# Definiteness in plural reference in Shaoxing Wu

Jennifer Yao a, Stephen Matthews b

a School of Professional Education and Executive Development, The Hong Kong Polytechnic University, Hong Kong b Department of Linguistics, The University of Hong Kong, Pokfulam, Hong Kong

4 5 6

7

8

9

10

11

12

13

14

1 2

3

Abstract: This study reports a previously undescribed strategy for definite plural reference in the Wu dialect of Shaoxing: lian<sup>22</sup>-CL-NP, where 'lian<sup>22</sup>' together with a classifier marks a plural reference. We examine in depth its behaviours in different definite environments. We then show that the 'lian<sup>22</sup>-CL-NP' structure is predominantly used to represent a definite plural in typical definite contexts, except for part-whole bridging situations. Moreover, we argue that the numeral-classifier 'lian (two)-CL' in Shaoxing Wu undergoes a process of grammaticalisation wherein the lexical meaning of quantity 'two' is lost and the 'lian-CL' constitutes a single tonal unit which is employed to encode the meaning of 'a few'. Finally, we discuss several possible ways to derive the syntactic structure of DP with numeral and classifier elements. In doing so, we illustrate that all of the above properties of the 'lian<sup>22</sup>-CL-NP' can be captured by the two-head analysis, in which the number and classifier are assumed to instantiate two distinct heads.

15 16

Keywords: Chinese DPs; Definiteness; Lian<sup>22</sup>-CL-NP; Plural strategy; Shaoxing Wu 17

18 19 20

21

22 23

24

25

26

27

28

30

31

32

33

#### 1. Introduction

Research on definiteness in Chinese languages has established that a range of options are used among different dialects. In addition to bare NPs and demonstratives, a classifier-noun (CL-NP) sequence without an accompanying numeral, which is called the 'bare-classifier' pattern, has been reported to represent definite reference, especially in southeastern Chinese languages. For example, the bareclassifier-noun structure is predominantly used to represent definite noun phrases in Cantonese and is normally acceptable in Wu dialects<sup>1</sup>, while it sporadically appears in other Chinese dialects (e.g. Matthews and Yip, 1994; Cheng and Sybesma, 1999, 2005; Li and Bisang, 2012; Simpson, 2017; Yao, 2018). The following are examples of definite CL-NP in Chinese dialects.

Cantonese<sup>2</sup> 29

(1)\*(Zek) gau soeng gwo maalou

(Cheng and Sybesma, 1999).

CL dog want cross road.

'The dog wants to cross the road'.

Wenzhou Wu

<sup>&</sup>lt;sup>1</sup> Wu Chinese is a major group in Chinese that is situated between Mandarin and Min-Cantonese groups. It is spoken in the Yangtze Delta area, including Shanghai, Zhejiang Province and southern Jiangshu Province. Furthermore, it is divided into six subgroups. Roughly, Wu dialects are also classified into two subtypes: southern Wu and northern Wu, with the latter being normally viewed as the most typical representative as the topic prominent language in Chinese with a predominant SOV word order. Both Jinyun Wu, located in the Jin-Qu area and Wenzhou Wu as mentioned in this paper belong to the southern Wu, thus sharing some commons with Min Chinese. Meanwhile, Shaoxing Wu, which is discussed in this paper, is a typical member of northern Wu. It is spoken in and around the city of Shaoxing and has approximately 5,270,977 speakers.

<sup>&</sup>lt;sup>2</sup> The original versions of the cross-language data cited from other works related to the current topic are retained in this paper. Cantonese data cited from Cheng and Sybesma (1999) are recorded with the Jyutping system, and the Wenzhou Wu data from Cheng and Sybesma (2005) are transcribed with IPA without tones. Several Jinyun Wu data from Simpson (2017) are also transcribed with IPA without tones. However, all Shaoxing Wu data presented in this paper are recorded with IPA system with tones. The data in Mandarin are recorded with Pinyin.

```
(2) dyu kau i
                           tsau-ku ka-løy
                                                                     (Cheng and Sybesma, 2005).
34
           CL dog want walk-cross road
35
           'The dog wants to cross the road'.
36
      Shaoxing Wu
37
38
      (3) 儂
                塊
                         地板
                                     揩-揩
                                                伊清爽
                                                                             (Yao, 2018).
           no<sup>72</sup> khue<sup>33</sup> di<sup>11</sup> pẽ<sup>13</sup> kha<sup>1</sup>- <sup>1</sup>kha<sup>33</sup> i<sup>11</sup> tchin<sup>53</sup> san<sup>11</sup>
39
                         floor wipe-wipe it
40
           vou CL
                                                      clean
          'Please clean the floor'.
41
42
      Jiyun Wu
      am ob cugusub og .am nammeij o'chmeizbeizban (4)
                                                                             (Simpson, 2017)
43
                              famous very CL library big very
44
          'USC is very famous. The library is very big'.
45
```

Differing from Cantonese, the bare CL-NP structure in Wu dialects alternates with the bare noun phrase to refer to a definite individual/entity in many cases (Yao, 2018). Simpson (2017) described such an alternation in Jinyun Wu in relation to the four different contexts for definites initially proposed by Hawkins (1978). These contexts include anaphoric definite, bridging, reference to salient objects visible or nonvisible and with or without personal relations with the speaker, and specifically unique or directly connected to the speaker. Simpson further argued that no free alternation occurs between bare NP and bare CL-NP patterns in Jinyun Wu. These two options highlight different aspects of definiteness, showing strong parallels to languages with strong and weak definite articles.

However, the definite referents in all cases discussed by Simpson (2017) are singular and countable. As such, cases with plural and mass definite reference have not yet been reported. Will the same strategy be employed to encode plural definite reference as in cases with singular referents? The answer seems negative, at least in Shaoxing Wu. Empirically, Shaoxing Wu adopts another undescribed definiteness option, 'lian<sup>22</sup>-CL-NP', instead of bare CL-NP to represent plural definite reference. This option is exemplified in (5), where the first 'lian<sup>22</sup>-gə? nin<sup>23</sup>' encoding indefinite 'some workers' is employed and occupies the postverbal position. Meanwhile, the second one in the preverbal position refers back to the referents introduced by the first 'lian<sup>22</sup>-gə<sup>2</sup> nin<sup>23</sup>' and is understood as definite.

```
(5) tso<sup>33</sup> və<sup>223</sup> dzi<sup>231</sup> ma<sup>2</sup> ŋo<sup>113</sup> te<sup>h</sup>iŋ<sup>335</sup>-lə<sup>2</sup> <u>liaŋ<sup>22</sup>gə<sup>2</sup> niŋ<sup>23</sup></u>. pie<sup>241</sup>ku<sup>11</sup>, <u>liaŋ<sup>22</sup>-gə<sup>2</sup> niŋ<sup>23</sup></u> do not finish Prt I hire-PFV a few-CL person. However, a few-CL person tu<sup>33</sup> və<sup>223</sup> na<sup>223</sup>ge<sup>223</sup> hue<sup>41</sup> tso<sup>33</sup> la.
```

can do Prt

'I cannot finish everything myself alone, so I hired <u>some workers</u> to help me. However, <u>the</u> <u>workers</u> are not helpful'.

The interpretation of the second 'lian<sup>22</sup>-gə? nin<sup>23</sup>' in the above data (5) depends on that of a preceding expression, which is a typical anaphoric use of a definite. We then need to examine other definite contexts where plural reference is involved. Will the 'lian<sup>22</sup>-CL-NP' structure be employed in all definite environments, or will it alternate with other patterns, such as bare NP, CL-NP, Num-CL-NP and Dem-CL-NP, to represent different aspects of definiteness in Shaoxing Wu? The varieties of definiteness marking in Chinese languages have received a substantial amount of attention in the literature within different theoretical frameworks (e.g. Cheng & Sybesma, 1999; Chen, 2009; Jenks, 2018; Dayal and Jiang, 2021; Bremmers et al., 2021). However, few attempts have been made to

distinguish singular definite reference from plural reference in Chinese. Thus, a limited number of studies have focused on definiteness in plural reference. New data from Shaoxing Wu show that it employs distinctive strategies to represent singular and plural definite reference. Unlike singular definite reference, which is dominantly encoded by the bare CL-NP, Shaoxing Wu prefers the expression 'liaŋ<sup>22</sup>-CL-NP' to denote plural definite reference.

This study aims to describe this novel definiteness strategy for plural reference in Shaoxing Wu. The work examines the behaviours of this plural definite reference in different types of definite contexts as proposed by Simpson (2017) as well as its alternation with other options, such as bare nouns, bare CL-NPs and Dem-CL-NPs. Furthermore, the data presented in this paper indicate that the numeral lian in Shaoxing Wu undergoes a process of grammaticalisation: lian 'two' > lian + classifier 'a few', wherein the lexical meaning quantity 'two' is bleached and 'lian-CL' is employed with noun phrases to represent (in)definite plural reference. The paper is organised as follows. Part 2 provides a brief review of the two-way distinction between strong versus weak definites, which is parallel to the traditional approaches of familiarity versus uniqueness to the concept of definiteness in the literature. This part also presents an introduction of the types of definite environments with which the lian<sup>22</sup>-CL-NP structure interacts. Next, part 3 is an introduction of 'lian' and 'lian<sup>22</sup>-CL-NP' in Shaoxing Wu. Then, the definiteness properties of 'lian<sup>22</sup>-CL-NP' as well as its alternations with other definiteness strategies will be discussed in part 4. In part 5, we discuss the 'lian<sup>22</sup>-CL' as a grammaticalisation of plural marking strategy in Shaoxing Wu. Moreover, we provide a structural analysis of the approximative 'lian<sup>22</sup>-CL-NP' construction where the 'lian<sup>22</sup>-CL' forms a tone unit and is motivated to move up to the D<sup>0</sup> position to obtain a(n) (in)definite reading in part 6. The whole picture of the definiteness strategies in Shaoxing Wu, including its distinct means to represent singular definite referents and a comparison with other Chinese languages, such as Cantonese and Mandarin, will be touched upon in future work.

### 2. Definiteness and types of definite environments

### 2.1 What is definite?

80

81

82

83

84 85

86

87

88

89 90

91

92

93

94

95

96

97

98

99

100

101

102

103

104105106

107

108 109

110

111

112

113

114

115

116

117

118

119

120

121

122

123

125

How do we define the notion of definiteness? In the literature, rich, descriptive and theoretical work have been conducted on definiteness and related issues in natural languages. In addition, several attempts have been made to characterise definiteness, especially within formal approaches. Mainly, two terms are used: uniqueness and familiarity, which are successively proposed to be the central notions underlying definite reference (Russell, 1905; Abbott, 2004; Christophersen, 1939; Heim, 1982; Kamp, 1981 and many others). However, definite descriptions across languages sometimes favour familiarity over uniqueness, whereas the reverse applies in other cases. Lyons (1999) and Schwarz (2009) argued that uniqueness and familiarity are central notions to license the use of definite expressions; moreover, these two concepts are encoded by distinct forms in languages, such as weak versus strong definites in German. According to Schwarz, the distribution of strong and weak definites in German shows strong parallels to the distinction of familiarity versus uniqueness. Strong definites are predominantly used in anaphoric cases, embracing the character of familiarity, while weak definites primarily appear in immediate- and larger-situation definite contexts in Hawkins' terms, indicating the characteristics of uniqueness. For the associative (or bridging) uses that Hawkins discussed, Schwarz argued that some qualify as strong and others as weak. Key examples from Schwarz (2009) are given in (6) and (7).

### 124 Strong definite

(6) In der New Yorker Bibliothek gibt es ein Buch über Topinambur. Neulich

in the New York library exists there a book about topinambur recently

127

131

132

133

134

136

137

138

139

140

141

142

143

144

145

146

147

152

war ich dort und habe #im /in dem Buch nach einer Antwort auf die Frage
was I there and have #in.the/in the book for an answer to the question
gesucht, ob man Topinambur grillen kann.

searched if one topinambur grill can

'In the New York Public Library, there is a book about topinambur. Recently, I was there and searched **in the book** for an answer to the question of whether one can grill topinambur'.

(Schwarz 2009:30)

### 135 Weak definite

(7) Der Empfang wurde vom /#von dem Bürgermeister eröffnet.

the reception was by.the /#by the mayor opened

'The reception was opened by the mayor'. (Schwarz 2009:40)

Following Schwarz (2009), several studies have argued that the strong versus weak distinction creates underlying definiteness paradigms in typologically diverse languages with or without articles, such as bare NPs versus demonstratives distinctions in Mandarin (Jenks, 2018; Dayal and Jiang, 2021; Bremmers et al., 2021), bare NPs versus bare CL-NPs in Jinyun Wu (Simpson, 2017) and many other languages (Aguilar-Guevaraet al., 2019). In this study, we have no intention to argue that the uniqueness approach is better than the familiarity one or vice versa. Instead, we concentrate attention on the marking strategies adopted in different definite environments. In the current work, an entity or individual denoted by the nominal phrase is assumed to be definite as long as it is globally unique or its reference has already been established directly or indirectly (inferably) in the previous context.

### 148 149

### 2.2 Types of definite environments

Hawkins (1978) identified eight different usage types of the definite article in English, which have been emended and grouped into four major classes of definite environments, as shown below:

### a. Anaphoric use

- 153 (8) Mary bought a book. The book was expensive.
- **b. Immediate situation**
- 155 (9) Beware of the dog!
- 156 c. Larger situation
- 157 (10) The sun rises every morning.
- d. Bridging (Clark, 1975, Hawkins' associative anaphora)
- 159 (11) Mary bought a book. The author is very famous. (producer-product)
- 160 (12) John was driving down the street. The steering wheel was cold. (part-whole)

161 162

163

164

165

166

167

168

169

170

171

The so-called larger situation above, also known as global uniqueness, involves reference to entities or individuals that uniquely meet the descriptive content of the definite description according to world knowledge, such as 'the sun' and 'the earth'. Given that this study focuses on plural definite reference, the larger situation involving singular reference will not be discussed. In addition, the immediate situation is elaborated as the contexts with referents that are (non)visible and with(out) contrast to distinguish the demonstrative from the definite in Shaoxing Wu. According to Hawkins, the entities or individuals in the immediate situation are specific and unique only for the parties involved in the current context but not in all situations. This type of definite use may be separated into several subtypes, such as recognitional use and deictic use (Himmelmann, 1996). Recognitional referents represent another type of referent that is identifiable by both the speaker and the listener because of

their previous shared experience or specific knowledge, without being an element of the current 172 discourse universe. These uses in Shaoxing Wu are exemplified in the following sections, as in (30)-173 (31), (35)-(36) and (57)-(58). They may overlap with two other types of definite contexts, namely, 174 bridging referents and referents involving close personal relations. Both the structures of CL-NP and 175 bare NP may be employed to represent recognitional referents in Shaoxing Wu, and the choice 176 between these two options is regulated by the degree of specificity. Thus, we do not discuss 177 recognitional referents separately<sup>3</sup>. Building on Simpson (2017), this study will examine the plural 178 definite reference represented by lian<sup>22</sup>-CL-NP in four definite environments: (1) anaphoric context, 179 (2) bridging or associative anaphora context, (3) context with referents (non)visible and with(out) 180 contrast and (4) context with (non) speaker's kin and personal relations. 181

### 3. Lian (two) and lian<sup>22</sup>-CL-NPs in Shaoxing Wu

### 3.1 Two variants of lian in Shaoxing Wu

The word lian (two) in Shaoxing Wu has multiple usages which are distinguished by tones. One is  $lian^{1/3}$  with a rising tone, which means 'two', and the other is  $lian^{2/2}$  with a level tone, serving as an approximation which means 'a couple of' or 'a few'.

Just as Mandarin has two words for 'two':  $er^{51}$  ( $\equiv$ ) and  $liang^{214}$  ( $\overline{\bowtie}$ ),  $liang^{113}$  in Shaoxing Wu also has a variant  $\eta i^{31}$  ( $\equiv$ ). However, these two words have distinct distributions in parallel with Mandarin  $er^{51}$  ( $\equiv$ ) and  $liang^{214}$  ( $\overline{\bowtie}$ ). Shaoxing Wu ' $\eta i^{31}$ ' as the counterpart of Mandarin ' $er^{51}$ ' is normally used to count, as in (13), or to express an ordinal number, as in (14). Meanwhile,  $liang^{113}$  is adopted to denote the number two, thus forming so-called numeral classifier phrases of the form [liang<sup>113</sup>-CL-NP] together with classifiers, as illustrated in (15). In these above situations,  $liang^{113}$  and  $\eta i^{31}$  are not interchangeable.

```
195 (13) ie<sup>24</sup>, ηi<sup>31</sup>/*liaη<sup>113</sup>, sæn<sup>41</sup>, ...

196 one, two, three,...

197 (14) di<sup>113</sup> ηi<sup>31</sup>/*liaη<sup>113</sup>

198 second

199 (15) liaη<sup>113</sup> (*ηi<sup>31</sup>) gə ηiη<sup>23</sup> /piη<sup>23</sup> ku<sup>11</sup>

200 two (*two) CL person/ apple
```

### 3.2 Multiple interpretations of lian<sup>22</sup>-CL-NPs

Researchers have reported that the cardinal number 'two' in many Chinese dialects can also function

#### Mandarin

182

183

184

185

186 187

188

189

190

191

192

193

194

201

202

203

(1) Wo<sup>214</sup> zuo<sup>35</sup>tian<sup>55</sup> mai<sup>214</sup>-de<sup>0</sup> (na<sup>51</sup> pen<sup>35</sup>) hua<sup>55</sup> si<sup>214</sup> le<sup>0</sup>. I yesterday buy-DE that CL flower dead SFP 'The flower I bought yesterday was dead.'

Shaoxing Wu

(2)  $\int_{0}^{\pi} n^{113} man^{113} kn^{41} dx^{231} ma^{113} ge^0$  (lian<sup>22</sup> pēn<sup>335</sup>) cy<sup>41</sup>, no?<sup>23</sup> hno<sup>335</sup> khô<sup>33</sup>-khô<sup>33</sup> go?<sup>0</sup>. I online buy DE (a few CL) book you may look Prt 'You may take a look the book I bought online.'

<sup>&</sup>lt;sup>3</sup> The same applies to establishing referents. According to Hawkins (1991), establishing referents in English are normally expressed by a head noun with a following relative clause. Chinese languages, especially in their spoken forms, rarely use these structures. Such usage normally appears in written Mandarin, which is argued to be influenced by European languages, and a reverse word order will be used (Wang 1944 and many others). For example:

as an approximative that means 'a few/a couple of' (Lü, 1999; Tsai, 2002; Wang Xiaohui and Wang 204 Xiaodong, 2012; Sheng, 2019). One instance is its use in Mandarin. In addition to the well-known 205 approximative number  $ii^{214}$  (some), the cardinal number  $liang^{214}$  (two) can also be used as an 206 approximative denoting 'a few'. However, such usage of liang-CL-NP in Mandarin is restricted. The 207 approximate interpretation is available only when 1) the tone of 'liang' is neutral and 2) the 'liang-208 CL-NP' phrase appears postverbally, as in (16). Otherwise, 'liang' can only be interpreted as 209 expressing the exact quantity 'two', as in (17) below. 210

Mandarin liang (two)

211

213

215

216

217

218 219

220

221

222 223

224

225

226 227

228

229

230 231

232

233

234

235

236 237

238

239

242

248

249

- (16)  $Lai^{35} ke^{51} ren^0 le^0$ chao<sup>214</sup> liang<sup>0</sup> ge<sup>0</sup> cai<sup>51</sup> qu<sup>0</sup>. (neutral tone and postverbal) 212 come guest FSP fried some dish go 214 We have guests and I will go to cook some dishes.
  - $(17) \text{ Ta}^{55} \text{ chao}^{55} \text{le}^0 \quad \text{liang}^{214} \text{ge}^{51} \quad \text{cai}^{51}.$ (without neutral tone) she fried-PFV two-CL dish She cooked two dishes.

(Wang Xiaohui and Wang Xiaodong, 2012)

Differing from Mandarin, the approximative reading of lian<sup>22</sup>-CL-NP in Shaoxing Wu is available whenever it appears preverbally or postverbally. However, it is normally interpreted as definite or specific in preverbal position, as in (18). Meanwhile, in postverbal position, it is usually understood as indefinite, as in (19):

- (18)  $ga^{11} cy^{23} to^{41} ho^{11} ve^{11} ze^{245} ge^{223} lian^{22} -ge^{223} cin^{335} nin^{23} na^{223} ge^{223} tchi^{245} -le^2 kuan^{41} ga^{11}$ ? So many dishes very a few-CL kid how eat-PFV finish Prt How can these few kids eat up so many dishes?
- (19)  $pin^{23}ku^{11} no^{113} t^h a^{233} ge^{223} to^{41} dze^{23}$ ,  $no^{223} do^{231} lian^{22} ge^{223} te^{hi^{33}}$ . many Prt, you take a few-CL go I have too many apples and you may take **some**.

Moreover, 'lian<sup>22</sup>-CL-NP' is preferred in cases such as anaphoric definite reference, as in (20), bridging cross-reference, as in (21), and reference to salient visible entities, as in (22). 'Lian<sup>22</sup>-CL-NP' is used for definite reference instead of bare CL-NPs and bare noun phrases.

**Anaphoric reference** 

- (20)  $tso^{33} və^{223} dzi^{231} ma^{20} \etao^{113} te^hi\eta^{335}$ - $lə^{20} lia\eta^{22}gə^{223} \etai\eta^{231}$ .  $pie^{241} ku^{11}$ ,  $lia\eta^{22}$ - $ga^{20}$   $\etai\eta^{231}$  do not finish Prt I hire-PFV a few-CL person. but a few-CL person  $(*nin^{231}/*ke^{745}lian^{22}-ga^{723}nin^{231})$  tu<sup>33</sup> və<sup>723</sup> na<sup>723</sup> ge<sup>723</sup> huE<sup>41</sup> tso<sup>33</sup> la<sup>0</sup>. (\*person/\*these a few-CL person) all not very can do Prt
- 'I cannot finish everything myself alone, so I hired some workers to help me. However, the 240 workers are not helpful'. 241

**Bridging reference** 

- (21)  $no^{223} ma^{113} le^{20}$  bu<sup>11</sup>  $ni^{31} sx^{335} ts^h o^{41} a^0$ ,  $\underline{lian^{22} ge^{223} lue^{113} t^h e^{41}} kan^{41} kan^{41} hue^{41} kan^{41}$ 243 you buy-Asp CL 2-hand car Prt, a few-CL 244 tires just change-EXP Prt  $m\tilde{a}^{11}m\tilde{a}^{11}\sin^{41}-da^{23}$   $1e^{0}$  $1e^0$ , 245 verv new-Asp Prt 246
- Did you buy a second car? The tires seem to have just been changed and look brand new. 247

### Salient visible reference

```
(22) fio<sup>231</sup> tsẽ<sup>41</sup>-taŋ<sup>41</sup> ze<sup>23</sup>-ku<sup>41</sup> a<sup>0</sup>, liaŋ<sup>22</sup>-gə<sup>70</sup> cio<sup>335</sup>-niŋ<sup>23</sup> (*cio<sup>335</sup>-niŋ<sup>23</sup> /* ke<sup>745</sup> liaŋ<sup>22</sup> gə<sup>70</sup>
Prt truly pity Prt a few-CL kids (*kids /*these some-CL

cio<sup>335</sup> niŋ<sup>23</sup>) ha<sup>733</sup>-sa<sup>733</sup> dze<sup>23</sup> a<sup>0</sup>!
kids) scare-dead Prt Prt

What a pity! The children were shocked by the accident.
```

Therefore, the interpretation of lian<sup>22</sup>-CL-NP in Shaoxing Wu seems to be sensitive to the syntactic position where it appears. For instance, it will be understood as indefinite approximative, which is equivalent to 'a few/a couple of' in English, when it occupies the postverbal position. When the lian<sup>22</sup>-CL-NP appears preverbally as the subject or topic, it will be understood as definite, representing definite, specific plural entities. In the following section, we will examine examples of preverbal lian<sup>22</sup>-CL-NPs and their alternations with bare nouns and demonstrative phrases. All examples below are from daily conversations by Shaoxing Wu speakers.

### 4. Plural definite reference and lian<sup>22</sup>-CL-NPs in Shaoxing Wu

Following Simpson (2017), we will examine the behaviours of definite 'liaŋ<sup>22</sup>-CL-NP' in four different contexts where plural definite reference is involved: (1) anaphoric context, (2) bridging or associative anaphora context, (3) context with referents (non)visible and with(out) contrast, and (4) context with (non)speaker's kin and personal relations. We will also include the alternations between 'liaŋ<sup>22</sup>-CL-NP' and other patterns, such as bare nouns, CL-NPs and Dem-CL-NPs, to represent different types of definite reference, which have rarely been mentioned in the literature.

### 4.1 Anaphoric plural definite reference

One major type of definite reference, as proposed by Hawkins (1978), is the anaphoric definite, where a novel referent is first introduced into a discourse and the following mention must refer back to this referent with a definite noun phrase. The examples below from daily conversions by Shaoxing Wu speakers show that 'lian<sup>22</sup>-CL-NP' is employed to represent plural anaphoric definite reference.

(23) Context A: A conversation via phone between a mother and her son. The mother complains about her newly hired workers in their family factory.

```
tso<sup>33</sup> və<sup>223</sup> dzi<sup>231</sup> ma<sup>231?</sup> ŋo<sup>113</sup> te<sup>h</sup>iŋ<sup>335</sup> lə<sup>?</sup> <u>liaŋ<sup>22</sup>-gə<sup>223</sup> niŋ<sup>231</sup></u>. pie?<sup>41</sup> ku<sup>11</sup>, <u>liaŋ<sup>22</sup>-gə<sup>2</sup> niŋ<sup>231</sup></u> do not finish Prt I hire-PFV a few-CL person. but a few-CL persons
```

```
(*\underline{nin}^{231} /*\underline{ke}^{745} \underline{lian}^{22} \underline{go}^{723} \underline{nin}^{231}) tu<sup>33</sup> və<sup>723</sup> na<sup>723</sup>-ge<sup>723</sup> hue<sup>41</sup> tso<sup>33</sup> la. (*persons/*these some persons) all not very can do Prt
```

I cannot finish everything by myself, so I hired **some workers** to help me. However, **the workers** are not very good at it.

(24) Context B: A conversation via phone between a mother and her daughter. The daughter sent a parcel to her mother, which had been mentioned to the mother earlier. They are now talking about the presents they have received from each other.

```
A: m<sup>41</sup> ma<sup>41</sup>, gə<sup>723</sup> pv<sup>41</sup>ku<sup>335</sup> ka<sup>41</sup> k<sup>h</sup>ua<sup>33</sup> taŋ<sup>41</sup> tv<sup>33</sup>dzE<sup>23</sup> a. no?<sup>23</sup> liaŋ<sup>22</sup>-dziẽ<sup>11</sup> mum, CL parcel so quick soon arrive-Asp Prt. you a few-CL
```

```
i^{41}z\alpha\eta^{231} fiix^{113} fiix^{113} s\eta^{33}ku^{33}? 4 clothes have have try-Exp
293
294
                      'Mum, you have received the parcel so quickly. Have you tried the clothes?
295
296
                  B: za\eta^{113}\eta a^{11} tv^{33} = go^2 \cdot tu^{231} = s\eta^{33}ku^{33} = dze^{23}, hv^{335} = ts^h u\tilde{\phi}^{41} = go^2 \cdot \underline{lia\eta^{22}dzi\tilde{e}^{11}} = i^{41}za\eta^{231}
297
                     yesterday arrive Prt all tried-Exp Prt good wear Prt. a few-CL
298
299
                   /*\underline{i^{41}} \underline{za\eta^{231}} do<sup>11</sup>civ<sup>335</sup> fia<sup>113</sup> kaŋ<sup>41</sup>kaŋ<sup>41</sup> hv<sup>335</sup>
/* clothes size also just good
300
301
                   'I received the parcel yesterday. I have tried all the clothes. The clothes are suitable for me in
302
303
                     size'.
304
```

(25) Context C:  $pa^{41}nian^{13}$  is a tradition in Shaoxing Wu when relatives and friends visit each other and gather together to celebrate the Chinese Lunar New Year. The gathering party will begin soon. The host is now checking the arrival of guests and finds that all relatives have arrived with the exception of the uncles. A conversion occurred then between the daughter and her mother as the party host is talking about the absence of the uncle, aunt and their son.

```
A: m<sup>41</sup> ma<sup>41</sup>, siv<sup>113</sup> dziv<sup>11</sup>dziv<sup>11</sup>la<sup>41</sup> væ<sup>231</sup> ve?<sup>2</sup> le<sup>231</sup>doy<sup>11</sup> le<sup>231</sup> a<sup>0</sup>? mum, little uncle-PL still not come-here come Q 'Mum, have the uncles not arrived here yet?'
```

The phrases  $lia\eta^{22}$ - $g\partial^2 \eta i\eta^{23}$  'two persons' and  $lia\eta^{22}$ - $dzi\tilde{e}^{11}$   $i^{41}za\eta^{231}$  'two pieces of clothing' in (23)-(25) do not mean 'the two of them'. Rather, the 'liaη<sup>22</sup>-CL-NPs' in all the instances appearing in the preverbal positions denote definite referents that have been mentioned in the earlier discourse: the workers, the clothes and the uncles. In contrast, bare NPs are not allowed in the above situations. As the translations suggest, the most acceptable English correspondence should be 'the NPs', such as 'the workers' in (23), 'the clothes' in (24b) and 'the persons' in (25). Meanwhile, in Mandarin, the demonstrative phrases 'zhexie' (these)-NP/'naxie' (those)-NP will be employed in such contexts.

### 4.2 Bridging or associative anaphora

The status of bridging or associative anaphora uses of definiteness is arguable in the literature. These cases share features in common with the anaphoric uses and situational/global unique definiteness in some respects (Hawkins, 1978; Schwarz, 2013; Ortmann, 2014; Simpson, 2017 and others). Traditionally, this special type of definite uses is classified into two subtypes of definiteness. (1) The first subtype is the part-whole association, where the definite reference in the second sentence instantiates a part of the preceding referent in the first utterance, as in (26). (2) The second subtype is

<sup>&</sup>lt;sup>4</sup> Utterance A here demonstrates a bridging context where 'these clothes' are packed in the parcel. Hence, the pattern of lian<sup>22</sup>-CL-NP denoting plural definite reference is used. We will discuss this type of definite in detail in section 4.2.

relational anaphora bridging, in which the definite has no part-whole relation with the preceding referent but normally has a producer-product relationship, as in (27). The reference in the second sentences in both cases is tied to particular referents that have been mentioned in the preceding context and is thus indirectly anaphoric.

- (26) I am going to return my new **laptop** to the store. **The screen** is already broken.
- (27) Last year, I bought a beautiful **painting** of Amiens. **The artist** is now quite well known.

(Simpson 2017: 317)

According to Simpson (2017), the definiteness of the screen and the artist in (26) and (27), respectively, result from an anaphoric-like dependency on the antecedents 'my new laptop' and 'a beautiful painting', respectively. Such definites relate back to the context in an indirect anaphoric way. The definite does not refer back to any painting or laptop but is rather understood to refer to the unique painting or laptop discussed in the preceding utterances. Therefore, both anaphoric and unique strategies exist in the bridging or associative anaphora uses of definite descriptions. Crosslinguistically, different determiners are employed to represent these two cases of bridging or associative anaphora definiteness. For instance, in German, a weak determiner is used for relational bridging in the same way as for typical anaphoric definiteness; meanwhile, a strong determiner is used in instances of producer-product bridging, thus resembling the representation given to situational/global unique referents (Schwarz, 2009).

Simpson (2017) reported that definiteness marking in Jinyun Wu does not distinguish these so-called two subtypes of bridging, namely, part-whole and producer-product, but predominantly uses the bare classifier strategy in bridging situations. Intuitively, Shaoxing Wu distinguishes producer-product bridging from the part-whole relationship by adopting different definiteness strategies. For the cases of producer-product, the bare classifier structure is used when a singular reference is mentioned, and 'lian<sup>22</sup>-Cl-NP' will be employed when the reference is plural, as in (28)-(29) below. For the cases of part-whole bridging, both CL-NPs and bare NPs are allowed. However, the more specific the reference, the more the classifier strategy will be preferred, as in (30)-(31) below.

### **Producer-product relation**

337

338

339

340

341

342

343

344

345

346 347

348

349

350

351

352

353

354 355

356

357

358

359

360

361

362

363

```
364
          (28) A: \text{fiia}^{113} \text{ zan}^{113} \text{na}^{11} \text{ tchi}^{33} \text{ khen}^{33} \text{lp}^{2} \text{ go}^{11} \text{ tsen}^{335} \text{l}\mathbf{\tilde{e}}^{113}, \text{ khen}^{33} \text{ fiuo}^{33} \text{fiuo}^{33}.
365
                              yesterday go watch-PFV CL
                                                                                      exhibition,
                                                                                                           watch
                                                                                                                          painting.
366
                   'Yesterday, we went to a painting exhibition'.
367
                B: hp<sup>335</sup>hp khæn<sup>33</sup>?
368
                      good
                                   watch?
369
                   'Were the paintings good?'
370
371
                                            d\mathfrak{d}^{11}\ d\mathfrak{u}^{231}\ m\tilde{\tilde{e}}^{\ 335}v\mathfrak{d}^{2}ts^{h}o^{33},\ pie^{745}\ ku^{11}\ \underline{\textbf{lian}^{22}\ \textbf{g}\mathfrak{d}^{3}}\ \textbf{fuo}^{33}\textbf{tcia}^{41}/*\ \textbf{fua}^{33}\ \textbf{tcia}^{41}
               A: sə<sup>?45</sup> huo
372
                                                                                                       some-CL painter /*painter
                   CL(PL) painting but all very not bad,
                                                                                          but
373
374
                   tu^{41} ve^{2} na^{23}ge^{23} t^{h}in^{41}nie^{23}-ku go^{2}
375
                   all
                                                          hear-EXP
                            not
                                      how
376
                 'All the pictures were good, but we had never heard of the painters before'.
377
378
          (29) A: \eta o^{113} m \alpha \eta^{113} k v^{41} dv^{231} m a^{113} l o^{2} lia \eta^{22} p \tilde{e} n^{335} c v^{41} \circ
379
                                                       buy-Asp some CL book
380
                                    online
                     'I bought a few books online'.
381
```

```
382
             B: hp^{335}hp k^{h}en^{33}?
383
                              looking?
384
                   good
                 'Are they interesting?'
385
386
            A: væ^{231} ho^{335}. pie^{245} ku^{11} \underline{lian^{22}go^{2}} \underline{tso^{233}tse^{335}/*tso^{233}tse^{335}} k^{h}o^{335}n\tilde{e}^{231}
387
                still good. However, a few-CL author
                                                                                          maybe
388
                                                                                                        you
389
               ve^{2} na^{23}ge^{23} t^hin^{41}nie^{231}-ku go^2.
390
                                      hear-EXP SFP
391
392
               'Not bad. However, you might have never heard of the authors'.
393
        In (28), the term means 'the relevant artists' and not 'two artists'. Similarly, in (29), a product-
394
        producer relationship holds between the referent of 'lian<sup>22</sup>-CL-NP' and its antecedent paintings
395
        because 'the painters' are understood as the particular painters who painted the paintings on display
396
        in the exhibition that the speakers are discussing. In all these cases, the bare NP strategy is ruled out
397
        in Shaoxing Wu.
398
        Part-whole relation
399

\frac{\ln a^{113}-\ln^2 \frac{bu^{11} - ni^{23} s x^{335} t s^h o^{41}}{\ln c^{23} + nu^{23} \ln c^{235} t^h o^{41}}
 a, 
\frac{\ln a^{22}-go^2 - \ln c^{231} t^h e^{41}}{\ln c^{231} t^h e^{41}}
 a few-CL tires / tires
        (30) \text{ no}^{223} \text{ ma}^{113}\text{-lo}^{2}
400
401
402
             ka\eta^{41}-ka\eta^{41} hu\tilde{\varphi}^{11}- ku   da^{41}-le, m\tilde{æ}^{231}-m\tilde{æ}^{231} ei\eta^{41} da^{41}-le
403
                          change-EXP SPF, very-very
                                                                       new SFP
404
          'Did you buy a second-hand car? The tires seem to have just been changed and look brand new'.
405
406
        407
408
           ka\eta^{41} - ka\eta^{41} - hu\tilde{\varrho}^{11} - ku - da^{41} - le - m\tilde{e}^{231} - m\tilde{e}^{231} - ei\eta^{41} - le
409
                                                                   new SFP
                         change-EXP SFP, very-very
410
        'Did you buy a second-hand car? The engine seems to have just been changed and looks brand
411
412
        new'.
413
414
415
416
```

Similarly, the utterance in (30) denotes a part-whole relationship in which the tires are naturally understood as the tires of the second hand car that the speakers are discussing. Given that a car normally has more than one tire, both the 'lian<sup>22</sup>-CL-NP' and bare NP are acceptable to denote the plural reference. However, differences in meaning between these two options exist. For the option of 'lian<sup>22</sup>-CL-NP', the speaker emphasises each tire, or at least some of them share the property of being new. In contrast, a bare NP will be adopted if the speaker aims to comment on the tires in general. When we change the tires to the engine, as in (31), which is a singular referent, the bare classifier strategy is preferred because each car has only one engine. Such an alternation related to the specificity of the reference can be illustrated further in cases such as (32) below.

(32) a: no<sup>223</sup> fiuo<sup>11</sup> dziē<sup>11</sup>?i<sup>41</sup>zan<sup>231</sup> hp<sup>335</sup>-və<sup>223</sup>-hp<sup>335</sup>?

you say CL cloth good-not-good?

'Do you think the clothing is good?'b: hp<sup>335</sup> go<sup>2</sup>. tso<sup>245</sup> næ<sup>235</sup>so<sup>233</sup> /næ<sup>235</sup>so<sup>233</sup> do?<sup>11</sup>bi?<sup>231</sup>
hp<sup>235</sup>. pie<sup>241</sup>ku<sup>11</sup>
good Prt. CL colour /colour especially good. but

```
427
                       428
429
                       'It's good, especially the colour. The sleeves seem to be a bit long'.
430
431
                   b': \underbrace{\textbf{tsa}^{745} \ \boldsymbol{\eta} \boldsymbol{\tilde{x}}^{235} \textbf{sa}^{733} / * \boldsymbol{\eta} \boldsymbol{\tilde{x}}^{235} \textbf{sa}^{733}}_{\text{CL colour}} \quad \text{m$\tilde{x}}^{231} \quad \text{p$^{$h$}$ip}^{33} \text{liag}^{11}, \quad \mathfrak{go}^{113} \quad \text{d$a$}^{711} \text{b1}^{7231} \quad \text{hu$\tilde{o}$}^{41} \textbf{ci}^{335}.
432
433
434
                        pie^{241} ku^{11}, fii^{231} lian^{22}-tsə^{245} civ^{33}kh^{335} /*civ^{48} hv^{335}fhian^{11} but its a few-CL sleeve /*sleeve seem
                                                                                                                                           dzan^{23}-le^{2} se^{245}.
435
                                                                                                                                            long-PFV a bit
436
437
                       'I like the colour the most, but its sleeves seem to be a bit long'.
```

In (32b), when bare NPs are used, it is understood that the piece of clothing has more than one colour and the sleeves in general are rather long. No such reading is available when classifier-NPs are used. In contrast, when the clothing clearly has only one colour and the speaker wants to emphasise the length of the clothing's sleeves by using an appositive structure, only the classifier-NP is acceptable, as in (32b'). In addition to the appositive structure where a bare NP strategy will always be ruled out, cases with modifiers also only allow the CL-NP structure, as exemplified in (35)-(36) below. The contrast in acceptability between (31)-(32) and (33)-(34) shows that the use of the CL-NP pattern for singular definite reference may override the use of bare NP due to the specificity constraint. In (33)-(34), the degree of specificity is strengthened by adding modifiers to narrow down the range of reference.

- (33) zə<sup>211</sup> ka<sup>41</sup> ciŋ<sup>41</sup> bu<sup>11</sup> fa<sup>241</sup>-doŋ<sup>11</sup>-tci<sup>41</sup>/\*fa<sup>241</sup>-doŋ<sup>11</sup>-tci<sup>41</sup> a<sup>0</sup>, kɑŋ<sup>41</sup>-kɑŋ<sup>41</sup> huỡ<sup>11</sup>-ku<sup>0</sup> go<sup>223</sup>. truly very new CL engine / \*engine Prt just change-EXP Prt 'The engine is so new. It must have just been changed'.
- (34) zə<sup>?11</sup> ka<sup>41</sup> ciŋ<sup>41</sup> **liaŋ<sup>22</sup>-gə<sup>?</sup>** <u>luẽ<sup>231</sup> tʰE<sup>41</sup>/\* luẽ<sup>231</sup> tʰE<sup>41</sup></u> a<sup>0</sup>, kaŋ<sup>41</sup>-kaŋ<sup>41</sup> huỡ<sup>11</sup>-ku<sup>0</sup> go<sup>?23</sup>. truly very new a few-CL tires / \* tires Prt just change-EXP Prt '<u>The tires</u> are so new. they must have just been changed'.

### 4.3 Referents (non)visible and with(out) contrast

According to Simpson (2017), the visibility of the referent also plays a significant role in determining the use of bare classifier and bare noun patterns in Jinyun Wu. If the referent is present in front of the speaker and listener, this will naturally result in the use of a bare classifier form, as in (35a), where the classifier performs a deictic, demonstrative-like function. When the speaker emphasises the absence of a particular referent, this will regularly result in a bare noun pattern, as in (35b).

### Jinyun Wu

(35) a. Context: The speaker and his family members hear a dog barking outside their house and wonder if it is their (unique) dog. The speaker says:

```
godziem/#dzai godziem lei teieikei a?
  dog /#CL dog is where Prt
'Where is the dog?'
```

b. Context: The speaker and his family are looking for their missing dog. When they finally see it, the speaker says, 'Look! The dog is on the roof of the house'.

nio a! dzai gɔdziəm /#gɔdziəm lei au-dan dziɔ.

look Prt CL dog /#dog is roof top 'Look! **The dog** is on the roof of the house'.

However, these predictions are not borne out in Shaoxing Wu, as shown in (36) below, where the equivalent of (35) in Shaoxing Wu demonstrates that the bare classifier is always the natural choice even when the referent is absent from the scene, as long as specific entities are involved.

### **Shaoxing Wu**

(36)a. Context: The speaker and his family members hear a dog barking outside their house and wonder if it is their (unique) dog. The speaker says:

```
tsə<sup>723</sup> kx<sup>335</sup>/* kx<sup>335</sup> ta<sup>33</sup> fia<sup>231</sup>-li<sup>113</sup> tchi<sup>33</sup> dze<sup>231</sup>?

CL dog /*dog go where go Prt

'Where is the dog?'
```

b. Context: The speaker and his family are looking for their missing dog. When they finally see it, the speaker says, 'Look! The dog is on the roof of the house'.

```
np^{113}, tsə^{23} k^{335}/* k^{335} ?uo^{23}tip^{41} kp^{41}-d^{231} le^{231} da^{0}. Prt, CL dog /*dog roof upside here Prt 'Look! The dog is on the roof of the house'.
```

The above data in Shaoxing Wu demonstrate that whether the referent is present or absent, the bare classifier form 'tsə? kr' (the dog) is preferred as long as the specific single individual/entity being discussed is known to the speaker and/or listener, in the sense of Himmelmann's (1996) 'recognitional referents' or Hawkins's (1978) immediate-situation definites. Therefore, factors other than the visibility of referents influence the choice of bare classifier and bare noun forms to represent singular definite reference in Shaoxing Wu. Moreover, what if the reference is plural or mass? Will the presence/absence of the referents also influence the choice of lian<sup>22</sup>-CL-NP and bare noun patterns in Shaoxing Wu? Simpson (2017) did not tackle these questions. Thus, we consider the following examples first.

### **Shaoxing Wu**

(37) Context: A car has crashed into a wall. The speaker and a friend are walking by, and they hear that kids who are crying hard. They stop to look at the car, and the speaker says:

```
/*_{\underline{civ}^{335}-\underline{nin}^{23}} ha<sup>2335</sup>-sa<sup>2335</sup> dze<sup>23</sup>.
/*kids) scare-dead Prt
```

'What a pity! The kids were shocked by the accident'.

(38) Context: A car has crashed into a wall, injuring everyone in the car. All patients were sent to the hospital, leaving a large amount of blood on the street. The speaker and a friend are walking by, and they see kids who are shocked and have fainted. They stop, and the speaker says:

 $\frac{1}{2} e^{33} \ln n^{22} e^{3} e^{2} e^{335} - nin^{23} e^{23}$  ha<sup>23</sup> - sa<sup>235</sup> dze<sup>23</sup> go<sup>23</sup>.

/\*Dem a few-CL kids surely scare-dead 'What a pity! The kids will surely be shocked by the accident'.

520 521 522

523

524

525 526

527

528

529

530

531 532 533

534 535

536 537

519

In (37), both the speaker and listener are at the site and see that more than one child is shocked by the accident and crying. The speaker draws the listener's attention to the crying reaction of those specific children on the scene. Therefore, 'lian<sup>22</sup>-CL-NP' is adopted. In contrast, in (38), the speaker draws the listener's attention to the general reaction of a child facing a terrible accident without the emphasis on particular individuals. Thus, a bare noun form is preferred. Since the speaker has no intention to compare the referents with other kids in (37) and (38), the demonstratives kə<sup>245</sup>/han<sup>231</sup> (these/those) are not allowed in either instance above. However, examples such as (39) below show that the demonstrative phrase will override the 'lian<sup>22</sup>-CL-NP' and bare noun forms if the definite referents are highlighted and contrasted.

```
(39) A: no^{223} ka^{41} k^h on^{33} a^0, p^h o^{41} - l b^{113} \underline{lian^{22} - s\tilde{\varrho}^{33} ts^h an^{41} m\tilde{e}^{113}} vac{e}^{13} - h b^{335} you very free Prt why a few-CL window why not
                     \begin{array}{cccc} k^h a^{41} \! - \! k^h a^{41} & \text{ fii}^{231} & \text{go}^{233}, \\ \text{wipe} & \text{ it } & \text{Prt} \end{array}
```

You are so free. Why don't you wipe the windows?

B:  $ha^{231}$ - $li^{113} lia\eta^{22}$ - $s\tilde{o}^{44} ts^h a\eta^{41} m\tilde{e}^{113}$   $lv^{231}$ ,  $vv^{223}$ - $zv^{223} m\tilde{e}^{231}$ - $m\tilde{e}^{231}$   $tc^h i\eta^{41}$ - $sa\eta^{335} do\eta^{11}$ ? 538 a few-CL window Which Prt not-is 539 Which windows do you mean are dirty? They are very clean, aren't they? 540

541 542

A :  $k \vartheta^{245} / h a \eta^{231} lia \eta^{22} s \tilde{\vartheta}^{41} t s^h \alpha \eta^{41} m \tilde{e}^{231} / * s \tilde{\vartheta} t s^h \alpha \eta^{41} m \tilde{e}^{231} / * t s^h \alpha \eta^{41} m \tilde{e}^{113}$   $lo^0$ . windows /\* CL windows /\* window some these/those Prt These/those windows are dirty.

544 545 546

547

543

Differing from Mandarin, the use of Dem-lian-CL-NP in Shaoxing Wu is highly limited and only used where the definite referents are listed in contrast and deictic to the speaker. The unacceptability in examples (37)-(39) also illustrates this limitation.

548 549 550

551

552

553

554

555

556

557

558

559

560 561 562

563

## 4.4 (Non)speaker's kin and personal relations

Simpson (2017) argued that the familiarity effect plays an important role in the selection of definite options in Jinvun Wu. Specifically, if speakers have an existing mental representation for an entity and are familiar with it, a bare noun form is frequently used for definite reference. Meanwhile, if the speaker has a nonexistent or very weak mental representation for those objects with which he/she has never come into contact, a bare classifier pattern is preferred. This so-called familiarity effect occurs with reference to family members in Shaoxing Wu. For example, when a mother is talking about her son and daughter, the bare noun form is the most appropriate for reference, as in (40). In contrast, the bare classifier pattern is more natural if she is talking about other kids with whom she is not familiar or has no close relation, as in (41).

or has no close relation, as in (41). (40) 
$$\eta a^{113} = \lim_{n \to \infty} \frac{1}{n} \sin^{113} g a^{23} = \lim_{n \to \infty} \frac{1}{n} \sin^{23} - \eta i \eta^{23}, \quad 2i \gamma^{45} g a^{2} = \eta i^{231} t s e^{335}, \quad 2i \gamma^{45} g a^{245} = \eta i^{231} t s e^{2335}$$
we 2-CL kids, 1-CL son, 1-CL daughter. son
$$\frac{1}{2} \frac{1}{2} \frac{1}{2}$$

**STAT** 

/\* CL son five years and daughter /\*CL daughter three years
'I have two kids: a son and a daughter. The son is five years old, and the daughter is three years old'.

574 tch 1<sup>745</sup> 575 seven

seven years and CL daughter five years.

'The family reported by the TV news yesterday was really poor. They also have a son and a daughter. **The son** is seven years old, and **the daughter** is five years old'.

Such distinctions in encoding depending on the degree of familiarity that the speaker has with her family members and others' family members also exist when the definite referents are plural. Specifically, a bare noun will be used when the speaker refers to his/her own family members, while the 'lian<sup>22</sup>-CL-NP' pattern will be used for definite reference to other people's kin. In (42), for instance, the speaker is talking about her seven kids in (42a) and her neighbour's seven kids in (42b).

(42) a  $na^{113} tc^{h_1^{245}} ga^{245} cip^{335} - nip^{23} sa^{41} ga^{245} ni^{231} - tse^{2335} sa^{33} ga^{245} na^{113}$ 

(42) a.  $\eta a^{113} t e^{h_1^{245}} g e^{245} e i v^{335} - \eta i \eta^{23}$ ,  $s \tilde{e}^{41} g e^{245} \eta i^{231} - t s e^{2335} s \eta^{33} g e^{245} n \tilde{e}^{113}$ . we seven CL kids, three CL son four CL daughter

/\*
$$\underline{\text{lian}}^{33}$$
- $\underline{\text{ga}}^{73}$   $\underline{\text{n}}$  $\underline{\text{o}}^{113}$  mə<sup>20</sup> tu<sup>41</sup> zə<sup>223</sup> lb<sup>113</sup>s $_1$ <sup>41</sup>.  
/\* a few-CL daughter Prt all are teacher

'I have seven kids, three sons and four daughters. <u>The sons</u> are all doctors, and <u>the daughters</u> are all teachers'.

'They have seven kids, three sons and four daughters. <u>The sons</u> are all doctors, and <u>the daughters</u> are all teachers'.

Next we consider inanimate reference. According to Simpson (2017), the so-called familiarity effect will override other factors in determining the definite uses in Jinyun Wu, whether the reference is animate or inanimate. As mentioned above, however, Shaoxing Wu does not behave in the same way as Jinyun Wu when the referents are inanimate. For example:

```
 (43) \ A: \ \mathfrak{ga}^{113} \quad z \mathfrak{an}^{113} \mathfrak{ga}^{11} \quad \mathsf{te}^{\mathsf{h}} \mathsf{i}^{33} \quad \mathsf{ma}^{113} \mathsf{-l} \mathsf{e}^{70} \quad \mathsf{se}^{745} \quad \ \mathsf{di} \tilde{\mathsf{e}}^{11} \mathsf{te}^{\mathsf{h}} \mathsf{i}^{41}, \quad \  \  2 \mathfrak{i}^{23} \mathsf{-bu}^{33} \quad \mathsf{di} \tilde{\mathsf{e}}^{11} \mathsf{z} \mathsf{j}^{23} \mathsf{te} \mathsf{i}^{41} 
608
                  we yesterday
                                                  buy-PFV
                                                                 some electricity
                                                                                            one-CL
                                                                                                           TV set
609
                                          go
610
                  lə? ?1?<sup>23</sup>-bu<sup>33</sup> diē<sup>11</sup> np<sup>335</sup>.
611
612
                  and one-CL computer.
                 We bought a TV set and a computer yesterday.
613
614
             B: no^{223} bu<sup>33</sup> diẽ<sup>11</sup>z\gamma<sup>23</sup>tei<sup>41</sup> ?iv<sup>23</sup> fiu\tilde{\wp}<sup>11</sup>-ku<sup>33</sup> dze<sup>23</sup> fia<sup>23</sup>?
615
                                     TV set need replace-Exp Prt Q?
616
617
                Did the TV set need to be replaced?
618
            A: \underline{\mathbf{bu^{33} \ dzix^{11} \ di\tilde{e}^{11}z\mathbf{\gamma}^{23}tei^{41}}} p^{h}a^{33}-\hat{h}u\tilde{a}^{11} \ dze^{23} \ a^{0}, ma^{113}-le^{70}
                                                                                                  bu<sup>33</sup> ciŋ<sup>41</sup> go<sup>70</sup>.
619
                 CL_old
                                TV set
                                                  broken Prt Prt,
                                                                                 buv-PFV
                                                                                                   CL
                                                                                                           new Prt.
620
                \underline{bu^{33} \ di\tilde{e}^{11}}-nv^{335} mə?^0 zaŋ^{11}-k^ho^{33} ?iv^{23} hioŋ^{11} go?^0
621
                                               take-class need use Prt
                CL computer Prt
622
                'The old TV set is out of order, so we bought a new one. The computer is used for taking online
623
                classes.'
624
625
        (44) A: hia^{113} te^{h}i^{33} ma^{113} di\tilde{e}^{11}z\eta^{23}tei^{41} te^{h}i^{41}-ha\eta^{231}
626
                   they went buy
                                              TV set
                                                            go there
627
                  'They went to buy a TV set'.
628
629
              B: p^h o^{41} - l v^{113}  bu<sup>33</sup> die<sup>11</sup>z\gamma^{23}tei<sup>41</sup> p^h a^{33} - h u \tilde{a}^{11} h a \eta^{41} d z e^{23} a^0?
630
                               CL
                                          TV set
                                                         broken there Prt O
631
                  'Was the TV set out of order?'
632
633
              A: ve^{223} \sin^{335} - te^{245}, 2v^{33} mv^{11} ze^{11}. 6v^{11} \frac{bu^{33} dziv^{11} die^{11}z^{23}tei^{41}}{1} fan^{33} la^{231}
634
                                                              she said CL old TV set put in
                                         maybe is
                  not know
635
636
                  fa\eta^{335}k\tilde{x}^{41} li^{113}, gi\eta^{41} di\tilde{e}^{11}z\eta^{23}tgi^{41} ma^{113}-le^0 hb^{335} fa\eta^{33} la^{11} k^ha^{723} t^hi\eta^{41}
637
                  bedroom inside new TV set buy-PFV can put in
                                                                                                           sitting-room inside
638
                  'I have no idea. It may be yes. She said the old TV set can be moved to the bedroom and
639
                  the new one will be placed in the sitting room'.
640
         In (43), the speaker has a clear mental representation of 'the TV set and the computer' because she
641
         participated in purchasing these objects. However, the bare classifier pattern of 'bu<sup>33</sup> dzir^{11} di\tilde{e}^{11} z \eta^{23}
642
         t\epsilon i^{4l}, (CL-old TV set) and 'bu<sup>33</sup> di\tilde{e}^{1l} nv^{335}, (CL-computer) is used in the last utterance, as in other
643
         typical anaphoric contexts shown in (23)-(25). In contrast, the speaker and the listener may or may
644
         not have a mental representation of their friend's old TV set. However, the CL-NP is used. Meanwhile,
645
         a bare NP 'new TV set' is adopted to encode a referent that both discourse participants have not
646
         previously seen. As in the other contexts mentioned above, when an inanimate referent is involved,
647
         Shaoxing Wu prefers the classifier-NP structure as long as the reference is specific or the speaker
648
         wants to emphasise the specificity of the referent they are discussing, which is different from the case
649
         of animate reference. Such an effect also exists in the case of plural definite reference, as in (45)-(46)
650
651
        652
653
```

```
654
                 v \mathfrak{d}^{223} \ t s^h o^{33} \ g o^{70}. \quad n o^{723} \quad h o^{335} \quad k^h \tilde{\varnothing}^{33} - k^h \tilde{\varnothing}^{33} \ g o^{70}. \quad \underline{\textbf{lian}^{22} \ \textbf{p}\tilde{\textbf{e}}^{41} \ \textbf{e} \textbf{y}^{41}} \qquad f \alpha \mathfrak{g}^{231} k \tilde{\textbf{e}}^{41} \quad \textbf{e} \textbf{y}^{41} k o^{33}
655
                                                                                            Prt a few-CL book bedroom
                  not bad Prt
                                                                                                                                                          shelf
656
                                                you
                                                            may
                                                                             see
657
                  kp^{41}-dx^{231} le^0 don^{11}.
658
                  upside
                                    at there
659
                  'I bought some books online, and I have read them. The books are good. You may take
660
                   a look. The books are on the shelf in the bedroom'.
661
662
           (46) \text{hi}^{231} \text{huo}^{11} \text{hi}^{231} \text{man}^{113}-\text{kp}^{41}-\text{dx}^{231} \text{ma}^{113}-\text{lp}^{20} \text{lian}^{22}-\text{pe}^{41} \text{cy}^{41}, \text{hi}^{231}
                                                                                                                                               ko<sup>?231</sup>-tə<sup>?0</sup>
663
                   she say she
                                                                                                  a few-CL book
                                                                            buy-PFV
664
                                                   online
                                                                                                                                   she
                                                                                                                                                  think
665
                  ve^{223} ts^{h}o^{33}. hi^{231} huo^{11} lian^{22} - p\tilde{e}^{41} cv^{41} fan^{231}k\tilde{e}^{41} cv^{41}ko^{33} kp^{41} - dv^{231} le^{0} don^{11}.
666
                   not bad she say a few-CL book bedroom shelf
                                                                                                                    upside
667
                  no^{23} hv^{335} k^h\tilde{g}^{33} k^h\tilde{g}^{33} go?^0.
```

'She said she bought some books online and she thought they are good. She said the books are on the shelf in the bedroom and you may also take a look'.

Similar to the instances involving singular reference, 'lian<sup>22</sup>pē ɛy' (2-CL book) is employed in (45) and (46) regardless of the 'familiarity' toward the books. Therefore, the so-called familiarity effect exists only in cases of animate reference to those individuals with whom the speaker is most familiar, such as his/her own family members, particularly in Shaoxing Wu. Unlike Jinyun Wu, the degree of 'specificity' of the reference plays a large role in the selection of bare NP versus classifier patterns in cases of inanimate reference.

### 4.5 Interim conclusions

you may

see

Prt

668

669

670

671 672

673

674

675

676

677

678 679 680

681

682

683

684

685

686

687

688

689

Our discussion illustrates that Shaoxing Wu uses the 'lian<sup>22</sup>-CL-NP' string to represent plural definite reference. It is predominantly employed in cases of anaphoric definite, producer-product bridging reference and salient reference without contrast and animate reference involving the speaker's personal relations. Moreover, it alternates with the bare NP strategy in situations of part-whole bridging reference and inanimate reference with or without the speaker's personal relations. The more specific the definite reference is, the more 'lian<sup>22</sup>-CL-NP' will be preferred. However, 'lian<sup>22</sup>-CL-NP' is interchangeable with the bare NP, especially in part-whole bridging reference. The definite strategies to encode plural reference in Shaoxing Wu can be summarised in Table 1 below.

Table 1: Choice of Lian<sup>22</sup>-CL-NP and bare NP to represent plural definite reference Situations where plural definite reference is involved **Definite strategies** 

		Liaŋ <sup>22</sup> -CL-NP	Bare NP
Anaphoric definite reference		✓	
Bridging reference and definite associate anaphora	producer-product	✓	
	part-whole	✓ (specific individuals)	√ (in general)
Salient referent without contrast		$\checkmark$	

Animate reference speaker's kin and personal relations

nonspeaker's kin and without personal relations

### 5. 'Lian-CL': A grammaticalisation of (in)definite plural marking strategy

In addition to serving as a cardinal number denoting quantity 'two', as mentioned in section 2, *liay* with a level tone (22 pitch on a 1-5 scale, 1 being the lowest pitch) together with a classifier functions as an approximation ('a few, a couple of') in most cases discussed above. This usage appears to be an instance of a grammaticalisation process where *liay* undergoes semantic bleaching and is grammaticalised into an approximate that denotes a definite or indefinite set with plural members. Such a grammaticalisation pathway from the numeral two to a plural definite marker has not been described extensively in the literature.

### 5.1 *Lian* 'two' + classifier > DUAL

Inanimate reference

According to Long et al. (2012) and Kuteva et al. (2019), Mandarin Chinese  $liang^{2l4}$  'two' undergoes grammaticalisation and follows a chain of liang 'two'+ ge, classifier > liang 'two' > lia, where 'lia'(m) is the combination of 'liang' and classifier 'ge' and shows phonological reduction to function as a dual marker for personal pronouns, as shown in (47).

 $(47) ta^{55} men^0 lia^{214} / wo^{214} men^0 lia^{214}$ they DU / we DU

'they two' / 'we two'

We basically agree with the above observation but would add that '*lia*', as a dual marker in Mandarin, may follow not only a pronoun but also other common human nouns, as exemplified in (48), where the common noun jie<sup>214</sup>mei<sup>51</sup> (sister) plus lia<sup>214</sup> means 'two sisters'.

(48) jie<sup>214</sup>mei<sup>51</sup> lia<sup>214</sup> /xiong<sup>55</sup>di<sup>51</sup> lia<sup>214</sup> /fu<sup>55</sup>qi<sup>55</sup> lia<sup>214</sup> sisters DU /brothers DU /couple DU

'the two sisters/brothers/the couple'

Mandarin ' $lia^{214}$ ' has no exact counterpart of in Shaoxing Wu. To express cases such as in (47)-(48), two distinctive patterns are employed in Shaoxing Wu: one is the 'pronoun (plural)-liaŋ-CL' sequence<sup>5</sup>, as in (49) below, where the plural pronoun is followed by the ' $liay^{113}$ -CL', meaning 'pronoun-DU'. The other is the structure of ' $liay^{113}$ -common human noun', where ' $liay^{113}$ ' does not have a classifier, and is combined directly with a common human noun to denote 'two of X', as illustrated in (50).

(49) hia<sup>22</sup> liaŋ<sup>113</sup>-gə<sup>?23</sup> /ŋa<sup>113</sup> liaŋ<sup>113</sup>-gə<sup>?23</sup>

<sup>&</sup>lt;sup>5</sup> The sequence of 'pronoun (plural)-lian-CL' in Shaoxing Wu can also mean 'pronoun- two or more' if the pronoun is followed by the approximate 'lian<sup>22</sup>-CL', where the tone of 'lian' changes into a level one and the numeral meaning is bleached. In addition, the classifier with phonological reduction to the neutral tone attaches to the 'lian', thus forming a tonal unit.

<sup>(3)</sup> fiia<sup>22</sup> liaŋ<sup>22</sup>-gəʔ<sup>0</sup> /ŋa<sup>113</sup> liaŋ<sup>22</sup>-gəʔ<sup>0</sup> they two-CL / we two-CL 'they (two or more)'/'we (two or more)'

```
thev DU
                                  / we
                                            DU
722
             'they two'/'we two'
723
724
        (50)lia\eta^{113} tei^{335}me^{11}/lia\eta^{113} eio\eta^{41}di^{11}/lia\eta^{113} fu^{41}te^{h}i^{41}
725
              DU sisters / DU
                                         brothers / DU
                                                             couple
726
              'the two sisters/brothers/the couple'
727
728
```

Interestingly, the tone of *liaŋ* in all DU cases is rising, as it is in the cardinal number *liaŋ* (two). The classifier cannot be omitted in the pattern of 'pronoun (plural)-liaŋ-CL'. Otherwise, the expressions will be unacceptable, as exemplified in (51). In contrast, the omission of a classifier in cases such as (50) above is obligatory. It will lead to a distinct interpretation if a classifier is inserted, such as  $te^{33}$  'pair', as illustrated in (52). Here, ' $liaŋ^{1/3}$ - $te^{33}$   $tei^{335}me^{1/3}$ ' does not mean 'the two sisters' but 'two pairs of sisters', where four persons in total are involved. This usage is in contrast with cases such as (50) above in which exactly two persons are being referred to.

```
(51)* fiia<sup>22</sup> liaŋ<sup>113</sup>/* ŋa<sup>113</sup> liaŋ<sup>113</sup>
* they DU /* we DU
'they two'/'we two'
```

(52) liaŋ<sup>113</sup>-te<sup>33</sup> tei<sup>335</sup>me<sup>11</sup>/liaŋ<sup>113</sup> te<sup>33</sup> eioŋ<sup>41</sup>di<sup>11</sup>/liaŋ<sup>113</sup> te<sup>33</sup> fu<sup>41</sup>te<sup>h</sup>i<sup>41</sup> two-CL sisters / two-CL brothers /two-CL couple 'two pairs of sisters/brothers/two couples'

Similar to Mandarin, Shaoxing Wu *lian*<sup>113</sup> 'two' also undergoes grammaticalisation and follows a chain of lian 'two' + (classifier) > DUAL. The cardinal number 'two' in both of these Sinitic languages has developed a new function of DUAL. Moreover, they only differ from each other in the degree of grammaticalisation, specifically, whether a unified form exists to express DUAL. However, such grammaticalisation of cardinal numbers embraces other languages in the world, such as old English, West !Xun and others. Therefore, Mandarin lia<sup>214</sup> 'DUAL' and Shaoxing Wu *lian*<sup>113</sup> 'DUAL' are instances of a more general process where lower numerals are pressed into service to function as number markers (Heine and Kuteva, 2002).

### **5.2 DUAL > (in)Def PLURAL (APPROXIMATIVE)**

As discussed in the above sections, the numeral 'two' in Shaoxing Wu together with a classifier forms the sequence '*lian-CL*', where the lexical meaning of quantity 'two' is lost, and the sequence with an approximate meaning 'a few', where the noun phrase represents (in)definite plural reference. This usage suggests that *lian* 'two' in Shaoxing Wu, which means 'a few/a couple', can also be the source of a plural marker.

Unlike Mandarin, which has its writing system and abundant written resources to witness historical changes, Shaoxing Wu, as a minor dialect in Chinese, has no written form of its own to record the language. Based on the approximative usage of 'liaŋ-CL-NP' in modern Shaoxing Wu, three possible grammaticalisation pathways for liaŋ 'two' can be hypothesized: (1) liaŋ 'two' + classifier > DUAL, (2) liaŋ 'two' + classifier > (in)Def PLURAL (APPROXIMATE), and (3) liaŋ 'two' + classifier > DUAL > (in)Def PLURAL (APPROXIMATIVE). We support the third path, considering all of the abovementioned facts of 'liaŋ-CL' in Shaoxing Wu as well as the following evidence from other

- languages. One piece of evidence is from US English, where measure nouns, such as 'a couple of', which denotes 'DUAL', can also be used as an approximative with the meaning of 'a few'. For example:
- 771 (53) I had to wait **a couple (of) hours**.

772 (54) I played with **a couple (of) friends** yesterday.

The phrases 'a couple hours' and 'a couple friends' in sentences (53) and (54), with optional reduction in form from 'a couple of' to 'a couple', means 'two hours/friends or slightly more'. The meaning of 'two' persists, but with an approximative sense. Thus, the measure noun 'a couple (of)' in English undergoes a similar grammaticalisation following a chain of A COUPLE OF 'dual' > APPROXIMATIVE 'a few'.

Another piece of evidence is from the grammaticalisation path of 'three' in the Khoisan language !Xun, as stated in Heine and Kuteva (2002) and Kuteva et al. (2019). Very similarly, a new function PLURAL of the number 'three' stems from TRIAL rather than THREE directly. According to Heine and Kuteva (2002) and Kuteva et al. (2019), the numeral THREE in !Xun evolves into a trial and then a plural marker on personal pronouns and other word categories, thus following the overall chain of grammaticalisation: THREE > TRIAL > PLURAL.

Regarding these two grammaticalisation paths in natural languages, we assume that the APPROXIMATIVE 'PLURAL' in Shaoxing Wu also stems from the DUAL 'liaŋ + (CL),' which evolved from the *liaŋ* 'two' + classifier. Shaoxing Wu would then be another instance of this general grammatical process. Therefore, instead of the grammaticalisation process of 'liaŋ "two" + classifier > (in)Def PLURAL (APPROXIMATE)' where the definite plural usage of *liaŋ²²* is directly developed from the numeral *liaŋ¹¹³*, Shaoxing Wu '*liaŋ*' exhibits a distinctive grammaticalisation pathway: *liaŋ* 'two' > *liaŋ* 'two' + classifier > DUAL > (in)Def PLURAL (APPROXIMATIVE), which has not previously been mentioned in the literature.

### 6. Lian<sup>22</sup>-CL-NP: Evidence for the two-head hypothesis in Chinese DP

The question of the exact structure of the determiner phrase (DP), especially the sequence with the classifier and numeral in classifier languages, is debatable. In this section, we will discuss multiple possibilities to derive the syntactic structure of lian<sup>22</sup>-CL-NP under different assumptions about the DP sequences in Chinese. We suggest that the lian<sup>22</sup>-CL-NP construction can be perfectly derived if we follow the two-head approach to DPs in which the numeral and the classifier are assumed to instantiate two distinct heads, namely, Num and CL, respectively. In turn, the result provides fresh evidence for the two-head hypothesis.

### 6.1 Classifiers and numerals: Single-head or two-head?

Parallel to the verb phrase, the noun phrase is assumed to be mapped onto different functional projections, ultimately dominated by the determiner phrase (DP). Regarding the syntactic structure of DP sequences, however, no consensus has yet been achieved partially due to the complexity of the classifiers and numbers, especially in classifier languages. Two distinct hypotheses have been proposed regarding the syntactic status of classifiers and numeral forms: the single-head analysis and the two-head approach. In the single-head analysis, the classifier and number elements are assumed to form a single constituent projecting a NumP projection in which either the classifier hosts the head in the extended nominal projection (xNP); meanwhile, the numeral is a specifier of CLP or vice versa

(Tang,1990; Watanabe,2006; Naoki and Sakai, 2000 and others). In the two-head approach, the classifier and number are projected into two distinct functional projections where they instantiate their own functional heads, Num and CL (Pan,1990; Cheng and Sybesma,1999; Simpson,2005) or the classifier heads an individuation projection while the numeral serves as a specifier of #P or Num (quantity) (A. Li,1999; Borer, 2005). Some authors have argued further that different classifier languages have different DP structures depending on whether the classifier or the numeral appears independently (Saito et al., 2008; Hall, 2019). Overall, there has been much debate about the syntactic positions and semantic functions of classifiers and numbers in the literature. However, scant explicit argumentation with convincing empirical evidence has been raised to justify one of the possible analyses over another. Whether numbers and classifiers form a complex single head or instantiate two distinct heads is a question with significant consequences for linguistics. Therefore, arguments in favour of either of the two possible analyses should be explored further, as noted in Simpson (2005). In the following section, we will show that the data of 'liang'2-CL-NP' in Shaoxing Wu support a two-head hypothesis, or more precisely a Num-CL-two-head analysis for the Chinese DP.

### 6.2 Num-CL-two-head hypothesis

Similar to Pan's (1990) approach to Mandarin DP sequences, Simpson (2005) postulated a Num-CL-two-head DP structure and proposed a CL-to-D analysis to capture the cross-linguistic word order variations in DPs among Southeast Asian languages, such as Mandarin, Cantonese, Thai, Vietnamese, Hmong and Malay. For instance, in Mandarin and Thai, the DP sequences in these two classifier languages have distinct word orders on the surface, as abstracted in (55) below:

(55) **Mandarin DP:** [DP Dem Num CL Adj N] **Thai DP:** [DP N Adj Num CL Dem]

Considering that Thai is typologically a head-initial language while Mandarin behaves more like a head-final language, Simpson (2005) posited that the structures of Mandarin and Thai DPs should be mirror images of each other if the Num and CL form a single head, as claimed by the single-head hypothesis. Against the so-called single-head analysis, Simpson (2005) proposed a two-head structure for DPs, which is assumed to be a base-generated structure that is universally applicable to all classifier languages. One example is the Mandarin and Thai data from Saito et al. (2008).

B.

(56) a. zhe san zuo da fangzi (Mandarin) b. baan yai saam lang nii this three CL big house house big three CL this 'these three big houses' (Thai)

A.

DP

NumP

this Num CLP

three CL NP

big house

CLP D
NumP CL this
NP Num
house big

According to Simpson, the Thai DP shares the base-generated structure with Mandarin, as in (56) A, and its distinct surface order, as in (56) B, can be derived by movement. Starting from (56) A, the NP moves to Spec of the NumP. Then, the NumP as a whole moves into DP Spec, which finally yields the surface word order in (56) B. The syntactic derivation of the Thai DP can be illustrated clearly in (57).

(57)

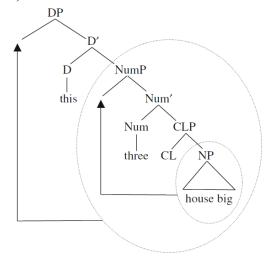
850

851

852

853 854

855



856 857

858

859

860

861

Simpson (2005) successfully accounts for the variation in DP sequences among East Asian languages by resorting to movement, while saying less on the motivation for such movement. In the current study, we focus on the syntactic position of numerals and classifiers and adopt the DP structure shown in (56) A to derive the (in)definite lian<sup>22</sup>-CL-NP sequence in Shaoxing Wu. This sequence suggests that the two-head hypothesis with the Num and CL instantiating distinct heads in their own projections is on the right track, at least in Shaoxing Wu.

862863864

865

866

867

868

869

### 6.3 'Liaŋ<sup>22</sup>-CL-NP': Num is a head

As discussed in sections 3 and 4, the numeral 'liaŋ' in Shaoxing Wu is polysemic and can be interpreted as the number 'two' with a rising tone 113 and as an approximate with a level tone 22, denoting a nonspecific quantity. Similar to Mandarin, numerals in Shaoxing Wu co-occur with classifiers to denote quantity in most cases<sup>6</sup> in which the number and classifier have their own tone contours. In contrast, when used for approximation, the numeral 'liaŋ<sup>113</sup>' changes its pitch into a level tone 22 and is semantically bleached. Meanwhile, the classifier loses its independent tone and

870

two three CLF-LINK student

'two or three/a few students'

c. juu-suu (ko)-no sima ten-some CLF-LINK island

'more than a dozen islands'

Similarly, classifiers in Shaoxing Wu and Mandarin are optional when co-occurring with nonspecific or large numbers.

<sup>&</sup>lt;sup>6</sup> According to Nomoto (2013), Japanese is a typical obligatory classifier language in which numeral modification of nouns without the assistance of a classifier normally is unacceptable. However, the classifier can be omitted in certain contexts where the number slot is filled by a nonspecific or large number denoting a vague quantity. For example:

<sup>4.</sup> a. san \*(ko)/kyuu? (ko)/juu-go (ko)-no gengo

three CLF nie CLF/fifteen CLF-LINK language

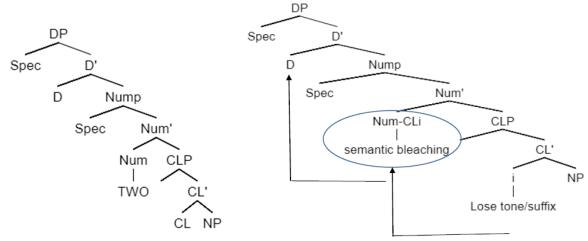
<sup>&#</sup>x27;three/nine/fifteen languages'

b. ni san (nin)-no gakusei

becomes like a suffix attaching to the preceding element, thus forming a phonological unit, or according to Feng (2005), a 'tonal unit' with 'liaŋ<sup>22</sup>'. Moreover, the construction of 'liaŋ<sup>22</sup>-CL-NP' can freely appear in the different definite contexts to represent plural definite reference. What is the syntactic structure of this new usage of 'liaŋ-CL-NP'?

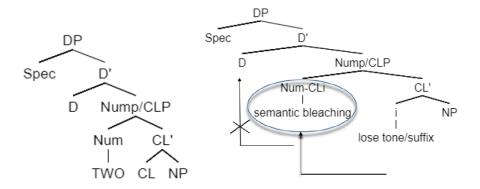
Following Simpson's (2005) assumption that the number and classifier head their own projections, Num and CL, respectively, the structures of 'liaŋ<sup>113</sup>(two)-CL-NP' can be assumed, as shown in (58)a. Then, the structure undergoes a grammaticalisation where the classifier loses its independent tone and becomes like a suffix attaching to the numeral. The numeral liaŋ with semantic bleaching constitutes a phonological unit with the classifier and moves further to the D position, where the classifier becomes phonologically null, as illustrated in (58)b.

b. approximate 'lian<sup>22</sup>-CL-NP'



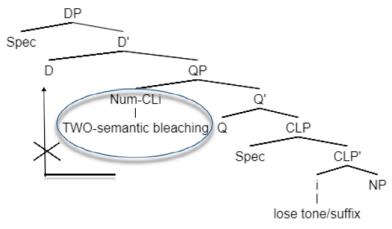
The DP sequence with the phonological realisation of D can be interpreted as definite or indefinite depending on the syntactic position where it finally appears. It is definite if it appears in the preverbal position in the sense of the VP external domain, as in Diesing (1992). Meanwhile, it is indefinite if it is within the VP domain and bound by existential closure. Such an assumption provides an account for the observation on NP interpretation in Shaoxing Wu in the literature, that is, preverbal NPs are definite, while postverbal ones are indefinite (Xu 2004; Yao 2018).

The classifier is normally assumed to be the head hosting a projection, while the numeral occupies the Spec (specifier) position wherever the projection is labelled CLP in the single-head analysis (Tang, 1990; Watanabe, 2006; Naoki and Sakai, 2000) or in some studies that support a two-head approach but still treat the numeral as a specifier (A. Li, 1999; Borer, 2005). We may attempt to derive the syntactic structure of the approximate (in)definite 'lian<sup>22</sup>-CL-NP' by assuming the numeral to be a specifier. The single-head hypothesis is considered first. A DP structure with one less projection is illustrated in (59) a.



Universally, it is assumed that D<sup>0</sup> must be overtly instantiated by some lexical element to trigger/signal a definite interpretation. Otherwise, the DP will be understood as having a default indefinite value (Simpson, 2005). Thus, the approximate Num-CL<sub>i</sub> is motivated to raise to the D<sup>0</sup> position to obtain a definite reading if no other overt element appears in that position. In this configuration, however, the movement of the phonological unit of Num-CL<sub>i</sub> is impossible because of an independently motivated ban on Head Movement out of a specifier (Roberts, 2010), as shown in (59)b. Moreover, such a movement will always be blocked as long as the numeral is analysed as a specifier in the DP, even if the numeral is located at another projection which is higher or lower than the one containing the classifier. For example, the numeral and classifier are projected into two distinct functional projections, as assumed in the two-head approach to the DP. The configuration in (60) below demonstrates the impossibility of the movement of 'Num-CL' to the D position.

### (60) two-head hypothesis with the numeral as a specifier



Therefore, the impossibility of movement in configurations (59) and (60) suggests that the numeral and the classifier in Shaoxing Wu must host two different functional projections in order to guarantee that the approximate (in)definite 'lian<sup>22</sup>-CL-NP' is derived correctly, as shown in (59)b above.

### 7. Conclusions

This study has examined in detail the properties of the approximative phrase 'lian<sup>22</sup>-CL-NP' in Shaoxing Wu and its use as a definiteness device to represent plural reference. Partially following Simpson (2017), four different contexts are considered, a phenomenon not previously reported in the literature. Moreover, we investigated the alternations between 'lian<sup>22</sup>-CL-NP' and other options to represent definite reference, such as bare CL-NPs, bare NPs and Dem-CL-NPs. Differing from

- Mandarin and Jinyun Wu, Shaoxing Wu predominantly employs the 'lian<sup>22</sup>-CL-NP' construction to
- 931 represent plural definite reference in cases of anaphoric definites, producer-product bridging
- 932 reference and salient reference with or without visibility, and animate reference involving the
- 933 speaker's personal relations. It alternates with the bare NP strategy in situations of part-whole
- bridging reference and inanimate reference, subject to the constraint of specificity. The more specific
- 935 the definite reference is, the more the 'lian<sup>22</sup>-CL-NP' construction will be preferred.
- 936 Typologically, the use of 'lian<sup>22</sup>-CL-NP' in Shaoxing Wu as an approximate meaning 'a few-NP' to
- 937 represent (in)definite plural reference suggests that it is undergoing a previously undescribed
- 938 grammaticalisation process with the pathway of lian 'TWO' + CLASSIFIER > DUAL > (in)Def
- 939 PLURAL (APPROXIMATIVE). This grammaticalisation process, accompanied by the semantic
- bleaching of 'lian' and loss of tone in the classifier, leads to a new usage of the 'lian-CL-NP'
- construction, that is, from the typical function of denoting quantity to a definite device to represent
- 942 plural reference. This usage also coincides with the syntactic structural changes of the DPs in
- Shaoxing Wu. These properties are captured by the two-head analysis, as proposed in (59) b.

### 945 946

947

### **Abbreviations**

- 948 CL classifier
- 949 ASP aspect marker
- 950 PFV perfect aspect of verb
- 951 Prt particle
- 952 Q question marker
- 953 FSP sentential final particle
- 954 EXP experiential marker
- 955 Dem demonstrative
- 956 PL plural marker
- 957 DE relativizer
- 958 DU dual

### 959 960

961

962

963

#### **ACKNOWLEDGEMENT**

The work described was fully supported by a grant from the Research Grants Council of the Hong Kong Spec Administrative Region, China (Project No. UGC/FDS24/H12/20).

### 964 965 966

#### Reference

- Abbott, Barbara. 2004. Definiteness and indefiniteness. In Laurence R. Horn & Gregory Ward (eds.), Handbook of Pragmatics. Blackwell.
- 969 Aguilar-Guevara, Ana, Julia Pozas Loyo, and Violeta Vásquez-Rojas Maldonado. 2019.
- Definiteness across languages. Berlin: Language Science Press.
- Barker, Chris. 2004. Possessive weak definites. In Ji-yung Kim, Yury A. Lander & Barbara H. Partee (eds.), Possessives and beyond: Semantics and syntax (University of Massachusetts Occasional
- Papers in Linguistics 29), 89–113. Amherst: GLSA.

- 974 Barwise, Jon and Robin Cooper. 1981. Generalized quantifiers and natural language. Linguistics and 975 Philosophy 4, 159-220.
- Borer, Hagit. 2005. Structuring sense: Vol. I: In name only. Oxford: Oxford University Press.
- 977 Bremmers David, Jianan Liu, Martijn van der Klis and Bert Le Bruyn. 2021. Translation mining: 978 definiteness across languages (a reply to Jenks 2018). Linguistic Inquiry. Online early.
- Chen, Ping. 2009. Identifiability and definiteness in Chinese. Linguistics 42(6), 1129-1184.
- Cheng, Lisa Lai-Shen, and Rint Sybesma. 1999. Bare and not-so-bare nouns and the structure of NP. Linguistic Inquiry 30(4), 509-542.
- Christophersen, Paul. 1939. The Articles: A Study of Their Theory and Use in English. Copenhagen:
  Munksgaard.
- Dayal, Veneeta, and Julie Jiang. 2021. The puzzle of anaphoric bare nouns in Mandarin: a counterpoint to Index. Linguistic Inquiry. Online early.

989

- Feng, Shengli. 2005. Hanyu Yunlu Yufa Yanjiu [Studies on Chinese phonological grammar]. Bejing:
   Peking University Press.
  - Gundel, Jeanette, Nancy Hedberg and Ron Zacharski. 1993. Cognitive status and the form of referring expressions in discourse. Language 69, 274–307.
- Hawkins, John A. 1978. Definiteness and indefiniteness: A study in reference and grammaticality prediction. London: Croom Helm.
- Hawkins, John A. 1991. On (in)definite articles: Implicatures and (un)grammaticality prediction.
   Journal of Linguistics 27, 405–442.
- Heine, Bernd, and Tania Kuteva. 2002. World Lexicon of Grammaticalization. Cambridge University
   Press.
- Heim, Irene. 1982. The semantics of definite and indefinite noun phrases. Amherst, MA:
   University of Massachusetts dissertation.
- Himmelmann, Nikolaus P. 1996. Demonstratives in Narrative Discourse A Taxonomy of Universal
   Uses. In Studies in Anaphora, Edited by Barbara A. Fox, 205-54. Amsterdam: Benjamins.
- Kuteva, Tania, Bernd Heine, Bo Hong, Haiping Long, Heiko Narrog and Seongha Rhee. 2019.
   World lexicon of grammaticalization (2<sup>nd</sup> edition). Cambridge University Press.
- Lewis, David. 1979. Scorekeeping in a language game. Journal of Philosophical Logic 8, 339-359.
- Li, Yen-Hui Audrey. 1999. Plurality in a classifier language. Journal of East Asian Linguistics 8, 75–1004 99.
- Li, Xuping, and Walter Bisang. 2012. Classifiers in Sinitic languages: from individuation to definiteness-marking. Lingua 122, 335-355.
- Löbner, Sebastian. 2011. Concept types and determination. Journal of Semantics 28, 279–333.
- Long, Haiping, Feng Gu, and Xiaoping Xiao (trans.), Bo Hong and Feng Gu (annotating). 2012.
- Translation of World Lexicon of Grammaticalization. Beijing: Beijing World Publishing Corporation.
- Lü, Shuxiang. 1982. Aspects of Chinese grammar[Zhongguo Wenfa Yaolüe]. Beijing: commercial publisher. P: 365-366.
- Lyons, Christopher. 1999. Definiteness. Cambridge: Cambridge University of Press.
- Matthews, Stephen and Virginia Yip. 1994. Cantonese: a comprehensive grammar. London: Routledge.
- Nomoto, Hiroki. 2013. Number in classifier languages. University of Minnesota dissertation.
- Pan, Hai-Hua. 1990. Head Noun Movements in Chinese, the ECP and Minimality. Unpublished ms.. University of Texas at Austin.
- 1019 Peter Jenks. 2018. Articulated definiteness without articles. Linguistic Inquiry. Vol 49(3), 501-

- 1020 536.
- Roberts, Craige. 2003. Uniqueness in definite noun phrases. Linguistics and Philosophy 26. 287– 350.
- Roberts, Ian. 2010. Agreement and head movement: clitics, incorporation, and defective goals.
- 1024 (Linguistic Inquiry Monographs 59). Cambridge: MIT Press.
- 1025 Russell, Bertrand. 1905. On denoting. Mind 14. 479–493.
- Saito, Mamoru, T.-H. Jonah Lin and Keiko Murasugi. 2008. N'-ellipsis and the structure of noun phrases in Chinese and Japanese. Journal of East Asian Linguistics 17(3), 247–271.
- Schwarz, Florian. 2009. Two types of definites in natural language. Amherst: University of Massachusetts. (Doctoral dissertation).
- Simpson, Andrew. 2005. Classifiers and DP structure in Southeast Asia. In Guglielmo Cinque & Richard S. Kayne (eds.), Handbook of comparative syntax, 806–838. Oxford: Oxford University Press.
- Simpson, Andrew. 2017. Bare classifier/noun alternations in the Jinyun (Wu) variety of Chinese and the encoding of definiteness. Linguistics. Vol 55(2), 305-331.
- Sheng, Yimin. 2019. Quantitative interpretation and referential interpretation of Liang 'two' in the Shaoxing Wu dialect. Current research in Chinese linguistics. Vol 98 (2), 97-116.
- Tang, Chih-Chen Jane. 1990. A note on the DP analysis of Chinese noun phrase. Linguistics 28, 337–1038 354.
- Wang, Li. 1944. Theory of Chinese Grammar. In Wang collection, Vol 1. Jinan: Shandong EducationPublisher.
- Wang, Xiaohui and Wang Xiaodong. 2012. Analysis of approximate usage of 'Liang X' in Mandarin. Language teaching and linguistic studies. Vol 6:81-88.
- Watanabe, Akira. 2006. Functional projections of nominals in Japanese: Syntax of classifiers. Natural Language and Linguistic Theory 24(1), 241–306.
- Xu, Liejiong. 2004. Manifestation of informational focus. Lingua. Vol 114 (3), 277-299.
- Yao, Jennifer S.Y (2018). NP Interpretation and Disposal Variations among Mandarin, Cantonese and Shaoxing Dialect. Language and Linguistics. Vol 19(2), 306-332.