# **Exploring Smart Construction Objects as Blockchain Oracles in**

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# **Construction Supply Chain Management**

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#### 5 Abstract

Blockchain technology has attracted the interest of the global construction industry for its 6 potential to enhance the transparency, traceability, and immutability of construction data and 7 enables collaboration and trust throughout the supply chain. However, such potential cannot 8 be achieved without blockchain "oracles" needed to bridge the on-chain (i.e., blockchain 9 system) and off-chain (i.e., real-life physical project) worlds. This study presents an innovative 10 solution that exploits smart construction objects (SCOs). It develops a SCOs-enabled 11 blockchain oracles (SCOs-BOs) framework. To instantiate this framework, the system 12 architecture of a blockchain-enabled construction supply chain management (BCSCM) system 13 is developed and validated using a case study, whereby four primary smart contracts are 14 examined in the context of off-site logistics and on-site assembly services. The validation 15 results show that accurate data is retrieved against malicious data in each request, and the 16 corresponding reputation scores are successfully recorded. The innovativeness of the research 17 lies in two aspects. In addition to mobilizing SCOs as blockchain oracles to bridge the on-chain 18 and off-chain worlds, it develops a decentralized SCO network to avoid the single point of 19 failure (SPoF) problem widely existing in blockchain systems. This study contributes to 20 existing research and practice to harness the power of blockchain in construction. 21

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#### 23 Keywords

24 Blockchain; Oracles; Smart Contract; Supply Chain Management; Smart Construction Objects;

25 Prefabricated Construction

#### 26 **1. Introduction**

The construction industry is one of the most fragmented sectors globally due to a high degree 27 of specialization amongst its professions, businesses and processes, and most construction 28 projects are one-offs involving adversarial relationships (Turk and Klinc, 2017). As a result, 29 construction projects worldwide have a scattered and complex supply chain (Dainty et al., 30 2001). For example, the construction of a two-tower student hostel at the University of Hong 31 Kong involved more than 20 suppliers throughout China, the transportation of prefabricated 32 modules over 1500 miles from Jiangsu and, at its peak, over 200 workers on the supply chain 33 (Zhang et al., 2021). Collaboration is needed to manage such a fragmented supply chain, and 34 accountability is needed to ensure the sharing of trustworthy data on progress, quality, safety, 35 costs, payments, and resources (Tezel et al., 2020). A lack of accountability gives rise to 36 disputes, deflection of blame, and corner-cutting, which in turn lead to depressed productivity, 37 cost overrun, and accidents (Zhong et al., 2020). Blockchain technology can offer this missing 38 accountability in construction and make supply chains traceable, transparent, and immutable 39 for all project participants (Wan et al., 2020). 40

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Blockchain is a decentralized trust infrastructure that combines distributed ledgers, 42 cryptography, and consensus protocols. It can track and store the past and present status of 43 tangible assets or intangible events across a decentralized peer-to-peer network. Data is saved 44 in a set of blocks linked in a consecutive chain. Cryptographic protocols prevent any change in 45 the data stored in a block without the collusion or collaboration of the majority of participants 46 (Kosba et al., 2016). Smart contracts can run on a blockchain, implement consensus protocols, 47 and allow participants to reach a consensus-based on predefined rules without a trusted third 48 party (Li et al., 2019a) (Yang et al., 2020). Several applications for blockchain-enabled smart 49 contracts have been explored in different domains, including document control (Hasan and 50

Salah, 2018a), delivery assurance (Hasan and Salah, 2018b), Internet of Things (IoT) access 51 control and authentication (Salah et al. 2019; Almadhoun et al. 2018). In the construction 52 industry, applications have been explored in payment security (Chong and Diamantopoulos, 53 2020), quality management (Sheng et al., 2020), traceability of prefabricated components 54 (Wang et al., 2020), Building Information Modeling (BIM) data audit (Zheng et al., 2019; Xue 55 and Lu, 2020), and integrated project delivery (IPD) transactions (Elghaish et al., 2020). 56 However, the execution of smart contracts in the construction supply chain often requires an 57 exchange of real-world data, which cannot be accomplished by blockchain (Wang et al., 2017). 58 59

Oracles are middleware agents that can capture and validate real-world information and feed it 60 to a blockchain for the use of smart contracts (Al-Breiki et al., 2020). They may be software or 61 hardware, inbound or outbound, or consensus-based. While humans can serve as oracles to 62 trigger communication between the on-chain and off-chain worlds, this is interruptive, time-63 consuming, and error-prone. The use of software oracles, such as BIM manipulated by human 64 operators, is possible but ensuring the authenticity of external data sources is challenging. 65 Software oracles also bring back the blockchain centralization problem since relying on 66 centralized sources increases the risk of feeding erroneous data to the blockchain system. There 67 is a need for oracles with autonomous and decentralized computational power scattered in key 68 construction processes or nodes to verify construction data correctness and accuracy before it 69 is fed into a smart contract, and to ensure data privacy. 70

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Smart embedded technologies have excellent potential as blockchain oracles. Specifically, according to a concept developed by Niu et al. (2016), construction resources (e.g., machinery, tools, devices, materials, components, and structures) can be turned into smart construction objects (SCOs) able to convey their designated properties and with new properties of awareness, communicativeness, and autonomy to enable various smart applications. Thus, opportunities
exist to mobilize SCOs into hardware oracles to bridge the communication between blockchain
and real-life construction processes. However, this research area is uncharted territory.

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This study, therefore, aims to investigate the extended use of SCOs as trustworthy hardware 80 oracles for blockchain applications in the construction industry. It has three specific objectives: 81 (1) to establish a deployment framework for exploring SCOs as decentralized blockchain 82 oracles; (2) to instantiate the framework by proposing a system architecture of SCOs-as-oracle 83 blockchain-enabled construction supply chain management (BCSCM); and (3) to validate the 84 framework and system architecture in a case study. The study makes three main contributions 85 to the body of knowledge. First, it is one of the first investigations on decentralized blockchain 86 oracles in the construction industry to improve the single point of failure (SPoF). Second, to 87 achieve data selection and validation through on- and off-chain interactions, the study presents 88 the oracles smart contract (OSC) with an unbiased random sortation mechanism and the 89 aggregator smart contract (ASC) with cross-reference mechanisms. Third, to realize the cross-90 chain activities between the main (service blockchain) and side chain, it develops the reputation 91 smart contract (RSC) with the reputation system and service smart contract (SSC). The rest of 92 the paper is organized as follows. After this introductory section is Section 2, which elaborates 93 on some basics of blockchain and its oracles. Section 3 delineates the SCOs-enabled blockchain 94 oracles (SCOs-BOs) framework, and Section 4 describes the system architecture of the 95 BCSCM system. Section 5 is a case study that uses logistics and on-site assembly traceability 96 services to validate the smart contracts used in the BCSCM system. Our findings are discussed 97 in Section 6, and conclusions are drawn in Section 7. 98

#### 100 **2. Background**

#### 101 2.1 Construction Supply Chain Management

Construction supply chain management (CSCM) aims to ensure the smooth flow of goods and 102 services to the construction site through cooperation between supply chain participants (Dainty 103 et al., 2001). CSCM is massively challenging in practice due to long-standing issues, including 104 lack of trust, fragmentation, and discontinuity (Luo et al., 2020). Product provenance issues 105 and disputed inspection of products contribute to the lack of trust (Bankvall et al., 2010), while 106 fragmentation issues result from a geographical distribution of stakeholders and multiple 107 CSCM stages (Hsu et al., 2019). Discontinuity arises because the current CSCM system lacks 108 good-quality data for coordinated functional modules such as compliance check, process 109 control, and quality assurance, and can also be ascribed to low levels of information visibility 110 and traceability. For example, product data is still mainly conveyed from the prefabrication 111 factory to the construction site on paper (Zhai et al., 2019). Such manual processes are time-112 consuming and may lead to input errors, file loss, and data tampering. Integrating IoT and BIM 113 to connect physical construction objects with virtual BIM objects has been proved to alleviate 114 the fragmentation and discontinuity of CSCM (Li et al., 2019b). Researchers and practitioners 115 have proposed many technologies to realize IoT, such as radio-frequency identification (RFID), 116 near-field communication (NFC) for short-range wireless (Xue et al., 2018), 5G for medium-117 range wireless (Li et al., 2018b), and low-power wide-area networking (e.g., LoraWan, NB-118 IoT) for long-range wireless (Mekki et al., 2018). In construction, Niu et al. (2016) proposed a 119 robust IoT model in the form of smart construction objects (SCOs). The integration of SCOs 120 with BIM has been recognized as a compelling paradigm for digital twin applications to 121 enhance construction efficiencies. These applications have been widely explored in 122 construction resource and progress monitoring (Li et al., 2018a; Zhong et al., 2017), 123 occupational health and safety management (Niu et al., 2019), and construction logistics and 124

supply chain management (Niu et al., 2017). However, merely integrating BIM and SCOs is
not enough to achieve data privacy, security and trust among stakeholders. For example, the
shared cloud BIM model and its data can be tampered with, leaving data changes untraceable,
and SCO sensors (e.g., RFID, GPS) may suddenly run out of power or report noise that reduces
data quality.

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#### 2.2 Blockchain and Smart Contracts

132 A potential solution to the above issues is blockchain, a distributed ledger of pertinent data and transactions mutually agreed upon and shared among all participants in a peer-to-peer network 133 (Nakamoto, 2008). Three components support the functioning of blockchain: cryptography, a 134 distributed database, and a consensus mechanism (Zheng et al., 2017). Cryptography, in the 135 form of hashing algorithms, is used to encrypt transactional data based on the agreed protocol, 136 making the data difficult to tamper with (Beck et al., 2016). A widespread network of 137 computers supports distributed ledgers, recording all data in each participant's ledger. 138 According to the consensus, data transactions are kept synchronized across the network 139 (Nguyen and Kim, 2018). Current blockchain platforms include permissionless blockchain and 140 permissioned blockchain (Helliar et al., 2020). A permissionless blockchain, such as Bitcoin 141 or Ethereum, is entirely decentralized and allows any participant to access the data in blocks 142 (Buterin, 2014). In permissioned blockchain, such as Hyperledger Fabric, only identified users 143 can validate transactions and access block data (Cachin, 2016). Permissionless blockchain 144 highlights openness and decentralization, while permissioned blockchain can provide higher 145 throughputs by designing deterministic consensus protocols (Gupta et al., 2020). Therefore, 146 permissioned blockchains are more applicable for time-sensitive CSCM applications in terms 147 of transparency, traceability, immutability, decentralization, privacy, and smartness (Qian and 148 Papadonikolaki, 2020). 149

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The first application of blockchain, or "Blockchain 1.0", was in cryptocurrencies, while the 151 technology has expanded into other sectors through smart contracts, the primary advancement 152 of "Blockchain 2.0" (Buterin, 2014). Smart contracts are self-executing contracts that run on 153 an "if-then" basis (Hasan and Salah, 2018a). They can track on-chain or cross-chain data 154 changes and off-chain data sources in real-time and automatically respond under preset trigger 155 conditions (Uriarte et al., 2020). Smart contracts can be either deterministic or non-156 deterministic (Kosba et al., 2016). The former, such as tokenization of assets, can be 157 independently executed in the blockchain without interaction with the external world. Non-158 deterministic smart contracts, as in the case of construction industry applications, require off-159 chain data to trigger execution. For example, the location data of a prefabricated product can 160 be captured from its mounted GPS sensors. When the product arrives at the construction site, 161 the smart contract can extract the off-chain location data as proof of location to activate the 162 blockchain's product status change. To facilitate this data exchange between the on-chain and 163 off-chain worlds, blockchain oracles can serve as intermediaries. Without blockchain oracles, 164 smart contracts have to trust only data already within the chain, and the functions of blockchain 165 would be seriously constrained. 166

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#### 168 2.3 Blockchain Oracles

In ancient Greece, an oracle was a messenger passing advice or prophecies from gods to mortals. In modern society, any accurate source of information can be considered an oracle (Al-Breiki et al., 2020). In blockchains, an oracle is a middleware agent that queries, verifies, and authenticates external data sources and then delivers them to the blockchain for subsequent use by smart contracts (Kochovski et al., 2019). The data transmitted by oracles in CSCM processes include workers' health and safety information, operation and energy information from machinery, location and quality data from material and components, cost and progress status, and building information contained in BIM models. Oracles can also be classified according to the source of data (software, hardware, human), information flow direction (inbound, outbound), design pattern (request-response, publish-subscribe, immediate-read), and trust model (centralized, decentralized) (Beniiche, 2020).

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Oracles are not a built-in functionality of blockchain and do not have consensus mechanisms. 181 If oracles are compromised, smart contracts will also be compromised. Thus, centralized 182 oracles with a single data source may suffer single point of failure (SPoF) problems. Previous 183 studies have explored the use of oracles to improve data quality and authenticity in CSCM. 184 Shrestha and Behzadan (2018) developed an evolutionary algorithm to refine sensor data noise 185 and enhance data quality for better construction planning simulation, while Bangaru et al. 186 (2020) found that multiple sensors or combined sensors can achieve higher accuracy in activity 187 classification than individual sensors. Addressing data authenticity, Chong and 188 Diamantopoulos (2020) used smart sensors as hardware oracles and integrated them with smart 189 contracts to improve payment security. Zheng et al. (2019) considered BIM as software oracles 190 for blockchain to store historical processes of file modification. Involving human oracles, 191 Wang et al. (2020) used smart contracts in blockchain to update precast components status and 192 operation information, and Sheng et al. (2020) explored smart contracts for handling 193 construction quality information such as inspection forms. However, the quality and 194 authenticity of off-chain data before input to the blockchain have not yet been investigated, 195 and some CSCM process data are noisy or miscellaneous in nature. 196

Several studies have investigated blockchain oracles in other industries to achieve proof of
 location. For example, Vivekanandan et al. (2021) proposed an IoT device-to-device
 authentication protocol for smart city applications, facilitating the registration of IoT location

data in the blockchain. Victor and Zickau (2018) stored location encoding systems in the smart 200 contract to represent a geofence used to evaluate the location data provided by the oracle. 201 Boeira et al. (2019) developed a scheme using cryptographic primitives and mobility awareness 202 to improve the trustworthiness of shared vehicle location information in high-speed scenarios. 203 Zafar et al. (2020) also summarized a state-of-the-art location proof system and highlighted 204 current challenges such as collusion resistance (malicious location and noise) and storage 205 (redundancy in blockchain but untrustworthy in distributed devices). Some off-the-shelf 206 decentralized blockchain oracle solutions for commercial applications have been summarized 207 in Al-Breiki et al. (2020), such as Witnet (De Pedro et al., 2017), Augur (Peterson et al., 2015), 208 Chainlink (Ellis et al., 2017), ASTRAEA (Adler et al., 2018), and Aeternity (Hess et al., 2018) 209 taking the reputation system, voting game, or consensus mechanism into account. 210

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The research gaps identified can be summarized as follows: (1) there is a lack of a framework to guide the establishment of a decentralized hardware oracle sidechain for specific CSCM functions and to help card the logic of cross-chain (off-chain, sidechain, main chain) interactions; (2) the automatic consensus mechanism (e.g., cross reference), reputation system, and unbiased random sortation mechanism have not been investigated for hardware oracles in CSCM to avoid SPoF and help get trustworthy data in an empirical study.

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#### 219 3. A Framework for Using Smart Construction Objects as Blockchain Oracles

#### 220 3.1 Transferring SCOs as Oracles: Definition & Properties

Smart construction objects (SCOs), proposed by Niu et al. (2016), represent a robust IoT model with sensing, processing, and communicating capacities to facilitate information exchange among various construction resources. Here, construction resources could be men, machines, or materials. The core properties of SCOs are awareness, communicativeness, and autonomy

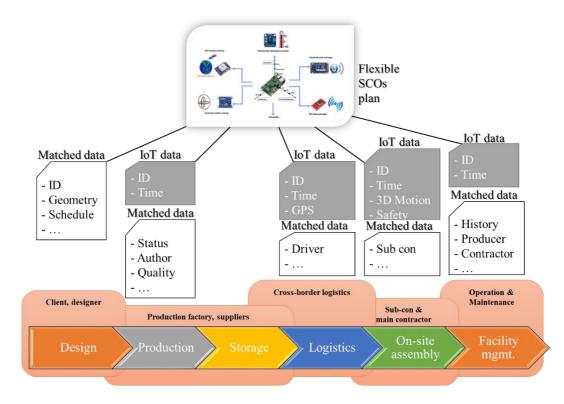
(Niu et al., 2017). Awareness shows the ability of SCOs to sense and record their real-time 225 situation and that of the vicinity. Communicativeness indicates the power of SCOs to exchange 226 information they have obtained through their awareness. Autonomy refers to the ability of 227 SCOs to alert people of the need for actions or take actions autonomously based on predefined 228 rules. These properties of SCOs are well-matched with the design patterns of blockchain 229 oracles (see Table 1). For example, the activity aware with passive autonomy and pull 230 communicativeness property is similar to the request-response pattern, where oracles can 231 monitor, retrieve, and record data when the specific activity or event requests are triggered. 232 The policy-aware with active autonomy and push communicativeness property is identical to 233 the publish-subscribe pattern, where oracles can broadcast real-time conditions when the 234 changes comply with rules and regulations. The process-aware with mixed autonomy and 235 mixed communicativeness property works the same as the immediate-read pattern, where 236 oracles can store data available for any immediate need in any construction process. 237

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#### Table 1. The core and sub-properties of SCOs

Duonoution	Description	Oracles Design
Properties	Description	Patterns
Awareness		
Activity aware	To be aware of and respond when an activity or event is triggered	request-response
Policy aware	To be aware of situations compliant with published rules and regulations	publish-subscribe
Process aware	To be immediately aware of activities in workflows and processes	immediate-read
Communicative	ness	
Pull	To offer information on request	request-response
Push	To proactively issue updated information or alerts at regular intervals	publish-subscribe
Mixed	To immediately offer and issue information	immediate-read
Autonomy		
Passive	To assist in making decisions and taking action upon request	request-response
Active	To proactively take action based on changes at regular intervals	publish-subscribe
Mixed	To execute autonomy in both passive and active manners	immediate-read

SCOs can work in a similar way to oracles in construction blockchain. They can act as data 240 feed providers to sense and capture data from various construction resources and scenarios and 241 serve as oracle node operators to process and transfer accurate, reliable, and verified data to 242 blockchain systems. In addition, IoT sensors installed on SCOs can serve other relevant 243 purposes. For example, inertial measurement units (IMU) and air pressure units can supplement 244 GPS locations with accurate motion and height data, while passive RFID and QR codes can be 245 attached to construction objects for lifelong facility management. For different construction 246 tasks, a combination of different SCOs design profiles can offer the optimal performance-price 247 ratio. Figure 1 shows a detailed SCO plan for construction processes with two types of models: 248 Model 1: Low-energy, single GPS sensor for location-based service in off-site logistics 249 Model 2: High-frequency multiple motions and environmental sensors for off-site 250 production and on-site assembly 251



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Figure 1. An SCO plan for CSCM processes: Off-site production, logistics, and on-site

assembly services

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#### 256 3.2 The SCOs-BOs Framework

This section proposes an SCOs as blockchain oracles (SCOs-BOs) framework providing a 257 decentralized oracle solution with multiple smart contracts to manage interactions and data 258 access. It can help randomly select and monitor the oracles for specific services in CSCM 259 processes and offer reputation scores to each oracle by cross-reference. The framework tries to 260 improve two bottlenecks in the data exchange between the physical CSCM processes and the 261 cyber blockchain worlds: (1) single point of failure (SPoF), which means only relying on one 262 source of information from the centralized BIM platform; and (2) the need for trustworthy, 263 good-quality data. 264

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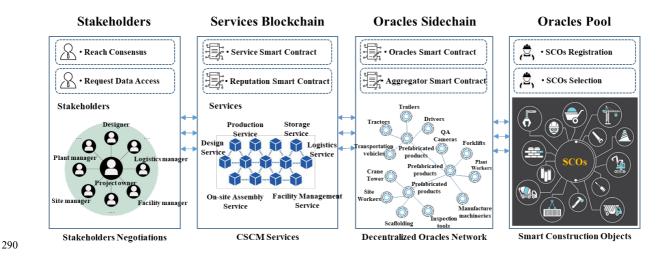
<sup>266</sup> The framework is shown in Figure 2 with the components described below.

• Oracles pool: Stakeholders can provide and register new SCOs in the oracles pool. The SCOs for specific services in the oracles pool are randomly selected and registered in the oracle smart contract (OSC) to form a decentralized oracle network.

• Oracles sidechain: Since oracles are not as reliable as blockchain, the sidechain is a 270 substitution that also packages the oracles network as a side blockchain that 271 communicates to the main blockchain (Singh et al., 2020) (Uriarte et al., 2020) (Li et 272 al., 2021a). The sidechain includes the OSC and the aggregator smart contract (ASC). 273 The former provides a frequently used interface to select SCOs from the oracles pool 274 by using an unbiased sortation algorithm for specific construction management services 275 (Zhou et al., 2019), and these selected SCOs can be registered in the OSC. This 276 registration process is verified by all stakeholders. Their data is also hashed and 277 returned to the ASC. The ASC receives all data hashes from the OSC, cross-references 278 their hash values, and broadcasts reputation scores for each involved SCO to the 279 reputation smart contract (RSC). 280

Service blockchain: This includes a service smart contract (SSC) and an RSC. The SSC
 receives ASC reputation scores to compute and record the average reputation scores for
 all SCOs in the oracles sidechain and then selects the winning SCO. Also, the updated
 reputation scores are returned to the SCOs in the OSC. The SSC is triggered and can
 call upon the ASC's selection interface when the specific need arises (e.g., the logistics
 service is used to monitor prefabricated products' location status).

Stakeholder consensus: Stakeholders in the CSCM processes can request data from the
 SSCs. All SSCs and registered SCOs in the OSC should reach a consensus from all
 stakeholders before execution in the services blockchain and oracles sidechain.

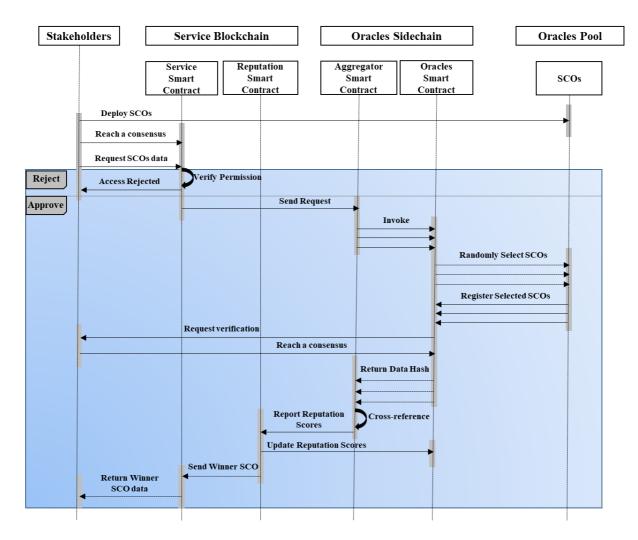


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## Figure 2. The SCOs-Bos framework

Interactions between components in the framework are summarized in the sequence diagram 292 in Figure 3. These interactions can occur on-chain, cross-chain, or off-chain. Stakeholders are 293 responsible for deploying SCOs and reach a consensus when the SSC is ready and selected 294 SCOs are registered. For example, all the stakeholders can make a service consensus on the 295 SSC in the logistics stage to monitor prefabricated products' real-time position status. The 296 logistics manager should arrange for embedding GPS sensors (e.g., Model 1) into the 297 prefabricated products, tractors, trailers, and drivers in the transportation process. Furthermore, 298 these SCOs can be registered into the OSC, where they will be agreed upon by all stakeholders. 299

300	Stakeholders can send data requests to the SSC, and the SSC conducts permission verification.
301	If the stakeholder has valid access permission, the request proceeds as follows:
302	• SSC forwards stakeholder request to ASC
303	• ASC invokes the interface of OSC, and OSC randomly selects from the off-chain
304	oracles pool
305	• All selected SCOs can be registered into the OSC to form a decentralized sidechain,
306	and each registered SCO hashes its data and sends it back to ASC
307	• ASC introduces a cross-reference method on all received data hashes from SCOs, gets
308	the most similarity on returned hashes, and reports each SCO's reputation score to RSC
309	• RSC updates reputation scores for all SCOs in the oracles sidechain and selects a
310	winner SCO based on the highest reputation score for SSC
311	• SSC delivers the data in the winner SCO to the stakeholders



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Figure 3. Sequence diagram for the proposed framework

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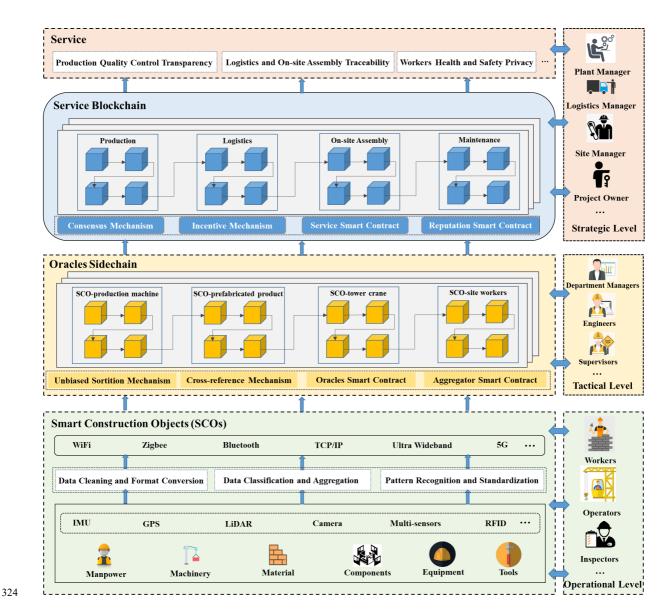
### 315 4. System Architecture

This section instantiates the SCOs-BOs framework by developing and enriching a blockchainenabled construction supply chain management (BCSCM) system. The supporting stakeholders and main services are explained below.

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## 320 **4.1 Overview**

Figure 4 shows the architecture of the BCSCM system, which comprises four main layers: (1) smart construction objects (SCOs), (2) oracles sidechain network, (3) service blockchain network, and (4) services.



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#### Figure 4. BCSCM system architecture

SCOs serve as the foundation of this architecture. SCOs are the construction resources in the 326 BCSCM system and can be equipped with smart IoT devices (Zhong et al., 2020). For example, 327 site workers' data, including heartbeat, heat stress, location, and motion, can be monitored and 328 tracked using wearable devices such as smart wristbands, vests, and helmets. These collected 329 construction data can be retrieved and broadcast through the SCO layer communication 330 channels, such as ZigBee, Bluetooth, WiFi, Ultra-wideband, 5G, and Transmission Control 331 Protocol/Internet Protocol (TCP/IP). The procedures of data cleaning and transformation, 332 aggregation and classification, standardization, and pattern recognition are also processed in 333

each SCO. This layer mainly has capacities in awareness, autonomy, and communicativeness
 of multimode data from different IoT sensors.

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In the oracles sidechain network layer, an unbiased sortation algorithm is used to randomly select the SCOs for a specific service (e.g., production quality assurance), and these selected SCOs can be registered and used to form a set of blocks. Each block in the oracles sidechain comprises a header and the selected SCOs' data. A cross-reference method is applied in this layer to find out the authentic data and report their reputation scores (e.g., 0 or 100) based on similarity to the authentic data.

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In the service blockchain network layer, a reputation system is adopted to elect the SCO with 344 the highest reputation scores as the winner and updates the reputation scores of other SCOs in 345 the oracles sidechain network layer. The data from the winner SCO are used to establish a set 346 of blocks. Each block in this network comprises a header and winner SCOs' data, such as the 347 location of prefabricated products, physiological signals of workers, and operation status of the 348 tower crane. In the service blockchain network establishment process, a consensus mechanism 349 named Practical Byzantine Fault Tolerance (PBFT) is used to ensure collective decision-350 making and reduce the faulty nodes' influence. An incentive mechanism is also devised to 351 reward stakeholders who deploy SCOs in the construction processes. 352

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Numerous service-oriented applications for the BCSCM system are deployed in the service layer, such as production quality control transparency, logistics and on-site assembly traceability, and workers' health and safety privacy. These services are supported by the service main blockchain and oracles sidechain using the data from SCOs.

#### 358 4.2 Supporting Stakeholders

In this BCSCM system, strategic-level, tactical-level, and operational-level stakeholders can
 support and facilitate implementation of related layers.

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Frontline workers and operators are representative stakeholders at the operational level. All the SCOs' fresh data for the BCSCM system comes from operational tasks. For example, supported by deep cameras and laser scanners in the production plant, prefabricated products' dimensions and smoothness can be detected and recorded. These point cloud data can be further used for assembling the prefabricated products onsite. Operational-level stakeholders should verify authenticity of data in the selected and registered SCOs. For example, truck drivers should verify whether location signals of on-transport prefabricated products are consistent.

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Department managers and construction engineers are representative stakeholders at the tactical level, aiming to ensure data-exchange reliability between oracles and blockchain by designing specific smart contracts with various algorithms and models. For example, the reputation mechanism and cross-reference method are designed by tactical stakeholders. These data can be further used for service blockchain when all tactical and operational stakeholders agree and verify the data from SCOs-BOs.

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Project owners and senior managers such as plant managers, logistics managers, and site managers are the strategic-level representative stakeholders. They are accountable for forming the service blockchain, including the provision of SCOs, and the design of incentive and consensus mechanisms. They are also the decision-makers of the construction supply chain for specific strategies using the information from the service blockchain network.

#### 382 4.3 Critical Services

To instantiate the SCOs-BOs framework, three construction management services may be achieved through the proposed system architecture, as follows.

Production quality control transparency means that quality inspection information for 385 each prefabricated product production process is readily available to stakeholders. This 386 service can facilitate remote stakeholders (e.g., contractors and project owners in Hong 387 Kong) access detailed quality information from an off-site manufacturing plant (e.g., 388 Jiangsu province). Deep cameras and laser scanners can work as SCOs-BOs to compute 389 and retrieve quality inspection information for formworks (e.g., smoothness, cleanliness, 390 and dimensions), steel reinforcing bars (e.g., size, pattern, fixing and layout, spacers, and 391 concrete covers), concrete (e.g., placing and compaction), and finished products (e.g., 392 surface, size, and dimensions, anchor bar). These data recorded in blockchain can 393 improve production process inspection accountability. 394

Logistics and on-site assembly traceability enable stakeholders to track construction
 resources and events' latest and historical status. For example, this service can assist site
 managers in monitoring the real-time location status and assembly progress of
 prefabricated products (Li et al., 2020). The low-energy, single GPS sensor for a location based logistics service can be mounted in each prefabricated product. These location data
 can be cross-referenced as proof of location and the one with the highest reputation scores
 recorded in the blockchain for further decision-making.

Workers' health and safety privacy relate to data including images or sensor signals of
 fatigue, unsafe motions, heartbeat, heat stress, and locations, which can be captured and
 processed by the SCOs-BOs. Furthermore, Federated learning is used for decentralized
 SCOs-BOs (Yang et al., 2019) (Li et al., 2021b), where a model can be trained by using
 the local health and safety data samples in each SCO-BO without extracting them.

Instead, only the high-level insights from the data are retrieved and stored in theblockchain.

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#### 410 5. Case Study

A case study using cross-border logistics and supply chain management, focusing on the 411 services of off-site logistics and on-site assembly traceability, is conducted to verify the SCOs-412 BOs framework and the system architecture of the BCSCM system. According to Wan et al. 413 (2020), blockchain can offer clear accountability and make the construction supply chain more 414 traceable, transparent, and immutable among all participants involved in a project. In this case, 415 low-energy, single GPS sensors mounted in prefabricated beams as SCOs were transported 416 from mainland China to Hong Kong for a prefabricated construction project comprising five 417 high-rise public housing residential towers surrounding one commercial center. To validate the 418 proposed SCOs-BOs framework and system architecture, we implement four primary smart 419 contracts (SSC, RSC, ASC, OSC) using the GPS data of prefabricated beams for the 420 commercial center. Although this project is complete (nine highlighted beams have been 421 erected as shown in Figure 5), the full record of GPS data (shown in the green data list of Figure 422 6) for the nine beams (C1023–C1031) in the same batch can be put into the oracles pool for 423 validation. 424

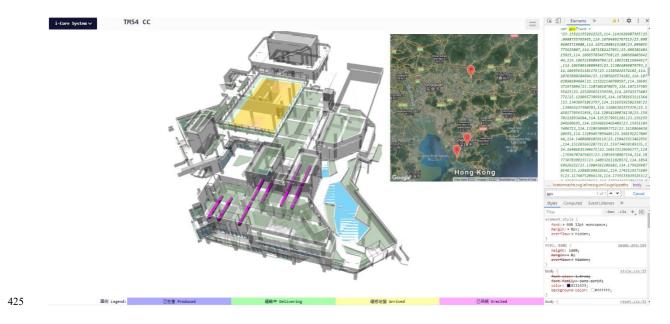


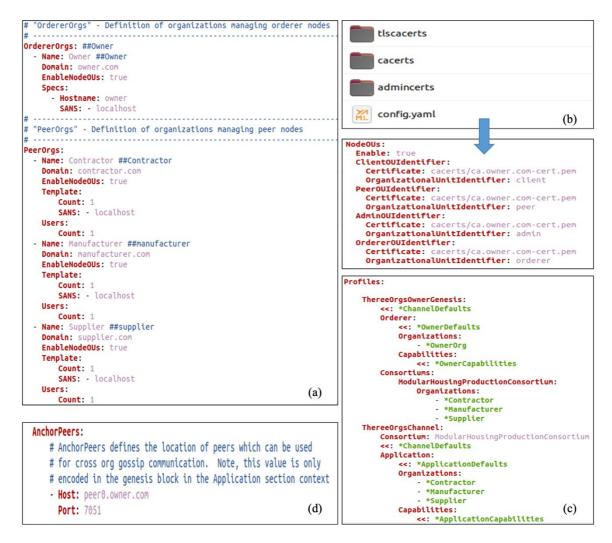
Figure 5. Service for off-site logistics and on-site assembly

#### 427 **5.1 Implementation**

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The proposed SCOs-Bos framework was implemented on Hyperledger Fabric (version 2.2), 428 and Javascript was used to write the smart contracts in the chaincode. The development 429 environment was in Ubuntu 18.04, and docker with isolated containers is used to facilitate 430 system prototype development, which uses fewer resources than virtual machines. In the 431 prototype, four stakeholders are involved in the service blockchain: (1) the owner, who serves 432 as the orderer in the ordering service; (2) the contractor; (3) the manufacturer; and (4) the 433 supplier. Figure 6 (a) presents the configuration information for these stakeholders, and 434 cryptogen in Hyperledger Fabric is used to facilitate the registration process by issuing the 435 certificates, such as admincert (for each stakeholder's administrator), cacert (for the owner), 436 and tlscacert (for establishing connections), as shown in Figure 6(b). 437

Each stakeholder in Figure 6(a) has an administrator registered in both the service blockchain and sidechain. The stakeholders can receive certificates and public-private keys from the Fabric CA module of the service blockchain. The administrator can also send requests to the Fabric CA of the sidechain for offering certificates and the publicprivate key to operators in the affiliated organization, which is responsible for registering SCOs in the sidechain. The main
blockchain's genesis block is configured, including information of ordering service,
consortium, and each stakeholder [see Figure 6(c)]. An anchor peer is devised in each
stakeholder for cross-stakeholder communication in the service blockchain and cross-chain
interactions between the service blockchain and sidechain [see Figure 6(d)].



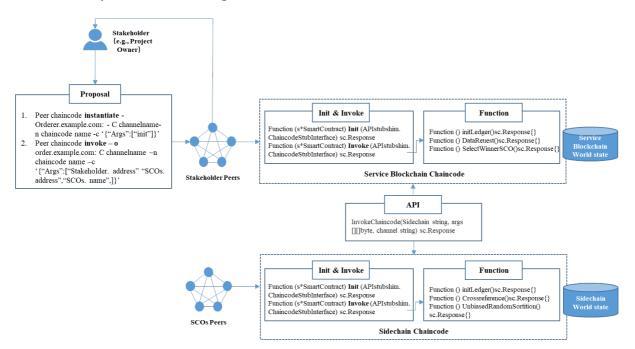
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Figure 6. System configuration for: (a) participant; (b) certificate; (c) genesis block; and (d)

449 anchor peer

The blockchain's execution logic is to invoke smart contracts (chaincode), deployed in both service blockchain and sidechain to enable their cross-interactions and interactions with the shared ledger (world state). The smart contracts SSC, RSC, ASC, and OSC in SCOs-BOs are

implemented and provide rich features for testing and debugging before deployment. Figure 7 453 presents the interaction patterns of chaincodes. SCO information, e.g., address and GPS data, 454 is stored in the ledger. The stakeholder inputs the address and arguments to initialize the 455 transaction and the peers access the ledger via chaincode based on APIs. Two operations are 456 mainly involved in chaincode: the "init" and "invoke" functions, the former when initializing 457 or upgrading chaincode and the latter in response to transaction proposals to query or update 458 the ledger. The "invoke" function comprises functions in four smart contracts: data request, 459 select winner SCO, cross reference, and unbiased random sortition. Cross-chain interactions 460 are implemented with the help of InvokeChaincode() API. The initledger function creates the 461 initial inputs of the ledger. The main functions and interactions of the four smart contracts in 462 this case study are detailed in Figure 7. 463



464

465

#### Figure 7. Interaction patterns for chaincodes of four smart contracts

#### 466 (1) Service Smart Contract (SSC)

SSC manages the on-chain interactions that can help check if the site manager, project owner,
 or other stakeholders can access the location data from SCOs and then send data requests. Each
 service matches one smart contract, and each stakeholder in the service blockchain can request

470	the location data by providing the address of stakeholders and SCOs, and the demanded number
471	of SCOs. To reach a more than 51% consensus on accurate location data, the demanded number
472	of SCOs should be no less than three. Algorithm 1 presents the algorithm of the main function
473	for SSC. Once the stakeholder sends a request, the SSC will check if the stakeholder can access
474	data and then check if the SCOs are online. Once the stakeholder's request is approved, the
475	SSC will generate a token which comprises: (1) request for data, created from the address of
476	stakeholder, address of online SCO oracles, and demand number of SCOs, (2) address of
477	stakeholder, (3) address of SCOs, (4) demand number of SCOs, and (5) GPS location data of
478	SCOs. The tokens are generated and then delivered to the ASC. After implementing the ASC,
479	the final data is received by OSC, RSC, and SSC through cross-chain interaction.

Algorithm 1: SSC Data Request
Input: s: stakeholder, SCOs: array of online SCO oracles
Output: tokens: token array
<b>Require:</b> Is $(SCOs.length \ge 3)$
1 $tokens \leftarrow \emptyset$
2 if s. authenticated = true then
3 foreach <i>o</i> in SCOs do
4 $now \leftarrow round(Datetime.now(), interval)$
5 $signData \leftarrow [s.address, s.demand, o.address, o.gpsLoc, now]$
$6 \qquad token \leftarrow jwt.signSSL(signData)$
7 tokens.append(token)
s return tokens

480

481 (2) Aggregator Smart Contract (ASC)

The ASC coordinates the cross-chain interactions between OSC, SSC, and RSC. SSC forwards the stakeholder's location data requests to the ASC, which invokes the oracles to satisfy them by retrieving and validating SCOs' responses from the OSC. The ASC finally reports the reputation scores of selected SCOs to the RSC. Algorithm 2 presents the algorithm of the main function for the ASC. A minimum of three SCOs should be sent to the ASC to conduct SCO cross-referencing for achieving proof of location, in which at least 51% consensus on the same

488	location data should be reached. Otherwise, the ASC cannot judge and provide the accurate
489	location data sent from the OSC. The ASC cross-references the SCO data based on 51%
490	agreement and reports a binary reputation score to the RSC. If more than 51% of SCOs send
491	back the same result, these SCOs will receive a 100 reputation score, and other SCOs that
492	return different results will get a zero score. If less than 51% of SCOs return the same result, a
493	new aggregation round can be conducted.

Algorithm 2: ASC Reputation Score and Cross-reference
Input: tokens, sc: sidechain
Output: authenticSCO
1 sc.setViaChaincode(tokens)
2 $locationCounter \leftarrow 0$
$\mathbf{s}$ locations $\leftarrow$ tokens.getAllLoc()
4 foreach <i>l</i> in locations do
5 $geolocation \leftarrow round(l, resolution)$
$6 \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \$
7 locationCounter.sortByValues(DESC)
s $threshold \leftarrow SCOs.length/2$
9 if locationCounter.top(1).value < threshold then
10 return null
11 else
12 $authenticSCO \leftarrow sc.getViaChaincode(SCO, TOP\_REPUTATION);$
13 $authenticSCO.location \leftarrow locationCounter.top(1).key$
14 $authenticSCO.score \leftarrow 100$
15 return authenticSCO

494

495 (3) Oracles Smart Contract (OSC)

The OSC manages the off-chain interactions to select SCOs from the oracles pool to retrieve the location data. An unbiased random sortition algorithm (RSA) was developed to ensure that the selection processes are independent and random (see Algorithm 3). It is also vital to ensure that stakeholders reach a consensus in the selection processes, which means selected SCOs cannot represent any counterpart's benefits. RSA in OSC uses a verifiable random function (VRF) of Algorand (Gilad et al., 2017) for the oracles pool (A set of SCOs), and they can

- <sup>502</sup> generate a proof and a random value for each SCO. SCOs aligned with the demanded number
- <sup>503</sup> are selected and recognized in the sidechain's OSC.

Algorithm 3: OSC Unbiased Random Sortition Input: SCOs, demand: required number of SCO oracles **Output:** selectedSCOs **Require:** Is (SCOs.length  $\geq 3$ ) 1 selectedSCOs  $\leftarrow \emptyset$ 2  $vrfDictionary \leftarrow \emptyset$ 3 foreach o in SCOs do if o.reputation > 0 then  $\mathbf{4}$  $seed \leftarrow o.address + Datetime.now()$  $\mathbf{5}$  $priKey \leftarrow HyperLedger.privatePEM$ 6  $[randomValue, proof] \leftarrow VRF(seed, priKey)$ 7 *vrfDictionary.set*(o, randomValue) 8 **9** vrfDictionary.sortByValues() 10 selectedSCOs  $\leftarrow vrfDictionary.keys().top(demand)$ 11 return *selectedSCOs* 

504

505 (4) Reputation Smart Contract (RSC)

506 The RSC aims to compute each SCO's accumulative reputation score and return the winner

507 SCO with the highest accumulative reputation score to the SSC. The RSC receives an input

array of authentic SCO addresses, and it sends back an output array address of the winner SCO

to the SSC. Algorithm 4 presents the algorithm of the main function for the RSC.

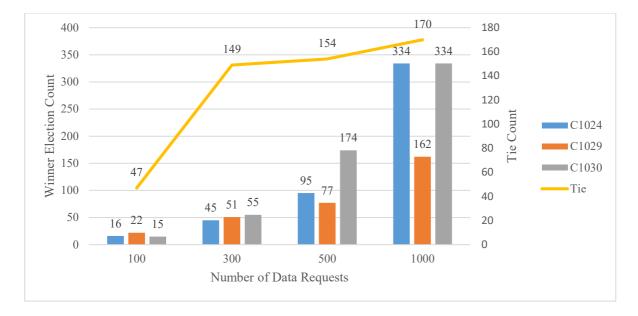
Algorithm 4: RSC Select Winner SCO
Input: authenticSCOs
Output: winnerSCO
1 $maxAccumScore \leftarrow 0$
2 foreach o in authenticSCOs do
$3$ if $o.score \geq maxAccumScore$ then
$4 \qquad maxAccumScore \leftarrow o.score$
5 $winnerSCO \leftarrow o$
6 return winnerSCO

#### 511 5.2 Evaluation

The most critical design philosophies in SCOs-BOs are to avoid SPoF and offer authentic 512 construction data to the service blockchain. To this end, an evaluation is conducted to prove 513 SCOs-BOs usability in screening malicious data. We assume the total number of SCOs is nine, 514 three of which are authentic (C1024, C1029 & C1030), two malicious (C1025 & C1026), and 515 four offline (C1023, C1027, C1028 &C1031), and these SCOs were transported in the same 516 batch, which means they should be shipped in the same vehicle with identical location data 517 given ignoring GPS accuracy error. We suppose that the total number of data requests is 100, 518 300, 500, and 1000, the required number of SCOs in each request is 3, and the default reputation 519 score is set to 50 for each SCO. The accumulative reputation scores and winner election count 520 are used as the index to validate SCOs-BOs usability. 521

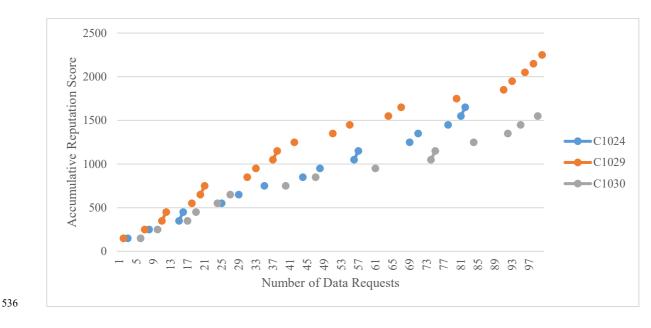
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As shown in Figure 8 (a), all three authentic SCOs are elected under a different number of data 523 requests, and the tie count is also significant, which indicates that each selection is independent 524 and random. The results prove that SCOs-BOs can avoid SPoF and reject malicious data when 525 authentic data occupy the majority. However, when malicious SCOs hold the majority and 526 form collusion, this would limit the automatic capacity to retrieve authentic data, even though 527 the oracles sidechain can record the data history of each selected SCO. Figure 8 (b) shows the 528 records of all three authentic SCOs' accumulative reputation scores under 100 rounds of SCOs 529 requests. As 47-round requests reach a tie (all selected location data are different), C1024, 530 C1029, C1030 only receive their reputation scores 16, 22, 15 times, respectively. It is also 531 interesting to find that C1029 has the most winner election counts under 100 rounds of SCOs 532 requests but falls to the least under 1000 requests. 533





### (a) Winner election count and tie count



537

## (b) Accumulative reputation score at 100 rounds of requests

Figure 8. Evaluation of SCOs-BOs usability

# 538

539

According to Hasan et al. (2018) and Almadhoun et al. (2018), security analysis of the proposed
system with smart contracts can be discussed through the aspects of confidentiality, integrity,
non-repudiation, authentication and authorization.

# • **Confidentiality:** this study enables confidentiality through its cross-chain architecture (e.g., channels in Hyperledger Fabric) and private data. The former supports SCOs in

establishing a sidechain, where only those peers (e.g., stakeholders, SCOs) who
participate in a sidechain can access the smart contract and data transacted. The latter
means that SCO data is stored in the private state database of authorized peers (e.g.,
project owner) and encrypted with a hash. The proposed system thus preserves both
privacy and confidentiality.

Integrity and non-repudiation: For data to have integrity, it cannot be modified 550 during its transmission. All exchanged SCO data in both sidechain and service 551 blockchain are tamper-proof with timestamps. Furthermore, to prevent "man in the 552 middle" attacks and otherwise secure communications, Transport Layer Security 553 (TSL) in Hyperledger Fabric facilitates a data integrity check between a stakeholder 554 and a winner SCO. The unbiased random sortition process will be recorded in tamper-555 proof logs in the interactions between on-chain and off-chain (e.g., SCOs selection 556 processes). 557

Authentication: Authentication mechanisms rely on digital signatures requiring each
 peer to hold two cryptographically corresponding keys: a public key is made widely
 available and acts as an authentication anchor, and a private key is used to produce
 digital signatures on data. This study also develops a cross-referencing mechanism to
 assure the authentic SCO is selected.

Authorization: This study uses membership service provider (MSP) in Hyperledger
 Fabric to prove authorized peers' identity. Only authorized peers can trigger the
 functions of smart contracts. For example, a stakeholder uses its private key to make a
 consensus (e.g., using a digital signature) on the selected SCO data. The MSP on the
 ordering service contains this stakeholder's public key, which is then used to verify
 that this transaction's signature is valid.

#### 569 6. Discussion

570 Three novel aspects of the proposed SCOs-BOs framework are summarized as follows.

Blockchain oracles are yet to be fully investigated in the construction context because
 they are reliant on big data from humans, hardware, and software for real-time
 project management. SCOs offer an innovative alternative able to satisfy the design
 patterns of oracles and facilitate data exchange between blockchain and real-world
 CSCM processes.

Construction data for existing blockchain systems mainly relies on human inputs or a centralized BIM platform. The innovative establishment of a decentralized SCO
 network as a sidechain has been proven in our case study to avoid the SPoF, and
 registration of SCOs can make them more accountable when compared with
 unregistered SCOs. The unbiased random sortation mechanism is also deployed to
 ensure fairness in selecting and registering SCOs for the sidechain.

• The proposed cross-reference mechanism together with the reputation system, effectively screen out malicious construction data in the evaluation section. This innovation supports the obtaining of trustworthy SCOs and sustains their on-chain reputation.

586 Despite these innovations, our study has several limitations.

Firstly, the proposed framework is conceptual and does not claim to be able to solve
 all blockchain oracle issues. Instead, the framework can work as a guideline and
 provide insights to help other scholars or practitioners design the four smart contracts,
 conceive the structure of main (service blockchain) and sidechains, and develop the
 interaction logics of the four smart contracts. Future studies will provide other
 detailed solutions under this framework, such as proof-of-inspection and proof-of-

assembly by involving blockchain network operations such as ordering service andnetwork configuration.

- Secondly, the only request-response pattern is designed in the smart contracts for
   SCOs-BOs. As limited by the case study scenarios, the publish-subscribe and
   immediate-read patterns have not been explored in this study.
- Thirdly, the cross-reference mechanism in this study sets a 51% consensus on the
   data. However, there is a risk that malicious data could also reach a 51% agreement
   when the quantity of data or SCOs is small enough. Thus, a more flexible consensus
   rate range (e.g., from 51% to 67%) in the cross-reference mechanism should be
   devised and matched according to the required number of SCOs.
- Lastly, we only test the usability of the SCOs-BOs by using the index of average
   reputation scores and winner election count in a case study. Enabling multiple SCOs
   reporting the same data streams may increase the cost of the overall system. Thus,
   other performance metrics, such as the cost of SCOs, throughput, latency, and
   scalability, will be considered in the future.

#### 608 7. Conclusions

With its characteristics of decentralization, immutability, and consensus, blockchain can 609 improve construction process coordination and collaboration in an isolated deterministic 610 network. Meanwhile, smart construction objects (SCOs) can offer data for blockchain by 611 capturing, processing, verifying, and taking action with the external construction environment 612 in real-time. Harnessing SCOs as blockchain oracles has the potential to enable massive value-613 added services in construction but also presents Gordian knots in the form of SPoF and 614 malicious data. Blockchain's power may be limited when the offered data heavily rely on a 615 single centralized source or low-quality sources. 616

This study presents a SCOs-enabled blockchain oracles (SCOs-BOs) framework to offer a 618 decentralized SCO network and related data authenticity mechanism. The SCOs-BOs 619 framework has four parts: stakeholder, service blockchain, sidechain, and oracles pool, which 620 can interact with each other under the request-response pattern in an on-chain, cross-chain, or 621 off-chain manner. Accordingly, a blockchain-enabled construction supply chain management 622 (BCSCM) system is developed to instantiate SCOs-BOs. The services, such as production 623 quality control transparency, logistics and on-site assembly traceability, workers' health and 624 safety privacy, are illustrated. A case study for logistics and on-site assembly traceability 625 service with four main smart contracts is implemented to evaluate its usability. The oracles 626 smart contract (OSC) helps form the decentralized SCO network and select the SCOs from the 627 oracles pool randomly and independently. The aggregator smart contract (ASC) cross-628 references the data and reports the reputation scores. Then, the reputation smart contract (RSC) 629 manages the authentic SCOs and selects the winner. The service smart contract (SSC) monitors 630 the data requests and responses in an overall process. The evaluation results show that accurate 631 data are retrieved in each request, and the corresponding reputation scores are successfully 632 recorded. 633

634

Future research works are recommended to enrich the SCOs-BOs framework. For example, logics in the four smart contracts can be developed and extended for publish–subscribe and immediate–read patterns. A cooperative game theory-based reputation system can be used to improve the performance of rating scores for SCOs. The data semantics enrichment can be enhanced to ensure cross-chain, off-chain, and on-chain communication. More tests are needed for different services, such as production quality control transparency and workers health and safety privacy.

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