a
50 green building assessment tool to evaluate the level of sustainability in a construction project.
51 To encourage the usage of BEAM Plus, the Hong Kong government has established some

52 policies such as gross floor area concession and tax deduction for the construction companies.
53 Although these policies have resulted in an increase in the number of registered projects for the
54 scheme, the proportions of projects that received a bronze or above (based on the number of
55 credit points earned in six categories of BEAM Plus) are lacking behind the other developed
56 countries in the region. Despite this issue, surprisingly, the research on the challenges and
57 potential policies to popularize BEAM Plus in Hong Kong is limited. Past researchers have
58 addressed the deficiencies in various green building schemes on a contextual basis and
59 proposed new frameworks of indicators, weightings, and benchmark criteria such as Chen et
60 al. (2015); Kajikawa et al. (2011); Gou and Lau (2014); Mustapha et al. (2016); Doan et al.
61 (2017); Kamaruzzaman et al. (2016); Apratwum et al. (2019); Kamble and Bahadure (2019)
62 but failed to address the important notion of recommending the strategies that can be put
63 forward to overcome the barriers of BEAM Plus's implementation especially in Hong Kong
64 construction industry.

65 With the current condition of implementing BEAM Plus in the industry and to fulfill the
66 government's ambition to reduce carbon intensity by 65-70% in 2030 (Hong Kong
67 Environmental Bureau, 2017), the aim of this research is to evaluate and prioritize the
68 challenges of BEAM Plus implementation in Hong Kong and to propose potential measures
69 for the government to increase the adoption of the scheme. The aim is achieved by 1) providing
70 an overview of BEAM Plus usage in Hong Kong, 2) comparing BEAM Plus with other green
71 building schemes, 3) comprehending the obstacles in BEAM Plus implementation encountered
72 by construction industry stakeholders, and 4) recommending a set of potential policies to
73 facilitate BEAM plus application in Hong Kong. The analytical hierarchy process (AHP) was
74 adopted to prioritize the BEAM-Plus challenges and policies due to its popularity in decision-
75 making (Saaty 1994). AHP served as a logical decision-making method by sorting challenges
76 into groups for easier analysis. A pairwise comparison was conducted to evaluate the intensity

77 of one challenge outweighing the alternatives, thus, giving reliable results. Franek and Kresta
78 2014 suggested that humans are better at estimating one opinion over no more than two
79 alternatives. Therefore, this study used a 3x3 matrix for the comparison. The further validation
80 of challenges and policies was conducted through experts' interviews.

81 The rest of the articles is organized as follows. Section 2 provides the background where
82 general green building assessment, the implementation of BEAM Plus in Hong Kong, and
83 comparative analysis of BEAM Plus and other assessment schemes. Section 2 also presents the
84 challenges and policies for adopting BEAM Plus in Hong Kong. Section 3 introduces the
85 research methodology. Section 4 unveils the data collection strategies through a questionnaire
86 survey and expert interviews. Results and analysis of priorities of challenges and policies
87 established through AHP techniques are given in section 5. Section 6 provides a detailed
88 discussion on the challenges and policies using expert interviews and validates the resulted
89 themes from literature. Section 7 presents the conclusions from the study.

90 **2. BACKGROUND**

91 **2.1.Green Building Assessment**

92 Buildings and construction activities impact the environment and residents both positively and
93 negatively. The positive impacts include satisfying human needs by providing building and
94 ancillary facilities, provision of employment for the workers, and contribution to the region's
95 economy. The negative impacts include waste disposal, the creation of noise and dust, water
96 pollution, and energy consumption.

97 According to the World Business Council for Sustainable Development, buildings account for
98 40% of the total energy consumption. Not only that buildings consume energy, they also
99 produce Greenhouse Gas emission (GHG) which is responsible for global warming. The carbon
100 emission of buildings across the world will reach 42.4 billion tonnes in 2035 (WBSCD,2007).

101 Green building constructions provide an opportunity to reduce these negative impacts on the

102 occupants and the environment. Though there is no consensus on the definition of green
103 building, however, ASTM Standard defines it as “a building that provides the specified
104 building performance requirements while minimizing disturbance to and improving the
105 functioning of the local, regional and global ecosystems both during and after its construction
106 and specified service life” (ASTM, 2008). Green building assessment tools are developed to
107 serve as a guideline for addressing environmental problems during the design, construction,
108 and operation-maintenance stages of a building project.

109 Examples of famous assessment tools developed by the green building councils of different
110 countries are given as follows.

111 BREEAM

112 BREEAM was first introduced in 1990 in the United Kingdom which was the first green
113 assessment tool ever developed (BRE 2018). Later in 2016, BREEAM international was
114 launched to show alignments with other schemes through reflection on “local environmental
115 pressures, varying climates and population densities” (BRE 2018, p.5). BREEAM has been
116 adopted in 85 countries and the number of registered projects across the globe exceeded 2.5
117 million.

118 LEED

119 LEED was developed in the United States in 1993 and considered the most popular scheme
120 worldwide due to the ease of use. LEED operations facilitate the certification process and time
121 (Geng et al. 2012). The federal government in the US has incorporated LEED as a compulsory
122 parameter for both government-owned and funded buildings (Keller 2012). US government
123 has also initiated grant programs providing monetary incentives to cities and communities with
124 satisfactory green building performance and engagements (USGBC 2019).

125 CASBEE

126 CASBEE was developed by the effort from both the industry, government sector, and

127 researchers in Japan in 2001. Comparing to other schemes, CASBEE incorporated the concept
128 of building environmental efficiency, which is calculated by dividing the quality of building
129 performance with the environmental load as the rating method (Institute for Building
130 Environment and Energy Conservation 2014). Despite its long history and uniqueness, it is not
131 widely adopted. Wong and Abe (2014) suggested that CASBEE is too complex for an already
132 complicated building atmosphere in Japan, and with the diversity of assessment options with
133 vast incentives and grants programs, the idea of adopting CASBEE is less attractive. To
134 improve the recognition of CASBEE, Japan government put forward numerous award schemes
135 such as incentives, tax deductions, and decent mortgage rates in collaboration with banks
136 (Sasatani et al. 2015).

137 Green Mark

138 The green mark was launched in 2005 in Singapore. Singapore government has undertaken
139 substantial measures to advance the use of Green mark in the public and private sectors. It is
140 mandatory that “public sector buildings with air-conditioned floor areas of more than 5000
141 square meters must achieve the Green Mark Platinum rating” and for private sectors, the
142 government has introduced an incentive of Sg\$20million (1Sg\$=0.71US\$) for buildings
143 achieving Gold or higher ratings (BCA 2013, p. 16).

144

145 **2.2.Overview of Beam Plus in Hong Kong**

146 The history of BEAM could be traced back to December 1996 where it was first launched as
147 the HK-BEAM. BEAM was a voluntary scheme for the assessment and certification of the
148 green buildings using various parameters, such as sustainable site, materials, and energy use.
149 BEAM had four different versions due to the multiple revisions conducted by BEAM Society
150 Technical Review Panels in 1999 and 2003. Owing to the rising concerns on global climate
151 change, BEAM Plus was therefore introduced in 2010 aiming to provide the guideline for

152 planning and constructing sustainable buildings (HKGBC 2019). BEAM Plus comprises four
153 manuals for 1) new buildings, 2) existing buildings, 3) interiors and 4) neighborhood prospects.

154 The description of the manuals is given as follows.

155 1) BEAM Plus New Building covers the demolition, design, construction, and execution of all
156 types of new buildings including commercial, residential, and industrial. By adopting an
157 affordable range of best practices, it seeks a reduction in the environmental impacts of a new
158 building and improvements in environmental quality and users' satisfaction. The principles can
159 also be applied to renovation, alteration, and additions to the buildings (HKGBC 2019).

160 2) BEAM Plus Existing Building measures the actual performance of a building and evaluates
161 its facility management practices. All facets of management and operation-maintenance are
162 covered in the assessment and can be analyzed at any time during a building's operational life
163 (HKGBC 2019).

164 3) BEAM Plus Interiors entails the design and construction of fit-out, renovation, and
165 refurbishment work in non-domestic, occupied spaces. It can be adopted by landlords
166 renovating individual units, or by the occupants of space if they are responsible for fit-out
167 works of the building (HKGBC 2019).

168 4) BEAM Plus Neighborhood focuses on assessing sustainability performance at the inception
169 stage, thus, facilitating urban sustainability for a smoother implementation of the principles in
170 the subsequent development stages. It is concerned with the design of space between buildings
171 and emphasizes socio-economic elements of development (HKGBC 2019).

172

173 BEAM Plus is intended to reduce the environmental impacts of a building throughout its
174 design, planning, construction, and operation stages. It also provides a performance standard
175 to quantify the degree of accomplishments, and give recognition and awards based on attaining
176 at least the minimal requirements. As per the HKGBC (2019), 1185 projects were assessed

177 under the new building scheme as at 2019, whereas only 136 projects were assessed under the
178 other three BEAM Plus manuals (existing buildings, interiors, and neighborhood). Therefore,
179 this study is focused on the BEAM Plus New Buildings Manual.

180 [Insert Figure 1)

181 As per the data provided by the HKGBC (2019), fig. 1 shows that the number of projects under
182 BEAM Plus's new building scheme showed an upward trend and increased from 504 in 2012
183 to 1185 in 2019. Although the participation seems to be active within the construction industry,
184 only 442 out of 1185 new building applications (37%) were rewarded with awards i.e.
185 Platinum, Gold, Silver, Bronze. Remaining 63% were either registered or unclassified projects.

186

187 **2.3.Comparative analysis of BEAM Plus and other assessment schemes**

188 There are several green assessment schemes practiced in different countries. In the comparative
189 study of schemes, the selection of schemes is based on 1) the popularity and diversity of
190 applications in the global context, 2) history and the role in promoting sustainable buildings,
191 3) similarity with the BEAM Plus, 4) alignments with the Hong Kong construction
192 environment, and 5) ease of access. The comparative analysis is conducted to determine the
193 main similarities and differences that exist among these schemes in five regions (USA, UK,
194 Singapore, Japan, and Hong Kong) in order to establish potential recommendations that should
195 be adopted by the Hong Kong governments. Based on the selection criteria, BREEAM, LEED,
196 CASBEE, and Green Mark were chosen for the study.

197 A brief comparison of each scheme in terms of their background information, weighting score,
198 assessing areas, certification method, and the cost is in Table 1, 2, 3, and 4. Table 1 provides
199 general information on each scheme. Table 2 presents the weighting of each scheme. Both
200 BEAM Plus and BREEAM acknowledged the variations of assessing different categories by
201 allocating different weightings to each category while LEED and green mark is more simplified

202 using additive scoring. Table 3 provides the assessment procedure, certification duration, and
203 costs. Table 3 suggests that the BEAM Plus and LEED cost less in both registration and
204 certification fee in comparison to BREEAM, however, BREEAM takes a longer time for the
205 award of certificate after the completion of the project. Table 3 shows a detailed assessment
206 area checklist.

207 [Insert Table 1]

208 [Insert Table 2]

209 [Insert Table 3]

210 [Insert Table 4]

211 **2.4.Challenges to the implementation of BEAM Plus in Hong Kong**

212 All type of green building assessment tools faces challenges which are limiting their adoptions
213 in the building and construction sector. Therefore, in order to establish challenges for the
214 implementation of BIM Plus in Hong Kong, a thorough literature review of documents
215 discussing green building assessments in various countries is conducted. In the view of
216 property developers, the decisions tend to be based on the economic returns, and obtaining a
217 high score does not guarantee an equivalent return (Kajikawa et al., 2011). Moreover,
218 certifications under BEAM Plus create a sustainable and well-presented company image but
219 do not necessarily provide business opportunities (Hui et al. 2017; Olanipekun et al. 2018). In
220 the Hong Kong context, Gou and Lau (2014) identified that there is a shortage of space for
221 green implementations and the humid temperature adds to the difficulty in including green
222 strategies into building operations. Considering the difficulties and additional expenses in
223 constructing green buildings, its cost-effectiveness is highly questionable among developers.
224 Table 5 presented nine challenging factors identified in previous pieces of literature, divided
225 into three groups namely time and cost (F1), unforeseeable benefits (F2), and social and
226 managerial issues (F3).

227 Time and cost: F1 includes E1) the high cost incurred during planning & construction phase of
228 the project; E2) the extra time spent on the adoption of the green features and applying BEAM
229 Plus; and E3) the complex procedures that are needed to be followed before achieving green
230 requirements (Parker and BSRIA 2012; CIC and HKGBC 2017; Kajikawa et al. 2011; Hui et
231 al. 2017; Geng et al. 2012; Wong and Abe 2014; Sasatani et al. 2015; Hwang and Tan 2012;
232 Leong et al. 2013; Chen et al. 2017; Yang et al. 2006; Qian et al. 2015).

233 Unforeseeable benefits: F2 entails challenges that are difficult to predict including E4) lifecycle
234 cost reduction throughout the building lifespan, planning, designing, construction, and
235 maintenance cost; E5) the challenge that exists between balancing human needs and the limited
236 available natural resources for long term development; and E6) the undetermined effects that
237 green building may have on the occupants' happiness and productivity (Kajikawa et al. 2011;
238 Hui et al. 2017; Geng et al. 2012; Bond and Perrett 2012; Matisoff et al. 2014; Suzer et al.
239 2015; Lee 2016; Thatcher and Milner 2016).

240 Social and managerial issues: F3 includes E7) the low level of public's understanding on the
241 benefits of BEAM Plus; E8) insufficient motivating rewards from the government on BEAM
242 Plus applications; and E9) lack of green building certified professionals (CIC and HKGBC
243 2017; Geng et al. 2012; Wong and Abe 2014; Sasatani et al. 2015; Hwang and Tan 2010; Qian
244 et al. 2015; Bozovic-Stamenovic 2016; Agyekum et al. 2019; Lutzkendorf et al. 2013).

245 The 9 challenging factors are then incorporated into the design of questionnaires and interviews
246 from local experts to provide validation in the Hong Kong context.

247 [Insert Table 5]

248 **2.5.Potential Policies for the implementation of BEAM Plus in Hong Kong**

249 To encourage BEAM Plus, the Hong Kong government has implemented the BEAM Plus
250 scheme in several public projects such as EMSD headquarters, Science Park, and the Hong

251 Kong Children Hospital. It is announced that any new public building meeting at least one of
252 the three criteria has to achieve gold rating or above i.e. building must 1) be developed by the
253 Housing Authority, 2) exceed 10,000 square meters in covered area, and 3) exceed 5000 square
254 meters of central air conditioning (Hong Kong Environmental Bureau 2015).

255 Further, BEAM Plus certification, provisional, and final assessment, regardless of the ratings,
256 is the prerequisite of 10% gross floor area (GFA) concessions, which implies an exemption of
257 “green features and non-mandatory plant rooms and services” from GFA calculation (Hong
258 Kong Building Department, 2011, p.1). Apart from that, Electrical and Mechanical Services
259 Department has introduced an incentive for the construction companies (i.e. to be eligible to
260 apply for a 1) 100% profit tax deduction in the year of purchase for the capital expenditure
261 incurred on the provision of purchasing eligible machinery; and 2) 20% profit tax deduction
262 for the capital expenditure incurred on the construction of eligible installations to be provided
263 in each of the five consecutive years starting from the year of acquisition) if their project attains
264 final bronze grading or satisfactory performance in the ‘Energy Use category’ of BEAM Plus
265 (EMSD 2018; IRD 2018). With current government endorsements and BEAM Plus conditions,
266 it is crucial to increase both the participation and success cases under this scheme. The current
267 study has explored and compared different policies in other countries that would be the
268 fundamental outline for strategies recommendations to expand the use of BEAM Plus in Hong
269 Kong. Table 6 summarized the measures undertaking in Hong Kong, the UK, the USA, Japan,
270 and Singapore. USA has the most endorsements to motivate the usage of LEED, which reflects
271 the active engagement from the local governments. These endorsements are categorized into
272 three groups: incentives (A1), finance (A2), and building space (A3).

273 Incentives: A1 includes M1) incentives given by the government through tax reduction,
274 exemptions, and credits; M2) the assistance rendered by the government or external
275 organization to pay a percentage of assessment fees; and M3) refunds made by the government

276 (HKGBC 2019; Geng et al. 2012; Keller 2012; USGBC 2012; Institute of Building
277 Environment and Energy Conservation 2014; Wong and Abe 2014; Sasatani et al. 2015; BCA
278 2013).

279 Finance: A2 entails the M4) provision of funding by the government organization for
280 installation of green building features; M5) availability of loan to finance the construction of
281 certified green buildings; and M6) availability of better interest rate for buyers that are
282 interested in buying a property with green ratings (BRE 2018; (HKGBC 2019; Geng et al.
283 2012; Keller 2012; USGBC 2012; Institute of Building Environment and Energy Conservation
284 2014; Wong and Abe 2014; Sasatani et al. 2015; BCA 2013).

285 Building space: A3 includes M7) Exemption of certain floor area in the gross floor area
286 calculation; and M8) the allowance of extra stories on top of the maximum allowable height
287 ((HKGBC 2019; Geng et al. 2012; Keller 2012; USGBC 2012; Institute of Building
288 Environment and Energy Conservation 2014; Wong and Abe 2014; Sasatani et al. 2015; BCA
289 2013).

290 [Insert table 6]

291 **3. RESEARCH METHODOLOGY**

292 This study has employed a mixed research approach using both quantitative and qualitative
293 methods (figure 2). First, a brief overview of BEAM Plus history and implementation in Hong
294 Kong was conducted. Second, different green building assessment schemes and policies were
295 compared to identify the differences in assessment, weighing criteria, and costs. Third, a
296 thorough literature review was carried out to determine the potential motivating policies and
297 challenges to the implementation of BEAM Plus in Hong Kong. Fourth, an expert questionnaire
298 survey was conducted to reveal the importance of motivating policies and challenging factors.
299 Fifth, the questionnaire responses were analyzed using the Analytical hierarchy process (AHP).

300 Sixth, beyond the quantitative results, semi-structured interviews of experts were conducted to
301 provide explanations of their responses. This verbatim data was analyzed and integrated with
302 the survey findings in the discussion section.

303 [Insert figure 2]

304 **4. DATA COLLECTION**

305 **4.1. Questionnaire survey**

306 A questionnaire survey was conducted to find the relative importance within each group of
307 challenges and policies. The questionnaire survey composed of three sections. Section one
308 included multiple-choice questions regarding the respondents' background information, views
309 on BEAM Plus, and the reasons for implementation. Section two illustrated the analytical
310 hierarchy structure with descriptions of different groups of challenges and policies. Following
311 the structure (given in figure 4 and 5), a pairwise comparison of sub-criteria under the same
312 group (i.e. Comparison of E1 to E2, E1 to E3 and E2 to E3 under the "F1-time and cost") and
313 comparison between groups in the same hierarchy level (i.e. Comparison of F1 to F2, F1 to F3
314 and F2 to F3) was created. Respondents were then required to rate the pairs on an AHP
315 judgement scale of 1-9 [1=equal importance; 3=moderate importance; 5=strong importance;
316 7=very strong importance; 9=absolute importance; 2,4,6,8=intermediate values between two
317 adjacent values). Figures 3 and 4 show the AHP hierarchal structure for this study.

318 [Insert figure 3]

319 [Insert figure 4]

320 For the questionnaire, "convenient sampling" was applied i.e. respondents were selected based
321 on their accessibility and willingness to participate. Both web-based online and paper formats
322 were used to carry out the survey depending upon the convenience of the respondents. The
323 questionnaire was administered to individuals working in the Hong Kong construction industry

324 including contractor, consultant, client, supplier company.

325 Besides, using “purposive sampling” (Tariq and Zhang 2020), six experts, who possessed the
326 extensive working experience and had frequent exposure to green building projects, were
327 invited to complete were interviewed after the completion of questionnaires.

328

329 **4.2.Experts’ interviews**

330 Although questionnaires can include both closed and open questions, it is deemed to be short,
331 simple, and the use of open questions should only consist of one to three sentences for a higher
332 response rate (Rowley 2014; Opoku et al. 2019). With the constraints of a questionnaire,
333 interview as a qualitative research tool could provide in-depth data, revealing more
334 interpretations of the challenges and possible policy in promoting BEAM Plus applications.
335 Semi-structured interviews were adopted in this research. According to Flick (2009),
336 interviews are carried out to ask questions facilitating the reconstruction of the subjective
337 theory, the knowledge, and the experience possessed by the interviewees. It is usually
338 characterized to have a set of fixed questions such as the open questions, hypotheses-directed
339 questions, and confrontational questions created based on new perspectives developed during
340 the interview. This operation is more flexible and open but also relies heavily on the
341 researchers’ ability to discern issues arise from immediate responses. The experts were asked
342 4 main questions: 1) your comments on the application of BEAM Plus in Hong Kong? 2) why
343 you have given one particular group of challenges and policies higher scores than the other
344 groups? 3) Which policies do you believe are more/less applicable to Hong Kong? and 4) GFA
345 concession, assessment fee subsidy or financial support on green technology are the main
346 policies in Hong Kong currently, please comment.

347

348 **5. RESULTS AND ANALYSIS**

349 **5.1.Challenges and Policies Prioritization using Analytical Hierarchy Process (AHP)**

350 The challenges and motivational policies found through a literature review were validated
351 through a questionnaire survey using Analytical Hierarchy Process (AHP). AHP helps to sort
352 elements into smaller groups for easier analysis. AHP is operated in a pairwise comparison
353 considering the human capacity to evaluate the importance of one factor over alternatives to
354 provide reliable results. Franek and Kresta (2014) reported that humans are better in estimating
355 one opinion (factor) over no more than two other opinions (factors). Therefore, this study made
356 use of the 3x3 matrix for comparison. A questionnaire commonly requires a large sample size
357 to be appropriate for generalization from a population of interest on a certain topic (Ponto
358 2015). AHP, on the other hand, does not need a large sample size to be representative and many
359 researchers considered sample size larger than 30 sufficient to be used for analysis (Darko et
360 al. 2019). Fig. 5 summarized the procedures of AHP practice.

361 [Insert figure 5]

362 **5.2.Respondents background**

363 From 2nd October 2019 to 5th November 2019, 78 questionnaires were delivered and 47
364 completed questionnaires were returned. After the AHP consistency test, 5 invalid responses
365 were discarded. Only 42 responses were used for data analysis making an effective response
366 rate of 54%. Table 7 shows that that 6 (14%), 12 (29%), 14 (33%), 3 (7%) were from clients,
367 consultants, contractors, and suppliers, respectively. The remaining 7 (17%) fell under the
368 category of others including BEAM reviewers, assessors, and sustainable building researchers.
369 Most of the respondents had more than 5 years of experience and around 30% had more than
370 15 years of industrial experience. 93% of the respondents had heard about BEAM Plus and
371 55% were involved in BEAM Plus registered projects. 79% of respondents considered BEAM

372 Plus effective in promoting green building development.

373 [Insert table 7]

374 **5.3.Consistency Ratio of AHP Questions**

375 Table 8 shows that the consistent ratio of each pairwise comparison for both challenging factors
376 and policy. Following Saaty and Vargas (2012), the threshold limit for consistency ratio was
377 taken as 0.1. The consistent ratio of the aforementioned five respondents (i.e. 13, 17, 19, 31,
378 37) was larger than the threshold value and perceived as invalid. Therefore, their results were
379 removed from the final analysis.

380 [Insert table 8]

381 **5.4.The priority of challenging factors and Policies**

382 By calculating the geometric mean of the priority judgment of respondents, the global priority
383 was determined. Table 9 shows the priority value of each factor in descending order, the
384 priority ranges from 0.144 to 0.029 with initial cost as the most critical factor. Table 10 shows
385 the priority value of each endorsement in descending orders, the priority value ranges from
386 0.278 to 0.030. Gross floor area concession was the most critical policy with priority value
387 significantly higher than the other measures.

388 [Insert table 9]

389 [Insert table 10]

390 **6. DISCUSSIONS**

391 Discussions on challenges and policies are made using excerpts from literature and interviews.
392 6 experts having vast experience in green buildings and BEAM Plus were interviewed; details
393 of interviewees are shown in table 11.

394 [Insert table 11]

395 **6.1. Theme 1: Popularity**

396 In the questionnaire survey, the respondents were asked background questions such as ‘have
397 you heard of BEAM Plus ever?’ ‘did your workplace implemented BEAM Plus?’ and ‘do you
398 consider BEAM Plus effective in promoting green building development?’. The survey data
399 indicated a significant number of participants recognized the existence of BEAM Plus although
400 not everyone was involved in BEAM Plus registered projects. The interviewees (R1 through
401 R6) were also asked to comment on the application of BEAM Plus in Hong Kong. The
402 interviewees ascertained the high reputation of BEAM Plus in Hong Kong but mentioned that,
403 in practice, it is somewhat limited to new buildings only.

404 **6.2. Theme 2: Challenging factors**

405 Challenging factors mean the hurdles prohibiting the stakeholders, mostly developers, in
406 applying BEAM Plus or accomplishing a higher rating. Understanding the obstacles of
407 implementation is a primary step to recommend suitable solutions through policy
408 establishment.

409 **6.2.1. Cost and time**

410 Cost and time is undoubtedly the most critical factor in business operations. Both these factors
411 should be considered simultaneously because an extended time on project completion could
412 contribute to the demand for additional resources such as an increase in labor, administrative
413 staff, and equipment, thus lowering the profit margin (Beirise & Overman, 2009). The cost
414 premium of a green building is between 5% and 10% with an over-budget of 4.5% to 7% caused
415 by the higher occurrence of project delays and insufficient green building management skills
416 (Hwang et al. 2017). Interviewees (R1 through R6) have pointed out that BEAM Plus requires
417 longer design time, pre-occupation applications, and higher project cost, thus validating the
418 highest priority value of this factor from the questionnaire survey. This agrees with the other
419 assessment schemes as they also require additional cost and time (Table 3). For instance, Ross

420 et al (2007) found that buildings that are certified under the LEED scheme would incur a 10%
421 extra cost.

422 **6.2.2. Lifecycle cost reduction**

423 A considerable number of researchers have published research papers that showed that the
424 adoption of green building assessment tools has caused lifecycle cost reduction. For example,
425 Tjenggoro and Prasetyo (2018) compared green building procurement with traditional
426 procurement and found 63% and 53% reduction in water and electricity usage, respectively.
427 Interviewee R1 also considered green building a valuable investment. On the contrary,
428 interviewee R3 suggested that the exact reduction of lifecycle cost is less foreseeable within
429 the industry. Despite the energy reduction, the lifecycle cost is less likely a concern for
430 developers as reflected from its fifth ranking. Some interviewees put forward that the energy
431 price is relatively low in Hong Kong which is not a distinctive benefit towards encouraging
432 developers in adopting green building assessment tools. Therefore, the impact of the lifecycle
433 cost in BEAM Plus implementation is not fixed but varies among different owners and
434 company operational models. Besides, the saving of energy cost might not be the primary goal
435 of a developer constructing a building for merchandise purposes, instead of personal use.

436 **6.2.3. Lack of green building experts**

437 Although numerous literature has pointed out that insufficient green expertise is one of the
438 most critical barriers in the adoption of green building assessment tools. It is no doubt that
439 availability of green building experts would facilitate the implementation of green building
440 assessment tools as they can create awareness about the tools, engage in dialogue with the
441 government or organizations for the provision of funding for the installation of green building
442 features, etc.. For example, Fan et al. (2015) suggested that project success and developments
443 are hindered by the lack of availability of green building experts. Pham et al. (2019) also
444 suggested that the incompetence of managers is the top obstacle to executing a sustainable

445 construction in Vietnam. These studies were carried in different countries and might not be
446 applicable in Hong Kong. The lack of green building experts is the least challenging factor
447 from the interviewees' (R1 through R6) point of view and the same was reflected in the
448 questionnaire survey results.

449 **6.3. Theme 3: Policies**

450 **6.3.1. Extra building space**

451 Additional building space can be classified into two aspects, GFA concession, and extra height
452 allowance. Extra space is the most attractive incentive; with GFA concession being more
453 critical than height allowance. According to Chau et al. (2018), GFA concession is the major
454 reason for developers to enact BEAM Plus. Statistically, after the introduction of GFA
455 concession, the registered projects increased from 225 in 2011 to 641 in 2015. Both the survey
456 data and the positive attitudes from interviewees (R1 through R6) towards GFA concessions
457 further confirmed the effectiveness of this policy in promoting green building assessment
458 scheme.

459 However, the operation of granting GFA concession in BEAM Plus only requires projects to
460 be registered without any ratings. This creates a loophole and a reason for developers to put
461 less effort into optimizing the building performance. Singapore, on the other hand, grants 2%
462 concession for Green mark platinum and 1% for gold plus (HK Building Department, 2019).
463 GFA concession in Hong Kong is more flexible with the rationale to encourage the adoption
464 of green features anyway while Singapore government targets at obtaining a higher rating for
465 green buildings. It contributed to a large increase in projects with Gold plus and Platinum, from
466 82 in 2009 to 125 in 2012. LEED has a similar mechanism, 0.5, 0.35, 0.25% concession for
467 LEED platinum, gold, and silver respectively (HK Building Department, 2019). Learning from
468 the success of Green Mark in Singapore and LEED in the USA, it is possible for Hong Kong
469 to carry out a similar mechanism, the benchmark for the percentage of area to be awarded,

470 however, requires further investigation.

471 **6.3.2. Tax reductions and rebates**

472 Tax reductions and rebates are the third and fourth attractive measures. Limited research has
473 justified the differences in attractiveness between them. It is noteworthy that both of these
474 measures are monetary incentives; the differences in the number of capital received highly
475 depend on the types of tax such as profit tax, property tax, and the amount of tax reduction and
476 rebates granted. It is observed that the difference in priority value between the two measures
477 was negligible i.e. 1.3% from the questionnaire results conducted in Hong Kong. Therefore, it
478 is reasonable to suggest that both have similar impacts on enhancing BEAM Plus engagements.
479 4 (R1 through R4) out of 6 interviewees viewed tax and rebates as the second most attractive
480 measures after extra space because tax reduction is conducted continuously while the
481 assessment fee is the one-off payment with a lower sum. In the same way, more than 10 states
482 in the United States offer tax relief and rebates for employing LEED certification (Matisoff et
483 al, 2016). Tax incentives have also been found to be a positive measure that encourages the
484 adoption of BREEAM (BRE, 2014).

485 **6.3.3. Financial support on green technology adaptations**

486 Most countries provide financial assistance for the installations of green innovations. More
487 than 5 states in the United States of America provide grants for LEED certification with certain
488 requirements (Matisoff et al, 2016). However, the interviewees (R1 through R6) pointed out
489 that the cost of green features is relatively low. Subsidies can be effective towards small
490 developers or existing building renovations but for new building construction and major
491 developers, the financial support would less likely be a consideration.

492 **6.3.4. The green loan from a lender**

493 The green loan from a lender attained the second-lowest ranking which is consistent with
494 Hwang et al. (2017). This measure is conducted by all five discussed countries, however, it

495 remains in the embryo stage in Hong Kong. The green loan is defined as a loan exclusively
496 available to finance green projects. Green loan is an effective policy adopted in the United
497 Kingdom, the United States of America, and Singapore and found to have a positive impact on
498 the adoption of BREEAM, LEED, and Green Mark, respectively, in these countries.
499 Interviewees again pointed out the fact that such an incentive might be beneficial for small
500 developers. Banks and financial experts usually do not have sufficient knowledge and
501 awareness of green buildings (Shan et al. 2017), which could hinder the green loan
502 development in Hong Kong. Some interviewees (R1 and R3) mentioned that the construction
503 industry should not interfere in the bank's decisions.

504 *6.3.5. Assessment fee subsidy*

505 The assessment fee for BEAM Plus is higher than other schemes such as BREEAM and
506 CASBEE and costs HK\$69,000 to HK\$687,000 (1HK\$=0.13US\$) for the registration fee and
507 HK\$154,400 to HK\$3,044,300 for a certification fee. Most interviewees (R2, R4, R5, and R6)
508 have consensus that the effectiveness of subsidizing assessment fees is questionable because
509 the registration or certification fee accounts for a small proportion of expenses in entire project
510 cost, and it is unlikely a concern from the developers' perspective. Interviewees agreed that the
511 assessment fee subsidy would be a favorable measure but expects it to have a minor
512 contribution in popularizing BEAM Plus applications.

513 **7. CONCLUSION**

514 This paper assessed the challenges and policies for the implementation of BEAM Plus in the
515 Hong Kong construction and building industry. This paper firstly provided an overview of
516 BEAM Plus and then compared it with other assessment schemes i.e. BREEAM, LEED,
517 CASBEE, and Green Mark in terms of background information, assessing area, weightings,
518 and certification method to evaluate the differences and similarities. The challenges and
519 policies were then identified from the literature and worldwide practices. To validate the effects

520 of challenging factors and policies, a questionnaire survey and semi-structured expert
521 interviews within local construction industry stakeholders were conducted. The questionnaire
522 was analyzed using an analytical hierarchy process (AHP). Interviews were conducted to
523 further validate the results of the AHP analysis. The AHP results correlate with most of the
524 responses from the interviews. From the result, it was found that the most critical challenges
525 were initial cost and longer implementation time, while lack of green building experts had the
526 least impact towards BEAM Plus implementation. Among the policies, the most attractive
527 measures were gross floor area (GFA) concession and extra height allowance, and the least
528 attractive policy was an assessment fee subsidy. Although the interview responses mostly
529 aligned with the results obtained from the questionnaire analysis, two key issues were put
530 forward. Firstly, modifications are needed in current policies including tightening the GFA
531 requirement. Secondly, alongside the market driving force and regulatory requirement,
532 government incentives are required for the long-term development of green buildings.

533 Hong Kong government has attempted to build an environmentally friendly society including
534 the establishment of sustainable development funds, recycling funds, and so on but the limited
535 focus was put in the past on the construction and building industry which accounts for the
536 majority of carbon emissions. Financial motivation can lead to burdens on the government
537 budget, therefore it requires a thorough examination and evidence on the foreseeable effects of
538 the specific measures. The results of this study showed the stakeholders' views on the
539 attractiveness of each measure, therefore allowing the government to better allocate the
540 resources and consider the value of the proposed methods. This study has also brought insights
541 to the policy practitioners on the deficiency of current measures including the GFA concession
542 and suggests possible improvements by learning from other countries. Moreover, this study has
543 summarized different schemes and policies in the world which could provide a clear overview
544 of the development of green building assessments to facilitate future research.

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Table 1. General information about different green assessment schemes

| | BEAM Plus | BREEAM | LEED | CASBEE | Green Mark |
|-------------------|--|---|---|---|---|
| Name | Building Environmental Assessment Method Plus | Building Research Establishment Environmental Assessment Method | Leadership in Energy and Environmental Design | Comprehensive Assessment System for Built Environment Efficiency | Green Mark |
| Year | 2010 (HK-BEAM, 1996) | 1990 | 1995 | 2002 | 2005 |
| Location | Hong Kong | UK | USA | Japan | Singapore |
| Focusing location | Mainly Hong Kong | Global | Global | Mainly Japan | Mainly Singapore |
| Operator | BEAM Society Limited (BSL) | BRE Global Limited | US Green Building Council | Institute for Building, Environment, and Energy Consumption | Building and Construction Authority |
| Types of Schemes | <ul style="list-style-type: none"> • New Buildings • Existing Buildings • Interiors • Neighborhood | <ul style="list-style-type: none"> • New Constructions • Infrastructure • In-Use • Refurbishment • Communities | <ul style="list-style-type: none"> • Building Design and Construction • Interior Design and Construction • Operations and Maintenance • Residential • City and Communities | <ul style="list-style-type: none"> • New Construction • Pre-Design • Existing Buildings • Renovations | <ul style="list-style-type: none"> • New Buildings • Existing Buildings • User-Centric • Beyond Buildings |

Table 2. Scoring and weightings of different green assessment schemes

| | BEAM Plus New Building v2.0 (HKGBC, 2019) | BREEAM UK New Construction Non-domestic Buildings (BRE, 2018) | LEED v4.1 Building Design and Construction (USGBC, 2019) | CASBEE for Building (New Construction) (IBEEC, 2014) | Green Mark for Non-Residential Buildings NRB: 2015 (BCA, 2015) |
|----------------------------|---|--|--|--|--|
| Awarding criteria | Platinum: ≥75%; Gold: ≥65%; Silver: ≥ 55%; Bronze: ≥40%. Min. 20% for each Category | Outstanding: ≥85%; Excellent: ≥70%; Very good: ≥55%; Good: ≥45%; Pass: ≥30%. | Platinum: ≥80 pts (73%); Gold: ≥60 pts (55%); Silver: ≥50 pts (46%); Certified: ≥40 pts (37%). 110 points in total | Excellent S: BEE ≥3, Q ≥50; Very good A: BEE =1.5-3 or BEE ≥3, Q ≤50; Good B+: BEE =1-1.5; Fairly poor B-: BEE =0.5-1; Poor C: BEE <0.5. | Platinum: ≥70%; Gold Plus: ≥60%; Gold: >50% |
| Weighing method | Weighted scoring | Weighted scoring | Additive scoring | Formula BEE=Q/L | Additive scoring |
| Weighing (%) | | | | | |
| Management | 14.32 | 15.36 | 10.9 | BEE=Q/I | 5.71 |
| Site | 8.13 | 4.64 | 7.27 | | 5.36 |
| Transportation | 1.5 | 10 | 7.27 | | 1.07 |
| Pollution | 2.25 | 4.67 | 1.82 | | 0 |
| Ecology | 6 | 12.88 | 6.36 | | 0.71 |
| Waste | 1.93 | 4.36 | 1.82 | | 2.86 |
| Material use | 6.43 | 7.5 | 5.45 | | 12.86 |
| Energy use | 29 | 16 | 23.64 | | 32.14 |
| Water use | 7 | 7 | 10 | | 5.71 |
| Indoor environment quality | 22 | 13 | 14.55 | | 17.14 |
| Social | 0.72 | 2.45 | 5.45 | | 3.57 |
| Economics | 0.72 | 2.1 | 0 | | 1.43 |
| Innovations | Additional 10 | Additional 10 | 5.45 | | 11.43 |
| Total | 100 | 100 | 100 | | 100 |
| Highest weighing | 2 nd highest weighing | 3 rd highest weighing | | | |

BREEAM: Weighting composition varies for different assessment types including fully-fitted, simple building, shell, and core, shell only. Fully fitted is considered in this table

LEED: Weighting composition varies for different building types including new construction, core, and shell, school, retail, data centers, warehouses and distribution centers, hospitality, healthcare. New construction is considered in this table

CASBEE: BEE-Built Environment Efficiency, Q-Environmental Quality of Building

$$BEE = \frac{Q}{L} = \frac{25 \times (\text{score for environmental quality} - 1)}{25 \times (5 - \text{score for environmental load reduction})}$$

Table 3. Assessment procedure, certifications duration, and cost of different schemes

| Scheme | Assessment procedure | Certification duration | Registration fee HKD | Certification fee HKD |
|-----------------------------------|---|---|---|-----------------------|
| BEAM Plus | Both provisional and final assessments are compulsory for new buildings | (90 days limit after registration) | \$69000-\$687000 | \$154400-\$3044300 |
| | 1. Online registration | | | |
| | 2. Registration fee payment made to HKGBC | 14 days | | |
| | 3. Acknowledgment letter on completion of the project registration issued by HKGBC registration issued by HKGBC | | | |
| | 4. Return signed assessment agreement to BSL | | | |
| | 5. Assessment fee payment made to BSL | (90 days limit after agreement) | | |
| | 6. Commencement of project assessment | (2 years limit after the letter) | | |
| | 7. Project assessment, comments, and review | 45 days | | |
| 8. Issue of certification (PA/FA) | 14 days | <2500m ² to 600000m ² | <2500m ² to 600000m ² | |
| BREEAM | 1. Pre-assessment stage | | \$2500 | \$7200-\$37000 |
| | 2. Registration | | | |
| | 3. Design stage assessment | | | |
| | 4. Interim certification (Optional) | | | |
| | 5. Construction stage assessment | | | |
| | 6. Final/post construction certification | 90-180 days | | |
| LEED | 1. Registration | | \$9600-\$12000 | \$22800-\$300000 |
| | 2. Apply for LEED certification | | | |
| | 3. Certification review fee payment made | | | |
| | 4. Standard review: Preliminary review OR | 20-25 days | | |
| | 5. Split review: | 20-25 days | | |
| | Design phase preliminary review | | | |
| | Post-construction preliminary review | 20-25 days | | |
| 6. Certification | | Varies from area <250000ft ² to >750000ft ² | | |
| CASBEE | No details provided | No details provided | No details provided | \$28850-\$72150 |
| Green Mark | 1. Application | No details provided | Not applicable | \$114980-\$275950 |
| | 2. Pre-assessment | | | |
| | 3. Actual assessment | | | |
| | 4. Site verification upon project completion | | | |
| | 5. Certification | | | |

Table 4. Assessing areas of different green assessment schemes

| Assessing Areas | BEAM Plus | BREEAM | LEED | CASBEE | Green Mark |
|---|-----------|--------|------|--------|------------|
| Management | | | | | |
| Integrated design process | • | • | • | | • |
| Lifecycle assessment | • | • | • | | |
| Green experts engagement | • | • | • | | • |
| Responsible and environmental construction practices | • | • | | | |
| Construction management | • | • | | | |
| Environmental management | • | • | | | |
| Commissioning and handover | • | • | • | • | • |
| Aftercare and facility management | • | • | | • | • |
| Site | | | | | |
| Landscaping | • | | | • | • |
| Neighborhood amenities | • | | | • | |
| Sustainable urbanism | • | | | | • |
| Reuse preoccupied and contaminated land | | • | • | | |
| Project risk assessments | | • | | | |
| Site assessment | | • | • | | |
| Site protection | • | | • | | |
| Open space | | • | • | | |
| Outdoor thermal comfort | • | | | • | |
| Surrounding diversity and diverse use within the construction | | | • | | |
| Transportation | | | | | |
| Travel plan | | • | • | | |
| Sustainable transport options | • | • | • | • | • |
| Transport accessibility | • | • | • | • | |
| Pollution | | | | | |
| Noise control | • | • | | • | |
| Light control | • | • | • | • | |
| Air pollution | | • | | • | |
| Refrigerants impact | • | • | • | • | • |
| Ecology | | | | | |
| Biodiversity | • | • | • | • | |
| Heat island effect | • | | • | • | • |
| Wind, sand effects | • | | | • | |
| Ecology assessments and management | | • | | • | |
| Ecology value enhancement | | • | | | |
| Flooding, stormwater, rainfall management | • | | • | | |
| Design for climate change adaptations | • | • | | • | |
| Waste | | | | | |
| Efficient waste handling facility | • | • | | | |
| Reuse existing building and elements | • | | | • | |
| Waste reduction | • | • | • | • | • |
| Waste management | | • | • | | |
| Material use | | | | | |
| Standardized design | • | | | | |
| Use of sustainable materials | • | • | • | • | • |
| Use of regional materials | • | | | | |
| Use of recycled materials | • | • | | • | |
| Material and building protection | • | • | | | |
| Responsible material sourcing | | • | • | | |
| Material usage reductions | | • | | • | |
| Low carbon embodied materials | • | | | • | • |

| Energy use | | | | | |
|---|---|---|---|---|---|
| Low carbon, passive design | • | • | | | |
| Energy monitoring and management system | • | • | • | | |
| Overall energy performance | • | • | • | • | • |
| Renewable energy | • | • | • | • | • |
| Energy-efficient appliances | • | • | • | • | • |
| Optimized facilities performance | • | • | • | | |
| Laboratory system | | • | | | |
| Greenhouse gas emission | • | • | • | | |
| Grid harmonization | | | • | | |
| Water use | | | | | |
| Water monitoring | • | • | • | • | • |
| Water-saving | • | • | • | • | • |
| Water handling system | • | • | • | | • |
| Water recycling | • | | | • | • |
| Indoor environment quality | | | | | |
| Ventilation | • | • | • | | • |
| Occupants well being | • | | | | • |
| Views | • | • | • | | |
| Acoustics | • | • | • | • | • |
| IAQ | • | • | • | • | • |
| Thermal comfort | • | • | • | • | • |
| Artificial lighting | • | • | • | • | • |
| Nature lighting | • | • | • | • | • |
| Glare control | | • | | • | |
| Indoor contamination | • | | | | • |
| Service life of building component | | | | • | |
| Future change of building usage (spatial margin, floor load margin) | | • | | • | |
| System renewal | | | | • | |
| Construction product emission | | • | • | | • |
| Social | | | | | |
| Regional priority | | | • | | |
| Continuation of local character | | | | • | |
| Local contributions | | • | | • | |
| Security | | • | | • | • |
| User participation | • | • | | | |
| Social sustainability | | | | | • |
| Economics | | | | | |
| Lifecycle cost | • | • | | | |
| Capital cost reporting | | • | | | |
| Cost-efficient design | | | | | • |
| Innovations | | | | | |
| Exemplary performance | | • | • | | • |
| Complementary certification | • | | | | • |
| Unaddressed practices, technology | • | | • | | • |

Table 5. Challenging factors identified in the literature

| Challenging factors | Literature* |
|---|----------------------------------|
| Group F1. Time and Cost | |
| E1 High initial costs | [1, 2, 3, 4, 5, 6, 7, 8, 11, 12] |
| E2 Longer implementation time | [5, 6, 8, 11, 9] |
| E3 Complex procedure and requirements | [5, 6, 8, 10] |
| Group F2. Unforeseeable benefits | |
| E4 Reduced lifecycle cost | [3, 4, 5] |
| E5 Sustainable environment | [13, 14, 15] |
| E6 Improved social life | [14, 16, 17] |
| Group F3. Social and managerial issues | |
| E7 Low public awareness | [6, 18, 19] |
| E8 Insufficient government incentives | [2, 5, 6, 12, 20] |
| E9 Lack of green building experts | [2, 7, 8] |

* [1] Parker and BSRIA (2012); [2] CIC and HKGBC (2017); [3] Kajikawa et al. (2011); [4] Hui et al. (2017); [5] Geng et al. (2012); [6] Wong and Abe (2014); [7] Sasatani et al. (2015); [8] Hwang and Tan (2012); [9] Leong et al. (2013); [10] Chen et al. (2017); [11] Yang et al. (2016); [12] Qian et al. (2015); [13] Bond and Perrett (2012); [14] Matisoff et al. (2014); [15] Suzer (2015); [16] Lee (2016); [17] Thatcher and Milner (2016); [18] Bozovic-Stamenovic (2016); [19] Agyekum et al. (2019); [20] Lutzkendorf et al. (2013).

Table 6. Government or organizational endorsements in different countries

| Government/organizational Endorsements | Hong Kong | UK | USA | Japan | Singapore |
|--|-----------|----|-----|-------|-----------|
| Group A1. Incentives | | | | | |
| M1. Tax incentives | • | | • | • | |
| M2. Assessment fee subsidy | • | | | | |
| M3. Grant and rebates | | | • | • | • |
| Group A2. Finance | | | | | |
| M4. Financial support on green technology adaption | • | | • | | • |
| M5. Green loan from lenders | • | • | • | • | • |
| M6. Better interest rate for green building buyers | | • | • | • | |
| Group A3. Building space | | | | | |
| M7. Gross floor area concession | • | | • | • | • |
| M8. Extra height allowance | | | • | | |

Table 7. Background information of respondents

| Parameters | Percentages |
|--|-------------|
| Occupation | |
| Client | 14 |
| Consultant | 29 |
| Contractor | 33 |
| Suppliers | 7 |
| Others | 17 |
| Years of experience | |
| Above 20 | 17 |
| 16-20 | 12 |
| 11-15 | 10 |
| 6-10 | 21 |
| Less than 5 | 40 |
| Have you ever heard of BEAM Plus? | |
| Yes | 93 |
| No | 7 |
| BEAM Plus implemented in your workspace? | |
| Yes | 55 |
| No | 45 |
| Do You Consider Beam Plus Effective In Promoting Green Building Developments? | |
| Yes | 79 |
| No | 9 |
| I don't know | 12 |

Table 8. Consistency ratio of all survey responses

| ID | Challenges | | | | Policies | | | |
|----|----------------------------|----------------------------|----------------------------|----------------------------|----------------------------|----------------------------|----------------------------|------------------------|
| | F1, F2, F3 (3x3 matrix) | E1, E2, E3 (3x3 matrix) | E4, E5, E6 (3x3 matrix) | E7, E8, E9 (3x3 matrix) | A1, A2, A3 (3x3 matrix) | M1, M2, M3 (3x3 matrix) | M4, M5, M6 (3x3 matrix) | M7, M8 (2x2 matrix) |
| 1 | 0.002 | 0.028 | 0.028 | 0.016 | 0 | 0 | 0.005 | 0 |
| 2 | 0 | 0 | 0.046 | 0.005 | 0.032 | 0.019 | 0.016 | 0 |
| 3 | 0.025 | 0 | 0 | 0.046 | 0.038 | 0.008 | 0.046 | 0 |
| 4 | 0.008 | 0.028 | 0.012 | 0.025 | 0.046 | 0.046 | 0.003 | 0 |
| 5 | 0.006 | 0 | 0.003 | 0.046 | 0.046 | 0.046 | 0.028 | 0 |
| 6 | 0.033 | 0.046 | 0.001 | 0.002 | 0.016 | 0.046 | 0.019 | 0 |
| 7 | 0 | 0.046 | 0 | 0 | 0.046 | 0.046 | 0.046 | 0 |
| 8 | 0.006 | 0 | 0 | 0 | 0.046 | 0 | 0.046 | 0 |
| 9 | 0.008 | 0.008 | 0.008 | 0.046 | 0.002 | 0.046 | 0.016 | 0 |
| 10 | 0 | 0.046 | 0.046 | 0.046 | 0.008 | 0.046 | 0.046 | 0 |
| 11 | 0.046 | 0 | 0 | 0.046 | 0.046 | 0.016 | 0.046 | 0 |
| 12 | 0.008 | 0.016 | 0 | 0.046 | 0 | 0.025 | 0.046 | 0 |
| 13 | 1.232 | 0.016 | 0.046 | 0.483 | 0.317 | 0.967 | 0.025 | 0 |
| 14 | 0.03 | 0 | 0.008 | 0.028 | 0 | 0.046 | 0 | 0 |
| 15 | 0.016 | 0.005 | 0.001 | 0.038 | 0.046 | 0.002 | 0.046 | 0 |
| 16 | 0.038 | 0.016 | 0.046 | 0.008 | 0.046 | 0.046 | 0.021 | 0 |
| 17 | 0.431 | 0 | 0.967 | 0.141 | 0.547 | 0.424 | 0.424 | 0 |
| 18 | 0 | 0 | 0 | 0 | 0.033 | 0.033 | 0 | 0 |
| 19 | 0 | 0 | 0 | 0.046 | 0.431 | 0.483 | 0.5 | 0 |
| 20 | 0.038 | 0.016 | 0.002 | 0.012 | 0.032 | 0.008 | 0.046 | 0 |
| 21 | 0.016 | 0.016 | 0.005 | 0.038 | 0.033 | 0.011 | 0.046 | 0 |
| 22 | 0.038 | 0.046 | 0.005 | 0.025 | 0.019 | 0.002 | 0.046 | 0 |
| 23 | 0 | 0 | 0.038 | 0 | 0.046 | 0.038 | 0.008 | 0 |
| 24 | 0.038 | 0 | 0.005 | 0.046 | 0.028 | 0.008 | 0.016 | 0 |
| 25 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 26 | 0.016 | 0.016 | 0.046 | 0.002 | 0 | 0.016 | 0 | 0 |
| 27 | 0.032 | 0.001 | 0 | 0.032 | 0.046 | 0.046 | 0.046 | 0 |
| 28 | 0.021 | 0.032 | 0 | 0.356 | 0.019 | 0.032 | 0 | 0 |
| 29 | 0.012 | 0 | 0.046 | 0.046 | 0 | 0.012 | 0.046 | 0 |
| 30 | 0.016 | 0.028 | 0.002 | 0.046 | 0.046 | 0.003 | 0.046 | 0 |
| 31 | 1.383 | 0.021 | 1.232 | 0.008 | 0.254 | 0.452 | 0.141 | 0 |
| 32 | 0.5 | 0 | 0 | 0.016 | 0.016 | 0 | 0.046 | 0 |
| 33 | 0.038 | 0.046 | 0.016 | 0.016 | 0.032 | 0.016 | 0.016 | 0 |
| 34 | 0.011 | 0.046 | 0.005 | 0.028 | 0.002 | 0.028 | 0.028 | 0 |
| 35 | 0.046 | 0.001 | 0 | 0.021 | 0.025 | 0.016 | 0.008 | 0 |
| 36 | 0.016 | 0.008 | 0 | 0.046 | 0 | 0 | 0.025 | 0 |
| 37 | 0.016 | 0.008 | 0 | 0.483 | 0.016 | 0.046 | 0 | 0 |
| 38 | 0 | 0.008 | 0.028 | 0.038 | 0.032 | 0 | 0.09 | 0 |
| 39 | 0 | 0.016 | 0.046 | 0.028 | 0.016 | 0.016 | 0.016 | 0 |
| 40 | 0.016 | 0.046 | 0.025 | 0.046 | 0.016 | 0.019 | 0.046 | 0 |
| 41 | 0.046 | 0.033 | 0.046 | 0.03 | 0.046 | 0.016 | 0.046 | 0 |
| 42 | 0.016 | 0.003 | 0.008 | 0.046 | 0.008 | 0 | 0 | 0 |
| 43 | 0 | 0 | 0.046 | 0.032 | 0.016 | 0.032 | 0.016 | 0 |
| 44 | 0 | 0 | 0 | 0.008 | 0.046 | 0.016 | 0 | 0 |
| 45 | 0.033 | 0 | 0 | 0.046 | 0.046 | 0.016 | 0 | 0 |
| 46 | 0.046 | 0 | 0 | 0.005 | 0 | 0 | 0.046 | 0 |
| 47 | 0.046 | 0 | 0 | 0.005 | 0 | 0.046 | 0.003 | 0 |

Table 9. The priority values of the challenging factors

| Challenging factors | Overall priority |
|---------------------------------------|------------------|
| E1 High initial costs | 0.144 |
| E2 Longer implementation time | 0.094 |
| E3 Complex procedure and requirements | 0.085 |
| E8 Insufficient government incentives | 0.079 |
| E4 Reduced lifecycle cost | 0.053 |
| E6 Sustainable environment | 0.050 |
| E7 Low public awareness | 0.049 |
| E6 Improved social life | 0.031 |
| E9 Lack of green building experts | 0.029 |

Table 10. The priority values of potential policies

| Policies | Overall priority |
|--|------------------|
| M7 Gross floor area concession | 0.278 |
| M8 Extra height allowance | 0.097 |
| M1 Tax reduction/incentives | 0.067 |
| M3 Grants and rebates | 0.054 |
| M6 Better interest rate for green building buyers | 0.045 |
| M4 Financial support on green technology adaptations | 0.043 |
| M5 Green loan from the lender | 0.035 |
| M2 Assessment fee subsidy | 0.030 |
| M7 Gross floor area concession | 0.278 |

Table 11. Experts details

| ID | Date | Type of company | Position | Years of experience | Interview duration |
|----|------------|--------------------------------------|--|---------------------|--------------------|
| R1 | 4/10/2019 | Assessment service vendor | General manager | 40 years | 1 hour |
| R2 | 16/10/2019 | Green building and energy consultant | Managing director | 33 years | 0.5 hour |
| R3 | 17/10/2019 | Architectural firm | Director of sustainable design | >20 years | 1 hour |
| R4 | 28/10/2019 | Green architectural firm | Founder, director of sustainable design | 16-18 years | 0.5 hour |
| R5 | 29/10/2019 | Contractor | Environmental engineer | 8 years | 0.5 hour |
| R6 | 31/10/2019 | Academia | Associate department head, green building assessment scheme technical reviewer | 34 years | 0.5 hour |

List of Figures

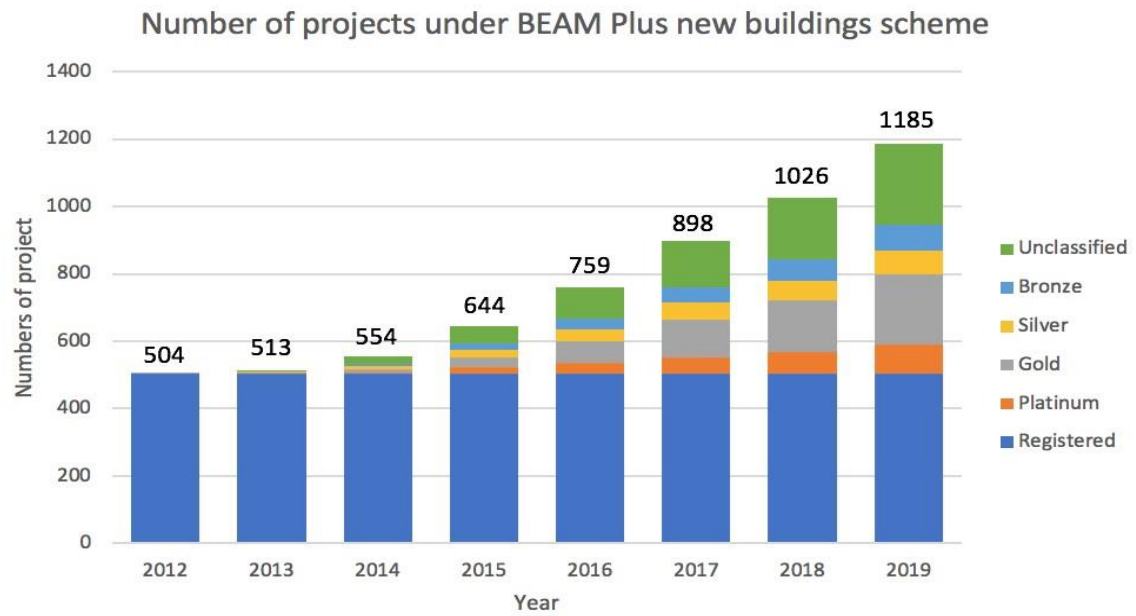


Fig. 1 Number of projects under BEAM Plus new buildings scheme

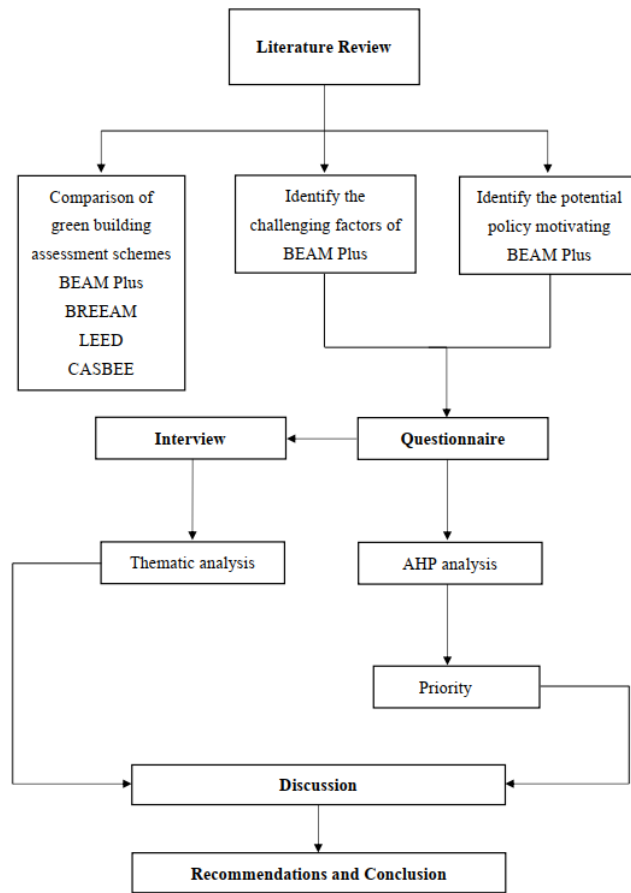


Fig. 2 Research framework

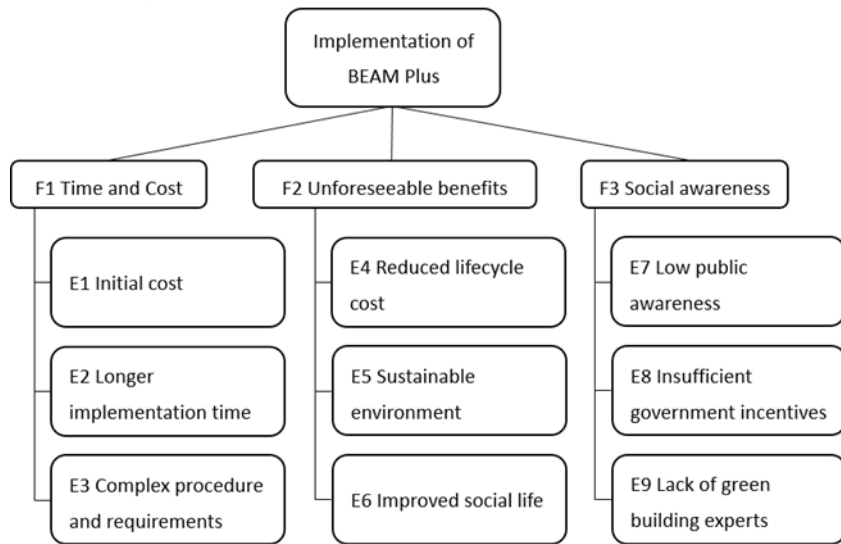


Fig. 3 Challenges to the implementation of BEAM Plus in Hong Kong

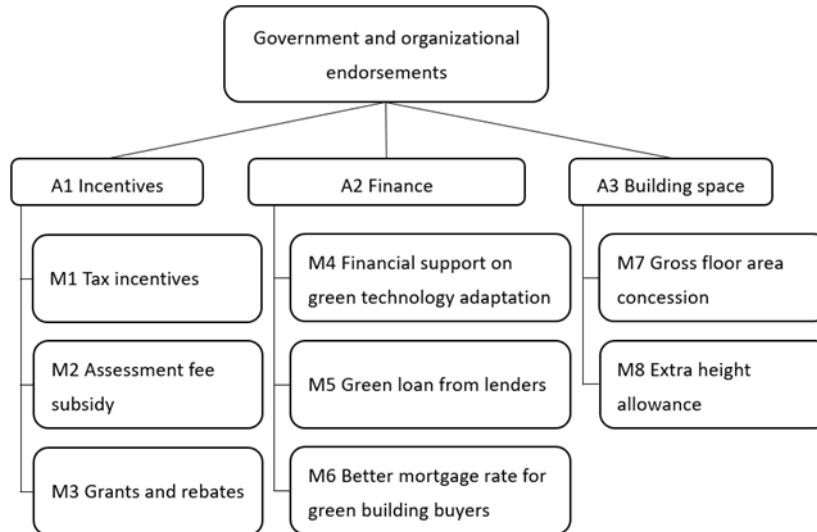


Fig. 4 Policies for the implementation of BEAM Plus in Hong Kong

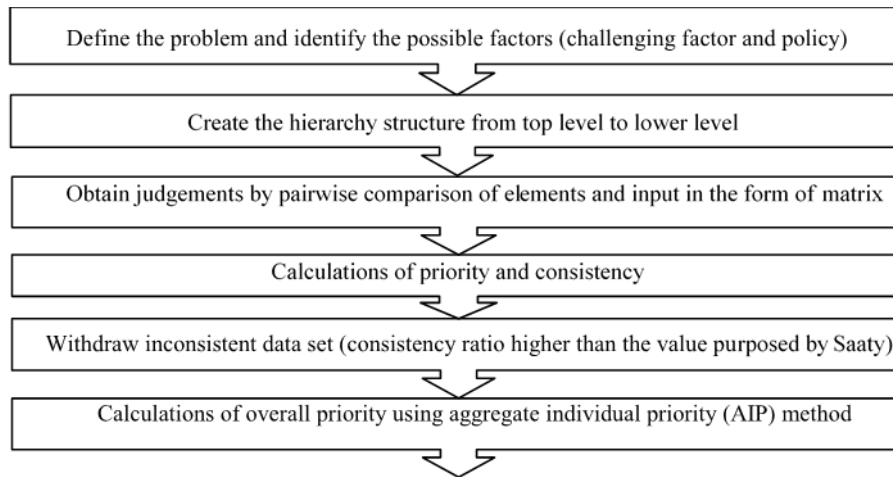


Fig. 5 AHP workflow modified from Saaty (1995)