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**Experimental and theoretical investigation on the compression
behavior of sand-marine clay mixtures within homogenization
framework**

by

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26 **Abstract:**

27 In land reclamation or soil improvement projects, the layered sand-clay scheme is usually
28 used to accelerate the consolidation process. However, sand particles may percolate into the
29 soft clay layer at the sand-clay interface. The behavior of the mixed soil in vicinity of the
30 sand-clay interface affects the deformation of the underneath clay layer. In this paper, the
31 compression behavior of sand-marine clay mixtures was investigated, both experimentally
32 and theoretically. The test data reveal that the Normal Compression Line of a sand-clay mixture
33 depends on both the sand fraction and the initial water content of the clay matrix. The local
34 stress in the clay matrix σ'_c is approximately close to the overall stress of the sand-clay
35 mixture σ' for a sand mass fraction of 20%. The stress ratio, σ'_c / σ' , falls significantly with
36 increasing overall stress for a sand fraction of 60%, which may be attributed to the formation
37 of clay bridges between adjacent sand particles. A compression model was formulated within
38 the homogenization framework. First, a homogenization equation was proposed, which gives
39 a relationship between the overall stiffness E and that of the clay matrix E_C . Then, a model
40 parameter ζ was incorporated considering the sensitivity of the structure parameter on the
41 volume fraction of the clay matrix. Finally, a simple compression model with three model
42 parameters was formulated using the tangent stiffness. Comparisons between the
43 experimental data and simulations reveal that the proposed model can well represent the
44 compression curves of the sand-marine clay mixtures observed in the laboratory.

45

46 **Keywords:** Marine clay; Sand-clay mixtures; Compressibility; Homogenization; Tangent
47 stiffness

48

49

50 **1 Introduction**

51 Natural soils are usually composite soils consisting of coarse particles and fine materials
52 filling the inter-granular space (Yin, 1999; Chu and Leong, 2002; Monkul and Ozden, 2007;
53 Watabe, *et al.*, 2011). Similar composite materials are also being produced by human
54 activities, e.g., land reclamation (Karunaratne *et al.*, 1990, 1991; Tan *et al.*, 1994), soft soil
55 improvement project (Silva, 2016), and inland waste disposal facilities (Graham, *et al.* 1989).
56 The compression of these composite soils has been a matter of concern. To this end, the
57 compression behavior of a sand-marine clay mixture is investigated in this paper in order to
58 better understand the mechanisms governing the overall compression behavior, and to proposed
59 a simple model for binary sand-clay mixtures.

60 The compression behavior of sand-clay mixtures was well documented by many
61 researchers before. Most of them focused on the effect of fine materials on the overall
62 compressibility. Yin (1999) did a series of oedometer tests on the Hong Kong marine deposits,
63 and obtained correlations between the compression index and plasticity index and clay
64 content. Similar works were done by Fukue *et al.*, (1986), Graham *et al.*, (1989); Martins *et*
65 *al.*, (2001) and Elkady *et al.*, (2015). Further investigations were put forward by considering
66 the clay-sand interplay with different clay fractions (Pitman *et al.*, 1994; Kumar, 1996; Kumar
67 and Wood, 1999; Boutin *et al.*, 2001; Chu and Leong, 2002; Monkul *et al.*, 2005, 2006; Chu *et*
68 *al.*, 2017). It was postulated that the mixtures would behave as that of the soft clay matrix
69 when the volume fraction of fine material approached a certain value (denoted as 'transition
70 fine content') which varies with the shape, particle size distribution of the coarse inclusions.
71 Some researchers denoted that the formation of skeleton was also affected by the stress level
72 (Monkul and Ozden, 2007), since the volume of inter-granular material decreases with
73 increasing stress.

74 In most of the previous literature, it was assumed that the Normal Compression Line

75 (NCL) of a clay matrix was unique. Hence, only the influence of mass fraction was
76 investigated. In Yin's work (Yin, 1999), the overall initial water content of the samples (sand-
77 clay mixtures with different mass fractions of sand) is close to the corresponding liquid limit.
78 In this case, the initial water content of the clay matrix in the mixtures may not be the same.
79 Recent publications revealed that the NCL of a clay is not unique, which is affected by the
80 initial water content (Cerato and Lutenecker, 2004; Hong *et al.*, 2010; Liu *et al.*, 2013; Shi
81 and Herle, 2015; Tsuchida, 2017; Zeng *et al.*, 2015; Horpibulsuk *et al.*, 2016; Zeng *et al.*,
82 2016a and 2016b). Consequently, the compression curves of sand-clay mixtures with different
83 initial water contents of the clay matrix are not comparable.

84 Sand-clay mixtures with different sand fractions and different initial water contents of the
85 matrix are investigated in this paper. Afterwards, a simple compression model is proposed
86 within the homogenization framework and is validated by the test results.

87

88 **2 Volume groups and definitions of sand-clay mixtures**

89 **2.1 Volume groups of sand-clay mixtures**

90 The volume groups of a sand-clay matrix shall be clarified first. Afterwards, definitions of
91 homogenized variables are given. A sand-clay mixture consists of a clay matrix ("clay" here
92 includes both clay minerals and silts) and solids of sand (Fig. 1). As a first approximation, it is
93 assumed that the sand particles are incompressible and do not have water-holding capacity
94 (Mitchell, 1993; Monkul and Ozden, 2007), i.e., the stiffness of sand is far higher than that of
95 the clay matrix, and the water in a sand-clay mixture is only associated with the clay matrix.
96 In this case, the volume fraction of the clay matrix decreases with increasing stress level.

97 The marine clay used in this study contains silts and clay minerals. Hence, after being
98 mixed with coarse sands, the resulting mixture consists of sands, silts, and clay minerals (see
99 Fig. 1). A Representative Elementary Volume (REV) of a sand-clay mixture is divided into

100 three volume groups: the voids in the clay matrix V_v , including the inter-aggregate pores and
 101 intra-aggregate pores (Mitchell, 1993); the volume occupied by solids in the clay matrix V_{sc} ,
 102 consisting of the silts and clay particles, and the volume of sand particles V_{ss} .

103 Two levels of porosity are defined: the porosity of the clay matrix n_c and the overall
 104 porosity n (porosity of the sand-clay mixtures). They are defined as

$$105 \quad n_c = \frac{V_v}{V_v + V_{sc}}; \quad n = \frac{V_v}{V_v + V_{sc} + V_{ss}} \quad (1)$$

106 Correspondingly, two void ratios, the void ratio of the clay matrix e_c and the overall void
 107 ratio e , are defined as

$$108 \quad e_c = \frac{V_v}{V_{sc}}; \quad e = \frac{V_v}{V_{sc} + V_{ss}} \quad (2)$$

109 From the above definitions, the local porosity n_c is related to its corresponding overall value
 110 using the volume fraction of the clay matrix ϕ_c :

$$111 \quad n = \phi_c n_c \quad (3)$$

112 ϕ_c is defined as

$$113 \quad \phi_c = \frac{V_{tc}}{V_{tc} + V_{ss}} \quad (4)$$

114 where $V_{tc} = V_{sc} + V_v$ is the total volume of the clay matrix. ϕ_c can be derived from Eq. (3),
 115 which is expressed in terms of the overall porosity and the porosity of the clay matrix as

$$116 \quad \phi_c = \frac{n}{n_c} \quad (5)$$

117 As discussed above, ϕ_c is a stress dependent variable. Eq. (5) will be used later to
 118 update the volume fraction of the clay matrix with increasing stress level. Both n and n_c are

119 related to the corresponding void ratios:

$$120 \quad n = \frac{e}{1+e}; \quad n_c = \frac{e_c}{1+e_c} \quad (6)$$

121 In model predictions, the void ratios can be calculated from the current strains¹ and the
122 initial void ratios:

$$123 \quad e = (1 + e_0)\exp(-\varepsilon) - 1; \quad e_c = (1 + e_{c0}) \exp(-\varepsilon_c) - 1 \quad (7)$$

124 where e_{c0} and e_0 are the corresponding initial void ratios, and ε_c and ε are the current
125 vertical strains of the clay matrix and its corresponding overall value, respectively. Their
126 definitions will be provided in the next subsection.

127

128 **2.2 Definition of stresses and strains**

129 The presence of stiff sand particles increases the stiffness of a sand-clay mixture, since the
130 local stress $\sigma'(\mathbf{x})$ and strain $\varepsilon(\mathbf{x})$ fields are not uniform on the micro-scale (Tandon and
131 Weng, 1988; Kumar, 1996). The average stress in the composite is higher than that in the
132 matrix, whereas, the strain is reduced compared with the matrix. The solution of the stress
133 (strain) field is a tricky problem which can be simplified by considering volume-average
134 stress and strain in each phase. Given a representative volume V_t (Fig. 1), the overall stress
135 σ' and strain ε can be defined as the average of the local stress $\sigma'(\mathbf{x})$ and the local strain $\varepsilon(\mathbf{x})$
136 over the total representative volume:

$$137 \quad \sigma' = \frac{1}{V_t} \int_{V_t} \sigma'(\mathbf{x}) dV; \quad \varepsilon = \frac{1}{V_t} \int_{V_t} \varepsilon(\mathbf{x}) dV \quad (8)$$

138 Analogously, the volume-average stress and strain of the constituents (sands and soft clay

¹ Since the samples have a large deformation at high stress levels during oedometer compression tests, the logarithmic strain is used for in this paper.

139 matrix, respectively) can be defined over the corresponding volumes²:

$$140 \quad \sigma'_c = \frac{1}{V_{tc}} \int_{V_{tc}} \sigma'(\mathbf{x}) dV; \quad \sigma'_s = \frac{1}{V_{ss}} \int_{V_{ss}} \sigma'(\mathbf{x}) dV; \quad \varepsilon_c = \frac{1}{V_{tc}} \int_{V_{tc}} \varepsilon(\mathbf{x}) dV \quad (9)$$

141 where σ'_c (ε_c) and σ'_s are the volume-average stresses (strain) in the clay matrix and sand,
 142 respectively. Combining Eqs (8) and (9), and considering the definition of the volume groups,
 143 one obtains the relationship between the overall volume-average stress (strain) and those of
 144 its constituents:

$$145 \quad \sigma' = \frac{1}{V_t} \int_{V_t} \sigma'(\mathbf{x}) dV = \frac{1}{V_t} \int_{V_{tc}} \sigma'(\mathbf{x}) dV + \frac{1}{V_t} \int_{V_{ss}} \sigma'(\mathbf{x}) dV = \phi_c \sigma'_c + (1 - \phi_c) \sigma'_s \quad (10a)$$

$$146 \quad \varepsilon = \frac{1}{V_t} \int_{V_t} \varepsilon(\mathbf{x}) dV = \frac{1}{V_t} \int_{V_{tc}} \varepsilon(\mathbf{x}) dV = \phi_c \varepsilon_c \quad (10b)$$

147 Given the definition of variables (stresses, strains, void ratios and porosities), the test
 148 data can be analyzed within the homogenization framework.

149

150 **3 Materials and test program**

151 The materials used in this study are a marine clay and a coarse sand material from Hong
 152 Kong. The basic physical properties of the materials (according to British Standard 1377) are
 153 given in Table 1. To investigate the inter-granular structure effect of the sand-clay mixture,
 154 only the sand particles with an essentially uniform grading between 1.0 mm and 2.0 mm were
 155 used in this study. The shape of sand particles ranged from subangular to angular with the
 156 maximum void ratio (e_{smax}) of 0.945 and the minimum void ratio (e_{smin}) of 0.601,
 157 respectively, which are similar to those of Hostun RF sand (Saada and Puccini, 1988) and
 158 Toyoura sand (Fukushima and Tatsuoka, 1984).

² The sand particles are assumed to be incompressible, hence, the corresponding strain is not considered.

159 The original clay was first mixed with water and then sieved with a mesh opening of 0.063
160 mm to exclude coarse grains from the natural marine soil. In this case, all the coarse particles
161 in the sand-clay mixtures are from the coarse sand material. Due to the high initial water
162 content of the clay slurry, the soil was exposed to air for a period of time. When the water
163 content was reduced to its liquid limit, the sample was poured into four individual
164 containers. Afterwards, water was added to reach the desired water contents: $1.09w_L$, $1.19w_L$,
165 $1.39w_L$ and $1.60w_L$, respectively. For the production of a sand-clay mixture, the mass of the
166 sand inclusions m_s was calculated according to the initial water content of the clay matrix w_c
167 and its expected proportion in the mixture:

$$168 \quad m_s = \frac{(1 - \psi_c)m_c}{\psi_c(1 + w_c)} \quad (11)$$

169 where ψ_c is the dry mass fraction of the clay matrix in the sand-clay mixture (four different
170 dry clay mass fractions: 100%, 80%, 60%, 40% were considered in this study), and m_c is the
171 mass of the clay matrix. The sand particles and the clay slurry were mixed homogeneously
172 and then spooned into a container. To expel possibly trapped air bubbles in the sample, a
173 vibration process was further applied by hitting the container on a table. Since the vibration
174 process may lead to a non-uniform distribution of the sand particles in the mixture, the
175 samples were mixed carefully to make it homogeneously. The samples were then kept in an
176 airtight container for 3 days for a complete saturation. Afterwards, the sand-clay mixtures
177 were spooned into the consolidation rings (with a diameter of 70.0 mm and a height of 19.0
178 mm) by a palette knife. After testing, the samples were dried in the oven for 24 h, and the
179 theoretically initial water content was estimated from the dry masses and the initial size of the
180 samples by considering a fully saturated condition. All the specimens investigated have a
181 saturation ratio (between the measured initial water content and the corresponding
182 theoretically value) higher than 0.98.

183 In order to avoid soil squeezing between the consolidation ring and the loading cap,
184 specimens were consolidated at an initial vertical stress of 1.7 kPa. The consolidation stress
185 was then gradually increased following steps of 2.5, 5, 10, 25, 50, 100, 200, 400, 800 and 1200
186 kPa. The duration of every load increment was 8-24 h in order to dissipate excess pore
187 pressures according to the corresponding consolidation curves.

188

189 **4 Test results and analysis**

190 To calculate the void ratio of a mixture, the overall particle density should be
191 determined. In some previous work, the specific gravity of mixtures was calculated by the
192 weighted average method which is only a rough estimation. Actually, the overall particle
193 density can be determined by the particle density of its constituents using

$$194 \quad \rho = \frac{\rho_c \rho_s}{\psi_c \rho_s + (1 - \psi_c) \rho_c} \quad (12)$$

195 The results of compression tests on the sand-clay mixtures (samples with a given initial
196 water content and different mass fractions) are shown in Fig. 2 in terms of overall specific
197 volume ($v = 1 + e$) and overall effective vertical stress on a semi-logarithmic scale. It is seen
198 that the compression curves show an approximately linear relationship at a lower initial
199 water content of the clay matrix ($1.09 w_L$), which becomes slightly concave upwards with
200 increasing initial water content of the matrix. Additionally, the compressibility decreases
201 with the increase of sand volume fraction.

202 To clearly show the effect of initial water content on the overall compression behavior of
203 the clay mixtures (or the pure clay), the results of samples with a given mass fraction are
204 shown in Fig. 3 on a semi-logarithmic scale. It can be seen that the curve for a higher initial
205 water content of the pure clay lies above that for a lower one, and the corresponding

206 compressibility increases with increasing initial water content. It indicates that the Normal
 207 Compression Line of the investigated clay is not unique, which is significantly affected by
 208 the initial water content. Correspondingly, the compression curves of the mixtures show
 209 similar pattern (with the pure clay) that the initial water content has an influence on the overall
 210 compression behavior. However, the decrease of compressibility is not as distinct as that of
 211 the pure clay, since it was reduced due to the increase volume fraction of the (incompressible)
 212 sand particles.

213 The compression curves of sand-clay mixtures (or the pure clay) (Fig. 2) show similar
 214 pattern as those of natural soils, especially for those with a lower initial water content of the
 215 clay matrix (e.g., 1.09 w_L and 1.19 w_L). The overall stiffness shows a sharp change in the
 216 vicinity of a stress level, which is named as the remolded yield stress σ'_y (Hong *et al.*, 2012).
 217 The curves with a slightly inverse 'S' shape on the semi-logarithmic scale can be well
 218 represented by a straight line beyond the remolded yield stress in the double logarithmic plot
 219 as described in Fig. 4.

$$220 \quad \ln v = N - \lambda \ln(\sigma'/\sigma'_r) \quad (13a)$$

$$221 \quad \ln v_c = N_c - \lambda_c \ln(\sigma'_c/\sigma'_r) \quad (13b)$$

222 where $\sigma'_r = 1$ kPa is a reference stress, N and λ are compression parameters for the sand-clay
 223 mixtures, N_c and λ_c are the compression parameters for pure clay matrix (N and N_c
 224 corresponding to the reference stress, and λ and λ_c being the slope of the Normal
 225 Compression Line in double logarithmic plot). The above equation was proposed by
 226 Butterfield (1979) and Hashiguchi (1995) for natural soils, which was verified by many
 227 researchers for remolded soils (e.g., Sridharan and Prakash, 1996; Hong and Onitsuka, 1998;
 228 Hong *et al.*, 2010; Shi and Herle, 2015, 2016b) beyond the remolded yield stress. The
 229 remolded yield stress is linked to the suction pressure on the surface of the specimen during

230 the air drying and preparation process (Fredlund, 1964), which increases with decrease of the
 231 initial water content for a given soil (Hong, 2007; Hong *et al.*, 2010; Shi and Herle, 2015).
 232 Due to absence of compression data within very small stress levels, the remolded yield stress
 233 in this study was estimated by extrapolating the post-yield curve to the initial void ratio
 234 (Hong, 2007). It is seen from Fig. 5 that it decreases with increasing initial water content of
 235 the clay matrix, but it is not sensitive to the sand fraction. In the sequel, only the data beyond
 236 the remolded yield stress are analyzed. Hence, the model is not suitable for the stress smaller
 237 than the remolded yield stress of sand-clay mixtures.

238 The intrinsic compression void e_{100}^* (void ratio at a stress of 100 kPa) and
 239 compression index C_c^* (difference of the void ratio between 100 kPa and 1000 kPa) can be
 240 calculated using Eq. (13a) and (13b) which was fitted with the data beyond the remolded
 241 yield stress. The results in Fig. 6 indicate that both compression parameters increase with the
 242 mass fraction and the initial water content of the clay matrix. The difference of compression
 243 parameters with different initial water contents is significant for a given mass fraction of
 244 100% (pure clay), which reduces as the initial water content falls. This confirms the point
 245 that the Normal Compression Line (both the pure clay and the sand-clay mixtures) varies with
 246 the initial water content, indicating that the only the mixtures with the same initial water
 247 content of the clay matrix are comparable.

248 The intrinsic void index $I_v = (1 - e_{100}^*)/C_c^*$ was introduced by Burland (1990) for
 249 correlating the compression curves of remolded clays by moving them to a fixed point ($I_v=0$
 250 at $\sigma'=100$ kPa):

$$251 \quad I_v = 2.45 - 1.285 \log \sigma' + 0.015 (\log \sigma')^3 \quad (14)$$

252 The oedometer data are plotted in Fig. 7 in terms of void index and effective vertical

253 stress. The compression curves can be normalized using the intrinsic compression
254 parameters (e_{100}^* and C_c^*) beyond 5 kPa within the testing stress range. Some differences
255 arise at very small stress levels due to the remolded yield stress, especially for the samples
256 with lower initial water content of the clay matrix.

257

258 **5 Homogenization equation**

259 **5.1 Local stress distribution**

260 Considering that the sand particles are incompressible compared with the clay matrix within
261 the testing stress range, and that the water in the mixtures are only associated with the clay
262 matrix, the void ratio of the clay matrix is given as

$$263 \quad e_c = \frac{e\rho_c}{\psi_c\rho} \quad (15)$$

264 Substitution of Eqs (12) and (13a) into Eq. (15), one gets the local void ratio e_c at a given
265 overall stress. Fig. 8 shows the relationship between the local specific volume ($v_c = 1+e_c$) and
266 the overall effective vertical stress. It is seen that the curves with a sand fraction of 20% are
267 approximately close to those of the corresponding pure clay samples. The data points move
268 upwards as the sand fraction and the effective vertical stress increase, indicating that the stress
269 distribution is not uniform. Assuming that the compression curve of the matrix in a sand-clay
270 mixture follows the Normal Compression Line of the pure clay at a specified initial water
271 content regardless of the sand fractions, the volume average stress in the clay matrix σ'_c can
272 be estimated (see Fig. 9). At a given overall stress level, the specific volume of the clay
273 matrix is computed using Eqs (13b) and (15). Then, the local stress in the clay matrix is
274 calculated as

275
$$\sigma'_c = \exp\left(\frac{N_c - \ln v_c}{\lambda_c}\right) \sigma'_r \quad (16)$$

276 where N_c and λ_c are intrinsic compression parameters corresponding to the pure clay. Two
 277 points need to be clarified when using Eq. (16): (1) the initial water content of the clay
 278 matrix in a clay mixture should be consistent with that of the corresponding pure clay; (2) it
 279 is only applicable to the stress levels beyond the remolded yield stress of the clay matrix. To
 280 describe the stress distribution in a mixture, a stress ratio is defined as the ratio between the
 281 stress of the clay matrix and the overall value. The evolution of the stress ratio σ'_c/σ' of the
 282 mixtures is shown in Fig. 10. It is clear that the stress ratio of the mixtures is close to one for
 283 a sand mass fraction of 20%, since the compression curves nearly overlap those of the pure
 284 clay. In addition, the stress ratio decreases with the mass fraction of sand particles; however,
 285 there is no obvious change of the stress ratio with the increase of the initial water content of
 286 the clay matrix.

287 The stress ratio falls significantly for a sand fraction of 60% as the effective vertical
 288 stress increases, which tends to suggest that the sand particles are approaching each other. To
 289 investigate the evolution of the inter-granular structure (sand particles), the volume fraction
 290 of the clay matrix needs to be analyzed. It can be computed from Eqs (5), (6) and (15).

291 Data of the volume fraction of the clay matrix (different dry mass fractions and different
 292 initial water contents of the clay matrix) are gathered in Fig. 11. ϕ_c falls with increasing
 293 overall effective vertical stress. The horizontal line gives a constant value ($\phi_c = 0.486$) of the
 294 volume fraction of the clay matrix, named as 'transition fines content ϕ_{ct} ' after Monkul and
 295 Ozden (2005). It corresponds to the maximum void ratio of the sand material ($e_{smax} = 0.945$).
 296 Recalling that the stress ratio decreases significantly with increasing stress level for a sand
 297 fraction of 60%, however, the volume fraction still lies above the transition fines content. One
 298 possible explanation is that a part of the clay matrix acts like bridges between adjacent coarse

299 particles, which contributes to the overall force chain (Jafari and Shafiee, 2004).
300 | Consequently, the corresponding stress ratio decreases.

301

302 **5.2 A homogenization equation**

303 A sand-clay mixture is a random particulate composite (Hashin, 1983), characterized by some
304 degree of disorder on a local scale (Fig. 1). A similar composite material, named as 'lumpy-
305 composite soil' consisting of deformable clayey lumps and a reconstituted soil, was
306 investigated by Shi and Herle (2016a). Theoretical and numerical analysis revealed that the
307 logarithm of the overall stiffness could be computed as a volume average value of the ones of
308 its constituents. However, the overall stiffness of sand-clay mixtures would be significantly
309 overestimated using this homogenization approach (Shi and Herle, 2016a), since the sand
310 particles are incompressible ($E_s = \infty$).

311 One possible postulation is that the overall stiffness depends on the reconstituted clay
312 matrix regardless of the inclusions. Tu *et al.*'s investigation (Tu *et al.*, 2005) on a random
313 particulate composite (both the matrix and inclusions are ideal elastic materials, with elastic
314 stiffness of E_m and E_i , respectively) suggested that improving the elastic stiffness of
315 inclusions significantly enhanced the overall elastic stiffness E at first but it comes to a limit
316 state and cannot be further increased after approaching a transition point. Hashin (1983)
317 pointed out that when a soft matrix was reinforced by rigid lumps (corresponding to sand-clay
318 mixtures in this study), the stiffness of the composite can only increase to several times the
319 matrix stiffness, which corresponds to a limit state in Fig. 12. In this case, a homogenization
320 equation coupling the overall stiffness E and that of the clay matrix E_c needs to be
321 investigated.

322 A homogenization equation can be proposed based on either secant or tangent stiffness.
323 The latter one is adopted in this study, since tangent formulations are widely used in soil

324 mechanics. The overall tangent stiffness of the mixtures was computed from two neighboring
 325 data points in the compression plots, and the tangent stiffness of the clay matrix can be
 326 deduced from Eq.(16):

$$327 \quad E_c = \frac{d\sigma'_c}{d\varepsilon_c} = \frac{d\sigma'_c}{d(\ln v_{c0} - \ln v_c)} = \frac{\sigma'_c}{\lambda_c} \quad (17)$$

328 where $v_{c0} = 1 + e_0$ is the initial specific volume of the clay matrix. Fig. 13 shows the
 329 relationship between the overall tangent stiffness and that of the matrix (overall effective
 330 stress higher than 10 kPa). It can be seen that the mass fraction of the clay matrix
 331 significantly affects the homogenization relationship; however, data with different mass
 332 fractions can be well extrapolated to the origin in the double-logarithmic plot ($E=1$ and
 333 $E_c=1$). Hence, a possible homogenization equation can be given as

$$334 \quad \ln E = \chi \phi_c \ln E_c \quad (18)$$

335 where χ is a structure variable parameter governing the structure transition of the inter-
 336 granular structure of sand-clay mixtures. It is calculated from Eqs (17) and (18) at given
 337 stress levels, as shown in Fig.14. The data show a relatively consistent relationship: it
 338 increases slightly with increasing stress level and falls remarkably as the mass fraction of the
 339 clay matrix increases. After being presented as a plot of χ against the corresponding volume
 340 fraction of the clay matrix, an approximately unique relationship exists (Fig. 15). An
 341 expression for the structure variable should satisfy the following two requirements:

342 (1) The structure variable is approximately close to one if the volume fraction of sand
 343 inclusions is negligible. I.e., $\chi = 1$, when $\phi_c = 1$.

344 (2) The structure variable increases with decreasing volume fraction of the clay matrix,
 345 becomes infinite when approaching the minimum clay fraction which corresponds to the
 346 minimum void ratio of the sand material

347 The minimum void ratio of a pure sand material decreases with increasing stress level
348 (Herle and Gudehus, 1999). A major factor is the fracturing and splitting of the sand
349 particles (Pestana and Whittle, 1995; Mesri and Vardhanabhuti, 2009)). However, the
350 breakage and induced rearrangement of sand particles in sand-clay mixtures could be
351 substantially reduced due to the clay matrix within the inter-granular space: (1) the stress in
352 a single sand particle is more uniform due to the confining stress from surrounding clay
353 matrix, which prevents the fracturing and splitting of the sand particles; (2) since the degree
354 of freedom of sand particles in the mixture is lower than that of the pure sand specimen, the
355 rearrangement of the inter-granular is not so distinct. Consequently, the minimum inter-
356 lump porosity for a binary sand-clay mixture is not sensitive to the stress level, and it can
357 be approximated by the measured value according to the densification standard: BS1377
358 ($e_{smin}=0.601$).

359 After contacting each other, solid force chains form and the sand inclusions behave as a
360 porous rock material. In this case, the sand structure would overtake a further load
361 increment alone, $\chi = \infty$.

362 Considering the above requirements, a relationship between the structure variable and
363 the volume fraction of the clay matrix is given as

364

$$365 \quad \chi = \left(\frac{1 - \phi_{cmin}}{\phi_c - \phi_{cmin}} \right)^{\zeta} \quad (19)$$

366 where ζ is a model parameter controlling the sensitivity of the structure variable on the
367 volume fraction of the clay matrix (χ increases with increasing ζ for a given volume fraction
368 of the clay matrix), ϕ_{cmin} is the minimum volume fraction of the clay matrix corresponding to
369 the minimum void ratio of the sand material:

370
$$\phi_{cmin} = \frac{e_{smin}}{1 + e_{smin}} \quad (20)$$

371 As shown in Fig. 15, with $\xi = 0.75$, the test data can be well fitted. Note that the inter-granular
 372 porosity for a sand-clay mixture may be slightly lower than the ϕ_{cmin} in an extremely high
 373 stress level or very low initial inter-granular porosity. In this case, ϕ_{cmin} can be replaced by a
 374 value slightly lower than the minimum value to avoid irrational values of the structure
 375 variable. However, ϕ_{cmin} used in this study can well reproduce the compression data of the
 376 mixtures within the range of initial water content and sand fractions.

377

378 **6 Compression model and its validation**

379 **6.1 A simple compression model**

380 The compression behavior of an inhomogeneous material can be derived from two following
 381 relationships: (1) a homogenization equation relating the overall stiffness to those of its
 382 constituents. In case of sand-clay mixtures, the overall stiffness only depends on the clay
 383 matrix (Eq. (18)). (2) stress strain relationships of the constituents. Since the sand particles
 384 are assumed to be incompressible, only the incremental stress strain relationship of the clay
 385 matrix is considered (Eq. (17)).

386 From the homogenization equation (Eq. (18)) and the definition of the tangent stiffness, the
 387 tangent stiffness of sand-clay mixtures can now be deduced as

388
$$\frac{d\sigma'}{d\varepsilon} = \left(\frac{d\sigma'_c}{d\varepsilon_c} \right)^{\chi\phi_c} = \left(\frac{\sigma'_c}{\lambda_c} \right)^{\chi\phi_c} \quad (21)$$

389 χ and ϕ_c are state variables depending on the current void ratio of the clay matrix. The
 390 overall stiffness can be expressed as a function of a stress concentration ratio and the

391 corresponding local stiffness (e.g., stiffness of the clay matrix), which was first proposed by
 392 Hill (1963). Considering the stress (strain) definitions (Eqs (8) - (10b)), the tangent stress
 393 strain relationship (Eq. (17)) and the homogenization equation (Eq. (18)), an incremental
 394 stress ratio μ_σ^c is deduced as

$$395 \quad \mu_\sigma^c = \frac{d\sigma'_c}{d\sigma'} = \phi_c^{-1} \left(\frac{\sigma'_c}{\lambda_c} \right)^{1-\chi\phi_c} \quad (22)$$

396 Eqs (5) - (7), (17), (19), (21) and (22) present a full model for sand-clay mixtures. Eqs (5) -
 397 (7) determine the evolution of the volume fraction of the clay matrix; The structure
 398 parameter χ governing the homogenization relationship is given by Eq (19); The local stress
 399 increment in the clay matrix at a particular calculation step is obtained from Eq (22); The
 400 overall strain increment and the one of the clay matrix are computed from Eqs (17) and (21),
 401 respectively.

402 **The compression model proposed in this paper is within the homogenization framework.**
 403 **The overall volume change equals that of the clay matrix, and the local stress in the clay**
 404 **matrix is governed by the inter-granular structure evolution. The modelling concept is**
 405 **different from that for structured soils (e.g., Cotecchia and Chandler, 2000; Masin, 2007; Liu**
 406 ***et al.*, 2010). For a given sand fraction, there is no so called 'reference state' in a sand-clay**
 407 **mixture in 1D compression loading, and the structure variable coupling the overall stiffness**
 408 **and the local stiffness increases monotonically with increasing overall stress level.**

409

410 **6.2 Model parameters and numerical procedures**

411 As can be seen from Eqs (5) - (7), (17), (19), (21) and (22), there are three model parameters
 412 for a given initial water content of the clay matrix: N_c , λ_c and ξ . N_c and λ_c are intrinsic model
 413 parameters describing the Normal Compression Line of the clay matrix at a given initial

414 water content. ζ is a model parameter governing the evolution of internal structure of a sand-
 415 clay mixture. Note that N_c and λ_c vary with the initial water content of the clay matrix,
 416 which can be given by very simple equations (Shi and Herle, 2016b). For simplicity, in this
 417 work, the model parameters are fitted from the corresponding compression curves of the pure
 418 clay at different initial water contents (Table 2).

419 The compression curves of clay mixtures can be predicted with the following procedures:

420 Step 1. Determine an initial state of a clay mixture. Since the parameters in Table 2
 421 describe only the Normal Compression Line of the clay matrix, a testing stress state σ'_0
 422 beyond (or approximately close to) the remolded yield stress is used. For the sand-clay
 423 mixtures in this study, the initial stresses are given in Table 2.

424 Step 2. Compute the local stresses σ'_c and σ'_s at the designated initial state of a sand-clay
 425 mixture. Substitution of Eq. (15) into Eq. (16) and considering Eq. (10a), one gets the local
 426 stresses.

427 Step 3. Let $(\sigma')^{k-1}$ and ε^{k-1} represent the overall stress and strain at the last increment
 428 step. Similarly, $(\sigma'_c)^{k-1}$ and $(\varepsilon_c)^{k-1}$ denote the stress and strain in the clay matrix. The
 429 incremental stress ratio of the clay matrix is calculated according to Eq. (22).

430 Step 4. Given an overall stress increment $d\sigma'$, compute the stress increment in the clay
 431 matrix: $d\sigma'_c = \mu_\sigma^c d\sigma'$. The current stress is updated: $(\sigma'_c)^k = (\sigma'_c)^{k-1} + d\sigma'_c$; $(\sigma')^k = (\sigma')^{k-1} + d\sigma'$.

432 Step 5. Calculate the overall strain increment $d\varepsilon$ and that of the clay matrix $d\varepsilon_c$ from Eqs
 433 (21) and (17), respectively. The current strains are updated: $(\varepsilon_c)^k = (\varepsilon_c)^{k-1} + d\varepsilon_c$; $\varepsilon^k =$
 434 $\varepsilon^{k-1} + d\varepsilon$.

435 Step 6. The volume fraction of the reconstituted soil should be updated using Eqs (5) -
 436 (7) at the end of every incremental step.

437 Step 7. The current structure parameter χ was computed from the volume fraction of the
438 current clay matrix (Eq. (19)). Refer to Step 3 to continue for the next incremental step.

439

440 **6.3 Validation of the model**

441 The validity of the proposed model was evaluated using the oedometer tests of the sand-
442 marine clay mixtures, considering different mass fractions and different initial water contents
443 of the clay matrix. **The initial specific volume is adopted as the one in vicinity of the**
444 **remolded yield stress which is shown in Fig. 16. It increases with both the clay fraction and**
445 **the initial water content of the clay matrix.** The model parameters and initial stress levels for
446 each test are listed in Table 2. The predicted compression curves are shown in Fig. 17
447 together with the experimental data. It can be seen that there are some differences between
448 the test data and the model predictions at a lower initial water content of the clay matrix ($w_c =$
449 67.9 %). However, the compression behavior of the mixtures can be well reproduced by the
450 model for the other three initial water contents. The percolation effect in field projects is
451 significant in case of a high initial water content of the clay matrix. In this case, the overall
452 compression behavior can be well described by the proposed model.

453 The model was formulated based on the tangent stiffness. The predicted values of the
454 stiffness relationship (between the overall stiffness and the local stiffness) are compared with
455 the experimental data as shown in Fig. 18. The pattern is close to that observed
456 experimentally that the curve with a higher mass fraction lies above the one with a lower
457 fraction. An inconsistency with small values of the stiffness is induced by the remolded yield
458 stress at very low stress levels.

459

460 **7 Conclusions**

461 In this paper, a series of oedometer tests with different clay mass clay fractions and different
462 initial water contents of the clay matrix was performed on remolded sand-marine clay
463 mixtures. The effect of initial water content on the compression behavior has been discussed,
464 and the evolution of corresponding local variables (e.g., the local specific volume and the
465 local stress of the clay matrix) has been analyzed. Finally, a simple compression model is
466 formulated within homogenization framework. The following conclusions are drawn:

467 (1) The initial water content of the clay matrix significantly affects the compression behavior
468 of the investigated sand-clay mixtures within the testing stress levels. In this case, the Normal
469 Compression Line of a sand-clay mixture depends on both the mass fraction and the initial
470 water content of the clay matrix.

471 (2) The local stress in the clay matrix is computed referring to the Normal Compression Line
472 of the pure clay at a specified initial water content. The stress ratio of the mixtures σ'_c/σ' , with
473 a sand mass fraction of 20% is approximately close to one. The stress ratio falls significantly
474 with increasing effective overall stress for a sand fraction of 60%, which may be attributed to
475 the formation of clay bridges between adjacent sand particles.

476 (3) A homogenization equation coupling the overall stiffness E and that of the clay matrix E_c
477 is proposed based on the analysis of the experimental tangent stiffness. A model parameter ζ
478 is introduced considering the sensitivity of the structure parameter on the volume fraction of
479 the clay matrix.

480 (4) A compression model is formulated based on the tangent stiffness. For a given initial water
481 content of the clay matrix, only three model parameters are included. The model can well
482 predict the compression behavior of the sand-marine clay mixture within the testing stress
483 range.

484 **Limitations of the model are made as follows:**

485 **This paper deals with a composite material consisting of sand particles and soft clay matrix**

486 within the inter-granular space. Therefore, two limit cases are incorporated: (1) a mixture with
487 negligible fraction of sand, i.e., a pure clay, and (2) a binary mixture with a volume fraction of
488 the clay matrix equaling the minimum inter-lump porosity of the corresponding pure sand
489 material. If the clay fraction is too low to fill the inter-granular space, macro voids between
490 sand particles could exist, and it cannot be modeled by the proposed model.

491

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500

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612

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Table 1: Basic physical properties of the tested materials

Materials	Density of particles (Mg/m^3)	Liquid limit (%)	Plastic limit (%)	Clay (%)	Silt (%)	Sand (%)
Clay	2.68	62.4	27.5	15	85	0
Sand	2.63	—	—	0	0	100

Table 2: Parameters of the proposed compression model for the sand-marine clay mixtures

Specimens	w_{c0} (%)	N_c	λ_c	Sand fraction ϕ_c (%)	ξ	Initial stress level (kPa)
Series-1	67.9	1.121	0.083	100, 80, 60, 40	0.75	5.0
Series-2	74.5	1.156	0.087	100, 80, 60, 40	0.75	2.5
Series-3	86.9	1.238	0.100	100, 80, 60, 40	0.75	1.7
Series-4	99.5	1.296	0.102	100, 80, 60, 40	0.75	1.7

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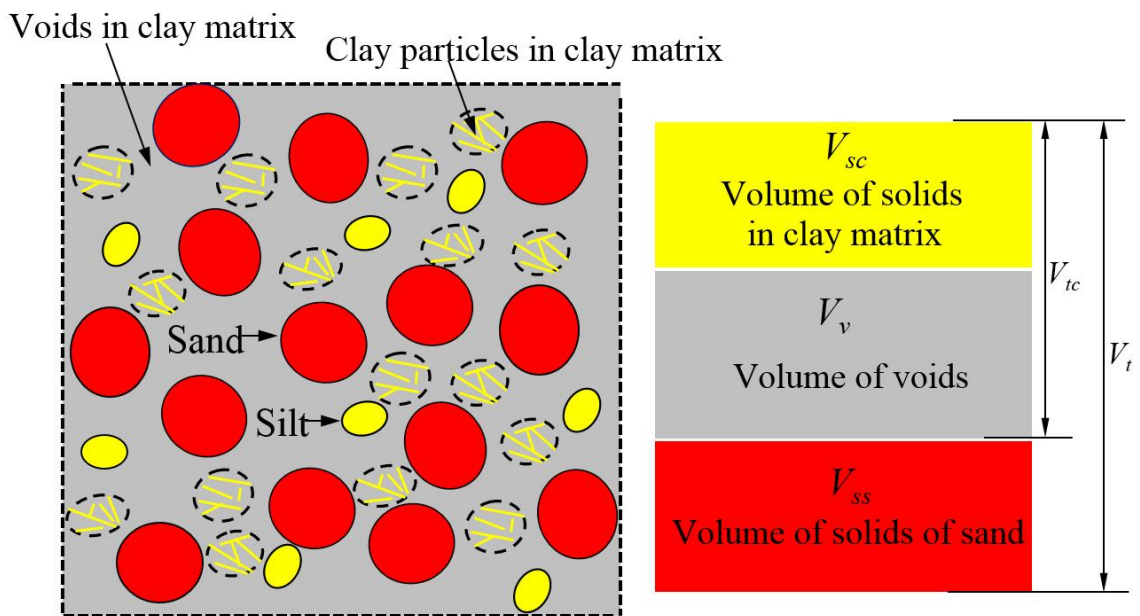
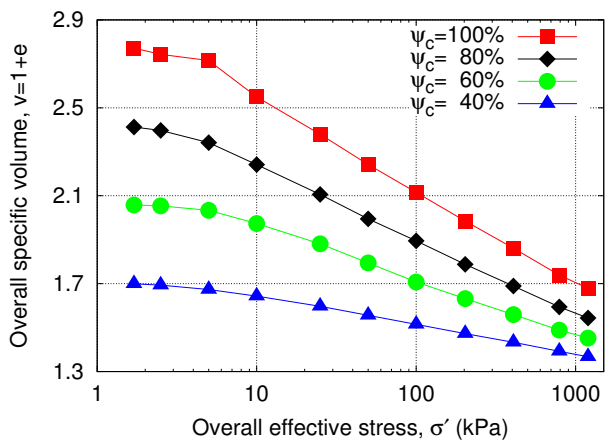
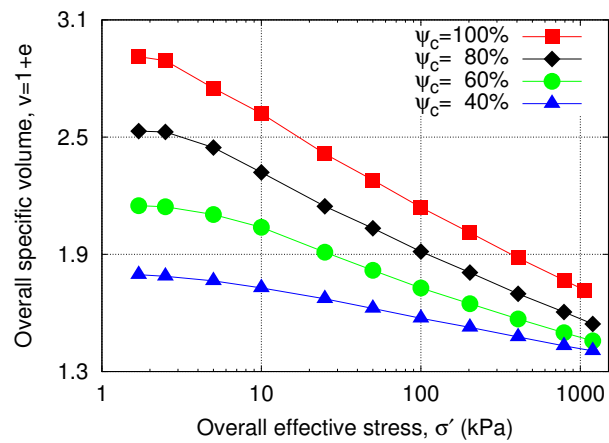


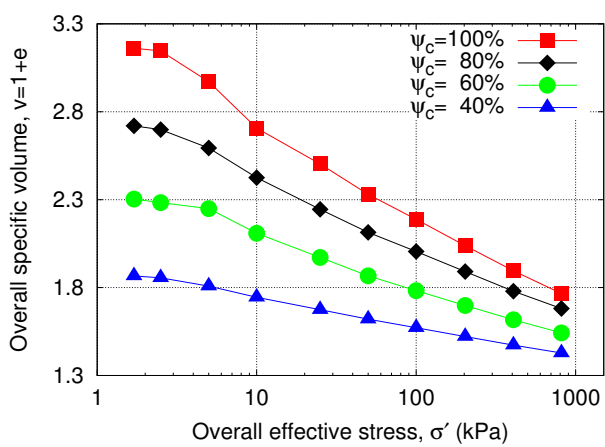
Figure 1: Divisions of the volumes in sand-clay mixture



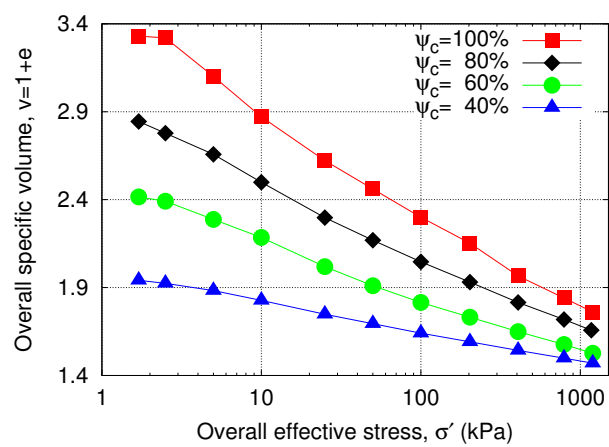
(a) $w_{c0} = 67.9\%$



(b) $w_{c0} = 74.5\%$

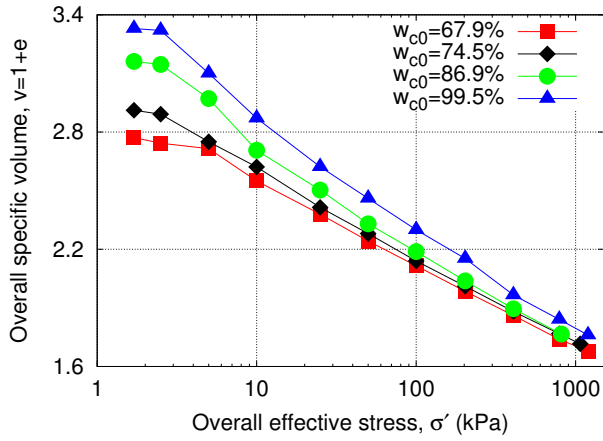


(c) $w_{c0} = 86.9\%$

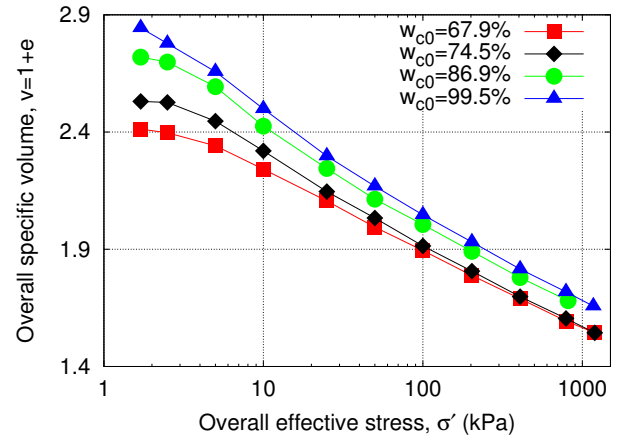


(d) $w_{c0} = 99.5\%$

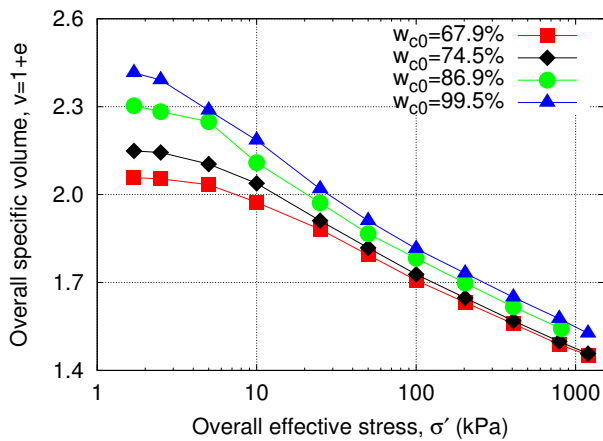
Figure 2: Compression curves of sand-clay mixtures with different mass fractions of the clay matrix



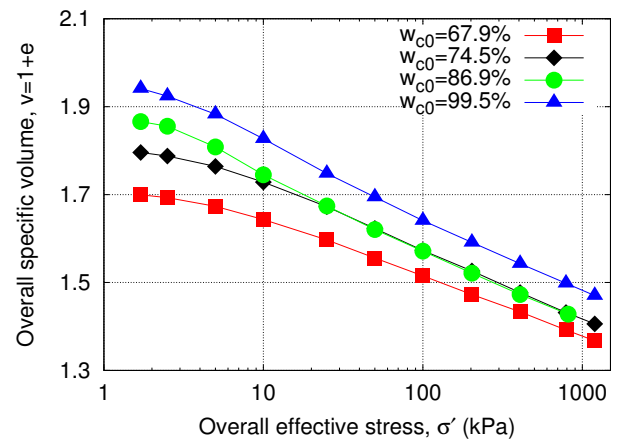
(a) $\psi_c = 100 \%$



(b) $\psi_c = 80 \%$



(c) $\psi_c = 60 \%$



(d) $\psi_c = 40 \%$

Figure 3: Compression curves of sand-clay mixtures at different initial water contents of the clay matrix

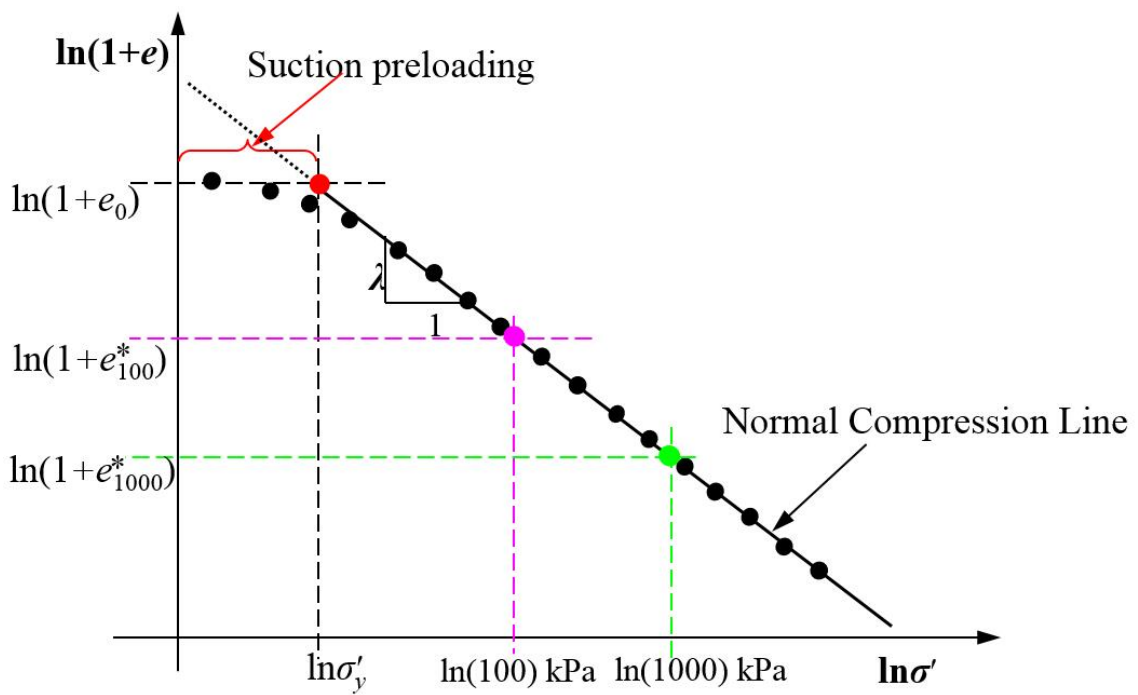
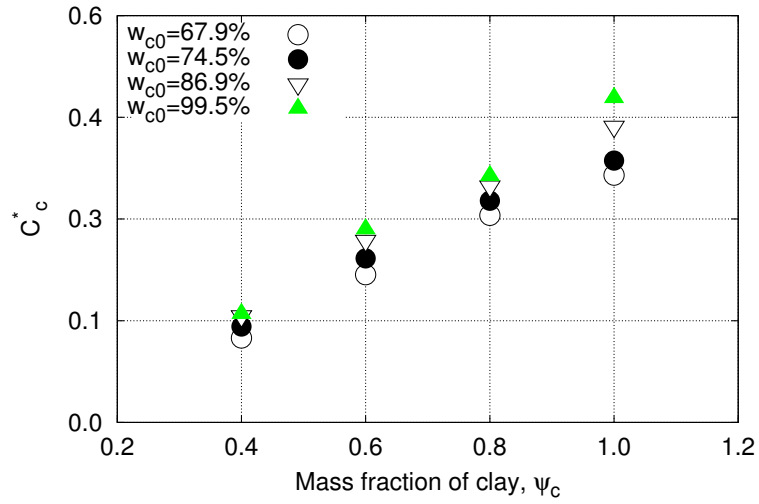
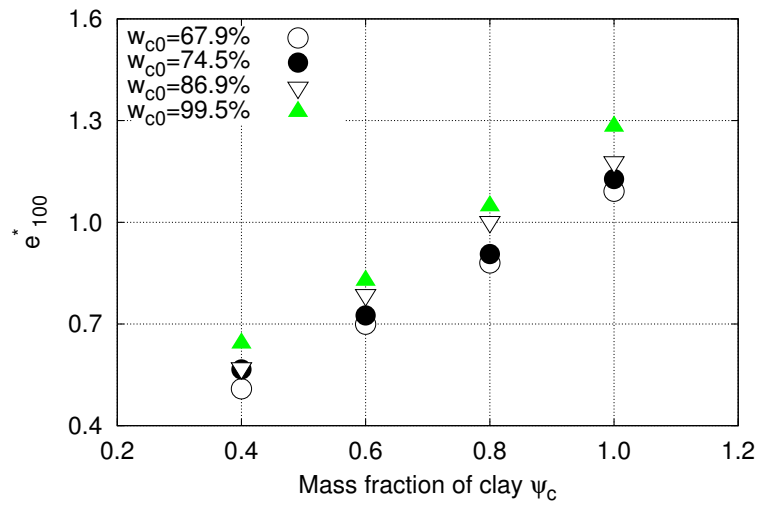


Figure 4: Schematic plot for the interpretation of the compression behaviour of remolded sand-clay mixtures



(a) C_c^*



(b) e_{100}^*

Figure 5: Change of the intrinsic compression parameters with mass fraction of the clay matrix

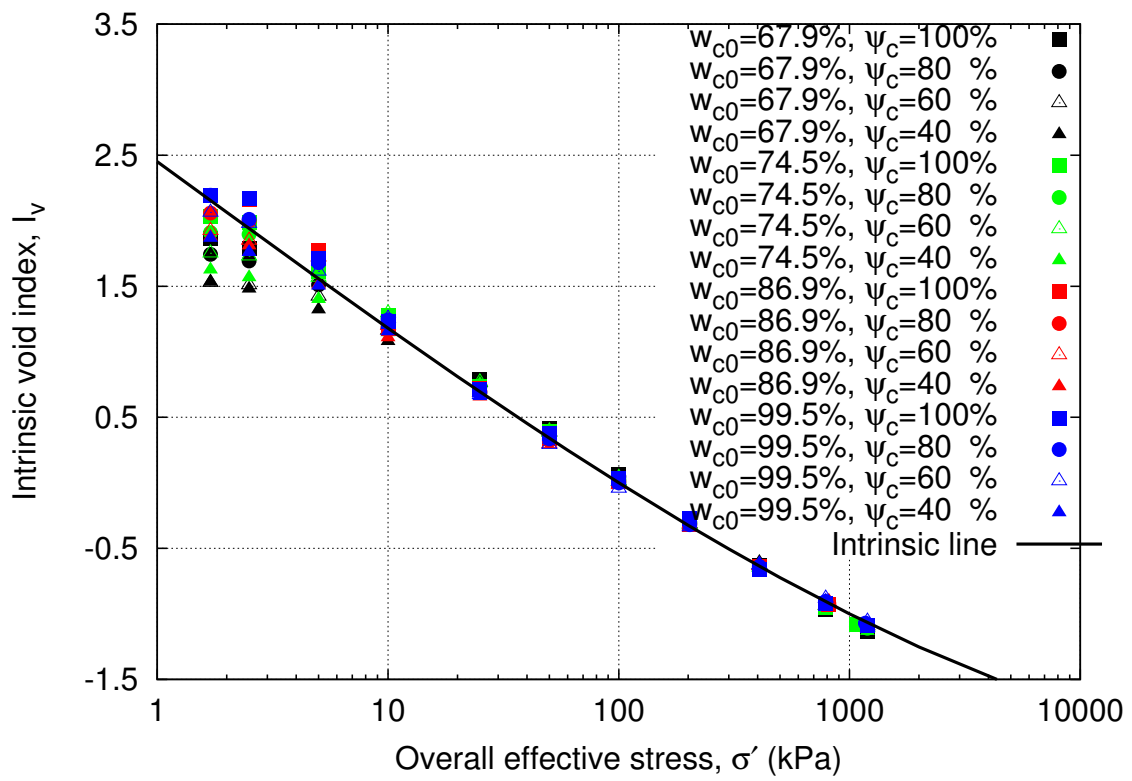
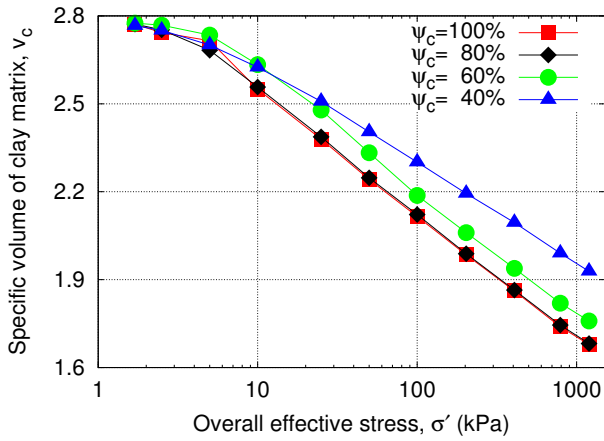
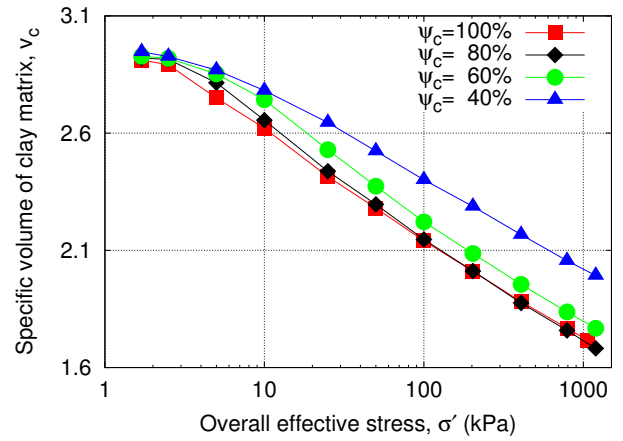


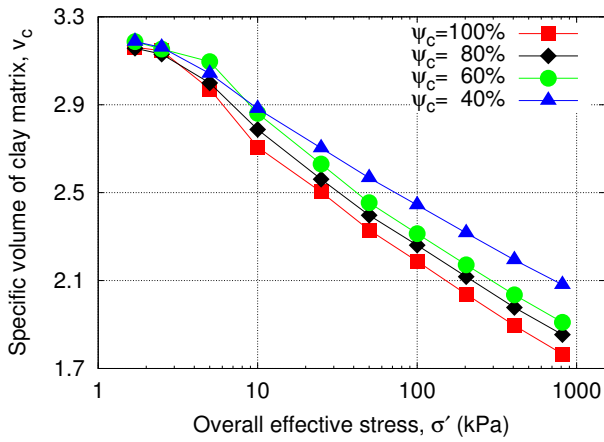
Figure 6: Intrinsic compression curves of the sand-clay mixtures with different mass fractions and different initial water contents



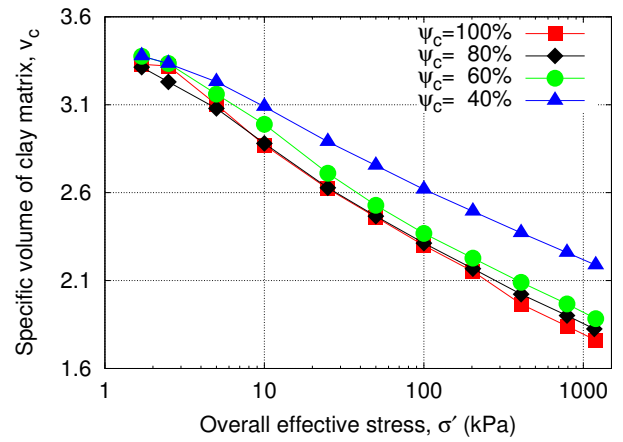
(a) $w_{c0} = 67.9\%$



(b) $w_{c0} = 74.5\%$



(c) $w_{c0} = 86.9\%$



(d) $w_{c0} = 99.5\%$

Figure 7: Compression curves of the clay matrix in sand-clay mixtures with different mass fractions of clay matrix

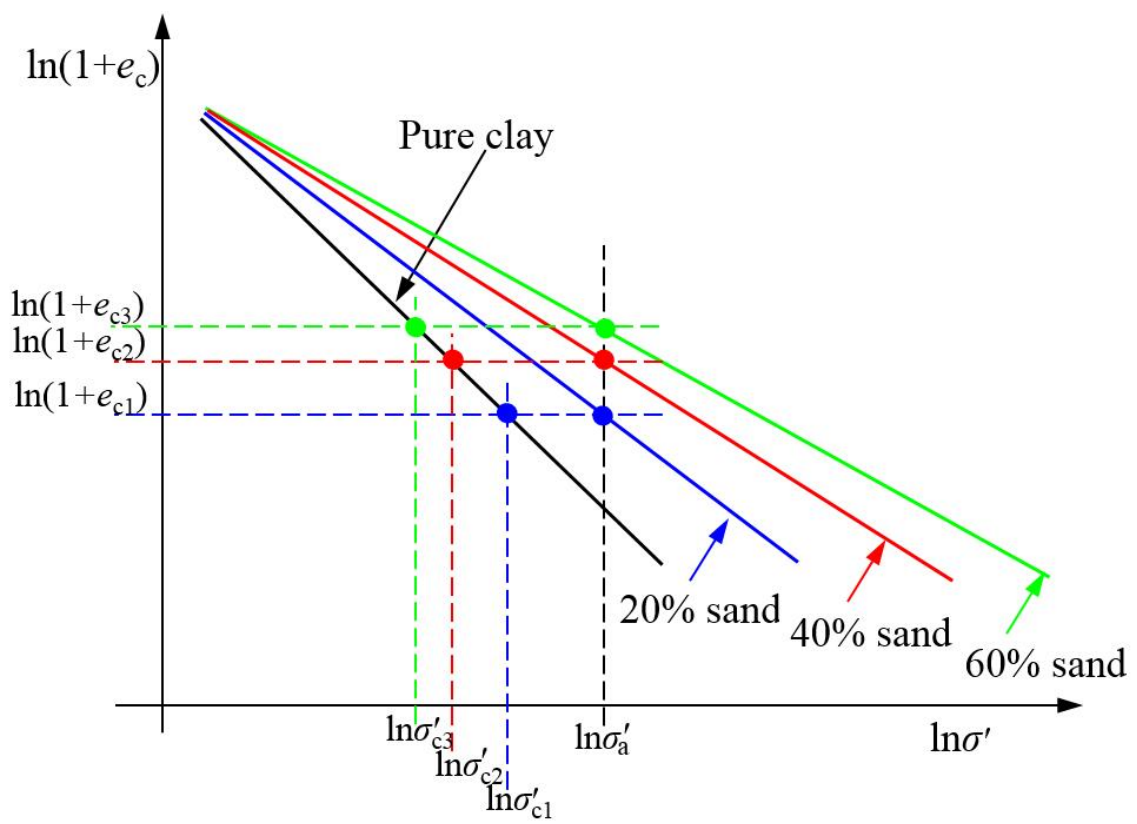
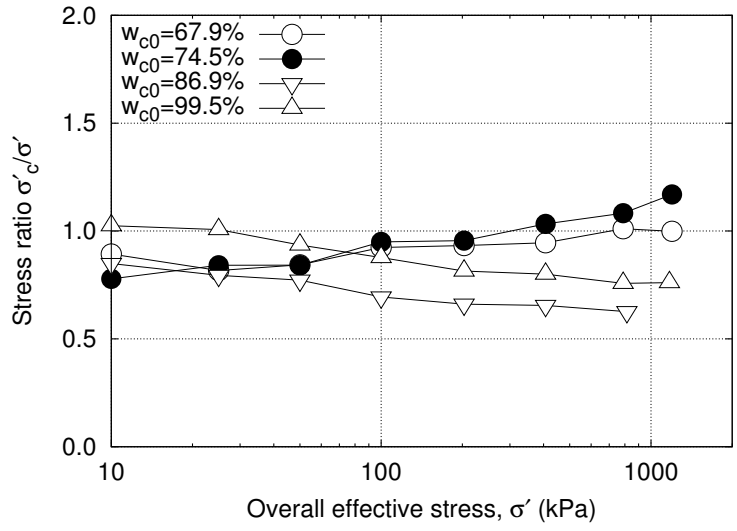
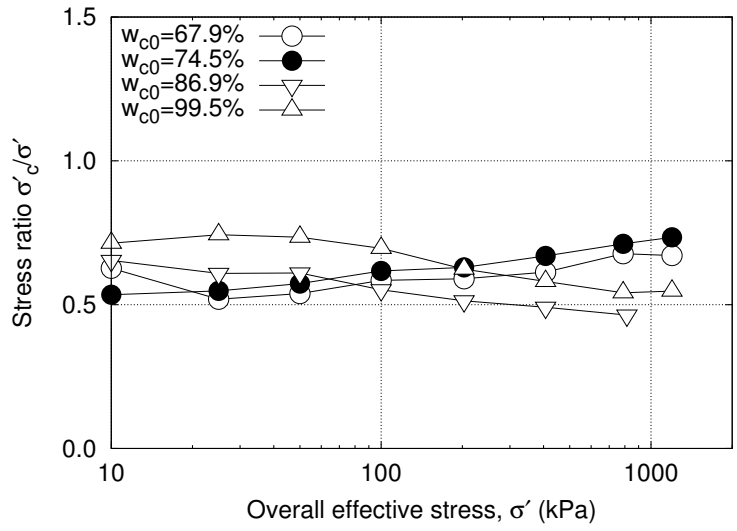


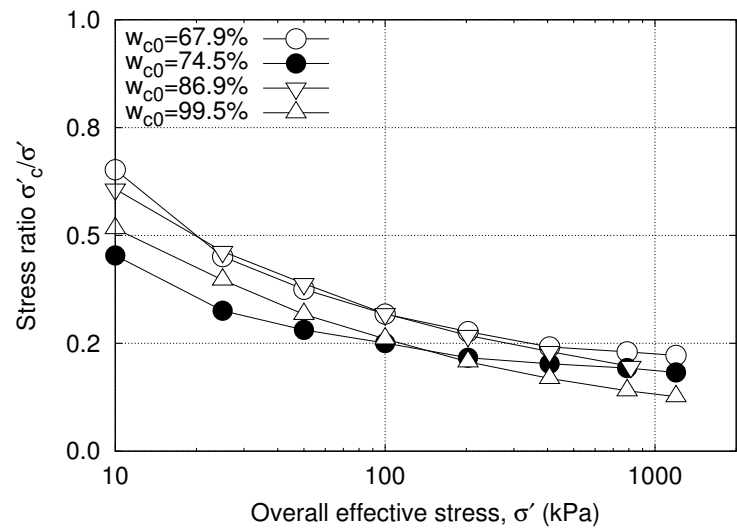
Figure 8: Schematic plot for the calculation of the local stress in remolded sand-clay mixtures



(a) $\psi_c = 80\%$



(b) $\psi_c = 60\%$



(c) $\psi_c = 40\%$

Figure 9: Stress ratio between the clay matrix and the sand-clay mixtures

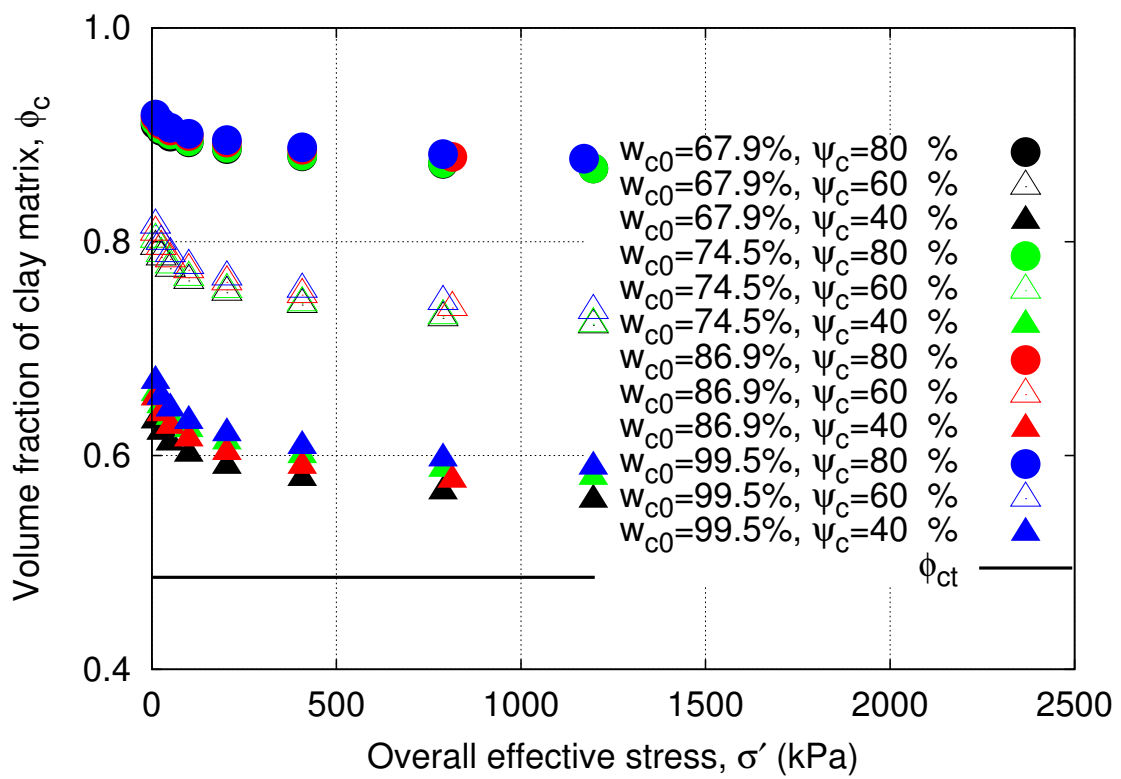


Figure 10: Evolution of the volume fraction of the clay matrix in remolded sand-clay mixtures

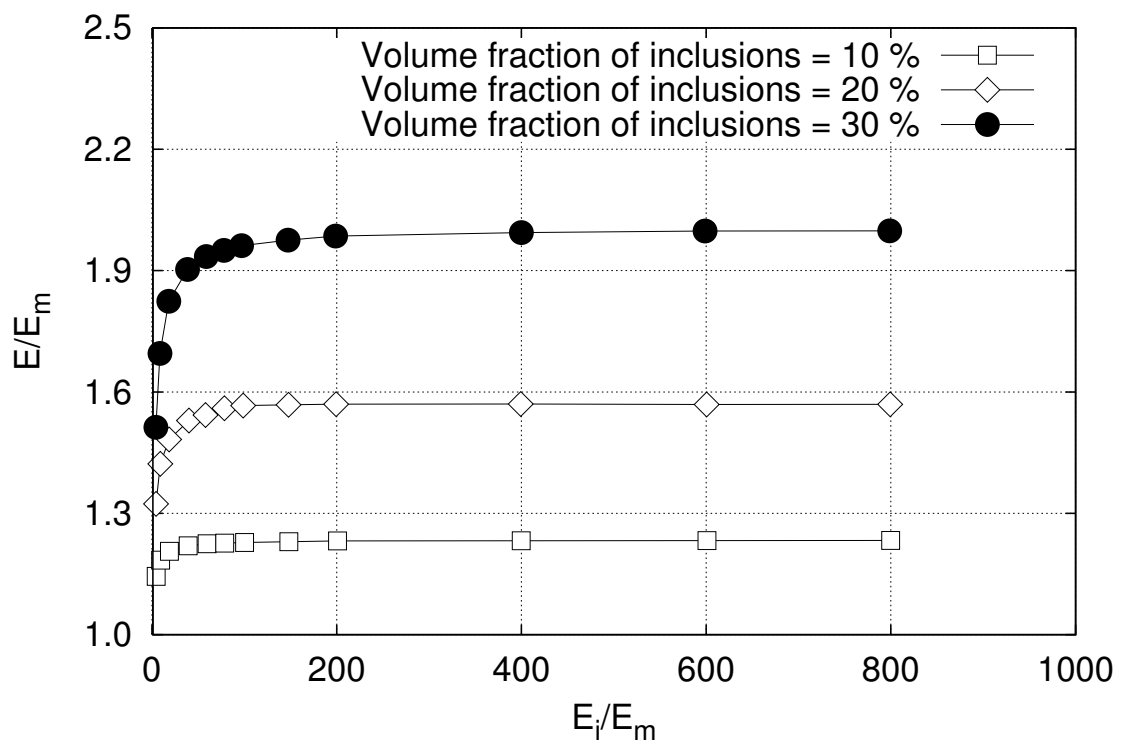
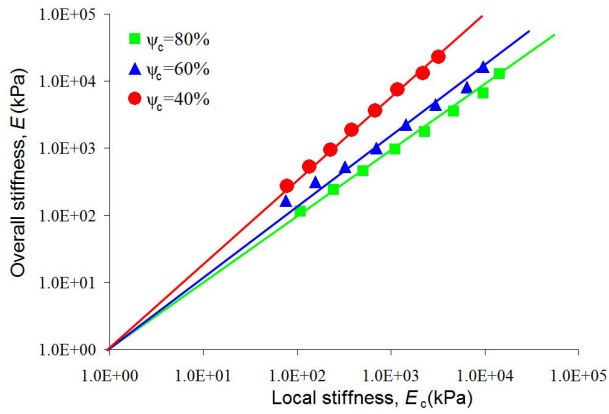
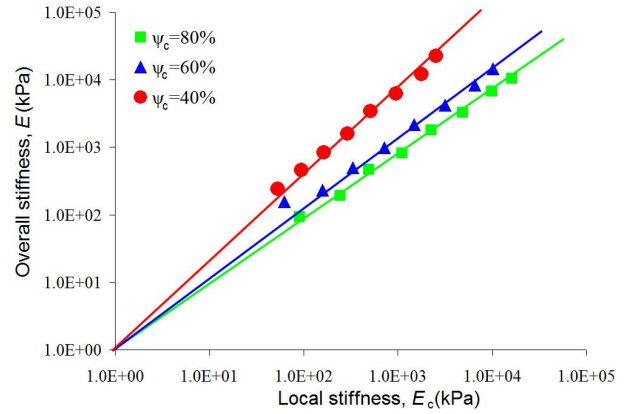


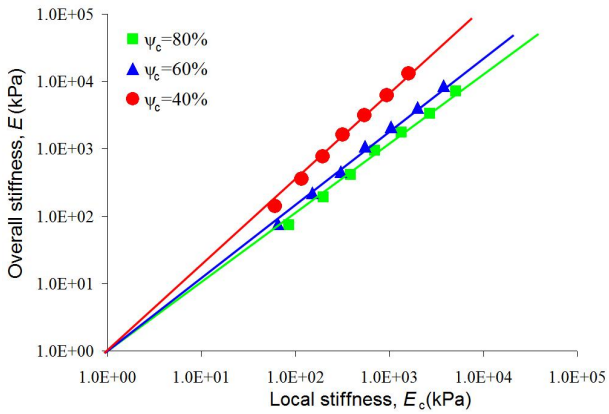
Figure 11: Evolution of the overall elastic stiffness with increasing elastic stiffness of the inclusions (Tu *et al.*, 2005)



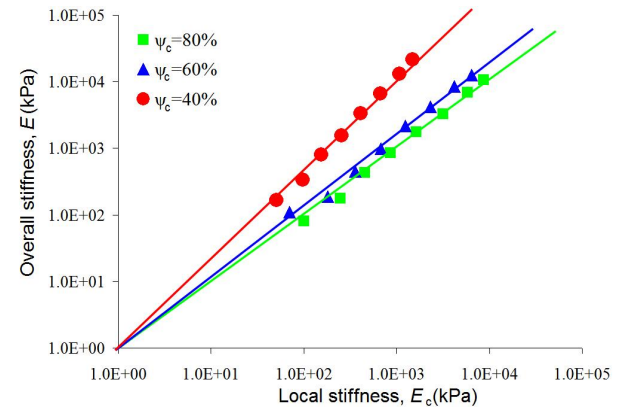
(a) $w_{c0} = 67.9 \%$



(b) $w_{c0} = 74.5 \%$

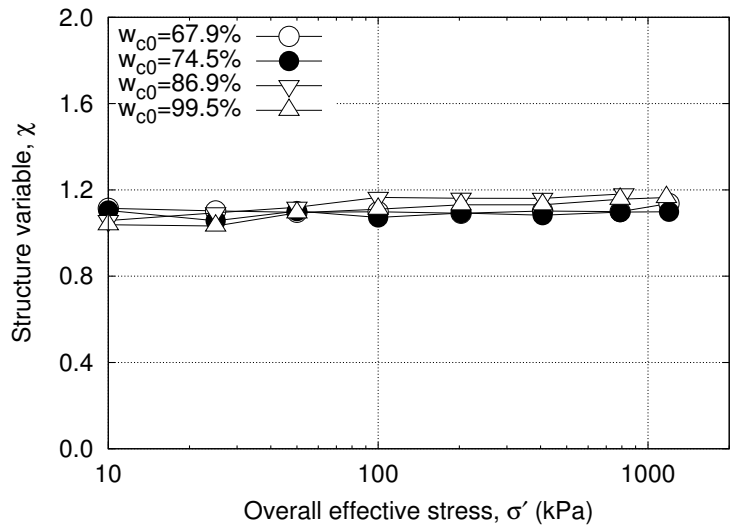


(c) $w_{c0} = 86.9 \%$

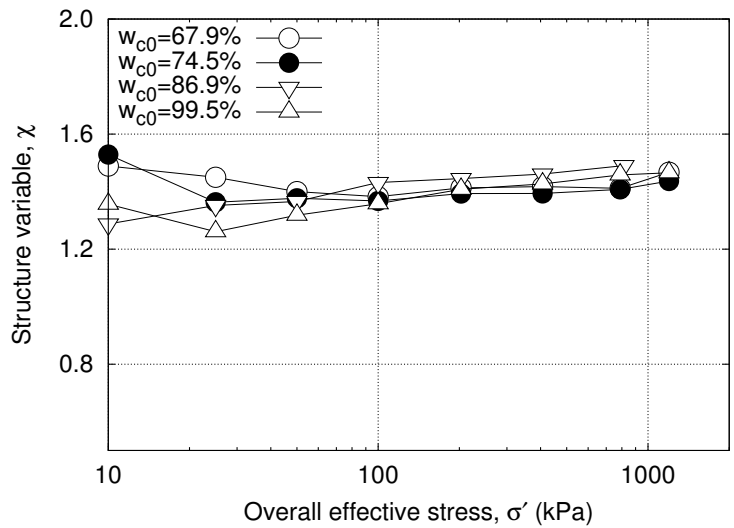


(d) $w_{c0} = 99.5 \%$

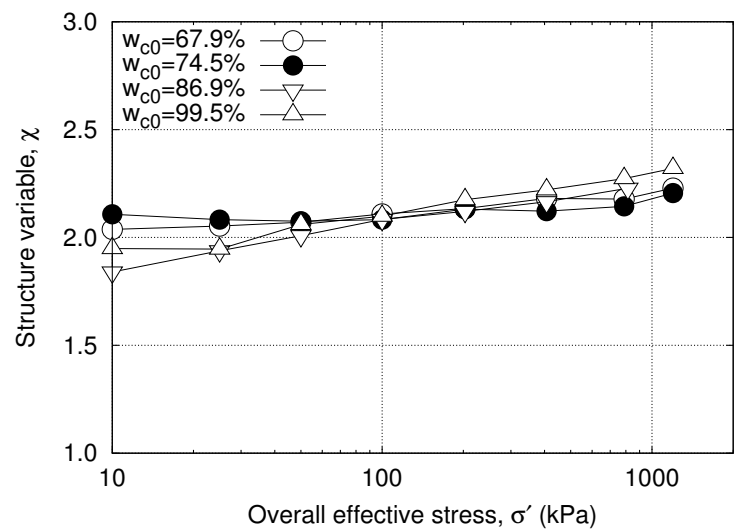
Figure 12: Stiffness relationship between the clay matrix and the sand-clay mixtures



(a) $\psi_c = 80\%$



(b) $\psi_c = 60\%$



(c) $\psi_c = 40\%$

Figure 13: Relationship between the structure variable χ and effective stress level

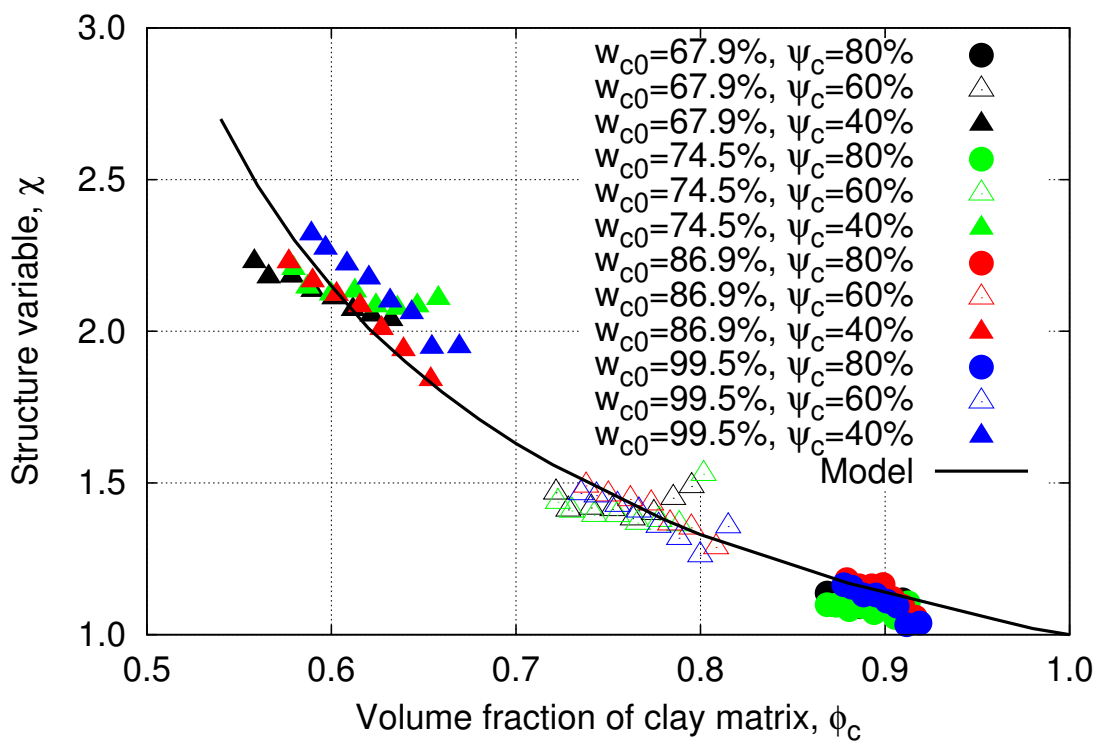
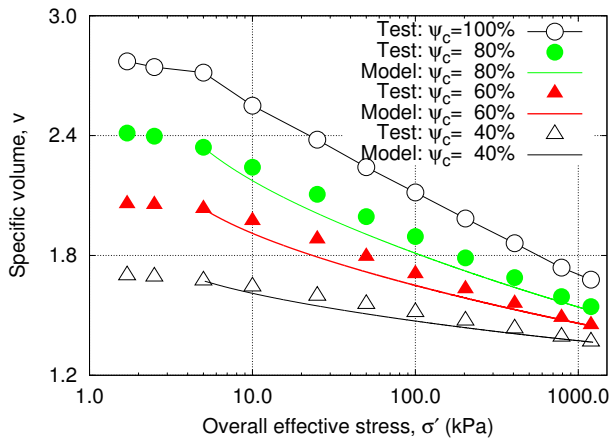
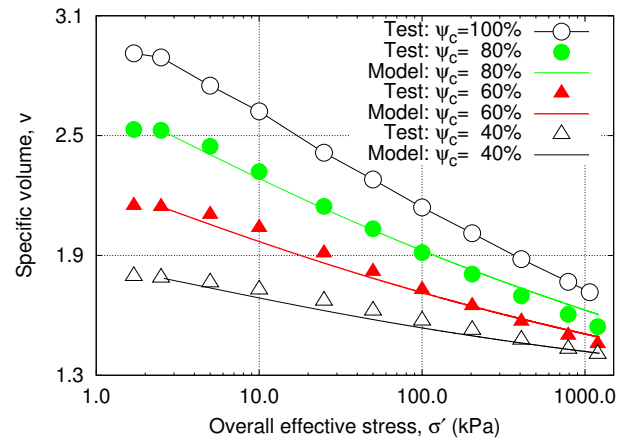


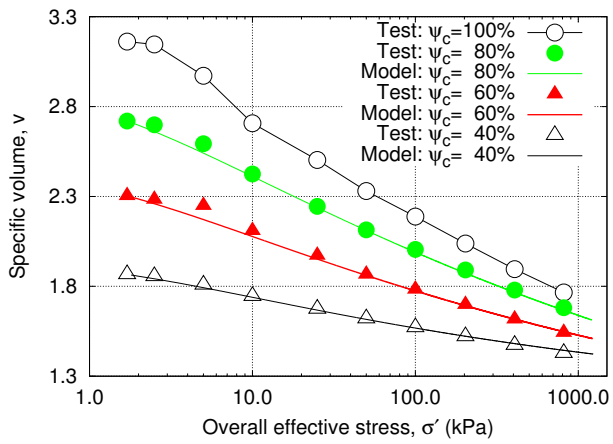
Figure 14: Relationship between the structure parameter and the volume fraction of the clay matrix



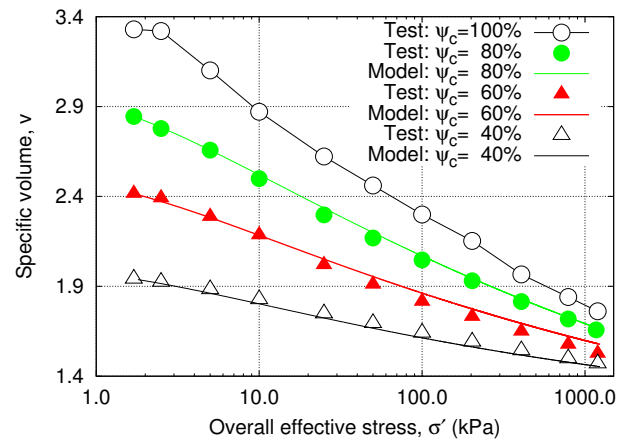
(a) $w_{c0} = 67.9\%$



(b) $w_{c0} = 74.5\%$



(c) $w_{c0} = 86.9\%$



(d) $w_{c0} = 99.5\%$

Figure 15: Comparison between the predicted compression curves and the experimental data

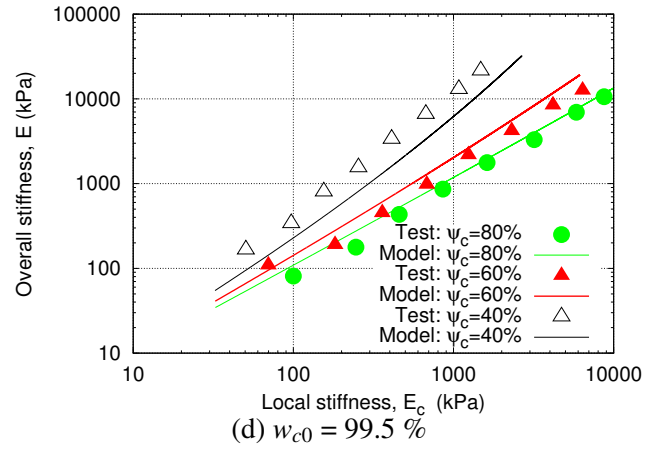
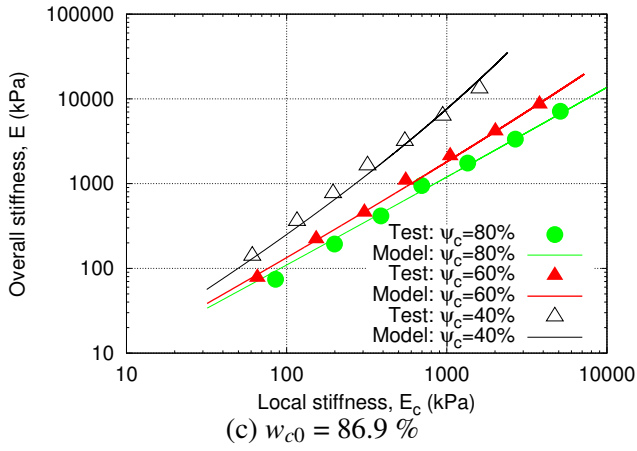
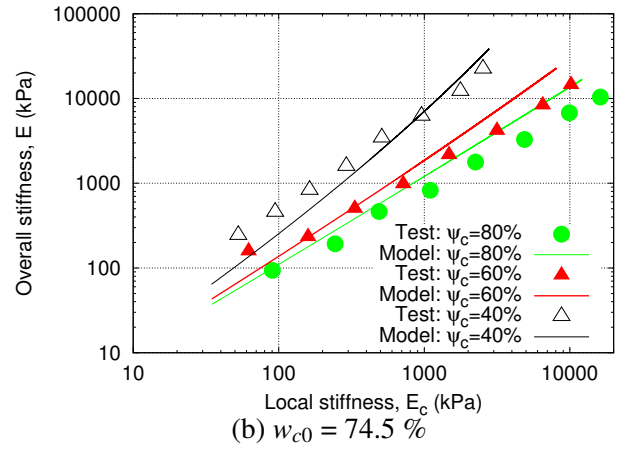
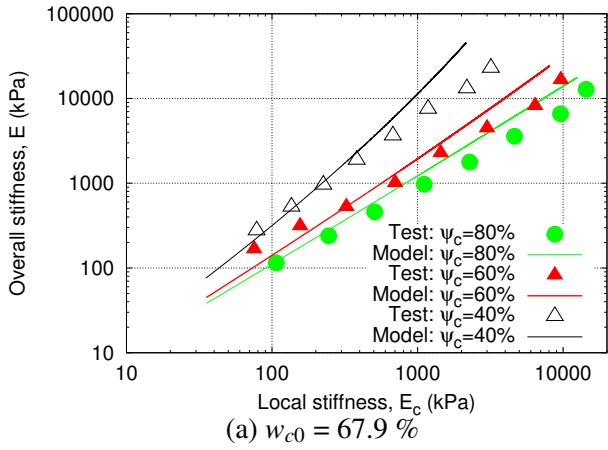


Figure 16: Prediction of the relationship between the local stiffness and the overall stiffness