

1     **Seismic fragility assessment of large-scale pile-supported wharf structures considering**  
2                                     **soil-pile interaction**

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10    **Abstract**

11    Seismic fragility curves are recognized as a useful tool for seismic performance assessment  
12    of pile-supported wharf structure (PSWS) exposed to seismic hazards. These curves quantify  
13    the probability of structural vulnerability against given ground motion parameters. Soil-pile  
14    interaction (SPI) is found to have a significant impact on seismic performance of  
15    pile-supported structures. In this study, in order to better understand the SPI effect, the  
16    seismic fragility of a large-scale PSWS located at the Port of Los Angeles Berth 100, USA, is  
17    fully investigated with and without considering SPI. Herein, the pushover analysis scheme is  
18    used for inferring the bound limits of seismic demands of this large-scale PSWS. Specifically,  
19    the purpose of pushover analysis is twofold: to identify which pile of the PSWS most likely  
20    suffers from seismic failure; and to determine the bound limits of seismic demands for  
21    construction of seismic demand models using the identified pile. A collection of ground  
22    motions with low and high moment magnitudes as well as small and large epicentral

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23 distances are selected for nonlinear time history analysis. The fragility curves can be readily  
24 estimated from the data set of the intensity measure-seismic demand pairs by classical  
25 regression fitting. A comparison of fragility curves with and without SPI shows that SPI  
26 significantly influences the seismic fragility of the PSWS. For distinct damage states, the  
27 effect of SPI on the seismic fragilities of different piles can be totally different.

28 **Keywords:** Pile-supported wharf structure; Fragility; Soil-pile interaction; Pushover analysis;  
29 Nonlinear time history analysis

## 30 **1 Introduction**

31 Pile-supported wharf structures (PSWSs), which accommodate import and export  
32 activities, are essential components of a port transportation system to promote economic  
33 prosperity. Typically, a PSWS consists of one or more berths, and also include pile  
34 foundation, deck, and other necessary facilities for supporting the container. Many PSWSs  
35 are located in seismically active regions and are particularly vulnerable to damage and loss of  
36 function after a major earthquake. Damage to such structures has been frequently reported in  
37 many recent seismic events, such as the 1989 Loma Prieta [1], the 1995 Hyogoken-Nanbu  
38 earthquake [2], and the 2010 Haiti earthquake [3], among others. The resultant disruption in  
39 serviceability of PSWSs will have direct and detrimental impact on operation of the entire  
40 seaport leading to significant economic loss. To mitigate the potential seismic-induced  
41 damage to a PSWS, its seismic performance during earthquakes needs to be thoroughly  
42 assessed.

43 During the last two decades, a large volume of research work has been devoted to  
44 investigation of seismic performance of PSWSs using either model tests or numerical

45 modeling. Model test schemes for characterizing the seismic response of PSWSs are mainly  
46 composed of centrifuge and full-scale field tests [4-9]. Boland et al. [4] performed a series of  
47 centrifuge model tests on PSWS to investigate the seismic response of wharf-ground system.  
48 Similarly, Takahashi and Takemura [5] explored the effect of liquefaction on the permanent  
49 displacement of wharf structure by centrifuge model test. Compared to the centrifuge model  
50 test, it is very hard that full-scale field test reproduced the global response of wharf structure.  
51 Roeder et al. [6] and Blandon et al. [7] conducted a large number of full-scale field test to  
52 investigate the seismic performance of pile-wharf connections. Chang et al. [8] carried out  
53 the in situ large-scale physical modeling on two-pile-supported wharf structure to study the  
54 dynamic soil-structure interactions using surface wave generator as an excitation. Boroschek  
55 et al. [9] performed a collection of ambient and forced vibration tests to characterize the  
56 damping properties of the PSWS. On the other hand, numerical modeling has also captured  
57 increasing attention of engineers to explore the seismic behavior of PSWS owing to its low  
58 cost and modeling generality. Compared to model tests, more work has been reported on  
59 using finite element (FE) analysis for seismic performance assessment of PSWSs [10-17].  
60 Chiaramonte et al. [10] evaluated the full seismic performance of marginal wharves with both  
61 conventional and damage-resisting connections through a series of FE models. Shafieezadeh  
62 et al. [11] established two-dimensional nonlinear plane-strain model to investigate the model  
63 properties and vulnerability of PSWS and found that the failure of PSWS mainly contributed  
64 to lateral permanent deformation of deck, damage of pile-deck connection, and tensile axial  
65 force of batter piles. Shafieezadeh et al. [12] and Su et al. [13] fully explored the seismic  
66 performance of PSWSs by building a three-dimensional (3D) nonlinear FE model

67 considering complex interaction between the surrounding soil, pile foundation, and wharf  
68 structure. Doran et al. [14] evaluated the seismic performance of two existing PSWSs through  
69 nonlinear static pushover analysis according to the Turkish Code for Shore Structures.  
70 Erdogan et al. [15] assessed the seismic performance of aging PSWS with two retrofitting  
71 schemes of arranging the additional piles. Donahue et al. [16] studied the seismic  
72 performance of a PSWS by numerical model validated using the recorded strong motion data.  
73 Su et al. [17] investigated the influence of model parameter uncertainty on seismic responses  
74 of the PSWS through the computationally efficiently surrogate modeling technique. In spite  
75 of substantial investigations on assessment of PSWSs using either model tests or numerical  
76 simulations, very limited studies have been reported regarding seismic fragility evaluation of  
77 PSWSs. The seismic fragility function, which is defined as the relationship between the  
78 conditional probability of exceeding a specified structural damage state and the ground  
79 motion intensity measure, has been widely recognized as a practical and effective tool for  
80 evaluating the seismic vulnerability of infrastructure systems, such as bridges [18-25] and  
81 buildings [26-30]. The few relevant studies on seismic fragility assessment of wharf structure  
82 are mentioned in sequence. For example, Calabrese and Lai [31] carried out seismic fragility  
83 assessment of the blockwork wharf structure based on the artificial neural networks and  
84 found that the liquefaction and the base width-height ratio would increase the failure  
85 probabilities. Alielahi and Rabeti Moghadam [32] evaluated the seismic fragility of the  
86 broken-back block quay walls using numerical models validated against the shaking table test  
87 results. It was found that the quay wall with larger hunch has the better seismic performance.  
88 Yang et al. [33] studied seismic fragility curves for PSWSs with vertical piles by performing

89 nonlinear time history analysis conducted with the OpenSees FE platform. Chiou et al. [34]  
90 proposed a procedure of developing fragility curves for a typical PSWS in Taiwan through  
91 pushover analysis. Heidary-Torkaman et al. [35, 36] assessed fragility of a PSWS with batter  
92 piles through a practical framework, and they also carried out sensitivity analysis to measure  
93 the effects of structural properties on seismic performance. Balomenos and Padgett [37]  
94 conducted fragility analysis of PSWS with four alternative pile-deck connections subjected to  
95 hurricane-induced storm surge and wave loading. The results revealed that the uplift was the  
96 dominant structural failure mode of PSWS under the extreme hazard conditions.

97 PSWS is a typical pile-supported structure system, so the soil-pile interaction (SPI) is  
98 involved and will affect the seismic performance. Traditionally, SPI has been considered to  
99 be beneficial for seismic design since it elongates the period of the structure and increases the  
100 damping of the structural system [38, 39]. However, the perceived beneficial role of SPI has  
101 been challenged because it is drawn from oversimplification of the reality and indeed is  
102 incorrect for certain structure systems and earthquake motions [40, 41]. Zhang and Tang [42]  
103 maintained that the beneficial or detrimental role of SPI in seismic response strongly depends  
104 on structure-to-earthquake frequency ratio, foundation-to-structure stiffness ratio, damping  
105 coefficient of foundation impedance, foundation rocking, and the development of  
106 nonlinearity in structures. SPI is an important issue, especially for stiff and large  
107 pile-supported structures located in soft clay or liquefiable soil [43-45]. These research  
108 findings highlight the significance of incorporation of SPI in seismic performance assessment  
109 of pile-supported structures. Therefore, seismic fragility evaluation of the PSWS is  
110 implemented with consideration of the SPI effect.

111 In this study, the seismic fragility of a large-scale PSWS located at the Port of Los  
112 Angeles Berth 100 is studied. The FE model of this PSWS is established using the OpenSees  
113 computer program. The modeling of soil-pile interface is detailed on how to account for SPI  
114 in FE model. A pushover analysis is used to determine the bound limits of demand parameters  
115 of the wharf structure. Pushover analysis provides an effective means to calculate the  
116 quantitative seismic demands. A suite of ground motion records are adopted to evaluate the  
117 seismic performance of the PSWS by performing the nonlinear time history analysis. The  
118 seismic demand models of the PSWS with and without SPI are constructed from the obtained  
119 data set of the intensity measure-seismic demand pairs. Using the seismic demand models  
120 and appropriate bound limits of damage states, seismic fragility curves with and without SPI  
121 are evaluated. The influence of the SPI on the seismic fragility curves of this large-scale  
122 PSWS is thoroughly investigated. **In summary, the contribution of this work is twofold: (1)**  
123 **the powerful pushover analysis procedure is utilized to precisely determine the bound limits**  
124 **of demand parameters associated with various damage states; and (2) the seismic fragility of**  
125 **the large-scale PSWS with and without considering the SPI effect is systematically**  
126 **investigated.**

## 127 **2 Wharf Structure and Finite Element Modeling**

### 128 ***2.1 Description of wharf structure***

129 A large-scale PSWS located at port of Los Angeles Berth 100 is under investigation. **Fig.**  
130 **1** shows the 3D profile of such container wharf and pile modeling. Additional details can be  
131 found in the references [13, 46]. This target wharf structure is 317 m long and 30.5 m wide.  
132 Along the longitudinal direction, there are a total of 52 bays with an identical distance of 6.1

133 m in between (Fig. 1a), and along the transverse direction, there exist six rows of prestressed  
134 reinforced concrete piles. To be more specific, each pile is 42 m long, and the distance  
135 between the pile rows F and E is 3.7m (Fig. 2a), while the distance between the remaining  
136 pile rows is 6.7 m. The pile rows F and E have an identical depth in the ground whereas the  
137 rest have various underground depths. The concrete deck supported on these piles is at least  
138 0.4 m thick. The dike, aiming at improving the stability of this large-scale PSWS, has an  
139 inclination of 31 degree. According to configuration of the wharf structure, a representative  
140 slice with unit width selected for simulation is shown in Fig. 1(a).

## 141 **2.2 Piles modeling**

142 The pile section has an octagonal shape whose sides are around 0.253 m long and its  
143 fiber discretization is shown in Fig. 1(b) and (c). The fiber section of the pile consists of the  
144 core and cover concretes, and steel reinforcement bars. The uniaxial Kent-Scott-Park  
145 concrete model with degraded linear unloading/reloading stiffness (i.e., *Concrete01* material  
146 in OpenSees) is used to model the core and cover concretes, and the uniaxial  
147 Giuffre-Menegotto-Pinto model with isotropic strain hardening (i.e., *Steel02* material in  
148 OpenSees) is utilized to simulate the steel reinforcement bars. Note that an initial strain is  
149 applied to consider the prestressing effect of steel reinforcement bars. The properties of  
150 concrete and steel in fiber section are listed in Table 2 and their strain-stress responses are  
151 shown in Fig. 1(d), (e) and (f). The behavior of moment-curvature of the prestressed  
152 reinforced concrete pile cross section is shown in Fig. 1(g), which demonstrates that the  
153 nonlinear behavior of pile cross section is obvious, and the initial stiffness is very large  
154 because of prestressing. The bending moment starts to decline after reaching the peak, and

155 then it has a slight increment. In this regard, the prestressed RC piles are modeled using  
156 nonlinear force-based beam-column elements with fiber section. The wharf deck is modeled  
157 using linear elastic beam elements.

### 158 ***3.3 Soil domain modeling***

159 Since the lateral boundary is far from the PSWS and a wide range of FE types are used  
160 to model this pile-supported wharf-ground system, the target FE simulation domain is  
161 selected as shown in Fig. 2(a). The configuration details of the wharf structure are provided  
162 in Fig. 2(a). Specifically, the length of FE model is 230 m, its height is 53.8 m at the landside,  
163 and 33.5 m at the waterside for soil profile (Fig. 2a). The resulting FE model of the wharf  
164 structure is shown in Fig. 2(b). The completed FE model has a total of 1393 nodes and 1305  
165 elements, including 1186 soil elements and 119 nonlinear beam-column elements.

166 The whole soil domain is idealized into four units including 9 sub-layers as well as the  
167 dike structure shown in Fig. 2(a), in which distinct colors stand for different soil layers. The  
168 properties of soil stratum are tabulated in Table 1. The saturated soil is modeled using  
169 four-node plane-strain bilinear isoparametric elements which allow for characterization of the  
170 dynamic behavior of two-phase solid-fluid fully coupled material [47]. Each node of this  
171 element has three degree-of-freedom (DOF), two of which represent the solid displacement  
172 and one represents the fluid pressure. Note that in the FE modeling, a high permeability (i.e.,  
173 1 m/s) is adopted to prevent the liquefaction since with the relatively high friction angle for  
174 sand stratum (Table 1), the liquefaction is not a main problem. The water level is located on  
175 the top of loose marine sand (IIA, shown in Fig. 2a). The water body is simulated through  
176 applying the hydrostatic pressure on the ground surface at the waterside. The average nodal



177 loads resulting from the water weight above the soil surface is taken into account to precisely  
178 determine the effective stresses on the soil layer [48]. Actually, the soil stratum has the stress  
179 and pore pressure fields but with zero displacement field under the soil gravity. Fortunately,  
180 the OpenSees computer program is able to ensure the zero displacement of FE model in the  
181 gravity phase [49]. In this regard, two gravity runs are performed to achieve this purpose: (1)  
182 the 1st gravity run is conducted to obtain non-zero stress, pore pressure, and displacement  
183 fields by activating the initial state analysis feature; and (2) the 2nd gravity run is carried out  
184 to achieve the zero-displacement field while maintaining stress and pore pressure field with  
185 the initial state analysis feature off.

### 186 ***3.4 Soil-pile interaction modeling***

187 For this PSWS, the involved SPI effect should be taken into account in the numerical  
188 modeling. The SPI is generally a very critical and complex dynamic interaction, especially  
189 for the large-scale pile-supported structures. Desai and Nagaraj [50] investigated the four  
190 types of possible deformation mechanism on soil-pile interface. Based on these mechanisms,  
191 the interface element was developed to simulate the SPI by researchers. For example,  
192 Elgamal et al. [51] employed the rigid link element perpendicular to pile axis with equalDOF  
193 constraints (i.e., *equalDOF* in OpenSees), which directly connect the soil node and the end  
194 node of rigid link element (Fig. 3). To be specific, the node A(B) directly connects to the  
195 corresponding node A'(B') by equalDOF. Such interface modeling can incorporate the effect  
196 of pile geometry but fails to characterize the friction and slip mechanism of soil-pile interface  
197 during dynamic excitation [51]. Therefore, such modeling scheme is still considered as  
198 numerical modeling without SPI since it fails to properly account for the SPI effect. Its

199 modeling capability can be improved to simulate the friction and slip effect of SPI.  
200 Specifically, the zero-length element are added to links A(B) and A'(B'). This connection is  
201 created by the equal DOF constraint, zero-length element, and elastic beam. In this regard,  
202 the zero-length element provides the yield shear force, perpendicular to the axial force to  
203 simulate the slip at the soil-pile interface. The schematic soil-pile interface connection is  
204 shown in [Fig. 3](#). More details regarding modeling soil-pile interface with SPI are provided in  
205 [Su et al. \[13\]](#).

### 206 **3.5 Boundary and loading conditions**

207 The boundary conditions of the FE model are: (1) lateral boundary is applied by  
208 employing the larger soil column to ensure that free-field conditions; (2) before shaking, the  
209 nodes at the bottom of the model are fixed in all directions during shaking, the lateral  
210 displacement DOF constraint in the shaking direction is released; and (3) the nodal pore  
211 pressure is specified on the ground surface at the waterside in line with the water height so  
212 that the ground surface boundaries at the waterside and landside are pervious.

213 Both linear and nonlinear analyses are involved for the FE modeling of this  
214 wharf-ground system. In a linear analysis, a gravity application analysis (self-weight  
215 modeling) is performed before seismic excitation. Subsequently, the initial state analysis is  
216 conducted to maintain the soil stress states and make the soil displacement zero through the  
217 *OpenSees InitialStateAnalysisWrapper* [49]. The obtained soil stress states are used as the  
218 initial conditions for the subsequent dynamic analysis. Following the procedures described by  
219 [Chiaramonte \[52\]](#), the following staged analysis runs are employed to achieve the  
220 convergence and model the actual loading conditions:

- 221 (1) The self-gravity of model is performed to obtain the initial stress state (i.e., zero  
222 displacement and non-zero stress and pore pressure) for the subsequent analysis shown in  
223 [Fig. 4\(a\)](#).
- 224 (2) The static analysis of pile foundations simulated by nonlinear beam-column element  
225 based on fiber section is conducted. At this run, the pile bottom is fixed and the  
226 prestressing force is applied to make pile foundations deform freely as shown in [Fig. 4\(b\)](#).
- 227 (3) The linear elastic beam elements and interface element (i.e., *zeroLength* and  
228 *zeroLengthSection* elements in OpenSees) are added to simulate the deck and soil-pile  
229 interaction, respectively. The constraints applied to pile bottom are removed while the  
230 constraints on other end of zero-length elements are added ([Fig. 4c](#)). Then the static  
231 analysis is conducted. It should be noted that the soil and pile **meshes** are independent of  
232 each other in this phase.
- 233 (4) The constraints on the zero-length elements are removed and the free nodes of  
234 zero-length elements are connected to the corresponding soil nodes through the  
235 equalDOF ([Fig. 4d](#)). The self-gravity of deck and pile are applied, followed by static  
236 analysis.
- 237 (5) The properties of soil layers **switch** from elastic to plastic, and then the plastic analysis is  
238 performed, shown in [Fig. 4\(e\)](#).
- 239 (6) The soil column with heavy mass are connected with both sides of model through the  
240 equalDOF to simulate the free field boundary. Finally, after applying the base motion, the  
241 nonlinear time history analysis is conducted to calculate the seismic response ([Fig. 4f](#)).

### 242 **3 Seismic Demand Models**

243 As recommended by Ramanathan et al. [53] and Zhong et al. [21], a suite of 80 ground  
244 motions are selected for seismic time history analysis. These ground motions are extracted  
245 from the Pacific Earthquake Engineering Research Center Strong Motion Database [54]. The  
246 ground motion selection criteria are: (1) the California ground motions recorded on site class  
247 D are under consideration since the wharf is located in California and its site type belongs to  
248 class D; and (2) the chosen ground motions should have various moment magnitudes as well  
249 as fault distances to be more representative. Specifically, the selected 80 ground motions are  
250 from California earthquakes recorded on site class D with moment magnitude between 5.8  
251 and 6.9 and fault distance from 13 to 60 km. For more details on characteristics of ground  
252 motions, interested readers are referred to [54]. For this wharf structure, the seismic demands  
253 (responses) of interest consist of deck displacement, bending moment and curvature on pile  
254 top. Seismic demand model is to map the relationship between intensity measure ( $IM$ ) and  
255 seismic demand ( $D$ ). In general, the seismic demand model is derived by regression fitting in  
256 a logarithmic space, that is, determination of the  $\ln(IM)$ - $\ln(D)$  relationship [55]. In the  
257 numerical modeling of this wharf structure, the velocity time histories along with dashpot are  
258 imparted as the base motion. In addition, the peak ground velocity (PGV) is one of most  
259 widely used  $IM$ s for seismic performance assessment of geotechnical engineering structures  
260 [56, 57]. Thus, PGV is chosen as  $IM$  for construction of seismic demand models of this wharf  
261 structure. Following the above-mentioned FE modeling procedures, nonlinear time history  
262 analysis is conducted for each of the selected 80 ground motions to obtain interested seismic  
263 responses. Note that nonlinear time history analysis is performed on the FE model with and  
264 without SPI. Eventually, a data set is collected with 80 input-output pair for both cases with

265 and without SPI. The seismic demand models of the wharf structure can be readily derived by  
266 regression method using the obtained data set. The results are showed in Figs. 5-7.

267 Fig. 5 demonstrates the seismic demand model of deck displacement w.r.t.  $IM$  of PGV  
268 with and without SPI in the logarithm scale. **Noted that the deck displacement is recorded at**  
269 **the leftmost end of deck (i.e., the top of Pile F).** Apparently, the log-linear model fit the  
270 samples well, indicating the effectiveness of the adopted linear model in determination of  
271 seismic demand models. It can also be seen that for the deck displacement, seismic demand  
272 model with SPI is very similar to the one without SPI, which indicates that the SPI has little  
273 effect on the deck displacement. Fig. 6 depicts the seismic demand models associated with  
274 bending moment on the top of different piles with and without SPI. Unlike the deck  
275 displacement, the SPI obviously influences the bending moment on pile top. Actually, the  
276 difference between seismic demand models with and without SPI are more pronounced when  
277 the fit models are plotted in normal scale instead of in logarithmic scale. As seen from Fig. 6,  
278 the slope of the log-linear seismic demand model decreases from Piles A to F, which reveals  
279 the decreasing sensitivity of bending moment on pile top to PGV. Fig. 7 illustrates the  
280 seismic demand models associated with the curvature on the top of different piles with and  
281 without SPI. Similarly, the SPI has significant impact on the curvature on pile top.

## 282 **4 Seismic Fragility Evaluation**

### 283 ***4.1 Damage state classification by pushover analysis***

284 Determination of proper quantitative damage demands is essential for seismic fragility  
285 evaluation. The International Navigation Association presents the qualitative demands to  
286 classify the damage states of PSWS [58], but the quantitative demands are not available. As  
287 stated by Chiou et al. [34], pushover analysis is a powerful tool to determine the qualitative

288 demands associated with different damage states of PSWS. Therefore, a **nonlinear** static  
289 pushover analysis is conducted primarily for fragility evaluation. **Such analysis procedure is**  
290 **employed to determine bound limits of seismic demands for the slight, moderate, and**  
291 **extensive damage states. This analysis is performed through gradually increasing the lateral**  
292 **displacement of wharf deck. The increased lateral displacement can induce the increase of**  
293 **concrete strain sequentially as well as the transition from slight damage state to extensive one.**  
294 **The bound limits of demand parameters are identified by the relationships between concrete**  
295 **strain and demand parameters established by the pushover analysis.** The pushover procedure  
296 for determining the bound limits of seismic demands of different damage states consists of  
297 two steps. The first step is to identify which structural component (i.e., pile here for the  
298 PSWS) is most likely to be damaged, and the second step is to determine the bound limits  
299 based the relationship between the concrete strain and seismic demands of the identified pile.  
300 **A general flowchart for the bound limit determination of seismic demands based on pushover**  
301 **analysis is shown in Fig. 8.**

302 The pushover results are shown in **Figs. 9 and 10.** **Fig. 9** shows the pushover bending  
303 moment-curvature responses on the pile top with and without SPI. It is shown that the  
304 bending moment-curvature responses on pile top with and without SPI are very similar to that  
305 of fiber section (**Fig. 1g**), which confirms the reliability of the established numerical model.  
306 **Additionally, the pile top section presents an obvious nonlinear characteristic, and the**  
307 **nonlinear behavior is more pronounced for the piles with shorter free length because of larger**  
308 **lateral force and curvature on the pile.** The bending moment-curvature response without SPI  
309 is larger than that with SPI, especially for the Piles E and F. **Fig. 10** depicts the pushover

310 force-displacement responses on pile top with and without SPI. Similarly, for the lateral  
311 force-displacement of isolated pile (Fig. 10a), the lateral force without SPI is greater than that  
312 with SPI, especially for Piles E and F. Since the free lengths of the piles decrease from the  
313 Piles A to F, their lateral forces decrease. To further explore the effect of SPI, the total lateral  
314 force-displacement is also calculated, as shown in Fig. 10(b). Once again, the total lateral  
315 force without SPI is significantly larger than that with SPI for the same deck displacement. It  
316 can be concluded from Figs. 9 and 10 that the SPI has substantial influence on the  
317 moment-curvature response and force-displacement response. Given the fact that the  
318 pushover responses of the Pile F are largest among all piles with and without SPI, the seismic  
319 responses of the Pile F will be used to determine the bound limits of seismic demands.

320 Likewise, the pushover analysis is conducted again only for the target Pile F. The results  
321 are shown in Fig. 11, which depicts the relationship between the demand parameters and the  
322 concrete strain. Given the concrete strain at different damage states, the strain-seismic  
323 demand relationship can be utilized to infer the corresponding bound limits of the demand  
324 parameters. Three damage states including slight, moderate, and extensive levels are  
325 considered for seismic fragility evaluation. In particular, the slight damage state corresponds  
326 to the core concrete strain at compressive strength (i.e., 0.005 in Table 2); the extensive  
327 damage state corresponds to the core concrete strain at crushing strength (i.e., 0.018 in Table  
328 2); and the moderate damage state is assumed to be the core concrete strain of 0.01, which is  
329 close to the average of the slight and extensive damage levels. These concrete strains  
330 associated with three damage states are entered into the strain-seismic demand relationship  
331 function to calculate the bound limits of the seismic demand parameters. Table 3 summaries

332 the bound limits of the seismic demand parameters of the PSWS under different damage  
 333 states.

#### 334 **4.2 Determination of seismic fragility curve**

335 The fragility curve is defined as the conditional probability that the seismic demand ( $D$ )  
 336 exceeds its bound limit of demand parameters ( $L$ ) for a given  $IM$  as

$$337 \quad P(D \geq L | IM) = \Phi \left[ \frac{\ln(S_D) - \ln(S_L)}{\sqrt{\beta_{D|IM}^2 + \beta_L^2}} \right] \quad (1)$$

338 where  $S_D$  is the median estimate of the demand as a function of  $IM$ ;  $S_L$  is the median estimate  
 339 of the bound limit of seismic demand;  $\beta_{D|IM}$  is the dispersion or logarithmic standard  
 340 deviation of the demand conditioned on  $IM$ ;  $\beta_L$  is the dispersion of the bound limit of seismic  
 341 demand; and  $\Phi(\bullet)$  denotes the cumulative standard normal distribution function.

342 Thus, the fragility for any structural component of the wharf structure can be estimated  
 343 if the governing parameters  $S_D$ ,  $S_L$ ,  $\beta_{D|IM}$ , and  $\beta_L$  are first determined. Specifically,  $S_D$  is  
 344 determined based on the seismic demand models shown in Figs. 5-7;  $S_L$  is determined based  
 345 on pushover analysis results listed in Table 3;  $\beta_L$  is assumed to be 15%; and the last quantity  
 346  $\beta_{D|IM}$  is computed through the following expression

$$347 \quad \beta_{D|IM} = \sqrt{\frac{\sum_{i=1}^N [\ln(d_i) - \ln(S_D)]^2}{(N-2)}} \quad (2)$$

348 where  $d_i$  is the  $i$ th demand for the  $i$ th ground motion; and  $N$  is the number of the selected  
 349 ground motions.

#### 350 **4.3 Results of seismic fragility analysis**

351 Base on the fragility analysis, fragility curves of the wharf structure are obtained as  
 352 shown in Figs. 12-14. The fragility curves associated with the deck displacement-specific



353 seismic demand are compared in Fig. 12, which provides a clear picture of how damage  
354 exceedance probability (fragility) for each damage responses to the different PGV levels with  
355 and without SPI. For example, with consideration of SPI, the fragilities of the wharf deck are  
356 98.6%, 61.1%, and 12.0% for the slight, moderate, and extensive damage states, respectively,  
357 when the PGV is specified as 0.5 m/s. As shown in Fig. 12, the wharf deck has less seismic  
358 vulnerability when considering SPI, especially for extensive damage state. For strong  
359 intensity of the ground motions, such as PGV of 0.8 m/s, the probability of the extensive  
360 damage state without SPI is 92.5% while the damage probability with SPI is only 62.7%. It  
361 can also be found that the difference between the fragility curves with and without SPI  
362 becomes more significant from small to large damage states. These observations indicate that  
363 SPI has critical effect on fragility of the wharf deck, and the design of PSWS will be  
364 conservative if the SPI effect is neglected.

365 Fig. 13 presents the fragility curves of bending moments on the top of different piles.  
366 Unlike the deck displacement, the fragility curves of bending moments with SPI are not  
367 always over or below those without SPI. For the Piles A, E, and F, the fragilities with and  
368 without SPI have the same feature for each damage state, so do the remaining piles. Overall,  
369 the fragilities with SPI are larger than those without SPI under the moderate and extensive  
370 damage states and their difference becomes more obvious from the moderate to extensive  
371 damage states. However, under the slight damage state, fragilities with SPI are either larger or  
372 smaller than those without SPI for different piles.

373 Fig. 14 shows the fragility curves of curvatures on the top of different piles. It is clear  
374 that for all three damage states of Piles A-D, fragilities with SPI are greater than those

375 without SPI. This indicates that when the SPI effect is not taken into account, the damage  
376 probability will be underestimated. The fragility increases from Piles A to F is inversely  
377 proportional to the free length of piles. This observation may indicate that the fragilities of  
378 bending moments increase with the decrease of the free length of piles. Under the slight  
379 damage state, the difference between fragilities with and without SPI is relatively small,  
380 especially for the Piles D-F. On the other hand, the difference between fragilities with and  
381 without SPI becomes more significant from the moderate to extensive damage states. Overall,  
382 the damage probability for the Piles E and F is larger than that for the Piles A-D, which infers  
383 that the piles with shorter free length are more vulnerable to damage during earthquake. Like  
384 the bending moments, the SPI can have either negative or positive impact on the fragilities of  
385 curvatures associated with different damage states for different piles.

386 In summary, the SPI significantly influences the fragilities of the wharf structure. The  
387 SPI effect on the fragilities associated with different seismic demands (e.g., deck  
388 displacement and bending moment) can be totally distinctive. The SPI can have either  
389 negative or positive impact on the fragilities for different structural components and different  
390 damage states. For this reason, a reliable estimate of the fragilities of the wharf structures is  
391 necessary.

#### 392 ***4.4 Discussion***

393 The above results of seismic fragility analysis illustrate the damage exceedance  
394 probability of PSWS for various damage states with and without SPI. For deck displacement,  
395 the seismic fragility without SPI is obviously larger than that with SPI (Fig. 12). Overall, the  
396 seismic fragility without SPI is smaller for bending moment and curvature of the pile,

397 compared to the case with SPI (Figs. 13-14). In other words, the effect of SPI decreases the  
398 deck displacement-specific seismic fragility but the bending moment and curvature-specific  
399 ones. Such phenomenon may be explained by the fact that the wharf system without SPI is  
400 more rigid, which leads to the small deck displacement and the large bending moment and  
401 curvature. In contrast, the wharf system with SPI is more flexible, and thus, it has a relative  
402 great deck displacement and small bending moment and curvature. The characteristics of the  
403 wharf system with and without SPI can be confirmed by the pushover analysis results (Fig.  
404 11). Fig. 11 indicates that under the same concrete strain, the wharf system without SPI  
405 produces the smaller deck displacement and larger bending moment and curvature than the  
406 wharf system with SPI. As a result, the discrepancy in the effect of SPI on the  
407 displacement-specific seismic fragility versus the bending moment- and curvature-specific  
408 seismic fragility is obtained.

## 409 **5 Conclusionsq**

410 Seismic fragility curves, which defines the probability of reaching or exceeding a  
411 specified damage state given different ground motion intensity measures, are very powerful  
412 tools for seismic vulnerability assessment. Soil-pile interaction (SPI) has been found to have  
413 a significant impact on seismic performance of pile-supported wharf structures (PSWSs). SPI  
414 is a complex process involving inertial and kinematic interaction between piles and soil,  
415 time-varying pore-water pressure, and soil nonlinearity. In this study, the focus is placed on  
416 seismic fragility evaluation of the PSWS as well as on the SPI effect. Specifically, the seismic  
417 fragility of a large-scale PSWS located at the Port of Los Angeles Berth 100 is fully  
418 investigated with and without considering SPI. In addition, to accurately classify the different

419 damage states for fragility assessment, the pushover analysis strategy is utilized to determine  
420 the bound limits of seismic demands of this large-scale PSWS.

421 The key findings are as follows:

- 422 • Pushover analysis provides a reliable tool for damage state classification. It is not only  
423 able to detect which pile of the PSWS is most likely to fail under seismic actions, but also  
424 effective for inferring the bound limits of seismic demands.
- 425 • SPI has a significant effect on the pushover responses of the piles of the PSWS. It is  
426 found that the difference between the pile pushover responses with and without SPI is  
427 particularly substantial for the most likely damaged Pile F.
- 428 • SPI significantly affects the seismic fragilities of the PSWS. The discrepancy between the  
429 fragility curves with and without SPI becomes more noticeable from small to large  
430 damage states, which tell modelers that more close attention should be paid to the SPI  
431 effect when assessing seismic fragilities of the PSWS under extensive damage state.
- 432 • SPI can have either negative or positive impact on the seismic fragilities for different  
433 structural components and different damage states. Therefore, for different structural  
434 components and different damage states, seismic fragility with SPI can be either smaller  
435 or larger than the one without SPI. For this reason, a reliable estimate of the fragilities of  
436 the wharf structures is necessary.

#### 437 **Acknowledgements**

438 This research was financially supported by the National Natural Science Foundation of  
439 China (51808307 and 51878235), the National Key Research and Development Program of  
440 China (2016YFE0205100), the Shandong Provincial Natural Science Foundation, China  
441 (ZR2017QEE007), and the Special Project Fund of Taishan Scholars of Shandong Province,  
442 China (2015-212).

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Table 1. Physical properties of soil under wharf structure.

Soil unit	Elevation (m)	Soil description	Density, $\rho$ (kg/m <sup>3</sup> )	Friction angle, $\varphi$ (°)	Shear modulus, $G$ (MPa)	Bulk modulus, $B$ (MPa)	Cohesion, $c$ (kPa)	
I	52.0~54.2	Sandy fill (above ground water table)	1920					
A	45.0~52.0	Loose marine sand	1920	32	100	469	0	
II	B	36.0~45.5	Dense marine sand	2000	36	151	703	0
C	35.5~39.0	Medium dense marine sand	2000	34	127	591	0	
A	29.5~37.0	Soft to stiff lagoonal clay	1760	0	26	122	80	
III	B1	25.0~29.5	Stiff lagoonal clay	1840	0	43	200	108
B2	17.0~25.0	Stiff lagoonal clay	1840	0	84	391	135	
IV	A	19.0~22.0	Dense lakewood-San Pedro sand	2000	36	186	868	0
B	0~19.0	Very dense lakewood-San Pedro sand	2080	38	279	1300	0	
-	Dike	32.0~52.0	Quarry run	2240	45	141	1363	20

Table 2. Properties of concrete and prestressed steel used in fiber section.

Parameter	Description	Unit	Value
$f'_c$	Concrete compressive strength	MPa	74.9 (49.0)
$\varepsilon_c$	Strain at concrete compressive strength	-	0.005 (0.002)
$f_{cu}$	Concrete crushing strength	MPa	63.0 (0)
$\varepsilon_{cu}$	Strain at concrete crushing strength	-	0.018 (0.004)
$f_y$	Steel yield strength,	MPa	1490
$E$	Steel elastic modulus	MPa	$2.04 \times 10^5$
$\sigma_{mit}$	Prestressing	MPa	1062
$B$	Steel strain-hardening ratio	-	0

Note: the value outside parentheses represents the properties of confined concrete, while those inside parentheses characterize the properties of unconfined concrete.

Table 3. **Bound limits of** seismic demand parameters associated with different damage states.

Demand parameters	Slight		Moderate		Extensive	
	With SPI	Without SPI	With SPI	Without SPI	With SPI	Without SPI
Deck displacement, $D_{deck}$ (m)	0.135	0.119	0.250	0.198	0.442	0.283
Bending moment on the Pile F top, $M_{top, F}$ (kN-m)	658.5	712.4	748.5	862.0	828.5	993.2
Curvature on the top of Pile F, $\kappa_{top, F}$ (1/m)	0.0197	0.0217	0.0386	0.0432	0.0663	0.0761

**Note:** Bound limits of seismic demand parameters are obtained by pushover analysis.

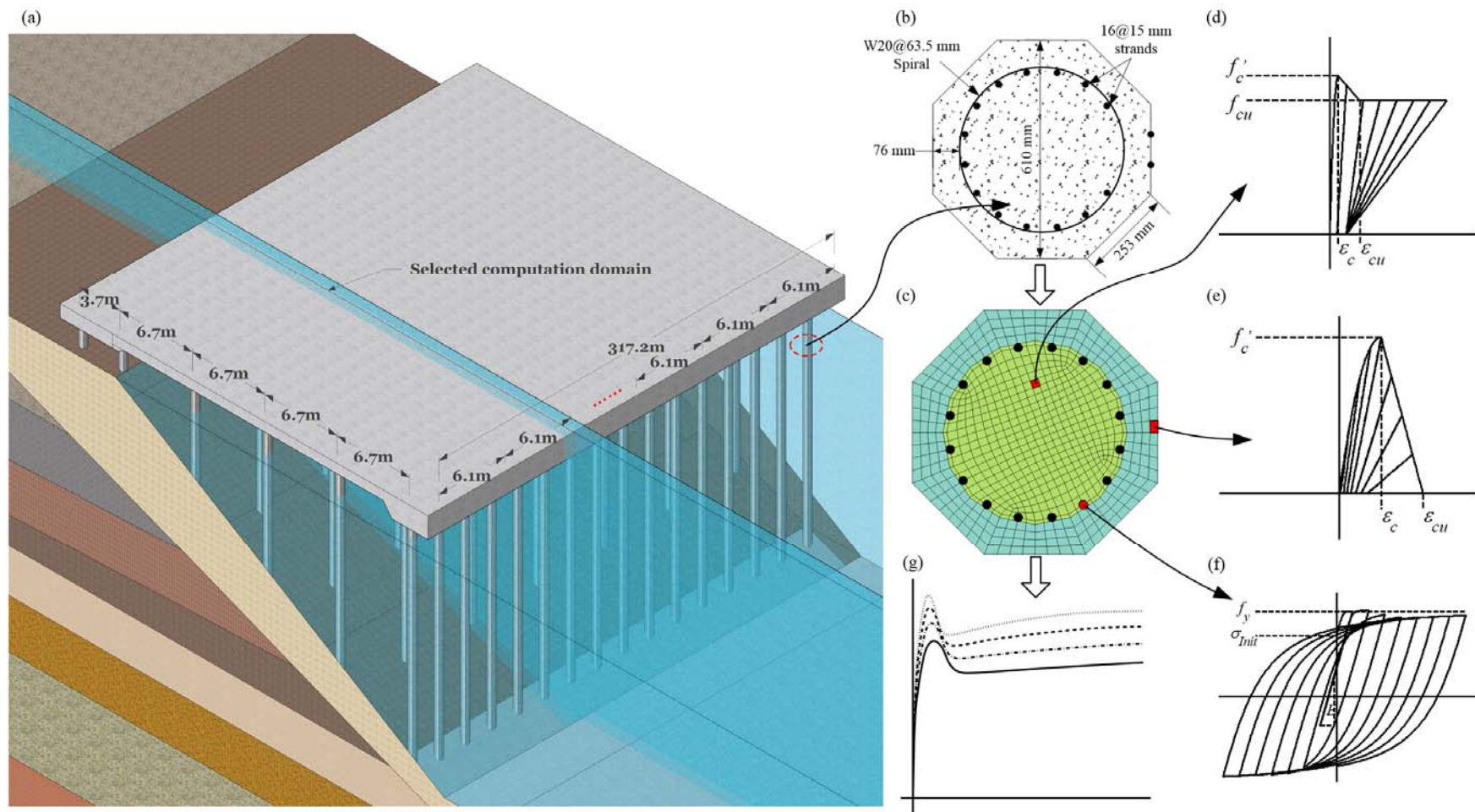


Figure 1. Configuration of wharf structure and pile modeling: (a) 3D view; (b) pile geometry section; (c) fiber discretization of pile cross section; (d) and (e) core and cover Concrete01 Kent-Scott-Park model; (f) Steel02 Giuffre-Menegotto-Pinto model; (g) moment-curvature behavior of prestressed reinforced concrete pile cross section.

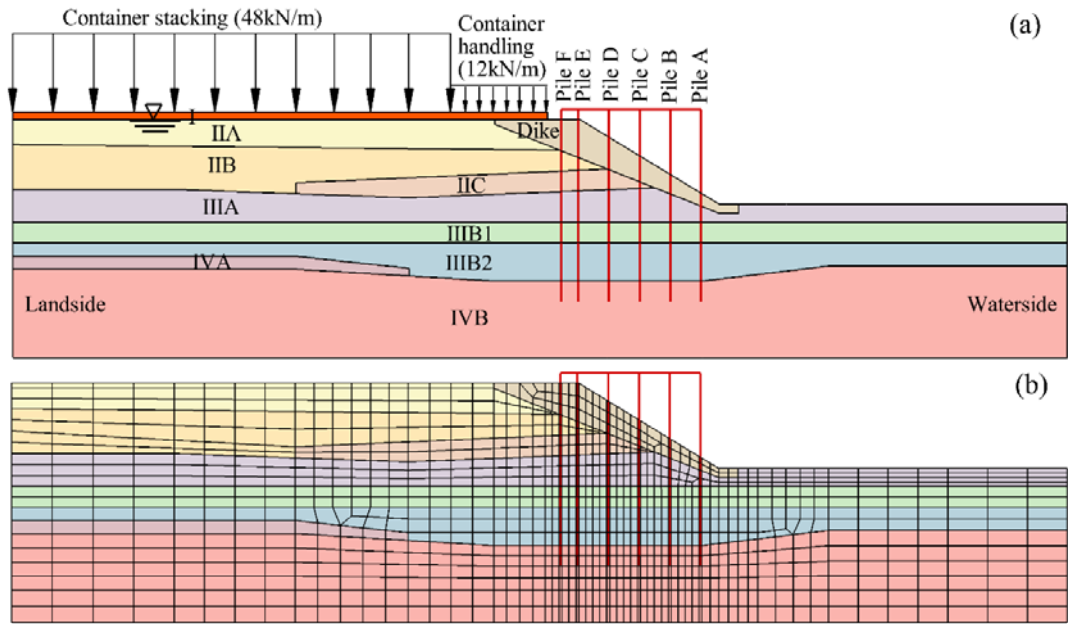


Figure 2. Pile-supported wharf structure: (a) model configuration; (b) finite element mesh.

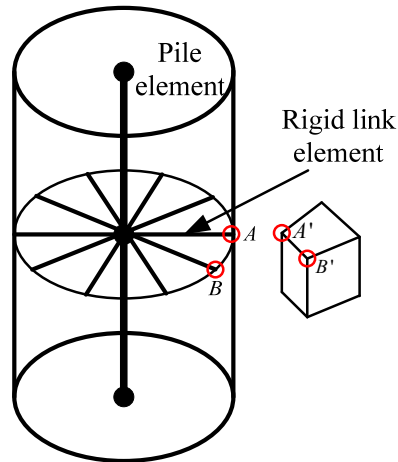


Figure 3. Modeling of soil-pile interaction.

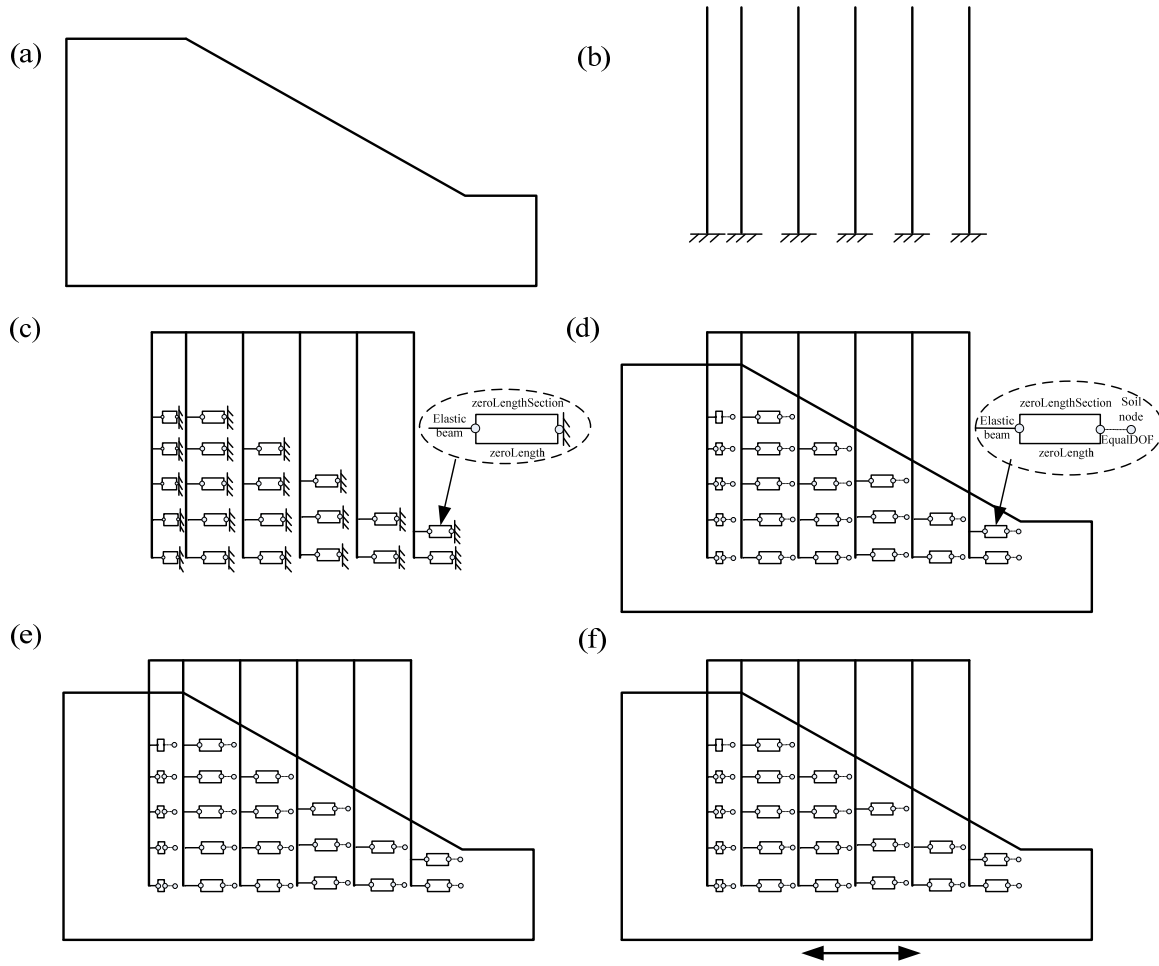


Figure 4. Staged analysis steps of numerical model considering the pile prestressing: (a) soil gravity analysis (Elastic); (b) apply pile prestress; (c) pile connect with elastic and zeroLength element; (d) zeroLength element connect with soil mesh; (e) switch from elastic to plastic; (f) apply earthquake motion.



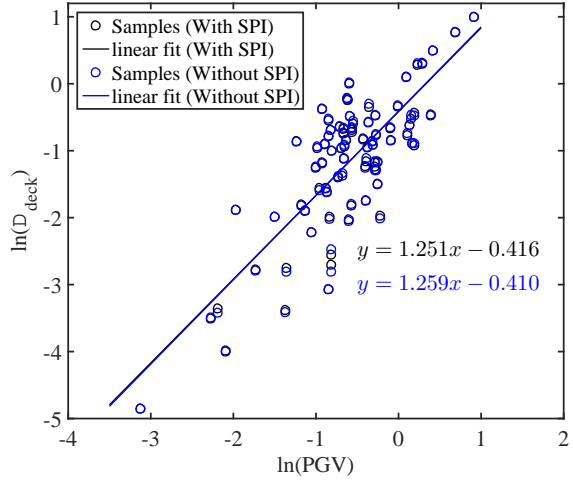


Figure 5. Linear fit demand model of deck displacement.

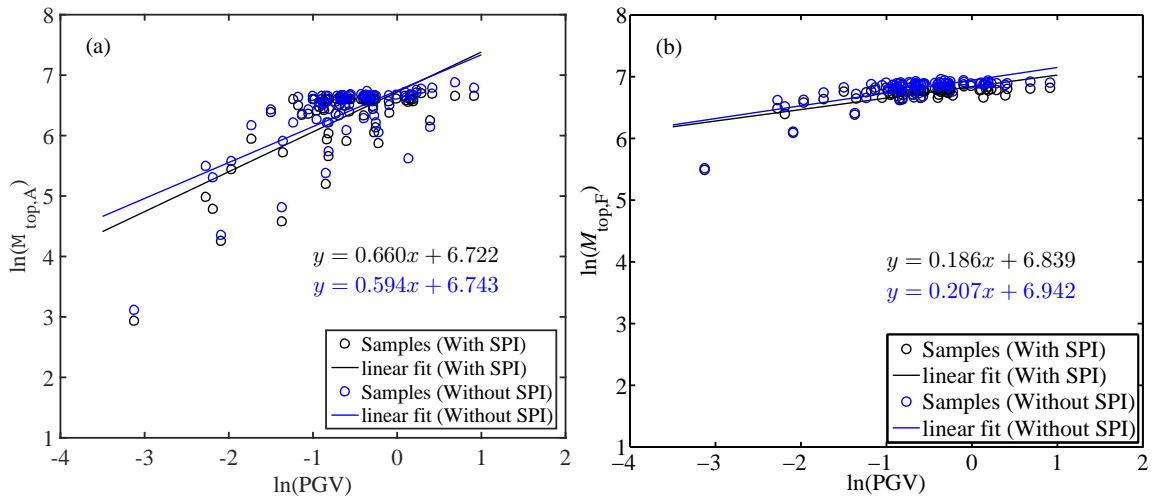


Figure 6. Linear fit demand model of bending moment on pile top: (a) Pile A; (b) Pile F.

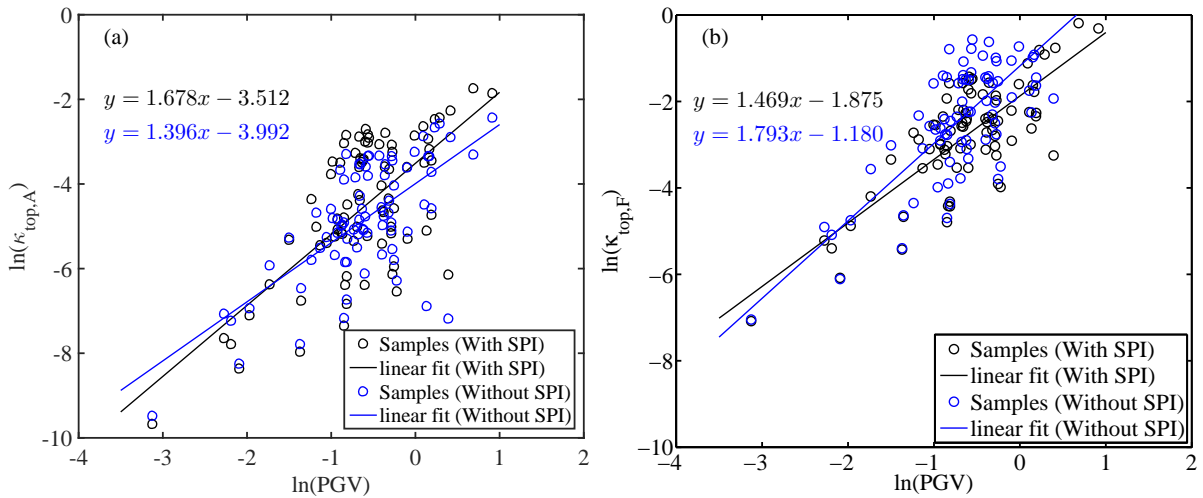


Figure 7. Linear fit demand model of curvature on pile top: (a) Pile A; (b) Pile F.

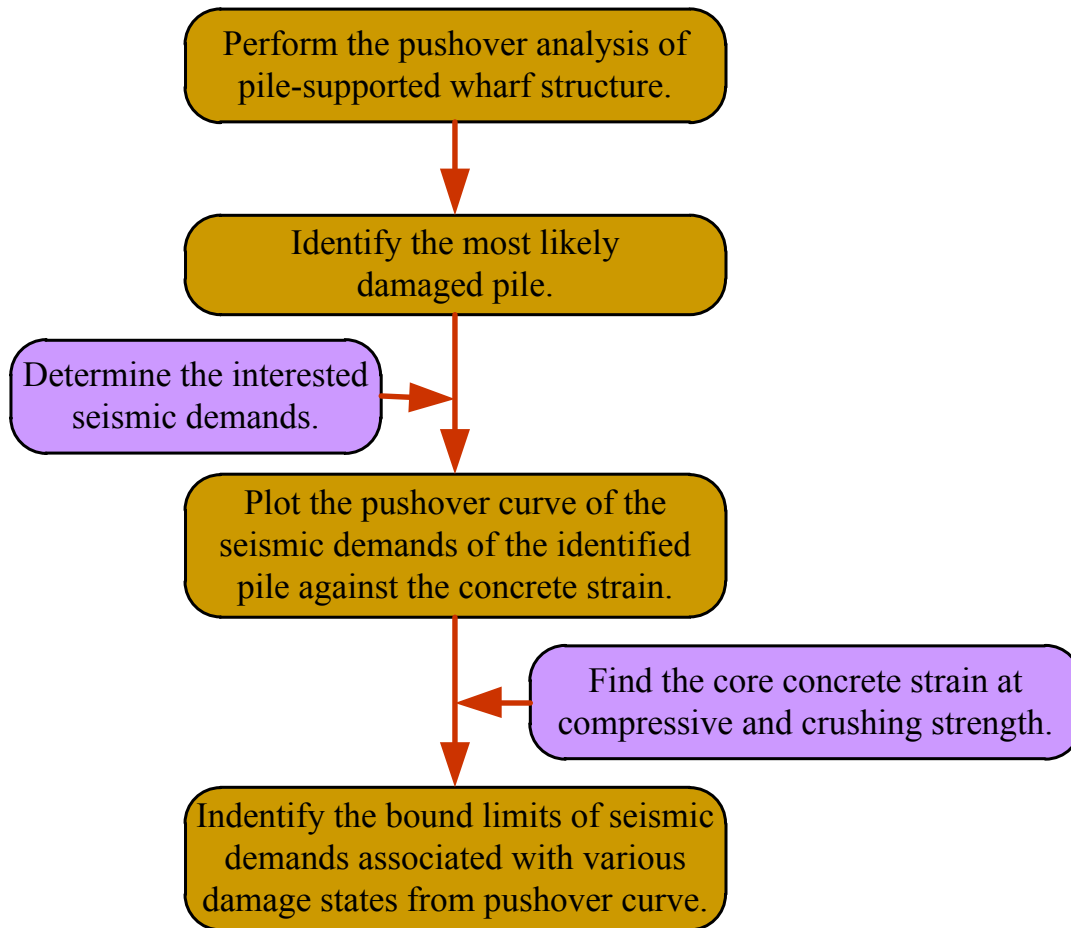


Figure 8. Flowchart of determination of bound limits of seismic demands the PSWS based on pushover analysis.

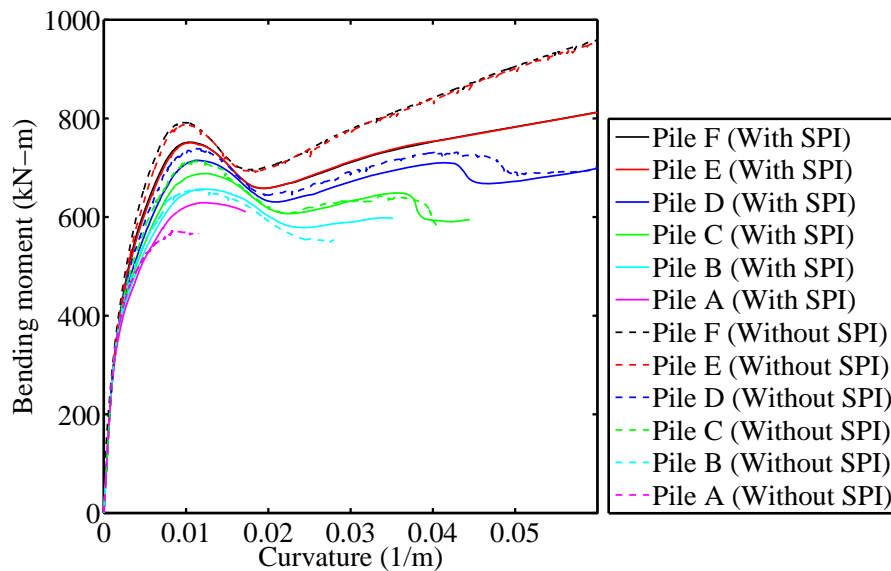


Figure 9. Pushover bending moment-curvature response on pile top.

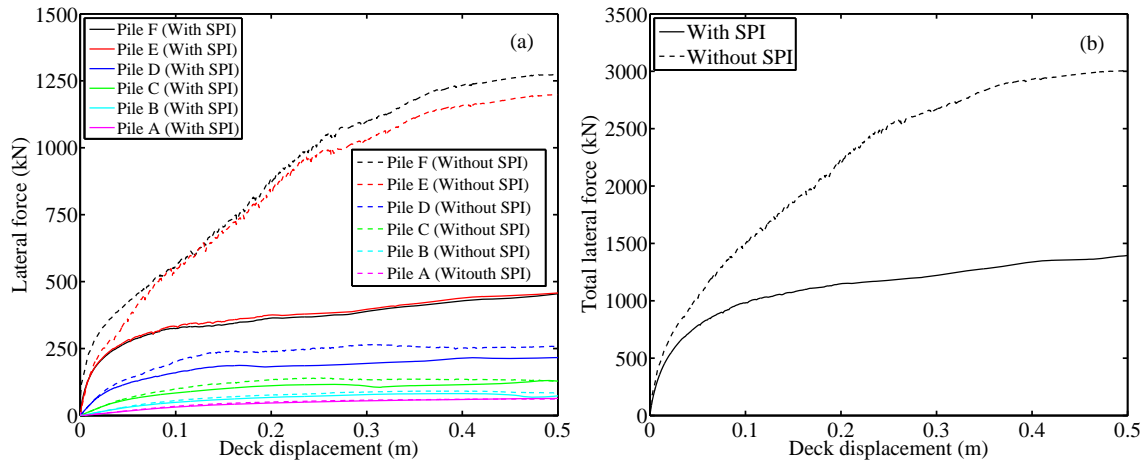


Figure 10. Pushover force-displacement response on pile top: (a) single pile; (b) all piles.

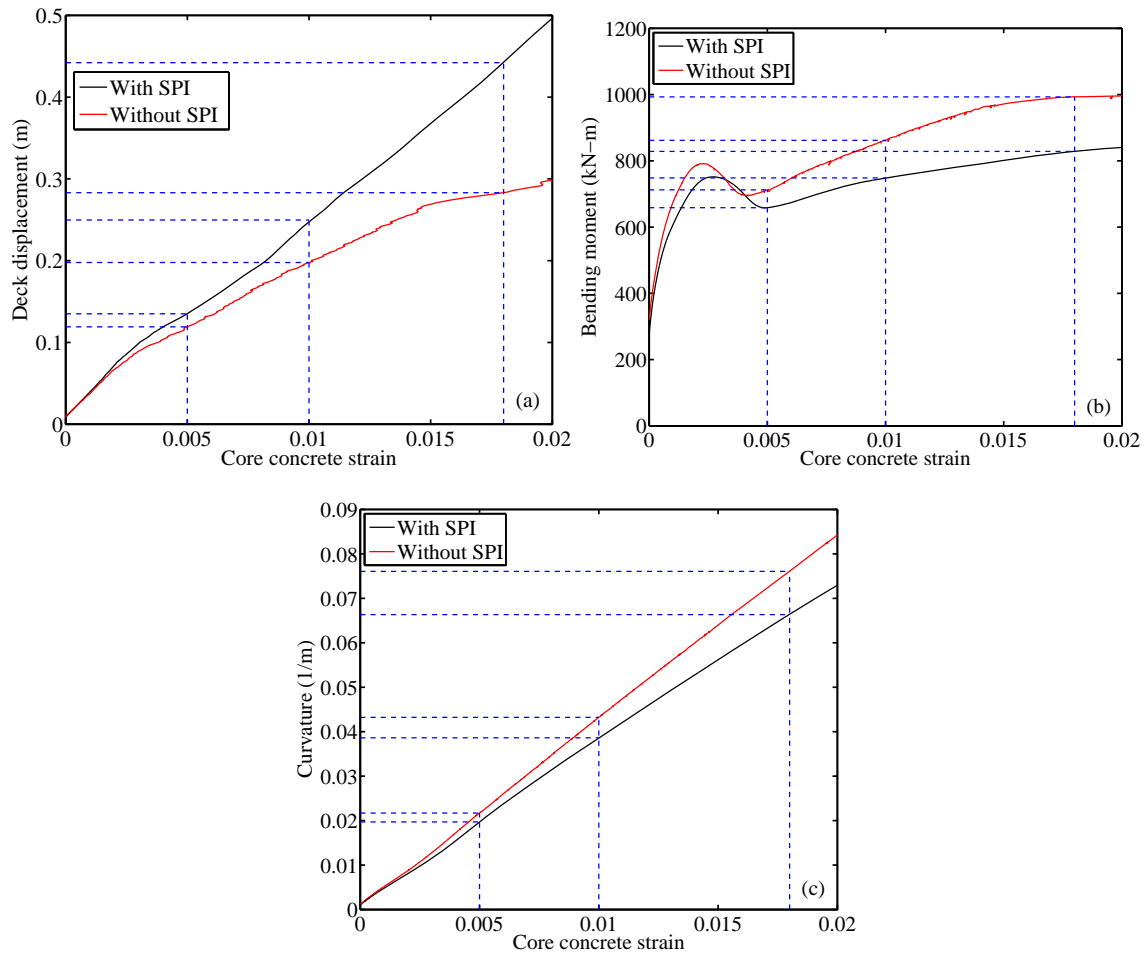


Figure 11. Relationship between the core concrete strain and interested responses on pile F top: (a) deck displacement; (b) bending moment; (c) curvature.

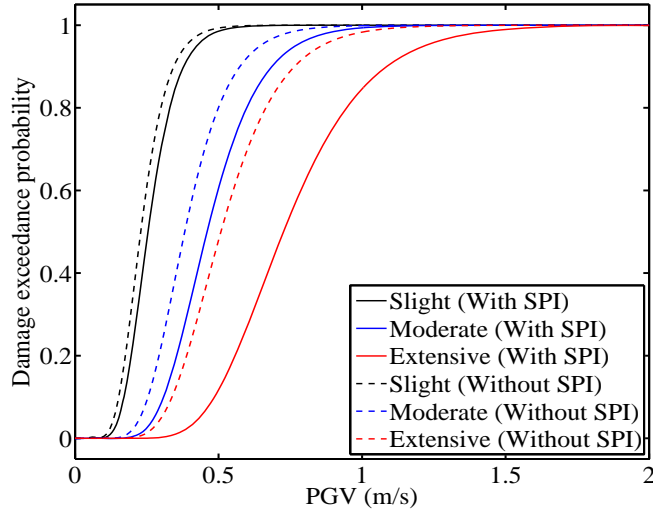


Figure 12. Fragility curves of deck displacement.

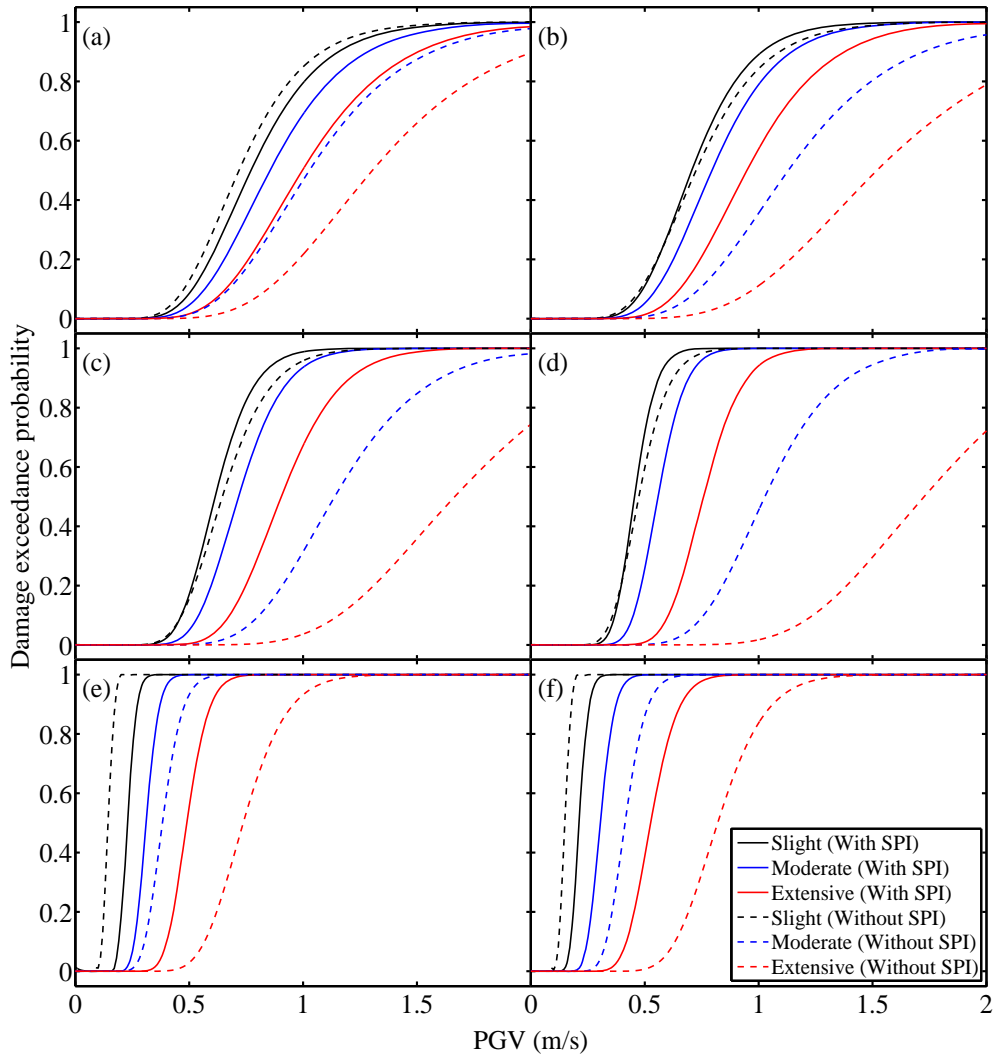


Figure 13. Fragility curves of bending moment on pile top: (a) Pile A; (b) Pile B; (c) Pile C; (d) Pile D; (e) Pile E; (f) Pile F.

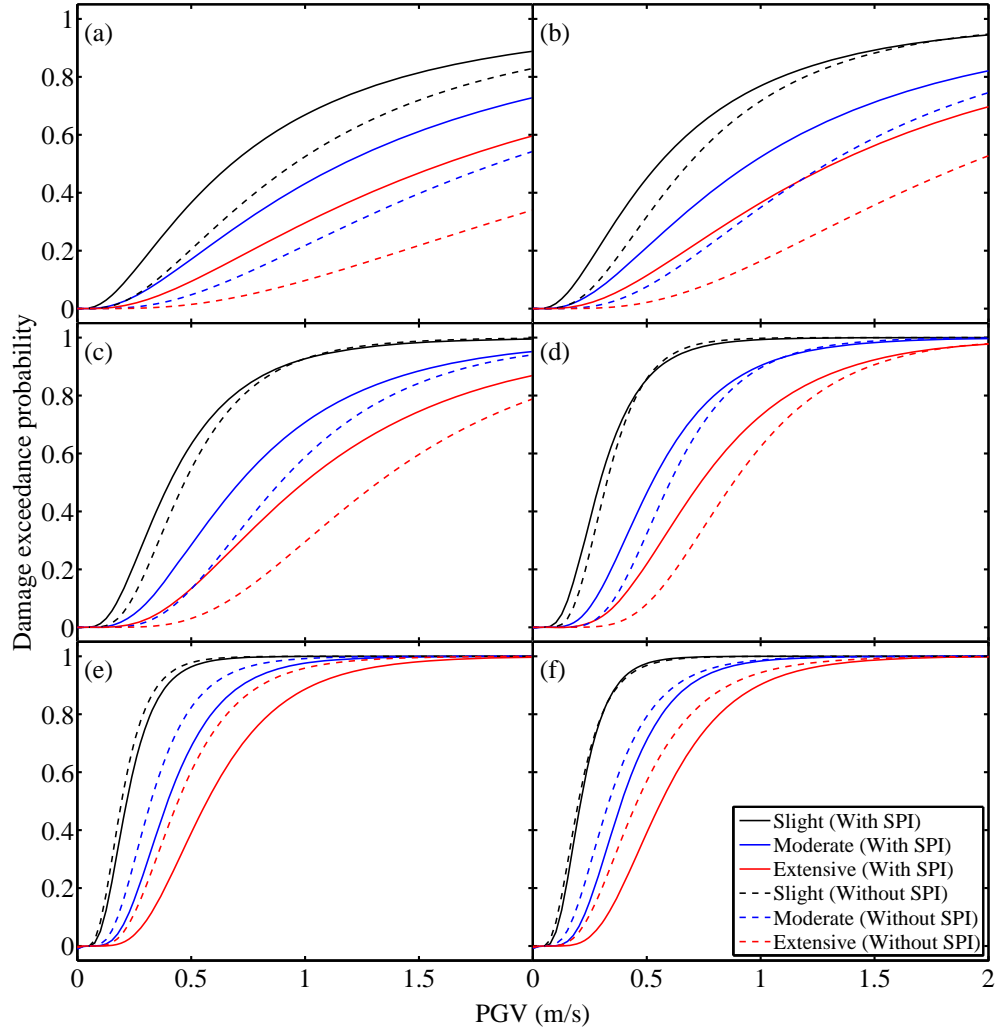


Figure 14. Fragility curves of curvature on pile top: (a) Pile A; (b) Pile B; (c) Pile C; (d) Pile D; (e) Pile E; (f) Pile F.