

# Numerical investigation of the average wind speed of a single wind turbine and development of a novel three-dimensional multiple wind turbine wake model

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## Abstract

This paper reports the newly developed three-dimensional analytical wake models for single and multiple wind turbines. Firstly, the average wind speed of a single wind turbine is studied based on the single wake model. For a single wind turbine, assuming the incoming wind is distributed as power law in the vertical direction, the average wind speeds have a close relationship to the power exponent  $\alpha$ , the hub height  $h_0$  and the rotor radius  $r_0$ . When  $\alpha = 0.4$ , the average wind speed can decrease to 96% of the speed at the hub height. Secondly, the three-dimensional multiple wake model is developed based on the single wake model. The method of Sum of Squares is applied to solve the wake adding problem. The available wind tunnel experimental data of two different layouts are used to validate the wake model. At the three representative heights, the wake model predicts the distribution of wind speed accurately. For Layout 1, at the hub and the top heights, most of the relative errors between the wake model results and the experimental data are smaller than 6%. At the top height, all relative errors are smaller than 20%. For Layout 2, the largest errors of the wake model are 8.5% at the top height, 17.8% at the bottom height and 21.2% at the hub height. The results predicted by the multiple wake model are demonstrated as well. The presented wake model can be used to describe the wind distribution and optimize the layout of wind farm.

Keywords: Average wind speed; Three-dimensional multiple wake model; Validation by wind tunnel experiments; Wake distribution prediction.

## 1. Introduction

For horizontal wind turbines, wake models can be categorized into analytical models [1] and numerical wake models [2]. In view of optimizing the wind turbine (WT) locations, numerical models tend to be more precise, but analytical models have more advantages because of their simplicity and fast computational speed [3].

When optimizing wind farms, the one-dimensional (1-D) wake model proposed by Jensen [4] is the most widely used one. The assumptions of Jensen wake model are quite simple but obviously unrealistic. The wind speed is regarded as a constant in the wake influenced area at a specific downwind distance, and it is assumed to be identical in different radial positions. Some scholars then proposed more accurate models based on Jensen wake model, but the models are more complicated. Ishihara, et al. [3] considered how turbulence affects the rate of wake recovery and they developed a universal wake model. The wake can be predicted by the proposed model for any thrust coefficient and ambient turbulence. Larsen [5] presented a semi-analytical algorithm for computation of stationary wind fields. The model considers wakes as linear perturbations on the ambient non-uniform mean wind field. Although they considered other factors in the following models, the simplification models were only constrained for flat terrains. Kuo, et al. [6] proposed a numerical wake model to simulate wake effects over complex terrains. It implemented simplifications and assumptions to solve a simplified variation of the Navier-Stokes equations. However, this model was not applied to the multiple wake effect. Song, et al. [7] simulated the turbulence of WT's wake flow and the effect of velocity decay as well. They decoupled the wake flow solution from the wind speed field. The wake model regarded the wake intensity as a diffusive and

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45 convective virtual matter. The model can predict the turbulence of wake and the distribution of wind  
46 speed decay in the complex terrain with a non-uniform flow field.

47 Recently, some wake models were developed based on new findings about wake characteristics.  
48 Chamorro and Porté-Agel [8] conducted research on the wind deficit behind a single WT with the wind  
49 tunnel experiments, whereas Dufresne and Wosnik [9] investigated the traditional shear flow theories in  
50 wakes. Both experimental and theoretical investigations drew the conclusion that beyond a distance  
51 downstream of a WT, the shape of wind speed deficit is similar to Gaussian axisymmetric. Based on  
52 these findings, the 1-D wake models were further developed. In recent years, inspired by Jensen wake  
53 model, certain two-dimensional (2-D) WT wake models were developed. In 2014, Bastankhah and Porté-  
54 Agel [10] established a wake model, which was validated by experimental case studies and an Large  
55 Eddy Simulation (LES) method. Although three variables were involved in the wake model, only two of  
56 them influenced the wind distribution, which were the downwind distance and the distance to the hub  
57 axis. Tian, et al. [11] proposed the Cosine wake model in 2015, in their model, the shape of wind speed  
58 profile on the horizontal level was cosine form. In 2016, Gao, et al. [12] developed the Jensen-Gaussian  
59 2-D wake model, of which the assumption was that the shape of wind speed is Gaussian-shaped in the  
60 horizontal direction. The authors of this study have previously presented and validated an analytical  
61 three-dimensional (3-D) wake model for single WT [13]. The proposed model is more realistic and more  
62 precise, because it also considers the wind variation in the vertical direction. The basic theory of the 3-  
63 D wake model is the flow flux conservation law. It assumes that the deficit of wind speed is Gaussian-  
64 shaped within a downwind wake-influenced section. The model has been proved to be accurate in  
65 predicting the distribution of wind speed in spatial and it has the potential to optimize the layouts of  
66 nonuniform wind farms [14].

67 On the other hand, to conduct the detailed numerical simulation, some researchers applied  
68 Computational Fluid Dynamics (CFD) methods and took experiments of WT wake flow, especially in  
69 the fluid mechanics field. They tried to better understand the nature and the interaction of multiple wake  
70 flows. Jimenez, et al. [15] programmed a CFD code based on LES approach. Concentrated drag forces  
71 were applied to simulate WTs, which were fixed in anisotropy turbulence. The results were in good  
72 agreement with analytical correlations and experimental data. Wu and Porté-Agel [16] also used LES to  
73 study the characteristics of WT wake in a flow of neutral turbulent boundary-layer. The simulation results  
74 were validated by the high-resolution measuring data from a hot-wire anemometry behind the miniature  
75 WT. Yang and Sotiropoulos [17] validated an LES-actuator disk model by wind tunnel measurements.  
76 For the case of single WT, good agreement was obtained between the model simulations and the tested  
77 data at far downstream locations, discrepancies existed in the near wake zone. For the wind farm case,  
78 perfect downwind results were obtained at both bottom and top tip heights. Some discrepancies were at  
79 the hub height of WTs. Sedaghatizadeh, et al. [18] developed a fully numerical wake model by LES. The  
80 LES model was more accurate compared to the semi-empirical wake models that were commonly applied  
81 in the industry. It was also used as a benchmark to compare the accuracy of those semi-empirical models.  
82 Chamorro, et al. [19] studied the basic properties of wake flow in a staggered wind farm through wind  
83 tunnel tests. The staggered configuration was more efficient than the aligned layout in both streamwise  
84 and spanwise directions. The maximum turbulence intensity level of the staggered configuration was  
85 similar to that of a single WT, but it was substantially different from that of the aligned layout with a  
86 similar spacing. Tian, et al. [20] conducted an experiment and found that the discrepancies from the  
87 upper-stream wind could significantly influence the characteristics of wake and the loads on the WT  
88 model. The formation, shedding and breakdown of different unstable wake vortices were found to  
89 determine the flow characteristics of the WT wake. Wildmann, et al. [21] used long-range lidar  
90 instruments to detect and analyze the wake of a single WT in the complex terrain. A wake tracking  
91 algorithm was proposed to detect the wake center in the lidar scans for three periods with distinct  
92 atmospheric stability conditions.

93 Data from wind tunnel and wind field experiments are useful for wake studies, however, it is not  
94 practical to solve wind farm layout problems through those studies. Full CFD is accurate in describing  
95 wind flow, but applying it in the wind farm design process is difficult. When optimizing the positions of  
96 WTs, all optimization algorithms require numerous times of calculation for the wake flow, because there  
97 is a huge quantity of various layouts of wind farms. Time cost on evaluating wake distributions of various  
98 layouts with multiple WTs is even more unacceptable.

99 In this paper, the 3-D single WT wake model developed by authors of this study before is further  
100 investigated. In chapter 2, the average wind speed of single WT is investigated based on the inflow profile

101 adopted in the 3-D single wake model. The equation is derivated firstly, and then the analysis is shown  
 102 under four wind speed power law parameters. In chapter 3, the derivation of the 3-D multiple WT wake  
 103 model is demonstrated step by step. In chapter 4, the validation of the 3-D multiple wake model is  
 104 conducted by comparing to the available wind tunnel experimental data with two different layouts. In  
 105 chapter 5, the predictions from the 3-D multiple wake model are demonstrated. In chapter 6, the main  
 106 information of this paper is summarized.

<b>Nomenclature</b>		
<i>List of abbreviations</i>		
1-D	one-dimensional	$r$ radial distance to the centerline ( $m$ )
2-D	two-dimensional	$r_0$ rotor radius of wind turbine ( $m$ )
3-D	three-dimensional	$r_w(x)$ wake-influenced radius ( $m$ )
CFD	Computational Fluid Dynamics	$S_{r_w(x)}$ circular area with radius $r_w(x)$ ( $m^2$ )
D	the rotor diameter of the wind turbine	$S_{r_0}$ circular area with radius $r_0$ ( $m^2$ )
LES	Large Eddy Simulation	$u_0$ wind velocity at $z_r$ height ( $m/s$ )
WT	wind turbine	$u_{h_0}$ the wind speed at the hub height ( $m/s$ )
		$U(x)$ wind velocity in 1-D wake model ( $m/s$ )
		$U(x, r)$ wind velocity in 2-D wake model ( $m/s$ )
		$U(x, y, z)$ wind velocity in 3-D wake model ( $m/s$ )
<i>List of symbols</i>		
$a$	factor of axial induction	$U_0(z)$ incoming wind speed distribution ( $m/s$ )
$A(x)$	parameter in 3-D wake model ( $m^2$ )	$v_0$ average wind speed behind wind turbine ( $m/s$ )
$B(x)$	parameter in 3-D wake model ( $m/s$ )	$z_0$ roughness length of aerodynamic surface ( $m$ )
$C$	parameter in 3-D wake model	$z_r$ reference height ( $m$ )
$h_0$	hub height of wind turbine ( $m$ )	$\alpha$ parameter in wind speed power law
$k_{wake}$	wake decay constant	$\sigma(x)$ parameter in 3-D wake model ( $m$ )
$Q(x)$	total flow flux ( $m^3/s$ )	

107

## 108 2. Average wind speed of the three-dimensional wake model

### 109 2.1 Introduction of the three-dimensional wake model

110 A 3-D single WT wake model was presented by Sun and Yang [13] as follows,

$$111 \quad U(x, y, z) = A(x) \left( \frac{1}{2\pi\sigma(x)^2} e^{-\frac{y^2 + (z-h_0)^2}{2\sigma(x)^2}} \right) + B(x) + U_0(z) \quad (1)$$

112 In equation (1),  $h_0$  is the height of the WT hub.  $A(x)$ ,  $B(x)$ , and  $\sigma(x)$  are the significant  
 113 parameters that determine the Gaussian-shaped deficits of wind speeds. For the sake of simplifying the  
 114 process of calculation,  $\sigma(x)$  is determined by  $\sigma(x) = \frac{r_w(x)}{C}$ .  $r_w(x)$  is the wake-influenced radius,  
 115 and  $C$  is a constant, which is to be determined based on real operating conditions.  $A(x)$  and  $B(x)$   
 116 can be represented by  $C$ , as shown in equation (2).

$$\begin{cases}
A(x) = \frac{Q(x) - \int_{h_0-r_w(x)}^{h_0+r_w(x)} 2\sqrt{r_w(x)^2 - (z-h_0)^2} U_0(z) dz}{\left(1 - e^{-\frac{c^2}{2}} - \frac{C^2}{2} e^{-\frac{c^2}{2}}\right)} \\
B(x) = -\frac{A(x)C^2}{2\pi r_w(x)^2} e^{-\frac{c^2}{2}}
\end{cases} \quad (2)$$

118  $Q(x)$  is the total flow flux, which is obtained from equation (3).

$$119 \quad Q(x) = \pi r_0^2 v_0 + \iint_{S_{r_w(x)}-S_0} U_0(z) ds \quad (3)$$

120  $U_0(z)$  is the distribution of the incoming wind speed, and it is denoted by equation (4). The power  
121 wind distribution is widely used [14, 22, 23], details about which can be found in reference [24].

$$122 \quad U_0(z) = u_0 \left( \frac{z}{z_r} \right)^\alpha \quad (4)$$

123 In the equation,  $z_r$  is the height of reference,  $u_0$  is the wind speed measured at  $z_r$ , and  $\alpha$  is  
124 the parameter of wind speed power law.  $\alpha$  and  $z_r$  can be obtained from Table 1.

125 Table 1 Parameter of wind speed power law [22, 25]

The type of terrain	$\alpha$	$z_r$ (m)
Ocean, lake and smooth hard ground	0.10	200
Open terrain with few obstacles	0.16	250
Terrain uniformly covered by obstacles	0.28	400
Terrain with large irregular objects	0.40	500

126

## 127 2.2 Average wind speed

128 In the 3-D wake model, the distribution of wind velocity varies at different spatial positions.  
129 Therefore, the wind speed at the WT hub height is different from the average wind speed of the WT. To  
130 evaluate the energy output of WTs more precisely, the analytical average wind speed is derived in this  
131 section.

132 Supposing  $u_{h_0}$  is the wind speed at the hub height of the WT. With the power law,  $u_{h_0}$  can be  
133 expressed as equation (5).

$$134 \quad u_{h_0} = u_0 \left( \frac{h_0}{z_r} \right)^\alpha \quad (5)$$

135  $u_a$  is the average wind speed on a WT. The flow flux is conservative within a certain area at the  
136 downstream wake section. In the swept area of a WT,  $S_0$  is the circular area, of which the center is at  
137 the hub position and the radius is  $r_0$ . The flow flux conservation theory is expressed by equation (6):

$$138 \quad \pi r_0^2 u_a = \iint_{S_0} U_0(z) ds \quad (6)$$

139 Then, applying the power law into the above equation,  $u_a$  can be solved by equation (7).

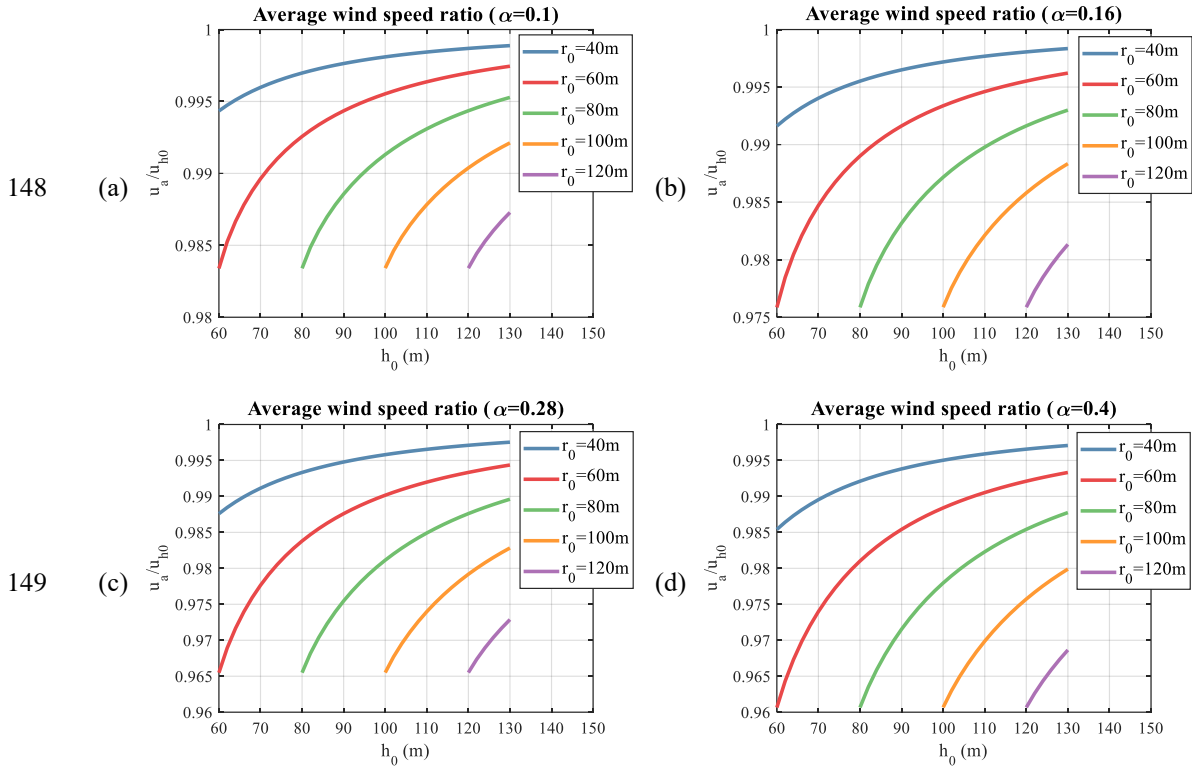
$$140 \quad u_a = \frac{1}{\pi r_0^2} \iint_{S_0} u_0 \left( \frac{z}{z_r} \right)^\alpha ds \quad (7)$$

141 MATLAB software is applied as the calculation tool in this study. The ratio of average wind speed

142 and the hub height wind speed is studied. With equations (5) and (7), the ratio  $\frac{u_a}{u_{h_0}}$  can be calculated  
 143 from equation (8).

144 
$$\frac{u_a}{u_{h_0}} = \frac{1}{\pi r_0^2} \iint_{S_0} \left( \frac{z}{h_0} \right)^\alpha ds \quad (8)$$

145 Figure 1 demonstrates the ratio of average wind speeds and the hub height wind speeds with a series  
 146 of rotor radii at different hub heights. Four typical values of  $\alpha$  are chosen. The results of five rotor  
 147 radii from 40 m to 120 m are compared. The hub height range is from 60 m to 130 m.



150 Figure 1 Average wind speed with different values of  $\alpha$  : (a)  $\alpha = 0.1$  ; (b)  $\alpha = 0.16$  ; (c)  
 151  $\alpha = 0.28$  ; (d)  $\alpha = 0.4$  .  
 152

153 From the results, the conclusion can be drawn that under the condition of power law distributed  
 154 incoming wind, the average wind speed of a WT tends to be smaller than that at the hub height. For the  
 155 same power exponent  $\alpha$  , the average wind speed increases with the hub height, but decreases with the  
 156 rotor radius. For a particular hub height, the average wind speed of  $r_0 = 40m$  is successively higher  
 157 than those of  $r_0 = 60m$  ,  $r_0 = 80m$  ,  $r_0 = 100m$  ,  $r_0 = 120m$  . For a particular rotor radius, the average  
 158 wind speed increases gradually from  $h_0 = 60m$  to  $h_0 = 130m$  . The power exponent  $\alpha$  also  
 159 influences the average wind speed. When  $\alpha = 0.1$  , the average wind speed reduces by 2% to the most,  
 160 and the reduction raises with the increase of  $\alpha$  . When  $\alpha = 0.4$  , the average wind speed can decrease  
 161 to 96%. To sum up, the reduction of average wind speed should be considered especially when the rotor  
 162 has large radius, WTs are fixed at high positions and large irregular objects exist on the wind farm terrains.

### 163 3. Derivation of the three-dimensional multiple wake model

164 In a real wind farm, a WT may be affected by several other WTs' wake effect, thus the study on the  
 165 3-D wake model should not only be limited to single wake distribution, but also be extend to multiple  
 166 wakes. Therefore, a new 3-D multiple wake model is derivated in this section.

### 167 3.1 The three-dimensional wake model in a global coordinate

168 Based on equation (1), the 3-D single wake model can be rewritten based on a global coordinate.  
 169 For the  $WT_j$  at the position of  $(x_j, y_j)$ , the wake induced wind distribution  $U_j(x, y, z)$  is shown as  
 170 equation (9):

$$171 \quad U_j(x, y, z) = \begin{cases} A_j(x) \left( \frac{1}{2\pi\sigma_j(x)^2} e^{-\frac{(y-y_j)^2 + (z-h_0)^2}{2\sigma_j(x)^2}} \right) + B_j(x) + U_0(z) & , \text{ within wake region} \\ U_0(z) & , \text{ out of wake region} \end{cases} \quad (9)$$

172 The wake region is determined by  $r_{w_j}(x)$ , which is the radius of the wake influenced circular area  
 173 at the downwind distance  $x$ . If  $(y-y_j)^2 + (z-h_0)^2 \leq r_{w_j}(x)^2$ , the point of  $(x, y, z)$  is within the wake  
 174 region. The equation means that the distance from the  $(x, y, z)$  point to the hub axis is smaller than the  
 175 radius of the wake influenced circular area. By contrast, if  $(y-y_j)^2 + (z-h_0)^2 > r_{w_j}(x)^2$ , the point of  
 176  $(x, y, z)$  is out of the wake region. The equation means that the distance from the point of  $(x, y, z)$  to  
 177 the hub axis is larger than the radius of the wake affected circular area. In the formula,  $r_{w_j}(x)$  can be  
 178 calculated according to  $r_{w_j}(x) = r_0 + k_{wake}(x-x_j)$ . Other details about the 3-D single wake model have  
 179 been described in reference [13].

180 For the  $WT_i$ , which is at the position of  $(x_i, y_i)$  and has the hub height of  $h_i$ , if it is under  
 181  $WT_j$ 's wake effect, the wind speed at  $WT_i$  is  $U_{ij}(y, z)$ , as shown by equation (10):

$$182 \quad U_{ij}(y, z) = \begin{cases} A_j(x_i) \left( \frac{1}{2\pi\sigma_j(x_i)^2} e^{-\frac{(y-y_j)^2 + (z-h_i)^2}{2\sigma_j(x_i)^2}} \right) + B_j(x_i) + U_0(z) & , \text{ within wake region} \\ U_0(z) & , \text{ out of wake region} \end{cases} \quad (10)$$

183 The two important parameters  $A_j(x_i)$  and  $B_j(x_i)$  are solved by equation (11):

$$184 \quad \begin{cases} A_j(x_i) = \frac{Q_j(x_i) - \int_{h_i-r_{w_j}(x_i)}^{h_i+r_{w_j}(x_i)} 2\sqrt{r_{w_j}(x_i)^2 - (z-h_i)^2} U_0(z) dz}{\left( 1 - e^{-\frac{C^2}{2}} - \frac{C^2}{2} e^{-\frac{C^2}{2}} \right)} \\ B_j(x_i) = -\frac{A_j(x_i)C^2}{2\pi r_{w_j}(x_i)^2} e^{-\frac{C^2}{2}} \end{cases} \quad (11)$$

### 185 3.2 Wake addition

186 For the addition of multiple wakes, several methods can be used [26]: Geometric Sum, Linear  
 187 Superposition, Energy Balance and Sum of Squares. The equations belonging to these models are  
 188 demonstrated in Table 2.

189 Table 2 Methods of multiple wake addition [27]

Method	Equation
Geometric Sum	$\frac{u_i}{U_\infty} = \prod_j \frac{u_{ij}}{u_j}$
Linear Superposition	$(1 - \frac{u_i}{U_\infty}) = \sum_j (1 - \frac{u_{ij}}{u_j})$

Energy Balance	$U_\infty^2 - u_i^2 = \sum_j (u_j^2 - u_{ij}^2)$
Sum of Squares	$(1 - \frac{u_i}{U_\infty})^2 = \sum_j (1 - \frac{u_{ij}}{u_j})^2$

190

191  $u_i$  is the wind speed of  $WT_i$ ,  $u_{ij}$  is the wind speed of  $WT_i$  under the influence of the  $WT_j$ 's  
192 wake.

193 A comparative study of four wake models has been conducted with wind tunnel data from GH, i.e.  
194 the documentation of WindFarm software [28]. In the comparison, the Sum of Squares method was found  
195 excellent for almost all the situations. The next one was the Energy Balance method. Furthermore, it was  
196 also recommended that the methods of Linear Superposition and Geometric Sum should not be applied,  
197 because they tended to make overestimation on the deficit of wind velocity [27].

198 In this study, the method of the Sum of Squares is adopted to solve the wake adding problem  
199 accordingly. A modification of the Sum of Squares and the method to estimate the average wind speed  
200 are combined. To calculate the mean wind speed over the swept area, the deficit of momentum is  
201 averaged on area [29]. If  $WT_i$  is only under the wake effect of  $WT_j$ , equation (12) is adopted.  
202 Similarly,  $u_{a_i}$  is the average wind speed of  $WT_i$ .

203 
$$(u_0 - u_{a_i})^2 = \frac{1}{A} \iint_{S_0} (u_0 - u_{ij})^2 ds \quad (12)$$

204 The wind speed affected by several wakes on the swept area is calculated by cumulating all  
205 momentum deficits of incoming winds and then integrating them, as shown in equation (13).

206 
$$(u_0 - u_{a_i})^2 = \frac{1}{A} \iint_{S_0} \sum_{j=1}^{\text{all wakes}} (u_{a_j} - u_{ij})^2 ds \quad (13)$$

207 In the above equation, the momentum deficit of the incoming wind is determined as the square of  
208 the difference value between the average incoming wind speed and the in-wake wind speed.

209  $u_{a_i}$  considers all wakes the swept area. With  $u_{a_i}$ , the power output of  $WT_i$  can be calculated  
210 according to the power curve.

### 211 3.3 The three-dimensional multiple wake model

212 Applying the 3-D single wake model [1] to estimate the flow speed in the wake, the method of Sum  
213 of Squares is rewritten by equation (14).

214 
$$\left[1 - \frac{U_i(x, y, z)}{U_0(z)}\right]^2 = \sum_{j=1}^N \left[1 - \frac{U_{ij}(y, z)}{U_j(x, y, z)}\right]^2 \quad (14)$$

215 Next, with the Sum of Squares, the same modification to equation (12) is made. The variant equation  
216 (15) is shown as follows.

217 
$$[U_0(z) - U_i(x, y, z)]^2 = \sum_{j=1}^N [U_0(z) - U_{ij}(y, z)]^2 \quad (15)$$

218 Therefore, the wind distribution of the  $WT_i$  can be expressed by equation (16).

219 
$$U_i(x, y, z) = U_0(z) - \sqrt{\sum_{j=1}^N [U_0(z) - U_{ij}(y, z)]^2} \quad (16)$$

220 If  $WT_i$  is affected by the wake effect of a number of  $n$  WTs, the formula can be further specified  
221 by equation (17).

222 
$$U_i(x, y, z) = U_0(z) - \sqrt{\sum_{j=1}^n [A_j(x) \left( \frac{1}{2\pi\sigma_j(x)^2} e^{-\frac{(y-y_j)^2 + (z-h_0)^2}{2\sigma_j(x)^2}} \right) + B_j(x)]^2} \quad (17)$$

223 The average wind speed  $u_a$  can be calculated by equation (18).

224 
$$\iint_{S_{w_0(x)}} [U_0(z) - u_a]^2 ds = \iint_{S_{w_0(x)}} [U_0(z) - U(x, y, z)]^2 ds \quad (18)$$

225 To simplify the calculation, the incoming wind speed  $U_0(z)$  on the left side of the equation can  
226 be replaced by  $u_0$ , therefore the simplified equation (19) is shown as follows.

227 
$$(u_0 - u_a)^2 = \frac{1}{A} \iint_{S_0} \sum_{i=1}^N [U_0(z) - U(x, y, z)]^2 ds \quad (19)$$

## 228 4. Validation of the three-dimensional multiple wake model

229 To validate the accuracy of the 3-D multiple wake model, the simulations of the wake model are  
230 compared to the measured data of the wind tunnel experiment. The experimental data come from the  
231 wind tunnel in the Saint Anthony Falls Laboratory at the University of Minnesota, and the experimental  
232 conditions are thermally unstratified [30]. Two different layouts of the staggered array of miniature WTs  
233 are demonstrated.

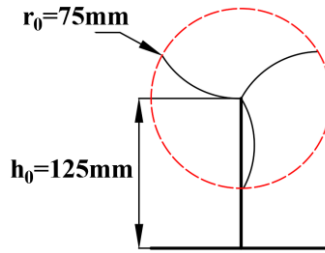
### 234 4.1 Description of the wind tunnel experiments

235 The boundary-layer applied to the wind farm model was under neutrally-stratified conditions and  
236 grew over a smooth surface. The plan length of the wind tunnel is 37.5 m, the primary fetch of the test  
237 section is around 16 m, whereas the cross section size is 1.7 m  $\times$  1.7 m. The scale ratio of the area was  
238 6.6:1. More descriptions about the wind tunnel can be found in references [31] and [32].

239 The intensity of turbulence in the center, i.e. freestream, of the wind tunnel was around 1% for a  
240 freestream speed of 2.5 m/s. A turbulent boundary layer depth was obtained with  $\delta \approx 0.5m$  at the  
241 location of WT. The gradient boundary layer was zero pressure, it had a Reynolds number,  
242  $Re_\delta = U_\infty \delta / \nu \approx 1.12 \times 10^5$ .  $\delta$  is the boundary layer height.

243 The friction velocity  $u_*$  was 0.13 m/s. The roughness length of aerodynamic surface  $z_0$  was 0.05  
244 mm.  $u_*$  and  $z_0$  were acquired by adjusting the profile of the wind velocity to the experimental mean  
245 speed in the surface layer.

246 The WT model consisted of a three-blade GWS/EP-6030  $\times$  3 rotor, which was linked to a tiny DC  
247 generator. The WT angular speed was controlled by altering the generator resistance. The dimensions of  
248 the WT model are shown in Figure 2.



250 Figure 2 Turbine dimensions  
251

252 In the experiments, the tip speed ratio was set as roughly 4 for the first row of WTs  
253 ( $\lambda = 2\pi r \Omega / [60U_{hub}]$ , where  $U_{hub}$  was 2.1 m/s and  $\Omega$  is the angular speed of the WT in r.p.m.).

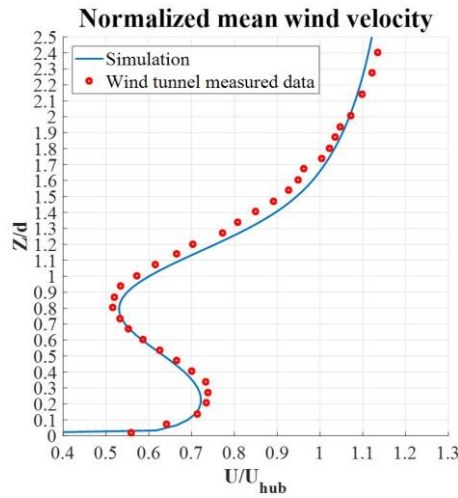
### 254 4.2 Description of the incoming wind

255 To simulate the incoming wind of the experiments, equation (4) is adopted. To begin with, a vertical



256 velocity profile was selected to see the accuracy of the 3-D single wake model, of which the position was  
 257 at downstream distance of  $x/D = 2$  behind the third row of WTs [30]. The simulations of this study  
 258 are compared to the measured data, as shown in Figure 3. In this process, the power law parameter of  
 259 wind speed was set as  $\alpha = 0.1$ .

260

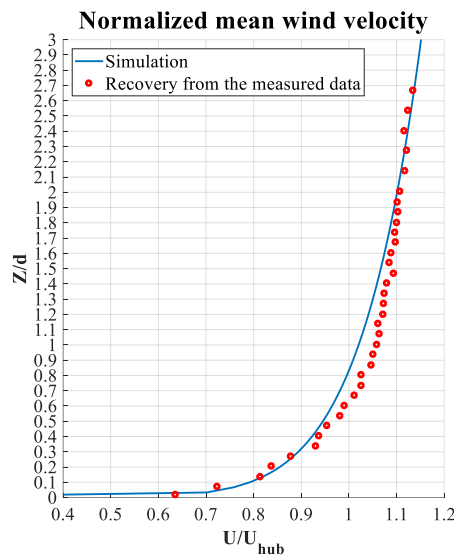


261  
 262

Figure 3 Distribution of the normalized streamwise velocity component

263 The simulation results show a perfect agreement with the measured data, especially at the hub height.  
 264 The deficit in the wind farm is also given in reference [30]. Combining the streamwise wind velocity and  
 265 the wind deficit, the recovery data incoming wind in the wind tunnel can also be obtained. Then, the  
 266 recovery data are compared with the simulation results, as shown in Figure 4.

267



268  
 269

Figure 4 Incoming wind distribution

270 Error comparisons could be done between the simulation results and the measured data through  
 271 Figure 4. Generally, the simulated incoming wind speeds fit well with the experimental data. Some big  
 272 errors exist in the height range of  $0.5D \sim 1.5D$  ( $D$  represents the rotor diameter of the wind turbine). It is  
 273 worthy noticing that these errors may have an influence on the validation of the effectiveness of the 3-D  
 274 multiple wake model.

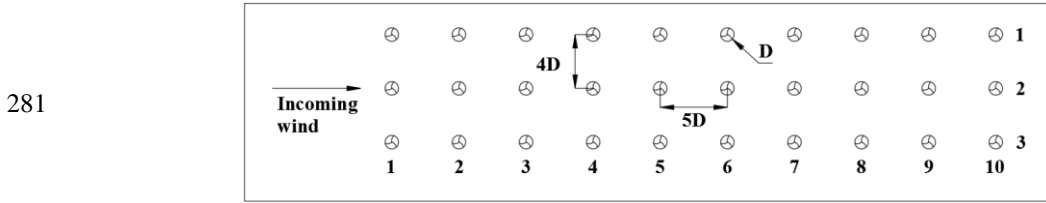
### 275 4.3 Results comparison

276 The comparisons have been carried out with the experiments of two layouts, which are both the 10

277 by 3 WT arrays.

### 278 4.3.1 Results comparison of Layout 1

279 In Layout 1, the distance between consecutive WTs was set to 5D in the direction of the inflow and  
280 4D in the spanwise direction. The schematic WT array is demonstrated in Figure 5.

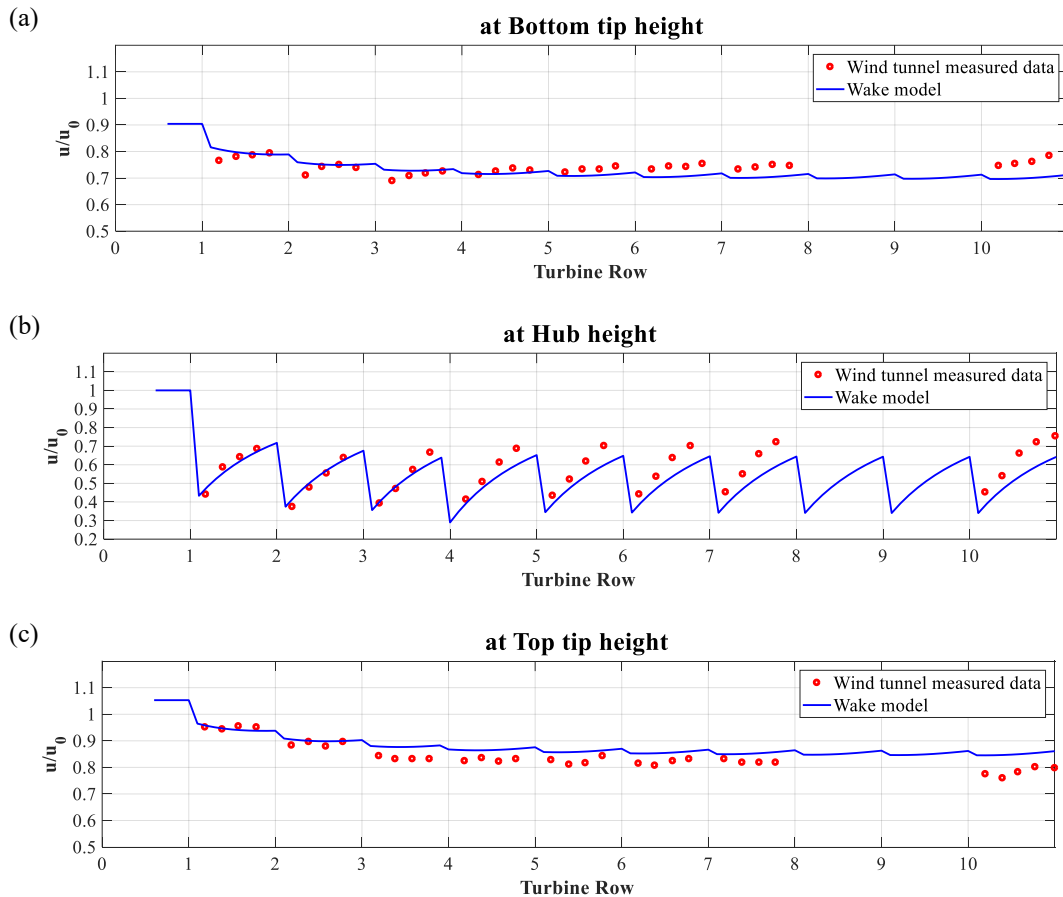


282 Figure 5 Schematic wind turbine array

283

284 Figure 6 shows the simulation results of the 3-D wake model in Layout 1. The results are compared  
285 to the representative wind speeds measured in the wind tunnel experiment at three heights: (a) the bottom  
286 tip height; (b) the hub height; and (c) the top tip height.

287



288

289

290 Figure 6 Comparison wind speeds at (a) the bottom tip height; (b) the hub height; and (c) the top tip  
291 height.

292

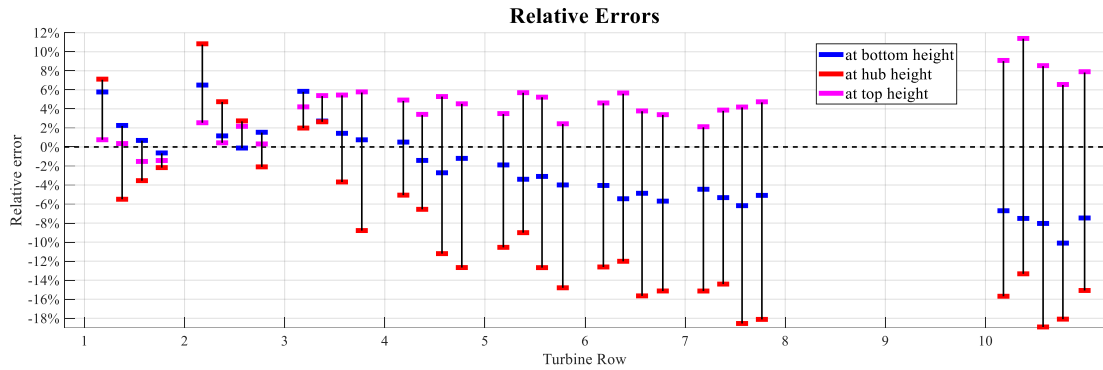
293 At all three representative heights, the 3-D multiple wake model tends to predict wind speed  
294 distribution accurately. For the first two rows, the prediction results are very close to the measured data.  
295 For the rest rows, although some deviations exist, the shapes of the predictions and the characterizations  
296 of the mean flow are in good accordance at all heights. The 3-D wake model tends to predict smaller  
297 wind speeds at the bottom tip and the hub heights after the 4<sup>th</sup> row, while predict larger wind speeds at

298 the top tip height after the 3<sup>rd</sup> row.

299 Apart from the errors from the wake model, some errors may also come from the experiment. Taking  
 300 the hub height data as an example, the wind speeds measured at the downwind behind rows tend to be  
 301 larger than those at the front rows, which is not quite rational. The experimental errors should be involved  
 302 in the consideration when judging the effectiveness of the 3-D multiple wake model.

303 The relative errors at three heights of Layout 1 are then analyzed and demonstrated in Figure 7.

304



305

306 Figure 7 Analysis of relative errors of Layout 1

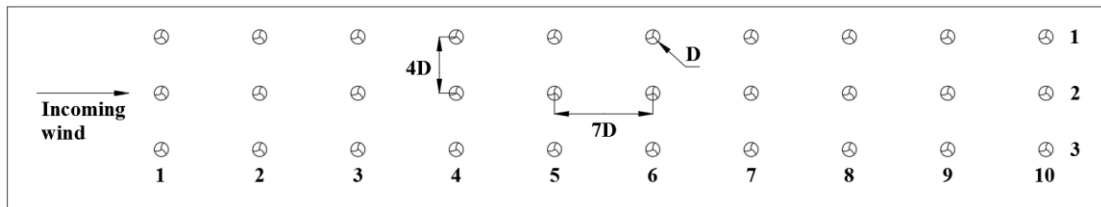
307

308 It is apparent that the simulation from the wake model is more precise at the hub and the top heights,  
 309 where most of the relative errors are smaller than 6%. The wake model does not seem to be that accurate  
 310 at the top height, but all relative errors are within 20%. The similar conclusion can be obtained that the  
 311 wake model predicts better in the first three rows than in the rest behind rows.

312 **4.3.2 Results comparison of Layout 2**

313 In Layout 2, the interval in the spanwise direction was also 4D, but that in the downwind direction  
 314 was 7D. The schematic WT array is demonstrated in Figure 8.

315



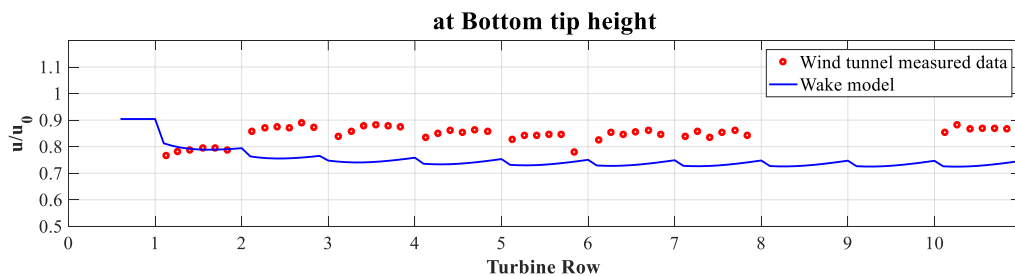
316

317 Figure 8 Schematic of wind turbine array with 10 lines and 3 rows

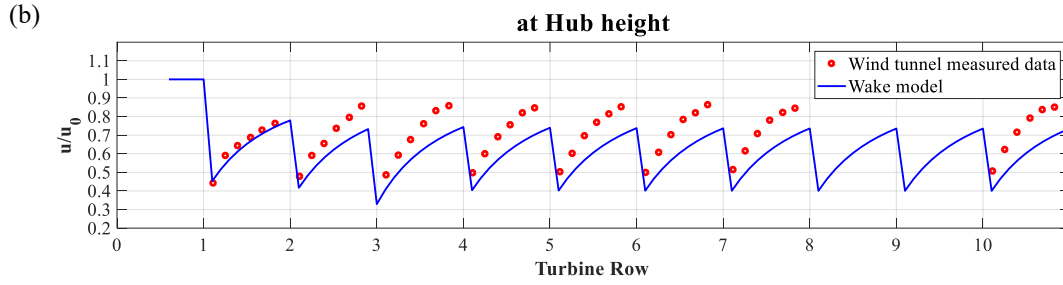
318

319 Figure 9 demonstrates the simulation results of the 3-D wake model in Layout 2. They are also  
 320 compared with the wind speeds measured from the wind tunnel experiment. The three representative  
 321 positions are: (a) the bottom tip height; (b) the hub height; and (c) the top tip height.

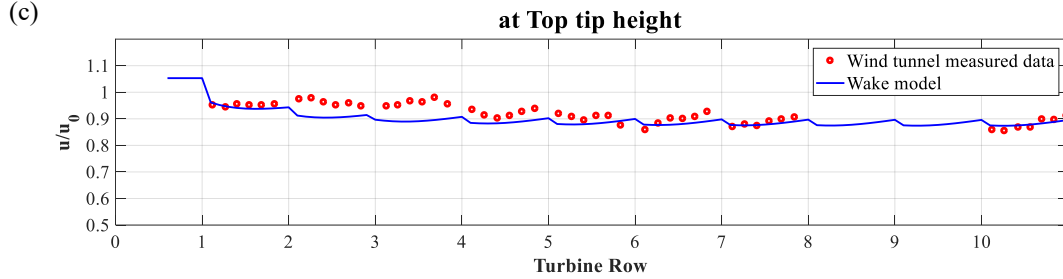
322 (a)



322



323



324

Figure 9 Comparison wind speeds at (a) the bottom tip height; (b) the hub height; and (c) the top tip height.

325

326

327

For Layout 2, the 3-D wake model also shows the good accuracy. It tends to underestimate the wind speed at all selected heights. Good agreement between the simulated results and the experimental data is shown at the space between the 1<sup>st</sup> and the 2<sup>nd</sup> rows. The wake model is especially precise at the top tip height. For the bottom tip and the hub heights, an obvious difference lies between the measured wind speeds of the 1<sup>st</sup> row and the 2<sup>nd</sup> row, which may affect the validation of the 3-D wake model. Therefore, some more comprehensive wind tunnel tests should be conducted in the future research to investigate this 3-D wake model.

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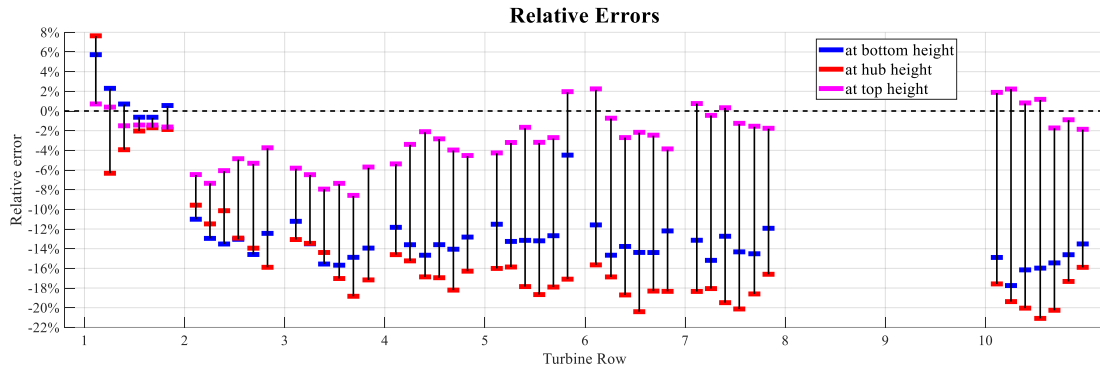
332

333

334

The quantitative analysis of relative errors is revealed in Figure 10.

335



336

Figure 10 Analysis of relative errors in Layout 2

337

338

From the figure, for Layout 2, the 3-D wake model simulates the wake effect with an acceptable precision, and the largest error is smaller than 22%. The model is more precise before the 2<sup>nd</sup> row. It is most accurate at the top height, followed by the bottom height and the hub height. At the top height, the largest error is just 8.5%, those at the bottom and the hub heights are 17.8% and 21.2%, respectively.

339

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342

The 3-D WT wake model tends to underestimate wind speed in some positions. On the one hand, when derivating the wake model, a modification was made from equation (14) to equation (15). Although it is reasonable according to the reference [29], it may cause the error to the 3-D wake model. On the other hand, as analyzed before, some measuring data seem to contain the experimental errors, which means the experimental error may affect the validation of the 3-D wake model. Therefore, the 3-D wake model can be further improved and the more accurate wind tunnel experiments should be conducted.

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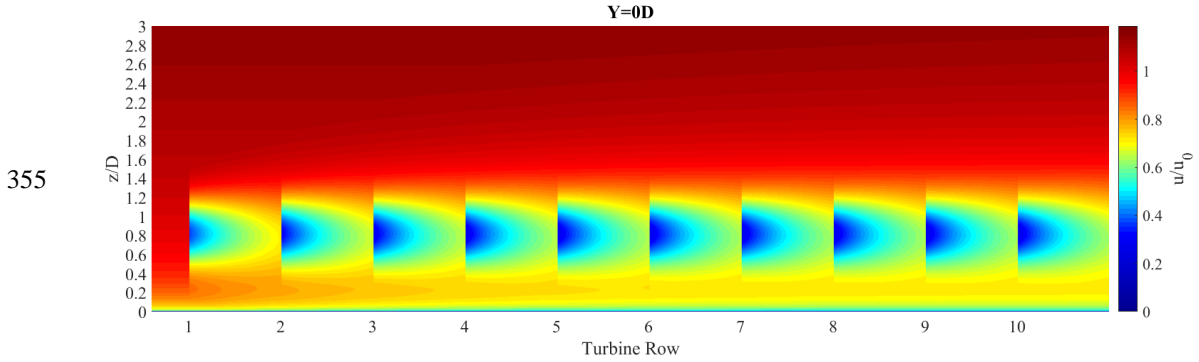
347

348 **5. Prediction of the three-dimensional multiple wake model**

349 After validating the accuracy of the 3-D multiple wake model, some predictions of wake distribution  
 350 can be obtained. In this chapter, the model is used to simulate the wind speed profiles in the mentioned  
 351 two layouts from some more views.

352 **5.1 Prediction of Layout 1**

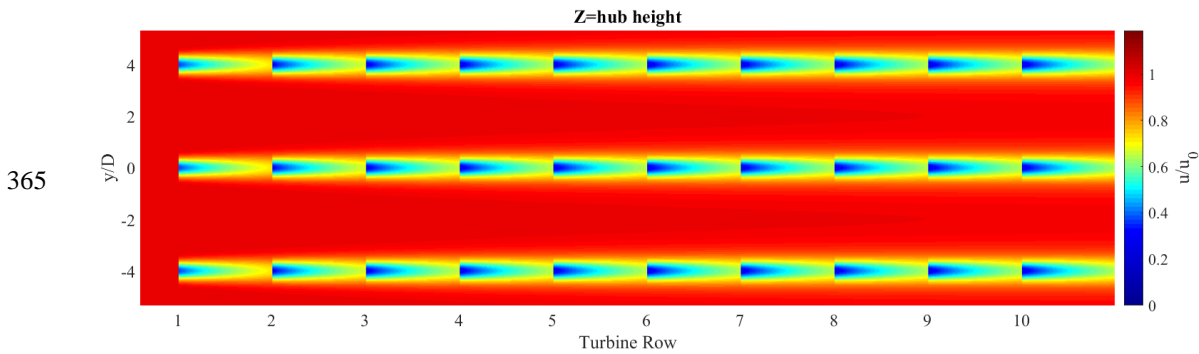
353 In this section, the simulated layout of wind farm is the same as Layout 1. Three different views are  
 354 demonstrated. Figure 11 is the X-Z view of wind speed at  $Y=0D$ .



356 Figure 11 X-Z view of wind speed at  $Y=0D$ .

357 The wake distributions vary in the downstream direction, which can be seen from Figure 11. The  
 358 wind deficit behind the 1<sup>st</sup> row of WT is the smallest. With the wind blowing through WTs, the wind  
 359 deficit phenomenon becomes more obvious. Beyond the 5<sup>th</sup> row, the wind profiles behind each WTs seem  
 360 to be pretty similar. According to Figure 11, the downstream intervals between WTs are recommended  
 361 to be no less than  $5D$ . For each vertical section, the largest wind deficit happens at the hub height. That  
 362 is to say if the hub heights of WTs are different, the serious wake-influenced zone can be avoided, and  
 363 more wind energy can be captured.

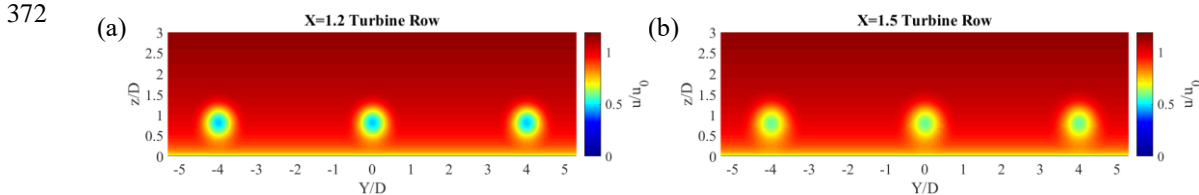
364 Figure 12 shows the X-Y view of wind velocity at the position where  $Z = \text{hub height}$ .



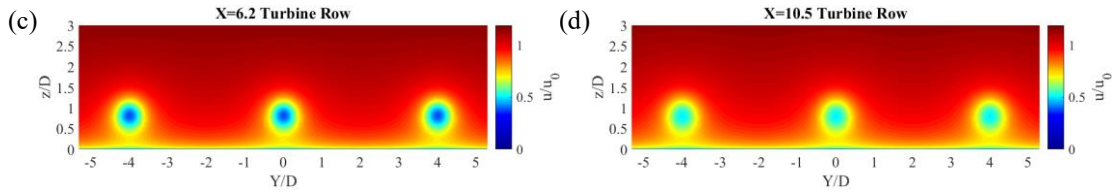
366 Figure 12 X-Y view of wind speed where  $Z = \text{hub height}$ .

367 The 3-D wake model helps to study the X-Y view of wind velocity at all heights. The largest deficit  
 368 of wind happens at the hub height. From the figure, the  $4D$  cross interval between WTs is long enough  
 369 to avoid the wakes, because the parallel WTs almost have no influence to each other.

370 Figure 13 demonstrates the Y-Z view of wind speed at four typical X position: (a)  $X=1.2$  Turbine  
 371 Row; (b)  $X=1.5$  Turbine Row; (c)  $X=6.2$  Turbine Row and (d)  $X=10.5$  Turbine Row.



373

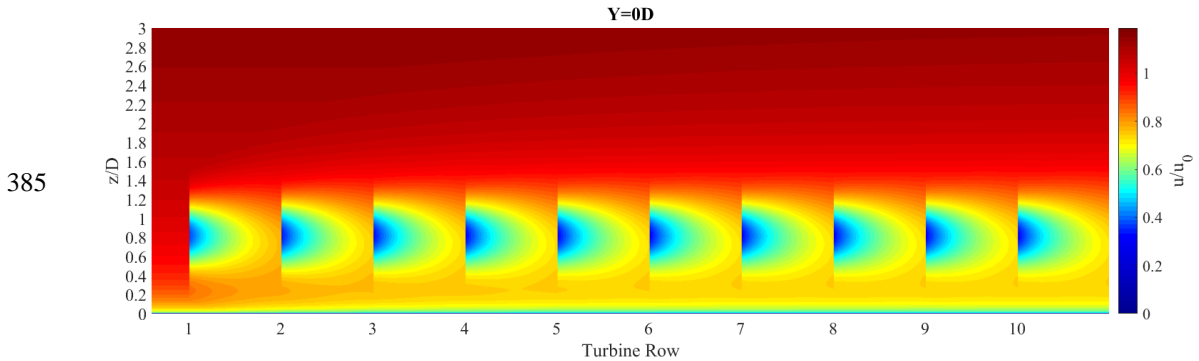


374 Figure 13 Y-Z view of wind speed at (a) X=1.2 Turbine Row; (b) X=1.5 Turbine Row; (c) X=6.2  
 375 Turbine Row and (d) X=10.5 Turbine Row.  
 376

377 Comparing Figure 13 (a) and (c), the selected sections are at the same distance from the upwind  
 378 WTs, however, the distributions of the wind speeds are much different. The reason is that the incoming  
 379 wind for the 1<sup>st</sup> row of WTs is the environmental wind, which is not influenced by the wake of other WTs;  
 380 whereas the incoming wind for the 6<sup>th</sup> row of WTs is smaller the environmental wind speed, as it is under  
 381 the wake effect of other upstream WTs. It can also explain the difference between Figure 13 (b) and (d).

### 382 5.2 Prediction of Layout 2

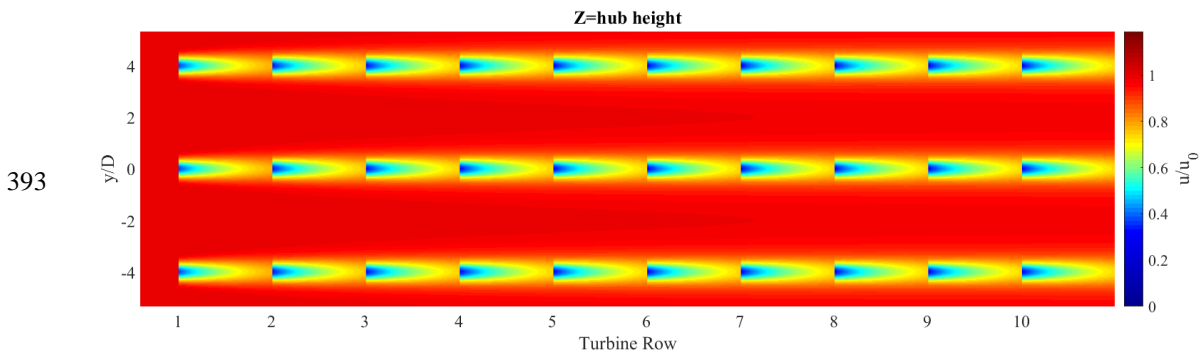
383 This section is continuous to section 5.1, and the simulated layout of wind farm is the same as  
 384 Layout 2. Firstly, Figure 14 shows the X-Z view of wind speed at Y=0D.



386 Figure 14 X-Z view of wind speed at Y=0D  
 387

388 The wind deficit behind the 1<sup>st</sup> row of WT is also the smallest, which is similar to that of Layout 1.  
 389 The little difference is that after the 3<sup>rd</sup> row, wind profile behind each WT becomes similar. This is directly  
 390 caused by the increase of intervals between the downwind WTs. Intervals of 7D distance tend to be better  
 391 than 5D, as the downstream WTs are less influenced by the upstream WTs.

392 Figure 15 shows the X-Y view of wind speed at Z=hub height of Layout 2.



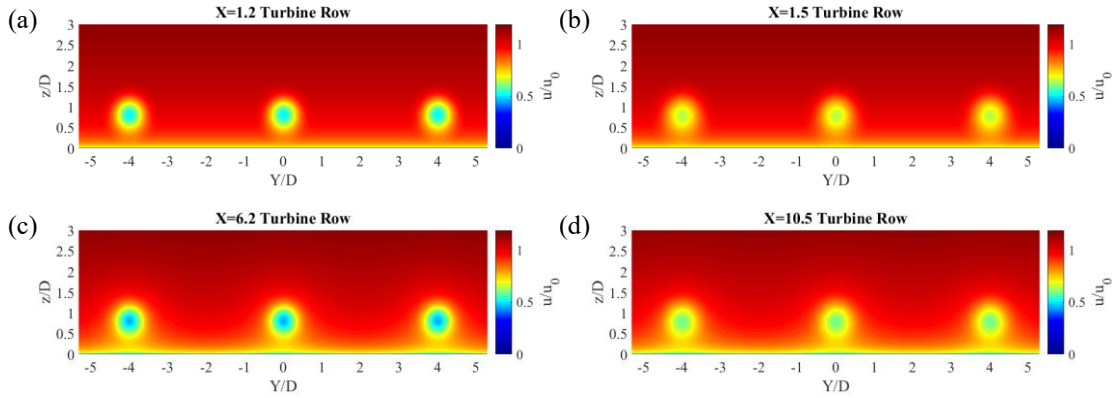
394 Figure 15 X-Y view of wind speed at Z = hub height  
 395

396 This figure further confirms that Layout 2 is more efficient. The wake-influenced winds firstly blow  
 397 through the upstream WTs and then recover to the environmental winds before reaching the downstream

398 WTs. If the intervals continue increasing, the downstream WTs can operate under less wake effect.

399 Figure 16 demonstrates the Y-Z view of wind speed at four typical X position: (a) X=1.2 Turbine  
 400 Row; (b) X=1.5 Turbine Row; (c) X=6.2 Turbine Row and (d) X=10.5 Turbine Row. These profiles  
 401 help to further investigate the characteristics of the WT wake effect.

402



403

404 Figure 16 Y-Z view of wind speed at (a) X = 1.2 Turbine Row ; (b) X = 1.5 Turbine Row ; (c)  
 405 X = 6.2 Turbine Row and (d) X = 10.5 Turbine Row  
 406

## 407 6. Summaries

408 In this study, an analytical three-dimensional (3-D) wake model has been further studied. The  
 409 method to calculate the average wind speed of a single wind turbine is proposed, in which the wind  
 410 variation in the vertical direction is considered. Then, the 3-D wake model for multiple wind turbines is  
 411 developed. The significant summaries are drawn as follows:

412 (1) The average wind speed of a single wind turbine (WT) is studied in depth. The power law wind  
 413 profile in 3-D wake model is adopted. The basic theory is the flow flux conservation law. From  
 414 the results, under the condition of the mentioned incoming wind profile, the average wind  
 415 velocity of a wind turbine is smaller than the wind velocity measured at the hub height. For the  
 416 same power exponent  $\alpha$ , the average wind speed increases with the hub height but decreases  
 417 with the rotor radius. The power exponent  $\alpha$  also influences the average wind speed. To be  
 418 specific, the deficit of wind speed increases with  $\alpha$ . When  $\alpha = 0.1$ , the average wind speed  
 419 is reduced by 2% to the most, whereas when  $\alpha = 0.4$ , the average wind speed can decrease by  
 420 4%. Therefore, the reduction of average wind speed should be considered especially for wind  
 421 turbines that have large rotor radius, stand at high positions and are built in terrains with large  
 422 irregular objects.

423 (2) The 3-D wake model for multiple wind turbines was derived. The single wake model was  
 424 rewritten in the global coordinate. Sum of Squares method was adopted to solve the wake  
 425 adding problem accordingly. If the  $WT_i$  has been judged to be affected by the wake effect of  
 426 other  $n$  WTs, the formula can be further specified as:

$$427 \quad U_i(x, y, z) = U_0(z) - \sqrt{\sum_{j=1}^n [A_j(x) \left( \frac{1}{2\pi\sigma_j(x)^2} e^{-\frac{(y-y_j)^2 + (z-h_0)^2}{2\sigma_j(x)^2}} \right) + B_j(x)]^2}$$

428 (3) The 3-D multiple wake model has been validated by the wind tunnel experimental data of two  
 429 layouts of miniature WTs. In Layout 1, the wake model was pretty accurate at the hub and the  
 430 top heights, and most of the relative errors were smaller than 6%. At the top height, all relative  
 431 errors were smaller 20%. The wake model predicted more precise for the first three rows than  
 432 the rest rows. In Layout 2, the wake model also predicted the wake effect with acceptable  
 433 precisions, and the largest error was smaller than 22%. The model was more accurate within  
 434 the first-row distance. At the top height, the largest error of the wake model was just 8.5%,  
 435 which was 17.8% at the bottom height and 21.2% at the hub height.

436 (4) Some wind predictions of the mentioned two layouts of the miniature WTs were obtained from  
437 the 3-D wake model. The profiles of wind speeds from some more views were demonstrated.  
438 From the predicted results, the largest wind deficit was at the hub height and the 4D cross  
439 interval between WTs was large enough to avoid the serious wake effect. Comparing two  
440 layouts, intervals of 7D distance were better than 5D, as the downstream WTs were almost  
441 unaffected by the upwind WTs.

442 This study has assessed the feasibility of applying the 3-D multiple wake model to estimate the  
443 deficits of wind speeds. The wake model takes the vertical wind variation into consideration, which  
444 potentially contributes to optimizing the heights of WTs and helps to design the layout of the nonuniform  
445 wind farm that contains different sizes of WTs. The presented wake model also has some limitations,  
446 thus some further research should be conducted in the future. In this study, the power function was  
447 adopted to simulate the incoming wind, some errors were found between the simulation and experimental  
448 data, which may bring errors to the final predictions of the downstream wind speeds. The power function  
449 cannot predict some complicate wind profiles in the real wind industry and it increases the complexity  
450 of the calculation as well. Therefore, it is necessary to adopt a more precise and simpler function to take  
451 place of the present power function. The published wind tunnel experimental data are not quite enough  
452 to continue an in-depth investigation of the presented wake model. Well-directed wind field experiments  
453 and wind tunnel tests should be conducted to investigate and complete the 3-D wind turbine wake model  
454 in the future work.

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458 number of ZVHL, The Hong Kong Polytechnic University.

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