1	An elastoplastic model for gap-graded soils based on						
2	homogenization theory						
3	Ву						
4	Xiusong Shi						
5	Department of Civil and Environmental Engineering,						
6	The Hong Kong University of Science and Technology, Hong Kong.						
7	Email: <u>xiusongshi@ust.hk</u>						
8							
9	Jidong Zhao						
10	Department of Civil and Environmental Engineering,						
11	The Hong Kong University of Science and Technology, Hong Kong.						
12	Email: jzhao@ust.hk						
13							
14	Jianhua Yin						
15	Department of Civil and Environmental Engineering,						
16	The Hong Kong Polytechnic University, Hung Hom, Kowloon, Hong Kong, China						
17	Email: <u>cejhyin@polyu.edu.hk</u>						
18							
19	Zhijie Yu						
20	Department of Civil and Environmental Engineering,						
21	The Hong Kong University of Science and Technology, Hong Kong.						
22	Email: zyuak@connect.ust.hk						
23	December 2018						

24 Abstract

25 Naturally formed soils (e.g., residual soils and deposit clays) usually show an absent range of particle size. Frequently used by geotechnical communities worldwide, such gap-graded soils 26 27 can be simplified as binary mixtures composed of fine soil matrix and coarse rock aggregates. In this study, an elastoplastic model is proposed for gap-graded soils based on a volume 28 average scheme and homogenization theory. The proposed model incorporates a structural 29 30 variable to account for the evolution of the inter-granular skeleton of rock aggregates. The model is then implemented in a numerical code by the linearized integration technique 31 proposed by Bardet and Choucair (1991). It is shown that the model can predict a wide range 32 33 of variations of the overall shear responses with the increase in volume fraction of rock aggregates. An isotropic loading induces a nonuniform stress distribution in gap-graded soils, 34 where the stress in the soil matrix is lower than that of the rock aggregates. The stress path of 35 the matrix is approximately parallel with that of the rock aggregates during triaxial shear 36 loading. The proposed model contains only one additional structure parameter compared with 37 38 the generalized modified Cam clay model, which can be easily calibrated from the data of a conventional triaxial compression tests. Comparison between our model predictions and the 39 experimental data from literature indicates that the propose model can well reproduce the 40 mechanical responses of gap-graded soils within a wide range fraction of rock aggregates. 41

42

Keywords: Elastoplastic model; Gap-graded soils; Volume average scheme; Homogenization
 theory

2

45 1. Introduction

Natural soils are usually composed of fine-grained soil and rock aggregates with a great range 46 gap of size distribution (Yang and Juo, 2001; Zhao et al., 2007; Ueda et al., 2011; Change et 47 48 al., 2014; Ng et al., 2016; Deng et al., 2017; Cui et al., 2017; Xu and Coop, 2017; Yang et al., 2018). Such gap-graded soils have frequently been treated by mixture theory as binary 49 mixtures consisting of soft soil matrix and stiff rock inclusions (Vallejo, 2000; Peters and 50 Berney, 2010; Zhou et al., 2016; Shi and Yin, 2017). In arid and semi-arid areas, the fine-51 grained soils originate from the disintegration of parent rocks (from surface inwards) due to 52 53 weathering, e.g., wetting-drying cycles or temperature oscillations. Since the disintegration is mainly a physical process, the soil matrix has the same mineral composition as the rock 54 blocks. The soil matrix may also be a resultant of erosion and transportation of sedimentary 55 56 soils from other places, followed by subsequent deposition (Chandler, 2000). However, this may probably happen in wet areas. The binary gap-graded soils are used as geotechnical 57 structures worldwide, such as riprap, dam and high-fill subgrade (Zhao et al., 2007; Vallejo, 58 2000; Chen and Cui, 2017). The rock fraction has a considerable influence on the workability 59 of these geo-structures (Vallejo, 2000; Peters and Berney, 2010; Zhou et al., 2016). 60

61 The mechanical behavior of gap-graded soils was documented by many previous researchers, including laboratory work (Graham et al., 1989; Kumar, 1996; Yin, 1999; 62 63 Vallejo, 2000; Monkul et al., 2005; Monkul and Ozden, 2007; Ueda et al., 2010; Shi and Yin, 2018; Shi et al., 2018) and numerical simulations (Gonz aez et al., 2004; Dai, 2015; Ng et al., 64 2016; Zhou et al., 2016; Shi and Herle, 2017; Wu et al., 2017). Most of these works focus on 65 the qualitative analysis of the test data, with relatively scarce theoretical work on the gap-66 67 graded soils reported. To this end, a model incorporating the coarse fraction effect is proposed here for gap-graded soils, which is further validated by reported experimental data. 68

3

69 2. Structure of gap-graded soils

70 Structure evolution with increasing volume fraction of rock aggregates

For a small volume fraction of rock inclusions, the coarse aggregates may suspend in the 71 72 matrix, and the overall mechanical behavior depends on the soil matrix and the interaction between the matrix and inclusion phases. With increasing volume fraction of the aggregates, 73 contacts between the aggregates gradually form. However, these may only be partial contacts. 74 75 The inter-granular skeleton of aggregates can support a higher stress than the matrix due to the partial contacts and soil bridges between the aggregates (Jafari and Shafiee, 2004; Fei, 76 2016; Shi and Yin, 2017). When the volume fraction of soil matrix approximates the 77 maximum porosity of the inclusions (loosest packing state of coarse aggregates), a continuous 78 inter-granular skeleton forms. The corresponding fraction of the matrix is noted as 'transition 79 fines content' (Monkul and Ozden, 2007). In this study, we only consider the fine content 80 beyond the 'transition fines content', which corresponds to soils in intense weathering areas. 81 An extremely small fine content (e.g., close or equal to zero) may result in macro-pores 82 83 between the rock aggregates, which is beyond the scope that the mixture theory can treat.

84 Volume fraction of rock aggregates

Due to its dual-level configuration, it is challenging to provide an exact description of the structure of a gap-graded soil. To this end, the volume fraction concept is introduced in the subsequent analysis (see, e.g., de Boer and Ehlers, 1986; Didwania and de Boer, 1999). This concept leads to a substitute (smeared) continua with reduced physical quantities of the constituents, which can be easily incorporated into the mixture theory.

A gap-graded soil is simplified as a mixture of matrix and inclusions, with the matrix being the soft soil and the inclusions being the rock aggregates. As mentioned above, the structure transition of gap-graded soils is controlled by the volume fraction of the rock 93 aggregates ϕ_a , provided that the rock aggregates are randomly arranged in the soil matrix. 94 Therefore, the coarse volume fraction ϕ_a can be introduced as a bridge between the overall 95 behavior of the mixture and that of the soft soil matrix. The compressibility of the matrix is 96 much higher than that of the rock inclusions, thus the volume fraction of rock aggregates 97 increases with increasing compression loading. The volume fraction of rock aggregates is 98 hence a state dependent variable. For a given coarse mass fraction, it can be formulated as a 99 function of the overall void ratio e and the void ratio of the soil matrix e_m :

100
$$\phi_a = \frac{e_m - e}{(1 + e)e_m} \tag{1}$$

101 The void ratio of the soil matrix e_m is given as

102
$$e_m = \frac{\psi_a \rho_m + (1 - \psi_a) \rho_a}{(1 - \psi_a) \rho_a} e$$
(2)

103 where ψ_a is the dry mass fraction of rock aggregates; ρ_m and ρ_a are the particle densities of the soil matrix and the rock aggregates, respectively. Two fractions of aggregates are used in 104 this work: the volume fraction ϕ_a and the dry mass fraction ψ_a . ϕ_a is used for homogenizing 105 state variables of binary gap-graded soils in the sequel analysis. The dry mass fraction ψ_a is 106 commonly adopted in laboratory tests, since it is constant during compression and shearing 107 process. In numerical simulations, the volume fraction of aggregates depends on the stress 108 109 state which is computed from the overall void ratio and the dry mass fraction of aggregates (Eqs. (1) and (2)). Note that this is not applicable for a mixture with very high coarse fractions, 110 in which the macro-pores may exist between the rock aggregates. 111

112 Volume average stresses and strains

113 Due to the difference of stiffness between the two phases of a mixture, the interaction at the 114 interface may result in a nonuniform stress (strain) field. As the essential load-carrying 115 members of the mixture, the hard rock aggregates sustain a higher loading than that of the 116 ductile matrix, and the loading increases with the volume fraction of the aggregates (Tandon 117 and Weng, 1988). Correspondingly, with increasing volume fraction of the rock aggregate, 118 the strain experienced by the matrix phase decreases, and the magnitude of stress in the matrix 119 drops.

120 In the following, the focus will be placed on modeling the mechanical behavior in the 121 frame of continuum mechanics rather than describing the microstructure of the mixture media. 122 As suggested by Tandon and Weng (1988), the mean-field theory provides a reasonable 123 approximation for describing the behavior of geomaterials. Using the volume fraction concept, 124 all physical and geometric quantities can be defined in a predefined space (e.g., deformation, 125 motion, and stress invariants). In the sequel, the stress and strain variables are approximated 126 by the statistical average values of the real ones (de Boer, 2006). It is of convenience to use 127 two subscripts, 'a' and 'm', to denote quantities pertaining to the rock aggregates and soil matrix, respectively. Following the volume average scheme, the overall stress tensor σ'_{ij} and 128 overall strain tensor ε_{ij} can be expressed as 129

130
$$\sigma'_{ij} = \frac{1}{V_t} \int_{V_t} \tilde{\sigma}'(\mathbf{x}) dV = \frac{1}{V_t} \int_{V_a} \tilde{\sigma}'(\mathbf{x}) dV + \frac{1}{V_t} \int_{V_m} \tilde{\sigma}'(\mathbf{x}) dV = \phi_a \sigma'_{ij,a} + (1 - \phi_a) \sigma'_{ij,m}$$
(3a)

131
$$\varepsilon_{ij} = \frac{1}{V_t} \int_{V_t} \tilde{\varepsilon}_{ij}(\mathbf{x}) dV = \frac{1}{V_t} \int_{V_a} \tilde{\varepsilon}_{ij}(\mathbf{x}) dV + \frac{1}{V_t} \int_{V_m} \tilde{\varepsilon}_{ij}(\mathbf{x}) dV = \phi_a \varepsilon_{ij,a} + (1 - \phi_a) \varepsilon_{ij,m}$$
(3b)

132 where V_t is the representative elementary volume (REV) of gap-graded soils, $\mathscr{O}_0(\mathbf{x})$ and $\mathscr{E}_{ij}(\mathbf{x})$ 133 are local stress and strain over the defined REV. V_a and V_m are the volumes of the rock 134 aggregates and soil matrix, respectively. $\sigma'_{ij,a}$, $\sigma'_{ij,m}$, $\varepsilon_{ij,a}$ and $\varepsilon_{ij,m}$ are the stress and strain 135 variables of the two constituents. Note that the stiffness of rock aggregates is extremely high, 136 thus, a negligible deformation can be expected within the conventional stress range, i.e., 137 $\varepsilon_{ij,a} \approx 0$.

The constitutive relationship of the gap-graded soils depends on the following factors: (1) the stress-strain relationships for the two phases. The rock aggregates are extremely hard with negligible deformation, and the soil matrix shows a plastic deformation when subjected to an external loading. (2) The homogenization approaches which builds a bridge between the overall compliance (stiffness) and the respective ones of the two phases. These two factors will be addressed in the following two sections.

144

145 **3. Modeling the soil matrix**

Natural soil-rock mixtures usually contain a fraction of soil matrix higher than the '*transition fines content*'. In this case, the overall behavior of the mixtures depends on that of the soil matrix, partial contacts between the coarse aggregates and the interaction at the interface between the matrix and aggregates. In the absence of a continuous inter-granular skeleton, the mechanical behavior of the soil matrix provides a frame of reference for assessing the overall behavior of the gap-graded soil. It is assumed that the soil matrix follows an incremental stress-strain relationship. A numerical scheme based on the tangent homogenization is adopted to compute the overall compliance of the soil matrix (Ju and Sun, 2001).

154 Elastic deformation

Following the convention of classical soil mechanics, compressive stress and strain are taken as positive. An incremental elasto-plastic description is adopted for the ductile soil matrix. The incremental strains of the soil matrix $\varepsilon_{ij,m}$ is decomposed into an elastic part $\varepsilon_{ij,m}^{e}$ and a plastic part $\varepsilon_{ij,m}^{p}$:

159
$$d\varepsilon_{ij,m} = d\varepsilon_{ij,m}^e + d\varepsilon_{ij,m}^p$$
(4)

160 Logarithmic volumetric strain is adopted in this study. It is assumed that the logarithmic value 161 of the specific volume v_m changes linearly with the effective mean stress p'_m of the matrix 162 (Butterfield, 1979) for both virgin compression and swelling curves. Following this 163 assumption, the elastic incremental stress-strain relationship can be expressed as

164
$$d\varepsilon_{ij,m}^{e} = \frac{1}{K_{e,m}} \left[\frac{(1+\mu_{m})}{3(1-2\mu_{m})} \delta_{ik} \delta_{jl} - \frac{\mu_{m}}{3(1-2\mu_{m})} \delta_{ij} \delta_{kl} \right] d\sigma_{kl,m}$$
(5)

165 where $K_{e,m} = \frac{p'_m}{\kappa_m}$ is the elastic modulus, κ_m is the slope of the swelling line of the matrix in 166 double logarithmic $\ln v_m : \ln p'_m$ relationship, μ_m is the Poisson's ratio of the soil matrix, δ_{ij} , 167 δ_{ik} , δ_{jl} and δ_{kl} are Kronecker's symbols.

168 Plastic flow

The fabric of a natural gap-graded soil depends on its history of formation, and the soil may 169 be anisotropic due to a preferred orientation of the rock aggregates during erosion, 170 depositional and post-depositional processes (Zhou et al., 2017). As a preliminary 171 investigation, only the isotropic case is considered in this study. It is widely accepted that the 172 critical state type models (Roscoe and Burland, 1968; McDowell and Hau, 2004; Yao et al., 173 174 2004, 2012; Gao and Zhao, 2012, 2015, 2017; Zhao and Gao, 2016) can well reproduce the stress-strain relationship of reconstituted soils. A generalized form of the Modified Cam clay 175 model proposed by McDowell and Hau (2004) is adopted. The yield surface for the soil 176 177 matrix f_m is given as

178
$$f_m: \quad q_m^2 + \frac{M_m^2}{1 - k_m} \left(\frac{p_m'}{p_c'}\right)^{\frac{2}{k_m}} p_c'^2 - \frac{M_m^2 p_c'^2}{1 - k_m} = 0; \quad (k_m \neq 1)$$
(6)

179 where q_m is the deviatoric stress of the matrix, p'_c represents the size of the yield surface, 180 M_m is a strength parameter corresponding to a unique critical state line in $p'_m : q$ stress plane, 181 and k_m controls the shape of the yield surface. Note that the Critical State Line (CSL) in the 182 compression plane changes with the shape parameter k_m .

In the sequel, the stress-strain relationship of the soil matrix will be presented following the incremental plasticity theory presented by Scott (1985) which has been adopted in plastic fractional order plasticity (Sun and Shen, 2017; Sun *et al.*, 2018). The size of the yield surface p'_c acts as a hardening variable. Consistency condition of the yield surface gives

187
$$\frac{\partial f_m}{\partial \sigma'_{kl}} d\sigma'_{kl} + \frac{\partial f_m}{\partial p'_c} dp'_c = 0$$
(7)

In many critical state models, the evolution of hardening variable p'_c is assumed as a function of the plastic volumetric strain increment $d\varepsilon^p_{v,m}$ (e.g., Yao *et al.*, 2009; Yao and Zhou, 2013; Hong *et al.*, 2014):

191
$$dp'_{c} = \frac{dp'_{c}}{d\varepsilon^{p}_{v,m}} d\varepsilon^{p}_{v,m} = \frac{p'_{c}}{\lambda_{m} - \kappa_{m}} d\varepsilon^{p}_{v,m}$$
(8)

192 where λ_m is the slope of the Normal Compression Line (NCL) in double logarithmic 193 $\ln v_m : \ln p'_m$ plot. Note that a linear relationship between $\ln v_m$ and $\ln p'_m$ is assumed for the 194 virgin compression of the soil matrix here.

195 The plastic (volumetric) strain increment $(d\varepsilon_{v,m}^{p} \text{ or } d\varepsilon_{ij,m}^{p})$ of the soil matrix is related to 196 the maximum gradient of the plastic potential surface g_{m} :

197
$$d\varepsilon_{\nu,m}^{p} = d\zeta_{m} \frac{\partial g_{m}}{\partial p'_{m}}$$
(9a)

198
$$d\varepsilon_{ij,m}^{p} = d\zeta_{m} \frac{\partial g_{m}}{\partial \sigma'_{ij,m}}$$
(9b)

199 where $d\zeta_m$ is a positive plastic multiplier. Substitution of Eqs. (8) and (9a) into Eq. (7) gives

200
$$d\zeta_m = -\frac{1}{K_{p,m}} \left(\frac{\partial g_m}{\partial \sigma'_{ij,m}}\right)^{-1} m_{ij,m} n_{kl,m} d\sigma'_{kl,m}$$
(10)

201 where $K_{p,m}$ is the plastic modulus of the soil matrix, the unit vectors $m_{ij,m}$ and $n_{kl,m}$ represent 202 the normal to the potential surface and yield surface of the matrix, respectively:

203
$$K_{p,m} = \frac{p'_{c}}{\lambda_{m} - \kappa_{m}} \frac{\frac{\partial f_{m}}{\partial p'_{c}} \frac{\partial g_{m}}{\partial p'_{m}}}{\left\|\frac{\partial f_{m}}{\partial \sigma'_{kl,m}}\right\|}; n_{kl,m} = \frac{\frac{\partial f_{m}}{\partial \sigma'_{kl,m}}}{\left\|\frac{\partial f_{m}}{\partial \sigma'_{kl,m}}\right\|}; m_{ij,m} = \frac{\frac{\partial g_{m}}{\partial \sigma'_{ij,m}}}{\left\|\frac{\partial g_{m}}{\partial \sigma'_{ij,m}}\right\|}$$
(11)

204 where $||x_{ij}|| = \sqrt{x_{ij}x_{ij}}$. Substitution of Eqs. (5), (9b) and (10) into Eq. (4) gives

205
$$d\varepsilon_{ij,m} = C_{ijkl,m} d\sigma'_{kl,m}$$
(12a)

206
$$C_{ijkl,m} = \frac{1}{K_{e,m}} \left[\frac{(1+\mu_m)}{3(1-2\mu_m)} \delta_{ik} \delta_{jl} - \frac{\mu_m}{3(1-2\mu_m)} \delta_{ij} \delta_{kl} \right] - \frac{1}{K_{p,m}} m_{ij,m} n_{kl,m}$$
(12b)

207

208 4. A new homogenization approach for gap-graded soils

The majority of early homogenization studies have been developed based on linear elasticity consideration of the constituents (Eshelby, 1961; Hill, 1965; Mori and Tanaka, 1973; Lielens *et al.*, 1998). However, a gap-graded soil is not typical soils that can be described in the classical mixture theory for three-fold reasons: (1) the soil matrix in a gap-graded soil is dominantly plastic, (2) the modulus of the rock aggregates is normally much larger compared with that of the matrix, and (3) the interface between the constituents is not perfect. To this end, a new homogenization model is proposed based on mixture theory for gap-graded soils.

216 Effective compliance tensor

217 The microstructure of natural gap-graded soils can be defined by selecting a suitable REV 218 with randomly distributed rock aggregates. The work by Tu *et al.* (2005) on mixtures with this kind of microstructure reveals that increasing the modulus of aggregates significantly improve the overall modulus for small modulus ratios (the ratio of the modulus of the aggregates to that of the matrix). Further increase of the modulus ratio brings the overall modulus to a limit state (named as 'saturation state' by Tu et al., 2005). Therefore, it is reasonable to relate the overall modulus of a gap-graded soil to the one of the soil matrix and the inter-granular skeleton regardless of the modulus of the rock-aggregates.

225 The overall modulus of a gap-graded soil increases with the volume fraction of rock aggregates, and it should meet the following two requirements: (1) for a gap-graded soil with 226 negligible fraction of aggregates, e.g., $\phi_s \approx 0$, the overall elastic modulus K_e and plastic 227 modulus K_p are assumed to be approximately close to the corresponding ones of the soil 228 matrix, i.e., $K_e \approx K_{e,m}$ and $K_p \approx K_{p,m}$. (2) when the inter-granular void ratio (the ratio of the 229 volume of inter-granular space to that of the aggregates) approaches the minimum void ratio 230 of the rock aggregates, additional external load is mainly sustained by the inter-granular 231 structure. Hence, the overall modulus should be much larger than that of the soil matrix. 232

The homogenization model proposed by Shi and Yin (2017) for the compression behaviorof sand-marine clay mixtures is modified for the gap-graded soils:

235
$$\ln K_e = \chi \ln K_{e,m} - \ln(1 - \phi_a)$$
 (13a)

236
$$\ln K_p = \chi \ln K_{p,m} - \ln(1 - \phi_a)$$
 (13b)

237 where χ is a structure variable representing the inter-granular structure evolution given by

238
$$\chi = \left(\frac{\partial_a^{\delta_0}}{\partial_a^{\delta_0} - \phi_a}\right)^{\xi}$$
(14)

where ξ is a structure parameter controlling the sensitivity of the structure variable on the volume fraction of rock aggregate, ∂_a^{6} is the maximum volume fraction of aggregates for pure coarse inclusions, and it corresponds to the minimum void ratio of the rock aggregates

242
$$e_{\min}$$
:

243
$$\phi_a^{\varphi_a} = \frac{1}{1 + e_{\min}}$$
(15)

Analogous to the stress-strain relationship of the soil matrix, the overall one can be expressed as

246
$$d\varepsilon_{ij} = d\varepsilon_{ij}^{e} + d\varepsilon_{ij}^{p} = C_{ijkl} d\sigma'_{kl}$$
(16a)

247
$$C_{ijkl} = \frac{1}{K_e} \left[\frac{(1+\mu_m)}{3(1-2\mu_m)} \delta_{ik} \delta_{jl} - \frac{\mu_m}{3(1-2\mu_m)} \delta_{ij} \delta_{kl} \right] - \frac{1}{K_p} m_{ij} n_{kl}$$
(16b)

where m_{ij} and n_{kl} are unit vectors representing the normal to the potential surface and yield surface of the gap-graded soils, respectively:

250
$$n_{kl} = \frac{\frac{\partial f}{\partial \sigma'_{kl}}}{\left\|\frac{\partial f}{\partial \sigma'_{kl}}\right\|}; \ m_{ij} = \frac{\frac{\partial g}{\partial \sigma'_{ij}}}{\left\|\frac{\partial g}{\partial \sigma'_{ij}}\right\|}$$
(17)

251 Stress concentration tensor

The overall compliance tensor of the gap-graded soil can be expressed as a function of the soil matrix using an incremental stress (or strain) concentration tensor. By applying the volume average scheme, the stress concentration tensor is defined as

255
$$d\sigma'_{ij,m} = \Theta_{ijkl} d\sigma'_{kl}$$
(18)

256 Considering that the deformation of the rock aggregates is negligible, the overall 257 incremental strain of the gap-graded soils is given as

258
$$d\varepsilon_{ij} = (1 - \phi_a) d\varepsilon_{ij,m}$$
(19)

Combination of Eqs. (12a), (16a), (18) and (19) leads to the following stress concentrationtensor

$$\Theta_{ijkl} = \frac{1}{1 - \phi_a} C_{pqij,m}^{-1} C_{pqkl}$$
⁽²⁰⁾

262 Simplification of the full constitutive model

261

To reproduce the stress-strain curves of the gap-graded soils, one must identify the yield surface f and the plastic potential surface g in order to determine the yield direction vector n_{kl} and the plastic flow direction vector m_{ij} . In the sequel, the unit flow and loading vectors of the gap-graded soil are derived by assuming an associated flow rule.

Based on a similar form of the incremental total strain (Eq. (19)), the overall incremental plastic strain of the gap-graded soils is related to that of the soil matrix:

269
$$d\varepsilon_{ii}^{p} = (1 - \phi_{a})d\varepsilon_{ii,m}^{p}$$
(21)

270 The overall plastic strain increment of the gap-graded soils is proportional to the 271 maximum gradient of the corresponding plastic potential surface g:

272
$$d\varepsilon_{ij}^{p} = d\zeta \frac{\partial g}{\partial \sigma_{ij}'}$$
(22)

273 Substitution of Eqs. (9b) and (22) into (21) yields the following equation:

274
$$\frac{\partial g}{\partial \sigma'_{ij}} = \frac{\mathrm{d}\zeta_m}{(1-\phi_a)\mathrm{d}\zeta} \frac{\partial g_m}{\partial \sigma'_{ij,m}}$$
(23)

275 where $d\zeta_m$, $d\zeta$, and ϕ_a are scalars. In consideration of the definitions of yield direction and 276 flow direction vectors in Eqs. (11) and (17), it follows that

$$m_{ij} = m_{ij,m} \tag{24}$$

An associated flow rule is assumed for the gap-graded soils, i.e., the yield surface f is the 279 same as the plastic potential surface g, so that

 $n_{ij} = m_{ij} \tag{25}$

281 The compliance tensor of the gap-graded soils is represented by the following equation:

282
$$C_{ijkl} = \frac{1}{3K_e(1-2\mu_m)} \Big[(1+\mu_m)\delta_{ik}\delta_{jl} - \mu_m\delta_{ij}\delta_{kl} \Big] - \frac{1}{K_p} m_{ij,m} m_{kl,m}$$
(26)

283

284 5. Model parameter calibration and numerical simulations

285 Calibration of model parameters

The proposed elastoplastic model in the Section 4 contains seven parameters: M_m , N_m , λ_m , 286 κ_m , μ_m , k_m , ξ . Six of them are for the constitutive model of the soil matrix, denoted by a 287 subscript 'm'. M_m is a strength parameter of the soil matrix, which can be calibrated from the 288 critical state data in $p'_m: q_m$ stress plane; N_m and λ_m describe the Normal Compression Line 289 of the soil matrix in double logarithmic $\ln v_m : \ln p'_m$ plot; κ_m corresponds to the slope of the 290 swelling line of the clay matrix in $\ln v_m : \ln p'_m$ compression plane; μ_m is Poisson's ratio of the 291 soil matrix, which can be determined from the initial stiffness in triaxial compression test; k_m 292 293 is a shape parameter controlling the shape of the yield surface, which can be calibrated from the critical state line in $\ln v_m : \ln p'_m$ compression plane; ξ is a structure parameter describing 294 295 the evolution of inter-granular skeleton with increasing volume fraction of rock aggregates.

A minimum of three conventional tests are required for the calibration of the seven model parameters: an oedometer test or isotropic compression test on the pure soil matrix, and triaxial shear tests on both the pure soil matrix and a gap-graded soil with a predefined mass fraction of the rock aggregates. N_m , λ_m and κ_m can be determined from the loadingreloading curves of an oedometer (isotropic compression test) of the soil matrix. M_m , μ_m and k_m can be calibrated from a triaxial shear test on the pure soil matrix. The structure parameter ξ is calibrated by trial and error using the data of a triaxial shear test on a gap-

303 graded soil.

304 Stress integration of the constitutive model

305 The model presented above is a rate-type stress-strain relationship, which can be solved by 306 the linearized integration technique proposed by Bardet and Choucair (1991). The explicit 307 integration scheme is utilized in this work to describe the material point response of various 308 rock-soil mixtures from literature. It can be readily implemented into finite element codes for boundary value problems, which reduces the difficulties arising from the high non-linearity of 309 310 the mechanical behavior of mixture soils. The overall loading constraints for the gap-graded 311 soils in laboratory testing conditions can be linearized into the following equation (Bardet and Choucair, 1991): 312

313
$$P_{ijk} d\sigma_{jk} + Q_{ijk} d\varepsilon_{jk} = dY_i$$
(27)

314 where P_{ijk} and Q_{ijk} are constant coefficients, dY_i is a loading increment during a loading 315 process. In consideration of the overall stress-strain relationship (Eq. 16), Eq. (27) becomes

316 $(P_{ijk} + Q_{ipq}C_{pqjk}) \mathrm{d}\sigma'_{jk} = \mathrm{d}Y_i$ (28)

317 It is more convenient to use the stress increment of the soil matrix $d\sigma'_{jk,m}$ as the principal 318 invariants for a boundary value problem. Substitution of Eq. (18) into Eq. (28) gives

319
$$(P_{ist} + Q_{ipq}C_{pqst})\Theta_{stjk}^{-1}\mathrm{d}\sigma'_{jk,m} = \mathrm{d}Y_i$$
(29)

320 The numerical stress integration procedure of the proposed model is outlined as follows:

321 (1) Suppose an initial isotropic overall stress state $\sigma'_{jk(j\neq k)}=0$ kPa, $\sigma'_{jk(j=k)}=10$ kPa, with a 322 uniform stress distribution $\sigma'_{kl,m} = \sigma'_{kl,a} = \sigma'_{kl}$. Under this assumption, there may be a small 323 deviation at the initial stage of loading, which is negligible when the stress level is 324 significantly higher than 10 kPa.

325 (2) Determine the stress and strain constraint tensors P_{ijk} and Q_{ijk} based on the loading

326 conditions in laboratory testing. The test can be either stress controlled or strain controlled,327 or (stress-strain) mixed controlled.

328 (3) For a given overall effective stress (or strain) increment at the current computation step 329 dY_i , the stress increment of the soil matrix $d\sigma'_{jk,m}$ is calculated.

330 (4) If the assumed stress state of the soil matrix $\sigma'_{jk,m} + d\sigma'_{jk,m}$ is still within the yield surface

331 (Eq. (6): $f_m(\sigma'_{jk,m}+d\sigma'_{jk,m}) \le 0$), the stresses and strains are updated as

332
$$\sigma'_{jk,m} \leftarrow \sigma'_{jk,m} + \mathrm{d}\sigma'_{jk,m}; \ \varepsilon_{jk,m} \leftarrow \varepsilon_{jk,m} + C_{jkrt,m} \mathrm{d}\sigma'_{rt,m}$$
(30a)

333
$$\sigma'_{pq} \leftarrow \sigma'_{pq} + \Theta^{-1}_{pqjk} d\sigma'_{jk,m}; \ \varepsilon_{pq} \leftarrow \varepsilon_{pq} + (1 - \phi_s) C_{pqrt,m} d\sigma'_{rt,m}$$
(30b)

(5) If the assumed stress state is beyond the yield surface, the stress increment is reduced so that the new stress increment $\beta d\sigma'_{jk,m}$ pushes the stress state onto the yield surface, i.e., $f_m(\sigma'_{jk,m} + \beta d\sigma'_{jk,m}) = 0$. So that the current stresses and strains are

337
$$\sigma'_{jk,m} \leftarrow \sigma'_{jk,m} + \beta d\sigma'_{jk,m}; \ \varepsilon_{jk,m} \leftarrow \varepsilon_{jk,m} + \beta C_{jkrt,m} d\sigma'_{rt,m}$$
(31a)

338
$$\sigma'_{pq} \leftarrow \sigma'_{pq} + \beta \Theta^{-1}_{pqjk} \mathrm{d}\sigma'_{jk,m}; \ \varepsilon_{pq} \leftarrow \varepsilon_{pq} + (1 - \phi_s) \beta C_{pqrt,m} \mathrm{d}\sigma'_{rt,m}$$
(31b)

339 (6) Update the following state variables: the compliance tensor of the soil matrix $C_{jkrt,m}$, the 340 structure variable χ , the overall compliance tensor C_{jkrt} , the stress concentration tensor 341 Θ_{pqjk}^{-1} .

342 (7) Reset the loading increment $dY_i \leftarrow (1-\beta)dY_i$, and compute the stress increment $\sigma'_{jk,m}$ due 343 to the plastic deformation (based on Eq. (29)).

344 (8) The stress and strain tensor are computed by using Eq. (30), and the size of the yield 345 surface of the soil matrix p'_c is updated. Repeat steps (3)-(8) to proceed with the next 346 round of computation until the loading is completed.

347 Simulations of the proposed model

Following the numerical integration procedure presented above, simulations of drained 348 349 triaxial tests of gap-graded soils using the proposed model is performed in this section. The calibrated model parameters for the proposed model are given in Table 1. The shape 350 parameter $k_m = 2$ is assigned for the yield surface of the soil matrix, which is reduced to an 351 ellipse adopted in the Modified Cam clay model. The maximum volume fraction of the pure 352 353 rock aggregates is assumed to be 0.65, and the two phases (soil matrix and rock aggregates) have the same value of particle density: 2650 kg/m³. An initial (isotropic) effective stress of 354 355 10 kPa is assumed, and the initial state of the soil matrix is assumed on the Normal Compression Line. The sample is then isotropically compressed to 200 kPa, followed by a 356 shear process. 357

358 The simulation results of the drained triaxial test of gap-graded soils are shown in Fig.1. A small value of the structure parameter is assumed ξ =0.05 first, and four different rock mass 359 fractions are considered (0.00, 0.10,0.20,0.40). It is not surprising that the sample with a 360 higher rock fraction shows a smaller deformation, and an increase of the rock fraction 361 improves the overall stiffness of the gap-graded soils remarkably. However, the effect of rock 362 fraction on the overall shear strength is negligible. Note that the kinks in Fig. 1d is induced by 363 364 the change of stress path (from isotropic compression to triaxial shear). To provide an insight into this phenomenon, the stress-strain curves of the soil matrix is shown in Fig. 2. It is seen 365 that an isotropic loading leads to a non-uniform stress distribution in the gap-graded soils. The 366 final stress (at the end of the isotropic loading process) in the soil matrix is smaller than the 367 corresponding overall value. The stress paths of the soil matrix and the rock aggregates are 368 almost parallel during the subsequent triaxial shear loading stage. The overall shear strength 369 370 of normally consolidated mixtures is related to the critical stress state of the soil matrix and rock aggregates. A lower stress in the matrix in conjunction with a higher stress in the 371

372 aggregates results in a comparable shear strength to that of the pure soil matrix.

373 The overall behavior of gap-graded soils with a high value of structure parameter (ξ =0.35) is presented in Fig. 3. It reveals a different coarse fraction effect from the one with a lower 374 value of structure parameter (ξ =0.05, see Fig. 1). Both the initial stiffness and ultimate shear 375 strength increase continuously with increasing rock fraction. This is consistent with the results 376 of some gap-graded soils from literature (Jafari and Shafiee, 2004; Fei, 2016; Ruggeri et al., 377 378 2016). To further evaluate the performance of the proposed model, more simulations with 379 different values of structure parameter are performed (where the dry mass fraction is assumed 380 to be 0.40). The results are presented in Fig. 4. It indicates that overall shear strength 381 increases as the structure parameter increases. The structure parameter is controlled by the 382 particle shape and the particle size distribution of the coarse aggregates.

383

384 6. Validation of the proposed model

The shear strength of a gap-graded soil is affected by the volume fraction, the particle shape 385 and particle size distribution of the rock aggregates (Jafari and Shafiee, 2004; Fei, 2016; 386 Ruggeri et al., 2016). For some gap-graded soils the shear strength is insensitive to the 387 388 volume fraction of rock aggregates until the rock particles form a continuous skeleton (Wood and Kumar, 2000). However, the shear strength of other gap-graded soils may increase 389 390 continuously with increasing rock fraction (Jafari and Shafiee, 2004; Fei, 2016; Ruggeri et al., 391 2016). Benchmark analysis in the previous section reveals that the proposed model can simulate the shear strength behavior of the above two cases by assigning different values for 392 the structure parameter. Three gap-graded soils from literature are used to validate the 393 394 proposed model: (1) the natural gap-graded soils presented by Ruggeri et al. (2016); (2) the Kaolin clay-gravel mixtures from Jafari and Shafiee (2004); (3) the Kaolin clay-sand mixtures 395 (data from Wood and Kumar, 2000). 396

397 Natural gap-graded soils (Ruggeri et al., 2016)

The poorly graded soil investigated by Ruggeri et al. (2016) consists of coarse grain particles 398 399 and fine grey soil matrix, with a composition of 8% clay, 27% silts, 37% sand and 28% gravel. The shape of its PSD (particle size distribution) curve shows an absence of fine sand fraction. 400 The pure soil matrix consists of 22% clay and 78% silts, and it has a liquid limit of 30% and a 401 plastic limit of 18%. Three different mixtures were tested based on the PSD of the coarse 402 403 aggregates: (1) HTP: the first series contains aggregates smaller than 16 mm, (2) HTP10: the grain size of the second series is smaller than 2.0 mm, and (3) HTP40: the third one has a 404 grain size finer than 0.425 mm. The mixtures were prepared by mixing the soil matrix with 405 406 the coarse aggregates in dry conditions. The reconstituted sample was first consolidated in a consolidometer at a vertical stress of 200 kPa to hold the sample together, then it was further 407 consolidated at 400 kPa followed by triaxial shear under drained conditions. Four different 408 cases of mass fractions of the coarse aggregates (10%, 20%, 30%, and 40%) are compared 409 410 with the proposed model predictions.

411 Kaolin clay-gravel mixtures (Jafari and Shafiee, 2004)

Jafari and Shafiee (2004) have performed a series of triaxial tests on clay-gravel mixtures. 412 413 The soil matrix is a commercial Kaolin clay. The particle density of the Kaolin material is 2740 kg/m³. The liquid limit and plastic limit are 69% and 31%, respectively. The gravel was 414 retrieved from a riverbed. It consists of sub-rounded aggregates with a particle density of 415 2660 kg/m^3 . The size of gravel particles varies within a narrow range of 4.75 mm to 6.30 mm, 416 with an average size of 5.55mm. The minimum void ratio of the gravel material was not given 417 by the authors, and it was assumed as 0.41 following the summary of granulometric properties 418 of granular materials by Herle and Gudehus (1999). Three initial volume fractions of the 419 gravel aggregates were considered: 20%, 40%, and 60%. The gravel was first mixed with dry 420

421 Kaolin clay according to designated gravel fractions. The specimens were then compacted 422 layer by layer (ASTM1999: standard compaction test). Finally, the specimens were saturated, 423 consolidated and compressed under undrained strain-controlled conditions. Since the Normal 424 Compression Line of the pure Kaolin matrix was not provided by the authors, an alternative 425 one done by Atkinson *et al.* (1987) was used for calibrating parameters, since it has 426 approximately the same Atterberg limits as the commercial Kaolin clay used by Jafari and 427 Shafiee (2004).

428 Kaolin clay-sand mixtures (Wood and Kumar, 2000)

429 The mixture tested by Wood and Kumar (2000) consists of Kaolin matrix and coarse uniform sand inclusions. The Kaolin clay has a liquid limit and plastic limit of 80% and 39%, 430 respectively. Most of the soil particles (95%) of soil matrix are finer than 0.002 mm. The size 431 432 of sand particles is more or less uniform around 2.0 mm, and the particle shape is sub-angular to sub-rounded. The maximum and minimum porosity of the coarse sand is 0.50 and 0.37, 433 respectively. The particle densities are 2620 and 2650 kg/m³ for kaolin and sand, respectively. 434 First, water was added to the dry Kaolin powder to reach a desired water content of 120%. 435 The slurry was mixed homogeneously, and then coarse sand particles were added. Finally, the 436 437 sample was pre-consolidated in a consolidometer, followed by a further pre-consolidation (400 kPa), (reloading) and shearing in a triaxial cell. Three different consolidation ratios of 438 439 the mixture were considered: OCR = 1.0, 1.3, and 4.0.

440 Model predictions on the three gap-graded mixtures

441 It is assumed that the mixtures have a uniform initial stress of 10 kPa, followed by an 442 isotropic compression and a further triaxial shearing process. The model parameters for the 443 gap-graded soils are given in Table 2, which were determined from the procedure summarized 444 in Section 5. Note that the values of the structure parameter ξ for natural gap-graded soils are 445 0.57/0.60/0.63 for HTP/HTP10/HTP40, respectively. The predictions based on our model are
446 compared against tests data for all three gap-graded mixtures are shown in Figs. 5-16.

447 Figs. 5-10 present a comparison of our model predictions with the experimental observations made by Ruggeri et al. (2016) on natural gap-graded soils. It is seen that the 448 449 proposed model can well reproduce the effect of coarse fraction on the mechanical responses 450 and volumetric deformation behavior of the tested natural gap-graded soils. The experimental 451 results of the kaolin-clay and kaolin-gravel mixtures obtained by Jafari and Shafiee (2004) 452 and the numerical simulations using the proposed model are presented in Figs. 11-12. The 453 shear strength is moderately underestimated by the proposed model at the confining stress of 454 100 kPa, which may be attributed by the overconsolidation due to the compaction during 455 sample preparation. The simulations are consistent with the experimental data for volume fractions of 20% and 40%, However, a difference arises between the experimental data and 456 457 the simulation curves for a high fraction of aggregates (60%). This may be due to the following two reasons: (1) large pores may exist in the soil matrix or the interface between the 458 two phases; (2) an associated flow rule is assumed for the gap-graded soils, which may be not 459 applicable in case where the inter-granular skeleton of aggregates controls the deformation 460 461 process.

462 Different values of shape parameter are calibrated from the data of drained triaxial tests $(k_m=1.6)$ and from the undrained triaxial tests $(k_m=2.0)$ (Wood and Kumar, 2000). If $k_m=2.0$ is 463 adopted for all numerical simulations, comparison of predictions with the experimental data 464 465 of kaolin clay-sand mixtures (Wood and Kumar, 2000) are shown in Figs. 13-16. Noticeably, the proposed model cannot well capture the overall shear stress and overall volumetric 466 467 deformation in drained triaxial tests. This may be due to the fact that the shape parameter is calibrated from the undrained tests. For a better fitting of the experimental data of the pure 468 Kaolin clay, k_m =1.6 is adopted for a further comparison for the drained case (solid lines Figs. 469

470 13 and 14). Evidently, it is seen that the difference of overall shear stress between the 471 simulations and experimental data significantly decreases in the drain case, whereas the 472 overall volumetric strain remains underestimated. This can be interpreted by the deficiency of 473 the generalized modified Cam clay model which underestimates the volumetric strain of the 474 pure kaolin matrix in drained triaxial tests.

475

476 7. Conclusions

477 An elastoplastic constitutive model has been proposed for gap-graded soils based on mixture 478 theory and a volume-average homogenization scheme. Validation of the model against 479 experimental data has been presented. A summary of the features of present model and 480 conclusions are presented below:

(1) The effect of inter-granular skeleton is considered by incorporating a structure parameter which evolves with the volume fraction of the rock aggregates. A small value of the structure parameter yields a negligible increase of the overall shear strength. However, a higher value of structure parameter can simulate a continuous increase of overall shear strength with increasing rock fraction.

486 (2) Simulation of the proposed model provides insights into the mechanisms governing the 487 evolution of inter-granular skeleton. An isotropic loading may induce a nonuniform stress 488 distribution in gap-graded soils, where the stress in the soil matrix is lower than that of the 489 rock aggregates. The stress paths of the phases are almost parallel during subsequent triaxial 490 compression loading.

491 (3) Compared with the generalized Modified Cam clay model, the proposed model has only
492 one additional structure parameter, which can be estimated by trial and error using the data of
493 a triaxial compression test on gap-graded soils with a prescribed fraction of rock aggregates.
494 The other model parameters can be calibrated from an oedometer (or isotropic compression)

495 test and a triaxial test on the pure soil matrix.

Test data of three different gap-graded soils from the literature are compared with the predictions of the proposed model, revealing that the proposed model can well reproduce the stress strain relationship of gap-graded soils. However, it is noteworthy that the proposed model has been targeted for binary gap-graded soils, based on the following hypotheses: The inter-granular space is fully filled with fine soil matrix. In intense weathering areas, fine content is usually beyond the minimum porosity of the pure aggregates, and no macro-pores prevail between the large aggregates (Kavvadas et al. 1996; Vallejo and Mawby, 2000; Zhou et al., 2017). Therefore, it can be simplified as binary mixtures and be treated using mixture theory. However, The macro-pores would arise in case that the volume fraction of matrix is less than the minimum porosity of pure aggregates, and the decreasing of fine fraction leave increasing macro-pores between the coarse aggregates. This kind of soils cannot be properly modelled within the mixture theory, and further efforts need to be devoted to address this issue.

528 Acknowledgments

This study was partially supported by the National Natural Science Foundation of China (under Grant No. 51679207) and the Research Grants Council of Hong Kong (under RGC/GRF Grant No. 16210017, TBRS Grant No. T22-603/15N and CRF Grant No. C6012-15G). The first author appreciates the funding support from VPRG Office of HKUST for his Research Assistant Professor (RAP) position. The work in this paper is also supported by a National State Key Project "973" grant (Grant No.: 2014CB047000) (sub-project No. 2014CB047001) from Ministry of Science and Technology of the People's Republic of China and a CRF project (Grant No.: PolyU12/CRF/13E) from Research Grants Council (RGC) of Hong Kong Special Administrative Region Government (HKSARG) of China.

545 References

- 546 Atkinson, J. H., Richardson, D., & Robinson, P. J. (1987). Compression and extension of K_0
- 547 normally consolidated kaolin clay. *Journal of Geotechnical Engineering*, 113(12), 1468548 1482.
- 549 Bardet, J. P., & Choucair, W. (1991). A linearized integration technique for incremental
 550 constitutive equations. *International Journal for Numerical and Analytical Methods in*551 *Geomechanics*, 15(1), 1-19.
- 552 Butterfield, R. (1979). A natural compression law for soils (an advance on *e*-log*p*').
 553 *G éotechnique*, 29(4).
- 554 Chandler, R. J. (2000). The Third Glossop Lecture: Clay sediments in depositional basins: the
- geotechnical cycle. *Quarterly Journal of Engineering Geology and Hydrogeology*, 33(1),
 7-39.
- 557 Chang, W. J., Chang, C. W., & Zeng, J. K. (2014). Liquefaction characteristics of gap-graded
 558 gravelly soils in K₀ condition. *Soil Dynamics and Earthquake Engineering*, 56, 74-85.
- 559 Chen, X. Z., Cui, Y. F. (2017). The formation of the Wulipo landslide and the resulting debris
- flow in Dujiangyan City, China. *Journal of Mountain Science*. 14(6), 1100-1112.
- 561 Cui, Y. F., Zhou, X. J., & Guo, C. X. (2017). Experimental study on the moving 562 characteristics of fine grains in wide grading unconsolidated soil under heavy rainfall.
- 563 Journal of Mountain Science, 14(3), 417-431.
- 564 Dai, B., Yang, J., & Luo, X. (2015). A numerical analysis of the shear behavior of granular
 565 soil with fines. *Particuology*, 21, 160-172.
- 566 De Boer, R. (2006). Trends in continuum mechanics of porous media (Vol. 18). Springer
 567 Science & Business Media.
- 568 De Boer, R., & Ehlers, W. (1986). On the problem of fluid and gas-filled elasto-plastic solids.
- 569 *International journal of solids and structures*, 22(11), 1231-1242.

- 570 Deng, Y., Wu, Z., Cui, Y., Liu, S., & Wang, Q. (2017). Sand fraction effect on hydro-571 mechanical behavior of sand-clay mixture. *Applied Clay Science*, 135, 355-361.
- 572 Didwania, A. K., & De Boer, R. (1999). Saturated compressible and incompressible porous
- solids: macro-and micromechanical approaches. *Transport in porous media*, 34(1-3), 101115.
- 575 Eshelby, J. D. (1961). Elastic inclusions and inhomogeneities. *Progress in solid mechanics*,
 576 2(1), 89-140.
- 577 Fei, K. (2016). Experimental study of the mechanical behavior of clay-aggregate mixtures.
 578 *Engineering Geology*, 210, 1-9.
- 579 Gao, Z.W. & Zhao, J.D. (2012). Constitutive modeling of artificially cemented sand by 580 considering fabric anisotropy. *Computers and Geotechnics*, 41, 57-69.
- 581 Gao, Z.W. & Zhao, J.D. (2015). Constitutive modeling of anisotropic sand behavior in
 582 monotonic and cyclic loading. *Journal of Engineering Mechanics*, 141(8), Article number
 583 04015017.
- Gao, Z.W. & Zhao, J.D. (2017). A non-coaxial critical-state model for sand accounting for
 fabric anisotropy and fabric evolution. *International Journal of Solids and Structures*, 106107: 200-212.
- Gonz ález, C., Segurado, J., & LLorca, J. (2004). Numerical simulation of elasto-plastic
 deformation of composites: evolution of stress microfields and implications for
 homogenization models. *Journal of the Mechanics and Physics of Solids*, 52(7), 1573-1593.
 Graham, J., Saadat, F., Gray, M. N., Dixon, D. A., & Zhang, Q. Y. (1989). Strength and
 volume change behaviour of a sand-bentonite mixture. Canadian Geotechnical Journal,
 26(2), 292-305.
- Herle, I., & Gudehus, G. (1999). Determination of parameters of a hypoplastic constitutive
 model from properties of grain assemblies. *Mechanics of Cohesive-frictional Materials*,

- 595 4(5), 461-486.
- 596 Hill, R. (1965). A self-consistent mechanics of composite materials. *Journal of the Mechanics*597 *and Physics of Solids*, 13(4), 213-222.
- 598 Hong, P. Y., Pereira, J. M., Cui, Y. J., Tang, A. M., Collin, F., & Li, X. L. (2014). An
- 599 elastoplastic model with combined isotropic-kinematic hardening to predict the cyclic
- 600 behavior of stiff clays. *Computers and Geotechnics*, 62, 193-202.
- 601 Jafari, M. K., & Shafiee, A. (2004). Mechanical behavior of compacted composite clays.
 602 *Canadian Geotechnical Journal*, 41(6), 1152-1167.
- 603 Ju, J. W., & Sun, L. Z. (2001). Effective elastoplastic behavior of metal matrix composites
- 604 containing randomly located aligned spheroidal inhomogeneities. Part I: micromechanics-
- based formulation. *International Journal of Solids and Structures*, 38(2), 183-201.
- 606 Kavvadas, M., Hewison, L. R., Laskaratos, P. G., Seferoglou, C., & Michalis, I. (1996, April).
- 607 Experiences from the construction of the Athens Metro. In Proc. Int. Symp. Geotechical
- 608 Aspects of Underground Construction in Soft Ground. (Edited by Mair RJ and Taylor RN)
 609 (pp. 277-282).
- 610 Kumar, G. V. (1996). Some aspects of the mechanical behavior of mixtures of kaolin and 611 coarse sand (*Doctoral dissertation, University of Glasgow*).
- 612 Lielens, G., Pirotte, P., Couniot, A., Dupret, F., & Keunings, R. (1998). Prediction of thermo-
- 613 mechanical properties for compression moulded composites. Composites Part A: Applied
- 614 *Science and Manufacturing*, 29(1-2), 63-70.
- McDowell, G. R., & Hau, K. W. (2004). A generalised Modified Cam clay model for clay and
 sand incorporating kinematic hardening and bounding surface plasticity. *Granular Matter*,
 6(1), 11-16.
- 618 Monkul, M. M., & Ozden, G. (2005). Effect of intergranular void ratio on one-dimensional
- 619 compression behavior. In Proceedings of International Conference on Problematic Soils,

- 620 International Society of Soil Mechanics and Geotechnical Engineering, Famagusta, Turkish
- 621 Republic of Northern Cyprus (Vol. 3, pp. 1203-1209).
- Monkul, M. M., & Ozden, G. (2007). Compressional behavior of clayey sand and transition
 fines content. *Engineering Geology*, 89(3), 195-205.
- 624 Mori, T., & Tanaka, K. (1973). Average stress in matrix and average elastic energy of 625 materials with misfitting inclusions. *Acta metallurgica*, 21(5), 571-574.
- 626 Muir Wood, D., & Kumar, G. V. (2000). Experimental observations of behaviour of 627 heterogeneous soils. *Mechanics of Cohesive -frictional Materials*, 5(5), 373-398.
- 628 Ng, T. T., Zhou, W., & Chang, X. L. (2016). Effect of particle shape and fine content on the
- 629 behavior of binary mixture. *Journal of Engineering Mechanics*, 143(1), C4016008.
- 630 Peters, J. F., & Berney IV, E. S. (2010). Percolation threshold of sand-clay binary mixtures.
- *Journal of Geotechnical and Geoenvironmental Engineering*, 136(2), 310-318.
- 632 Roscoe, K. H., and Burland, J. B. (1968). On the generalized stress-strain behavior of wet
- clay. *Engineering plasticity*, J. Heyman and F. A. Leckie, eds., Cambridge University Press,
 Cambridge, UK, 535-609.
- 635 Ruggeri, P., Segato, D., Fruzzetti, V. M. E., & Scarpelli, G. (2016). Evaluating the shear
- 636 strength of a natural heterogeneous soil using reconstituted mixtures. *G éotechnique*, 66(11),
 637 941-946.
- 638 Scott, R. F. (1985). Plasticity and constitutive relations in soil mechanics (the nineteenth
 639 terzaghi lecture). *Journal of Geotechnical Engineering*, 111(5), 559-605.
- 640 Shi, X. S., & Herle, I. (2017). Numerical simulation of lumpy soils using a hypoplastic model.
- 641 *Acta Geotechnica*, 12(2), 349-363.
- Shi, X. S., Herle, I., & Muir Wood, D. (2018). A consolidation model for lumpy composite
 soils in open-pit mining. *G éotechnique*, 68(3), 189-204.
- 644 Shi, X. S., & Yin, J. (2017). Experimental and theoretical investigation on the compression

- behavior of sand-marine clay mixtures within homogenization framework. *Computers and Geotechnics*, 90, 14-26.
- Shi, X. S., & Yin, J. (2018). Consolidation behavior for saturated sand-marine clay mixtures
 considering the intergranular structure evolution. *Journal of Engineering Mechanics*,
 144(2), 04017166.
- 650 Sun, Y., & Shen, Y. (2017). Constitutive model of granular soils using fractional-order 651 plastic-flow rule. *International Journal of Geomechanics*, 17(8), 04017025.
- 652 Sun, Y., Gao, Y., & Zhu, Q. (2018). Fractional order plasticity modelling of state-dependent
- behaviour of granular soils without using plastic potential. *International Journal of Plasticity*, 102, 53-69.
- Tandon, G. P., & Weng, G. J. (1988). A theory of particle-reinforced plasticity. *Journal of Applied Mechanics*, 55(1), 126-135.
- 657 Tu, S. T., Cai, W. Z., Yin, Y., & Ling, X. (2005). Numerical simulation of saturation behavior
- of physical properties in composites with randomly distributed second-phase. *Journal of composite materials*, 39(7), 617-631.
- 660 Ueda, T., Matsushima, T., & Yamada, Y. (2011). Effect of particle size ratio and volume
 661 fraction on shear strength of binary granular mixture. *Granular Matter*, 13(6), 731-742.
- Vallejo, L. E., & Mawby, R. (2000). Porosity influence on the shear strength of granular
 material-clay mixtures. *Engineering Geology*, 58(2), 125-136.
- 664 Wu, K., Rémond, S., Abriak, N., Pizette, P., Becquart, F., & Liu, S. (2017). Study of the shear
- behavior of binary granular materials by DEM simulations and experimental triaxial tests.
- 666 *Advanced Powder Technology*, 28(9), 2198-2210.
- Ku, L., & Coop, M. R. (2017). The mechanics of a saturated silty loess with a transitional
 mode. *G \u00e9technique*, 67(7), 581-596.
- 669 Yang, J., Liu, X., Guo, Y., & Liang, L. B. (2018). A unified framework for evaluating in situ

- 670 state of sand with varying fines content. *G éotechnique*, 68(2),177-183.
- Yang, Z. Y., & Juo, J. L. (2001). Interpretation of sieve analysis data using the box-counting
 method for gravelly cobbles. *Canadian geotechnical journal*, 38(6), 1201-1212.
- Yao, Y. P., Hou, W., & Zhou, A. N. (2009). UH model: three-dimensional unified hardening
 model for overconsolidated clays. *G éotechnique*, 59(5), 451-469.
- 675 Yao, Y. P., Sun, D. A., & Luo, T. (2004). A critical state model for sands dependent on stress
- and density. *International Journal for Numerical and Analytical Methods in Geomechanics*,
 28(4), 323-337.
- 678 Yao, Y.P., Gao, Z.W., Zhao, J.D., Wan, Z. (2012). Modified UH model: Constitutive
- 679 Modeling of Overconsolidated Clays based on a Parabolic Hvorslev Envelope. Journal of
- 680 *Geotechnical and Geoenvironmental Engineering*, 138(7): 860-868
- Yao, Y. P., & Zhou, A. N. (2013). Non-isothermal unified hardening model: a thermo-elastoplastic model for clays. *G éotechnique*, 63(15), 1328.
- 683 Yin, J. H. (1999). Properties and behavior of Hong Kong marine deposits with different clay
 684 contents. *Canadian Geotechnical Journal*, 36(6), 1085-1095.
- 685 Zhao, M. H., Zou, X. J., & Zou, P. X. (2007). Disintegration characteristics of red sandstone
- 686 and its filling methods for highway roadbed and embankment. Journal of Materials in Civil
- 687 *Engineering*, 19(5), 404-410.
- Zhao, J.D. & Gao, Z.W. (2016). Unified anisotropic elasto-plastic model for sand. *Journal of Engineering Mechanics*, 142(1), Article number 04015056.
- 690 Zhou, W., Xu, K., Ma, G., Yang, L., & Chang, X. (2016). Effects of particle size ratio on the
- 691 macro-and microscopic behaviors of binary mixtures at the maximum packing efficiency
- 692 state. *Granular Matter*, 18(4), 81.
- 693 Zhou, Z., Yang, H., Wang, X., & Liu, B. (2017). Model development and experimental
- 694 verification for permeability coefficient of soil-rock mixture. International Journal of

Geomechanics, 17(4), 04016106.

List of Tables

- Table 1. Model parameters for benchmark analysis of the proposed model
- Table 2. Model parameters for validation of the proposed model

Table 1. Model parameters for benchmark analysis of the proposed model

Parameters	M_m	N_m	λ_m	κ_m	μ_m	k_m	ξ
Value	1.4	0.6	0.05	0.01	0.22	2.0	0.00/0.05/0.20/0.35

Kaolin-gravel mixtures Parameters Kaolin-sand mixtures Natural gap-graded soils 0.97 0.98 0.80 M_m 0.817 1.269 1.35 N_m λ_m 0.085 0.056 0.089 0.019 0.030 0.020 κ_m 0.35 0.23 0.30 μ_m 2.0 1.1 2.0(1.6) k_m ξ 0.57/0.60/0.63 0.05 0.07

Table 2. Model parameters for validation of the proposed model

1 List of Figures

Figure 1. Predictions of the drained triaxial tests with different volume fractions using the proposed model (ξ =0.05)

Figure 2. Behaviour of soil matrix in drained triaxial tests predicted by the proposed model $(\xi=0.05)$

⁶ Figure 3. Predictions of the drained triaxial tests with different volume fractions using the pro-⁷ posed model (ξ =0.35)

Figure 4. Predictions of the drained triaxial tests with different values of the structure parameter using the proposed model (ψ_a =0.40)

Figure 5. Experimental stress-strain data and numerical simulations HTP series (Natural gapgraded soils)

Figure 6. Experimental volumetric strain and numerical simulations of HTP series (Natural gapgraded soils)

Figure 7. Experimental stress-strain data and numerical simulations HTP10 series (Natural gapgraded soils)

Figure 8. Experimental volumetric strain and numerical simulations of HTP10 series (Natural gap-graded soils)

Figure 9. Experimental stress-strain data and numerical simulations HTP40 series (Natural gap graded soils)

Figure 10. Experimental volumetric strain and numerical simulations of HTP40 series (Natural gap-graded soils)

Figure 11. Experimental stress-strain data and numerical simulations (Kaolin clay-gravel mixtures)

Figure 12. Experimental data of excess pore water pressure dissipation and numerical simulations
 (Kaolin clay-gravel mixtures)

Figure 13. Experimental stress-strain data and numerical simulations of drained triaxial test
 (Kaolin clay-sand mixtures)

Figure 14. Experimental volumetric strain and numerical simulations of drained triaxial test
 (Kaolin clay-sand mixtures)

³⁰ Figure 15. Experimental stress-strain data and numerical simulations of undrained triaxial test ³¹ (Kaolin clay-sand mixtures)

Figure 16. Experimental data of excess pore water pressure dissipation and numerical simulations of undrained triaxial test (Kaolin clay-sand mixtures)

1



Figure 1: Predictions of the drained triaxial tests with different volume fractions using the proposed model $(\xi=0.05)$



Figure 2: Behaviour of soil matrix in drained triaxial tests predicted by the proposed model (ξ =0.05)



Figure 3: Predictions of the drained triaxial tests with different volume fractions using the proposed model $(\xi=0.35)$



Figure 4: Predictions of the drained triaxial tests with different values of the structure parameter using the proposed model ($\psi_a=0.40$)



Figure 5: Experimental stress-strain data and numerical simulations HTP series (Natural gap-graded soils)



(b) ψ_a =0.20, 0.40

Figure 6: Experimental volumetric strain and numerical simulations of HTP series (Natural gap-graded soils)



Figure 7: Experimental stress-strain data and numerical simulations HTP10 series (Natural gap-graded soils)



(b) $\varphi_a = 0.20$, 0.10

Figure 8: Experimental volumetric strain and numerical simulations of HTP10 series (Natural gap-graded soils)



Figure 9: Experimental stress-strain data and numerical simulations HTP40 series (Natural gap-graded soils)



(b) ψ_a =0.20, 0.40

Figure 10: Experimental volumetric strain and numerical simulations of HTP40 series (Natural gap-graded soils)



Figure 11: Experimental stress-strain data and numerical simulations (Kaolin clay-gravel mixtures)



Figure 12: Experimental data of excess pore water pressure dissipation and numerical simulations (Kaolin clay-gravel mixtures)



Figure 13: Experimental stress-strain data and numerical simulations of drained triaxial test (Kaolin clay-sand mixtures)



Figure 14: Experimental volumetric strain and numerical simulations of drained triaxial test (Kaolin clay-sand mixtures)



Figure 15: Experimental stress-strain data and numerical simulations of undrained triaxial test (Kaolin claysand mixtures)



Figure 16: Experimental data of excess pore water pressure dissipation and numerical simulations of undrained triaxial test (Kaolin clay-sand mixtures)