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# **Effect of initial density, particle shape and confining stress on the critical state behavior of weathered gap-graded granular soils**

By

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## 25 **Abstract**

26 Weathered gap-graded soils are a common geological body in mountainous regions, and they  
27 are widely used as construction materials. The shear strength is controlling parameter for  
28 design of civil projects, however, there is still a controversy on the coarse fraction effect on  
29 the shear strength of gap-graded soils. To this end, 22 triaxial shear tests are performed on  
30 gap-graded soils, and the factors affecting the coarse fraction effect have been analyzed,  
31 including the confining stress, the particle shape of aggregates and the initial density of sand  
32 matrix. Partial contacts and sand bridges between aggregates are responsible for the  
33 transmission of loading and thus affect the coarse fraction effect. The results of triaxial tests  
34 reveal that: (1) The overall shear strength of sand-beads mixtures is rather independent of the  
35 confining stress and the coarse fraction, even the volume of aggregates is as high as 44.5%. (2)  
36 The effect of shape of aggregates is effective only at a high coarse volume fraction (44.5%)  
37 for loose-sand-gravel mixtures, where the partial contacts between aggregates plays an  
38 important role in forming the inter-aggregate structure. (3) The overall shear strength of gap-  
39 graded soils with denser matrix increases continuously with rising coarse fraction. The  
40 formation of densified sand bridge is correlated with the initial density of sand matrix, which  
41 contributes to the loading transmission in inter-aggregate structure, in turn, affects the overall  
42 critical state behavior of gap-graded soils. The insights drawn from this study provides a  
43 reference for assessing the deformation behavior of weathered residual soils.

44

45 **Keywords:** Shear strength; Critical State; Gap-graded soils; Coarse fraction; Mixture theory

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## 50 **Introduction**

51 Gap-graded soils are usually induced by degradation of sandstones due to weathering factors  
52 in semi-arid areas. For example, sandstones usually contain clay minerals which are sensitive  
53 to dry-wetting cycles. This leads to a breakage of contacts between grains, and the sandstones  
54 disintegrate into separated particles due to seasonal moist changes; Consequently, the  
55 disintegrated particles fill the inter-aggregates voids, producing a mixture of coarse gravels  
56 and fine particles (Chandler, 2000; Xiao et al., 2016; Zhou et al., 2016; Qin and Chian, 2017;  
57 Park and Santamarina, 2017). The gap-graded granular soils can be also induced by  
58 transportation of residual soils, e.g., debris-flow deposits and proluvial deposits (Jiang et al.,  
59 2016; Peng et al., 2018; Cui et al., 2019; Tan et al., 2019; Guo and Cui, 2020). The structure  
60 of weathered gap-graded mixtures is analogous to that of other binary mixtures, such as fibre-  
61 reinforced composites (Nguyen and Fatahi, 2016; Kang and Bate, 2016; Fu and Coop, 2017;  
62 Chenari et al., 2018; Kang et al., 2018; Xiao et al., 2019a) which are widely used in pavement  
63 design and other civil engineering projects.

64 The structure and morphological features of gap-graded soils vary with their sources and  
65 the formation process (Xu et al., 2019c; Shi and Zhao, 2020). The weathered gap-graded soils  
66 are characterized into two types (Ruggeri et al., 2016): one with aggregate-sustained structure  
67 when the inter-aggregate skeleton prevails, and the other with matrix-sustained configuration  
68 when discrete aggregates floats in the fine matrix. “matrix-sustained” structure prevails in  
69 intensely weathered areas since the fine fraction is usually beyond the “transitional fine  
70 content” (Deng et al., 2017; Chen et al., 2019a; Shi et al., 2020). As a common geological  
71 body in mountainous regions, they are widely used as construction materials in engineering  
72 practices, including foundations, airports, embankments, and dams (Ruggeri et al., 2016;  
73 Zhou et al., 2017; Chen et al., 2019b). The stability of these infrastructures depends on the  
74 shear strength of gap-graded soils. The presence of coarse aggregates affects the shear

75 strength of residual soils. Hence, a better understanding of coarse fraction effect on the critical  
76 state behavior of gap-graded soils is critical for the stability analysis of geostructures.

77 Study on the shear behavior of gap-graded soils has been documented by many researchers.  
78 However, there is still controversy on the coarse effect on the shear strength of gap-graded  
79 soils. Some of them reported that the shear strength is approximately constant with the  
80 increase of coarse content up to a threshold (Xu et al., 2019a; Muir Wood and Kumar, 2000;  
81 Vallejo and Mawby, 2000). Other researchers concluded that the shear strength increases  
82 continuously with the coarse fraction (Yin, 1999; Jafari and Shafiee, 2004; Elkady et al., 2015;  
83 Ruggeri *et al.*, 2016). The controversy may arise from a wide range of factors, including both  
84 the nature of aggregates and the fraction of phases, e.g., the particle size distribution, and  
85 particle shape of aggregates, the fraction and initial state of fine matrix, and the confining  
86 pressures (Yagiz, 2001; Shin and Santamarina, 2012; Elkady et al., 2015; Ruggeri et al., 2016;  
87 Zhao et al., 2018; Xiao et al., 2019b). For a better understanding of the above factors on the  
88 shear behavior of gap-graded soils, 22 triaxial compression tests are done on three types of  
89 gap-graded soils, including different coarse fractions, different particle shape and various  
90 initial void ratio of the fine matrix. The highlights of our work are as follow: (1) gap-graded  
91 soils with a prescribed void ratio of matrix are tested, so that the coarse fraction effect are  
92 separated and assessed; (2) the effect of initial state of matrix are evaluated, which is seldom  
93 reported by previous researchers; (3) the critical state behavior of gap-graded soils is  
94 discussed, and the mechanisms governing the coarse fraction effect are addressed.

95

## 96 **Materials and approach**

### 97 *Gap-graded materials*

98 Gap-graded soils rich in fine sand particles occur extensively in mountainous areas in western

99 China (Chen et al., 2019a; Xu et al., 2019b). An example of this type of materials is a  
100 weathered residual soil (from Chongqing province, China) with a deficiency of particle size  
101 between 0.425 mm and 2.0 mm. The particle size distribution curve indicates a coefficient of  
102 uniformity of 35.47. The fine particles in gap-graded soil originate from the disintegration of  
103 intact sandstones due to weathering process, and the coarse gravels is strongly weathered due  
104 to moist change. The weathered aggregates may collapse during preparation, saturation,  
105 consolidation and shearing process. To avoid the disintegration of aggregates and focus on the  
106 effect of coarse fraction, the coarse aggregates are replaced by steel beads and feldspar  
107 gravels. Previous work on random particulate composites suggests that the overall stiffness is  
108 controlled by fine matrix after the stiffness of inclusions surpasses a certain value (Tu *et al.*,  
109 2005). Therefore, the difference in stiffness of aggregates may not lead to a distinct difference  
110 in the overall behavior. Fig. 1 shows the fines, steels beads, gravels and their mixtures. The  
111 steel beads are spherical and most of the gravel particles have a subangular shape.

112 The basic physical properties of the aggregates are given in Table 1. The diameter of coarse  
113 particles varies between 2.0 mm and 20 mm, and the particle size distribution (both steel  
114 beads and gravels) is consistent with that of the gravels in the weathered residual soil. The  
115 specific gravity of the steel beads and the gravel is 7.90 Mg/m<sup>3</sup> and 2.65 Mg/m<sup>3</sup>, respectively.  
116 The fine particles of the residual soil smaller than 0.425 mm is used as the fines for the  
117 mixtures. It has a maximum void ratio of 1.323 and a minimum void ratio of 0.406. The  
118 maximum dry density of the fines is 1.94 Mg/m<sup>3</sup>, and the optimum moisture content is 12%.  
119 The density of fine soil particles is 2.73 Mg/m<sup>3</sup>. The particle size distribution of fines is given  
120 in Table 1. It is seen that the fine matrix has a negligible fraction of clay particles  
121 (diameter<0.002 mm).

122 Fines are mixed with different amounts of steel beads or gravel to obtain various gap-  
123 graded mixtures. Details of the soil specimens and triaxial tests are given in Table 2. Five

124 initial volume fractions of the coarse particles are adopted, designated as 0%, 9.9%~10.0%,  
125 20.0%, 32.2%~32.3%, and 44.5%, respectively. Note that the coarse fraction denotes the dry  
126 mass fraction of coarse aggregates in the sequel, unless otherwise specified. A maximum  
127 volume fraction of 44.5% is adopted for the coarse aggregates, since this study is on the  
128 matrix-sustained structure of gap-graded soils. The results of this work may provide a  
129 reference for the strong weathered soils or of core of embankment dams, where the fines are  
130 dominant in gap-graded soils.

131 The main purpose of this study is to investigate the coarse fraction effect of the shear  
132 behavior of gap-graded soils. To this end, three series of triaxial tests are done: (1) Series-1,  
133 loose sand matrix mixed with steel beads. Five different coarse fractions (by mass, from 0%  
134 to 79.7%) and three different confining stress (200 kPa, 300 kPa and 450 kPa) are considered  
135 in this series. (2) Series-2, loose sand matrix mixed with feldspar gravels. All the tests are  
136 done at a confining stress of 300 kPa, and five different coarse fractions (by mass) are  
137 considered, varying from 0% to 56.9%. and (3) Series-3, dense sand matrix mixed with gravel.  
138 The tests are done at 300 kPa, with coarse fraction (by mass) from 0% to 55.0%.  
139 Correspondingly, three factors affecting the coarse fraction effect are investigated in this  
140 study: (1) The effect of confining stress can be clarified based on the results of Series-1. (2)  
141 Since the particle shape is distinctly different between the steel beads and feldspar gravels, the  
142 effect of particle shape of aggregates is highlighted by comparing Series-1 with Series-2; (3)  
143 The same coarse aggregates are adopted in Series-2 and Series-3, hence, one distinguishes the  
144 effect of the initial density of fine matrix.

#### 145 *Sample preparation*

146 The initial size of triaxial specimens is 10 cm in diameter and 20 cm in height. All the  
147 specimens were prepared using a moist tamping technique. First, water was added to the fines  
148 for an initial water content of 12%, and it was kept inside a plastic bag for several hours for a

149 moisture homogenization. Then, the fines were mixed with the coarse material (steel beads or  
150 gravel) in room conditions. Afterward, the sample was compacted inside a cylindrical mold in  
151 5 layers (using a vibrating hammer). The top surface of each layer was scored for a better  
152 bonding between adjacent layers. Finally, the specimen was extruded from the mold to  
153 triaxial cell for saturation, consolidation and compression process. Note that the type of  
154 coarse aggregates and initial density of fine matrix are the same in each series of triaxial tests.  
155 In this case, the effect of coarse fraction can be evaluated. The particles size distribution  
156 curves of the gap-graded samples are shown in Fig. 2, including different type of aggregates,  
157 various initial densities and coarse fractions. The particle size distribution curve of sand-steel  
158 beads mixtures lies below the one containing gravel due to the high density of steel beads. For  
159 the gap-graded soils, the gravels float in sand matrix. It prevents breakage of the aggregates  
160 during the triaxial loading process. Therefore, the particle size distribution of gravels remains  
161 unchanged.

## 162 *Test procedures*

163 The compacted specimen was extruded from the cylindrical mold to the triaxial cell and  
164 sealed with a membrane. Afterward, a small effective confining stress was applied to hold the  
165 specimen. Two methods are adopted for saturation of the specimens: First, carbon dioxide  
166 ( $\text{CO}_2$ ) was percolated through the specimens for more than 10 hours, followed by a flooding  
167 of deaired water from the bottom of the specimens. Further, the specimen was saturated by  
168 applying a back pressure of at least 200 kPa. Following the two procedures, the B value of  
169 Skempton's pore water pressure parameter is higher than 0.97. Finally, the effective confining  
170 stress was increase to a desired consolidation stress. Table 2 summarizes the testing program  
171 for the specimens. After being fully consolidated, drained triaxial tests were done under a  
172 constant loading rate of 0.1mm/min. The triaxial tests were stopped if the asymptotic state is  
173 approached or the axial strain is higher than 20%. The axial deformation, axial load, and

174 volume change of the specimens were recorded during the loading process.

175

## 176 **Variables of gap-graded soils**

177 The gap-graded soils in this study are a mixture of sand and coarse aggregates (steel beads or  
178 gravels). In this kind of mixture system, the two phases possess distinctly different stiffness  
179 (Weng, 1990; Tu et al., 2005; Shi and Yin, 2018; Shi et al., 2019), and the deformation can be  
180 assumed to be fully concentrated within the sand matrix. As reported by previous researchers,  
181 the volume average approach can well describe the behavior of gap-graded mixtures (Tandon  
182 and Weng, 1988; Shi et al., 2020). If the strain variables are defined as volume-average values,  
183 one derives the relationship between the overall strains and the values of matrix

$$184 \quad \varepsilon_p = (1 - \phi_a) \varepsilon_{ps}; \quad \varepsilon_q = (1 - \phi_a) \varepsilon_{qs} \quad (1)$$

185 where  $\varepsilon_{ps}$  and  $\varepsilon_{qs}$  are volumetric strain and deviatoric strain of the sand matrix, respectively;  
186  $\varepsilon_p$  and  $\varepsilon_q$  are the corresponding overall strains of the gap-graded soils;  $\phi_a$  is the volume  
187 fraction of the coarse aggregates, which is equivalent to the inter-aggregate void ratio adopted  
188 by others (Thevanayagam and Mohan, 2000, Monkul and Ozden, 2007; Deng et al., 2017).  
189 Obviously, the volume fraction varies during the loading process, and  $\phi_a$  is a function of the  
190 overall void ratio  $e$  and the void ratio of sand matrix  $e_s$  (Shi et al., 2020). Considering that  
191 the aggregates are incompressible, the overall void ratio and sand void ratio are correlated,  
192 and one calculates the volume fraction of aggregates. Note that two types of fractions are used:  
193 the dry mass fraction  $\psi_a$  and the volume fraction  $\phi_a$  of aggregates. The dry mass fraction is  
194 constant during mechanical process in laboratory testing. However, in constitutive modeling  
195 and numerical simulations, the volume fraction  $\phi_a$  varies with stress level, therefore, it is  
196 usually used for homogenizing state variables of inhomogeneous soils, e.g., Eq. (1).



197 The incremental stress in the matrix usually differs from that of in the aggregates during  
198 loading process (de Boer, 2006; Shi and Yin, 2018). However, the stress in the constituents is  
199 difficult to be measured for the cohesionless soils due to the initial density effect (Chandler,  
200 2000). Therefore, only the overall stresses in the gap-graded soils are analyzed in this work.  
201 Two overall stress invariants,  $p'$  (overall effective mean stress) and  $q$  (deviatoric stress), are  
202 adopted. Both are defined as the volume average values.

203

## 204 **Test results of gap-graded soils**

205 The overall behavior of particle-reinforced gap-graded soils relies on both the soil matrix and  
206 the inter-aggregate structure. As previously mentioned, three factors may contribute to the  
207 coarse fraction effect of gap-graded soils: the confining stress, the particle shape of aggregates,  
208 and the initial density of sand matrix. In the sequel, these factors will be analyzed based on  
209 the test results. In order to highlight the mentioned factors, the initial void ratio of the sand  
210 matrix in gap-graded specimens are the same for each series of tests.

### 211 *Behavior of pure sands*

212 It is well recognized that the behavior of matrix is a frame of reference for assessing the effect  
213 of particle-reinforced soil mixtures (Tandon and Weng, 1988; Shi et al., 2020). A series of  
214 conventional drained triaxial tests were performed on the pure sands at different confining  
215 pressures (Table 2). Two different initial densities are adopted, with relative densities of 0.69  
216 and 0.82, respectively. Fig. 3 presents two diagrams with the test results for the pure sands,  
217 including the evolution of stress ratio and volumetric strain. It is seen that both the dense and  
218 loose specimens show a strain-hardening behavior during triaxial loading. The stiffness of  
219 denser one is higher than that of the loose one, and the volumetric deformation of the denser  
220 specimens is much lower than that of the loose ones. However, the asymptotic state of them is

221 approximately the same.

222 The Critical State Line of the pure sand is shown in Fig. 4, both on the stress plane and the  
223 compression plane. Corresponding to the limit stress ratio in Fig. 3, the critical state of sand  
224 specimens (both loose and denser ones) in  $p'$ - $q$  stress plane can be well approximated by a  
225 unique line through the origin:

$$226 \quad q_s = M_s p'_s \quad (2)$$

227 where  $M_s=1.29$  is a strength parameter. As the overall stresses are the equivalent to the values  
228 of matrix in pure sands, the subscript “s” is used in Eq. (2) to distinguish between the pure  
229 sand matrix and gap-graded specimens. The critical state points of the pure sand in  
230 compression plane are shown in Fig. 4b in semi-logarithmic plot. The critical state of sand for  
231 different initial densities shows almost a unique relationship. The void ratio of sand decreases  
232 nonlinearly with the effective mean stress, which is consistent with the data for cohesionless  
233 soils from literature (e.g., Verdugo and Ishihara,1996; Riemer and Seed 1997; Sun et al.,  
234 2019). To this end, the empirical equation proposed by Li and Wang (1998) are adopted for  
235 the Critical State Line of pure sand:

$$236 \quad e_s = e_{\Gamma s} - \lambda_s \left( \frac{p'_s}{p_a} \right)^\xi \quad (3)$$

237 where  $e_{\Gamma s}$ ,  $\lambda_s$  and  $\xi$  are parameters of the critical state formula, the subscript “s” denotes pure  
238 sand material. There are three model parameters in Eq. (3), where  $e_{\Gamma s}$  corresponds to the void  
239 ratio at an infinitely value of small stress,  $\lambda_s$  is the slope of the compression line in terms of  
240  $e_s \sim (p'_s/p_a)^\xi$  relationship, and  $\xi$  represents the curvature of the curves in  $e_s \sim p'_s/p_a$   
241 relationship. As noted by Li and Wang (1998), the value of  $\xi$  varies between 0.6 and 0.8.  
242  $\xi=0.6$  is adopted in this work, and the other two parameters can be easily calibrated ( $e_{\Gamma s}$   
243  $=0.561$ , and  $\lambda_s=0.043$ ). In practical applications, one may simply choose a default value of  $\xi$

for developing the expressions of the critical state lines of interest.

#### *Effect of confining stress*

The test results may be not reliable enough in case of small stress levels. Therefore, we choose a minimum effective confining stress of 200 kPa. According to the previous study on the weathered residual soils, the sand particles in fine matrix may collapse at high stress levels. In this case, the particle degradation of fine sand particles is involved, and coarse fraction effect cannot be qualitatively assessed. Therefore, the effective confining stress between 200 kPa-450 kPa is adopted. For investigating of the effect of confining stress, the initial density of sand matrix ( $e_s=0.695$ ) is prescribed for all the specimens in this series. A loose matrix is adopted in this work to avoid the effect of over-consolidation on the shear behavior. It is assumed that the coarse aggregates and the sand matrix are “fully mixed”. ‘fully mixed’ denotes that the aggregates float homogeneously in the matrix when the sand fraction is higher than the inter-aggregate porosity. The initial void ratios of the gap-graded specimens are listed in Table. 2, which decreases with the coarse fraction (by dry mass).

Results of drained triaxial shear tests on gap-graded soils with different fractions of coarse steel beads, from 0% to 79.7%, are shown in Figure 5. The result of gap-graded soils is more pronounced at higher confining stress levels (300 kPa in Fig. 5b and 450 kPa in Fig. 5c). The initial shear stiffness increases as the coarse fraction increases; however, the peak value of overall stress ratio appears to be rather independent of the coarse fraction, even the coarse fraction approaches 79.7%. This is consistent with the published results that the stress ratio of the gap-graded soils is controlled by the mechanical behavior of the fine matrix for a matrix sustained structure (Xu et al., 2019b). The initial stiffness of gap-graded soils at lower stress level (200 kPa) is approximately the same at low coarse fractions (below 55.1%), which is probably due to the initial compaction during preparation process.

Change of overall volumetric strain with overall deviatoric strain are shown in Fig. 6. In

contrast with the stress ratio, the volumetric strain at various confining stress levels is significantly affected by coarse fraction. As the steel beads are incompressible compared with the softer sand matrix, the asymptotic volumetric strain falls as the coarse fraction increases. Thanks to the incompressible aggregates, the strain variables of sand matrix are calculated by Eq. (1). The change of overall stress ratio and volumetric strain of sand matrix (denoted as “sand volumetric strain”) with the deviatoric strain of sand matrix (denoted as “sand deviatoric strain”) are shown in Fig. 7a and Fig. 7b, respectively. It is found that both the overall stress ratio and the sand volumetric strain are essentially independent of coarse fraction, even the fraction of steel beads reaches 79.7%.

The critical state points of the gap-graded soils with various coarse fractions are plotted in Fig. 8a, together with the Critical State Line of pure sand. It indicates that the critical state of gap-graded soils (steel beads as inclusions) is well consistent with the Critical State Line of pure sands, regardless of confining stress and coarse fractions. The critical state points of the gap-graded soils on compression plane are summarized in Fig. 8b. The solid and dotted lines are Critical State Lines of gap-graded soils following Li and Wang (1998):

$$e = e_{\Gamma} - \lambda \left( \frac{p'}{p_a} \right)^{\xi} \quad (4)$$

Note that  $e_{\Gamma}$  and  $\lambda$  are the critical state parameters for gap-graded soils, different from the parameters  $e_{\Gamma_s}$  and  $\lambda_s$  in Eq. (3). The values of calibrated model parameters are given in Table 3. Both  $e_{\Gamma}$  and  $\lambda$  falls as the coarse fraction increases, since the presence of aggregates reduces the compressibility of the gap-graded soils.

### *Effect of particle shape of aggregates*

In the first series of tests, gap-graded soils with a high fraction of steel beads (79.7%) is tested at a confining stress of 300 kPa, with an initial coarse volume fraction as high as 44.5% (Fig

5b). The initial stiffness is significantly higher than that of the pure sand. However, after approaching its maximum value (overall deviatoric strain of 12%), the mixture shows a strain-softening behavior. As a result, the critical state shear strength is slightly lower than that of the pure sand. This differs from the results of sand-kaolin mixtures (Muir Wood and Kumar, 2000). In their study, the limit stress ratio is significantly higher than that of the pure clay matrix at a volume fraction of 45% (sand aggregates). The inconsistency between the previous work and this study may be induced by the shape of coarse aggregates. To this end, the effect of particle shape of aggregates is evaluated by replacing the steel beads with granular gravels.

To highlight the effect of particle shape of aggregates, the initial void ratio of sand matrix (loose matrix,  $e_s=0.695$ ) and the initial volume fraction of aggregates are designated. Both are the same as the first series (Table 2). Fig. 9 summarizes the results of drained triaxial tests on sand-gravel mixtures at an effective confining stress of 300 kPa. Analogous to the first series, the initial stiffness rises as the coarse fraction increases. The asymptotic stress ratio appears to be unchanged as the coarse fraction increases from 0% to 43.9%. However, it shows a striking increase at a coarse fraction of 56.9%, with an initial volume fraction of 44.5%. The overall volumetric strain reduces with increasing coarse fraction, and the results are comparable to those of the sand-steel beads mixtures.

The change of overall stress ratio and sand volumetric strain are plotted in Fig. 10, it is found once again that both the overall stiffness and volumetric deformation of sand matrix are independent of coarse fraction until the coarse fraction reaches 56.9%. The critical state points are plotted in Fig. 11. The ultimate stress ratio is in good agreement with the Critical State Line of pure sand as the coarse fraction increases from 0% to 43.9%. However, the ultimate stress ratio deviates from the Critical State line at a coarse fraction of 56.9%. Obviously, this conclusion is not consistent with the results in the first series, and this difference arises from

the different particle shape of coarse aggregates in these two series of tests. As shown in Fig. 11b, the critical state points of sand-gravel mixtures are approximately close to the corresponding Critical State Lines of sand-steel beads mixtures.

#### *Effect of initial density of sand matrix*

The effect of initial density of clay slurry on the compression behavior of sand-clay mixtures is done by Shi and Yin (2017) and Shi et al. (2018). However, the effect initial density of fines on shear behavior of gap-graded soils is seldomly reported by previous researchers. To reveal the influence of matrix density on the coarse fraction effect of gap-graded soils, the third series of triaxial tests (dense matrix,  $e_s=0.570$ ) are done in comparison with the second series (loose matrix,  $e_s=0.695$ ). The granular feldspar gravels, as adopted in the second series, are used for creating the mixtures.

The relationship between overall stress ratio (volumetric strain) and overall deviatoric strain for the gap-graded soils with various coarse fractions (third series) is shown in Fig. 12. It is seen that the curves show strain-hardening and volume-contraction behavior for all specimens. In general, both the stiffness and ultimate shear strength increases continuously with rising coarse aggregates, as the coarse fraction increases from 0% to 55.5%. This is consistent with the results of various compacted gap-graded soils from literature (e.g., Yin, 1999; Jafari and Shafiee, 2004; Ruggeri et al., 2016; Xu et al., 2019b). The change of overall stress ratio and sand volumetric strain with sand deviatoric strain is shown in Fig. 13. The volume change of sand matrix is analogous to the first series and second series, which is independent with the coarse fraction. The effect of coarse fraction on stiffness vanishes within small strain ranges, and the curves with various coarse fraction deviate as the sand deviatoric strain increases. This reveals that the deviatoric stress becomes more nonuniform with the shearing process. As illustrated in Fig. 14a, the critical state points of gap-graded soils in stress plane deviates from the Critical State Line of pure sand as the coarse fraction increases. However, the critical

state points in the compression plane are located approximately on the corresponding Critical State Lines of the gap-graded soils containing steel beads (Fig. 14b). This is due to different effect of structure change on the effective mean stress  $p'$  and deviator stress  $q$ : the effective mean stress in gap-graded soils is more uniform than the deviator stress.

The difference in compression curves of gap-graded soils (Figs 11 and 14) originates from the coarse fraction. With increase of coarse fraction, the deformable sand matrix is replaced by solid aggregates. Therefore, the compression curve moves downwards as the coarse fraction rises. The curves with different coarse fractions tend to be converged, since the deformable fine sand matrix is densified with increasing stress level. However, the curves tend to converge toward zero, i.e., the fine sand matrix becomes a solid, at extremely high stress level.

## Discussions

The strongly weathered residual soils usually possess a matrix-sustained structure, and the overall behavior is mainly controlled by the sand matrix, but still partially affected by the presence of coarse aggregates. The above section presents the present three factors affecting the coarse fraction effect of gap-graded soils. The mechanisms governing their influence on coarse fraction are tentatively discussed in the sequel.

Two phenomena may be responsible for the transmission of inter-aggregate forces and thus affect the reinforcement of coarse inclusions: (1) partial contacts between aggregates, and (2) sand bridges within gap-graded soils (Fig. 15). The partial contacts between the aggregates arises from the nonuniform distribution of coarse inclusions (Shi et al., 2019). The number of contacts rises with the increasing coarse fraction. The sand bridges form due to the densification of sand matrix between adjacent coarse aggregates. This stiff sand layer acts like a bridge, which contributes to the loading transmission in inter-aggregate structure (Jafari and

367 Shafiee 2004; Shi et al., 2019).

368 The effect of initial void ratio on the mechanical behavior of gap-graded soils can be  
369 classified into two points: (1) the volume fraction of aggregates decreases as the initial void  
370 ratio increases. This leads to a decreasing number of contacts between aggregates, and the  
371 coarse fraction effect becomes weaker. (2) The loading bearing capacity of densified sand  
372 bridges becomes lower with the increase of initial void ratio; therefore, the sand bridge is  
373 more fragile, which collapses easily during shear process. For the gap-graded soils mixed  
374 with loose sand matrix and steel beads, the two phases are fully mixed during the comparison  
375 process, therefore, the number of partial contact is smaller, and the reinforcement of coarse  
376 aggregates is negligible. The overall mechanical response is controlled entirely by the sand  
377 matrix up to a coarse volume fraction of 44.5%. For the gap-graded soils mixed with loose  
378 sand matrix and granular gravels, the inter-aggregate structure is weak at low coarse fractions  
379 (below 43.9%), but the increasing partial contacts start to transmit the force and reinforce the  
380 inter-aggregate structure at a volume fraction (coarse) of 44.5%. Therefore, the stiffness and  
381 shear strength are higher than those of the pure matrix, as well as the sand-steel beads mixture  
382 with coarse fraction of 79.7% (volume fraction = 44.5%). For the gap-graded soils mixed with  
383 dense sand and steel beads, the sand bridge forms during compaction and consolidation. The  
384 densified sand bridge may contribute to the continuous increase of shear strength with coarse  
385 fraction. At a volume fraction of 44.5% (coarse), the striking increase of shear strength is  
386 attributed to the combining effect of the partial contacts and sand bridge between coarse  
387 aggregates.

388 The method described by Clayton et al. (2009) is adopted to quantify two average two-  
389 dimensional shape parameters of aggregates, including aspect ratio and roundness. A similar  
390 method used by Altuhafi et al. (2016) is adopted to study this effect. After the image  
391 acquisition and analysis, average values of aspect ratio and roundness of aggregates are



392 calculated based on the particle size distribution of coarse aggregates (Fig. 1c). The values are  
393 summarized in Table 2. Note that the values of shape parameters are based on digital image  
394 processing (DIP) method (Chen et al., 2020). The methods used in 3D type usually use Xray  
395  $\mu$ CT and 3D laser scanner, which is more realistic. In general, the aspect ratio from 2D  
396 analysis is smaller than the 3D values due to the preferred orientation of minor axes (Chen et  
397 al., 2020).

398 There are extensive previous work on clay-sand or clay-gravel mixtures (e.g., Yin, 1999;  
399 Jafari and Shafiee, 2004; Monkul and Ozden, 2007; Elkady et al., 2017; Deng et al., 2017; Shi  
400 and Yin, 2017; Shi et al., 2018), and the evolution of its structure is investigated. However,  
401 the matrix of sand-gravel mixtures in this study is different from the mixtures with cohesive  
402 matrix. This leads to significant mechanical behavior between the two soils, e.g., its state-  
403 dependent behavior. The normal compression line of clays is usually supposed to be unique,  
404 however the compression behavior of granular soils strongly depends on its initial state  
405 (Elkady et al., 2015; Shi and Yin, 2017; Bian et al., 2020; Shi and Zhao, 2020). This would  
406 have distinct influence on the overall mechanical behavior of gap-graded soils.

407

## 408 **Conclusions**

409 Three series of triaxial shear tests has been done on gap-graded soils to investigate the coarse  
410 fraction effect, and to analyze the factors affecting the coarse fraction effect, including the  
411 confining stress, the particle shape and the initial density of sand matrix. Conclusions are  
412 summarized as follows:

413 (1) The shear strength of sand-beads mixtures is independent of both the confining stress and  
414 the coarse fraction, even the coarse volume fraction reaches as high as 44.5%. This is due to  
415 fragile sand bridge and negligible number of partial contacts between aggregates.

416 (2) The shear strength of loose sand-gravel mixtures is independent of the coarse fraction at

low volume fraction of aggregates. The effect of particle shape of aggregates is effective only at a high coarse fraction of 44.5%, where the partial contacts between aggregates plays an important role in forming the inter-aggregate structure.

(3) The shear strength of dense sand-gravel mixtures increases continuously with rising coarse aggregates, as the coarse volume fraction increases from 0% to 44.5%. This is due to the densified sand bridge between the aggregates, which in turn, affects the overall behavior of gap-graded soils.

#### **Data Availability Statement**

Some or all data, models, or code that support the findings of this study are available from the corresponding author upon reasonable request. (data in Figs 2-14).

#### **Acknowledgments**

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**2 List of tables**

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4 Table 1. Basic properties of the tested materials

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Table 1: Basic properties of the tested materials

Tested materials	Density of particles (Mg/m <sup>3</sup> )	Clay (%)	Silt (%)	Sand (%)	Gravel (%)		
					2-5mm	5-10mm	10-20mm
Sand	2.73	1.8	9.6	88.6	0	0	0
Steel beads	7.90	0	0	0	27.5	32.7	39.8
Gravel	2.65	0	0	0	27.5	32.7	39.8

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Table 2: Details of the soil specimens and triaxial tests

Soil type	Coarse fraction (%)		Effective consolidation stress (kPa)	Void ratio (After compaction)	Void ratio (After consolidation)	Aspect ratio (-)	Roundness (-)
	dry mass	volume					
Loose sand	0	0	200	0.695	0.562	-	-
	0	0	300	0.695	0.537		
	0	0	450	0.695	0.504		
Dense sand	0	0	300	0.570	0.503	-	-
Loose sand + steel beads	35.2	10.0	200	0.585	0.476	1.00	1.00
	35.2	10.0	300	0.585	0.456		
	35.2	10.0	450	0.585	0.431		
	55.1	20.0	200	0.488	0.404		
	55.1	20.0	300	0.488	0.387		
	55.1	20.0	450	0.488	0.365		
	70.0	32.2	200	0.385	0.312		
	70.0	32.2	300	0.385	0.300		
	70.0	32.2	450	0.385	0.286		
	79.7	44.5	300	0.294	0.250		
Loose sand + gravel	15.3	9.9	300	0.586	0.442	1.35	0.76
	29.1	20.0	300	0.488	0.370		
	43.9	32.3	300	0.385	0.304		
	56.9	44.5	300	0.294	0.236		
Dense sand + gravel	14.1	9.9	300	0.486	0.432	1.35	0.76
	27.6	20.0	300	0.409	0.364		
	42.0	32.3	300	0.326	0.287		
	55.0	44.5	300	0.252	0.225		

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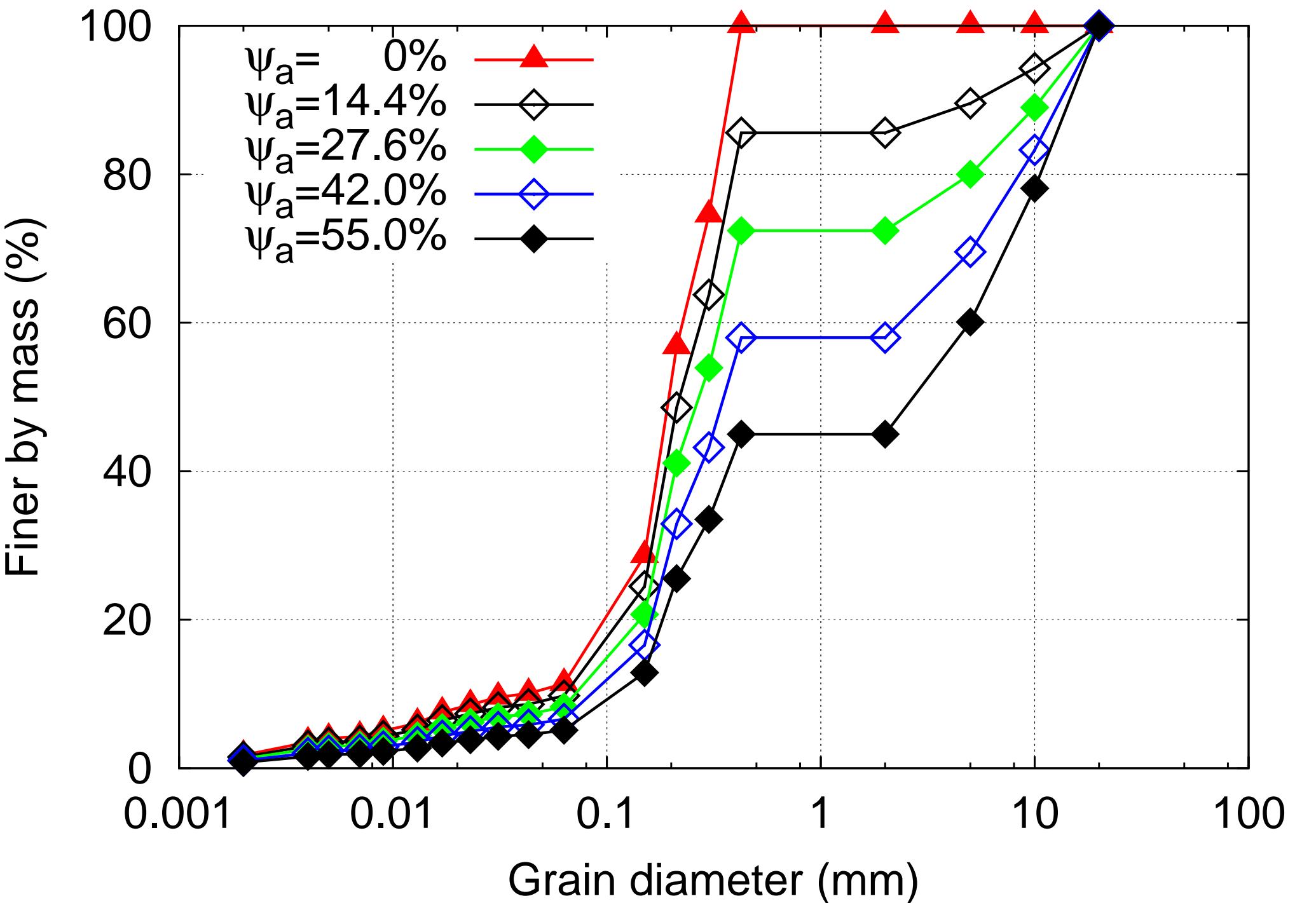
Table 3: Critical state parameters of the sand-steel beads mixtures

Coarse fraction (%)	0	35.2	55.1	70.0
$e_r$	0.561	0.480	0.406	0.289
$\lambda$	0.043	0.037	0.031	0.014

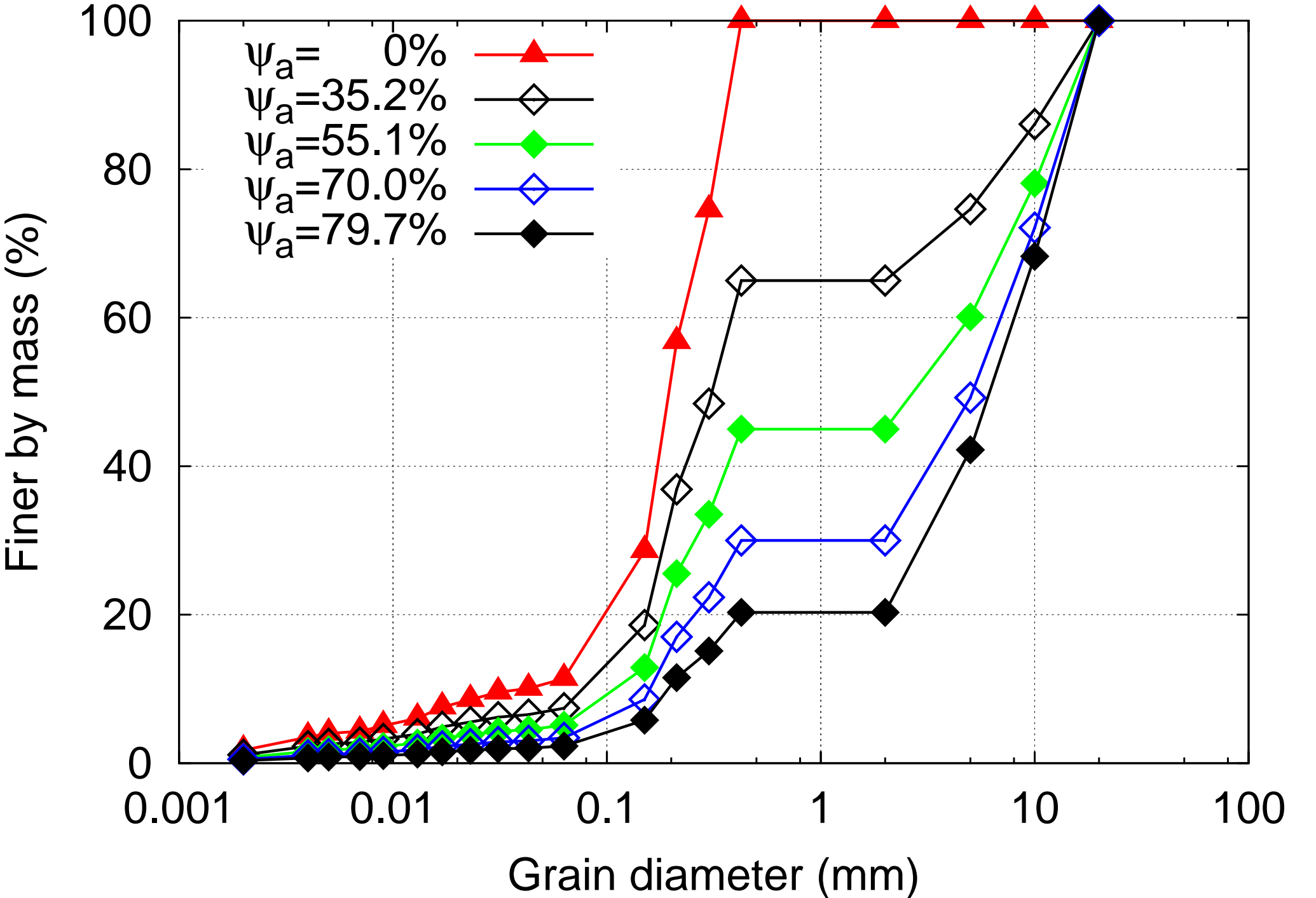












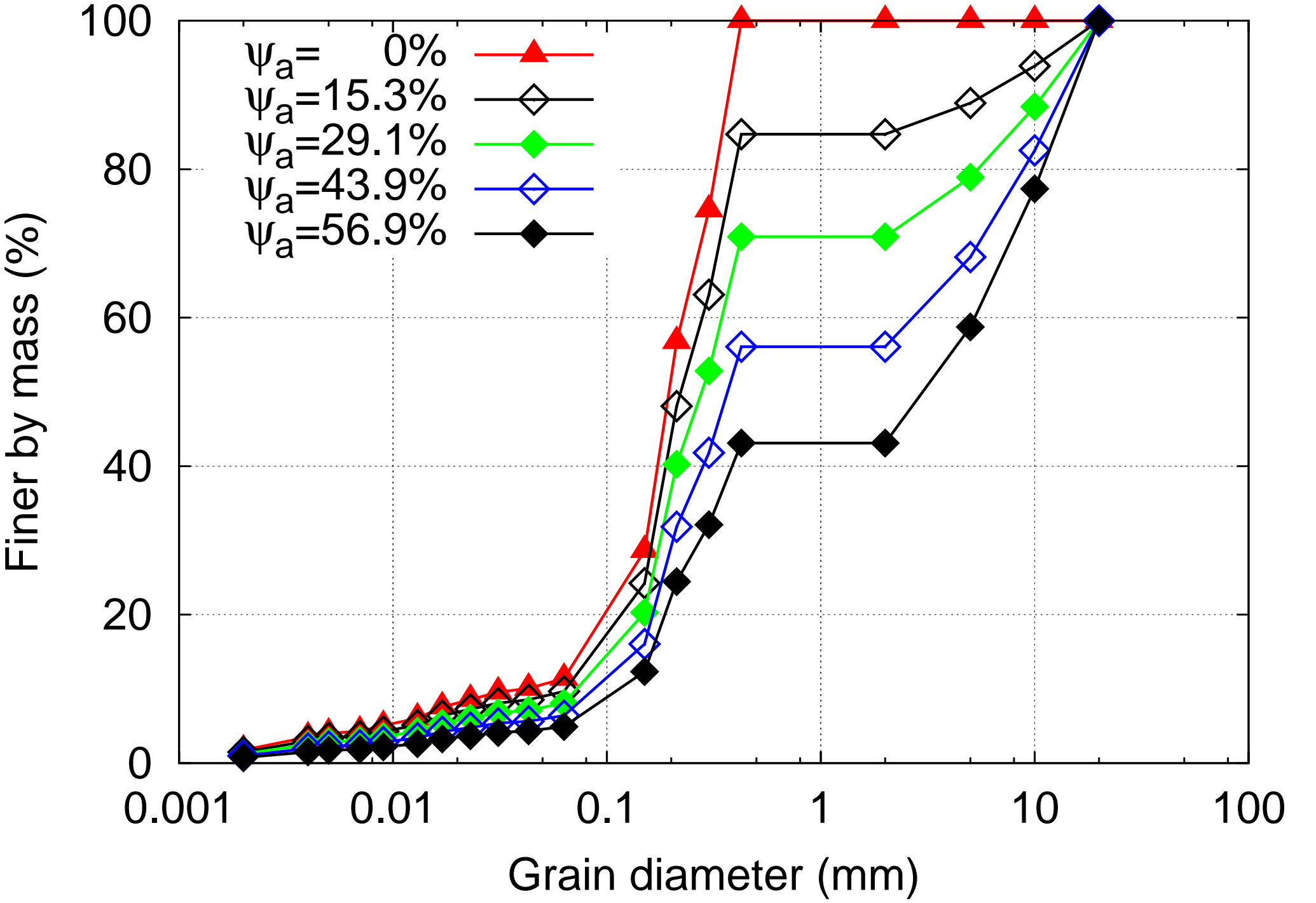


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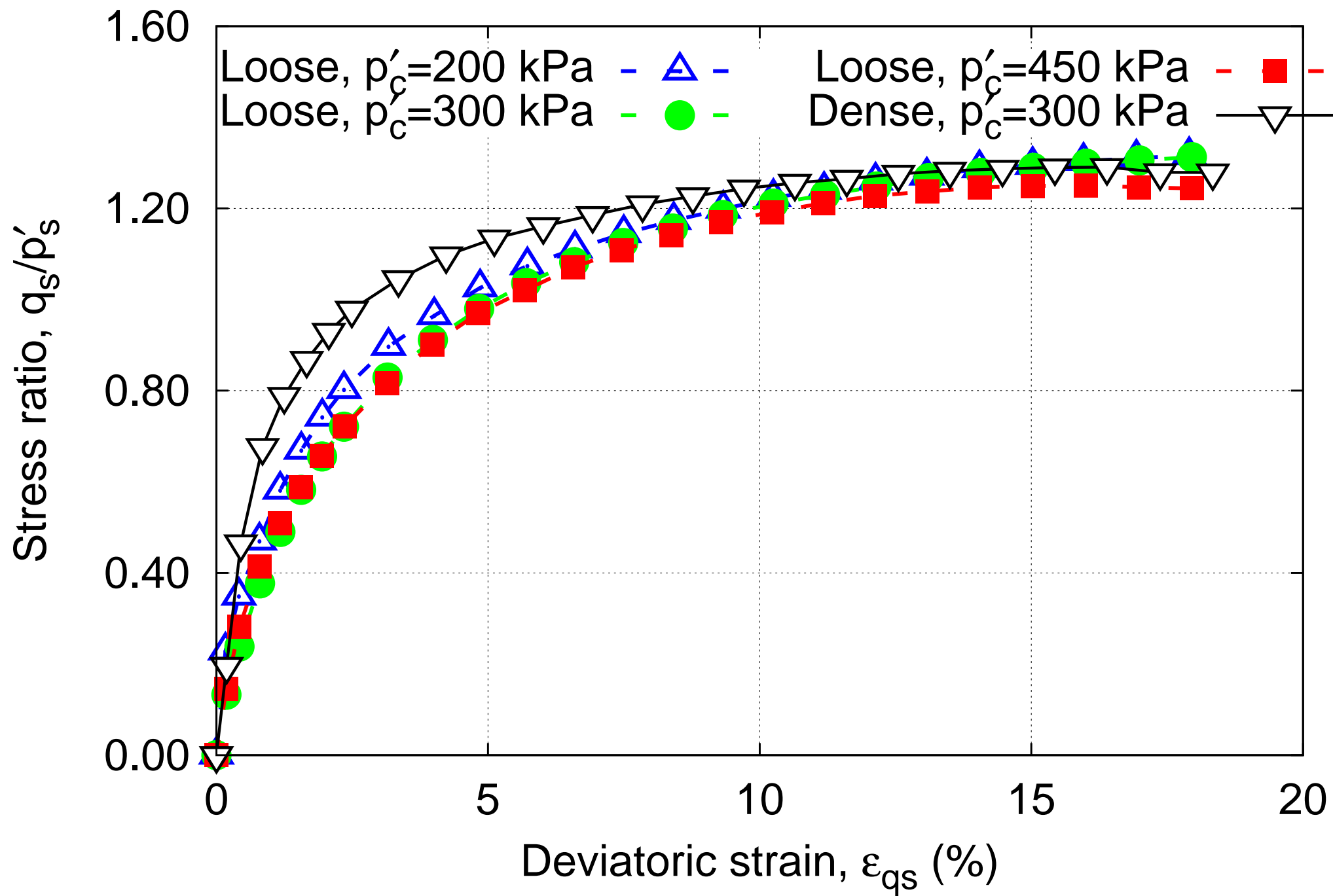


Figure 3b

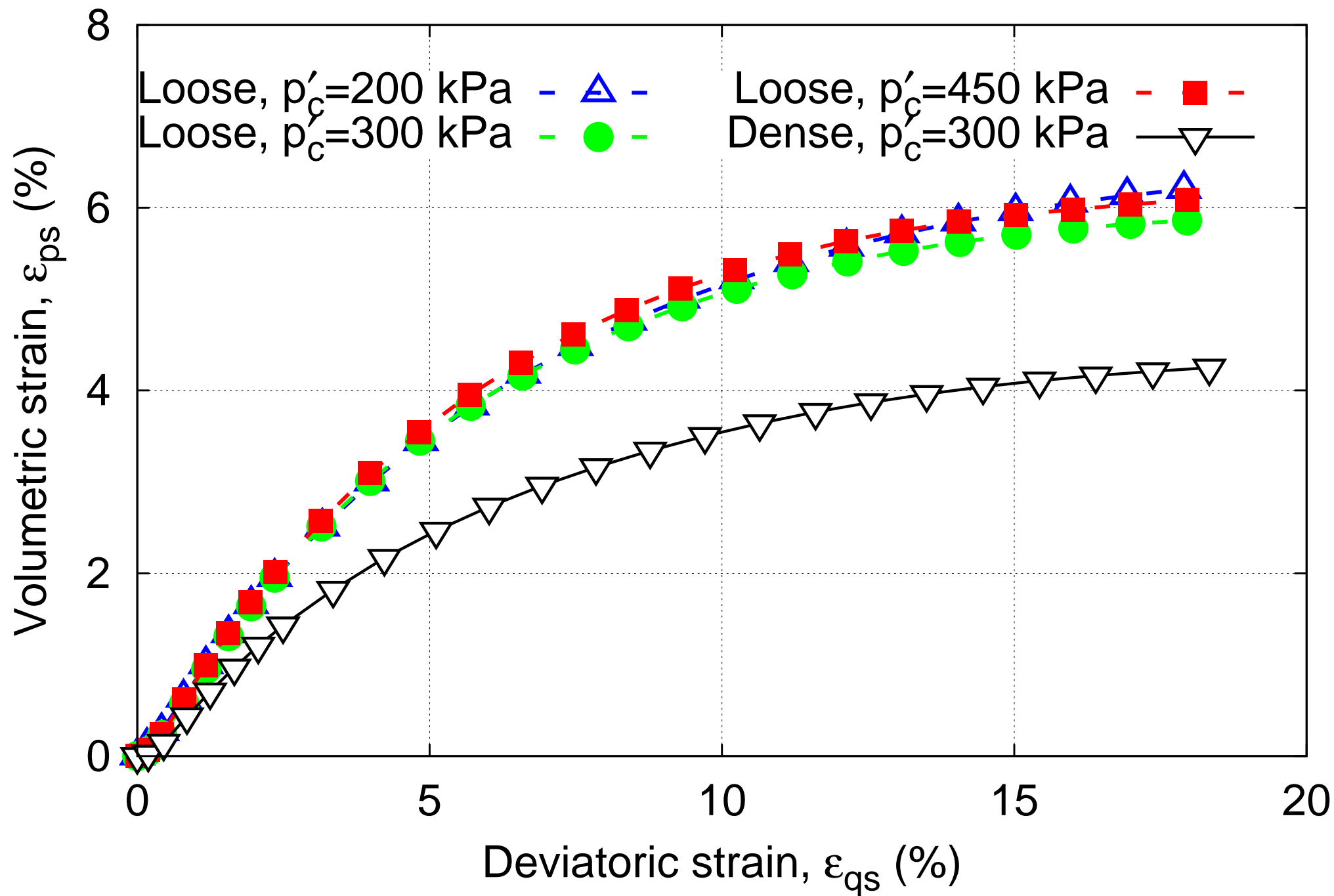
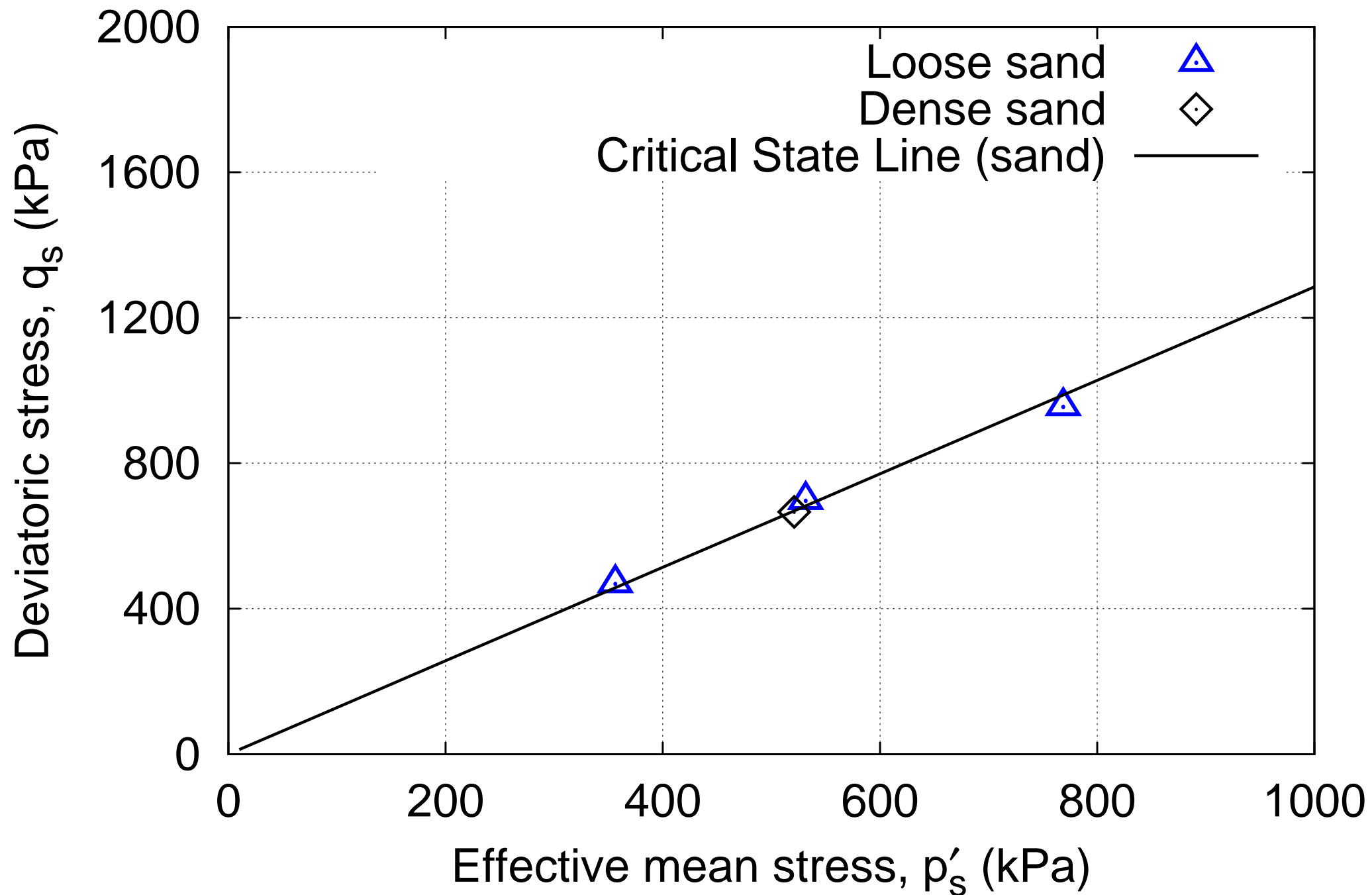


Figure 4a



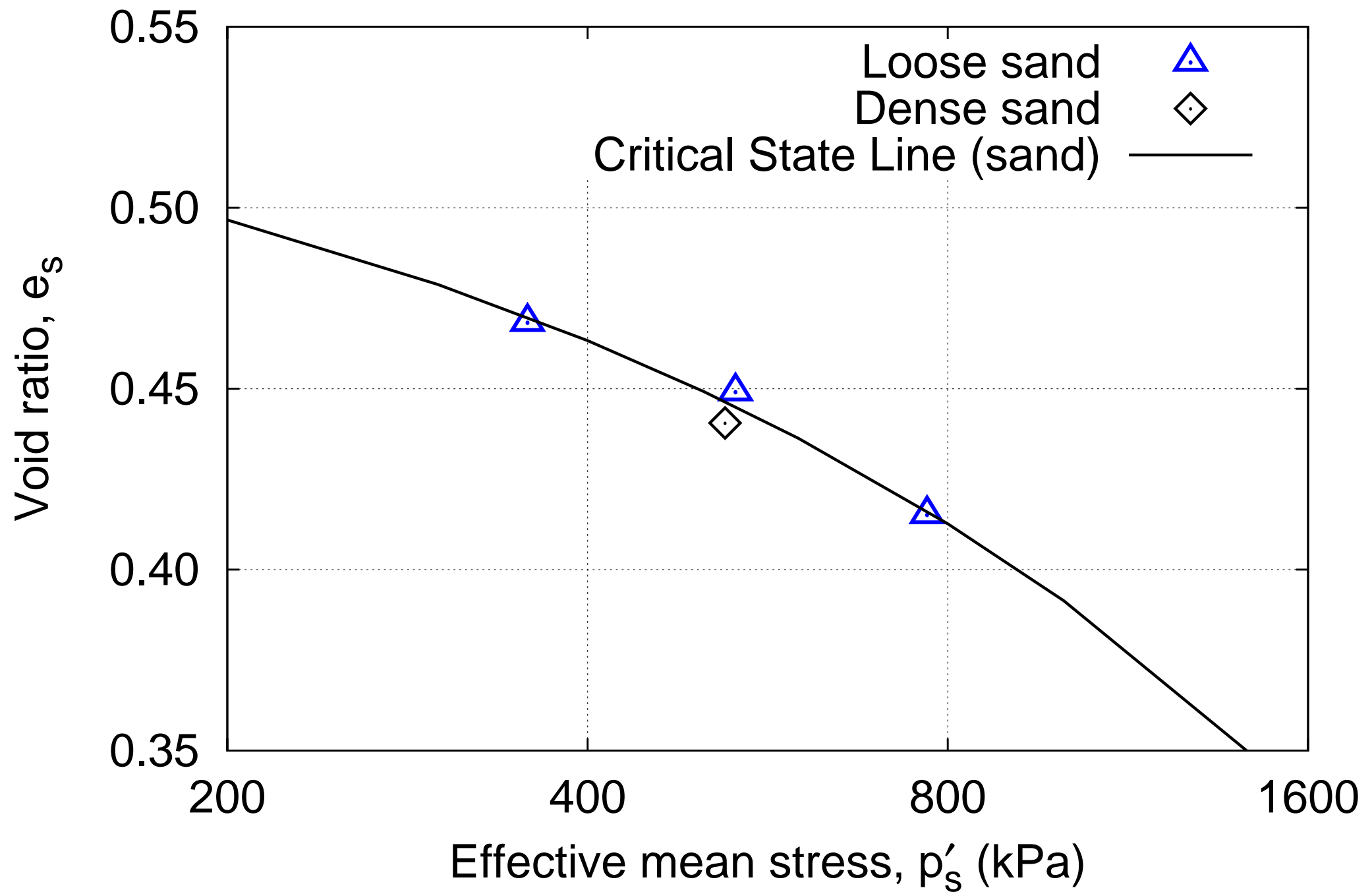


Figure 5a

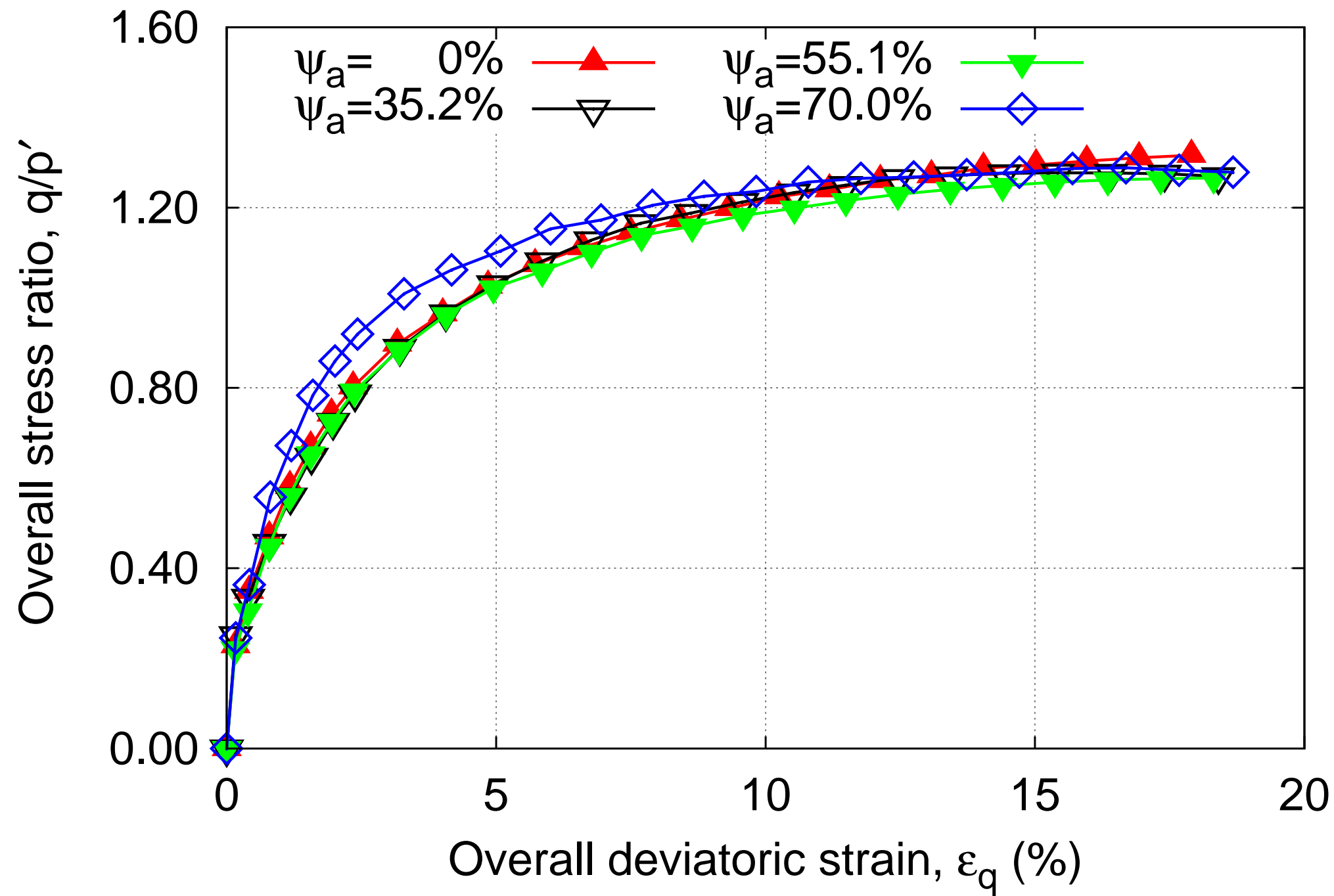


Figure 5b

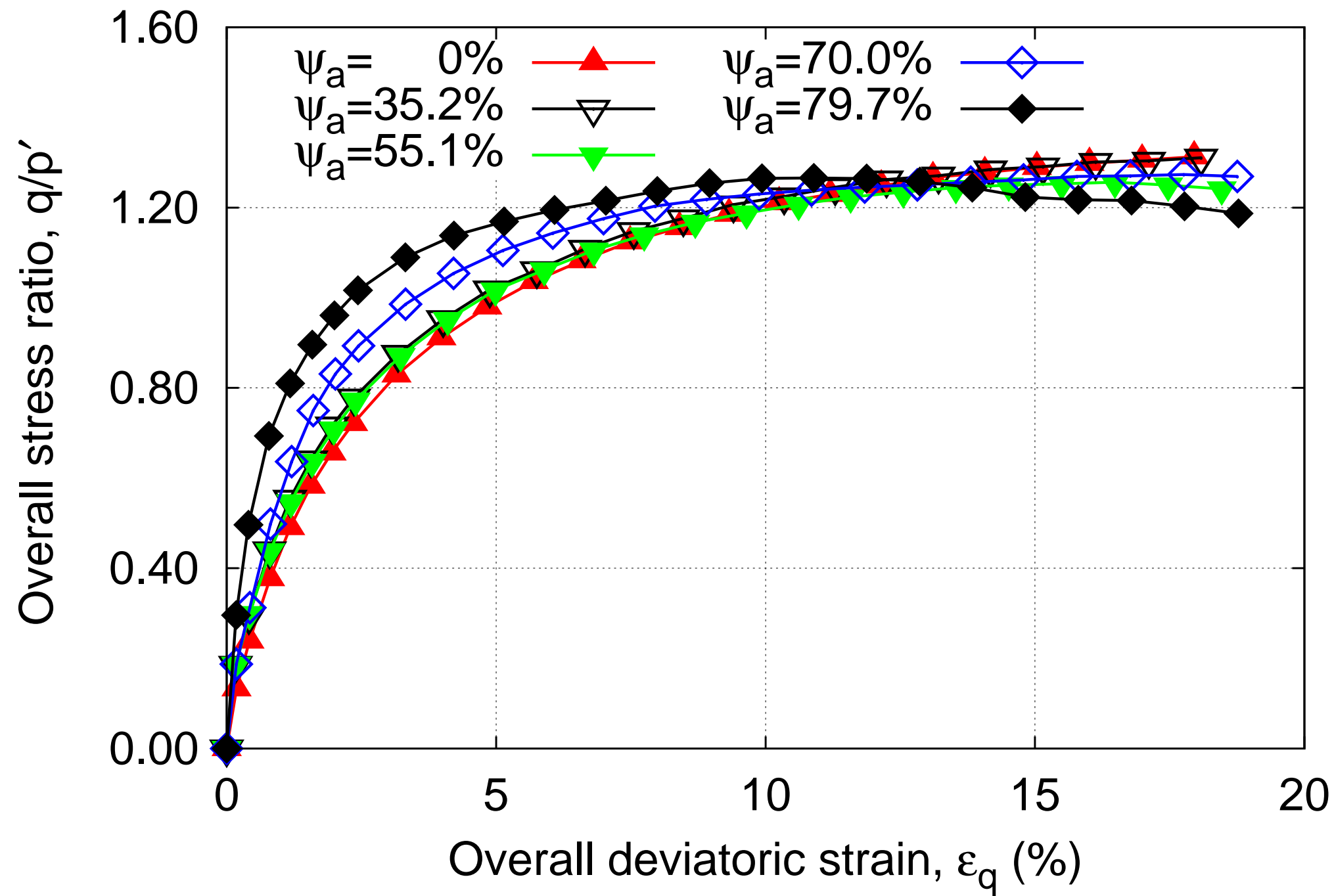




Figure 5c

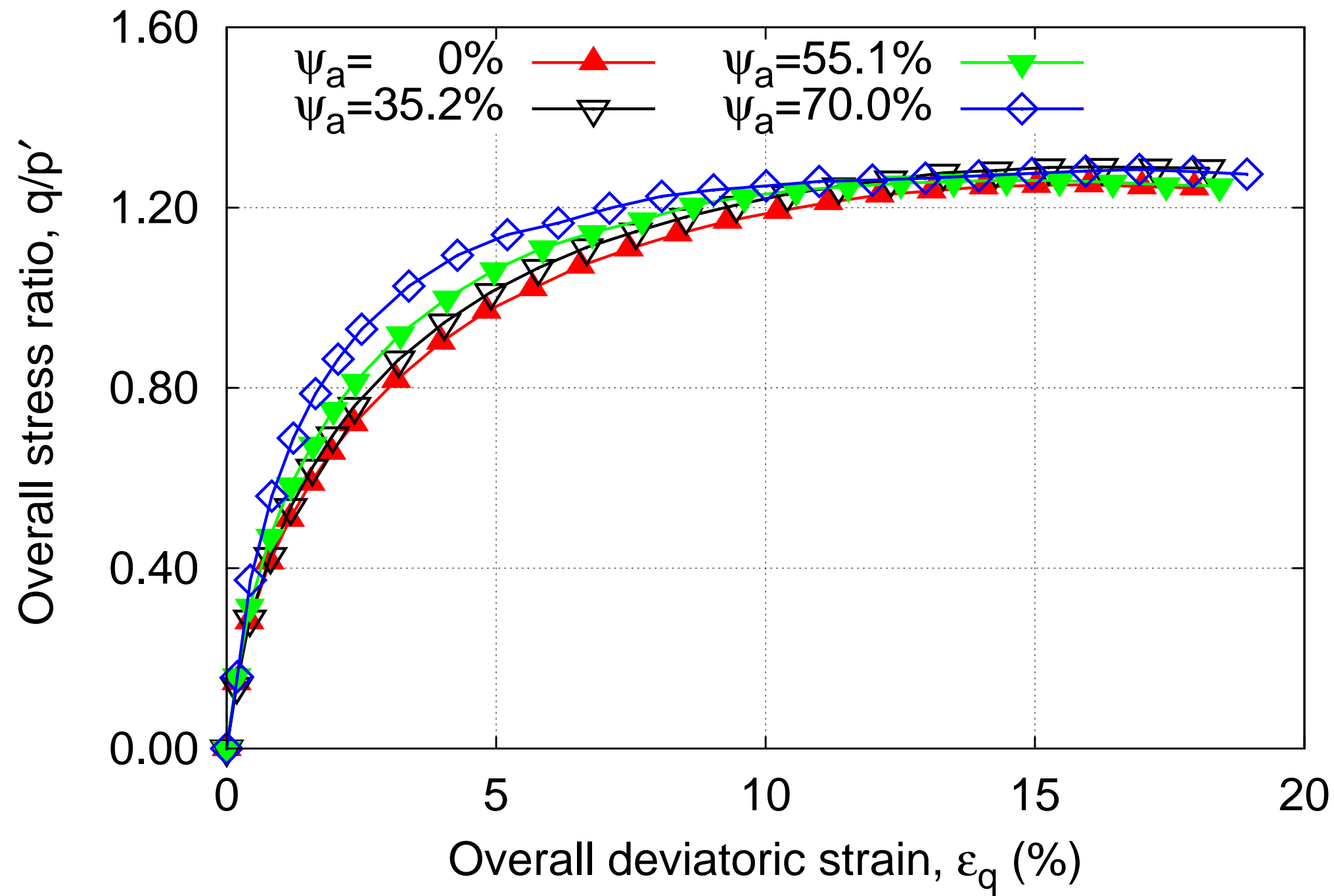


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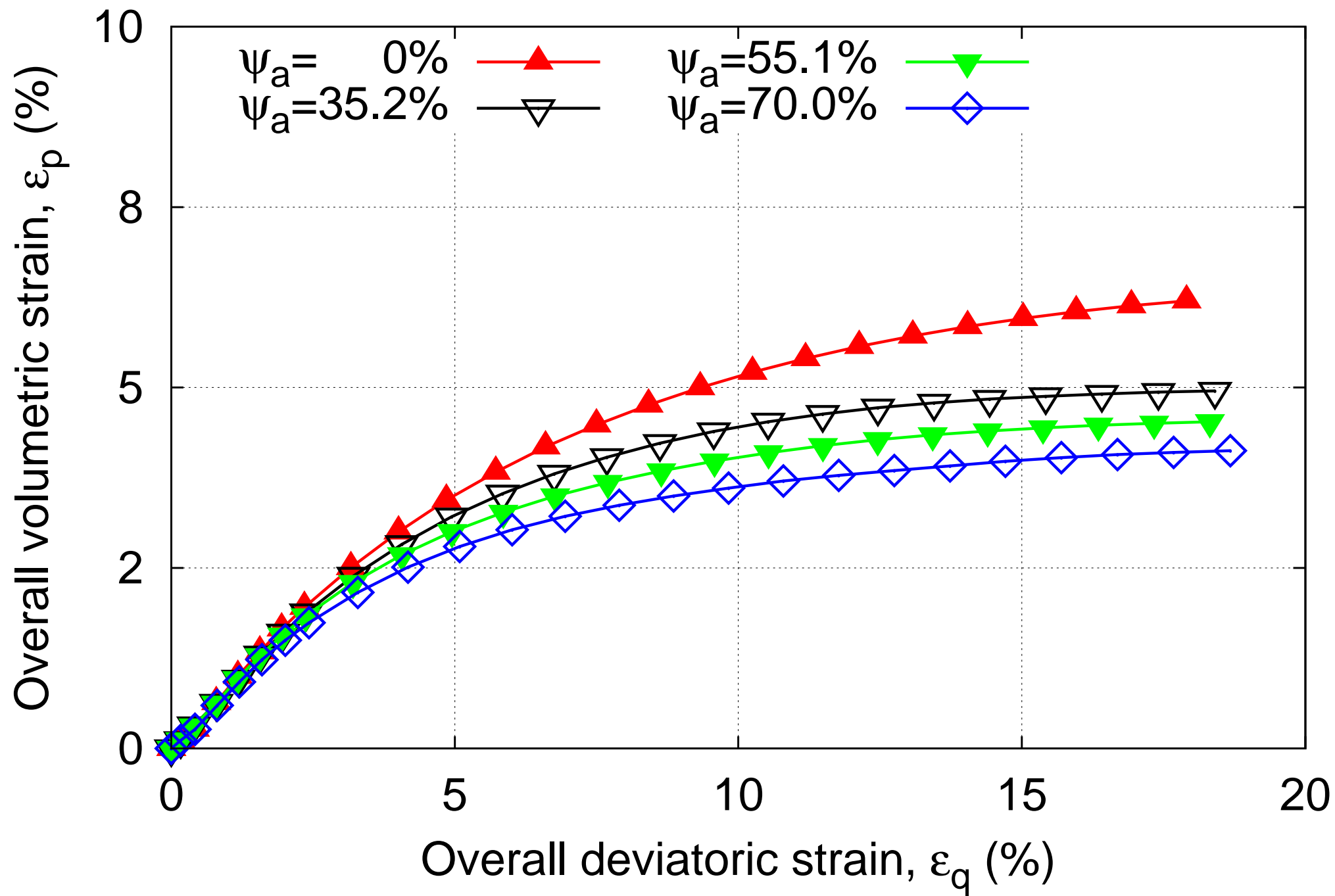


Figure 6b

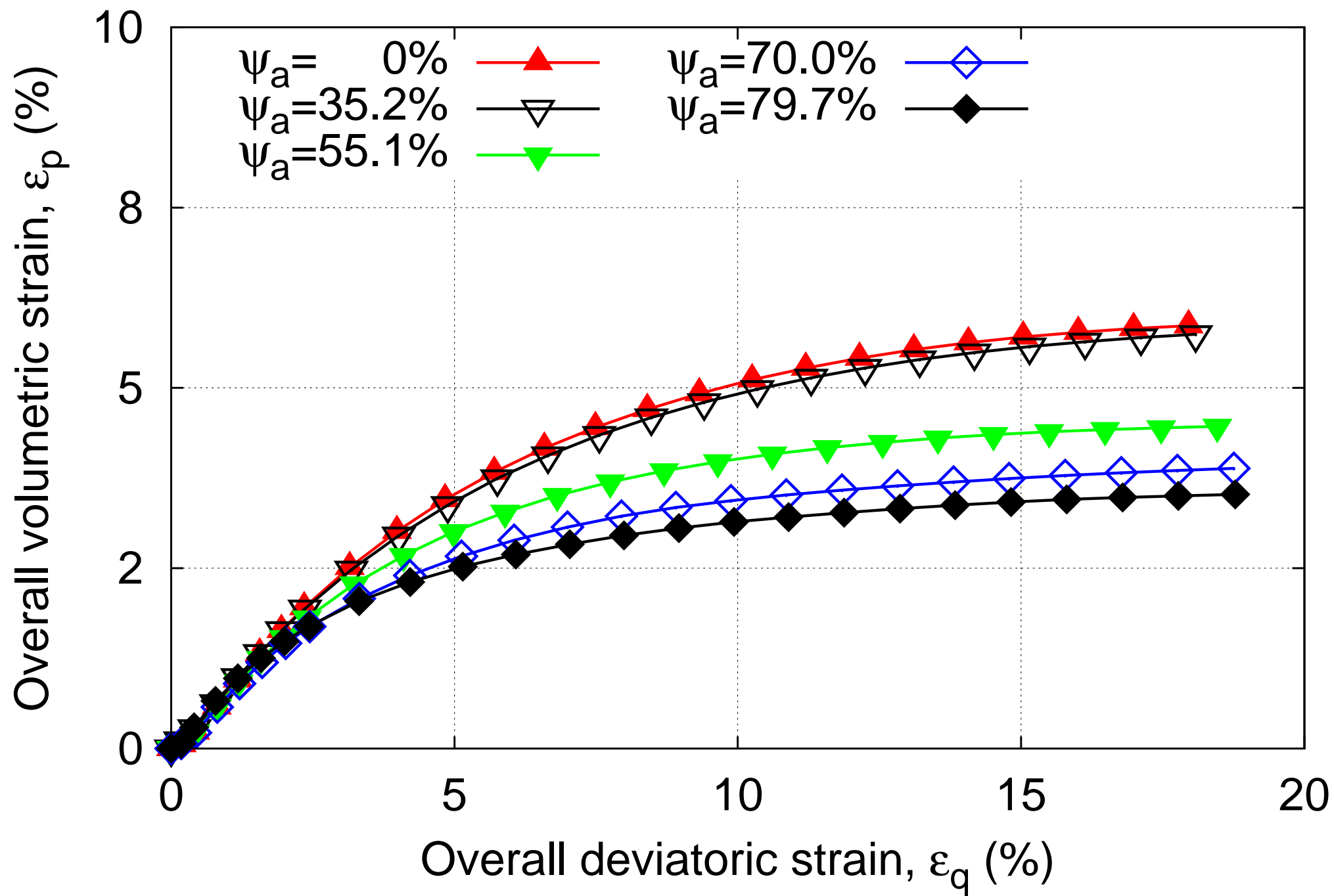
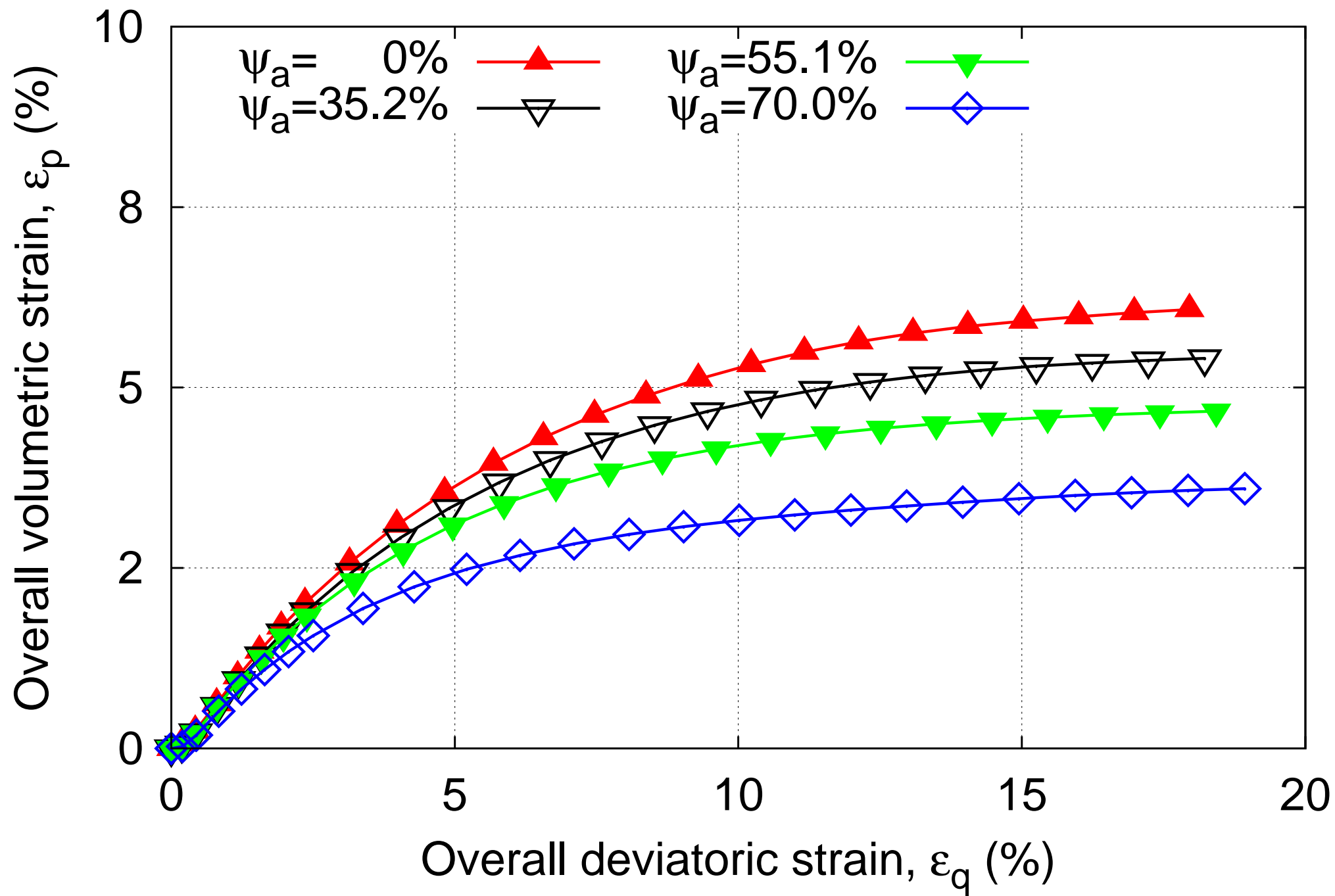


Figure 6c



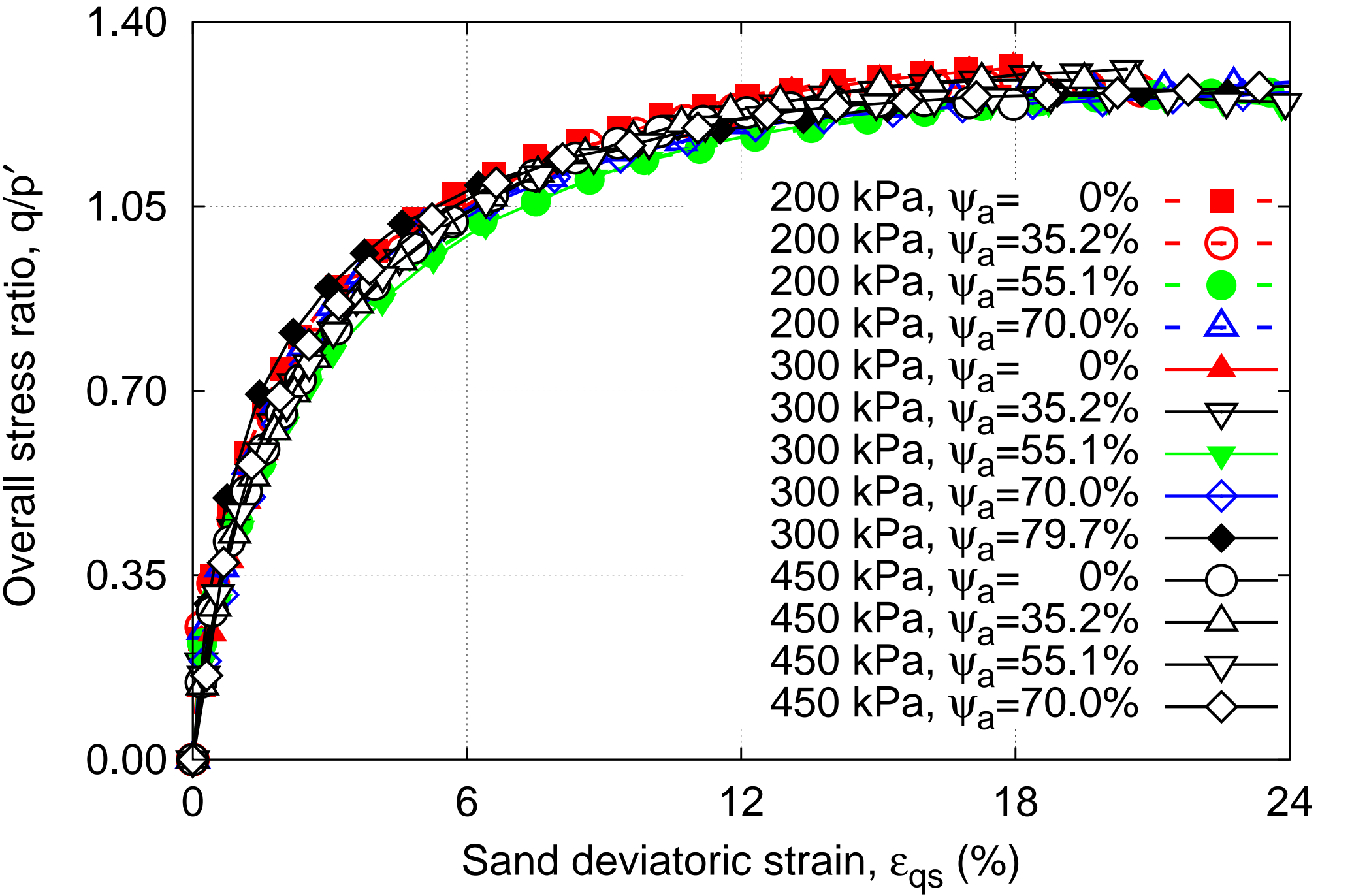


Figure 7b

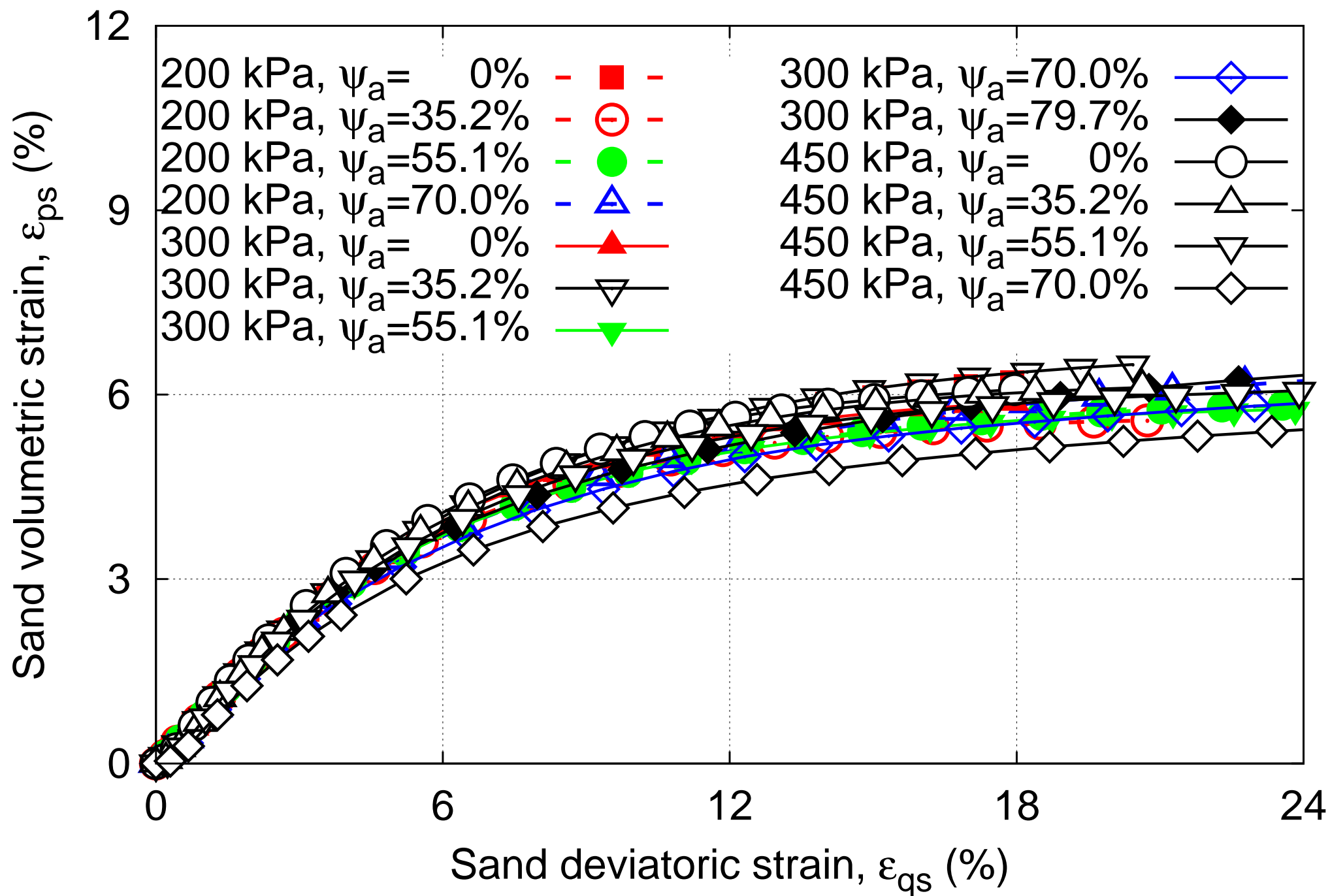
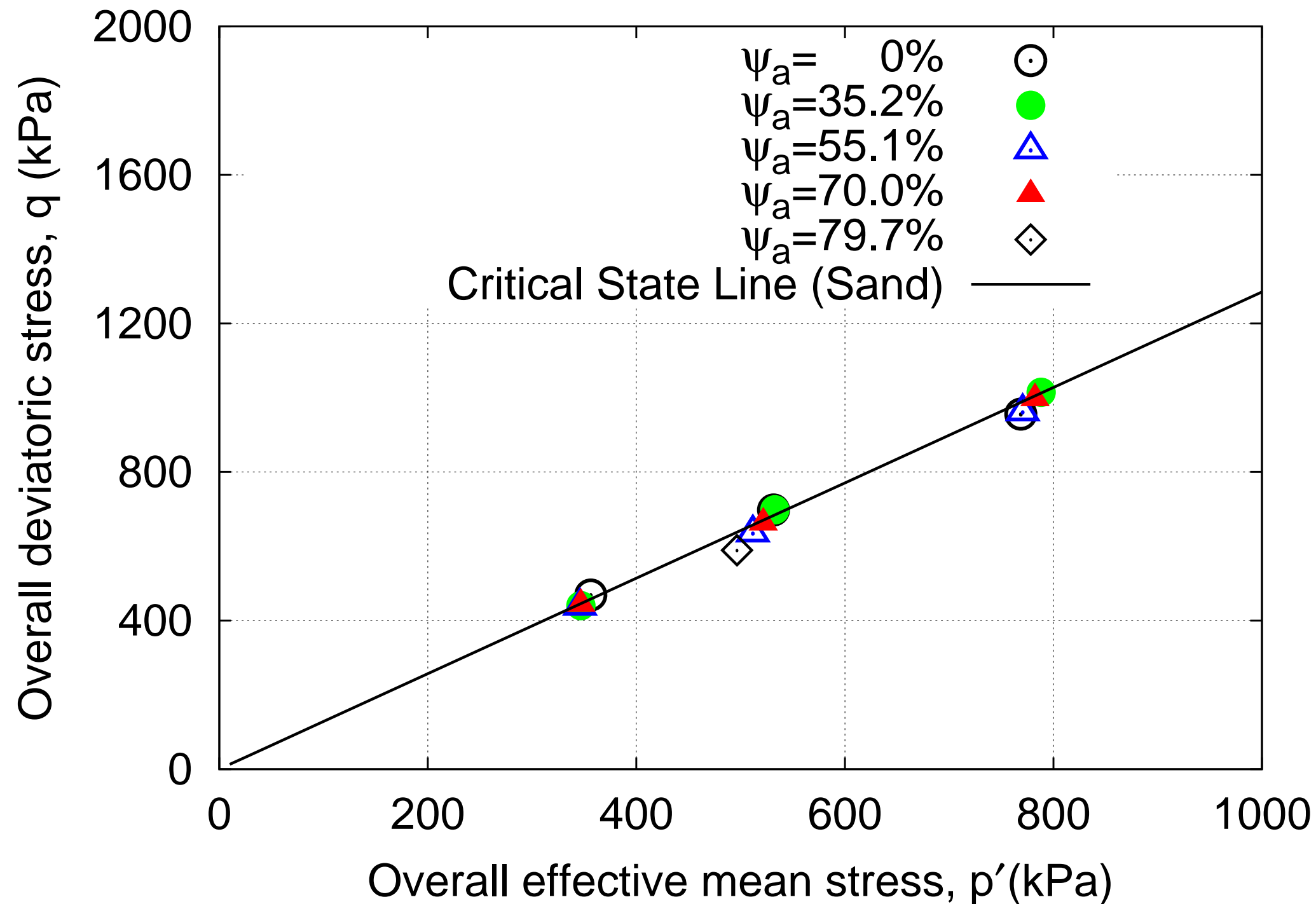


Figure 8a



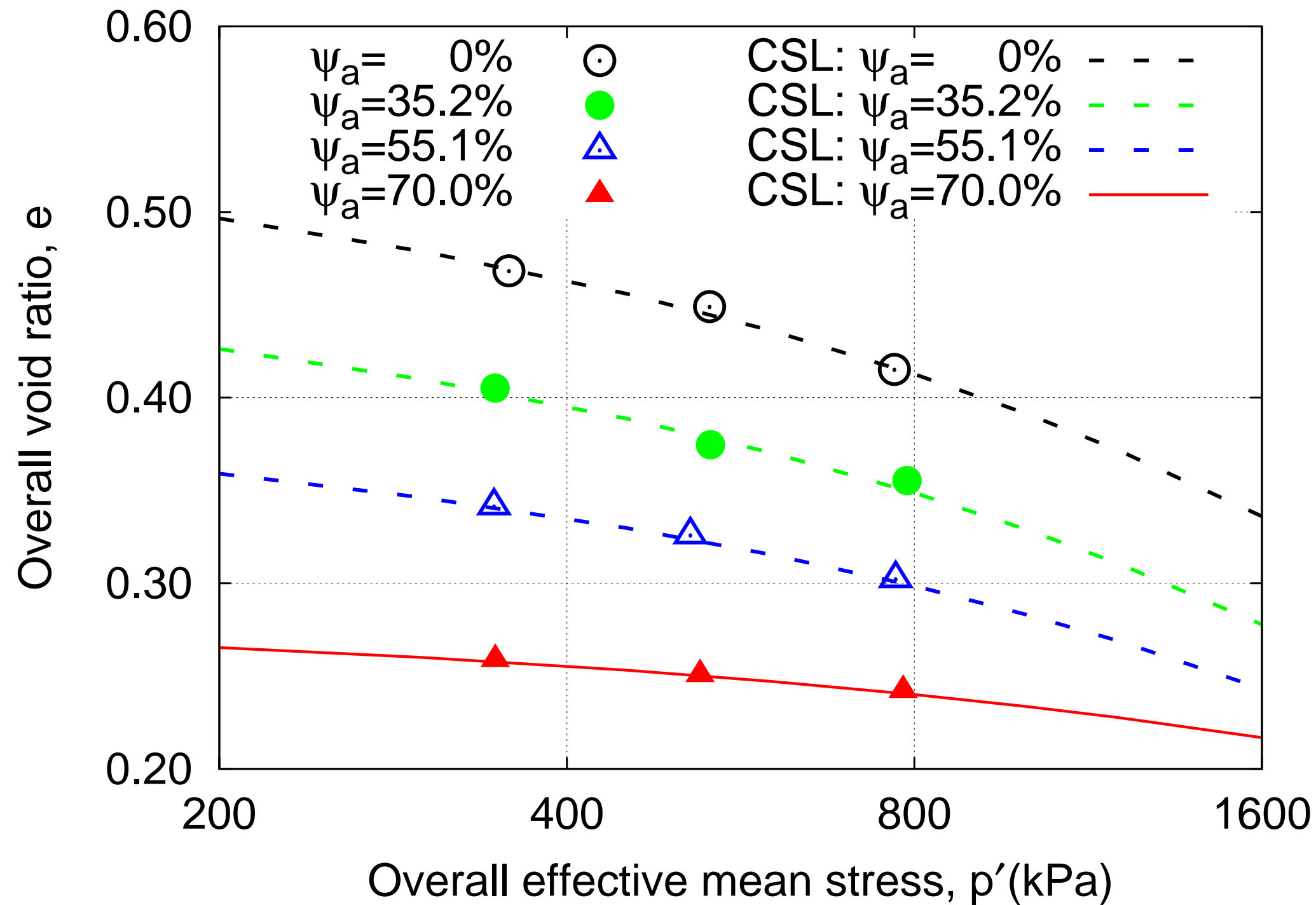




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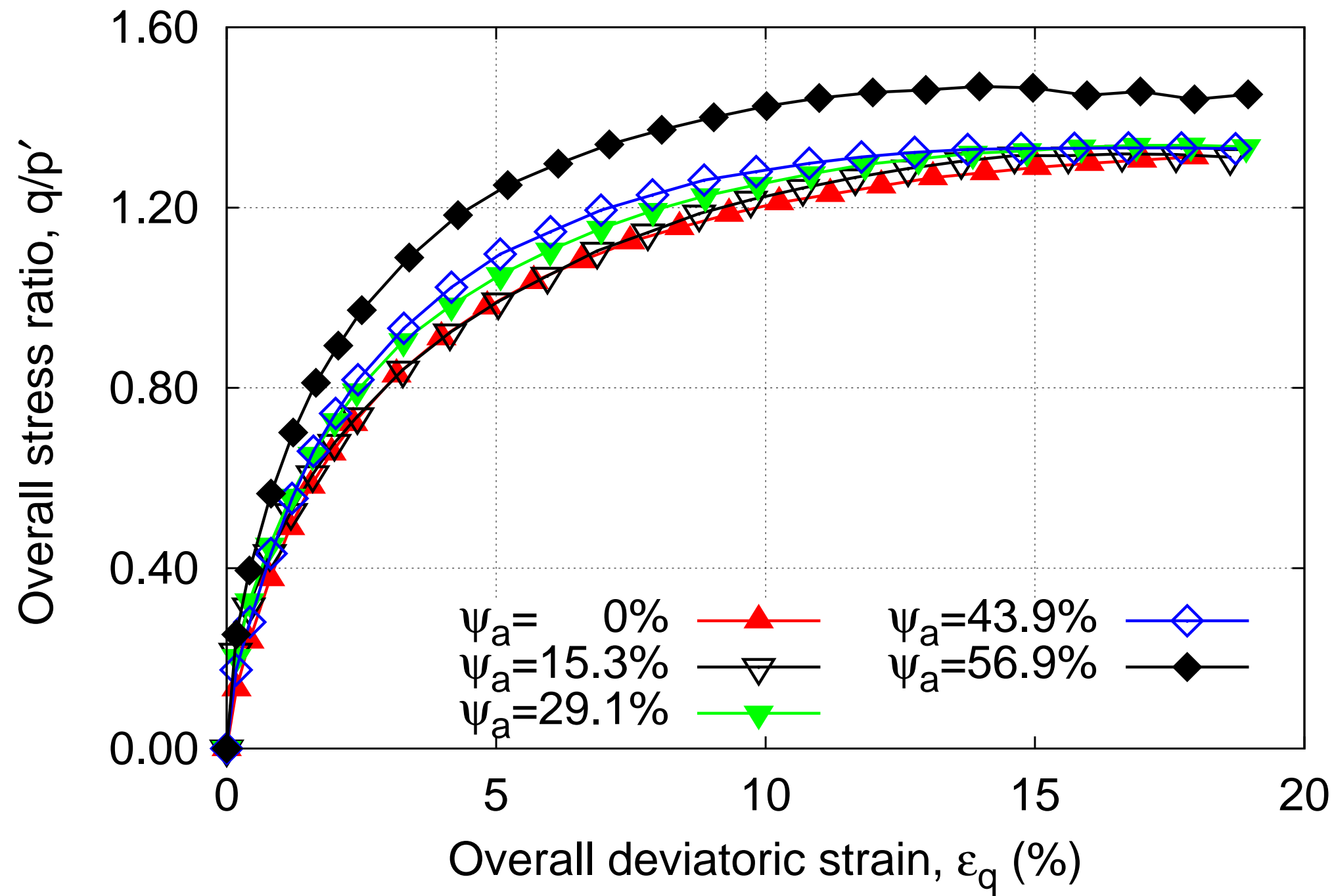


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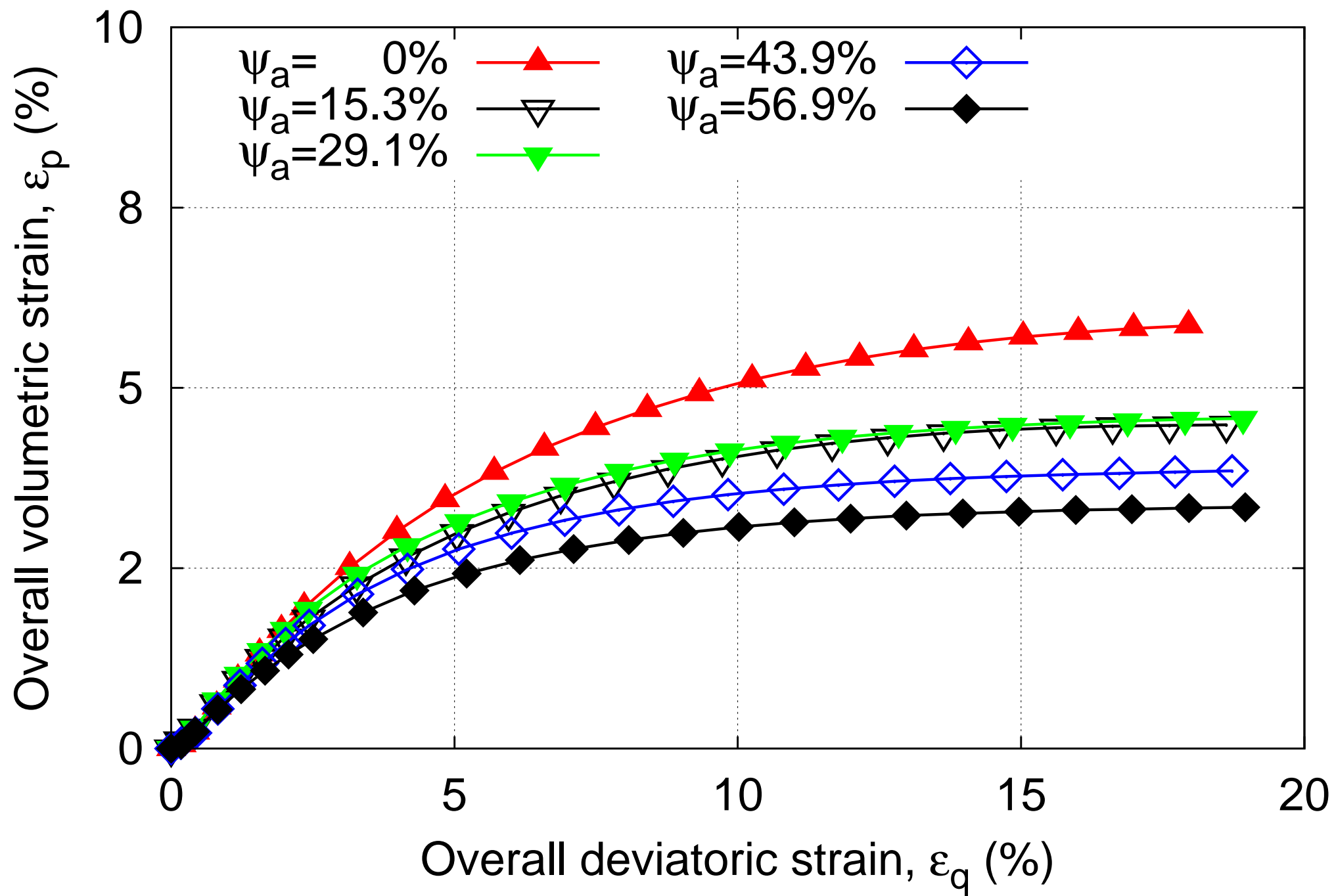


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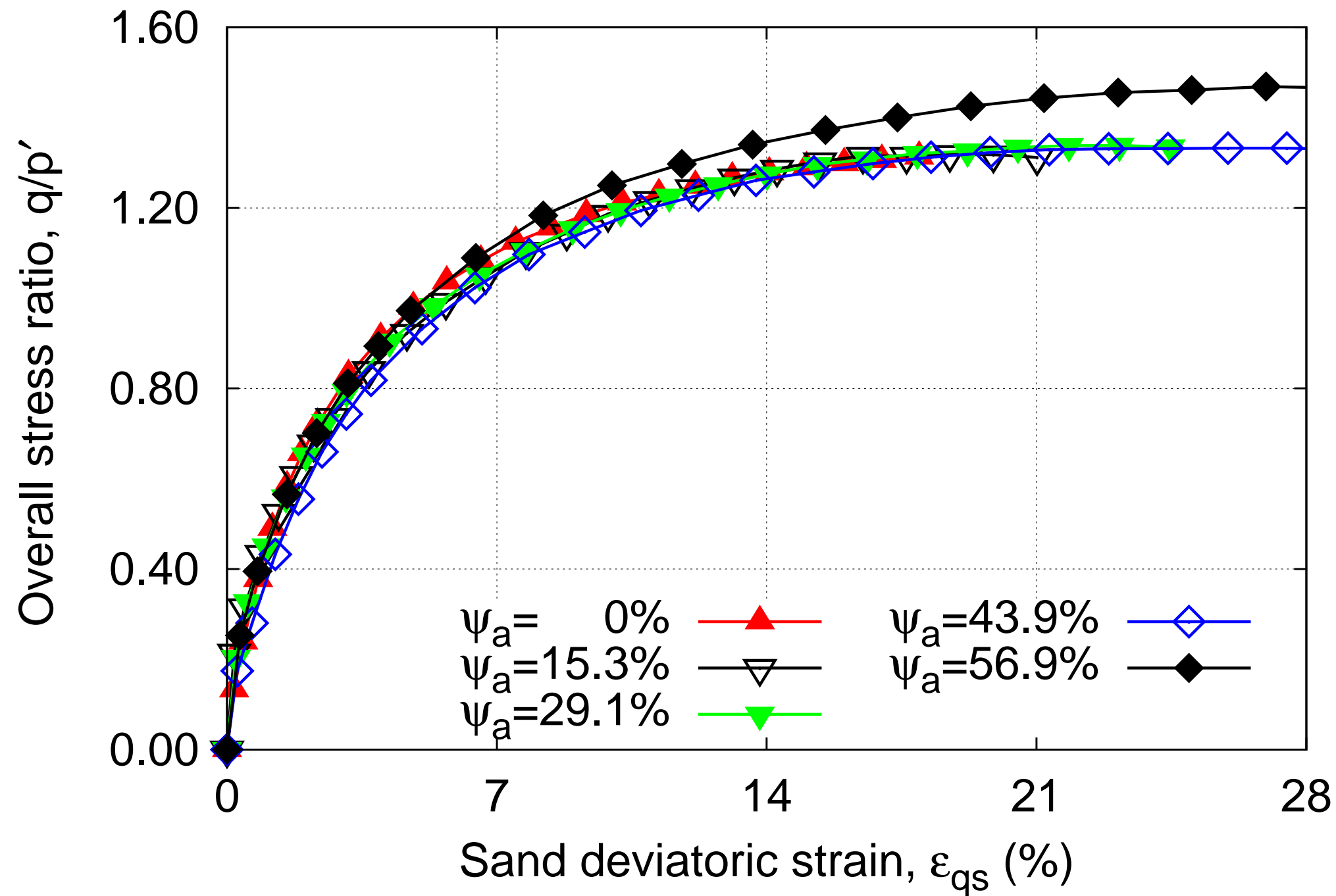


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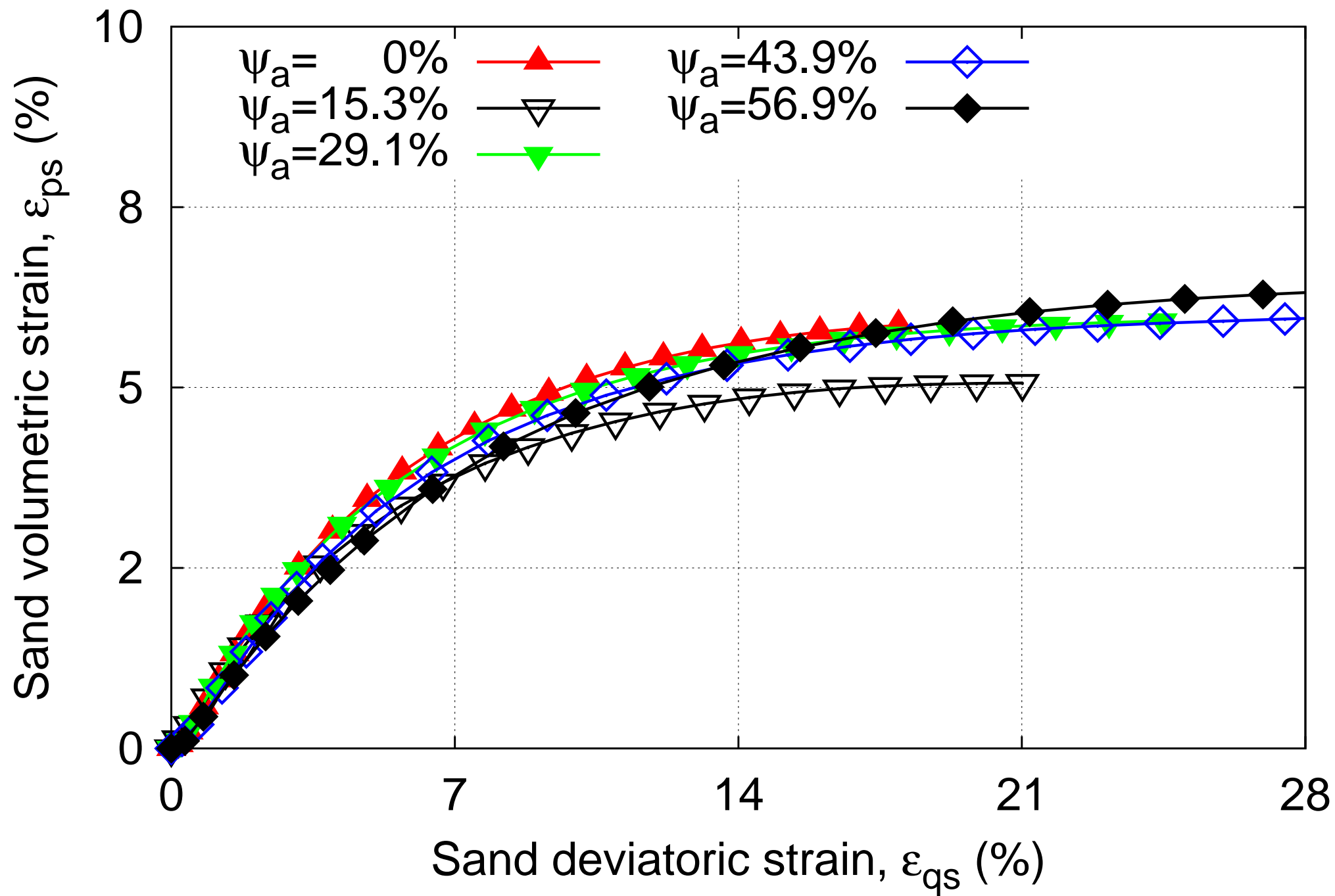


Figure 11a

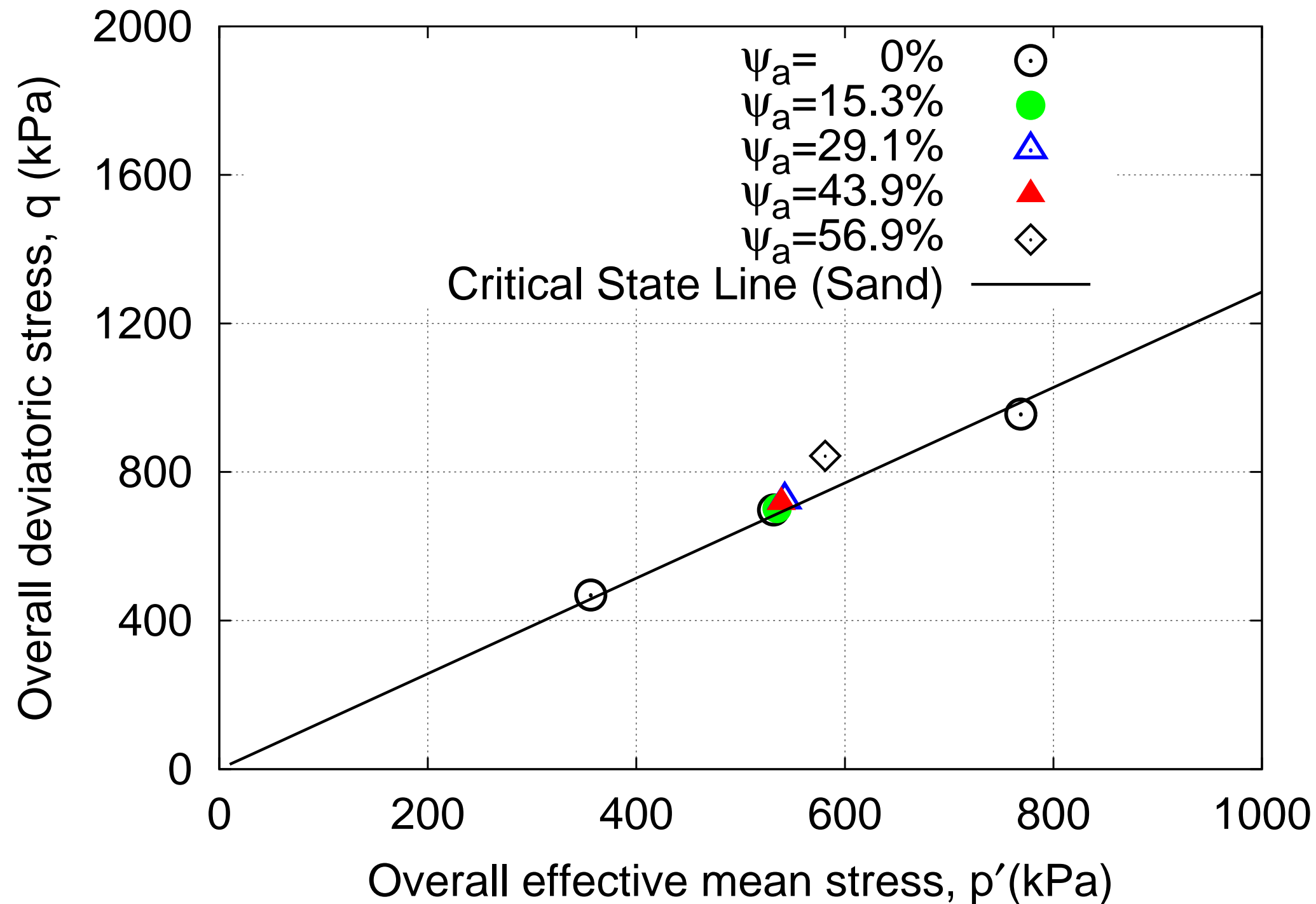


Figure 11b

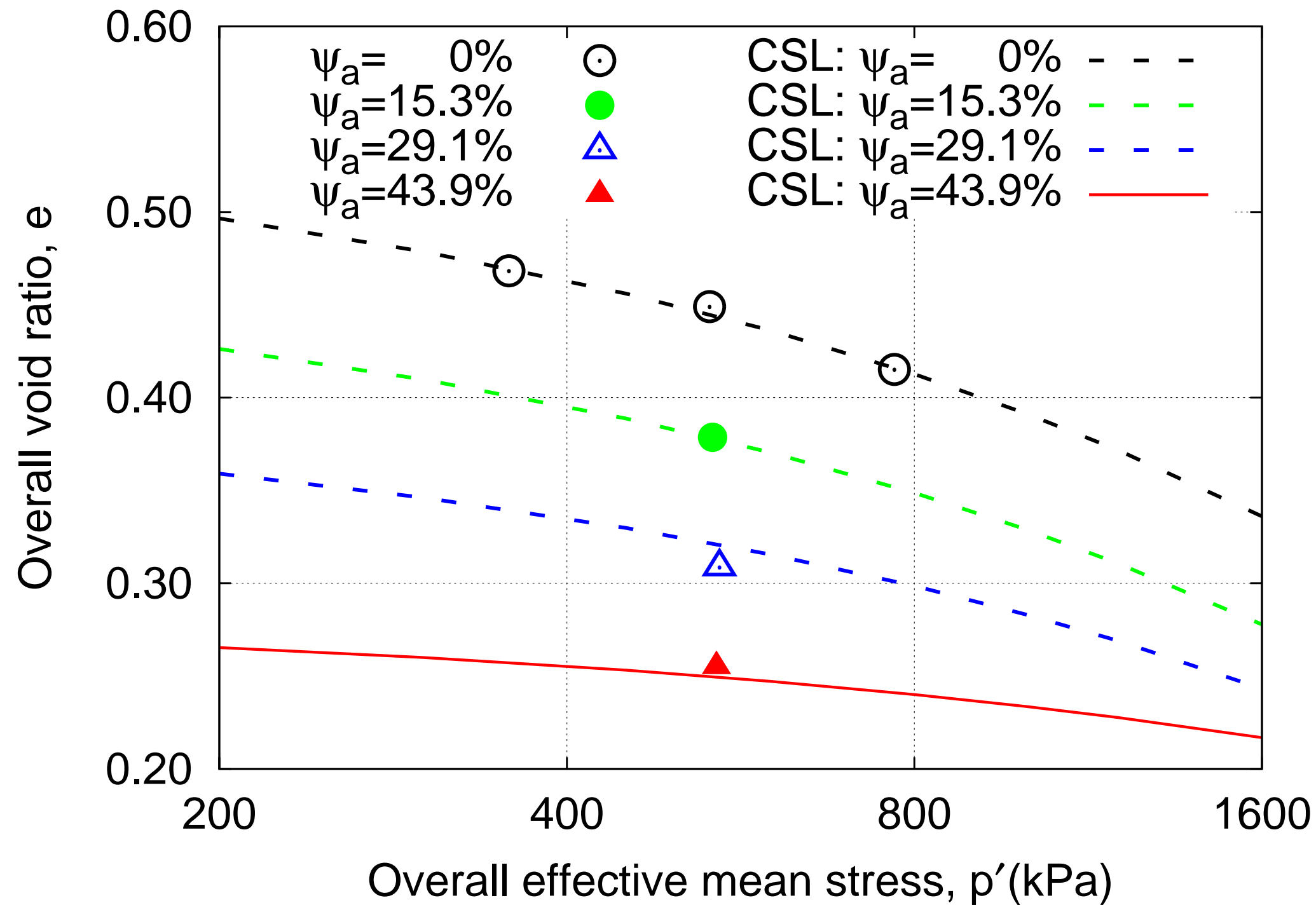


Figure 12a

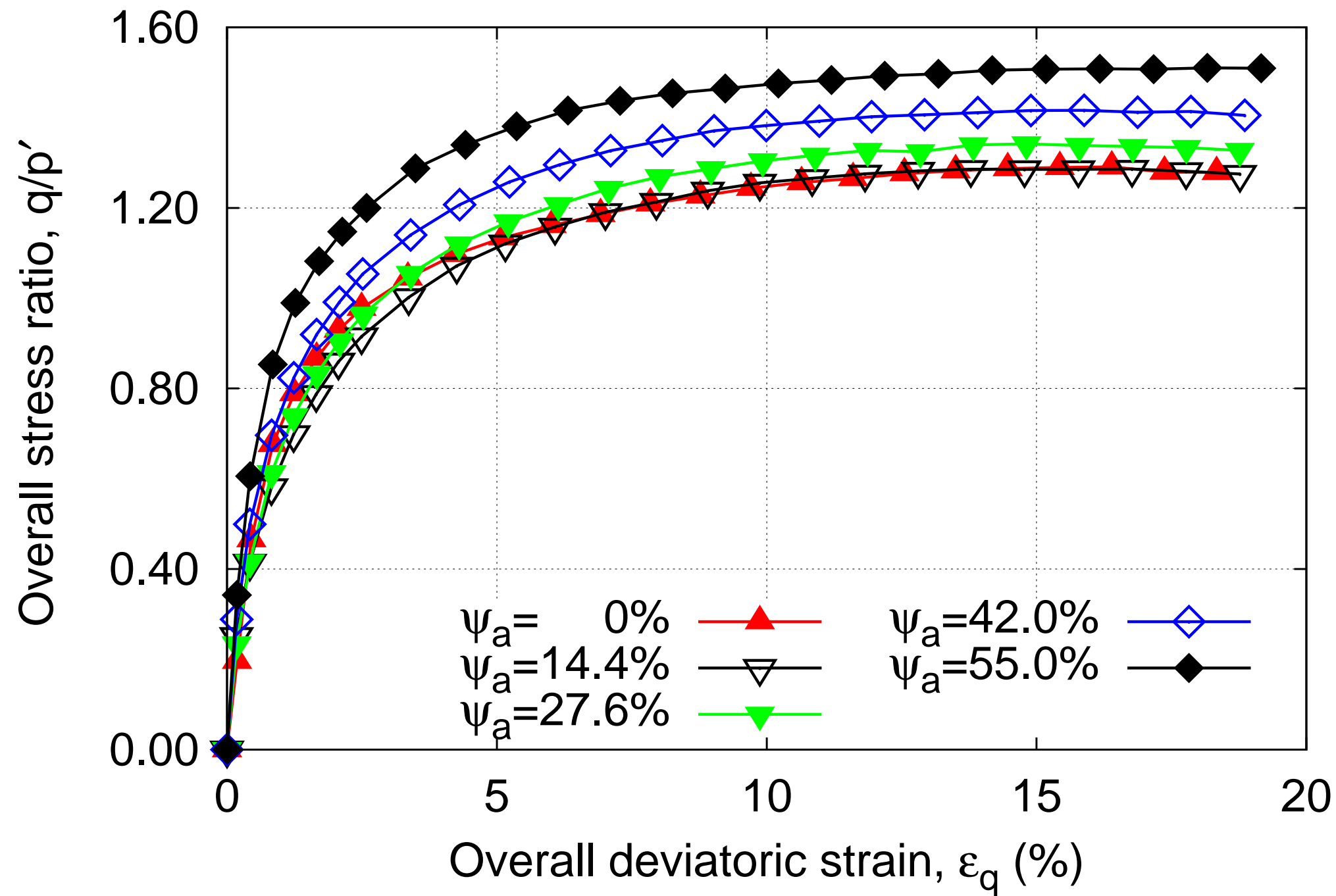


Figure 12b

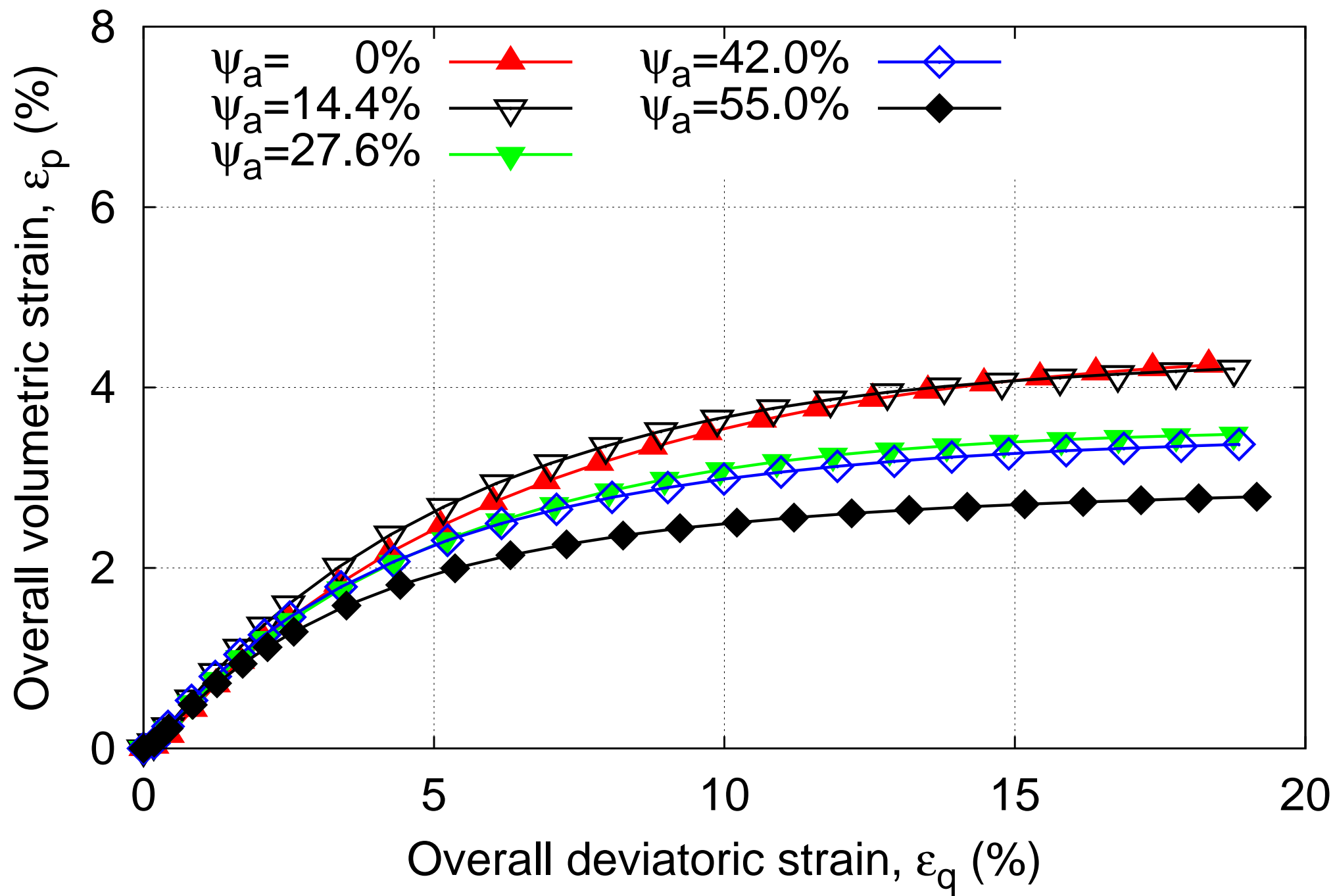




Figure 13a

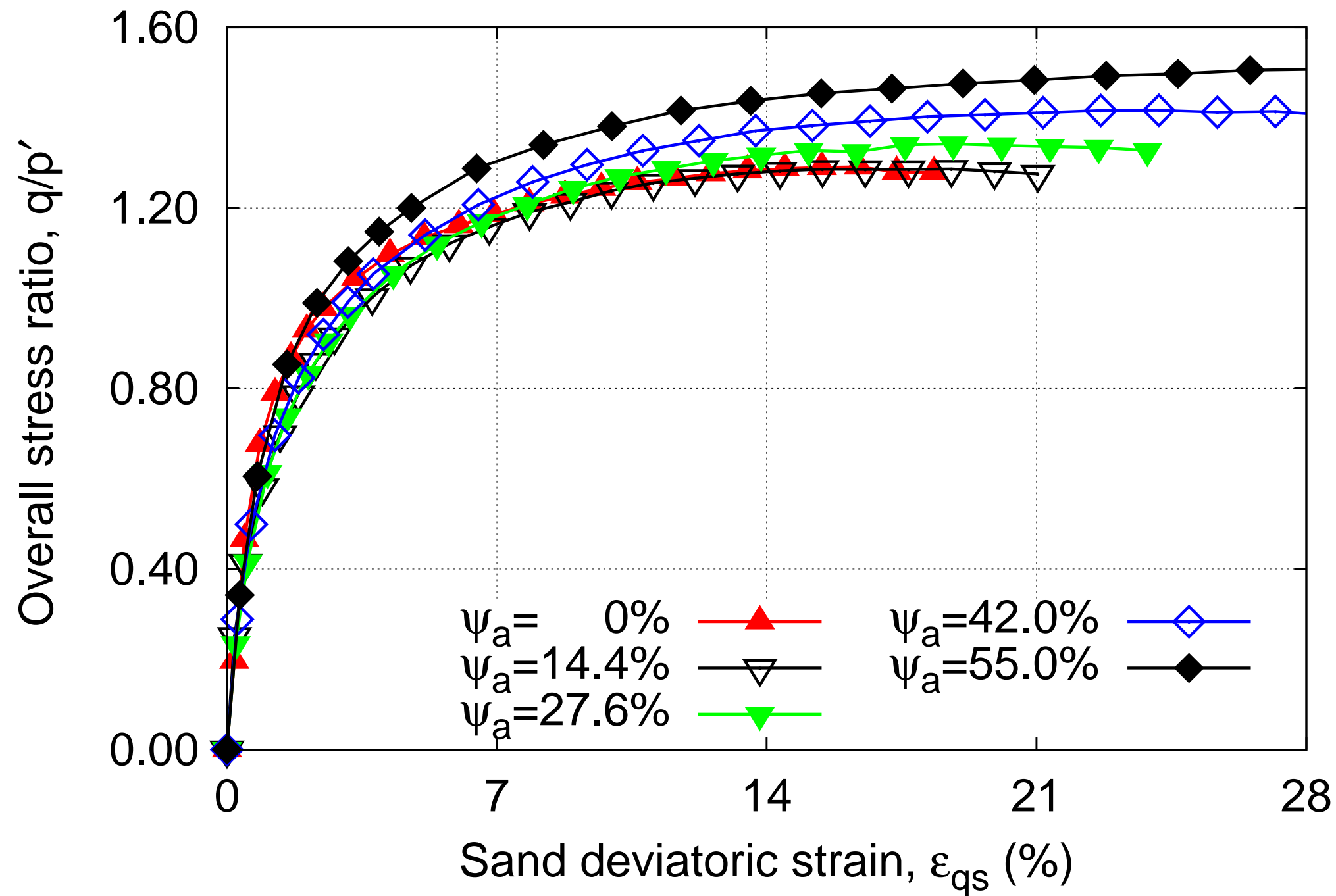


Figure 13b

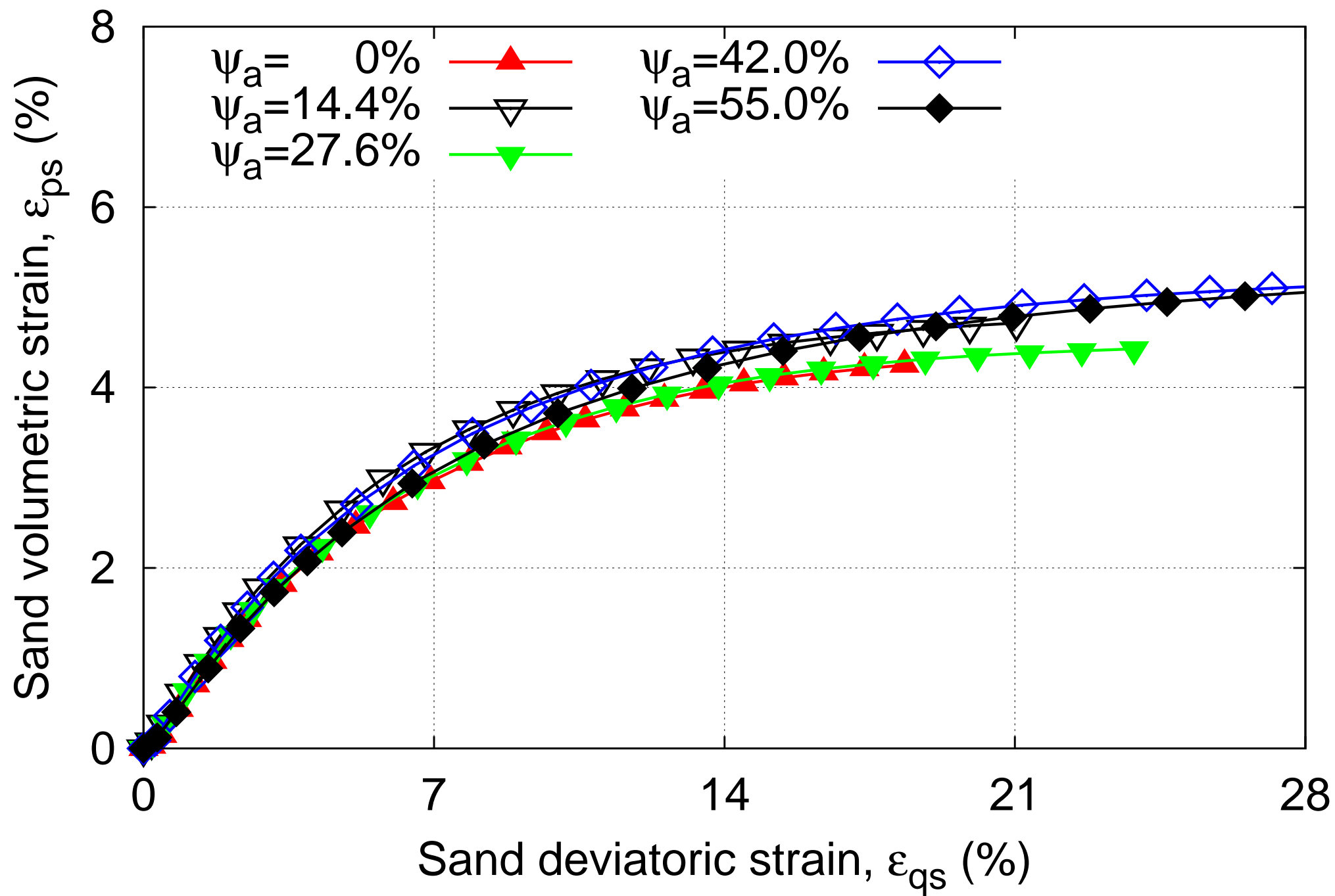


Figure 14a

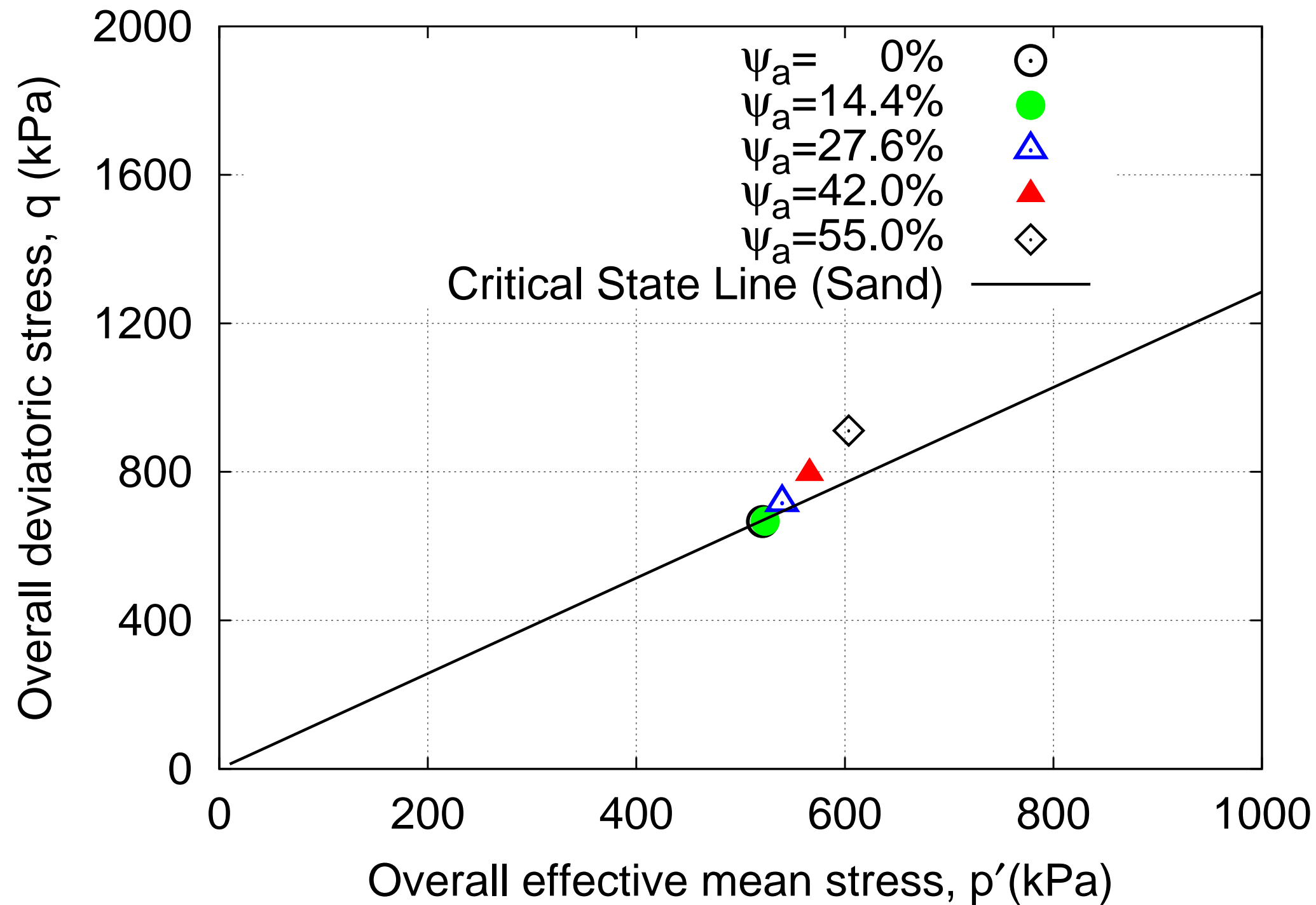
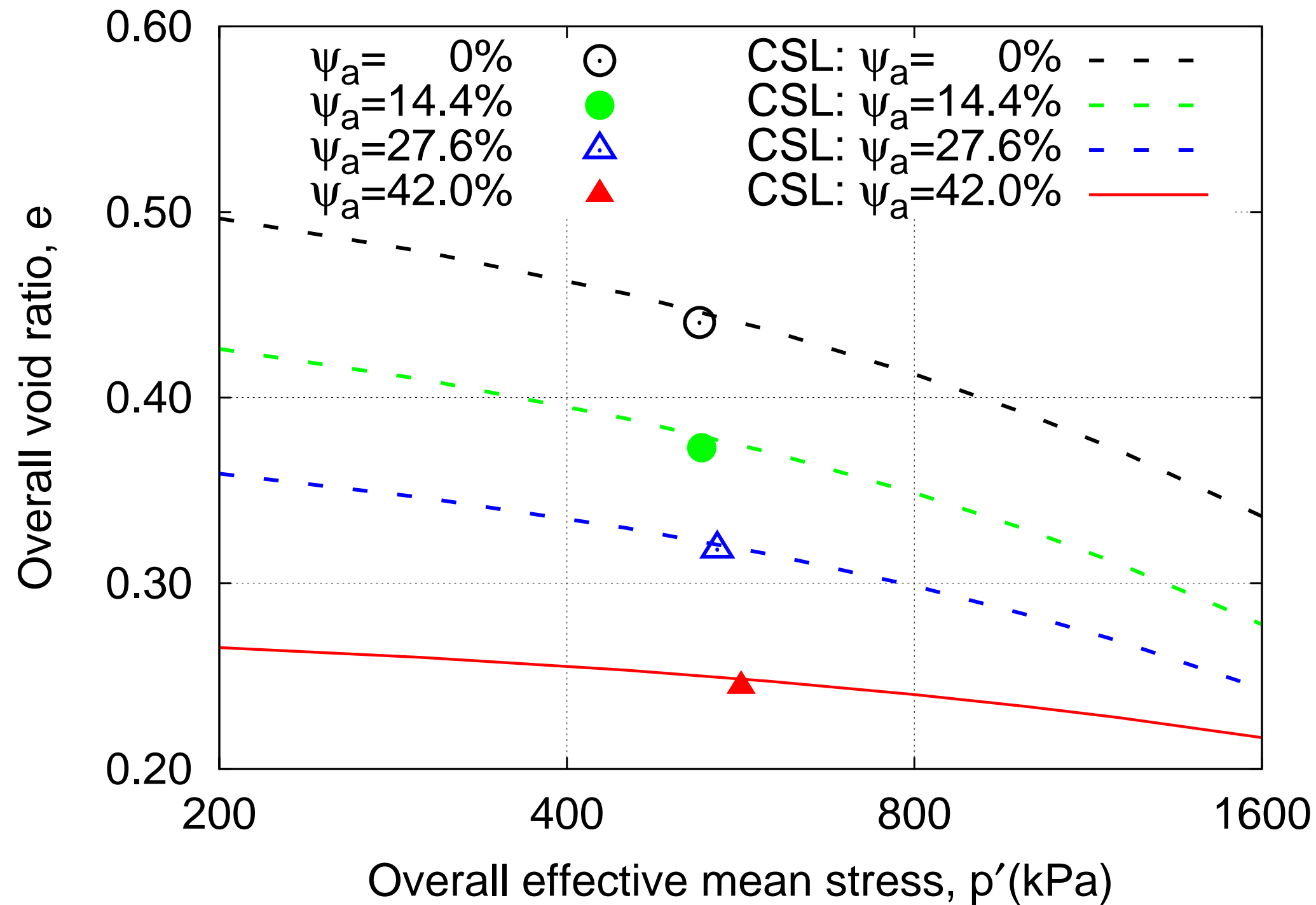
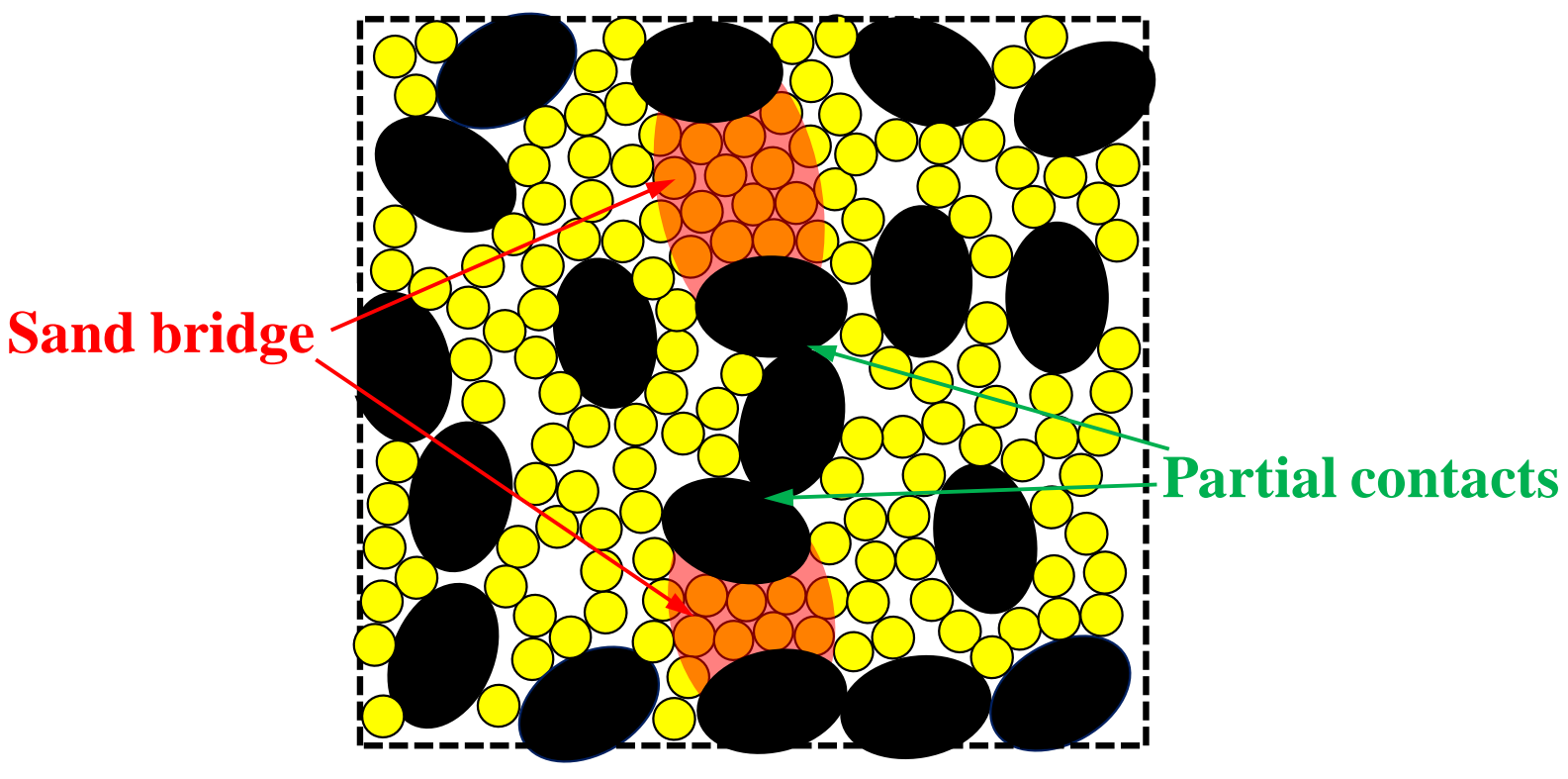


Figure 14b





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- 6 Figure 3. Drained triaxial compression tests on pure sand: (a) deviatoric strain  $\varepsilon_{qs}$  vs. stress
- 7 ratio  $\frac{q_s}{p'_s}$ , (b) deviatoric strain  $\varepsilon_{qs}$  vs. volumetric strain  $\varepsilon_{ps}$
- 8 Figure 4. Critical state of pure sand in drained triaxial compression tests: (a) stress plane, (b)
- 9 compression plane
- 10 Figure 5. Change of stress ratio  $\frac{q}{p'}$  in drained triaxial compression tests on gap-graded soils
- 11 (loose sand + steel beads): (a)  $p'_c=200$  kPa, (b)  $p'_c=300$  kPa, (c)  $p'_c=450$  kPa
- 12 Figure 6. Change of volumetric strain  $\varepsilon_p$  in drained triaxial compression tests on gap-graded
- 13 soils (loose sand + steel beads): (a)  $p'_c=200$  kPa, (b)  $p'_c=300$  kPa, (c)  $p'_c=450$  kPa
- 14 Figure 7. Drained triaxial compression tests on gap-graded soils (loose sand + steel beads): (a)
- 15 sand deviatoric strain  $\varepsilon_{qs}$  vs. stress ratio  $\frac{q}{p'}$ , (b) sand deviatoric strain  $\varepsilon_{qs}$  vs. sand
- 16 volumetric strain  $\varepsilon_{ps}$
- 17 Figure 8. Critical state of gap-graded soils (loose sand + steel beads) in drained triaxial
- 18 compression tests: (a) stress plane, (b) compression plane
- 19 Figure 9. Drained triaxial compression tests on gap-graded soils (loose sand + gravel): (a)
- 20 deviatoric strain  $\varepsilon_q$  and stress ratio  $\frac{q}{p'}$ , (b) deviatoric strain  $\varepsilon_q$  and volumetric strain  $\varepsilon_p$
- 21 Figure 10. Drained triaxial compression tests on gap-graded soils (loose sand + gravel): (a)

22 sand deviatoric strain  $\varepsilon_{qs}$  vs. stress ratio  $\frac{q}{p'}$ , (b) sand deviatoric strain  $\varepsilon_{qs}$  vs. sand

23 volumetric strain  $\varepsilon_{ps}$

24 Figure 11. Critical state of gap-graded soils (loose sand + gravel) in drained triaxial  
25 compression tests: (a) stress plane, (b) compression plane

26 Figure 12. Drained triaxial compression tests on gap-graded soils (dense sand + gravel): (a)

27 deviatoric strain  $\varepsilon_q$  and stress ratio  $\frac{q}{p'}$ , (b) deviatoric strain  $\varepsilon_q$  and volumetric strain  $\varepsilon_p$

28 Figure 13. Drained triaxial compression tests on gap-graded soils (dense sand + gravel): (a)

29 sand deviatoric strain  $\varepsilon_{qs}$  vs. stress ratio  $\frac{q}{p'}$ , (b) sand deviatoric strain  $\varepsilon_{qs}$  vs. sand

30 volumetric strain  $\varepsilon_{ps}$

31 Figure 14. Critical state of gap-graded soils (dense sand + gravel) in drained triaxial  
32 compression tests: (a) stress plane, (b) compression plane

33 Figure 15. Partial contacts and sand bridges in gap-graded granular materials