

Chemical and Toxicological Characterization of Particulate Emissions from Diesel Vehicles

Bei Wang^{1*}, Yik-Sze Lau², Yuhan Huang³, Bruce Organ^{3,4}, Hsiao-Chi Chuang⁵, Steven Sai Hang Ho^{6,7}, Linli Qu⁷, Shun-Cheng Lee⁸, Kin-Fai Ho^{2*}

¹ Faculty of Science and Technology, Technological and Higher Education Institute of Hong Kong, Hong Kong

² JC School of Public Health and Primary Care, The Chinese University of Hong Kong, Hong Kong

³ Centre for Green Technology, School of Civil and Environmental Engineering, University of Technology Sydney, NSW 2007, Australia

⁴ Jockey Club Heavy Vehicle Emissions Testing and Research Centre, Hong Kong

⁵ School of Respiratory Therapy, College of Medicine, Taipei Medical University, Taipei 110, Taiwan

⁶ Division of Atmosphere Sciences, Desert Research Institute, Reno, NV, 89512, United States

⁷ Hong Kong Premium Services and Research Laboratory, Cheung Sha Wan, Kowloon, Hong Kong, China

⁸ Department of Civil and Structural Engineering, The Hong Kong Polytechnic University, Hong Kong

Corresponding authors:

Dr Bei Wang, Email: beiwang@vtc.edu.hk

Professor Kin-Fai Ho, Email: kfho@cuhk.edu.hk

25 **ABSTRACT**

26 This paper presents a detailed chemical and toxicological characterization of the diesel
27 particulate matter (PM) emitted from diesel vehicles running on a chassis dynamometer under
28 different driving conditions. Chemical analyses were performed to characterize the contents of
29 organic carbon (OC), elemental carbon (EC), and 31 polycyclic aromatic hydrocarbons (PAHs)
30 in the collected PM samples. The OC–EC analysis results revealed that PM emissions from
31 diesel vehicles in this study were dominated by OC and that the emission of vehicles equipped
32 with diesel particulate filters had high OC/EC ratios. The PAH analysis results revealed that 4-
33 and 5-ring PAHs were the dominant PAHs in the OC fraction of the PM samples. Particle
34 toxicity was evaluated through three toxicological markers in human A549 cells, namely (1)
35 acellular 2,7-dichlorofluorescein (DCFH) for oxidative potential, (2) interleukin-6 (IL-6) for
36 inflammation, and (3) glutathione (GSH) for antioxidation after exposure. Statistical analyses
37 revealed that vehicle sizes have statistically significant effects on the concentrations of the
38 markers. Correlation analysis between PAHs and toxicological markers revealed that significant
39 correlations existed between specific compounds and markers. Our results can be used as a
40 reference by policy makers to formulate emission control strategies and as a dataset for other
41 modeling studies.

42 *Keywords: PM emission; OCEC; PAH; DCFH; Interleukin-6 (IL-6); Glutathione (GSH);*
43 *Driving Cycle; Chassis dynamometer*

45 INTRODUCTION

46 Hong Kong is one of the most densely populated cities in the world, and the majority of the
47 population is exposed to vehicular exhaust because many people work and live close to main roads
48 and highways. By the end of 2017, more than 830,000 licensed motor vehicles were running on
49 the roads, and the number of licensed motor vehicles increased by 35% from 2007 to 2017 (Hong
50 Kong Transport Department, 2018). In particular, diesel vehicles are the main source of particulate
51 matter (PM) pollution on the streets (Lee et al., 2006; Shen et al., 2014; Weiss et al., 2012; Yao et
52 al., 2011).

53 A large quantity of PM contains carbonaceous aerosols (Hou et al., 2011), which are typically
54 classified into two categories, namely elemental carbon (EC) and organic carbon (OC). Lee et al.
55 (2006) reported that carbonaceous aerosols are the dominant species of fine particles in the
56 roadside environment in Hong Kong and account for approximately 44% of the PM_{2.5}
57 concentration in Hong Kong. Vehicular emissions are the major source of carbonaceous aerosols
58 in Hong Kong (Cheng et al., 2010; Cheng et al., 2011; Ho et al., 2002; Lee et al., 2006). Zheng et
59 al. (2006) reported that more than 60% of the OC measured at a roadside station in Hong Kong
60 originated from vehicular emissions. The OC in vehicle-emission-derived PM comprises various
61 toxic organic compounds, including polycyclic aromatic hydrocarbons (PAHs). PAHs are
62 important toxic components of vehicle emitted organic species. They are identified as one of the
63 major toxic air pollutants, mainly originated from anthropogenic processes, especially from

incomplete combustion of organic matters. PAHs are semi-volatile organic compounds that can be present in both particulate and gaseous phases. PAHs are present on the surface of airborne particles through condensation, adsorption or combustion processes (Yamasaki et al., 1982; Dimashki et al., 2001). Several studies have reported that vehicular emissions, particularly diesel vehicles, are the most important sources of PAHs in urban areas (Cecinato et al., 2014; Chen et al., 2013; Shen et al., 2011). Therefore, various studies have been done to characterize the *EFs* and chemical composition of PAHs emitted from diesel vehicles under different conditions (Zielinska et al., 2004; Tsai et al., 2011; Hu et al., 2013; Cao et al., 2017; Hays et al., 2017; Lin et al., 2019). In Hong Kong, the characterization of PAH derived from vehicle emission were mainly done by tunnel measurement and ambient air sample collection (Ho and Lee, 2002; Cheng et al., 2010; Ho et al., 2009; Ma et al., 2016). For example, Ho et al. (2009) conducted a tunnel study to investigate the PAHs emission from vehicles in Hong Kong. The authors concluded that two- and three-ring PAHs were the dominant fraction in gas phase, while four-ring PAHs were the most abundant in particle phase. Since studies of direct tailpipe emission (e.g. chassis dynamometer or PEMS study) from diesel vehicles in Hong Kong are scarce, carrying out such kind of research is needed to fill the knowledge gap.

Another important concern of PM is their adverse effects to human health (Abdel-Shafy and Mansour, 2016; Chuang et al., 2012; Kim et al., 2013). In previous toxicological studies, it is believed that reactive oxygen species (ROS) production by PM exposure is the main mechanisms

for increased risk of adverse health effects (Li et al., 2003; Nel, 2005). For instance, studies by Geller et al. (2006) and Ntziachristos et al. (2007) revealed strong correlations between the redox activities of their PM sample and several PM species, including OC, EC and PAHs. In a healthy biological system, glutathione (GSH) is produced to mitigate the PM-derived ROS in cells (Ghio et al., 2012). However, the overloaded ROS is able to incur inflammatory responses such as interleukin 6 (IL-6) and interleukins 8 (IL-8) production, consequently leading to harmful health effects. Various studies have been done to investigate the inflammatory response triggered by the exposure of vehicle-derived PM (Mazzarella et al., 2007; Gerlofs-Nijland et al., 2013; Bengalli et al., 2017). Results from these studies showed that vehicle-derived PM emission is closely related to the release of pro-inflammatory cytokines, like IL-6, while the use of advanced emission control technologies, such as DPF, will significantly change the inflammatory responses in the studied cell.

Many PAHs are known or suspected carcinogens in human (IARC, 2010; Song et al., 2012), while some PAHs are believed to trigger pro-inflammatory response (Lin et al., 2013; Niu et al., 2017). The relationship between PAHs and different toxicology markers have also been investigated by various studies. Cheung et al. (2010) and Wu et al. (2017) have investigated the relationship between ROS level and diesel fuel burning particles, while Vattanasit et al. (2014) and Totlandsdal et al. (2014) studied the ability of PAHs in diesel exhaust particles to induce pro-inflammatory response. All these studies showed correlation between PAHs and adverse health effects.

Moreover, gas phase PAHs can undergo oxidation reactions in the atmosphere, producing secondary organic aerosol (SOA), which is, in many cases, more harmful than the precursor (Lin et al., 2019). Therefore, characterizing the *EF* of PAH is important to air quality control as well as the health of the general public.

The objectives of the current study were to characterize the EFs of the OC, EC, and PAHs in diesel vehicle emissions, compare the differences in the chemical compositions and toxicological responses under different driving conditions, and investigate the correlation between the chemical composition and toxicological response of PM samples.

METHODOLOGY

Fleet overview and instrumentation set-up

Fifteen vehicles of various classes and with different engine sizes, after-treatment technologies, and emission standards (**Table S1**) were studied. The vehicle fleet was selected briefly in accordance to the emission standard distribution of diesel vehicles in Hong Kong, where most of them are with Euro 4 and 5, followed by Euro 3 and others. The vehicles were classified according to the United Nations Economic Commission for Europe (UNECE, 2011). Passenger cars (PCs) were defined as M-type vehicles. Light-duty vehicles (LDVs), medium-duty vehicles (MDVs), and heavy-duty vehicles (HDVs) were defined as N1-, N2-, and N3-type vehicles, respectively. Vehicle 15 was categorized as an HDV because it was a 10-ton tractor designed for carrying a trailer of up to 20 tons. All vehicles, except Vehicle 2 and 7, have taken and passed the annual

vehicle examination required by the Transport Department of the Hong Kong Government. The annual vehicle examination is mandatory for all commercial vehicles, as well as passenger cars and light duty vehicles (vehicle weight under 1.9 tons) with first registration date over 6 years. The annual examination policy is to make sure that vehicles running on road are in acceptable maintenance condition. The diesel fuel used by all vehicles in the current study is the same, which comply with the Euro 5 diesel fuel standard, as stated in **Table S3**.

Chassis dynamometer tests were conducted in the Jockey Club Heavy Vehicle Emissions Testing and Research Centre (JCEC), Hong Kong. **Fig. S1** of the Supporting Information depicts the schematic of the test setup. All the testing facilities in the JCEC comply with the European standards for type approval tests. Two chassis dynamometers were used to test the vehicles with different weights. PCs and LDVs were tested on a Mustang dynamometer with a 48” (121.92 cm) single roller, whereas MDVs and HDVs were tested on a Mustang dynamometer with a 17.2” (43.688 cm) triple roller.

Driving cycles and testing conditions

Four driving cycles, namely the cold start transient, hot start transient, idling, and steady-state cycles, were used to test each vehicle. For convenience, the cold and hot start transient cycles are called cold start and hot start cycles in the following text. For each vehicle, the cold start cycle test was repeated two times and the hot start, idling, and steady-state cycle tests were repeated three

times. Detailed descriptions of the four driving cycles and loading conditions are presented in the Supporting Information.

PM sample collection

PM samples were collected simultaneously on quartz (47 mm, Whatman, USA) and Teflon membrane filters (47 mm, Pall Corporation, USA) for different offline tests. The mass of samples collected on the filters were determined using a microbalance (MC5, Sartorius, Germany) with a readability of 0.001 mg. The filters were conditioned in a humidity-controlled chamber (i.e. relative humidity = 40%) for at least 24 h before weighing. Each filter was weighted at least twice before and after sample collection. The weighing result was accepted only if the difference between two consecutive weighings was less than 0.01% of the filter weight. Then, the filters were sealed in zip-zap bags and stored at -20°C for chemical and toxicological analyses. Operational blanks and laboratory control blanks were processed simultaneously with the field samples during sample collection and analyses. All the filter data were corrected with the operation and laboratory blanks.

Chemical analysis

The samples collected on the quartz filters were used for OC/EC and PAH analyses. Each filter was cut exactly in half with a specially designed chopper for the two analyses. The contents of OC and EC were analyzed using a Desert Research Institute Model 2001 Thermal/Optical Carbon Analyzer with the IMPROVE-A protocol described by Chow et al. (2012). The PAH samples were

158 analyzed using the thermal desorption-gas chromatography/mass spectrometry method (Ho et al.,
159 2008). The chemical analyses procedures are described in the Supporting Information.

160 **Toxicological analysis**

161 PM samples collected on Teflon filters were removed for toxicological analysis. The three
162 toxicological markers included (1) acellular DCFH for oxidative potential, (2) interleukin-6 (IL-6)
163 for inflammation, and (3) glutathione (GSH) for anti-oxidation after exposure. Description of the
164 toxicological analysis procedures can be found in Supporting Information.

165 **Calculation of emission factor (*EF*) and statistical analysis**

166 In the current study, the fuel-based *EF* of OC, EC, and individual PAHs were calculated to compare
167 the emission characteristics between different vehicles. The relationship between toxicological
168 markers and chemical species were examined by correlation studies. Details regarding the
169 calculation of the *EF* and the statistical analysis performed in this study are presented in the
170 Supporting Information.

171 **RESULTS AND DISCUSSION**

172 **PM emission characteristics**

173 The result of PM *EF* was published elsewhere (Wang et al., 2019). The *EF* of PM was
174 calculated from the mass of PM sample collected on the Teflon filter. In general, the
175 emission characteristic of PM mass is close to that of total carbon content (OC+EC),
176 which will be discussed in the following section.

OC and EC

The EFs of OC and EC and the OC/EC ratios at different driving conditions are depicted in **Fig. 1**. OC was the dominant fraction in all the collected samples except those from Vehicles 6 and 8, in which EC dominated. Several studies have indicated that EC is dominant in PM emissions from diesel vehicles (Chiang et al., 2012; Grieshop et al., 2006; Kleeman et al., 2000), whereas other studies have reported contrasting results (Shah et al., 2004; Wu et al., 2016). Gali et al. (2017) indicated that under cold idle, or low-engine-speed conditions, OC is the dominant fraction in PM, which is consistent with our results.

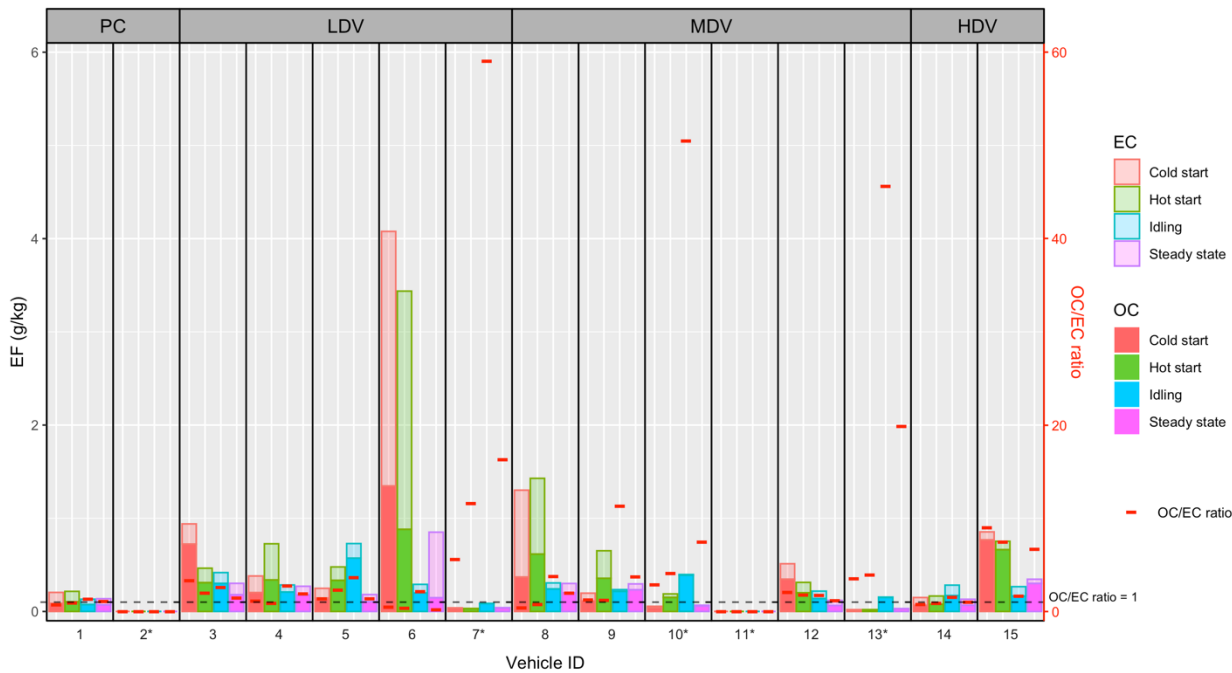


Fig. 1. EFs of OC and EC and OC/EC ratios. Each bar represents the sum of OC and EC EF.

Light color bar represents EC EF and deep color bar represents OC EF. Vehicles with an asterisk next to their vehicle ID were equipped with DPF.

189 The variation in OC/EC ratio can be caused by different factors, including emission standard,
190 testing weight, engine power and capacity and maintenance condition of the test vehicles. The EC
191 content in emissions from vehicles equipped with diesel particulate filters (DPFs) was less than
192 the detection limit (Vehicles 2 and 11) or extremely low (0.003 ± 0.002 , 0.017 ± 0.014 , and 0.003
193 ± 0.001 g/kg for Vehicles 1, 10, and 13, respectively). This observation is consistent with the
194 findings of May et al. (2014a), who reported that DPFs can effectively decrease the EC emission
195 from diesel vehicles. The results also revealed that the EC removal by DPFs was satisfactory even
196 for vehicles with high odometer readings (e.g. Vehicles 10, 11, and 13). As depicted in **Fig. 1**, high
197 OC/EC ratios were observed for Vehicles 7 (59.06), 10 (50.51), and 13 (45.63) under the idling
198 condition. For these three vehicles, the EC concentration was very low while considerable amount
199 of OC was measured. This observation is in agreement with the gaseous total hydrocarbon (THC)
200 result of the current study as presented in Wang et al. (2019). For idling cycles of Vehicle 7,
201 Vehicle 10 and Vehicle 13, substantial amount of THC was measured. Since THC reflects the gas
202 phase OC content, and it is possible for some high molecular weight hydrocarbons to partition to
203 the particle phase, OC present in the aforementioned cycles were probably originated from the gas
204 phase, which was not removed by the DPF.

205 Among the four driving conditions tested in this study, idling generally produced the highest
206 OC/EC ratio. EC mainly arises from fuel droplet pyrolysis, whereas OC mainly originates from
207 unburned fuel and incomplete combustion (Shah et al., 2004). When the vehicles were in the idling

208 condition, their engine temperature decreased, which resulted in “less complete” fuel combustion
209 compared with that under other conditions

210 The European emission standard assigned to the vehicle considerably affected OC and EC
211 emissions. Typically, the EFs of OC and EC decreased with an increase in emission standards,
212 except in the cases of Vehicles 6, 12, and 15. An extremely low level of EC and a measurable level
213 of OC were recorded in vehicles with high emission standards (e.g., Vehicles 7 and 13). A possible
214 reason for this result is that the emission control technologies applied in new vehicles may not
215 effectively remove OC from diesel vehicle exhausts. Because most of the toxic and mutagenic
216 properties of diesel exhaust are associated with OCs (Claxton, 2015; Shah et al., 2004), our results
217 suggest that the development of emission control technologies should focus on reducing the OC
218 fraction of PM.

219 In addition to the effects of emission standards, the results revealed that the EFs of OC and EC
220 were considerably influenced by the conditions of the vehicles. For example, vehicles with
221 advanced emission standards did not always exhibit OC or EC reduction. Compared with the
222 HDVs depicted in **Fig. 1**, the OC EF of Vehicle 15 (Euro 5) was considerably higher than that of
223 Vehicle 14 (Euro 4). During the chassis dynamometer testing of Vehicle 15, white smoke and
224 pungent smell emanated from the exhaust, which indicated that Vehicle 15 was poorly maintained.
225 The maintenance condition of Vehicle 15 had a larger influence than its emission standard on the
226 emissions of OC and EC.

227 PAHs

228 A total of 31 PAHs (**Table S2**) were characterized, and their EFs were calculated. In all the tests,
229 the EFs of acenaphthylene, acenaphthene, and fluoranthene were less than the detection limit
230 because these three PAHs have low molecular weight and are mainly present in the gas phase.
231 Therefore, the aforementioned three PAHs were excluded in the following analyses. **Fig. 2**
232 presents an overview of the PAH EFs under different driving conditions. Each bar in **Fig. 2**
233 corresponds to the total PAH EF (sum of the EFs of all the PAHs tested) in a given driving cycle,
234 and the solid line represents the sum of the total PAH EFs in the four driving cycles (denoted as
235 “four-cycle sum” in the following text). As displayed in **Fig. 2**, Vehicle 15 emitted the highest
236 four-cycle sum of 18.60 mg/kg, followed by Vehicle 6. Vehicle 2 emitted the lowest four-cycle
237 sum of 0.41 mg/kg, followed by Vehicles 11 and 13. A common feature of these three vehicles
238 with low four-cycle sums was that they were equipped with DPFs.

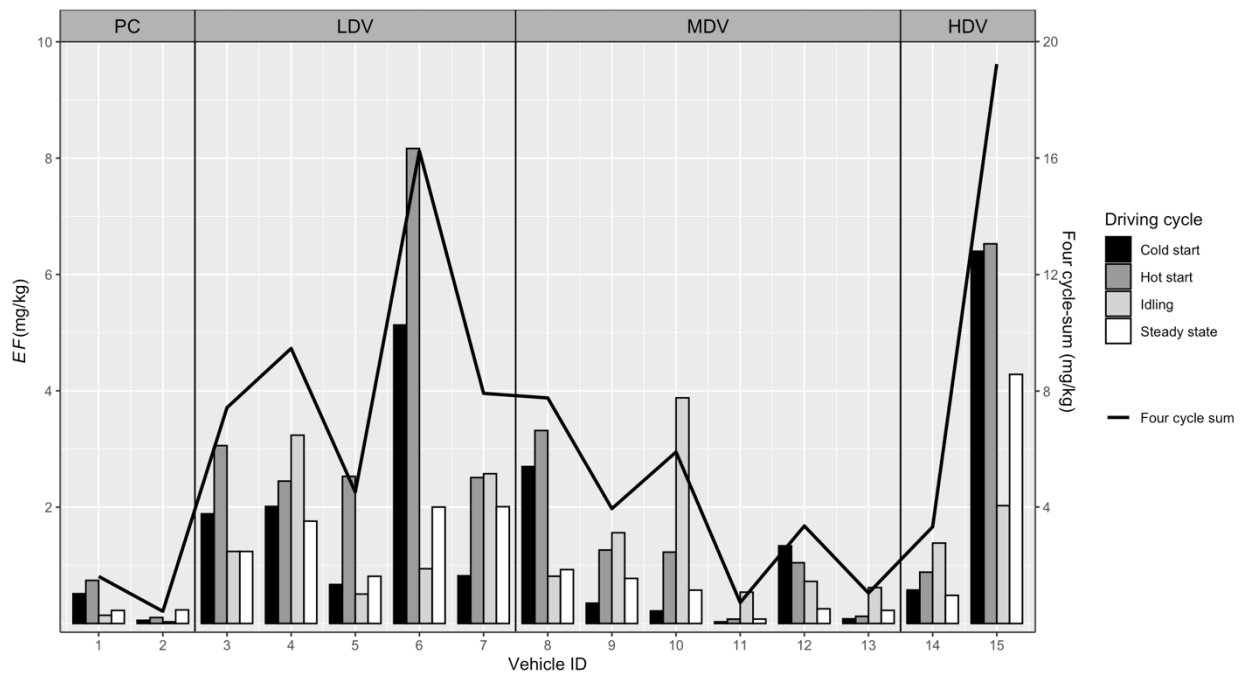


Fig. 2. Total PAH *EFs* in different driving cycles. The solid line represents the sum of total PAH *EFs* of the four driving cycles, denoted as “four cycle-sum”.

Fig. 2 reveals that the MDVs had lower total PAH *EFs* than the LDVs did. Unexpectedly, vehicles with greater testing weights emitted less PAHs. A possible explanation for this result is that two DPF-equipped vehicles were present in the MDV class, whereas only one DPF-equipped vehicle was present in the LDV class. Cao et al. (2017) observed the aforementioned pattern for their vehicle fleet, which comprised 18 diesel trucks in China. The aforementioned observation was not an isolated event, which suggested that the effect of the vehicle size on the PAH emission should be further investigated.

Fig. 2 also reveals that the steady-state cycle generally exhibited the least total PAH *EFs*, whereas the transient and idling cycles exhibited substantially higher PAH *EFs*. These results are consistent with those reported by (Shah et al., 2005). Furthermore, the aforementioned figure indicates that

the total PAH EFs of Vehicles 4, 7, 9, 10, 11, 13 and 14 under idling cycles were higher than those under transient cycles. This result suggested that the vehicle emission behavior under different driving conditions, especially under idling, should be studied because a substantial difference in EFs were observed between different driving cycles.

To identify the dominant PAHs emitted from each vehicle, the weighted percentage of each PAH emitted under different driving cycles was determined (**Fig. 3**). For most of the vehicles, the dominant PAHs were 4- and 5-ring PAHs, including pyrene (PYR), benz[*a*]anthracene (BaA), chrysene (CHR), benzo[*b*]fluoranthene (BbF), benzo[*k*]fluoranthene (BkF), and benzo[*a*]fluoranthene (BaF). This finding was consistent with those reported in previous studies (Cao et al., 2017; Hu et al., 2013). The difference in the PAH composition under different driving conditions is illustrated in **Fig. 3**. The distributions of individual PAHs did not exhibit considerable variations when a given vehicle was tested under different driving conditions, except when Vehicles 1 and 9 were tested under the idling and steady-state cycles. The variation in the PAH composition among vehicles was not significant, except for Vehicle 7. Excluding the aforementioned two exceptions, the PAHs collected in all the driving cycles were dominated by 4- and 5-ring PAHs for all the vehicles. This observation suggests that the driving conditions, driving pattern (NEDC or FIGE), mileage, testing weight of the vehicle, and after-treatment technologies do not considerably affect the composition of the emitted PAHs. Furthermore, in general the collected PAH samples originated from the same source, probably fuel combustion,

because they all had similar compositions. Therefore, the PAH samples collected in the exceptional cases (for Vehicle 7 and in the idling and steady-state cycles for Vehicles 1 and 9) were probably affected by other sources. Further investigations are required to characterize the sources of PAHs collected from the tailpipe emissions of diesel vehicles.

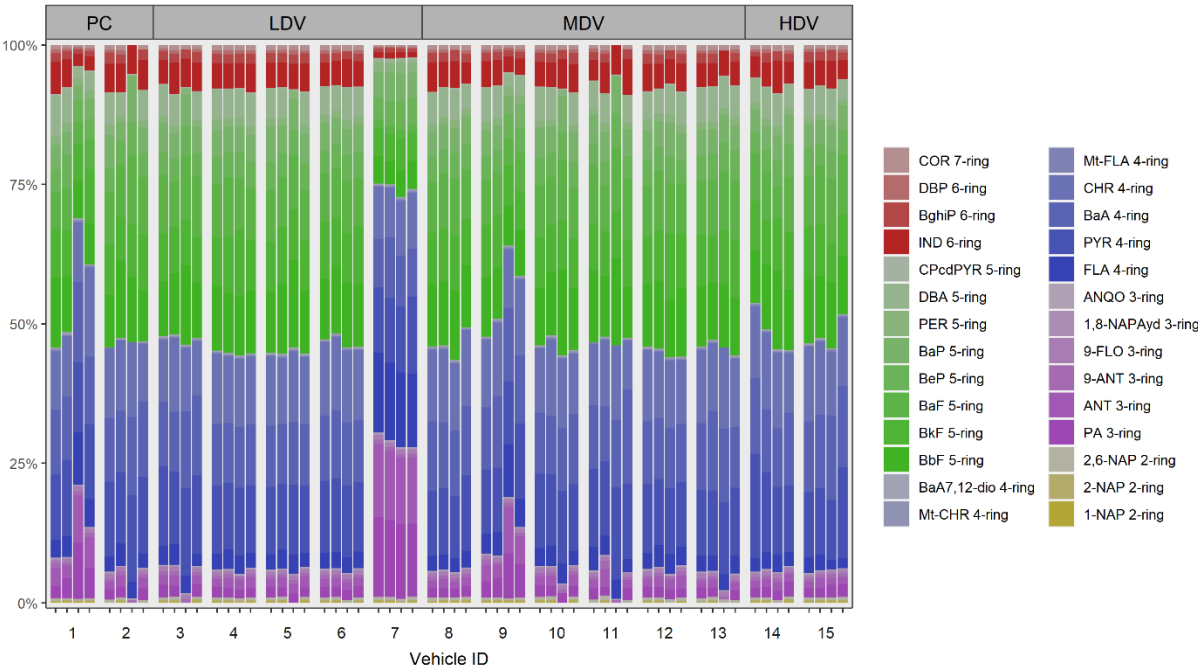


Fig. 3. Mass percentages of PAHs of each vehicle. The four bars under the same Vehicle ID correspond to cold start, hot start, idling and steady state cycles from left to right.

Comparison with other studies

The PAH data obtained in this current study were compared with the results of similar studies. Unlike the fuel-based EF used in the previous sections, distance-based EFs (EF_d) were used in this section because most of the results in previous studies were presented in a distance-based manner. The EF_d value for each PAH was calculated as the ratio of the total quantity of PAHs released in a driving cycle to the distance traveled in the driving cycle. The driving distances in

the NEDC, FIGE, and steady-state cycles were 11.0, 29.5, and 16.7 km, respectively. An averaged EF_d for each vehicle class (i.e. PCs, LDVs, MDVs, and HDVs) was calculated. **Fig. 4** presents a comparison of the averaged EF_{sd} of each PAH from each vehicle class in this study with the PAHs in diesel vehicle emissions in a PEMS study in China (Cao et al., 2017), tunnel studies in China (Chen et al., 2013) and Hong Kong (Ho et al., 2009), and a chassis dynamometer study in the US (Hays et al., 2017). As depicted in **Fig. 4**, the results of the current study were in the range reported in previous studies. Cao et al. (2017) reported the highest PAH EF_d values among the compared studies. Their vehicle fleet comprised 18 diesel trucks with China 3 and 4 emission standards (equivalent to Euro 3 and 4, respectively). In general, the PAH EF_d results of Cao et al. were an order of magnitude higher than those obtained for the HDVs in this study (except for CHR). The EF_d value of ANT in the study of Cao et al. (2017) was four orders of magnitude higher than that in this study, which could be attributed to two main reasons. First, the emission standards of the tested HDVs (Euro 4 and 5) in this study were higher than those of Cao's fleet. Second, Cao et al. (2017) measured on-road emissions by using a PEMS. The emission EFs during on-road driving are considerably higher than those in laboratory chassis dynamometer tests (Huang et al., 2018; May et al., 2014b; Weiss et al., 2012) because the driving conditions in the real world are more rigorous than the driving cycles tested in chassis dynamometer studies.

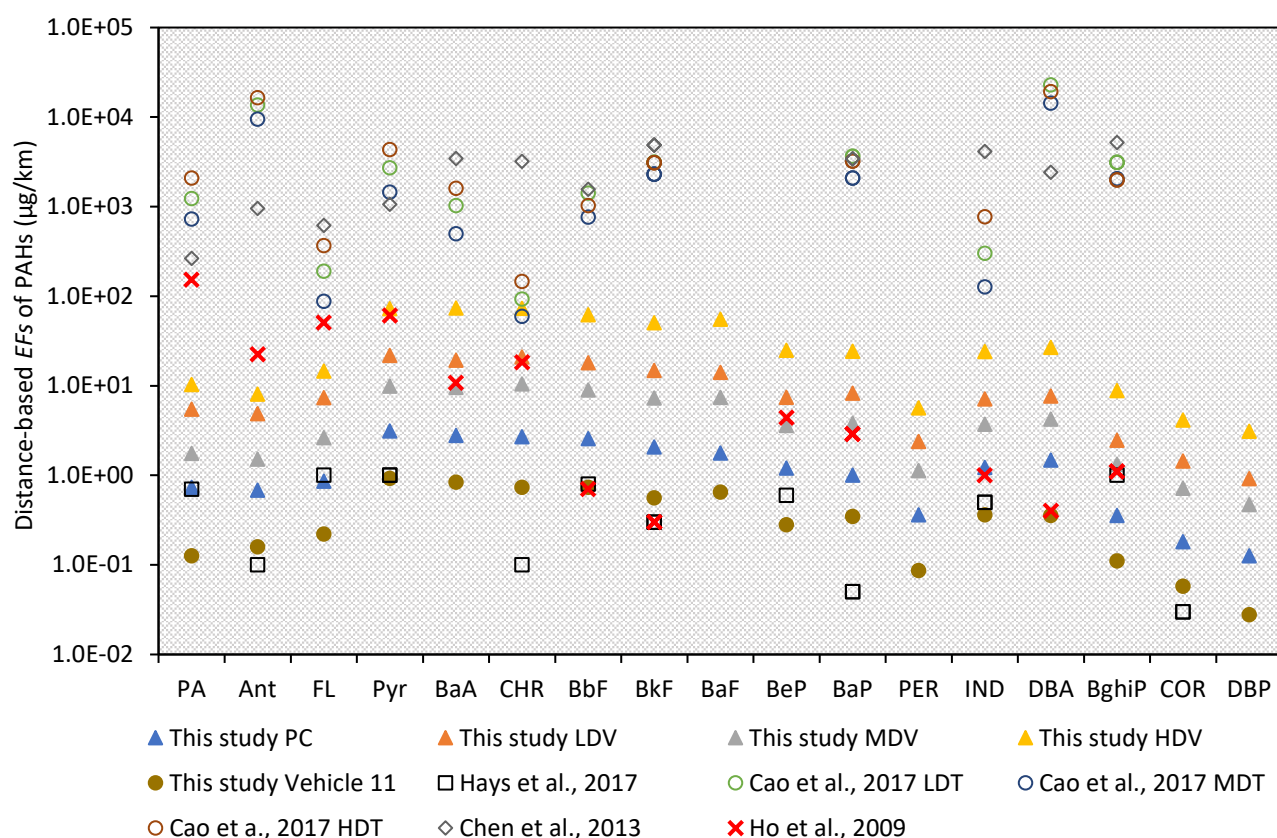


Fig. 4. Distance-based PAHs EF_s of different vehicle classes.

Hays et al. (2017) conducted chassis dynamometer testing on DPF-equipped diesel trucks in the US. Their findings agreed with the PAH EF_d values obtained for Vehicle 11 in this study, which are denoted by brown solid dots in **Fig. 4**. Vehicle 11 is a DPF-equipped MDV and is comparable in size to the vehicles tested by Hays et al. (2017). The agreement between the results of this study and Hays et al. (2017) confirmed that DPF can efficiently remove PAHs from vehicle exhausts.

Chen et al. (2013) conducted a tunnel study in Nanjing, and Ho et al. (2009) conducted a tunnel study in Hong Kong. As depicted in **Fig. 4**, the results of Chen et al. (2013) were in the range of those of Cao et al. (2017), whereas the results of Ho et al. (2009) were generally within the range of those of the current study. The EF_d values of the pollutants emitted from diesel vehicles were

strongly related to regions, which can be attributed to the diesel fuel variation among regions. Studies have indicated that the fuel type (i.e., low-sulfur diesel vs. ultra-low-sulfur diesel) considerably affects the EFs of PAHs (Cheung et al., 2010; Lim et al., 2005).

The EF_{sd} of the PAHs in this study were expected be lower than those PAH EF_{sd} in the study of Ho et al. (2009) because according to the Environmental Protection Department of Hong Kong, the PM_{2.5} emissions in Hong Kong have reduced by more than 50% (HKEPD, 2019). This observation can be explained by the driving condition for vehicles in tunnels, where vehicles travel at almost constant speed. This condition resembles the steady-state cycle in this study. **Fig. 2** indicates that the PAH EFs in the hot start cycle were higher than those in the steady-state cycle for all vehicles except Vehicles 2, 11, and 13. Also, the averaged total PAHs EF_d in steady-state cycle is 0.0834 ± 0.146 mg/km, which is lower than that in cold start (0.180 ± 0.303 mg/km) and hot start (0.239 ± 0.310 mg/km) cycles. This observation explains the higher-than-expected PAH EFs in this study compared with the study of Ho et al. (2009). Some high-emission vehicles (e.g., Vehicles 6 and 15) contributed considerably to the calculated average PAH values, which increased the PAH EFs in this study.

Toxicological analysis

The levels of DCFH, IL-6, and GSH were analyzed to assess the cellular oxidative and inflammatory responses produced by the PM samples. The toxicological results of the current study cannot be compared with those of other studies because the cell culture conditions in this

study were not exactly the same as those in previous studies. Also, as all the results were obtained by a fixed concentration of PM (i.e. 50 $\mu\text{g ml}^{-1}$), comparison between vehicles only accounted for the compositional difference of PM. Although the concentration specific toxicology results for DCFH and IL-6 can be normalized to the amount of PM emitted under different conditions to study the PM toxicity under these conditions, preliminary analysis showed that the normalized toxicology result strongly depend on the EF of PM. **Fig. S5** in Supporting Information illustrated that DCFH and IL-6 results normalized by the fuel-based PM *EF* showed the same pattern as PM *EF*. Therefore, the aforementioned result was not presented in the main context. Moreover, for Vehicle 2 under hot start condition, the amount of PM collected was under detection limit by gravimetric method. Therefore, there is no toxicological test results for Vehicle 2 under hot start condition.

The ROS production is expressed in terms of the fluorescent intensity of DCFH, as depicted in the upper panel of **Fig. 5**. In general, the fluorescent intensity did not vary significantly among the different driving cycles. Vehicle 10 exhibited the highest fluorescent intensity, with an average value of 1.62 ± 0.31 . In addition to Vehicle 10, the cold start cycle for Vehicle 1, hot start cycle for Vehicle 3, and idling cycle for Vehicle 13 exhibited elevated levels of fluorescent intensity in response to the emitted PM. However, other than the aforementioned vehicles and cycles, the variation in the ROS among the remaining vehicles was not significant.

349 The lowest panel in **Fig. 5** shows the GSH levels after the A549 cells were exposed to the PM
350 samples. GSH is an antioxidant of which the concentration will decrease in response to oxidative
351 stress. In general, GSH levels were depleted compared to the blank filter sample outlined in Fig.S3
352 for all PM samples. Compared with the results of the DCFH level, a certain degree of variation
353 was observed in the GSH levels for the tested vehicles. LDVs (Vehicles 3, 4, 5, 6, and 7) generally
354 had lower GSH concentrations (stronger oxidative stresses) than the other classes of vehicles did.
355 Other parameters did not significantly influence the GSH level. The average GSH levels for
356 vehicles with DPF and without DPF were $9.89 \pm 3.97 \mu\text{M}$ and $9.85 \pm 3.37 \mu\text{M}$, respectively. This
357 result indicated that DPFs removed PM mass without changing the GSH response of the PM; thus,
358 DPFs probably did not significantly change the morphology and composition of the PM samples.

359 IL-6 is a proinflammatory cytokine released in response to PM exposure. The IL-6 results are
360 illustrated in the middle panel of **Fig. 5**. The idling cycle for Vehicle 14 exhibited the highest IL-
361 6 level of 80.3, whereas the hot start cycle for Vehicle 7 exhibited the lowest IL-6 level of 8.30. In
362 general, no clear trend was observed for the effect of driving cycles on the IL-6 levels. HDVs had
363 the highest IL-6 concentrations among the vehicle classes, followed by MDVs. The IL-6 levels of
364 PCs, LDVs, and MDVs did not exhibit significant variations.

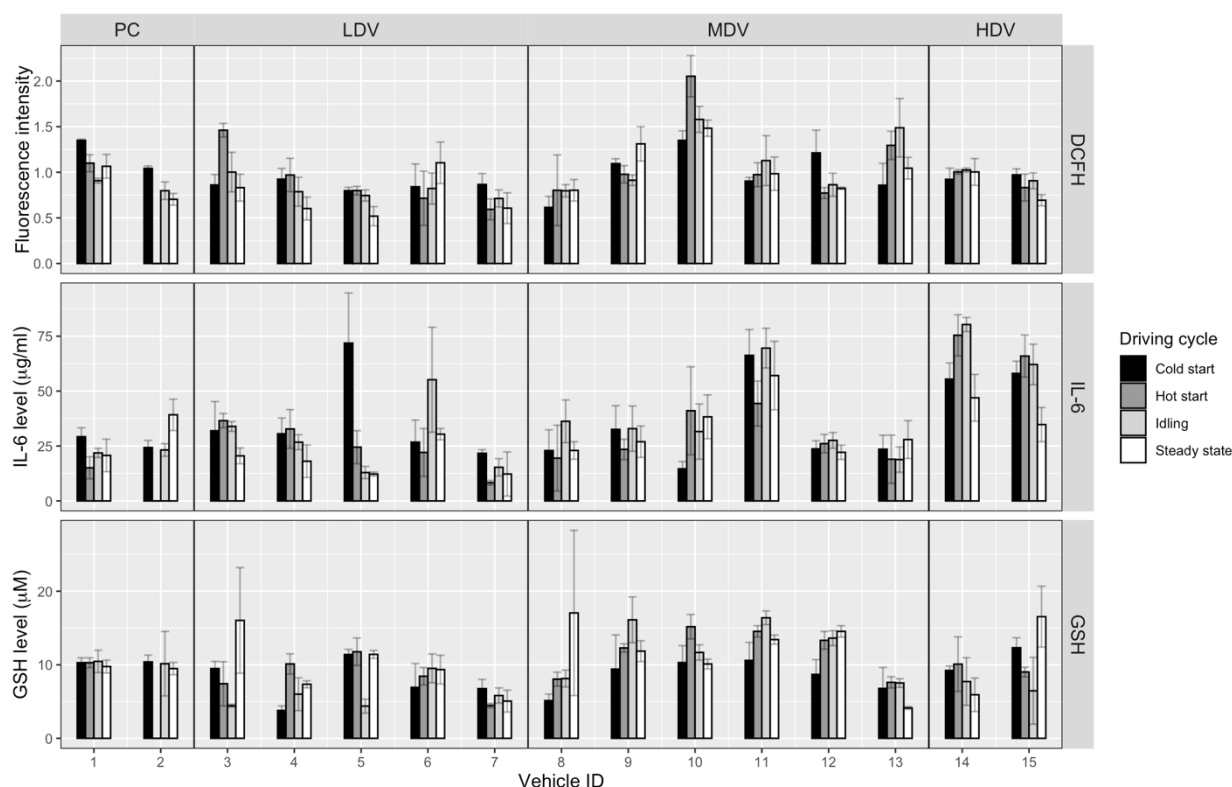


Fig. 5. Results of the production of ROS expressed as the fluorescent intensity of DCF, IL-6 level and GSH level of the 15 vehicles in different testing cycles.

Effect of vehicle type and driving cycle

To determine the effects of the vehicle type on the three toxicological markers, the levels of each toxicological marker for all vehicles were pooled and grouped according to their corresponding vehicle type (i.e., PC, LDV, MDV, and HDV). The results are presented using boxplots in **Fig. 6**. As depicted in **Fig. 6**, a certain degree of variation existed between vehicle types for the three toxicological markers. The LDVs produced lower responses for DCFH and GSH than the other three vehicle types did. The HDVs exhibited a higher IL-6 level than the other three vehicle types.

376 The results were verified by conducting a Kruskal–Wallis H test for each toxicological marker at
377 a significance level of $p = 0.05$. The Kruskal–Wallis H test results presented in the bottom left of
378 each boxplot indicated that statistically significant differences existed among the four vehicle types
379 for the three toxicological markers. The pairwise Wilcoxon rank-sum test was conducted as the
380 post-hoc test of the Kruskal–Wallis test to determine which vehicle type pair had significant
381 differences in their toxicological marker levels at a significance level of 0.05. The vehicle type
382 pairs with adjusted p values of <0.05 are marked with an asterisk in **Fig. 6**. Significant differences
383 were identified in the DCFH and GSH levels of the LDVs and MDVs. Also, significant differences
384 were identified in the IL-6 levels of the HDVs and other types of vehicles. The aforementioned
385 results suggested that the vehicle type affected the response of the three toxicological markers.
386 Moreover, it should be noticed that a decrease in GSH level indicates an increase of oxidative
387 stress, which means that GSH level should show an opposite trend when compared to DCFH level.
388 However, result of the aforementioned statistical analysis showed that increase of vehicle weight
389 (from LDV to MDV) increased both GSH and DCFH levels. Further investigations are required
390 to determine the mechanisms or reasons leading to this observation.

391 Unlike the vehicle type, the driving condition of the vehicle did not have a significant effect on
392 the three toxicological markers. Statistical analyses (**Fig. S3**) confirmed that no statistically
393 significant differences existed between the four driving cycles ($p > 0.05$) for the three toxicological
394 markers. This result suggested that the driving condition had a minimal effect on the production

of ROS and the proinflammatory cytokine IL-6. This finding is consistent with our PAH results, which revealed that the composition of PAHs did not vary significantly among the different driving cycles.

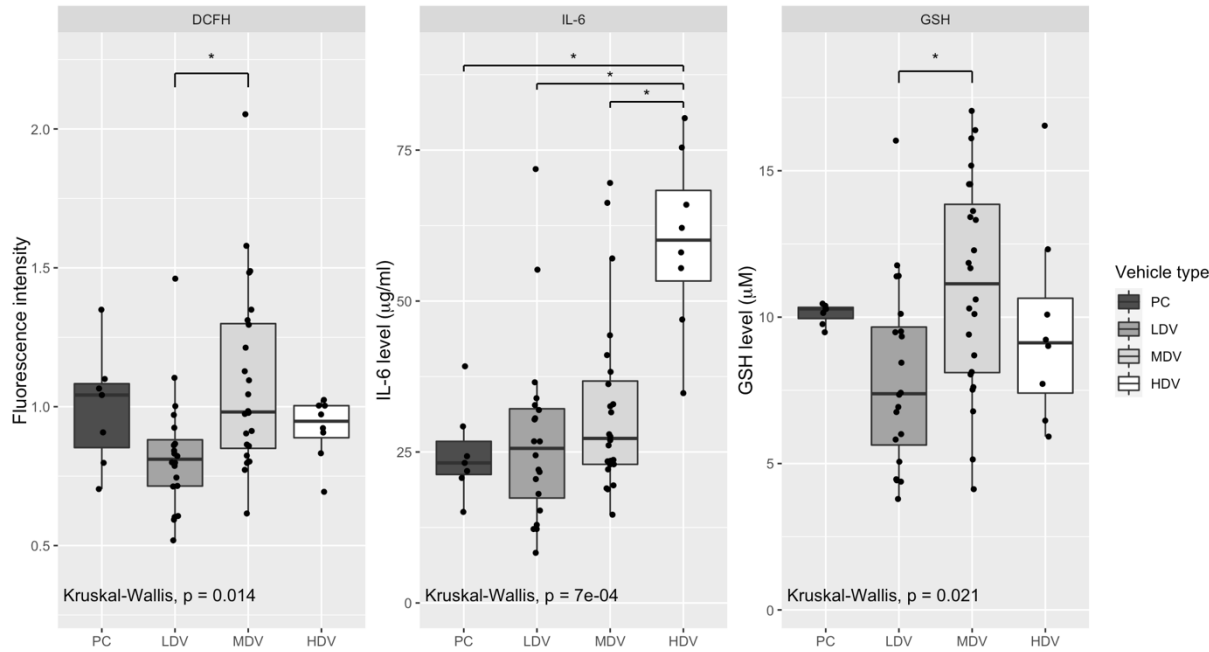


Fig. 6. Boxplots of the levels of DCFH, IL-6 and GSH grouped by vehicle type. Black dots represent the data points in the corresponding driving cycle. Asterisk represents the adjusted p-value in pairwise Wilcoxon rank sum test smaller than 0.05.

Correlation between toxicity data and PAHs concentration

The chemical composition of PM samples has been reported to be related to the oxidative potential and the release of oxidative stress mediators (Chuang et al., 2012; Ho et al., 2016). In particular,

certain PAHs have significant correlations with the vasoactive function and proinflammatory cytokines (Niu et al., 2017).

Table 1. Results of spearman's correlation between PAHs and toxicological markers with p-value smaller than 0.1. Asterisk indicates p-value <0.05.

| | | DCFH <i>Spearman's ρ</i> <i>(p-value)</i> | IL-6 <i>Spearman's ρ</i> <i>(p-value)</i> | GSH <i>Spearman's ρ</i> <i>(p-value)</i> |
|---------------------|-------------|--|--|---|
| Hot start | IND | 0.468 (<0.1) | - | - |
| | DBA | 0.532 (<0.05) * | - | - |
| | Mt-CHR | 0.457 (<0.1) | - | - |
| | COR | - | 0.471 (<0.1) | - |
| Idling | Mt-FLA | - | 0.503 (<0.1) | - |
| | 2-NAP | - | - | -0.900 (0.037) * |
| Steady state | PA | - | - | -0.539 (<0.05) * |
| | ANT | - | - | -0.514 (<0.05) * |
| | FLA | - | - | -0.479 (<0.1) * |
| | BaA | - | 0.538 (<0.05) * | - |
| | PYR | - | 0.479 (<0.1) | - |
| | BkF | - | 0.52 (<0.05) * | - |
| | BaF | - | 0.454 (<0.1) | - |
| | BeP | - | 0.584 (<0.05) * | - |
| | 2,6-NAP | - | 0.493 (<0.1) | - |
| | Mt-FLA | - | 0.483 (<0.1) | - |
| | BaA7,12-dio | - | 0.441 (<0.1) | - |

Correlation analysis was conducted between the concentrations of the PAHs and toxicological markers according to the method stated in the Methodology section. The correlation test results with a p value of <0.1 are presented in **Table 1**. A total of 17 PAH-toxicological marker pairs had moderate or good correlations. In general, as depicted in **Table 1**, almost all the aforementioned pairs were associated with the hot start and steady-state cycles, with the exception of two pair that

416 was associated with the idling cycle. There is no correlation found between PAH and toxicology
417 data under cold-start condition. No correlation was found between the PAH and toxicology data
418 under the cold start condition. Thus, under hot engine conditions (hot start and steady state), some
419 PAHs functioned as good indicators of ROS production or proinflammatory response. However,
420 under low-engine-temperature conditions (i.e., cold start and idling), the PAH concentrations
421 measured in this study were not the main driving forces for the observed toxicology responses.

422 As depicted in **Table 1**, the DCFH levels and PAH concentrations exhibited correlation only under
423 the hot start condition. Moderate correlations were found between DCFH level and Mt-CHR ($\rho =$
424 $0.46, p < 0.1$) and between DCFH level and IND ($\rho = 0.47, p < 0.1$). Moreover, a good correlation
425 was found between DCFH level and DBA ($\rho = 0.53, p < 0.05$). This result agreed with that of Wu
426 et al. (2017), who found a strong correlation between ROS generation and DBA in petrol and
427 diesel fuel combustion experiments. Correlation coefficients between GSH and PAHs were
428 expected to be negative since the decrease in GSH shows the increase of oxidative stress posed by
429 the corresponding PAH. Correlations of GSH with PAHs were found in idling and steady state
430 cycle. PA ($\rho = -0.539, p < 0.05$) and ANT ($\rho = -0.514, p < 0.05$) showed good correlation with
431 GSH and FLA ($\rho = -0.479, p < 0.1$) showed moderate correlation with GSH in steady state cycle.
432 In idling cycle, GSH showed good correlation with 2-NAP ($\rho = -0.900, p < 0.05$).

433 **Table 1** also showed the correlation between the pro-inflammatory mediator IL-6 and selected
434 PAHs. Moderate correlation was found between IL-6 and COR ($\rho = 0.47, p < 0.1$) in the hot start

435 cycle. The correlation between IL-6 and Mt-FLA ($\rho = 0.50, p < 0.1$) was the only significant
436 correlation in the idling cycle. Except the aforementioned two correlations, all the observed
437 correlations between IL-6 and PAHs were found in the steady-state cycle. The compound 2,6-NAP
438 ($\rho = 0.49, p < 0.1$) was the only PAH with less than three rings that exhibited correlation with the
439 toxicology results. IL-6 exhibited moderate correlation with Ba7,12-dio ($\rho = 0.44, p < 0.1$), Mt-
440 FLA ($\rho = 0.48, p < 0.1$), PYR ($\rho = 0.48, p < 0.1$), and BaF ($\rho = 0.45, p < 0.1$). Moreover, IL-6
441 exhibited significant correlations with BaA ($\rho = 0.54, p < 0.05$), BkF ($\rho = 0.52, p < 0.05$), and BeP
442 ($\rho = 0.58, p < 0.05$).

443 Several studies have assessed the correlations among IL-6 and particle-bounded PAHs from
444 different sources; however, they have obtained different results. Niu et al. (2017) and Chowdhury
445 et al. (2019) have investigated the correlation between PAHs in an atmospheric PM sample and
446 the IL-6 responses in an A549 cell and a BEAS-2B cell (human bronchial epithelial cell),
447 respectively. They have determined that certain PAHs are positively correlated with the IL-6 level.
448 Lin et al. (2013) studied the effect of household particles on inflammation in human coronary
449 artery endothelial cells (HCAECs) and revealed that PAHs were significantly correlated with the
450 IL-6 level. Delfino et al. (2010) analyzed blood samples from 60 people and the air samples in
451 their vicinity. Their results suggested a positive correlation between PAHs in air samples and the
452 IL-6 level in human blood samples. Our findings are in agreement with those of the
453 aforementioned studies, which support a positive correlation between PAHs and the IL-6 level.

However, a study conducted by Skuland et al. (2017) could not establish a clear connection between the total or individual PAH levels in diesel exhaust particles and the IL-6 level in a BEAS-2B cell. Chuang et al. (2012) could not find a significant correlation between the PAHs in air samples and the IL-6 level in HCAECs. Moreover, Wang et al. (2016) found a significant negative correlation between the PAHs in atmospheric PM samples and the IL-6 level in BEAS-2B cells. The aforementioned studies suggest that in some cases, PAHs might not be the main inducer of proinflammatory response. Therefore, further investigations are essential to elucidate the reaction mechanism for the release of IL-6 and other proinflammatory mediators.

Conclusion

This paper presents a detailed chemical analysis of PM samples collected from diesel vehicles with various physical properties. This study is the first in Hong Kong to investigate the toxicity of PM samples through chassis dynamometer testing. The results indicated that PM emissions from the tested diesel vehicles were dominated by OC. DPF-equipped diesel vehicles had very high OC/EC ratios, which suggested that DPFs could effectively remove EC but not OC in PM. The EC removal efficiency of the DPFs was high even for vehicles with high odometer readings.

Among the identified PAHs, 4- and 5-ring PAHs were the most abundant species. The highest PAH EFs were exhibited by the HDVs, followed by the LDVs and MDVs. The driving cycle had a significant effect on the EFs of the PAHs. The steady-state cycle generally exhibited the lowest

473 PAH EFs, and the transient and idling cycles exhibited substantially higher PAH EFs than the
474 steady-state cycle did. Although different PAH EFs were observed under different driving
475 conditions, the mass percentage of individual PAHs (i.e., the PAH composition of the PM samples)
476 did not vary significantly with different driving conditions.

477 The cellular exposure experiments revealed that the PM emissions of diesel vehicles cause
478 potential oxidative stresses, which emerge from ROS, for human lung cell activities. The statistical
479 analysis results indicated that the MDVs produced significantly higher levels of DCFH and GSH
480 than the LDVs did. Moreover, the HDVs produced significantly larger quantities of IL-6 than the
481 other types of vehicles did. Correlation analysis between the PAHs and three toxicology markers
482 revealed that statistically significant correlations existed between certain PAH–toxicological
483 marker pairs, including DCFH and DBA ($\rho = 0.53, p < 0.05$), GSH and PA ($\rho = -0.539, p < 0.05$),
484 and ANT ($\rho = -0.514, p < 0.05$), as well as IL-6 and BaA ($\rho = 0.54, p < 0.05$), BkF ($\rho = 0.52, p <$
485 0.05), and BeP ($\rho = 0.58, p < 0.05$). Furthermore, the results suggested that new emission control
486 technologies and policies should focus on OC and PAH reduction to reduce their adverse health
487 effects on the human respiratory system. Nevertheless, the currently study focused on the chemical
488 and toxicological analysis of pollutants in particle phase only. Further studies on volatile and semi-
489 volatile organic pollutants from diesel vehicle exhaust are warranted. Another aspect to be
490 considered in future studies is the effect of particle size distribution and particle morphologies on
491 the chemical and toxicological properties of the diesel PM samples. An integration of the outcomes

492 of the aforementioned studies and the data in this paper can provide a more comprehensive picture
493 of the chemical composition and toxicological properties of diesel emission in the future.

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