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2 **Determinants of personal exposure to fine particulate matter (PM<sub>2.5</sub>)**  
3 **in adult subjects in Hong Kong**  
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29 **Abstract**

30 Personal monitoring for fine particulate matter (PM<sub>2.5</sub>) was conducted for adults (48 subjects, 18-  
31 63 years of age) in Hong Kong during the summer and winter of 2014-2015. All filters were  
32 analyzed for PM<sub>2.5</sub> mass and constituents (including carbonaceous aerosols, water-soluble ions,  
33 and elements). We found that season ( $p = 0.02$ ) and occupation ( $p < 0.001$ ) were significant  
34 factors affecting the strength of the personal-ambient PM<sub>2.5</sub> associations. We applied mixed-  
35 effects models to investigate the determinants of personal exposure to PM<sub>2.5</sub> mass and  
36 constituents, along with within- and between-individual variance components. Ambient PM<sub>2.5</sub>  
37 was the dominant predictor of ( $R^2 = 0.12-0.59$ ,  $p < 0.01$ ) and the largest contributor ( $> 37.3\%$ ) to  
38 personal exposures for PM<sub>2.5</sub> mass and most components. For all subjects, a one-unit (2.72  
39  $\mu\text{g}/\text{m}^3$ ) increase in ambient PM<sub>2.5</sub> was associated with a 0.75  $\mu\text{g}/\text{m}^3$  (95% CI: 0.59-0.94  $\mu\text{g}/\text{m}^3$ )  
40 increase in personal PM<sub>2.5</sub> exposure. The adjusted mixed-effects models included information  
41 extracted from individual's activity diaries as covariates. The results showed that season,  
42 occupation, time indoors at home, in transit, and cleaning were significant determinants for PM<sub>2.5</sub>  
43 components in personal exposure ( $R^2_{\beta} = 0.06-0.63$ ,  $p < 0.05$ ), contributing to 3.0-70.4% of the  
44 variability. For one-hour extra time spent at home, in transit, and cleaning an average increase of  
45 1.7-3.6% (ammonium, sulfate, nitrate, sulfur), 2.7-12.3% (elemental carbon, ammonium,  
46 titanium, iron), and 8.7-19.4% (ammonium, magnesium ions, vanadium) in components of  
47 personal PM<sub>2.5</sub> were observed, respectively. In this research, the within-individual variance  
48 component dominated the total variability for all investigated exposure data except PM<sub>2.5</sub> and EC.  
49 Results from this study indicate that performing long-term personal monitoring is needed for  
50 examining the associations of mass and constituents of personal PM<sub>2.5</sub> with health outcomes in

51 epidemiological studies by describing the impacts of individual-specific data on personal  
52 exposures.

53 **Keywords:** personal exposure; fine particulate matter; particulate constituents; time-activity  
54 diaries; mixed-effects model

55

## 56 **1. Introduction**

57 Previous epidemiological studies in Europe and North America have revealed that ambient  
58 concentration of fine particles (PM<sub>2.5</sub>, with aerodynamic diameters less than 2.5 μm) and  
59 chemical components in PM<sub>2.5</sub>, including elemental and organic carbon, sulfate, nitrate, and trace  
60 elements, showed significant correlations with adverse health effects (Franklin et al., 2006; Kim  
61 et al., 2015; Pope et al., 2002; Rohr and Wyzga, 2012; WHO, 2013). Similar conclusions have  
62 been obtained in Hong Kong and other Chinese cities, such as links between increased  
63 hospitalization and mortality from respiratory diseases with high particulate matter (PM<sub>10</sub>/PM<sub>2.5</sub>)  
64 pollution levels (Cao et al., 2012; Pun et al., 2014; Xie et al., 2016). Human exposure depends on  
65 the amount of time an individual spends in indoor microenvironments, outdoors, transit, in  
66 addition to personal activities (e.g., time spent cooking and cleaning, proximity to local sources  
67 that cannot be captured by the general monitoring sites) (Jiao et al., 2012; Ott et al., 2010;  
68 Wallace et al., 2006). Consequently, using stationary ambient concentration as a proxy for  
69 personal exposure has raised concerns. Because it may lead to potential misclassification of total  
70 personal exposures (Avery et al., 2010b; Hsu et al., 2012; Wilson and Brauer, 2006), and bias the  
71 exposure-response relationship in epidemiological studies (Ji and Zhao, 2015; Meng et al., 2005).

72 Previous exposure studies have focused on assessing PM<sub>2.5</sub>/PM<sub>10</sub> in personal exposures and  
73 residential indoor/outdoor (Clayton et al., 1993; Johannesson et al., 2007; Williams et al., 2000;

74 Xu et al., 2014). Some of these studies have also measured personal exposure to PM<sub>2.5</sub>  
75 components, such as sulfate, elemental carbon, and trace elements from the susceptible  
76 populations (Du et al., 2010; Janssen et al., 2005; Noullett et al., 2006) and healthy adults (Chen  
77 et al., 2017a; Du et al., 2010; Montagne et al., 2014). Several studies have examined the  
78 personal-ambient correlations, which exhibited a large spread between different studies, but  
79 overall relationships were stronger for longitudinal studies (Adgate et al., 2003; Jahn et al., 2013;  
80 Kim et al., 2005a; Suh and Zanobetti, 2010) compared to cross-sectional studies (Avery et al.,  
81 2010a; Janssen et al., 2005). A few studies have also characterized the factors influencing the  
82 strength of associations between ambient concentrations and corresponding personal exposures  
83 (Brown et al., 2008; Meng et al., 2009; Ozkaynak et al., 1996).

84 Personal exposures can vary widely, for the same ambient concentration, across individuals in  
85 a given community or city and within individuals over time (Jahn et al., 2013; Tunno et al.,  
86 2016). Insufficient attention to the balance of within-individual ( $\sigma_w^2$ ) and between-individual  
87 variance ( $\sigma_b^2$ ) in personal exposure can reduce the efficiency of measurement efforts and  
88 attenuate estimates of exposure-response associations (Loomis and Kromhout, 2004). Thus, it is  
89 essential to obtain repeated personal measurements from study subjects to accurately estimate  
90 exposure-response relationships, especially in epidemiological studies (Baccarelli et al., 2014;  
91 Lanki et al., 2007; Nieuwenhuijsen, 2015). For example, Johannesson et al. (2011) have  
92 characterized the degree of variability in  $\sigma_w^2$  and  $\sigma_b^2$  to estimate the number of repeated personal  
93 measurements per participant that would need to restrict the attenuation bias to 20% among a  
94 Swedish population. Moreover, intra-class correlation coefficient (ICC), which represents the  
95 proportion of the total variance attributed to between-individual variation, has been discussed to  
96 quantify the accuracy of measurements (Xu et al., 2016). Questionnaires and activity diaries have

97 been used to collect information on factors influencing between- and within-individual variance  
98 in exposure assessment studies (Johannesson et al., 2011; Lanki et al., 2007; Scapellato et al.,  
99 2009). These issues are important in consideration of the study design and interpretation of  
100 personal monitoring to assess general population levels (e.g., individuals with high or low  
101 exposures) and to investigate possible associations between personal exposures and health risks.

102 Past studies investigated the determinants (or factors) affecting personal exposure to PM<sub>2.5</sub> in  
103 susceptible populations, such as elderly, individuals with the cardiovascular or respiratory  
104 disease, or children with asthma (Brown et al., 2009; Lanki et al., 2007; Scapellato et al., 2009).  
105 These factors, however, are poorly quantified, particularly for PM<sub>2.5</sub> components in personal  
106 exposures among the adult population (Adgate et al., 2007; Johannesson et al., 2011; Sørensen et  
107 al., 2005). The determinants of personal exposure to PM<sub>2.5</sub> mass and components in addition to  
108 within- and between-individual variance requires further elucidation. A thorough understanding  
109 of the variability and determinants of personal exposure to particulate matter pollution can  
110 improve the study design and help in developing targeted risk-reduction strategies in  
111 epidemiological studies.

112 The objectives of this study are to 1) characterize the seasonal and occupational variations of  
113 personal exposure to PM<sub>2.5</sub> mass and components among adult subjects in Hong Kong; 2) assess  
114 the factors influencing associations of personal-ambient PM<sub>2.5</sub>; 3) investigate the determinants of  
115 personal exposure to PM<sub>2.5</sub> mass and constituents, as well as to estimate the between- and within-  
116 individual variance components using mixed-effects models.

117

## 118 **2. Methods**

### 119 2.1 Study population

120 Forty-eight (48) adults (18-63 years of age) living and working in different districts of Hong  
121 Kong participated in the personal monitoring campaign between July 2014 and March 2015.  
122 Advertisements (e.g., via University Mass Mails) and flyers were used to recruit potential  
123 participants; the target study subjects were healthy non-smoking adults (> 18 years of age),  
124 living in non-smoking homes, residence in Hong Kong for the past twelve months and free from  
125 chronic diseases. Forty-two (42) and 41 participants were monitored in summer and winter,  
126 respectively, with 73% of the 48 individuals participating in both seasons. The Joint Chinese  
127 University of Hong Kong-New Territories East Cluster Clinical Research Ethics Committee  
128 approved this study before subject recruitment. Subjects in this study signed informed consent  
129 before their participation in the personal monitoring program.

130

## 131 2.2 Personal monitoring and exposure assessment

132 Personal exposure to PM<sub>2.5</sub> was measured using a Personal Environmental Monitor (PEM, Model  
133 200, MSP Corp., Shoreview, MN, USA) together with a Leland Legacy pump (SKC Inc.,  
134 Eighty-Four, PA, USA) and operated at a flow rate of 10 L/min for twenty-four-hour (24-hr)  
135 (00:00-24:00, local time). Two PEMs loaded with one Teflon and one quartz filter (37 mm, 2 µm  
136 pore size, Pall Corporation, MI, USA), respectively, were carried simultaneously by each  
137 subject. PEMs were kept near the breathing zone of the participant to mimic actual personal  
138 exposures. Participants were instructed to bring the sampling device with them at all times but  
139 were allowed to place the sampler nearby when subjects were at home or work. All study  
140 subjects were encouraged to maintain their regular activity patterns during the daily sampling  
141 period. Personal monitoring from each subject was conducted in a two-day (e.g., workday,  
142 weekend) sampling event within 1-2 weeks intervals from July to October 2014 and December

143 2014 to March 2015, respectively. This analysis included 48 participants with 2-4 observations  
144 from each subject. Altogether, 161 sampling periods (on 102 different days) resulted in a total of  
145 322 filter samples.

146 Prior to personal sampling, participants were asked to complete a detailed questionnaire  
147 regarding personal information such as gender, occupation, and residential characteristics.  
148 During the 24-hr monitoring events, each participant was required to fill out a time-activity diary  
149 denoting their locations and activities every 15 minutes; research assistant would check the  
150 activity diary after each sampling session. Survey data and activity pattern provided additional  
151 information for use in mixed-effects modelling. Time spent indoors (e.g., at home), outdoors, in  
152 transit (e.g., on the bus/minibus, in Metro), as well as the amount of time spent cooking and  
153 cleaning within their residence, were included in the mixed-effects model as covariates.

154 Personal exposure to  $PM_{2.5}$  mass was determined by gravimetric analyses using a  
155 microbalance (Model MC 5-0CE, Sartorius AG, Goettingen, Germany) in a temperature (20-  
156  $25^{\circ}C$ ) and humidity ( $35 \pm 5\%$ ) controlled weighing room. Information about sampling  
157 performance can be found in Figure S1 (see Supporting Information, SI).

158 Ambient data were retrieved from the Hong Kong Environmental Protection Department  
159 (HKEPD) Air Quality Monitoring Network (<http://epic.epd.gov.hk/EPICDI/air/station/>), which  
160 provides integrated 24-hr  $PM_{2.5}$  concentrations from the HKEPD Air Quality Monitoring Stations.  
161 Figure S2 shows the location of eleven general air quality monitoring stations (including  
162 Central/Western, Eastern, Kwai Chung, Kwun Tong, Sham Shui Po, Tsuen Wan, Sha Tin, Tai Po,  
163 Tuen Mun, Tung Chung, Yuen Long) in different districts of Hong Kong. The corresponding  
164 distance of ambient monitoring stations and participants' residences ranging from 10.0 to 23.2  
165 km with an average of 13.9 km. It is assumed that these distances ( $< 20$  km) would not affect the

166 estimated associations (Sarnat et al., 2010). Table S1 of SI summarizes the Spearman's  
167 correlations for PM<sub>2.5</sub> between eleven ambient sites ( $r_s$ : 0.78-0.95,  $p < 0.01$ ). Also, Table S2  
168 provides coefficients of divergence across these sites (COD, ranging from 0.01 to 0.29 and 0.02  
169 to 0.19 in summer and winter, respectively). In the present study, cross-sectional means (i.e., 24-  
170 hr average ambient PM<sub>2.5</sub> data across all these sites on the same day) were compared with  
171 personal PM<sub>2.5</sub> exposures.

172

### 173 2.3 Chemical analysis

174 Organic carbon (OC) and elemental carbon (EC) were analyzed using a DRI Model 2001  
175 Thermal/Optical Carbon Analyzer (Atmoslytic Inc., Calabasas, CA, USA) by thermal/optical  
176 reflectance (TOR) following the IMPROVE\_A protocol (Chow et al., 2011). The method  
177 detection limit (MDL) of OC and EC were 0.28 and 0.04  $\mu\text{g}/\text{m}^3$  respectively. Procedural blank  
178 values were subtracted from sample concentrations.

179 Water-soluble inorganic ions including four anions (chloride (Cl<sup>-</sup>), nitrate (NO<sub>3</sub><sup>-</sup>), sulfate  
180 (SO<sub>4</sub><sup>2-</sup>), and oxalate (C<sub>2</sub>O<sub>4</sub><sup>2-</sup>)) and five cations (sodium (Na<sup>+</sup>), ammonium (NH<sub>4</sub><sup>+</sup>), potassium  
181 (K<sup>+</sup>), magnesium (Mg<sup>2+</sup>), and calcium (Ca<sup>2+</sup>)) were analyzed using a Dionex ICS-3000 Ion  
182 Chromatograph (Ho et al., 2014). Average field blanks were subtracted from each sample filter.  
183 MDLs of ions were within the range of 0.01 to 0.23  $\mu\text{g}/\text{m}^3$ .

184 A total of 19 elements (including sodium (Na), magnesium (Mg), aluminium (Al), silicon (Si),  
185 sulfur (S), chlorine (Cl), potassium (K), calcium (Ca), titanium (Ti), vanadium (V), chromium  
186 (Cr), manganese (Mn), iron (Fe), nickel (Ni), copper (Cu), zinc (Zn), arsenic (As), bromine (Br),  
187 and lead (Pb)) were analyzed using an Energy Dispersive X-Ray Fluorescence analyzer (ED-  
188 XRF, Epsilon 5, PANalytical Company, Netherlands) from Teflon filters following the



189 gravimetric analyses (Chow and Watson, 2012). The analyses were conducted according to the  
190 standard operating procedures at the Desert Research Institute laboratories (DRI, Reno, NV,  
191 USA) including quality assurance and quality control (Watson et al., 1999). MDLs of the  
192 elements were within the range of 0.5-33 ng/m<sup>3</sup>. Although personal PM<sub>2.5</sub> and components  
193 concentrations were the primary analyses, further plans include examining the sources of  
194 personal PM<sub>2.5</sub>.

195

#### 196 2.4 Quality Assurance/Quality Control

197 Before the personal monitoring program, quartz filters were baked at 900°C for 3 h to remove  
198 any carbon residue. Triplicate filter weights (within ± 3 µg agreement) were determined after  
199 conditioning the filters in a dry box (RH < 40%) for 24-hr before and after sampling. Sampling  
200 pumps were calibrated to 10 (± 0.5) L/min before monitoring and measured after sampling using  
201 a DryCal DC-Lite flow meter (BIOS Inc., Bulter, NJ, USA). Field blanks were collected without  
202 switching on the sampling pump to account for artifacts and contamination during sample  
203 collection, and seven sets of Teflon and quartz filter blanks were collected during the summer  
204 and winter campaigns, respectively. All filter samples and blanks were labeled immediately and  
205 stored in a desiccator before sampling. After sample collection, all filters were stored in a freezer  
206 (-20 °C) to minimize semi-volatile losses.

207

#### 208 2.5 Statistical analysis

209 Seasonal and occupational personal PM<sub>2.5</sub> exposures were compared using analysis of variance  
210 (ANOVA). Mass differences between pairs of personal and ambient PM<sub>2.5</sub> data were calculated  
211 using independent sample t-test. Pearson's correlations (*r*) and coefficient of determination (*R*<sup>2</sup>)

212 values were obtained to show the strength of associations between ambient and personal  
213 exposure to PM<sub>2.5</sub>. We applied an R Squared difference test (*r2dt*) to account for the statistical  
214 differences of seasonal and occupational effects on personal-ambient associations (Jaeger, 2016).  
215 A *p*-value < 0.05 was considered statistically significant in a two-tailed test.

216

## 217 2.6 Mixed-effects models

218 In this analysis, a mixed-effects model was conducted in the statistical environment R 3.3.1 (R  
219 Development Core Team, 2017: <http://www.r-project.org>) (Bates et al., 2014). Natural  
220 logarithms were performed on all exposure data (including personal exposure to PM<sub>2.5</sub>, OC, EC,  
221 water-soluble ions, and elements in addition to ambient PM<sub>2.5</sub>) in mixed-effects models (1) and  
222 (2).

223 To take into account the variability of personal exposures, subjects were included in the model  
224 as random effects, and each exposure variable was involved as fixed effects separately in the  
225 mixed-effects model (1) (Edwards et al., 2008), which expressed as:

$$226 \quad Y_{ij} = \log(X_{ij}) = \mu_Y + b_i + \varepsilon_{ij} \quad \text{Eq. 1}$$

227 Where  $\mu_Y$  represents the fixed mean (logged) exposure level for all subjects,  $b_i$  represents the  
228 random effect associated with the  $i^{\text{th}}$  subject, and  $\varepsilon_{ij}$  represents the random effect of the logged  
229 exposure level  $Y_{ij}$  associated with the  $i^{\text{th}}$  subject on the  $j^{\text{th}}$  day. In mixed-effects models, we  
230 assume that the random effects ( $b_i$  and  $\varepsilon_{ij}$ ) are mutually independent with mean zero and variance  
231 components ( $\sigma_b^2$  and  $\sigma_w^2$ ), respectively. Between-individual variance ( $\sigma_b^2$ ) and within-individual  
232 variance ( $\sigma_w^2$ ) are calculated using the method of restricted maximum likelihood (REML) (Xu,  
233 2003).

234 Mixed-effects model (2) includes additional fixed effects for covariates  $K$  (i.e., determinants  
235 of exposure)  $C_1, C_2, \dots, C_k$ , which is expressed as follows:

$$236 \quad Y_{ij} = \log(X_{ij}) = \mu_Y + \sum_{m=1}^k \beta_{mj} C_{mij} + b_i + \varepsilon_{ij} \quad \text{Eq. 2}$$

237 where the  $\beta_{mj}$  representing regression coefficients for  $K$  covariates. The following  $K$  covariates  
238 extracted from questionnaires and daily activity diaries included in mixed-effects model (2):  
239 ambient  $\text{PM}_{2.5}$  concentrations at urban sites ( $\mu\text{g}/\text{m}^3$ ), season (winter vs. summer), occupation  
240 (housewife and non-office vs. worker office worker and student), cooking (h), cleaning (h),  
241 outdoors (h), time at home (h), and time in transit (h). A mixed-effects model (2) was  
242 constructed separately for each component in  $\text{PM}_{2.5}$  of personal exposures (while controlling for  
243 gender and day of the week) using a backward stepwise regression to eliminate non-significant  
244 ( $p > 0.05$ ) variables. We use the marginal  $R^2$  statistic ( $R^2_{\beta}$ ) to measure the overall predictive  
245 ability of the mixed-effects model; a semi-partial  $R^2$  statistic was calculated for each variable in  
246 mixed-effects model (2) (Jaeger, 2016; Jaeger et al., 2016).

247

### 248 **3. Results**

#### 249 3.1 Characteristics of participants and activity profiles

250 Characteristics of subjects and a summary of time spent in different microenvironments  
251 associated with personal monitoring are shown in Table 1. All study subjects lived in non-  
252 smoking households, and no-ETS exposure recorded their activities during each sampling period.  
253 Few subjects indicated on their time-activity diaries any exposure to ETS during their respective  
254 sampling periods. Male ( $N = 25, 52.1\%$ ) and female ( $N = 23, 47.9\%$ ) subjects were equally  
255 represented. Keeping windows open and using air conditioner are common among study subjects  
256 ( $> 87.5\%$ ). Participants were categorized into four main groups including students ( $N = 12,$

257 25.0%), office workers (N = 16, 33.3%), housewives (N = 12, 25.0%), and non-office workers  
258 (N = 8, 16.7%). During the summer personal monitoring period, the subjects spent 88.8% (SD =  
259 12.2%) of their time indoors and 69.4% (SD = 22.3%) at home; during the winter, 90.9% (SD =  
260 11.9%) indoors and 73.6% (SD = 23.1%) at home, respectively, i.e., with little difference by  
261 season (mean difference: 3-4%,  $p > 0.05$ ). A considerable portion of time was spent at work (or  
262 in school) in summer 14.0% (standard deviation, SD = 17.8%) and winter 13.2% (SD = 17.9%).  
263 The amount of time in transit varied from 4.0% (SD = 7.8%) to 5.9% (SD = 10.0%) in winter  
264 and summer, respectively, followed by in outdoors (5.1-5.3%) and indoor cooking/dining (1.7%-  
265 3.3%). Similar results were found in previous studies in Hong Kong and other cities (Chau et al.,  
266 2002; Jahn et al., 2013; Klepeis et al., 2001; Lei et al., 2016). Graduate students in Shanghai,  
267 China spent about 86% of their time indoors, 7% in transit and 7% in outdoors (Lei et al., 2016).

### 268 269 3.2 Characterization of personal exposure to PM<sub>2.5</sub> mass and components

270 Table 2 reports summary statistics of ambient PM<sub>2.5</sub> concentrations and personal PM<sub>2.5</sub> mass  
271 along with their chemical components exposures. Figure 1 shows the average personal PM<sub>2.5</sub>  
272 exposures ( $\mu\text{g}/\text{m}^3$ ) along with their residential locations throughout the sampling period. Average  
273 personal PM<sub>2.5</sub> exposures for each subject during all sampling days ranging from 9.2  $\mu\text{g}/\text{m}^3$  (SD  
274 = 0.1  $\mu\text{g}/\text{m}^3$ ) to 94.7  $\mu\text{g}/\text{m}^3$  (SD = 22.9  $\mu\text{g}/\text{m}^3$ ) (Figure 1). No significant spatial differences ( $p =$   
275 0.21) were found in PM<sub>2.5</sub> exposures for subjects living in various districts of Hong Kong in this  
276 study.

277 The median and mean personal PM<sub>2.5</sub> exposures across all subjects were 32.9  $\mu\text{g}/\text{m}^3$  and 35.4  
278  $\mu\text{g}/\text{m}^3$  (95% confidence interval, CI: 32.4-38.4  $\mu\text{g}/\text{m}^3$ ), respectively. SO<sub>4</sub><sup>2-</sup>, OC, NH<sub>4</sub><sup>+</sup>, NO<sub>3</sub><sup>-</sup>, and  
279 EC are the most abundant species in personal PM<sub>2.5</sub>, all with averages that exceeded 2.2  $\mu\text{g}/\text{m}^3$ .  
280 OC, EC, and water-soluble ions contributed to 24.3% (SD = 10.3%), 7.0% (SD = 2.9%), and

281 51.6% (SD = 14.9%) of measured personal PM<sub>2.5</sub> mass. The average concentrations of 19  
282 elements (7861 ng/m<sup>3</sup>, SD = 4775 ng/m<sup>3</sup>) are less than the averages for carbonaceous aerosols  
283 and water-soluble ions. The mass reconstruction for personal samples was lower than personal  
284 PM<sub>2.5</sub> exposures obtained from the gravimetric analysis (Table 2). Strong correlations (Pearson's  
285  $r$ : 0.96-0.97,  $p < 0.01$ ) were found between the reconstruction and observation of PM<sub>2.5</sub> for  
286 personal exposures with a slope of 0.81 in summer and 0.85 in winter, respectively (Figure S3).

287 Figures 2a-b illustrate the seasonal and occupational variation of personal PM<sub>2.5</sub> exposures and  
288 their chemical components. Significant seasonal differences ( $p < 0.01$ ) emerged in the average  
289 personal exposures with higher levels in winter and lower in summer for PM<sub>2.5</sub> mass and most  
290 ions. There was no significant seasonal fluctuation of OC and EC in personal PM<sub>2.5</sub> exposures. In  
291 contrast, personal exposure to Ca<sup>2+</sup>, Si, Ca, and some trace elements (e.g., V, Fe, Ni, Zn) were  
292 higher in summer compared with those in winter. For most of the PM<sub>2.5</sub> components, significant  
293 lower exposure levels ( $p < 0.05$ ) were found for office workers and students than other groups of  
294 subjects. In this analysis, components (Mg<sup>2+</sup> and Cr) for which the percentages detected ( $>$   
295 MDLs) lower than 60% for all samples were excluded in mixed-effects models.

296

### 297 3.3 Associations between personal PM<sub>2.5</sub> exposures and ambient concentrations

298 Moderate (Pearson's  $r = 0.58$ ,  $p < 0.01$ ) to strong (Pearson's  $r = 0.65$ ,  $p < 0.01$ ) personal-ambient  
299 PM<sub>2.5</sub> correlations were shown in Figures 3a-b. The associations varied by season ( $p = 0.02$ ),  
300 with a slope of 0.66 (SD = 0.10) and 0.60 (SD = 0.08) in summer and winter, respectively.  
301 Figures 3c-f provide personal-ambient PM<sub>2.5</sub> correlations across different groups of subjects ( $p <$   
302 0.01). It is noted that stronger associations were shown for office workers (Pearson's  $r = 0.69$ ,  $p$   
303  $< 0.01$ ) and students (Pearson's  $r = 0.73$   $p < 0.01$ ) with elevated slopes (0.60) and R<sup>2</sup> values.

304 However, moderate personal-ambient correlations with lower Pearson's  $r$  values (0.46-0.53,  $p <$   
305 0.05) and slopes (0.55-0.58) were observed for housewives and non-office workers (e.g., van  
306 drivers, paper vendors, outdoor workers).

307

### 308 3.4 Estimation of variance components

309 The between- and within-individual variance components along with variance component ratios  
310 of personal exposure to  $PM_{2.5}$  mass and components on a natural log scale from mixed-effects  
311 model (1) are presented in Table 3. The within-individual variance ( $\sigma_w^2$ ) dominated the total  
312 variability for all exposure data except  $PM_{2.5}$  ( $\sigma_b^2 = 0.19$ , 53.8%) and EC ( $\sigma_b^2 = 0.15$ , 52.4%) (in  
313 which  $\sigma_b^2$  were slightly higher than  $\sigma_w^2$ ).

314 Table 4 presents the variance components in mixed-effects model (2) compared with those in  
315 model (1) for all personal exposure data. Regarding the total variance components (Table 4 and  
316 Table S3), the addition of potential determinants under model (2) reduced the between-individual  
317 variance by about half for most of the  $PM_{2.5}$  components in personal exposures (ranging from  
318 48.3-87.2%), except  $Ca^{2+}$ , Ca, V, Na, Cu, and As (ranging from 25.0-38.9%). In the present  
319 study, 3 to 67 personal measurements per subject would be required for  $PM_{2.5}$  mass and  
320 constituents to reduce potential attenuation bias to 20% in a hypothetical exposure-response  
321 relationship. In a previous study in Hong Kong, [Pun et al. \(2015\)](#) indicated that respiratory  
322 emergency hospitalizations over a consecutive six-day exposure period were the highest for  
323 vehicle exhaust (e.g., OC, EC, Ca, Fe) followed by secondary sulfate (e.g.,  $SO_4^{2-}$ ,  $NH_4^+$ ) for  
324  $PM_{10}$ .

325

### 326 3.5 Determinants of personal exposure to $PM_{2.5}$ mass and components

327 Table 4 summarizes the results in mixed-effects model (2), where the determinants and  
328 contributions of several variables are illustrated. Also included in Table 5 are the changes  
329 (percent change and 95% CI) for determinants in personal PM<sub>2.5</sub> mass and components. Table 4  
330 shows that in model (2) the marginal R<sup>2</sup><sub>β</sub> (ranging from 0.16 to 0.60) for mixed-effects model  
331 tends to be higher than the semi-partial R<sup>2</sup> for ambient PM<sub>2.5</sub> (ranging from 0.12 to 0.59) for all  
332 exposure pollutants (e.g., personal PM<sub>2.5</sub> mass and constituents). Among all determinants,  
333 ambient PM<sub>2.5</sub> dominates the contribution to personal PM<sub>2.5</sub> mass and components (except Cl<sup>-</sup>, Cl,  
334 and V), with contributions from 37.3% (NO<sub>3</sub><sup>-</sup>) to 99.0% (SO<sub>4</sub><sup>2-</sup>). In this analysis, gender was  
335 considered to have no significant influence on exposure levels for all subjects. The winter season  
336 was one of the major determinants (R<sup>2</sup> = 0.03-0.40, *p* < 0.05) and positive contributors (5.3-  
337 70.4%) for some of the components in personal PM<sub>2.5</sub> (as illustrated in Table 4-5 and Table S3).  
338 As shown in model (2), occupation, time at home, outdoors, in transit, and cleaning activities  
339 were determinants of personal exposure PM<sub>2.5</sub> components (R<sup>2</sup><sub>β</sub> = 0.06-0.63, *p* < 0.05)  
340 accounting for 3.0-29.0% of the variance. Collectively, these results indicate significant  
341 variability in personal exposure to PM<sub>2.5</sub> components due to individual's daily activity patterns.

342

#### 343 **4. Discussion**

344 In the present study, personal PM<sub>2.5</sub> exposures among adult subjects in Hong Kong were  
345 investigated. We characterize the seasonal and occupational variations of personal exposure to  
346 PM<sub>2.5</sub> mass and constituents. Specifically, we examine the within- and between-individual  
347 variance components using mixed-effects models from repeated personal measurements,  
348 focusing on the determinants of individual exposures.

349 In this analysis, 105 out of 161 personal PM<sub>2.5</sub> measurements revealed concentrations above

350 25  $\mu\text{g}/\text{m}^3$ , the recommended 24-hr ambient  $\text{PM}_{2.5}$  standard issued by the World Health  
351 Organization (WHO). Average personal exposure to  $\text{PM}_{2.5}$  in Hong Kong were considerably  
352 higher than those in European (ranging from 8.4 to 19.4  $\mu\text{g}/\text{m}^3$ ) (Johannesson et al., 2011; Lanki  
353 et al., 2007; Montagne et al., 2014) and North American cities (ranging from 12.9 to 31.4  $\mu\text{g}/\text{m}^3$ )  
354 (Kim et al., 2005b; Turpin et al., 2007; Williams et al., 2000), but significantly lower than those  
355 in Chinese cities (varying from 72.6 to 126.8  $\mu\text{g}/\text{m}^3$ ) (Baccarelli et al., 2014; Chen et al., 2017b;  
356 Du et al., 2010; Lei et al., 2016).

357 Analysis of concurrent ambient and personal exposure to  $\text{SO}_4^{2-}$  and EC concentrations  
358 provides information about the estimation of individual's ambient-generated exposures (Chen et  
359 al., 2017b; Noullett et al., 2010). The results from this study showed that personal exposure to  
360  $\text{SO}_4^{2-}$  and EC were about 1-2 orders of magnitude higher than those in the U.S. and Europe  
361 (Noullett et al., 2006; Noullett et al., 2010; Sarnat et al., 2009; Wilson and Brauer, 2006). In  
362 comparison with other studies in Chinese cities such as Guangzhou (e.g., personal exposure to  
363 sulfate and EC were 10.5  $\mu\text{g}/\text{m}^3$  (SD = 4.0  $\mu\text{g}/\text{m}^3$ ) and 9.7  $\mu\text{g}/\text{m}^3$  (SD = 7.3  $\mu\text{g}/\text{m}^3$ ) in winter)  
364 (Chen et al., 2017b), the subjects in Hong Kong were exposed to lower levels of  $\text{SO}_4^{2-}$  and EC.  
365 Analysis of elemental concentrations provides information about the corresponding sources of  
366 personal exposures (Adgate et al., 2007; Koistinen et al., 2004). Few studies have focused on  
367 measurements of personal exposure to trace elements in the general populations (Baccarelli et  
368 al., 2014; Molnár et al., 2006). Research conducted in European cities (e.g., Gothenburg,  
369 Helsinki, Utrecht, Barcelona) reported considerably lower personal elemental exposures (1.1-250  
370  $\text{ng}/\text{m}^3$ ) compared with this study both in summer and winter (Johannesson et al., 2011; Montagne  
371 et al., 2014). An exposure study conducted in Beijing, China (Baccarelli et al., 2014), reported



372 average personal elemental exposures were 20-6190 ng/m<sup>3</sup> and 60-8430 ng/m<sup>3</sup> for office workers  
373 and truck drivers, respectively.

374 Non-office workers and housewives had significantly higher ( $p < 0.01$ ) PM<sub>2.5</sub> exposures  
375 compared with office workers and students. Moreover, the mean subject-specific personal-to-  
376 ambient PM<sub>2.5</sub> ratios all exceed unity (ranging from 1.1 to 1.4), highlighting the impact of non-  
377 ambient generated particles on total personal exposures, especially for housewives and non-  
378 office workers (Chen et al., 2017b; Noullett et al., 2010; Wilson and Brauer, 2006). Similarly,  
379 Williams et al. (2000) have suggested that subjects who were more sedentary may have  
380 potentially lower and less variable exposures than corresponding outdoor concentrations.  
381 Baccarelli et al. (2014) have reported that personal PM<sub>2.5</sub> exposure showed group-specific  
382 profiles with significantly higher levels in truck drivers compared to office workers in Beijing,  
383 China.

384 Williams et al. (2003) and Meng et al. (2009) suggested that the personal-ambient correlation  
385 partially relates to differences in air exchange rate (AER). Meng et al. (2012) found that season  
386 was a significant factor affecting the strength of personal-ambient PM<sub>2.5</sub> associations. In the  
387 present study, the statistically significant differences in personal-ambient R<sup>2</sup> values were found  
388 by season and subject. Xu et al. (2014) estimated that outdoor contributions to personal PM<sub>10</sub>  
389 exposures were higher in summer 55% (SD = 19%) than in winter 34% (SD = 10%) in Tianjin,  
390 China. Our results agree with the findings above, on average 66% and 60% of the personal  
391 exposures are due to ambient concentrations in summer and winter, respectively. The ambient  
392 contribution to personal PM<sub>2.5</sub> exposure along with effects of seasonality on personal PM<sub>2.5</sub>  
393 exposure is further evidenced in Table 4 and 5.

394 The Pearson's correlation coefficient increased after exclusion of exposure to indoor cooking  
395 ( $N_{sample} = 64$ , Pearson's  $r = 0.68$ ,  $p < 0.01$ ) or time in transit ( $N_{sample} = 87$ , Pearson's  $r = 0.74$ ,  $p <$   
396  $0.01$ ). This is in line with previous findings, which reported improved personal-ambient  
397 correlations (Spearman's  $r_s$ : 0.38 to 0.77) associated with decreased human activities (Jahn et al.,  
398 2013), for example excluding ETS exposure (median, Spearman's  $r_s > 0.7$ ) (Kousa et al., 2002;  
399 Scapellato et al., 2009) or cooking activities (Abt et al., 2000). Although positive personal-  
400 ambient relationships were shown, the lower slopes and  $R^2$  values suggested that ambient  $PM_{2.5}$   
401 concentrations may not be a suitable proxy for corresponding personal exposures, especially for  
402 housewife or non-office worker subjects, in cross-sectional health studies. In contrast, matched  
403 pairs of daily average personal and ambient  $PM_{2.5}$  concentrations yielded significant correlations  
404 (Pearson's  $r = 0.78$ ,  $p < 0.01$ ) with a higher slope (0.73) and  $R^2$  value (0.60). Previous findings in  
405 Williams et al. (2000) and Jahn et al. (2013) have illustrated that averaging personal exposures  
406 across a sub-population over time lead to improved personal-ambient  $PM_{2.5}$  correlations (Jahn et  
407 al., 2013; Williams et al., 2000).

408 Consideration of the relative magnitude of individual exposure variability (i.e.,  $\sigma_w^2$  and  $\sigma_b^2$ )  
409 can yield useful insights about optimal measurement strategy of actual exposure for study  
410 subjects (Loomis and Kromhout, 2004; Weichenthal et al., 2017). For personal exposure to  
411 water-soluble ions and elements, the within-individual variance ( $\sigma_w^2$ ) accounted for a more  
412 substantial part of the total variability, which is consistent with findings in previous studies  
413 (Johannesson et al., 2011; Lanki et al., 2007). For instance, Lanki et al. (2007) have reported  
414 relatively higher  $\sigma_w^2$  (ranging from 53-97%) in absorbance (i.e., as a proxy for EC) exposures  
415 compared to  $\sigma_b^2$  (ranging from 3-63%) in indoor and personal  $PM_{2.5}$ . It was shown that variance  
416 component ratios ( $\lambda = \sigma_w^2/\sigma_b^2$ ) dictated the attenuation bias degree, which increases with

417 increasing  $\lambda$ , while decreases with increasing  $n_s$  (Johannesson et al., 2011). In this analysis, for  
418 personal exposure to PM<sub>2.5</sub> mass and most components, a reduction in  $\sigma_b^2$  (25.0-87.2%) and/or  
419  $\sigma_w^2$  (9.2-84.2%) values were shown by adding time activity factors. According to the values of  
420 variance component ratios in model (1), the number of repeated personal samples required from  
421 each participant varied from 3 to 67, which suggests that (in the current study) personal exposure  
422 to PM<sub>2.5</sub> and EC would be the least biasing measures of PM<sub>2.5</sub> exposure for use in evaluating an  
423 exposure-response relationship. Johannesson et al. (2011) have reported that for personal  
424 exposure to PM<sub>2.5</sub> mass and elements, the number of repeated samples per subject to restrict  
425 attenuation bias to 20% was estimated to be 3-39. In Egeghy et al. (2005), for indoor Pb  
426 concentrations, forty-eight repeated samples per subject would be required.

427 Daily ambient PM<sub>2.5</sub>, season, and occupation were significant determinants of personal  
428 exposure to PM<sub>2.5</sub> ( $R^2_\beta = 0.51$ ,  $p < 0.0001$ ) for all subjects throughout the study period,  
429 explaining 77.5%, 5.3%, and 13.0% of the variance, respectively. In our final model, a one-unit  
430 increase in ambient PM<sub>2.5</sub> (2.72 ug/m<sup>3</sup>) was associated with a 0.75 ug/m<sup>3</sup> (95% CI: 0.59-0.94  
431 ug/m<sup>3</sup>) change in personal PM<sub>2.5</sub> exposure. In a previous study in Scapellato et al. (2009),  
432 researchers found that outdoor concentrations and season significantly affected personal PM<sub>10</sub>  
433 exposures in asthmatic adults in Padova, Italy, contributing to 15.4% and 24.8% of the  
434 variability, respectively. In the present study, occupation was found to be a positive parameter  
435 for personal exposure to PM<sub>2.5</sub>, EC, and Ca<sup>2+</sup>, which accounted for 13.0-25.2% of the variation.  
436 Our results show an increase of 15.6% (95% CI: 3.6-28.5%), 32.5% (95% CI: 8.7-61.6%), and  
437 41.6% (95% CI: 3.7-94.6%), respectively, for non-office workers and housewives compare with  
438 their counterparts (i.e., office workers and students) in personal exposure to PM<sub>2.5</sub>, EC, and Ca<sup>2+</sup>.

439 In model (2), one-unit increase in 24-hr ambient PM<sub>2.5</sub> associated with 0.4 ng/m<sup>3</sup> (95% CI:

440 0.8-1.5 ng/m<sup>3</sup>) to 3.6 ng/m<sup>3</sup> (95% CI: 2.6-4.9 ng/m<sup>3</sup>) change in personal exposure to the analyzed  
441 elements. Moreover, several other factors affecting personal exposure to PM<sub>2.5</sub> components were  
442 investigated in this study. SO<sub>4</sub><sup>2-</sup> has been shown to be well correlated with oxalate as well as  
443 NO<sub>3</sub><sup>-</sup> and NH<sub>4</sub><sup>+</sup> and is known to have limited indoor sources. Pun et al. (2014) have linked  
444 secondary nitrate (NO<sub>3</sub><sup>-</sup>, NH<sub>4</sub><sup>+</sup>), Na, Cl, Mg and Ni with increased hospitalization for  
445 cardiovascular and/or respiratory diseases in Hong Kong. We found that (the amount of) time  
446 spent in one's residence significantly affected personal exposure to NH<sub>4</sub><sup>+</sup>, SO<sub>4</sub><sup>2-</sup>, NO<sub>3</sub><sup>-</sup>, oxalate,  
447 and S, contributing 5.6-20.9% of the variability. In this analysis, for one-hour extra time in  
448 residence (at home), an average increase of 1.7% (95% CI: 0.3%-3.2%) to 3.6% (95% CI: 1.6%-  
449 5.6%) in personal exposures were observed. Further analysis would be needed to confirm the  
450 origin (or sources) (e.g., the penetration from ambient to indoors, duration of open windows) of  
451 personal exposure to secondary ions when subjects were home. However, time at home (h/day)  
452 was found to have a negative parameter estimate for personal exposure to Ca<sup>2+</sup> and Ca,  
453 contributing to 3.0-13.0% of the variability. This suggests staying at home lowered the personal  
454 Ca<sup>2+</sup> exposures, indicating mostly the contribution from ambient sources rather than non-ambient  
455 ones (e.g., very local ambient sources while subjects were outdoors) (Chen et al., 2017b). It  
456 remains to be determined the associations of personal and ambient concentrations for particulate  
457 compounds.

458 Real-time personal monitors provide additional information on the activity pattern and peak  
459 levels of exposure (Buonanno et al., 2013; Lei et al., 2016), for example in transportation, indoor  
460 cooking, etc. Findings from our study (filter-based integrated exposures) provide direct evidence  
461 of the effect of exposure error on the ability to use ambient concentration as a proxy for personal  
462 exposure to particulate compounds, particularly those associated with individual activity patterns

463 (Chen et al., 2017a). We found time in transit associated with personal exposure to EC, NH<sub>4</sub><sup>+</sup>, Ti,  
464 and Fe, accounting for 19.6%, 5.6%, 7.3%, and 26.4% of the variation. Specifically, for one-hour  
465 extra time in transit an average increase of 5.3% (95% CI: 1.5%-9.3%) in personal exposure to  
466 EC and 2.7% (95% CI: 0.1%-10.8%) to 12.3% (95% CI: 5.6%-23.1%) in personal exposure to Ti  
467 and Fe were observed, respectively. Previous studies have shown significantly higher in transit  
468 EC exposures for subjects compared with time outdoors (Baccarelli et al., 2014; Kim et al.,  
469 2005b; Lei et al., 2016). Time in transit was found to positively affect personal exposure to Fe (*p*  
470 = 0.02), which was consistent with the findings in Johannesson et al. (2011). Time in transit was  
471 not a significant positive estimate for PM<sub>2.5</sub> in mixed-effects model (2), confirming the previous  
472 findings that EC is a better marker for traffic particles than PM<sub>2.5</sub> mass (Cyrus et al., 2003; Lei et  
473 al., 2016). Baccarelli et al. (2014) reported significant higher Ti exposures (40 ng/m<sup>3</sup>, 95% CI:  
474 30-40 ng/m<sup>3</sup>) for truck drivers during 8-h of work compared with office workers.

475 Past studies showed that cooking has often been linked with episodic peaks in PM<sub>2.5</sub>  
476 concentrations (Buonanno et al., 2013; Wallace et al., 2003). Although subjects that cooked  
477 indoors were exposed to significantly higher PM<sub>2.5</sub> levels (8.0 µg/m<sup>3</sup>, *p* < 0.05) than those who  
478 did not cook (data not shown), cooking activity was not a significant positive contributor to 24-hr  
479 PM<sub>2.5</sub> exposure for all subjects. The difference (8.0 µg/m<sup>3</sup>) is in agreement with the estimate of ~  
480 8 µg/m<sup>3</sup> in Wallace et al. (2003). In this analysis, for one-hour more spent on indoor cleaning  
481 activity an average increase of 8.7% (95% CI: 0.6%-17.4%), 18.2% (95% CI: 3.3%-35.5%) and  
482 19.4% (95% CI: 1.4%-40.8%) in personal exposure to NH<sub>4</sub><sup>+</sup>, Mg, and V were observed,  
483 respectively. Tian et al. (2013) have linked Ni and V (indicators of shipping air pollution) in  
484 PM<sub>10</sub> with elevated cardiovascular hospitalizations in Hong Kong. The RIOPA study indicated  
485 that use of oil furnace, oven, and fireplace while indoors were possible determinants of personal

486 exposure to vanadium (V) (Meng et al., 2009).

487 One limitation of this study is the lack of concurrent indoor/outdoor (ambient) PM<sub>2.5</sub>  
488 constituents. Therefore no discussion concerning the homogeneity of ambient PM<sub>2.5</sub> components  
489 and sources contributions can be presented. Further investigations should focus on long-term  
490 monitoring better characterize total personal exposure components (ambient and non-ambient  
491 exposure) from a larger population and the corresponding health effects in epidemiological  
492 studies.

493

## 494 **5. Conclusions**

495 The major finding of this study confirmed that personal PM<sub>2.5</sub> (mass and components) exposures  
496 in Hong Kong were considerably higher than those reported in other developed countries and  
497 lower than those in Chinese cities. Significant seasonal differences ( $p < 0.01$ ) emerged in the  
498 average personal exposures with higher levels in winter and lower levels in summer for PM<sub>2.5</sub>  
499 mass, most ions and elements (except Ca, Si, V, Fe, Ni, Zn). No significant seasonal variations  
500 were shown for personal exposure to OC and EC. For most personal PM<sub>2.5</sub> components, office  
501 workers and students had lower exposure levels than other groups of subjects. Ambient PM<sub>2.5</sub>  
502 concentrations may not be a reasonable proxy for personal exposures in housewives or non-  
503 office workers, and further investigation into relationships of ambient concentrations with the  
504 corresponding total exposure components (i.e., ambient and non-ambient origin) is warranted to  
505 elucidate the health risks associated with PM<sub>2.5</sub> exposure in epidemiological studies. Aside from  
506 ambient concentration, seasonality and occupation, individual activities (time at home, outdoors,  
507 time spent in transit, and cleaning activities) were significant determinants of personal exposure  
508 to OC, EC, major ions, and trace elements (including Ti, V, and Fe). We found that the within-

509 individual variance component dominated the total variability for most of the particulate species,  
510 which point to the importance of obtaining repeated samples from study subjects in improving  
511 epidemiological associations. Our study highlights the need for conducting personal monitoring  
512 along with time activity survey to elucidate determinants of individual's exposures and develop  
513 effective exposure mitigation strategies.

514

#### 515 **Conflicts of interest**

516 The authors declare no conflict of interest.

517

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531

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1 **Tables**2 **Table 1.** Description detail and subjects' activity during the personal sampling campaign.

	Summer	Winter	Total
<i>Sampling Date</i>	July – October 2014	December 2014- March 2015	
<i>Study subjects (N)</i>	42 (35 <sup>a</sup> )	41 (35 <sup>a</sup> )	48
<i>Gender</i>			
Female	20	19	23 (47.9%)
Male	22	22	25 (52.1%)
<i>Age, median (range)</i>	28 (18-63)	27 (18-63)	
18-20			7 (15.6%)
20-40			25 (55.6%)
40-65			13 (28.9%)
<i>Occupation (N, %)</i>			
Student	14	16	16 (33.3%)
Office worker	10	10	12 (25.0%)
Housewife	10	10	12 (25.0%)
Non-office worker <sup>b</sup>	8	5	8 (16.7%)
<i>Smokers (Yes/No, N, %)</i>			No (48, 100%)
<i>ETS<sup>c</sup> at home, indoors (Yes/No, N, %)</i>			No (48, 100%)
<i>Air condition use (Yes/No, N<sup>d</sup>, %)</i>			Yes (42, > 87.5%)
<i>Open windows (Yes/No, N<sup>d</sup>, %)</i>			Yes (42, > 87.5%)
<i>Energy for cooking (N<sup>d</sup>, %)</i>			
Gas stove			12 (28.6%)
Town gas			13 (31.0%)
LPG <sup>e</sup>			5 (11.9%)
Electricity			5 (11.9%)
No cooking energy available			7 (16.7%)
<i>Time-activity data from diaries (%)</i>			
<i>Time spent indoors</i>	Median (Mean, SD <sup>f</sup> )	Median (Mean, SD <sup>f</sup> )	
Indoors, total	92.2% (88.8%, 12.2%)	93.8% (90.9%, 11.9%)	
Indoors, at home	71.9% (69.4%, 22.3%)	79.2% (73.6%, 23.1%)	
Indoors, work/school	0% (14.0%, 17.8%)	0% (13.2%, 17.9%)	
Kitchen (Cooking/Dining)	4.2% (4.7%, 4.6%)	6.3% (7.5%, 7.3%)	
Cleaning activities <sup>e</sup>	0% (1.7%, 3.0%)	0% (3.3%, 5.5%)	
<i>Outdoors</i>	3.1% (5.3%, 7.0%)	2.8% (5.1%, 9.5%)	
<i>Transportation (Metro, bus/minibus)</i>	3.6% (5.9%, 10.0%)	0% (4.0%, 7.8%)	

3 *Notes:* <sup>a</sup>Number of recruited subjects participated both in summer and winter sampling campaign. <sup>b</sup>Technicians, divers, paper  
4 vendors, van drivers. <sup>c</sup>Exposure to environmental tobacco smoke (ETS). <sup>d</sup>Data not available for six subjects. <sup>e</sup>LPG denotes  
5 liquefied petroleum gas. <sup>f</sup>Dusting, cleaning, and vacuuming. <sup>f</sup>SD denotes standard deviation.

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15 **Table 2.** Descriptive statistics for 24 h ambient PM<sub>2.5</sub> mass concentrations at urban sites, in addition to personal exposure to PM<sub>2.5</sub>, carbonaceous materials, water-soluble ions, and  
 16 trace elements in the general population of Hong Kong.

		Mean	SD <sup>a</sup>	Median	Min-Max <sup>b</sup>	IQR <sup>c</sup>	95% CI <sup>d</sup>	N <sup>e</sup>	MDL <sup>f</sup>	> MDL <sup>f</sup> (%)
PM <sub>2.5</sub> (µg/m <sup>3</sup> )	Personal exposure	35.4	19.5	32.9	3.5-110.9	25.2	32.4-38.4	161	0.33	100
	Ambient level <sup>#</sup>	35.3	19.4	37.6	5.8-105.3	25.2	32.3-38.3	161	N.A.	100
	Reconstructed mass <sup>g</sup>	33.0	18.4	30.0	5.5-93.9	21.5	30.1-35.8	161	N.A.	100
Carbonaceous materials (µg/m <sup>3</sup> )	OC	7.8	4.9	6.6	2.5-40.8	4.7	7.1-8.6	161	0.28	100
	EC	2.2	1.1	2.1	0.5-5.2	1.6	2.0-2.4	161	0.04	100
Water-soluble ions (µg/m <sup>3</sup> )	Na <sup>+</sup>	0.6	0.3	0.5	0.2-1.9	0.3	0.5-0.6	155	0.18	96.3
	NH <sub>4</sub> <sup>+</sup>	4.2	2.7	3.8	0.3-12.8	3.3	3.7-4.6	157	0.23	97.5
	K <sup>+</sup>	0.3	0.2	0.3	0.1-1.3	0.3	0.3-0.4	151	0.01	93.8
	Mg <sup>2+</sup>	0.1	0.0	0.1	0.1-0.2	0.1	0.10-0.11	82	0.02	50.9
	Ca <sup>2+</sup>	0.3	0.6	0.2	0.1-6.0	0.2	0.2-0.4	151	0.03	93.8
	Cl <sup>-</sup>	0.4	0.5	0.2	0.1-3.2	0.4	0.3-0.5	153	0.03	95.0
	NO <sub>3</sub> <sup>-</sup>	3.0	3.9	1.6	0.1-23.4	2.7	2.4-3.6	161	0.01	100
	SO <sub>4</sub> <sup>2-</sup>	9.8	5.8	9.3	0.9-26.6	7.3	8.9-10.7	161	0.01	100
Elements (ng/m <sup>3</sup> )	Oxalate	0.4	0.8	0.3	0.0-7.2	0.3	0.3-0.5	139	0.01	86.3
	Na	3482	2171	3321	50-9095	2776	3140-3824	155	33	96.3
	Mg	150	101	125	18-422	142	131-169	107	1	66.5
	Al	139	114	126	9-771	150	120-157	148	5	91.9
	Si	259	384	171	4-3759	244	199-319	156	3	96.9
	S	2757	1549	2751	84-7503	2197	2518-2996	161	2	100
	Cl	187	308	61	6-2140	158	139-235	156	5	96.9
	K	331	248	296	8-1288	293	293-369	161	3	100
	Ca	290	1093	142	9-12167	153	120-459	160	2	99.4
	Ti	15	17	12	2-159	11	12-17	156	1	96.9
	V	15	16	8	1-77	13	12-17	156	1	96.9
	Cr	3	2	3	0.9-11	3	3-4	86	0.9	53.4
	Mn	14	13	13	0.8-133	11	12-16	151	0.8	93.8
	Fe	302	326	213	1-2655	269	252-353	161	0.7	100
	Ni	5	4	3	0.5-22	4	4-5	143	0.5	88.8
	Cu	23	23	18	0.9-138	18	20-27	154	0.5	95.7
	Zn	134	219	104	2-2456	126	100-168	161	0.5	100
	As	3	2	3	0.8-9	2	3-4	103	0.8	64.0
	Br	15	12	11	0.7-67	13	13-16	157	0.5	97.5
	Pb	29	22	26	2-97	32	26-33	138	0.5	85.7

17 Notes: <sup>a</sup>SD refers to standard deviation. <sup>b</sup>Min, Minimum; Max, Maximum. <sup>c</sup>IQR refers to the interquartile range. <sup>d</sup>95% confidence interval for the mean of the individual's  
 18 exposure and the level of significance was taken as  $p < 0.05$ . <sup>e</sup>N refers to the number of valid analytical results. <sup>f</sup>MDL refers to method detection limit; concentrations below the  
 19 detection limit were discarded. <sup>g</sup>[Reconstructed Mass] for personal exposure = (1.89 ×[Al] + 2.14×[Si] +1.4 ×[Ca] +1.43 ×[Fe]) + (1.4 ×[OC] + [EC]) + (1.38 ×[SO<sub>4</sub><sup>2-</sup>] + 1.29  
 20 ×[NO<sub>3</sub><sup>-</sup>]) + [Na<sup>+</sup>] + non-crustal elements excluding geological material (e.g, Al, Si, Ca, Fe, S).

21 <sup>#</sup>Spearman's  $r_s$  ranged from 0.78 to 0.95 between eleven urban air quality monitoring stations (including Central/Western, Eastern, Kwai Chung, Kwun Tong, Sham Shui Po, Tsuen  
 22 Wan, Sha Tin, Tai Po, Tuen Mun, Tung Chung, Yuen Long). 24-hr average ambient PM<sub>2.5</sub> (summer: 21.3 µg/m<sup>3</sup> (SD = 15.6 µg/m<sup>3</sup>) ~ 34.7 µg/m<sup>3</sup> (SD = 14.3 µg/m<sup>3</sup>); winter: 36.5  
 23 µg/m<sup>3</sup> (SD = 16.0 µg/m<sup>3</sup>) ~ 51.8 µg/m<sup>3</sup> (SD = 26.9 µg/m<sup>3</sup>) from all these sites were compared with personal PM<sub>2.5</sub>. N.A. denotes not available.

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**Table 3.** Parameters estimated from mixed-effects model (1) for personal exposure to PM<sub>2.5</sub>, carbonaceous materials, water-soluble ions, and the trace elements from the study subjects.

		Subjects (n <sub>s</sub> )	Samples (N)	$\sigma_b^2$	$\sigma_w^2$	$\sigma_b^2$ (%)	$\sigma_w^2$ (%)	> MDL <sup>a</sup> (%)	$\lambda^b$	n <sup>c</sup>
Carbonaceous materials	Personal exposure to PM <sub>2.5</sub>	48	161	0.19	0.16	53.8	46.2	100	0.9	3
	OC	48	161	0.12	0.15	44.1	55.9	100	1.3	5
	EC	48	161	0.15	0.14	52.4	47.6	100	0.9	4
Water-soluble ions	Na <sup>+</sup>	48	155	0.00	0.21	- <sup>d</sup>	100.0	96.3	- <sup>d</sup>	- <sup>d</sup>
	NH <sub>4</sub> <sup>+</sup>	48	157	0.24	0.34	41.5	58.5	97.5	1.4	6
	K <sup>+</sup>	47	151	0.14	0.28	34.4	65.6	93.8	1.9	8
	Ca <sup>2+</sup>	48	151	0.26	0.28	48.4	51.6	93.8	1.1	4
	Cl <sup>-</sup>	48	153	0.00	0.78	- <sup>d</sup>	100.0	95.0	- <sup>d</sup>	- <sup>d</sup>
	NO <sub>3</sub> <sup>-</sup>	48	161	0.48	0.63	43.3	56.7	100	1.3	5
	SO <sub>4</sub> <sup>2+</sup>	48	161	0.22	0.31	42.0	58.0	100	1.4	6
	oxalate	46	139	0.16	0.42	27.0	73.0	86.3	2.7	11
Elements	Na	48	155	0.09	0.36	43.9	56.1	96.3	1.3	5
	Mg	47	107	0.04	0.28	13.9	86.1	65.2	6.2	25
	Al	48	148	0.04	0.75	5.6	94.4	75.8	16.9	67
	Si	48	156	0.31	0.92	25.5	74.5	93.2	2.9	12
	S	48	161	0.15	0.28	34.7	65.3	97.5	1.9	8
	Cl	48	156	0.12	0.27	30.9	69.1	96.9	2.2	9
	K	48	161	0.06	0.19	23.8	76.2	97.5	3.2	13
	Ca	48	160	0.24	0.59	28.8	71.2	99.4	2.5	10
	Ti	48	156	0.72	0.93	43.7	56.3	96.9	1.3	5
	V	48	156	0.37	0.68	35.3	64.7	95.7	1.8	7
	Mn	48	151	0.09	0.80	10.0	90.0	65.8	9.0	36
	Fe	48	161	0.15	0.27	35.5	64.5	93.8	2.3	9
	Ni	47	143	0.19	0.37	34.3	65.7	89.4	1.9	8
	Cu	48	154	0.05	0.20	19.6	80.4	88.2	4.1	16
	Zn	48	161	0.32	0.96	24.9	75.1	95.0	3.0	12
	As	43	103	0.04	0.35	10.2	89.8	64.6	8.8	35
	Br	48	157	0.33	0.66	33.5	66.5	78.9	2.0	8
Pb	48	138	0.01	0.16	3.1	96.9	82.6	5.3	21	

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Notes:  $\sigma_b^2$ , between-individual variance.  $\sigma_w^2$ , within-individual variance. ICC =  $\sigma_b^2 / (\sigma_b^2 + \sigma_w^2)$ , denotes proportion of the variation attributed to between-individual variance.

<sup>a</sup>MDL refers to method detection limit, <sup>b</sup> $\lambda = \sigma_w^2 / \sigma_b^2$ , <sup>c</sup>Number of repeated samples from each subject to reduce attenuation bias to 20%, (e.g., n = 4\* $\lambda$ , which has been described in detail by Johannesson, Rappaport et al. (2011)). <sup>d</sup>Could not estimated.

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36 **Table 4.** Parameters estimated from mixed-effects model (2) for PM<sub>2.5</sub>, OC, EC, ions, and the trace elements based on personal samples from the study subjects.

	Subjects n <sub>s</sub>	Samples N	Fixed effects	Estimate	p-value	R <sup>2</sup> <sub>β</sub>	Contribution (%) <sup>b</sup>	Model 2			Reduction	
								σ <sup>2</sup> <sub>b</sub>	σ <sup>2</sup> <sub>w</sub>	ICC (%)	σ <sup>2</sup> <sub>b</sub> (%)	σ <sup>2</sup> <sub>w</sub> (%)
PM <sub>2.5</sub>	48	156	Intercept	1.35	< 0.0001	<b>0.51<sup>a</sup></b>		0.08	0.09	47.1	57.5	44.3
			Ambient concentration	0.56	< 0.0001	0.39	77.5					
			Season	0.15	0.009	0.03	5.3					
			Occupation	0.11	0.02	0.07	13.0					
OC	48	156	Intercept	0.48	0.01	<b>0.28<sup>a</sup></b>		0.06	0.12	33.3	48.5	18.9
			Ambient concentration	0.41	< 0.0001	0.26	94.6					
			Outdoor	0.05	0.01	0.08	29.0					
EC	48	156	Intercept	-0.81	< 0.0001	<b>0.40<sup>a</sup></b>		0.08	0.10	44.4	48.3	28.9
			Ambient concentration	0.39	< 0.0001	0.24	59.4					
			Occupation	0.28	0.007	0.09	21.5					
			In transit	0.05	< 0.0001	0.05	19.6					
Na <sup>+</sup>	48	150	Intercept	-1.17	< 0.0001	<b>0.04<sup>a</sup></b>		0.001	0.20	0.5	- <sup>c</sup>	3.3
			Ambient concentration	0.12	0.02							
NH <sub>4</sub> <sup>+</sup>	47	153	Intercept	-2.19	< 0.0001	<b>0.63<sup>a</sup></b>		0.05	0.17	22.7	79.3	50.1
			Ambient concentration	0.80	0.0002	0.52	82.8					
			Season	0.27	0.0002	0.08	12.7					
			In transit	0.04	0.05	0.05	8.6					
			Indoors, at home	0.02	0.004	0.04	5.6					
			Cleaning	0.08	0.04	0.03	5.2					
K <sup>+</sup>	47	146	Intercept	-3.89	< 0.0001	<b>0.45<sup>a</sup></b>		0.05	0.19	20.8	65.5	31.2
			Ambient concentration	0.742	< 0.0001							
Ca <sup>2+</sup>	48	146	Intercept	-2.85	< 0.0001	<b>0.25<sup>a</sup></b>		0.19	0.16	52.4	27.9	28.9
			Ambient concentration	0.44	< 0.0001	0.13	54.5					
			Occupation	0.35	0.03	0.06	25.2					
			Indoors, at home	-0.02	0.01	0.03	13.0					
Cl <sup>-</sup>	48	148	Intercept	-1.79	< 0.0001	<b>0.20<sup>a</sup></b>		0.01	0.71	0.7	- <sup>c</sup>	9.1
			Season	0.85	< 0.0001							
			Indoors, at home	0.03	0.03	0.03	5.7					
NO <sub>3</sub> <sup>-</sup>	48	156	Intercept	-2.84	< 0.0001	<b>0.56<sup>a</sup></b>		0.15	0.42	54.3	68.7	43.1
			Ambient concentration	0.45	< 0.0001	0.21	37.3					
			Season	1.28	< 0.0001	0.40	70.4					
			Indoors, at home	0.03	0.03	0.03	5.7					
SO <sub>4</sub> <sup>2-</sup>	48	156	Intercept	-1.12	< 0.0001	<b>0.60<sup>a</sup></b>		0.03	0.16	15.8	86.5	47.8
			Ambient concentration	0.85	< 0.0001	0.59	99.0					
			Indoors, at home	0.02	0.01	0.04	6.7					
oxalate	46	136	Intercept	-4.67	< 0.0001	<b>0.44<sup>a</sup></b>		0.02	0.31	6.1	87.2	26.6
			Ambient concentration	0.80	< 0.0001	0.40	91.3					
			Indoors, at home	0.04	0.0004	0.09	20.9					
Mg	47	107	Intercept	-8.17	< 0.0001	<b>0.06<sup>a</sup></b>		0.02	0.09	18.2	55.5	67.6
			Cleaning	0.17	< 0.0001							
S	48	161	Intercept	-12.32	< 0.001	<b>0.59<sup>a</sup></b>		0.04	0.09	30.8	73.0	67.6

K	48	161	Ambient concentration	0.96	< 0.0001	0.59	99.0	0.01	0.03	25.0	83.1	84.2
			Indoors, at home	2.79	< 0.0001	0.03	5.7					
			Intercept	-10.35	< 0.0001	<b>0.59<sup>a</sup></b>						
Ca	48	161	Ambient concentration	0.51	0.010	0.51	86.8	0.15	0.43	25.9	37.6	27.6
			Season	0.08	< 0.0001	0.08	14.2					
			Intercept	-11.30	< 0.0001	<b>0.16<sup>a</sup></b>						
Ti	48	156	Ambient concentration	0.82	< 0.0001	0.12	75.0	0.32	0.39	45.1	55.5	58.0
			Indoors, at home	-0.59	< 0.0001	0.005	3.0					
			Intercept	-10.32	< 0.0001	<b>0.37<sup>a</sup></b>						
V	48	156	Ambient concentration	0.35	< 0.0001	0.35	94.3	0.28	0.62	31.1	25.0	9.2
			In transit	0.03	0.05	0.03	7.3					
			Intercept	-12.72	< 0.0001	<b>0.03<sup>a</sup></b>						
Fe	48	161	Cleaning	0.18	< 0.0001			0.03	0.11	21.4	80.0	59.5
			Intercept	13.96	< 0.0001	<b>0.33<sup>a</sup></b>						
			Ambient concentration	0.89	< 0.0001	0.27	80.6					
			In transit	0.13	0.007	0.09	26.4					

Notes: <sup>a</sup>The marginal R<sup>2</sup> statistic for the overall mixed-effects model are marked in bold (R<sup>2</sup><sub>β</sub>). <sup>b</sup>Denotes percentage of variance (Contribution = R<sup>2</sup> / R<sup>2</sup><sub>β</sub> \* 100%) calculated for each fixed effect in the model. <sup>c</sup>Could not be estimated. σ<sup>2</sup>, estimated variance of log-transformed concentrations; σ<sup>2</sup><sub>b</sub>, between-individual variance, and σ<sup>2</sup><sub>w</sub>, within-individual variance. Contribution (%) = Reduction (%) = [(σ<sup>2</sup><sub>T1</sub> - σ<sup>2</sup><sub>T2</sub>) \* 100 / σ<sup>2</sup><sub>T1</sub>], where σ<sup>2</sup><sub>T</sub> = σ<sup>2</sup><sub>w</sub> + σ<sup>2</sup><sub>b</sub>.

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**Table 5.** Effects (change and 95% confidence interval (CI)) of determinants on personal exposure to PM<sub>2.5</sub> mass, OC<sup>#</sup>, EC, ions, and the trace elements.

	Change <sup>a</sup> (95% CI)		% Change <sup>b</sup> (95% CI)				R <sup>2</sup> <sub>β</sub>
	Ambient PM <sub>2.5</sub> (e) <sup>d</sup> +	Season (winter vs. summer)	Occupation <sup>e</sup>	Indoors, at home (1h/day) +	In transit (1 h/day) +	Cleaning (1 h/day) +	
PM <sub>2.5</sub> (ug/m <sup>3</sup> )	0.75 (0.59-0.94)	0.16 (0.04-0.29)	15.6% (3.6-28.5%)	- <sup>c</sup>	- <sup>c</sup>	- <sup>c</sup>	0.51 <sup>*</sup>
OC (ug/m <sup>3</sup> )	0.51 (0.36-0.67)	- <sup>c</sup>	- <sup>c</sup>	-	-	-	0.28 <sup>*</sup>
EC (ug/m <sup>3</sup> )	0.47 (0.34-0.63)	-	32.5% (8.7-61.6%)	-	5.3% (1.5-9.3%)	-	0.40 <sup>**</sup>
NH <sub>4</sub> <sup>+</sup> (ug/m <sup>3</sup> )	1.23 (0.96-1.54)	0.31 (0.14-0.51)	-	2.4% (0.8-4.0%)	4.4% (0.1-8.9%)	8.7% (0.6-17.4%)	0.63 <sup>*</sup>
Ca <sup>2+</sup> (ug/m <sup>3</sup> )	0.55 (0.33-0.81)	-	41.6% (3.7-94.6%)	-2.2% (-3.9%, 0.5%)	-	-	0.25 <sup>*</sup>
NO <sub>3</sub> <sup>-</sup> (ug/m <sup>3</sup> )	0.57 (0.55-1.37)	2.60 (1.86-3.53)	-	2.6% (0.3-5.1%)	-	-	0.56 <sup>*</sup>
SO <sub>4</sub> <sup>2-</sup> (ug/m <sup>3</sup> )	1.34 (1.08-1.64)	-	-	1.7% (0.3-3.2%)	-	-	0.60 <sup>*</sup>
oxalate (ug/m <sup>3</sup> )	1.23 (0.94-1.64)	-	-	3.6% (1.6-5.6%)	-	-	0.44 <sup>**</sup>
Mg (ng/m <sup>3</sup> )	- <sup>c</sup>	-	-	-	-	18.2% (3.3-35.5%)	0.06 <sup>**</sup>
Si (ng/m <sup>3</sup> )	2.0 (1.4-2.8)	0.9 (0.5-1.5)	-	-	-	-	0.43 <sup>*</sup>
S (ng/m <sup>3</sup> )	1.6 (1.3-2.0)	-	-	1.8% (0.2-3.5%)	-	-	0.59 <sup>**</sup>
K (ng/m <sup>3</sup> )	1.7 (1.3-3.1)	0.4 (0.2-0.8)	-	-	-	-	0.59 <sup>**</sup>
Ca (ng/m <sup>3</sup> )	0.7 (0.4-1.0)	-	-	-3.6% (-5.8%, -1.5%)	-	-	0.16 <sup>**</sup>
Ti (ng/m <sup>3</sup> )	0.4 (0.8-1.5)	-	-	-	2.7% (0.1-10.8%)	-	0.37 <sup>*</sup>
V (ng/m <sup>3</sup> )	-	-	-	-	-	19.4% (1.4-40.8%)	0.03 <sup>**</sup>
Mn (ng/m <sup>3</sup> )	1.4 (1.0-1.9)	-	-	-	-	-	0.38 <sup>**</sup>
Fe (ng/m <sup>3</sup> )	1.4 (0.9-2.1)	-	-	-	12.3% (5.6-23.1%)	-	0.33 <sup>**</sup>
Cu (ng/m <sup>3</sup> )	0.9 (0.5-1.4)	-	-	-	-	-	0.17 <sup>*</sup>
Zn (ng/m <sup>3</sup> )	2.4 (1.8-3.2)	-	-	-	-	-	0.45 <sup>*</sup>
As (ng/m <sup>3</sup> )	1.4 (0.9-2.1)	-	-	-	-	-	0.33 <sup>**</sup>
Br (ng/m <sup>3</sup> )	1.7 (1.3-2.2)	0.6 (0.3-0.9)	-	-	-	-	0.60 <sup>**</sup>
Pb (ng/m <sup>3</sup> )	3.6 (2.6-4.9)	-	-	-	-	-	0.50 <sup>**</sup>

66 <sup>\*</sup>α = 0.05; <sup>\*\*</sup>α = 0.01. The estimated effects for determinants are presented as: a) change [ $exp^{estimate} - 1$ ] and b) percentage change [ $(exp^{estimate} - 1) \times 100\%$ ]. <sup>c</sup>Variable not  
67 considered a potential covariate for exposure pollutants. <sup>d</sup>e ≈ 2.72 ug/m<sup>3</sup>. <sup>e</sup>Housewife and non-office worker vs. office worker and student. <sup>#</sup>For one-hour more spent outdoors an  
68 average increase of 4.7% (95% CI, 1.0-8.6%) in personal exposure to OC was observed.  
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1 **Figure Captions**

2 **Figure 1.** Subjects' residential locations along with average personal PM<sub>2.5</sub> exposures (ug/m<sup>3</sup>) in  
3 the general population of Hong Kong during July 2014-March 2015.

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5 **Figure 2.** Characterization of personal exposure to PM<sub>2.5</sub> along with the components in PM<sub>2.5</sub> of  
6 personal exposures in Hong Kong by (a) season and (b) groups of subjects.

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8 **Figure 3.** Relationships between personal PM<sub>2.5</sub> exposures and corresponding ambient PM<sub>2.5</sub>  
9 concentrations at urban sites during (a) summer and (b) winter; Relationships between personal  
10 PM<sub>2.5</sub> exposures and ambient PM<sub>2.5</sub> across (c) office workers, (d) students, (e) housewives, and (e)  
11 non-office workers throughout the study period. \*The difference is significant at the 0.05 level;

12 \*\*The difference is significant at the 0.01 level.

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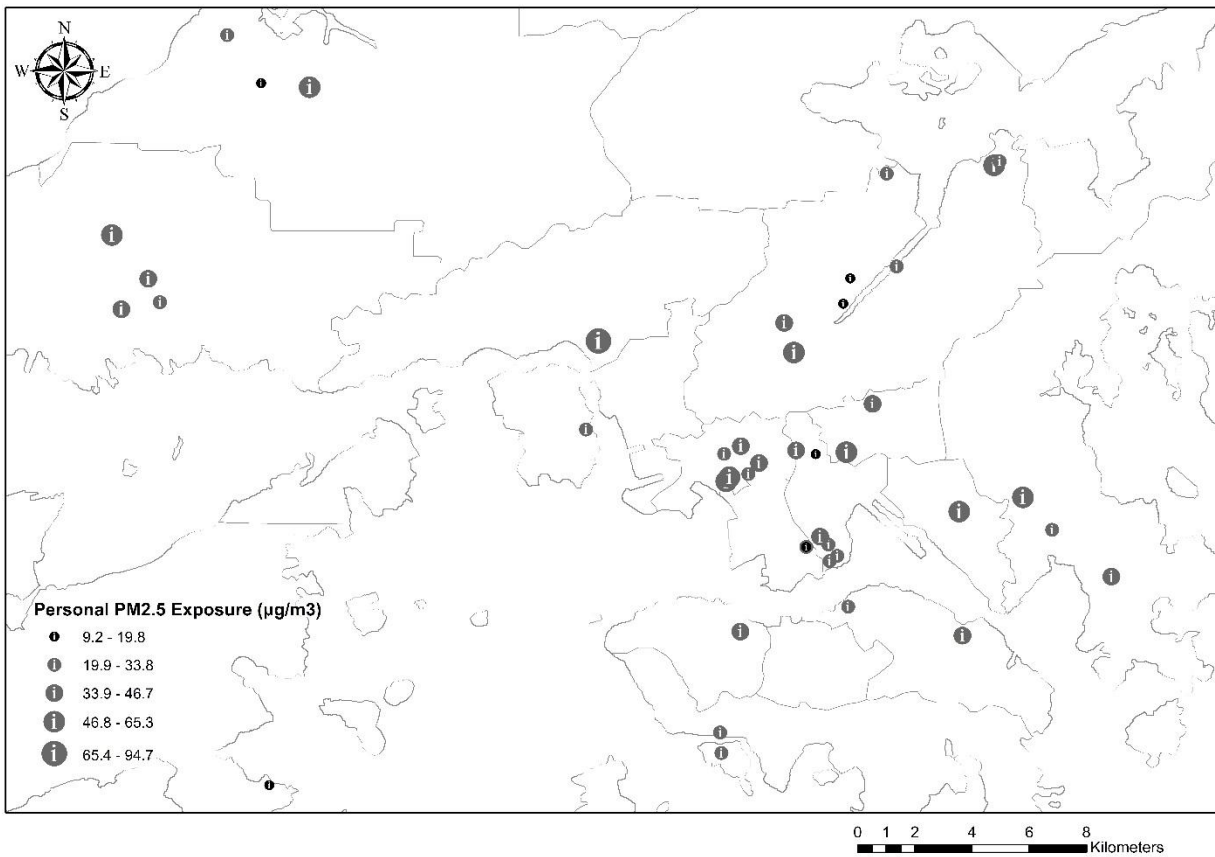
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28 **Figures**



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**Figure 1**

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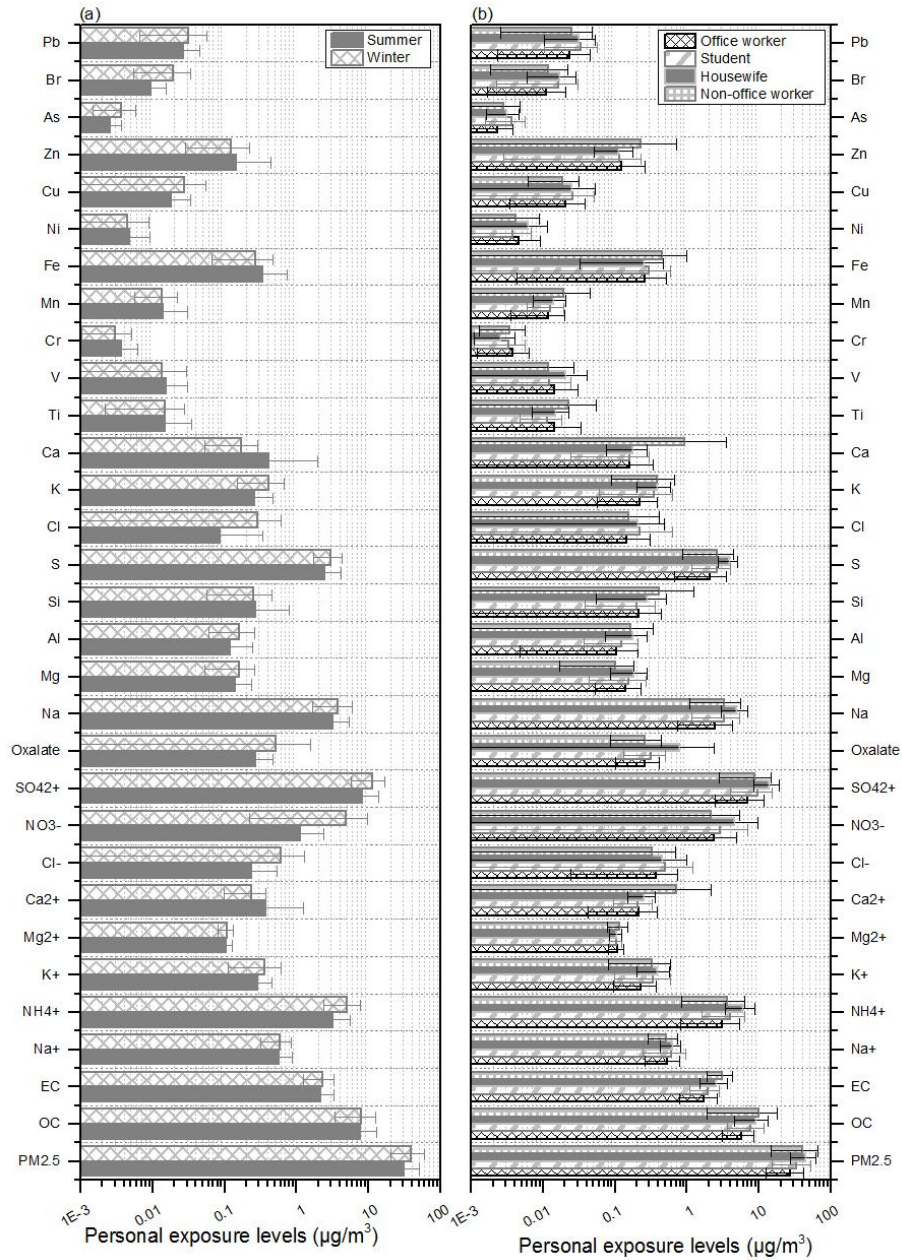
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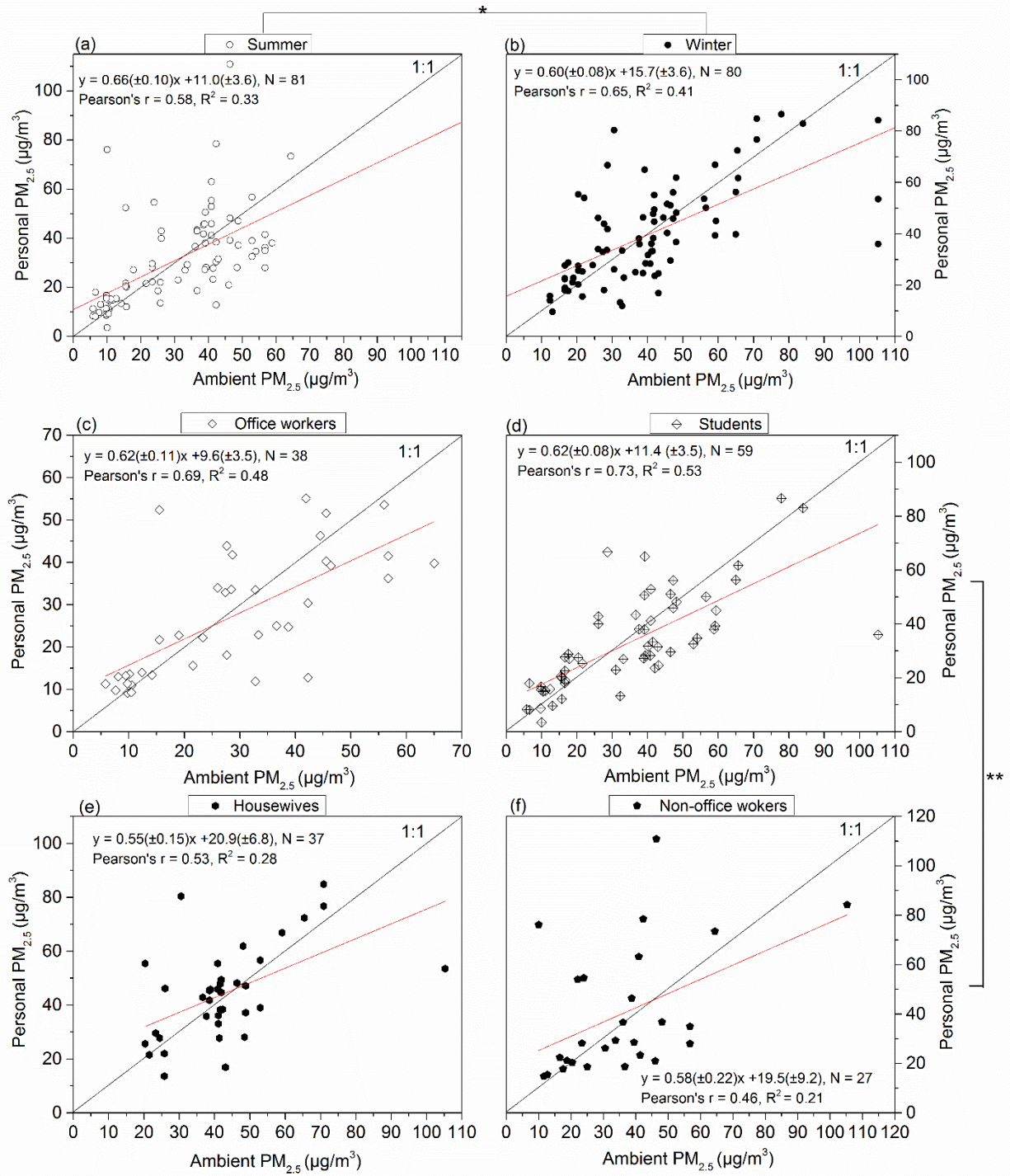
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Figure 2



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Figure 3



**Supplementary material for on-line publication only**

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30<sup>th</sup> January 2018

### **Author agreement**

This paper entitled “**Determinants of personal exposure to fine particulate matter (PM<sub>2.5</sub>) in adult subjects in Hong Kong**” was co-authored by Xiao-Cui Chen, Tony J. Ward, Jun-Ji Cao, Shun-Cheng Lee, Judith C Chow, Gabriel NC Lau, Steve HL Yim, and Kin-Fai Ho. All the listed authors have read and approved this manuscript and this submission.

Yours sincerely,

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