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4	Estimation of the hydraulic conductivity of saturated sand-marine
5	clay mixtures with a homogenization approach
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7	by
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31 Abstract

32 A series of oedometer tests was performed on a pure marine clay and sand-marine clay mixtures with various initial water contents of the clay matrix and sand fractions. The 33 34 hydraulic conductivity was computed from the compressibility and the consolidation curves 35 of the samples. The experimental data indicate that the overall hydraulic conductivity of the 36 mixtures depends on both the initial water content and sand fraction of the clay matrix at a 37 given stress level. The initial water content of the clay matrix has an influence on the local void ratio, leading to differences in the overall hydraulic conductivity. The influence of the 38 39 initial water content is substantially reduced in case of the relationship between the overall 40 hydraulic conductivity and the overall void ratio. A homogenization approach is introduced in this paper to estimate the overall hydraulic conductivity which can be determined from the 41 42 intrinsic permeability parameters of the pure marine clay. The proposed model has four 43 parameters, including two intrinsic parameters of the pure marine clay and two additional 44 ones incorporating the evolution of sand skeleton. The ability of the proposed model to 45 describe the permeability behavior of the sand-marine clay mixtures (and other sand-clay 46 mixtures from literature) is verified by using test data.

47 Keywords: Hydraulic conductivity; Sand-clay mixtures; Sand fraction; Initial water content;
48 Marine clay

49

50 **1. Introduction**

51 Sand clay mixtures may be produced in land reclamation, marine deposit improvement 52 (e.g., Silva, 2016) or degradation of natural materials (Zhou et al., 2017). In previous 53 literature, most of the researchers focused on the hydraulic conductivity of sand-clay mixtures 54 which are used in waste disposal facilities (Pandian et al., 1995; Sivapullaiah et al., 2000) and 55 dredging engineering (Deng et al., 2016). Additionally, mixtures consisting of sand (or rock 56 particles) and natural soils (as clay matrix) were also investigated (e.g., Watabe et al., 2011; 57 Elkady et al., 2015; Barla and Beer, 2012; Zhou et al., 2017). The sand-clay mixture has a 58 similar structure to other binary mixtures, e.g., asphalt mixture (Huang, et al., 2016; Zhang 59 and Yang, 2017) and sand-tire chip mixture (Mashiri, et al., 2016) which are widely used in 60 pavement design and other civil engineering projects. This type of binary mixture consists of 61 a soft matrix and incompressible inclusions. Supposing that stiff inclusions are randomly 62 distributed within a soft matrix. In this case, the skeleton of the inclusions governing the 63 mechanical and permeability properties depends on the volume fraction of the inclusions.

64 The effect of coarse sand fraction on the overall hydraulic conductivity was well65 documented and the following words can be made referring to the previous work.

(1) The overall hydraulic conductivity depends on the clay matrix for a lower sand
fraction. With increase of the sand fraction, a granular skeleton gradually forms, and the
overall conductivity was governed by the intergranular sand structure.

69 (2) After being normalized by the liquid limit, the overall hydraulic conductivity vs.
70 overall void ratio shows approximately a unique relationship which is consistent with that of
71 the pure clay.

(3) The overall hydraulic conductivity of a sand-clay mixture is higher than that of thecorresponding pure clay at a given stress level.

74

(4) The particle size and type of the coarse inclusions affects the overall hydraulic

conductivity: a mixture with coarse sand gives a higher hydraulic conductivity than thatcontaining fine sand.

77 The mentioned work provides useful information for further study. Based on laboratory 78 data, some researchers proposed equations for the estimation of hydraulic conductivity of sand-clay mixtures (e.g., Pandian et al., 1995; Sivapullaiah et al., 2000; Deng et al., 2017). 79 80 Most of them were based on the regression analysis, relating the hydraulic conductivity to the 81 overall void ratio and the corresponding liquid limit. Some researchers try to study the 82 structure transition of the mixtures with increasing sand fraction (Graham et al., 1989; 83 Mitchell, 1993; Thevanayagam and Mohan, 1998; Chu and Leong, 2002; Monkul and Ozden, 84 2005). In their analysis, the sand-clay mixture was idealized as a two-phase composite 85 material in which clay is the matrix and sand particles represent the inclusions. In this case, 86 the evolution of clay void ratio (void ratio of clay matrix) and skeletal void ratio (defined as 87 the ratio of the volume of clay matrix to the volume of sand particles) was computed, and 88 their relationship relating to the overall hydraulic conductivity was analyzed. However, the 89 mechanisms governing the change of hydraulic conductivity (especially the evolution of local 90 hydraulic conductivity of the clay matrix, and its relationship with the overall hydraulic 91 conductivity) was still not well understood, and a simple but effective model considering the 92 evolution of sand skeleton needs to be proposed.

In most of the previous literatures, a permeability test on a pure clay was performed as a reference. However, its initial water content is usually not the same as that of the clay matrix in sand-clay mixtures. It is well recognized that the compression behavior of remolded clays is affected by the initial water content (e.g., Cerato and Lutenegger, 2004; Hong *et al.*, 2010; Shi and Herle, 2015; Zeng *et al.*, 2015; Zeng *et al.*, 2016; Tsuchida, 2017). Hence, the void ratio of the clay matrix in a mixture (with the same sand fraction but different initial water content of the clay matrix) should be different at a given stress level, which would affect the 100 overall hydraulic conductivity. But the effect of initial water content on the overall hydraulic 101 conductivity of sand-clay mixtures has been seldom investigated. In this study, sand-marine 102 clay mixtures with different initial water contents and different sand fractions are performed, 103 and a simple theoretical model is proposed within the homogenization framework for 104 estimating the overall hydraulic conductivity.

105

106 **2. Materials and methods**

107 The materials include a coarse sand material and a clayey soil from Hong Kong Marine 108 Deposits (HKMD). The basic physical properties of the tested soils are given in Table 1 109 (according to BS1377). The maximum void ratio of the sand is 0.945, and the corresponding 110 minimum value is 0.601.

111 Since the produced clay slurry has a high initial water content (higher than 2.0 times the 112 liquid limit), it was exposed to air for a couple of days to reduce its moisture. Afterwards, water was added to the samples to reach four desired water contents¹ for producing the 113 mixtures:67.9%, 74.5%, 86.9% and 99.5%, respectively. The adopted sand fraction ψ_s 114 (defined as the dry mass ratio of the sands to a mixture) is lower than 60% (four different sand 115 116 mass fractions are considered: 0%, 20%, 40%, 60%), since a higher sand fraction may lead to 117 micro air bubbles in a mixture. The samples were mixed carefully to make it uniform. Then, 118 the mixtures were put in an airtight container for a period of time to get a high degree of 119 saturation.

A filter paper was placed on a porous stone to prevent loss of fine particles. Afterwards,
the consolidation ring (diameter =7.00 cm, height =1.90 cm) was placed on the porous stone.

¹ Segregation may happen in case of a high initial water content of the clay matrix. In this case, the settlement of sand inclusions is faster than that of the marine clay particles. However, a notable segregation happens only if the clay matrix has an initial water content higher than 5.0 times liquid limit (Tan *et al.*, 1990; Sridharan and Prakash, 2003). The initial water contents of the clay matrix are below 1.60 times the liquid limit. Therefore, the segregation effect may be neglected.

122 The sand-clay mixtures were spooned into a consolidation ring up to the height of the ring, 123 followed by placing a filter paper on the top of the sample. Finally, a loading cap with a 124 porous bottom was placed above the upper filter paper, which corresponds to an initial 125 consolidation stress of 1.7 kPa. After being fixed in the loading frame, a subsequent stress of 126 2.5 kPa was applied to prevent soil squeezing between the consolidation ring and the loading 127 cap. The consolidation stress was then increased stepwise (5, 10, 25, 50, 100, 200, 400, 800 128 and 1200 kPa). The duration of every load increment was determined according to the 129 corresponding consolidation curves at a given total stress level.

130

131 **3. State variables**

132 Given that the sand inclusions are randomly arranged in the marine clay matrix which is 133 semi-homogeneous on a macro-scale. In this case, both local and overall variables can be 134 easily defined and computed from laboratory data. Some assumptions are made as follows: (1) 135 the mixture is assumed to be saturated, with no air bubbles in the clay matrix or on the clay-sand particle interface²; (2) Since the sand inclusions (not the sand skeleton) are much 136 137 stiffer than the clay matrix, they are assumed to be incompressible (e.g., Monkul and Ozden, 138 2005); (3) The sand inclusions are impermeable, and all moisture is associated with the 139 marine clay matrix (e.g., Mitchell, 1993).

Based on the above assumptions, a sand-clay mixture can be divided into three parts: the volume of sand inclusions V_{ss} (cm³), the void part V_v (cm³) and solid part V_{sc} (cm³) in the marine clay matrix. In this paper, the authors choose the volume fraction of sand particles as a structure variable:

² This is not suitable for a sand-clay mixture with a relatively high sand fraction, in which the clay matrix is not enough to fill the inter-granular spaces (Sivapullaiah *et al.*, 2000; Watabe *et al.*, 2011).

144
$$\phi_{s} = \frac{V_{ss}}{V_{v} + V_{sc} + V_{ss}}$$
(1)

145 Considering that the sand particles are incompressible (Assumption 1), ϕ_s can be 146 computed from the local void ratio e_c (void ratio of the clay matrix) and the corresponding 147 overall value *e* (overall void ratio of sand-clay mixtures):

148
$$\phi_s = \frac{e_c - e}{e_c + ee_c} \tag{2}$$

149 with the local void ratio $e_c = \frac{V_v}{V_{sc}}$, and overall void ratios $e = \frac{V_v}{V_{sc} + V_{ss}}$. Considering that

150 the mixture is saturated (Assumption 2), the sand inclusions are impermeable, and all 151 moisture is associated with the marine clay matrix (Assumption 3) within the test stress range, 152 the void ratio of the clay matrix is given as

153
$$e_c = \frac{e\rho_c}{(1 - \psi_s)\rho}$$
(3)

154 Where ρ_c (g/cm³) is the density of soil particles in clay matrix, and ρ (g/cm³) is the overall 155 particle density of a sand-clay mixture. From the conservation of the volumes and masses of 156 the clay matrix and sand inclusions, the overall particle density of sand-clay mixtures ρ 157 (g/cm³) is derived as

158
$$\rho = \frac{\rho_c \rho_s}{(1 - \psi_s)\rho_s + \psi_s \rho_c} \tag{4}$$

159 where ρ_s (g/cm³) is the density of sand particles. The overall void ratio *e* in Eq. (3) is related 160 to the current overall strain ε (logarithmic strain was used in this study for a large strain of the 161 mixture samples in oedometer tests) and the initial overall void ratio e_0 :

162
$$e = (1 + e_0)e x (p - \varepsilon) - 1$$
 (5)

163 Substitution of Eqs (4) and (5) into Eq. (3), one computes the local volumetric variable e_c . 164 Furthermore, one can get the state variable ϕ_s from Eq. (2). Note that the current vertical strain 165 ε can be calculated from the initial height of a sample and the settlement at an incremental 166 stress level. Significant difference in stiffness of the constituents leads to a non-uniform stress 167 distribution (Harshin, 1983; Shi & Herle, 2017; Jamei, *et al.*, 2013; Zhuang *et al.*, 2017). To 168 this end, the volume average stresses are used, with σ' (kPa) and σ'_c (kPa) denoting the overall 169 effective stress and the local effective stress in the clay matrix, respectively.

170

171 **4. Test results and discussions**

Given the definition of variables (stresses, strains, void ratios and porosities), the test data can be analyzed. The saturated hydraulic conductivity is derived from the oedometer compression data. The definition of coefficient of consolidation (cm^2/s) is

175
$$c_{v} = \frac{k}{\gamma_{w}} \frac{d\sigma'}{d\varepsilon} = -\frac{k}{\gamma_{w}} \frac{d\sigma'}{d(\ln(1+e))}$$
(6)

176 Herein, *k* (cm/s) denotes the hydraulic conductivity; γ_w (g/s²/cm²) is the unit weight of water. 177 A double logarithmic $\ln\sigma' - \ln(1+e)$ relationship (Butterfield, 1979) can well represent the 178 compression curves of sand-marine clay mixtures (see Appendix, Figs a-d):

$$\ln(1+e) = N - \lambda \ln(\sigma' \sigma'_r)$$
(7)

180 where $\sigma'_r=1$ kPa is a reference stress, *N* and λ are parameters (*N* corresponding to the 181 reference stress, and λ being the slope of the Normal Compression Line in double logarithmic 182 plot). The above equation can well fit the compression curve of various remolded soils (e.g., 183 Sridharan & Prakash, 1996; Hong & Onitsuka, 1998; Hong *et al.*, 2010; Shi & Herle, 2015). 184 Combining Eqs (6) and (7), the coefficient of consolidation can be expressed as

185
$$c_{\nu} = -\frac{k}{\gamma_{\nu}} \frac{\mathrm{d}(\ln\sigma')}{\mathrm{d}(\ln(1+e))} \sigma' = \frac{k\sigma'}{\gamma_{\nu}\lambda}$$
(8)

186 From Eq. (8), one derives the overall hydraulic conductivity:

$$k = \frac{\lambda c_v \lambda_w}{\sigma'} \tag{9}$$

188 $c_v = 0.212h^2/t_{90}$, t_{90} (s) is the time duration at 90% of consolidation, and *h* (cm) is the height 189 of the specimen.

187

190 The computed data for the sand-marine clay mixtures are shown in Fig. 1 in terms of 191 overall hydraulic conductivity and overall effective stress on a double-logarithmic $(\ln\sigma'-\ln k)$ 192 scale. It is seen that the difference of hydraulic conductivity (mixtures with different sand 193 fractions) is not significant within 25 kPa, which becomes distinct with increasing stress at a 194 given initial water content. Additionally, the results suggest that the overall hydraulic 195 conductivity increases with the increase of sand fraction beyond 25 kPa.

196 The influence of initial water content of the clay matrix on the overall hydraulic 197 conductivity is shown in Fig. 2 ($\ln \sigma' - \ln k$ plot). In general, the (overall) hydraulic conductivity 198 for a higher initial water content is higher than that for a lower one. Regarding the pure 199 marine clay, the compression curve is not unique (see Appendix, Fig. a): a sample with a 200 higher initial water content possesses a higher void ratio at a given stress level (Cerato & 201 Lutenegger, 2004; Hong et al., 2010; Shi & Herle, 2015; Bian et al., 2016; Bian et al., 2017), 202 leading to different value of hydraulic conductivity. Analogously, the compression curve of 203 the mixtures is also affected by the initial water content of the clay matrix (see Appendix, 204 Figs b-d). In this case, a higher overall void ratio (corresponding to a higher initial water 205 content of the clay matrix) induces a higher value of overall hydraulic conductivity (see Fig. 206 2).

The properties of clay matrix are usually a frame of reference for interpreting the overall behavior of sand-clay mixtures in different states. After being plotted in terms of hydraulic conductivity and void ratio on a double-logarithmic ($\ln e_c - \ln k_c$) scale (Fig. 3a), the influence of initial water content on the permeability of the pure marine clay seems to be negligible. It is seen that there is approximately a linear relationship between $\ln e_c$ and $\ln k_c$:

$$\ln(k_c/k_r) = A_c + \xi_c \ln e_c \tag{10}$$

213 Where $k_r = 1$ cm/s is a reference permeability, ξ_c is the slope of the fitting line in $\ln e_c : \ln k_c$ 214 plane, and A_c corresponds to a reference void ratio $e_c=1$. The power relationship between k_c 215 and e_c was proposed by Mesri & Olson (1971) describing the permeability for a remolded soil. 216 This corresponds to the findings in previous work by other researchers (e.g., Carrier & 217

Beckman, 1984; Zeng et al., 2011, Zeng et al., 2012).

218 The lne-lnk relationship for sand-marine clay mixtures is shown in Fig. 3b. The overall 219 hydraulic conductivity of a sand-clay mixture increases with increasing sand fraction at a 220 given overall void ratio, which is consistent with the data from literature (e.g., Pandian et al., 221 1995; Watabe et al., 2011). The hydraulic conductivity for sand-clay mixtures are not well in 222 line with the pure marine clay (Fig. 3a), especially for a sand fraction of 60%. This may be 223 induced by the evolution of sand skeleton with increasing sand fraction.

224 Combining Eqs (3) - (5), one calculates the local void ratio e_c . Data of local void ratio vs. 225 the overall hydraulic conductivity are gathered in Fig. 4. It is clear that the influence of sand 226 fraction is significantly reduced after introducing the local void ratio of the clay matrix.

227 5. Estimation of overall hydraulic conductivity for sand-clay mixtures

228 Classical homogenization techniques were proposed following the mean-field 229 homogenization scheme (Hill, 1965; Mori and Tanaka, 1973; Lielens et al., 1998). 230 Homogenization laws were derived through averaging techniques within the Representative 231 Elementary Volume (RVE) concept (Eshelby, 1957). RVE should represent the 232 microstructure containing statistically sufficient mechanisms (Gonzalez et al., 2004; Quayum 233 et al., 2015; Zhuang et al., 2015). The homogenization approaches were widely used in 234 (Thermo-)Hydro-Mechanical analysis of multi-phase materials (Zhuang et al., 2014; Shi et al., 235 2017), complex crack propagation (Budarapu et al., 2014; Talebi et al., 2014), thermal

conductivity of polymer reinforced composites (He *et al.*, 2016). In this section, the
homogenization law would be derived from analyzing the intergranular structure evolution of
sand-clay mixtures.

239 5.1 Homogenization

From the homogenization concept, the structure of sand-clay mixtures can be bounded by 240 241 two limit configurations: (1) the hydraulic conductivity is the highest (upper bound) if the 242 sand and clay matrix are ranged in parallel in the water flow direction (parallel structure), and 243 (2) the hydraulic conductivity approaches the lower bound when the constituents are in series 244 in the flux direction. From the process of producing sand-clay mixtures, the sand inclusions 245 can be assumed to be randomly distributed in the reconstituted constituent. Consequently, the 246 parallel and series configurations are of equivalent effect on the overall behavior. Analogous 247 to the analysis of the effective stiffness of composite soils (Shi and Herle, 2017), the 248 logarithm of the overall hydraulic conductivity can be approximated by the volume average 249 values of the constituents.

250 Considering that the sand inclusions are approximately impermeable. In this case, the 251 overall hydraulic conductivity only depends on the clay matrix (denoted as 'saturation state' 252 of a composite material by Tu et al., 2015). Furthermore, the mentioned 'volume average 253 approximation' can only reproduce the overall behavior with a good accuracy at low coarse 254 fractions, e.g., with no direct contacts between the sand inclusions. With increase of the sand 255 fraction, possible force chains linking the sand particles form, which induces a gradual transition to the parallel configuration (Tu et al., 2015). To this end, a relationship governing 256 257 the evolution of sand skeleton is given as

258

$$\ln k = \eta (1 - \phi_s) \ln k_c \tag{11}$$

259 Where η is a structure variable related to the inter-granular structure evolution of the sand

260 skeleton in sand-clay mixtures. If the sand inclusions are randomly distributed in the soft clay 261 matrix, η depends on the volume fraction of sand inclusions. For a given stress level, the 262 structure variable can be computed from the local and overall hydraulic conductivities of 263 sand-clay mixtures. In the sequel, the evolution of structure parameter will be presented and 264 analyzed.

- 265
- 266

5.2 Local hydraulic conductivity and evolution of structure parameter

267 Thanks to the incompressible sand particles, the void ratio of the clay matrix is computed, 268 and its relationship with the overall stress is summarized in Fig. 5. At a given overall stress 269 level, the local void ratios of the clay matrix are e_{c1} (20% sand fraction), e_{c2} (40% sand 270 fraction) and e_{c3} (60% sand fraction), with $e_{c1} < e_{c2} < e_{c3}$. The local hydraulic conductivity 271 represents the hydraulic conductivity of the clay matrix. If it is assumed that the hydraulic 272 conductivity of the clay matrix in sand-clay mixtures is consistent with that of the pure clay. 273 At a given overall stress level, the local hydraulic conductivity depends on the overall void 274 ratio. Substitution of Eq. (3) into Eq. (10) gives

275
$$\ln(k_c/k_r) = A_c + \xi_c \ln\left(\frac{\rho_c}{(1-\psi_s)\rho}\right) + \xi_c \ln e$$
(12)

276 As can be seen in Fig. 5, at a given stress level, the values of local hydraulic conductivity 277 are k_{c1} (20% sand fraction), k_{c2} (40% sand fraction) and k_{c3} (60% sand fraction), with $k_{c1} < k_{c2} < k_{c3}$. This provides an explanation to the fact that the overall hydraulic conductivity 278 279 increases with the sand fraction (Fig. 1).

280 The evolution of the structure variable η is shown in Fig. 6. It is seen that η increases with 281 increasing overall effective stress, and the value with a higher sand fraction lies above the one 282 with a lower sand fraction. The change of η resembles that of the volume fraction of the sand 283 particles, suggesting a possible relationship between these two state variables.

284 Fig. 7 shows the relationship between the structure variable and the volume fraction of the 285 sand particles: the structure variable increases with the increasing volume fraction of sand, 286 which shows a slightly nonlinear relationship. One may imagine two limit cases: a negligible 287 sand fraction $\phi_s=0$ and an upper bound of the sand fraction $\phi_s=\alpha$. From Eqs (3) and (4), the void ratio of the clay matrix is overall value in case of $\phi_s=0$, and the corresponding structure 288 289 variable is reduced to one. Note that the upper bound of sand fraction α is not necessarily the 290 same as the one measured according to BS1377. It is a stress dependent variable which can be 291 reached by means of cyclic shearing with small amplitude (Herle and Gudehus, 1999). The 292 structure variable at the upper bound of sand fraction can be determined from analysis of the local variables. 293

The overall deformation process follows the clay matrix due to the incompressible sand particles. Therefore, the local coefficient of consolidation is the same as the overall value c_{ν} . Analogous to the derivation of the overall hydraulic conductivity (Eq. (9)), the local value can be given as

298
$$k_c = \frac{\lambda_c c_v \lambda_w}{\sigma'_c}$$
(13)

For a sand-clay mixture with the upper bound of sand fraction, the sand skeleton has to overtake an extra incremental stress alone. Given a stress increment, the local variables (e.g., the local compression coefficient λ_c , local stress σ'_c and coefficient of consolidation c_v) remain unchanged. In this case, the local hydraulic conductivity k_c is a constant. However, the overall compression coefficient λ is approximately zero due to the stiff sand skeleton, leading to an infinitesimal overall hydraulic conductivity (Eq. (9)). Consequently, the structure variable satisfies

$$\frac{\ln k}{\ln k_c} = \infty \tag{14}$$

307 An equation satisfying the above limit cases is given as

$$\eta = \left(\frac{\alpha}{\alpha - \phi_s}\right)^{\beta} \tag{15}$$

309 where α corresponding to an upper bound of the volume fraction of sand particles, and β is a 310 structure parameter. The homogenization approach (Eqs (11) and (15)) is simple with fewer 311 parameters compared with homogenization models in previous literatures. It may have 312 penitential application for engineers in geotechnical engineering.

Now a full model is proposed for the estimation of remolded sand-marine clay mixtures, with a general procedure as follows: First, the local void ratio e_c was computed from Eqs (3) and (4), and the volume fraction of sand particles ϕ_s was subsequently determined; Then, the structure variable η is calculated by Eq. (15); Finally, the overall hydraulic conductivity can be computed by substituting Eq. (12) into Eq. (11).

318

319 **6. Validation of the proposed model**

320 There are four parameters in the proposed model: A_c , ξ_c , α and β . A_c and ξ_c are intrinsic 321 permeability parameters corresponding to the pure clay matrix, and they are not sensitive to 322 the initial water content; α and β are structure parameters representing the evolution of sand 323 skeleton for a sand-clay mixture. At least two oedometer (or falling head hydraulic 324 conductivity) tests are needed for the calibration of model parameters: one test on the pure 325 marine clay and the other one on a sand-clay mixture with a specified sand fraction, to 326 calibrate the intrinsic permeability parameters and inter-granular structure parameters, 327 respectively. In this section, the model predictions will be compared with the laboratory data 328 and the data from literature. The model parameters for the sand-marine clay mixtures (and 329 other sand-clay mixtures) are listed in Table 2.

330 6.1 Sand-marine clay (HKMD) mixtures

331 The validity of the proposed model is first evaluated by comparing the test data of the 332 sand-marine clay mixtures with the model proposed in the last section. The corresponding 333 model parameters are listed in Table 2. The predicted compression curves are shown in Fig. 8 334 together with the experimental data. It can be seen that the hydraulic conductivity of the 335 sand-marine clay mixtures can be well reproduced by the model except for the tests with an 336 initial water content of the matrix of 99.5% (a slight difference). A possible explanation may be given as follows: increasing the initial water content reduces the electrolyte concentration 337 338 in the marine clay matrix, which tends to reduce the coefficient of permeability (Mesri and 339 Olson, 1971). Since the electrolyte concentration are within a narrow range for the tested soil, 340 only the hydraulic conductivity of the pure clay at very high initial water content shows a 341 slight deviation. If a new set of parameters (fitting from the pure marine clay with an initial 342 water content of 99.5%) is adopted (Nc=18.51 and λc =4.52), the experimental data and model 343 prediction would be more consistent. However, it is suggested to use the original values of the 344 intrinsic parameters (N_c =18.25 and λ_c =4.35), since it reduces the number of model parameters, 345 and the errors in Fig. 8(d) are acceptable in geotechnical point of view.

346 6.2 Sand-clay mixtures from literature

347 The model was proposed based on the tests for the sand-marine clay mixtures. Its348 capability on other sand-clay mixtures will be evaluated in this section.

349 (1) A sand-bentonite mixture

The experimental data carried out by Pandian *et al.* (1995) have been compared with the model predictions. The bentonite clay matrix was from the Kolar district in Karnataka state, India. It has a liquid limit of 330% and a plastic limit of 70%. The particle size of the sand particles varies between 0.075 mm and 0.425 mm. Two different sand fractions were 354 considered: 41% and 51%. The hydraulic conductivity was measured using falling head 355 hydraulic conductivity tests at various equilibrium pressures during oedometer tests. The 356 calibrated model parameters are given in Table 2 (The calibration of structure parameter is 357 shown in Fig. 9. Comparisons between the experimental data and theoretical simulations are 358 shown in Fig. 10. It can be seen that the behavior of sand-bentonite mixtures has been 359 simulated satisfactorily with the proposed model.

360 (2) Sand-bentonite-kaolin mixtures

361 Results of the experimental work performed by Deng et al. (2017) are used for the 362 validation of the model. The clay matrix was a mixture of kaolin and bentonite. Three sets of 363 tests considering different dry mass ratios of bentonite to kaolin (9/1, 7/3 and 5/5) were 364 reported. Each set of tests includes three different sand fractions (30%, 40%, and 50%). The 365 size of sand particles is smaller than 1.0 mm, and the sand material has the maximum void 366 ratio of 0.83 and the minimum void ratio of 0.485, respectively. Similar to this study, the hydraulic conductivity was estimated following Terzaghi's consolidation theory. The 367 368 calibration of structure parameter is shown in Fig. 11, suggesting that the structure parameter 369 depend on the sand materials (e.g., shape and size of sand particles), regardless of the clay 370 matrix. It is consistent with the discussions on the structure variable in the last section. The 371 measured and simulated hydraulic conductivity results of the mixtures are shown in Fig. 12. 372 The proposed model can well reproduce the sand-bentonite-kaolin mixtures except for several 373 points at very high stress levels (e.g., 1600 kPa).

374 (3) Other sand-clay mixtures

The experimental results performed by Sivapullaiah *et al.* (2000) on sand-bentonite mixtures and by Watabe *et al.* (2011) on sand-Nagoya clay mixtures, have been compared with the model predictions (see corresponding references for more details on the materials). The calibrated parameters are given in Table 2. Analysis using the proposed model was performed for each of tests. In Fig. 13, the hydraulic conductivity predicted by the model for the mixtures is compared with the experimental data. Most of the data points lie on or close to the 45° line, indicating the capability of the model in predicting the hydraulic conductivity behavior of sand-clay mixtures.

383 Several assumptions were made for the analysis of the test data, which is discussed as follows: 384 (1) The soil is assumed to be a binary mixture, with no macro voids in the clay matrix or on 385 the sand clay interface. The soil sample was mixed carefully in the lab, afterwards, air bubbles 386 in the samples were expelled by a vibration process. Furthermore, the maximum sand mass 387 fraction is 60%, which is low enough for the clay matrix to fill the inter-granular space. (2) It 388 is also assumed that the sand inclusions are incompressible, impermeable and all moisture is 389 associated with the clay matrix. Since the stiffness (hydraulic conductivity) of the sand 390 inclusions are significantly higher (lower) than that of the clay matrix. This assumption is 391 reasonable and was adopted by many researchers (e.g., Mitchell, 1993; Kumar, 1996; 392 Sivapullaiah, et al., 2000; Monkul and Ozden, 2005).

393

394 **7. Sensitivity analysis for the proposed model**

A sensitivity analysis on the model parameters will be done in this section. The intrinsic permeability data of pure marine clays are well consistent (Fig.3a), which can be uniquely defined by Eq. (10), irrespective of stress levels and initial water contents of the clay matrix. Additionally, the logarithmic value of overall permeability changes linearly with that of the local value for a given volume fraction of sand inclusions (Eq. (11)). Therefore, the sensitivity analysis is done only for the structure parameters α and β .

401 As mentioned above, the inter-granular structure parameter α and β are calibrated from an

402 oedometer test on sand-clay mixture with a specified sand fraction. In laboratory tests, the 403 sand inclusions may be not perfectly distributed in the marine clay matrix. Therefore, the 404 value of the structure parameters shows a slight oscillation. The authors have done 12 405 oedometer tests on the sand-marine clay mixtures. Correspondingly, 12 samples of the 406 structure parameters can be extracted from the laboratory tests. In this study, the Weibull 407 distribution (Weibull, 1951) was adopted for the structure parameters with probability density 408 functions as follows:

409
$$f(\alpha) = \frac{m_{\alpha}}{\zeta_{\alpha}} \left(\frac{\alpha}{\zeta_{\alpha}}\right)^{m_{\alpha}-1} \text{ exp-}[(\alpha / \zeta_{\alpha})^{m_{\alpha}}]$$
(16a)

410
$$f(\beta) = \frac{m_{\beta}}{\zeta_{\beta}} \left(\frac{\beta}{\zeta_{\beta}}\right)^{m_{\beta}-1} e \ge p - [(\beta / \zeta_{\beta})^{m_{\beta}}]$$
(16b)

411 Where $m_{\alpha} = 2.31$ and $m_{\beta} = 2.06$ are the shape parameters; $\zeta_{\alpha} = 0.72$ and $\zeta_{\beta} = 0.71$ are the 412 scale parameters of Weibull distribution. The calibration of parameters for Weibull 413 distribution is given in Fig. 14. The correlation coefficients are 0.94 and 0.95 for Eq. (17a) 414 and Eq. (17b), respectively. $F(\alpha)$ and $F(\beta)$ in the figure denote corresponding cumulative 415 distribution functions.

416
$$F(\alpha) = 1 - e \ge p - [(\alpha / \zeta_{\alpha})^{m_{\alpha}}]$$
(17a)

$$F(\beta) = 1 - e \ge p - \left[\left(\beta / \zeta_{\beta} \right)^{m_{\beta}} \right]$$
(17b)

Based on the probability density function, one creates series of random input structure parameters using Monte-Carlo method. The following state variables were adopted: e=0.82, $\phi_s=0.22$, corresponding to $w_{c0}=99.5\%$ with a sand fraction of 40% ($\sigma'=100$ kPa). The overall permeability is then computed from Eqs (11), (12) and (15). The probability density of the overall hydraulic conductivity is presented in Fig. 15, and the change of average value of the overall hydraulic conductivity with the number of simulation is shown in Fig. 16. It is seen that there is an oscillation in the beginning, then it shows a statistical convergence beyond 150 times of simulation. Note that this part provides only a preliminary sensitivity analysis on the structure parameters, since the main work of this study is to give a practical approach for the estimation of overall hydraulic conductivity of binary sand-clay mixtures. One can refer to the procedure after Vu-Bac *et al.*, (2016) for a more comprehensive uncertainty analysis.

429 **8.** Conclusions

In this paper, the permeability behavior of remolded sand-marine clay mixtures is investigated, considering both the influence of the sand fraction and the initial water content. A simple model is proposed for the estimation of the overall hydraulic conductivity of the sand-clay mixtures using homogenization approach. The following conclusions are drawn:

434 (1) At a given stress level, the overall hydraulic conductivity is affected by both the sand
435 fraction and the initial water content. This is due to the fact that a sample with a higher initial
436 water content possesses a higher void ratio at the same consolidation stress.

437 (2) After being plotted in terms of (overall) hydraulic conductivity and (overall) void ratio on
438 a double-logarithmic (ln*e*-ln*k*) scale, the influence of the initial water content on the overall
439 hydraulic conductivity of seems to be negligible.

(3) A simple model is proposed using the homogenization approach. It has four parameters:
two intrinsic and two structure ones, respectively. The model can well reproduce the
permeability behavior of both the sand-marine clay mixtures in this study and the one from
literature.

444

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- 593

594

1 List of Tables

- ² Table 1. Basic physical properties of the tested materials
- Table 2. Parameters for estimating the permeability of the sand-clay mixtures

Material	Liquid limit	Plastic limit	Density of particles	Sand	Silt	Clay
—	(%)	(%)	(Mg/m^3)	(%)	(%)	(%)
Clay	62.4	27.5	2.68	0	85	15
Sand			2.63	100	0	0

Table 1: Basic physical properties of the tested materials

Clay matrix	Sand inclusions	A_c	ξ_c	α	β	References
—	—	(cm/s)	(cm/s)	—		—
HKMD	Coarse sands	-18.25	4.35	0.74	0.70	This study
Bentonite	Fine sands	-29.65	6.73	0.77	0.73	Pandian et al., 1995
90%Bentonite+10%Kaolin	Poorly-graded sands	-26.62	4.50	0.70	0.68	Deng et al., 2017
70%Bentonite+30%Kaolin	Poorly-graded sands	-25.31	4.17	0.70	0.68	Deng et al., 2017
50%Bentonite+50%Kaolin	Poorly-graded sands	-23.41	3.52	0.70	0.68	Deng et al., 2017
Nagoya clay	Well-graded sands	-18.39	3.65	0.50	0.33	Watabe <i>et al.</i> , 2011
Bentonite	Coarse sands	-24.17	3.57	0.70	0.60	Sivapullaiah et al., 2000
Bentonite	Fine sands	-24.17	3.57	0.70	0.62	Sivapullaiah et al., 2000

Table 2: Parameters for estimating the permeability of the sand-clay mixtures

1 List of Figures

Figure 1. Change of permeability of sand-marine clay mixtures (or pure clay) with increasing
 stress level

⁴ Figure 2. Effect of initial water contents of clay matrix on the overall permeability

⁵ Figure 3. Permeability vs. void ratio relationship for pure marine clay and sand-clay mixtures

⁶ Figure 4. Relationship between the local porosity of the clay matrix and the overall permeability

⁷ Figure 5. Schemetric plot for the calculation of the local variables in remolded sand-clay mixtures

⁸ Figure 6. Evolution of structure variable η with increase of the stress level

⁹ Figure 7. Evolution of structure variable η with volume fraction of sand particles

¹⁰ Figure 8. Comparison between the model prediction and the experimental data

Figure 9. Change of structure variable η with volume fraction of sand particles

¹² Figure 10. Comparisons between the experimental data and theoretical simulations

Figure 11. Change of structure variable η with volume fraction of sand particles

¹⁴ Figure 12. Comparison between the model prediction and the experimental data

¹⁵ Figure 13. Comparison between the experimental values and model predictions

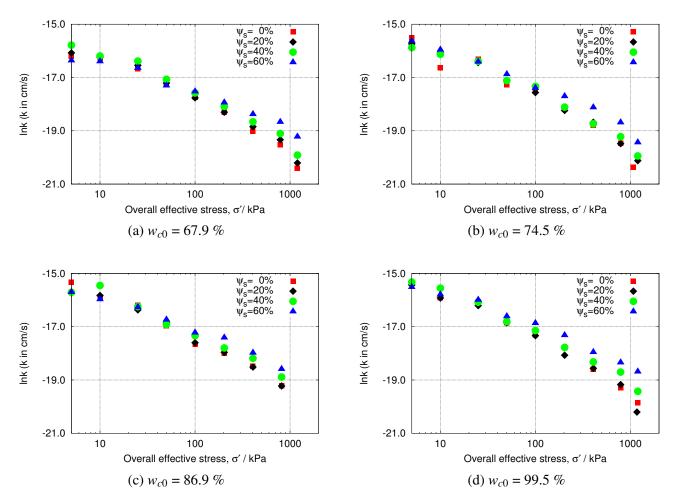


Figure 1: Change of permeability of sand-marine clay mixtures (or pure clay) with increasing stress level

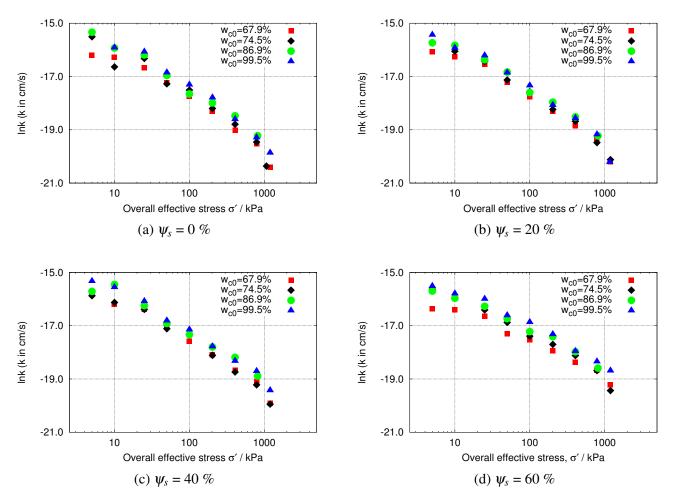


Figure 2: Effect of initial water contents of clay matrix on the overall permeability

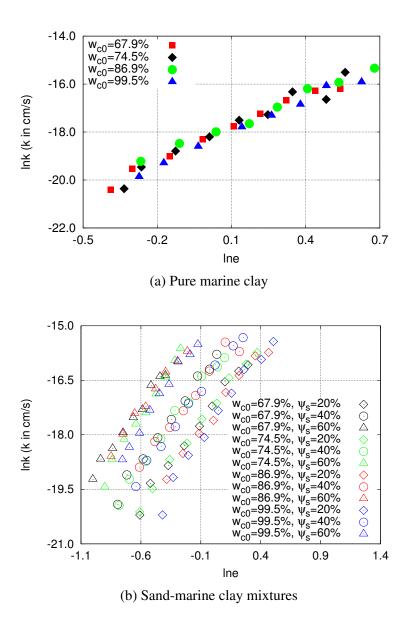


Figure 3: Permeability vs. void ratio relationship for pure marine clay and sand-clay mixtures

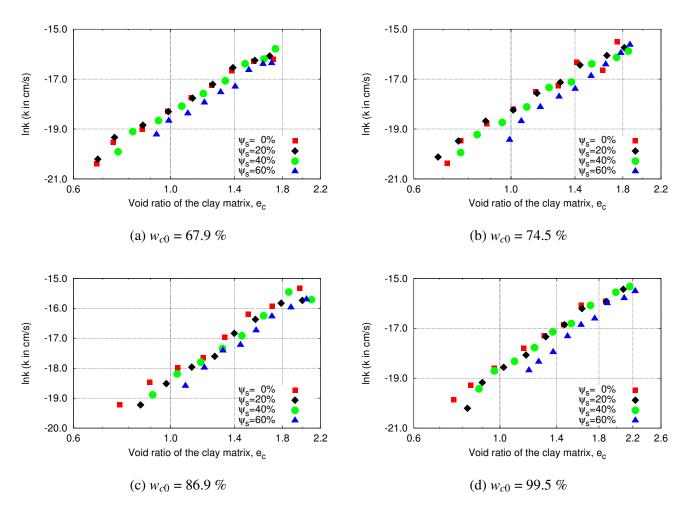


Figure 4: Relationship between the local porosity of the clay matrix and the overall permeability

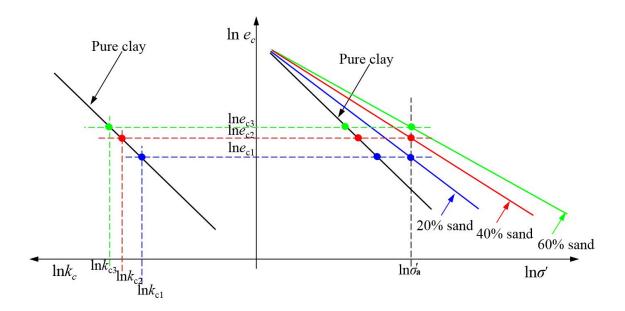


Figure 5: Schemetric plot for the calculation of the local variables in remolded sand-clay mixtures

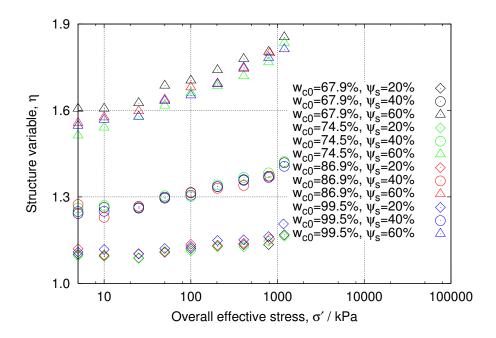


Figure 6: Evolution of structure variable η with increase of the stress level

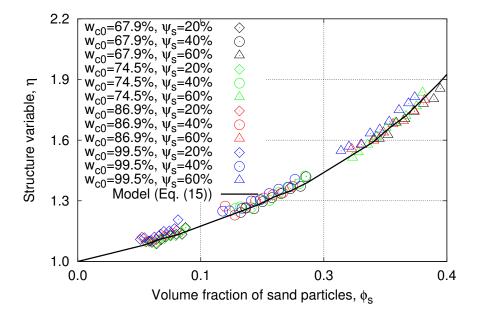


Figure 7: Evolution of structure variable η with volume fraction of sand particles

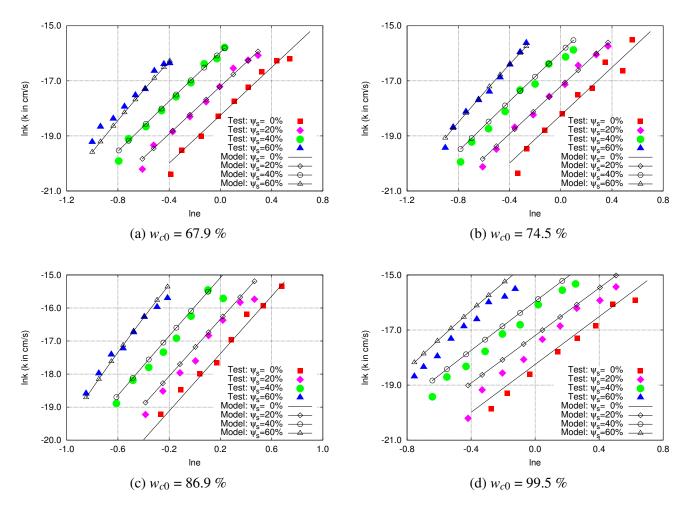


Figure 8: Comparison between the model prediction and the experimental data

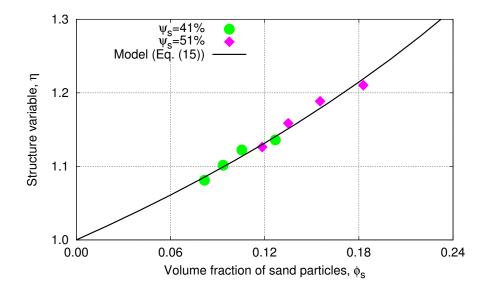


Figure 9: Change of structure variable η with volume fraction of sand particles

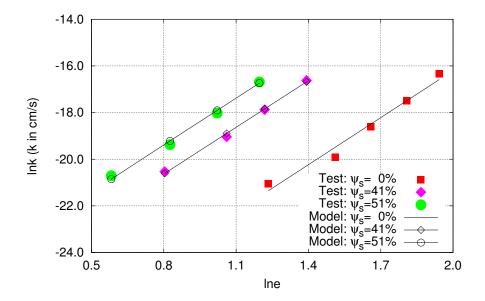


Figure 10: Comparisons between the experimental data and theoretical simulations

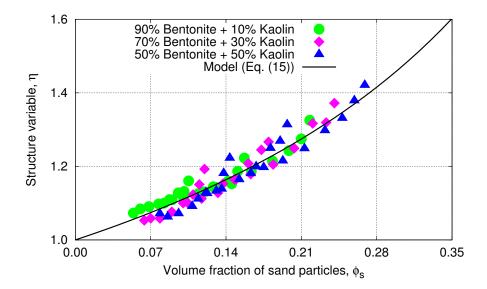
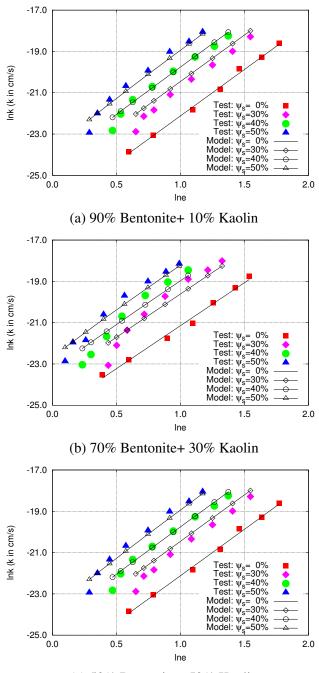


Figure 11: Change of structure variable η with volume fraction of sand particles



(c) 50% Bentonite+ 50% Kaolin

Figure 12: Comparison between the model prediction and the experimental data

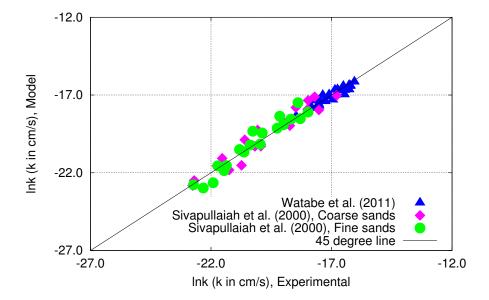


Figure 13: Comparison between the experimental values and model predictions