

# Theoretical Investigation of $V_3C_2$ MXene as Prospective High Capacity Anode Material for Metal (Li, Na, K, Ca) Ion Batteries

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ABSTRACT. Two-dimensional (2D) transition metal carbides (MXenes) as electrode materials have attracted much attention due to their excellent energy storage properties and electrical conductivity. In this work, we study the properties of the  $V_3C_2$  MXene anode for metal ion (Li, Na, K and Ca) batteries by means of density functional theory (DFT) computations. Based on our calculated results,  $V_3C_2$  exhibits excellent properties such as structural stability, good electrical conductivity, fast charge-discharge rates, and high theoretical storage capacity. In particular, owing to its low diffusion barrier (0.04 eV for Li, 0.02 eV for Na, 0.01 eV for K, and 0.04 eV for Ca) and high storage capacity (606.42 mAh  $g^{-1}$  for both Li and Na, 269.86 mAh  $g^{-1}$  for K and 539.71 mAh  $g^{-1}$  for Ca),  $V_3C_2$  monolayer is predicted to be a promising anode material especially for lithium-ion batteries (LIBs) and sodium-ion batteries (SIBs). Our work provides a new avenue for the design of novel 2D materials for energy applications.

## 1. Introduction

Energy storage technology has been powering the world, with applications ranging from portable electronics to large-scale power grid systems.<sup>1-3</sup> Among various types of energy storage systems, lithium-ion batteries (LIBs), one of the greatest successes of clean energy storage technologies, have attracted widespread attention because of their excellent reversible capacity, high energy density, and long operational lifetime. However, due to the relatively low capacity and poor rate capability, their applications are still far from satisfactory.<sup>4-8</sup> The lack of lithium resources can be another obstacle which greatly hampers their development. Thus, lots of efforts have been devoted to developing new energy materials to meet the demand for the next generation metal-ion batteries, such as enhancing the efficiency of the LIBs or proposing new protocols. Since sodium is more earth-abundant and much cheaper than lithium, sodium-ion batteries (SIBs) are expected to be potential alternatives to LIBs in the future.<sup>9-12</sup> In addition, other alkali ions such as potassium and calcium, are also promising choices.<sup>2, 13</sup> Nevertheless, unconventional metal ion batteries with high capacity and rate capability are of immediate interest in the real world applications.

Electrode materials play a critical role in the performance of metal ion batteries.<sup>2</sup> Compared with the rapid development in cathode materials, the improvement of anode materials is much slower.<sup>14</sup> Nowadays, the most widely-used LIBs anode material is graphite, which is plagued by a relatively low capacity of 372 mAh g<sup>-1</sup> and poor rate capability. Hence, its applications are greatly limited.<sup>2, 10, 15-16</sup> Fortunately, with the development of materials science, 2D materials with large surface-area-to-volume ratio are believed to be promising candidates for the anode materials in metal ion batteries.<sup>3, 17-18</sup> Until now, a few 2D materials, including transition metal dichalcogenides and

metal oxides, have been identified as potential anode materials with enhanced electronic properties for metal ion batteries.<sup>4, 7, 19-23</sup> Among these 2D electrode materials, the maximum capacity values for LIBs are beyond 350 mAh g<sup>-1</sup>, comparable with the commercialized graphite electrodes. Nevertheless, exploring other novel 2D materials with higher storage capacities is still a burgeoning research area.<sup>24</sup>

More recently, a group of new 2D transition metal carbides, nitrides, or carbonitrides, called MXenes, have been reported and investigated as a new family of 2D materials.<sup>25-29</sup> MXenes can be produced by selectively etching the A layer from MAX phases (M, A, and X represent early transition metal, group IIIA/IVA element, and C/N, respectively) with hydrofluoric acid (HF) at room temperature.<sup>30</sup> The general chemical formula of the MAX phase is M<sub>n+1</sub>AX<sub>n</sub> (n = 1, 2, or 3), forming MXenes with three different structures (M<sub>2</sub>X, M<sub>3</sub>X<sub>2</sub>, or M<sub>4</sub>X<sub>3</sub>). Up to now, many kinds of MXenes have been predicted to show good performance as electrodes. Using DFT calculations, Er et al. found that the diffusion barrier of Li atoms on Ti<sub>3</sub>C<sub>2</sub> (0.07 eV) is smaller than that for graphite.<sup>2</sup> Most recently, V<sub>2</sub>C has been predicted to have a very high specific Li capacity and a low surface diffusion barrier.<sup>31</sup> The properties and applications of V<sub>3</sub>C<sub>2</sub> MXene, on the other hand, have not been fully explored although it is predicted to be an ideal nitrogen reduction reaction catalyst<sup>32</sup>. The calculated V<sub>3</sub>C<sub>2</sub> MXene exhibits high electrical conductivity, which might facilitate its potential application in metal ion batteries as its compositional analogue V<sub>2</sub>C.

Inspired by the content discussed above, in this paper, we systematically investigate the stability, electronic and metal (Li/Na/K/Ca) storage properties of V<sub>3</sub>C<sub>2</sub> monolayer by means of density functional theory (DFT) calculations. We also compare the results with the oxygen-functionalized V<sub>3</sub>C<sub>2</sub>. Our calculations reveal that V<sub>3</sub>C<sub>2</sub> exhibits high storage capacity and low diffusion barriers,

suggesting that the  $V_3C_2$  monolayer could be a promising anode material for metal ion batteries, especially for LIBs/SIBs.

## 2. Computational methods

First-principles density functional theory (DFT) calculations were performed by the Vienna *ab initio* simulation package (VASP)<sup>33</sup>. To optimize the geometric structures, the generalized gradient approximation (GGA) with the Perdew-Burke-Ernzerhof (PBE) flavor<sup>34</sup> was chosen as the exchange-correlation functional. The DFT plus long-range dispersion correction DFT-D2<sup>35</sup> proposed by Grimme was used to describe the interaction between MXenes and adatoms, because of its excellent description of long-range van der Waals interactions. The kinetic energy cut-off of 500 eV was applied for the plane-wave expansion of valence electron wave functions. The Brillouin zone was sampled using a Monkhorst-Pack k-point mesh scheme, and the meshes of r-centered  $15 \times 15 \times 1$  and  $5 \times 5 \times 1$  were used for the unit cell and the  $3 \times 3$  supercell, respectively. To avoid the interlayer interaction, the vacuum distance of  $20 \text{ \AA}$  was used. The convergence criteria for energy and force were set to be  $10^{-5}$  eV and  $0.01 \text{ eV \AA}^{-1}$ , respectively. The charge redistribution and transfer were quantitatively studied by the charge differential analysis. Bader charge method<sup>36</sup> was used to estimate the amount of charge transfer between the adatoms and host material. The climbing image nudged elastic band (CI-NEB)<sup>37</sup> method was applied to calculate the potential energy diffusion pathway and the minimum diffusion energy barrier of metal ions on the  $V_3C_2$  MXenes.

To investigate the dynamical stability, the Phonopy code<sup>38</sup> was used to calculate the phonon dispersion spectra. Additionally, *ab initio* molecular dynamics (AIMD) simulations with Nosé-

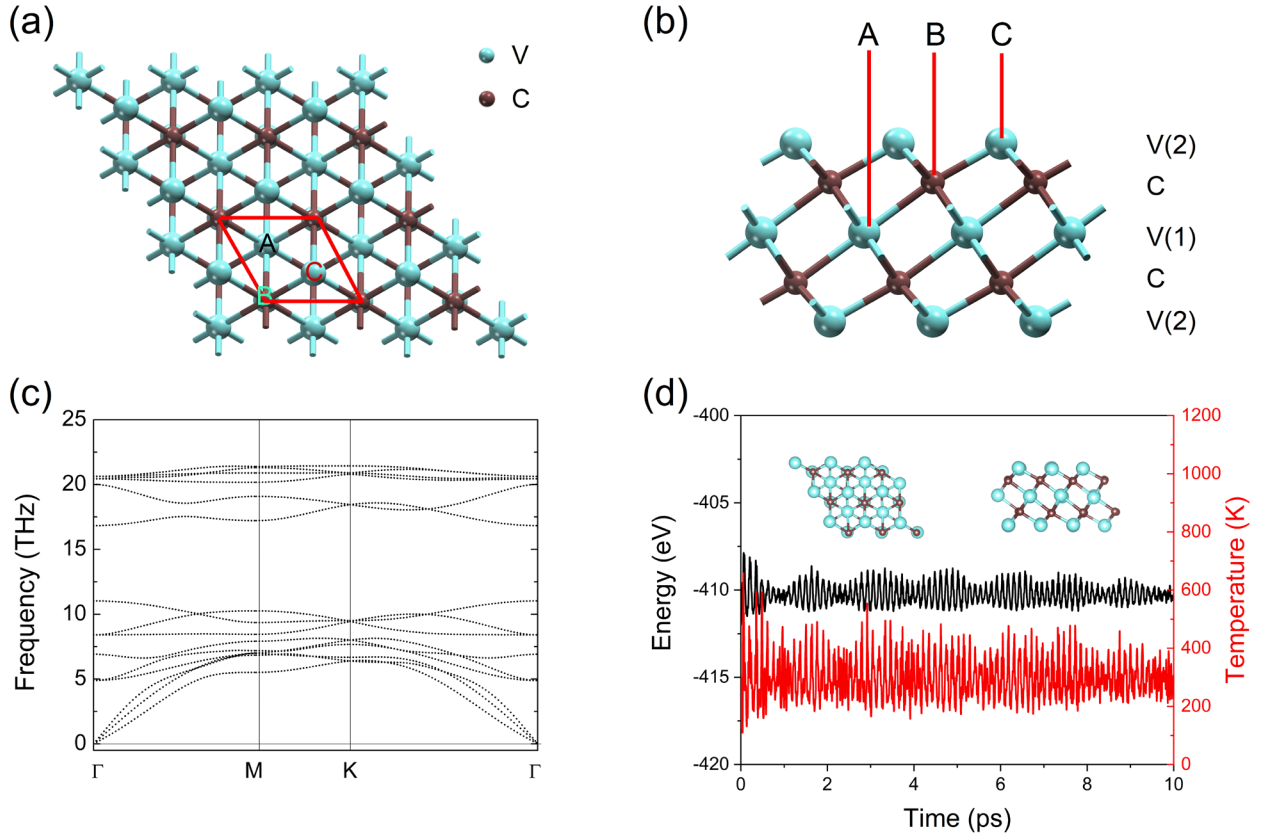
Hoover thermostat<sup>39</sup> and NVT ensemble were carried out to examine the thermal stability by using a  $3 \times 3$  supercell at 300 K with the time step of 2 fs.

### 3. Results and discussion

#### 3.1 Structures and stability of $V_3C_2$ monolayer

The  $V_3C_2$  monolayer is built up of quintuple layers stacked in a sequence of V(2)–C–V(1)–C–V(2), which can be viewed as three V atomic layers interleaved with two C atomic layers, forming an edge-shared  $V_6C$  octahedron (Figure 1a and 1b). The optimized  $V_3C_2$  monolayer has the lattice parameters of  $a = b = 2.95 \text{ \AA}$  and the thickness of  $d = 4.43 \text{ \AA}$ . The bond length of V(1)-C ( $2.11 \text{ \AA}$ ) is much longer than V(2)-C ( $1.96 \text{ \AA}$ ) due to the difference of chemical environment between V(1) and V(2).

To evaluate the structural stability of  $V_3C_2$  monolayer, we investigate the dynamical and thermal stabilities by using the phonon dispersion spectra and AIMD simulations. In the phonon dispersion spectra calculated with GGA pseudopotential, the absence of imaginary phonon modes in the first Brillouin zone (Figure 1c) indicates that  $V_3C_2$  monolayer is dynamically stable. Besides, after performing AIMD simulations for 10 ps, no obvious structural reconstructions can be noticed, and the total energy and temperature of the system oscillate around the equilibrium values, suggesting the thermal stability (Figure 1d).



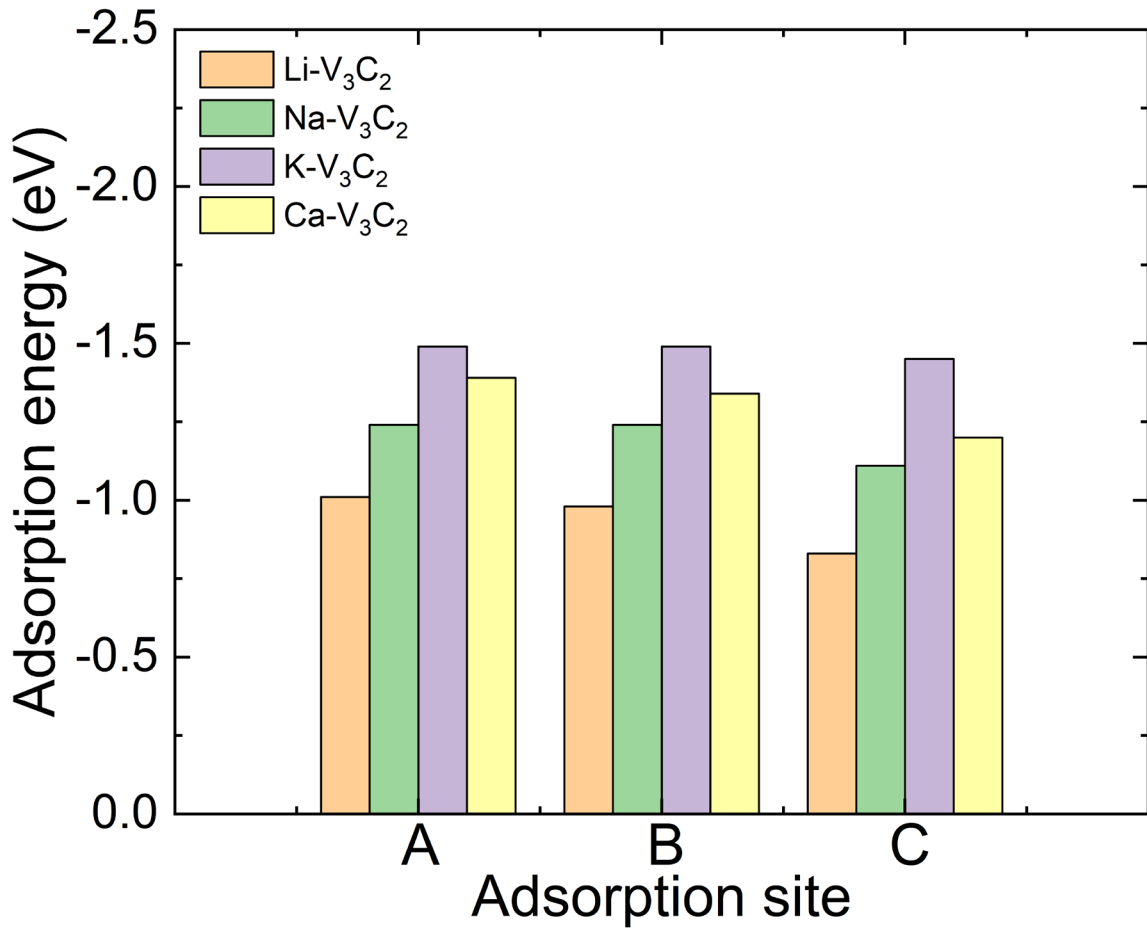
**Figure 1.** (a) Top and (b) side views of  $V_3C_2$  monolayer. A, B, and C represent three possible adsorption sites for adatoms. (c) The phonon dispersion spectra of  $V_3C_2$ . (d) Total energy and temperature as a function of time for  $V_3C_2$  during the AIMD simulation (inset: the structures of  $V_3C_2$  after 10 ps AIMD simulation).

### 3.2 Single metal atom adsorption and electronic properties of $V_3C_2$ monolayer

To systematically study the adsorption properties, a  $3 \times 3 \times 1$  supercell is used to examine the adsorption sites for an isolated alkali metal atom (Li/Na/K/Ca). The supercell size has been tested to avoid the interaction between the adatoms deposited on different sites. Three possible high-symmetry adsorption sites A, B, and C as shown in Figure 1a and 1b are considered on the  $V_3C_2$ . The adsorption behavior and the favorable adsorption sites are quantitatively examined by comparing the adsorption energies  $E_{ad}$  calculated with the following equation:

$$E_{ad} = (E_{V_3C_2M_x} - E_{V_3C_2} - xE_M)/x \quad (1)$$

here,  $E_{V_3C_2M_x}$  (M=Li, Na, K, or Ca) and  $E_{V_3C_2}$  are the total energies of  $V_3C_2$  with and without alkali metal atom adsorption, respectively.  $E_M$  represents the energy per metal atom in the bulk form, and  $x$  corresponds to the number of metal atoms in the adsorption configurations. Metal ion adsorption on  $V_3C_2$  produces negative  $E_{ad}$  on all A, B, or C sites, as shown in Figure 2. For a single adatom, site A is the most favorable adsorption site as it has the smallest  $E_{ad}$  for all four systems.



**Figure 2.** Adsorption energies of Li, Na, K, and Ca on  $V_3C_2$  monolayer at A, B, and C sites.



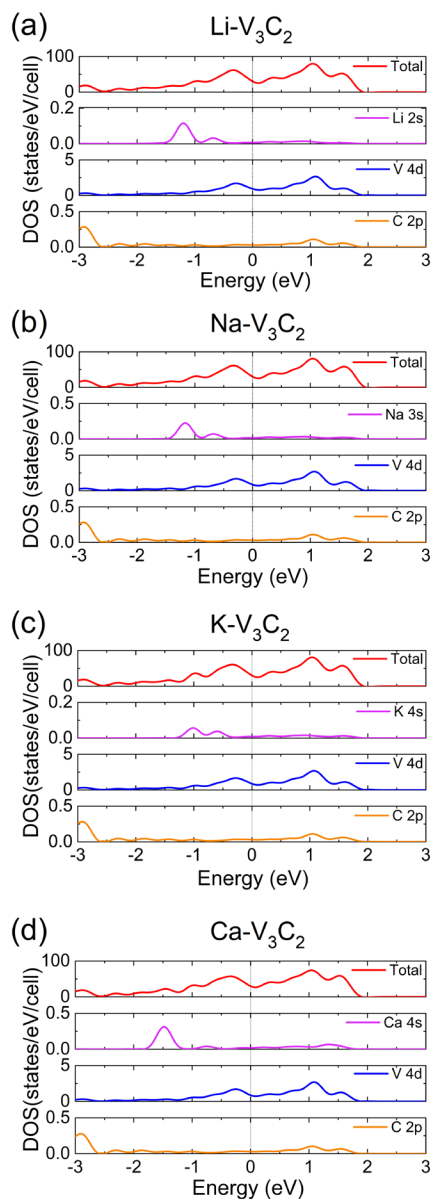
It is suggested that the adatom height  $h$ , which is defined as the vertical distance between the adatom and the topmost surface atom, can also reflect the adsorption strength on different sites.<sup>2</sup> The adatom heights for sites A, B and C sites are listed in Table 1. For site A and B, the adatoms have similar height, while for C, the height is much larger. This is consistent with the calculated  $E_{ad}$  results, where stronger adsorption (more negative adsorption energy values) at sites A, B, and C always corresponds to a shorter adatom height  $h$  for all the systems (Table 1).

**Table 1.** Energetic and structural properties of metal atoms on  $V_3C_2$  monolayer

	$E_{ad}$ (eV)			Charge transfer $q$ ( $e^-$ )			$h$ (Å)		
	A	B	C	A	B	C	A	B	C
Li- $V_3C_2$	-1.01	-0.98	-0.83	0.85	0.85	0.85	2.22	2.25	2.42
Na- $V_3C_2$	-1.24	-1.24	-1.11	0.70	0.71	0.72	2.57	2.57	2.79
K- $V_3C_2$	-1.49	-1.49	-1.45	0.76	0.76	0.76	3.00	3.01	3.06
Ca- $V_3C_2$	-1.39	-1.34	-1.20	1.04	1.04	1.05	2.55	2.57	2.64

Electronic structure is one of the most important factors for battery performance. Here, we perform the electronic structure calculations to study the intrinsic attributes of the metal-adsorbed systems. Figure 3 shows the computed total density of states (TDOS) and projected density of states (PDOS) for these metal-adsorbed systems. It is found that the DOS at the Fermi level for all these systems are dominated by V  $4d$  orbital. There is an obvious overlap at -0.69 eV below the Fermi level between Li  $2s$  orbital and V  $4d$  orbital, indicating  $s-d$  hybridization and strong interaction between Li adatom and V atom on the  $V_3C_2$  surface. Similarly, for Na- $V_3C_2$ , K- $V_3C_2$  and Ca- $V_3C_2$ , the  $s-d$  hybridizations are also observed with peaks located at -0.68, -0.59, and -

0.75 eV, respectively. In addition, it is noteworthy that the systems maintain their metallic nature after the adsorption. The metallic character can ensure the good electronic conduction for both metal-adsorbed and the pristine monolayer (Figure S1), a feature that is indispensable for an ideal battery electrode.

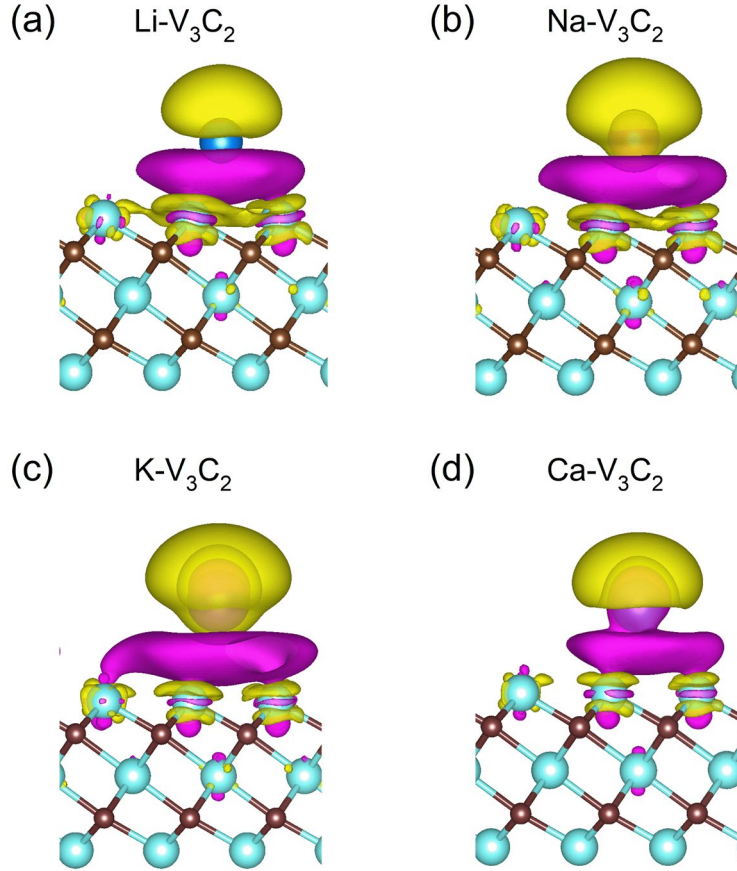


**Figure 3.** Total and partial density of states (DOS) for (a) Li-V<sub>3</sub>C<sub>2</sub>, (b) Na-V<sub>3</sub>C<sub>2</sub>, (c) K-V<sub>3</sub>C<sub>2</sub>, and (d) Ca-V<sub>3</sub>C<sub>2</sub>. The Fermi level is set to zero and shown in the dashed line. Partial DOS are calculated by averaging the contribution of each atom for a certain element.

To visualize the effects of adatom adsorption on the charge distribution, we calculate the differential charge density which is obtained as the difference between the valence charge density before and after the bonding (Figure 4):

$$\Delta\rho = \rho_{V_3C_2M} - \rho_M - \rho_{V_3C_2} \quad (2)$$

where  $\rho_{V_3C_2M}$  (M=Li/Na/K/Ca),  $\rho_M$ , and  $\rho_{V_3C_2}$  represent the charge density distributions of M-V<sub>3</sub>C<sub>2</sub> monolayer, an isolated metal atom, and bare V<sub>3</sub>C<sub>2</sub>. The differential charge distributions in Figure 4 clearly show the charge transfer from the adatoms to the substrates. For all four adsorption systems, the adsorbed metal atoms donate electrons to V<sub>3</sub>C<sub>2</sub>, mainly to the coordinated V atoms. The amount of charge transfer is estimated quantitatively by using the Bader charge analysis and the results are presented in Table 1. We find that the number of transferred electrons in Ca-V<sub>3</sub>C<sub>2</sub> is the highest, which is consistent with the fact that multivalent ion Ca may transfer more than one electron per ion. Moreover, from Figure 4 we can see that a built-in electric field is introduced to the system with metal atom adsorption due to the spatial separation of electron and hole, which leads to extended electron-hole recombination time.<sup>40</sup>

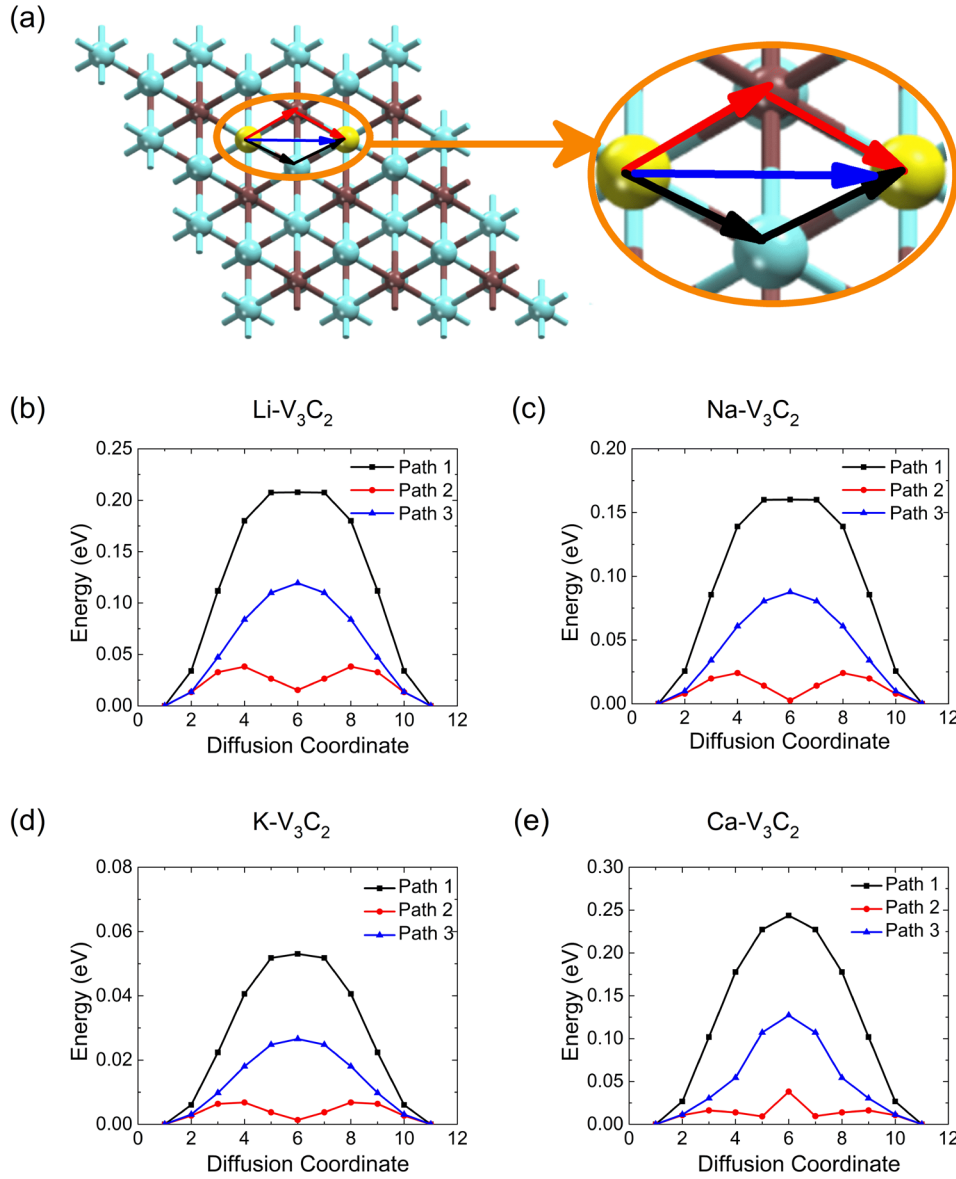


**Figure 4.** Differential charge density distributions of (a)  $\text{Li-V}_3\text{C}_2$ , (b)  $\text{Na-V}_3\text{C}_2$ , (c)  $\text{K-V}_3\text{C}_2$ , and (d)  $\text{Ca-V}_3\text{C}_2$ . Pink and yellow colours indicate electron accumulation and depletion, respectively.

### 3.3 Metal ion diffusion on $\text{V}_3\text{C}_2$ monolayer

The charge-discharge rate, which depends on the mobility of the intercalating ions, is another significant character for assessing the capability of an electrode material for rechargeable batteries. Therefore, we turn to the motion of metal ions on the MXene and investigate the diffusion barriers for metal ions on the  $\text{V}_3\text{C}_2$  monolayer using the CI-NEB method. We consider three possible diffusion paths denoted as Path 1, Path 2 and Path 3 by black, red, and blue arrows (Figure 5a), which connect the two neighboring most preferable adsorption sites. For all four systems (Figure

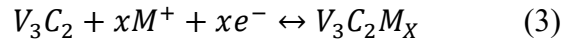
5b-5e), the calculated lowest diffusion barriers are along Path 2 and the values are 0.04, 0.02, 0.01, and 0.04 eV for Li, Na, K, and Ca, respectively. Similar to reference<sup>23</sup>, the fact that Path 2 has the lowest diffusion barrier is consistent with our adsorption energy calculation results, since Path 2 goes through site B, which has lower adsorption energy values for Li, Na, K, and Ca on  $V_3C_2$  monolayer (Table 1). On the other hand, Paths 1 and 3 pass through or near site C with adsorption energy values much larger than those on site B. We note that the diffusion barriers on  $V_3C_2$  are far smaller than that on typical 2D materials such as graphene (0.33 eV)<sup>9, 41</sup>,  $MoS_2$  (0.25 eV)<sup>20, 42</sup>,  $MoN_2$  (0.78 eV)<sup>3</sup>, and phosphorene (0.13–0.76 eV)<sup>43-44</sup>. This can be attributed to the fact that metal ions have close  $E_{ad}$  values on different high-symmetry adsorption sites of  $V_3C_2$  (Table 1). The low barriers ensure that alkali metal ions can migrate easily on the surface of our studied systems. Therefore, 2D  $V_3C_2$  can be promising electrode materials with fast charge-discharge rate and good rate capability.



**Figure 5.** (a) Schematic representation of three possible migration paths of Li and Na diffusion on the  $V_3C_2$  monolayer, and the corresponding diffusion barrier profiles of (b)  $Li-V_3C_2$ , (c)  $Na-V_3C_2$ , (d)  $K-V_3C_2$ , and (e)  $Ca-V_3C_2$ .

### 3.4 Theoretical open circuit voltage and storage capacity

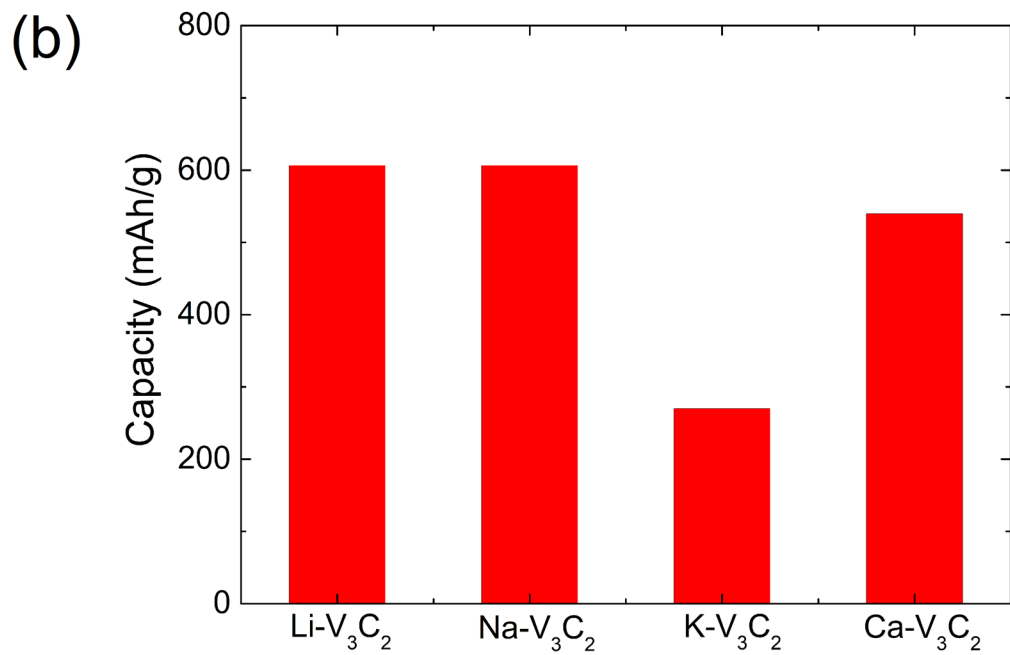
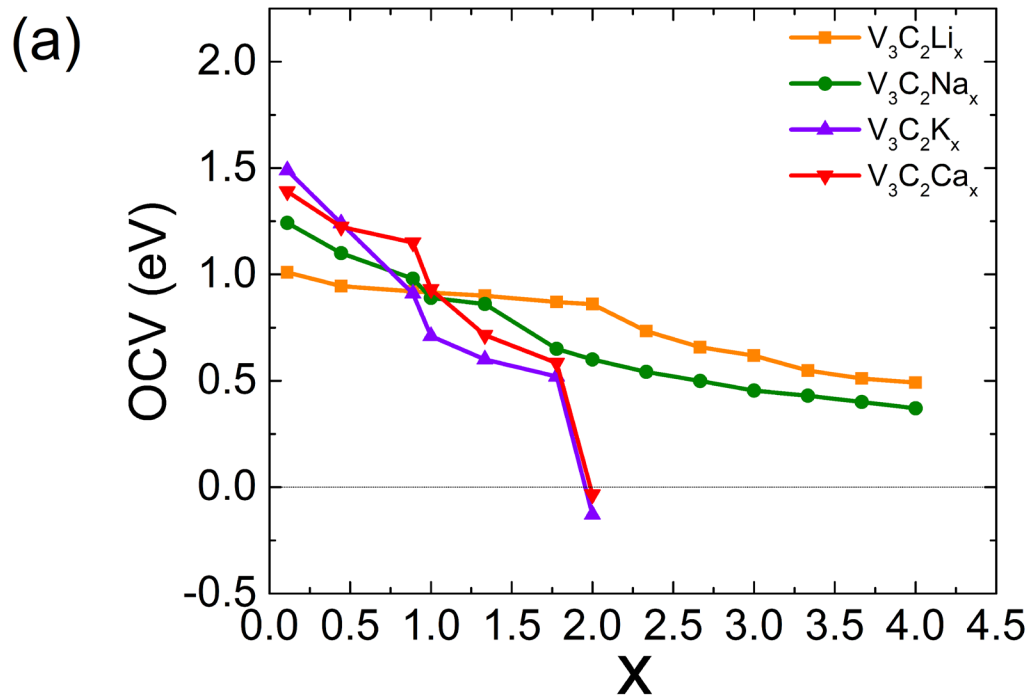
For practical applications, the storage capacity of the batteries is the key indicator for the performance of the electrode materials and the current focus for improvement. To explore the maximum storage capacity for metal atoms, we consider the adsorption with an increasing number of adsorbed metal atoms on both sides of the  $V_3C_2$  monolayer in the  $3 \times 3$  supercell. To obtain more accurate results, the ground state structure should be determined as the structure with the lowest energy, after taking all possible adsorption structures into consideration (Figure S2 and Table S1). Both the atomic positions and lattice constants are fully relaxed for the configurations after the intercalation. As mentioned above, we assign the first metal adsorption layer at the A site. The charge/discharge processes can be described by the following half-cell reactions:



The open circuit voltage (OCV) at different coverage on the surfaces of the  $V_3C_2$  is calculated as:  
3, 18, 45

$$OCV \approx (E_{V_3C_2} + xE_M - E_{V_3C_2M_x})/x \quad (4)$$

The calculated OCV as a function of the number of adatoms on the  $V_3C_2$  supercell is plotted in Figure 6a. We can see that the curves for all metal show similar trend that OCV decreases as  $x$  increases. It is noteworthy that OCV for both K and Ca shows negative values when  $x$  reaches 2, indicating that K (Ca) cannot realize the complete coverage of the surface of  $V_3C_2$  monolayer. On the other hand, the OCV for Li/Na shows multilayer adsorption features, since the values are still positive when  $x$  reaches 4.



**Figure 6.** (a) OCV as a function of  $x$  and (b) maximum capacity for  $V_3C_2Li_x$ ,  $V_3C_2Na_x$ ,  $V_3C_2K_x$ , and  $V_3C_2Ca_x$ .



To further analyze the multilayer adsorption patterns for Li/Na on  $V_3C_2$ , the layer-resolved average adsorption energies are obtained by calculating the total energy differences before and after the intercalation of a new Li/Na layer. The average adsorption energy ( $E_{ave}$ ) is defined as:

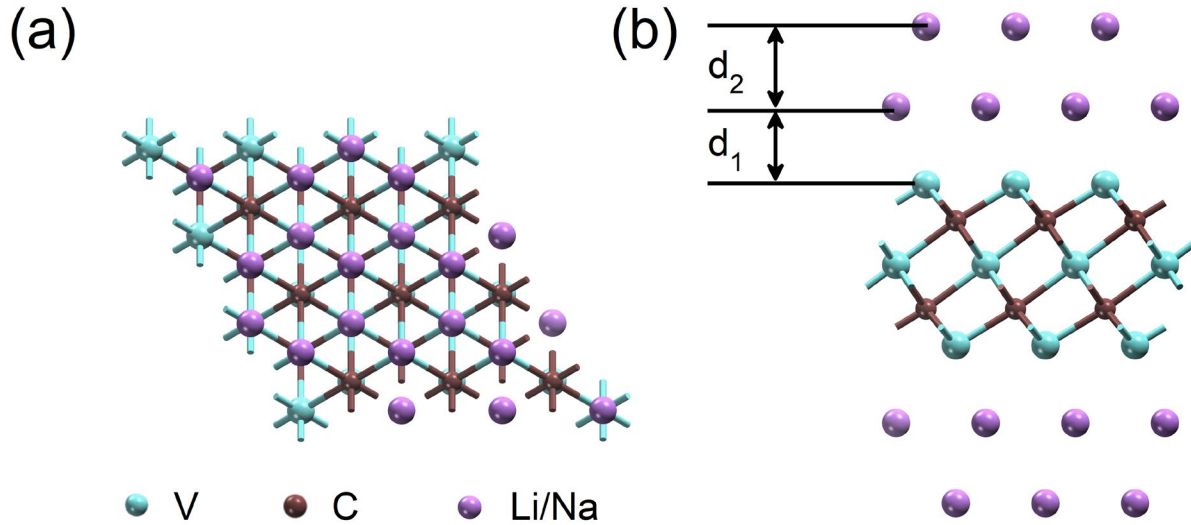
$$E_{ave} = (E_{V_{27}C_{18}M_{18n}} - E_{V_{27}C_{18}M_{18(n-1)}} - 18E_M)/18 \quad (5)$$

where  $E_{V_{27}C_{18}M_{18n}}$  and  $E_{V_{27}C_{18}M_{18(n-1)}}$  are the total energies of  $V_3C_2$  with  $n$  and  $(n-1)$  adsorption layers, respectively, and the number 18 corresponds to 18 adatoms for each layer. For the first adsorption layer of  $V_3C_2$ , the calculated average adsorption energies are -0.87 eV and -0.60 eV per atom for Li and Na (Table 2). The large negative adsorption energy values ensure good adsorption stabilities. For the second adsorption layer, on the other hand, the  $E_{ave}$  for Li/Na on  $V_3C_2$  (on site B) are close to zero, indicating weak multilayer adsorption. Considering the average adsorption energy,  $V_3C_2$  can accommodate up to two layers of Li/Na ions (Figure 7). These values are comparable to some typical electrode materials, such as Na on  $Ti_3C_2O_2$  (-0.01 eV per atom)<sup>11</sup>, and GeS (-0.02 eV per atom).<sup>3</sup> It is feasible to use these values to estimate the theoretical maximum capacity.

**Table 2.** The comparison of Li/Na adsorption and diffusion parameters on the  $V_3C_2$  and  $V_3C_2O_2$  monolayer.

Energy	$E_1^a$ (eV)	$E_{diff}^b$ (eV)	$E_{1st}^c$ (eV)	$E_{2nd}^d$ (eV)
$V_3C_2$ -Li	-1.01	0.04	-0.87	-0.12
$V_3C_2$ -Na	-1.24	0.02	-0.60	-0.14
$V_3C_2O_2$ -Li	-2.92	0.30	-1.74	-0.01
$V_3C_2O_2$ -Na	-2.73	0.31	-1.06	-0.05

$E_1^a$ --the adsorption energy of one Li/Na atom;  $E_{diff}^b$ --the diffusion barrier of one Li/Na atom;  $E_{1st}^c$ --the average adsorption energy of the first-layer Li/Na atoms;  $E_{2nd}^d$ --the average adsorption energy of the second-layer Li/Na atoms.



**Figure 7.** Geometric structures for Li/Na adsorption on the  $V_3C_2$  monolayer from (a) top and (b) side views.

In addition, the distances between the adatoms and the substrates play an important role in investigating the adsorption ability of anode materials. We find that the distance between the first

layer of Li (Na) and the host  $V_3C_2$  monolayer ( $d_1$ ) is 2.19 (2.58) Å, and that between the second layer and the first layer ( $d_2$ ) is 2.26 (2.98) Å. Due to the large distance between the second Li/Na layer and the substrates, the corresponding chemical interactions are so weak that it is reasonable not to consider the third metal layer.

Meanwhile, the maximum capacity ( $C_M$ ) can be obtained by the following equation:<sup>3, 46</sup>

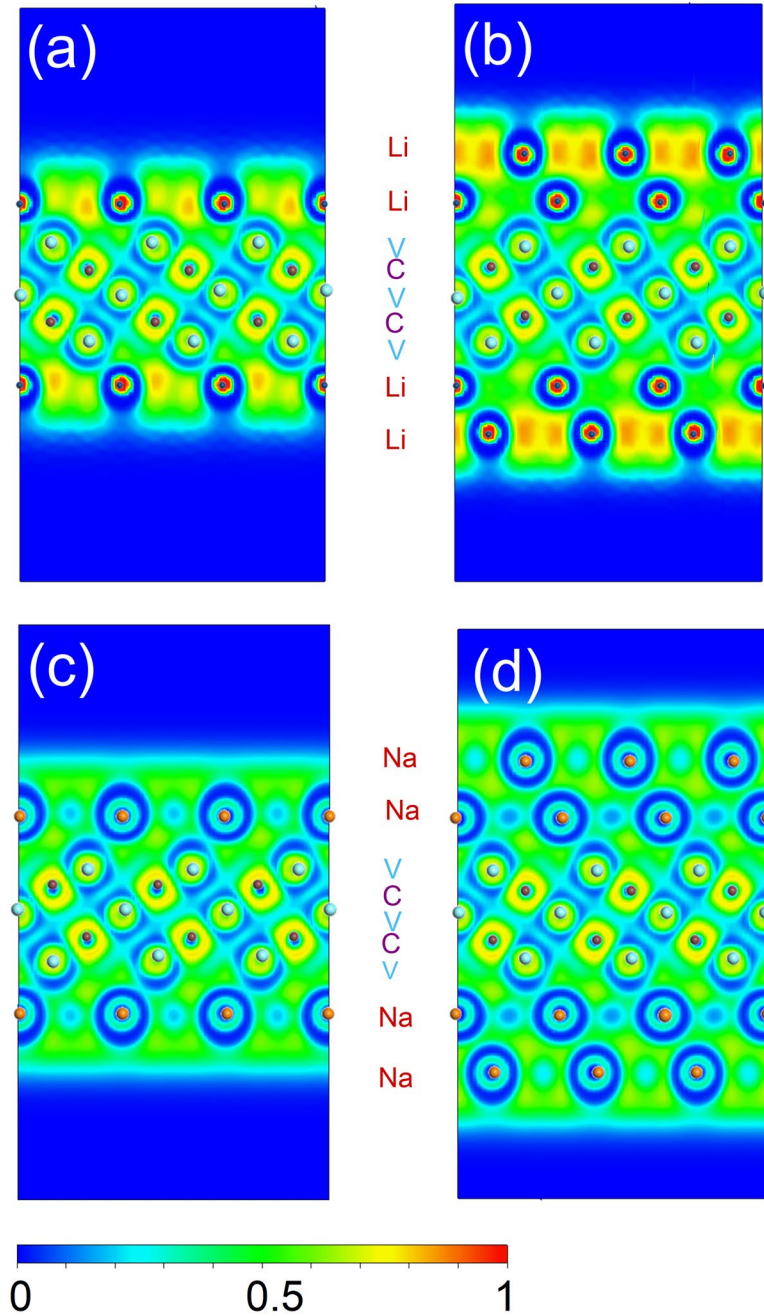
$$C_M \approx zx_{max}F/M_{V_3C_2} \quad (6)$$

where  $z$  is the valence number,  $x_{max}$  represents the maximum adatom content,  $F$  is the Faraday constant (26.8 Ahmol<sup>-1</sup>) and  $M_{V_3C_2}$  is the relative molecular mass of  $V_3C_2$ . The maximum capacities for  $V_3C_2$  are calculated to be 606.42 mAh g<sup>-1</sup> ( $x_{max} = 4$ ) of both Li and Na, 269.86 mAh g<sup>-1</sup> ( $x_{max} = 1.78$ ) for K and 539.71 mAh g<sup>-1</sup> ( $x_{max} = 1.78$ ) for Ca (Figure 6b), highlighting  $V_3C_2$  MXenes as promising high-capacity anode materials for metal ion batteries, especially for LIBs/SIBs.

Since the carrier density in the  $V_3C_2$  is largely provided by the V 4*d* and adatom's *s* orbitals near the Fermi level, the interaction between the Li (Na) and the MXene can be further revealed from its localized electrons. Therefore, we calculate the electron localization functions (ELF) of the (110) section (Figure S3) of the  $V_3C_2$  monolayer with one and two layers of Li or Na (Figure 8) to obtain the insights into the physical origin of the predicted multilayer adsorption patterns. The electrons over the first Na layer are spread like the free electron gas, forming a negatively charged cloud, while there is no negatively charged cloud over the Li layer (Figure 8a and 8c). For the second layer, we find plentiful electrons concentrated between the first and second layer of Li atoms, implying a strong bonding between them (Figure 8b). However, for Na system, we can

observe the negatively charged cloud over the Na layer, which means the second Na layer can bind with the  $V_3C_2$  monolayer stronger, and the extra layer of Na atoms is less likely to form clusters than Li (Figure 8d). The ELF plots explain the multilayer adsorption behavior of Li/Na on the  $V_3C_2$  monolayer.

The lattice mismatch is another significant factor for the recycling and stability of batteries which needs to be considered. Thus, we optimize the lattice constants of  $V_3C_2$  monolayer without and with atoms adsorption. The largest variation of the lattice constants for all four systems is only 0.6 % (Table S2), indicating that  $V_3C_2$  monolayer does not suffer a lot from structural changes during charge/discharge, which is ideal for practical applications.



**Figure 8.** The electron localization functions (ELF) of the (110) section of the  $V_3C_2$  monolayer for (a)  $Li-V_3C_2$ , (b)  $Li_2-V_3C_2$ , (c)  $Na-V_3C_2$ , and (d)  $Na_2-V_3C_2$ . The scale bar shows the isodensity values of ELF.

### 3.5 Investigation of O-functionalized $V_3C_2$ as anode materials for LIBs/SIBs

During the experimental synthesis of MXenes with the formula of  $M_{n+1}AX_n$  ( $n = 1, 2, \text{ or } 3$ ), A atoms can be replaced by terminal groups such as -O.<sup>47-51</sup> Experimental and theoretical studies have shown that the energy storage capacities of MXenes may depend on the nature of surface terminal groups, therefore, the properties associated with those groups are worthy of further exploring.<sup>9-10, 14, 28, 31, 52</sup> In this part, we further study  $V_3C_2O_2$  monolayer as anode material for LIBs/SIBs, since O-terminated  $Ti_2C$ ,  $V_2C$ ,  $Cr_2C$ , and  $Ti_3C_2$ , and  $Zr_3C_2$  are reported to be good candidates.<sup>10, 53-54</sup>

$V_3C_2O_2$  MXene is constructed by adsorbing O atoms on the most stable site of the  $V_3C_2$  monolayer (Figure S4). Compared with  $V_3C_2$ , the optimized O-terminated monolayer has smaller in-plane lattice parameters  $a = b = 2.91 \text{ \AA}$  and larger thickness  $d = 5.82 \text{ \AA}$ . With the terminal O atoms, the bond length of V(1)-C shrinks, while that of V(2)-C is elongated (Table S3), implying that the terminal atoms strongly interact with the original  $V_3C_2$  monolayer. Besides, the Li/Na atom adsorption on  $V_3C_2O_2$  monolayer shows smaller adsorption value than that on  $V_3C_2$ , implying that Li/Na- $V_3C_2O_2$  are more stable structures (Table 2).

For Li and Na adsorbed on the  $V_3C_2O_2$  monolayer, the diffusion barriers are much larger than  $V_3C_2$  (Table 2 and Figure S5), but still comparable to or smaller than other 2D materials. This phenomenon can be ascribed to the surface O adsorption since the bonding strength between the adatom and non-metallic surface atoms is much stronger than that with metallic surface atoms.

The OCVs for Li/Na on the surface of  $V_3C_2O_2$  monolayer are higher than the bare  $V_3C_2$  monolayer, and the maximum capacities for  $V_3C_2O_2$  are calculated to be  $513.5 \text{ mAh g}^{-1}$  of both Li and Na (Figure S6). ELF results show that for the Li/Na ion adsorption in  $V_3C_2O_2$ , the bonds

between O and adatom (Li or Na) show ionic bond character, and both the electrons over the Li layer and Na layer form a negatively charged cloud (Figure S7). Comparing with the configurations given in Figure 8, more electrons may transfer from the inner layer to the outer layer of Li atoms for  $V_3C_2O_2$  than  $V_3C_2$ , which is consistent with OCV results. To sum up,  $V_3C_2O_2$  monolayer, despite its slightly higher barriers and smaller capacity values than  $V_3C_2$ , still shows good properties among 2D energy materials due to the strong adsorption energy and high OCV.

#### 4. Conclusions

In summary, we have explored the potential applications of  $V_3C_2$  MXene as the anode materials for alkali metal (Li/Na/K/Ca) batteries by means of DFT computations. We have identified the most energetically favorable diffusion pathways for metal atoms in this material with considerably low diffusion barriers of 0.04 eV for Li, 0.02 eV for Na, 0.01 eV for K, and 0.04 eV for Ca, thus possess good charge-discharge rates as electrodes. In addition, the average adsorption energy, as well as ELF results reveal the origin of the multilayer adsorption behavior of  $V_3C_2$ , with high theoretical capacity values of 606.42 mAh g<sup>-1</sup> for both Li and Na. Our results give insightful prospects for further experimental work to explore the  $V_3C_2$  monolayer as promising electrode material for metal ion batteries, especially for the LIBs and the newly-developed SIBs.

## ASSOCIATED CONTENT

### Supporting Information.

The following files are available free of charge.

Lattice parameters; density of states for  $V_3C_2$  and  $V_3C_2O_2$ ; structure of adsorption sites for adatoms; schematic representation of the crystallographic orientation along (110) section; migration paths and diffusion barrier profiles of Li- $V_3C_2O_2$  and Na- $V_3C_2O_2$ ; OCV as a function of x and maximum capacity for Li/Na- $V_3C_2/V_3C_2O_2$ ; ELF for Li/Li<sub>2</sub>/Na/Na<sub>2</sub>- $V_3C_2O_2$ .

## AUTHOR INFORMATION

### Notes

The authors declare no competing financial interests.

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# TOC Graphic

