

A state parameter-based thermomechanical constitutive model for saturated **fine-grained soils**

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ABSTRACT

This paper presents a two-surface constitutive model for describing thermomechanical behaviour of saturated fine-grained soils at both normally consolidated and overconsolidated states. A thermal dependent stress ratio-state parameter relation is adopted to account for the effects of temperature on the shape of the state boundary surface (SBS) of soils. In the model, both the size and the shape of the SBS are allowed to vary with temperature, which is evidenced by thermal variation of the mechanical yield loci and the shifts of the normal consolidation line (NCL) and the critical state line (CSL) upon heating and/or cooling. A thermal yield surface is added for modelling the isotropic thermal deformation of soils more accurately, in particular at overconsolidated states. The mechanical and thermal yield mechanisms are coupled by the temperature dependent preconsolidation pressure which is controlled by a volumetric hardening law. Based on experimental observations, a nonlinear relationship between the spacing ratio and temperature changes is defined and a thermal dependent non-associated flow rule is proposed. The model is validated against some selected experimental results of several soils tested under various mechanical and thermal paths such as drained isotropic heating and cooling, drained and undrained triaxial compression at non-isothermal conditions.

KEYWORDS: Temperature effects, Constitutive relations, Clays, Plasticity, State parameter

1 Introduction

2 Significant temperature changes in soils may occur due to daily and seasonal
3 temperature variations, heat transfer between geothermal structures and the surrounding
4 soil (Bourne-Webb et al. 2016; Laloui and Di Donna 2013), heat release of nuclear waste
5 disposal (Baldi et al. 1991; Graham et al. 1997) and energy dissipation during soil
6 deformation (Pinyol et al. 2017). The thermomechanical behaviour of soils may greatly
7 affect the stability of soil itself and the safety and performance of associated geostructures
8 (e.g., pavement (Teltayev and Suppes 2019), energy piles, tunnels and walls (Barla et al.
9 2016; Bourne-Webb et al. 2019; Di Donna et al. 2017; Laloui et al. 2006), buried pipes
10 and cables (di Schio et al. 2016; Mitchell and Abdel-hadi 1979), petroleum drilling (Chen
11 et al. 2003; Li et al. 2019)). Thus, understanding and modelling of the effects of
12 temperature changes on the engineering properties of soils have been the subject of many
13 studies in environmental geomechanics.

14 After the pioneering work by Campanella and Mitchell (1968), extensive experimental
15 investigations on the thermomechanical behaviour of soils, particularly water-saturated
16 clays, have been conducted (for example, (Abuel-Naga et al. 2007b; Baldi et al. 1991;
17 Cekerevac and Laloui 2004; Ghahremannejad 2003; Hueckel and Baldi 1990;
18 Kuntiwattanakul et al. 1995; Ng et al. 2018; Shetty et al. 2019; Sultan et al. 2002; Tanaka
19 et al. 1997; Towhata et al. 1993; Uchaipichat and Khalili 2009)). It was shown that
20 temperature strongly affects the behaviour of fine-grained soils mainly through its
21 influences on the soil structure and the free and absorbed water. Thorough discussions on
22 the main thermomechanical behaviour of saturated clays from the perspective of
23 constitutive modelling were made by Cui et al. (2000), Laloui and François (2009) and
24 Mašín and Khalili (2012), among others. Here some important and general characteristics
25 are summarised as follows:

- 26 (a) Temperature influences the NCL of a soil; NCLs at different temperatures are
27 approximately parallel to each other in the semi-logarithmic v - $\ln p$ plot (p : mean
28 effective stress; v : soil specific volume);
- 29 (b) Heating a saturated soil under drained conditions induces volume changes that
30 strongly depends on the stress history (e.g., the overconsolidation ratio (OCR));
- 31 (c) The size and the shape of the yield surface are temperature dependent; thermal
32 loadings could lead to either an increase or a decrease in the soil peak strength.

Based on experimental observations, various thermomechanical constitutive models were proposed over the past three decades taking some well-validated isothermal mechanical soil models as the basis. The basic framework for modern thermoplasticity constitutive modelling is largely attributed to the pioneering work by Hueckel and Borsetto (1990) and Hueckel and Baldi (1990). In recent years, constitutive studies based on other frameworks such as hypoplasticity theory (Mašin and Khalili 2012) and different thermodynamic approaches (Bai et al. 2019; Xiao 2014; Zhang and Cheng 2017) have also been carried out for describing the thermo-mechanical behaviour of soils. In this study, the more commonly known and widely used framework of Hueckel and Borsetto (1990) is followed. Before presenting the model, some relevant pioneering works in this general framework are reviewed as follows.

Hueckel and Borsetto (1990) extended the modified Cam-clay model by defining the size of the yield surface as a function of temperature as well as volumetric plastic strain. However, it has been found that the thermoplastic deformation at overconsolidated states cannot be well described. To tackle this issue, many subsequent models within the same framework were developed by introducing an additional thermal yield surface (Abuel-Naga et al. 2007a; Cui et al. 2000; Hong et al. 2016), the bounding surface concept (Laloui and Cekerevac 2003; Laloui and François 2009; Robinet et al. 1996; Zhou and Ng 2015), sub-loading surface theory (Yao and Zhou 2013), double hardening mechanism (Liu and Xing 2009), or approximate relationships between the thermally induced plastic strain and OCR (Graham et al. 2001). In addition, as the original and the modified Cam-clay type yield functions tend to significantly overestimate failure stresses on the ‘dry’ side, the Hvorslev surface is often used at the overconsolidated states under non-isothermal conditions (Graham et al. 2001; Yao and Zhou 2013). Many important aspects of the thermomechanical behaviour of saturated clays can be satisfactorily described by these models, and an interesting comparison between some of them was recently provided by Hong et al. (2013).

In addition to the thermal evolutions of the size of the yield surface that was widely studied, some experimental evidence (for example, (Abuel-Naga et al. 2009; Cekerevac and Laloui 2004; Ghahremannejad 2003; Kuntiwattanukul et al. 1995)) showed that the shape of the yield surface may also vary with temperature. To account for the thermal variation of the shape of SBSs, Hueckel et al. (2009) assumed the critical state friction angle of soil to be thermal-dependent. However, this is not consistent with the majority

66 of existing test data (Cekerevac and Laloui 2004; Hong et al. 2016; Hueckel and Baldi
 67 1990; Mašin and Khalili 2012; Tanaka et al. 1997). While regarding that the slope of the
 68 CSL in the $p - q$ space (i.e., M ; q : deviatoric stress) is independent on temperature, the
 69 thermal dependency of the shape of SBSs cannot be described by models those relying
 70 on the conventional Cam-clay yield functions in the framework of Hueckel and Borsetto
 71 (1990). To overcome this limitation, several approaches were attempted in recent years.
 72 Abuel-Naga et al. (2009) introduced a temperature related fabric parameter into the
 73 modified Cam clay model, which allows the shape of the SBS to vary with temperature.
 74 This model focused on the thermo-mechanical behaovuor of soft clays (i.e., on the ‘wet’
 75 side). Focusing on the isothermal behaivour of saturated clays, Hamidi and Khazaei
 76 (2010) and Hamidi et al. (2015) modified the Cam-clay type flow rule with inclusions of
 77 temperature effects, and thermal shape flexibility was therefore introduced in the back-
 78 integrated yield surfaces. Zhou and Ng (2015) assumed linear variations of the vertical
 79 positions of the NCL and the CSL in the $v - \ln p$ space with the changes of temperature,
 80 respectively. As a result, the shape of the SBS varies with temperature due to the thermal
 81 variation of the spacing ratio, adopting the CASM (Clay And Sand Model) yield function
 82 proposed by Yu (1995, 1998). As a thermal independent flow rule was adopted, the
 83 observed effect of temperature on the plastic flow (e.g., (Abuel-Naga et al. 2009;
 84 Cekerevac and Laloui 2004; Hamidi et al. 2015; Uchaipichat and Khalili 2009)) cannot
 85 be fully captured by this model.

86 This paper aims to present an elastoplastic constitutive model for describing the short-
 87 term thermomechanical behaviour of both normally consolidated and overconsolidated
 88 saturated fine-grain soils. Two yield surfaces are introduced and coupled in the new
 89 model. In specific, the mechanical yield surface is defined by extending the isothermal
 90 model of CASM with consideration of the temperature effects, and the thermal yield
 91 surface is added to describe the thermal induced deformation more realistically,
 92 particularly at overconsolidated states. Within the considered temperature range (without
 93 freezing or boiling of the pore water), the novelty and usefulness of the new model mainly
 94 lie in three aspects:

- 95 (a) both the size and the shape of the mechanical yield surface are allowed to vary with
- 96 temperature. The change in the size is controlled by the preconsolidation pressure that
- 97 evolves with both thermal and mechanical volumetric plastic strains obeying a newly
- 98 defined coupling mechanism; the shape variability depends on the thermal dependency

of the spacing ratio, owing to the non-equal shifts of the NCLs and the CSLs when the soil is heated. A nonlinear thermal evolution law for the spacing ratio is defined based on available test observations;

(b) the mechanical behaviour of both normally consolidated and overconsolidated soils at elevated temperatures can be accurately described for both drained and undrained conditions, partly inheriting from the mother model of CASM;

(c) a new thermal dependent non-associated flow rule is proposed and used.

Finally, the proposed model is validated against experimental results of selected element tests in the literature, which include several fine-grained soils and various thermal, stress or combined loading paths such as drained isotropic heating/cooling, drained and undrained triaxial compression tests at elevated temperatures.

State boundary surface

SBS is defined as a boundary of all possible states of a soil element in the p - q - v space (Schofield and Wroth 1968). The NCL represents a trace of the SBS in the p - v plane, and the yield loci represent its projection in the deviatoric stress plane (i.e. p - q plane). Constitutive equations can be established by relating the SBS to a family of yield loci in an equivalent two-dimensional stress plane. For example, in Cam-clay models (Roscoe and Burland 1968; Roscoe et al. 1958), the yield surfaces in the p - q plane are normalised by the preconsolidation pressure which is assumed to evolve with plastic volumetric strains based on the uniqueness of the normalised SBS of isotropically consolidated reconstituted soils at room temperature.

Under non-isothermal conditions, a number of experimental data showed that the NCL moves downwards at elevated temperatures (namely, lower specific volumes at higher temperatures) **due to the thermal compaction** and NCLs at different temperatures are almost parallel to each other (Abuel-Naga et al. 2007b; Burghignoli et al. 1992; Campanella and Mitchell 1968; **Di Donna and Laloui 2015**). Similar downward shifts of the CSLs were observed (Cekerevac and Laloui 2004; Graham et al. 2001). Meanwhile, although exception was reported (Cekerevac and Laloui 2004), a majority of experimental data showed that the NCL may remain parallel to the CSL for reconstituted soils at different temperatures (e.g., Fig. 1) (Ghahremannejad 2003; Graham et al. 2001; Lingnau et al. 1995; Tanaka 1995), and this was commonly accepted as a fundamental hypothesis

130 in many thermomechanical soil models based on the critical state theory (e.g., (Cui et al.
131 2000; Graham et al. 2001; Hueckel and Borsetto 1990; Seneviratne et al. 1993; Zhou and
132 Ng 2015)).

133 Based on the above findings, the effects of temperature on the preconsolidation
134 pressure ($p_{c(T)}$) and the corresponding critical state stress ($p_{x(T)}$) are illustrated in Fig. 2.
135 These two representative pressures are often correlated by the spacing ratio of NCL and
136 CSL, that is $r_{(T)} = p_{c(T)} / p_{x(T)}$ (Wroth 1984). At a constant plastic strain condition (i.e., on
137 the same swelling line), $p_{c(T)}$ and $p_{x(T)}$ become smaller at higher temperatures as the NCL
138 and CSL shift downwards in the v - p plane, respectively. As a result, the SBS shrinks
139 when the soil is heated (Mašin and Khalili 2012). Thermal evolutions of the NCL and the
140 CSL are not necessarily always the same (Cekerevac and Laloui 2004; Ghahremannejad
141 2003; Kuntiwattanakul et al. 1995; Tanaka et al. 1997; Uchaipichat and Khalili 2009),
142 which thus leads the spacing ratio to be temperature dependent.

143 In standard Cam-clay models, the same spacing ratio values are used for all soil types
144 (namely, 2.718 and 2 for the original and the modified Cam-clay models, respectively
145 (Wroth 1984; Yu 1998)) although in reality this is not always the case. In particular, while
146 the standard Cam-clay yield curves (normalised by $p_{c(T)}$) are used directly in non-
147 isothermal conditions, the aforementioned temperature dependency of the spacing ratio
148 cannot be accounted for. Instead, it is very convenient to introduce the general stress-state
149 relationship proposed by Yu (1998), namely:

$$150 \quad (1) \quad \left(\frac{\eta}{M} \right)^n = 1 - \frac{\xi_{(T)}}{\xi_{R(T)}}$$

151 where $\eta = q / p$, is the stress ratio. n is a material constant, which controls the curvature
152 of the yield surface and typically ranges between 1.0-5.0. $\xi_{(T)}$ represents ‘the state
153 parameter’ (Been and Jefferies 1985; Yu 1998). $\xi_{R(T)}$ is a reference parameter, which
154 represents the vertical distance between the NCL and the CSL at a given temperature and
155 equals $(\lambda - \kappa) \ln r_{(T)}$ (Fig. 2).

$$156 \quad (2) \quad \xi_{(T)} = v + \lambda \ln p - \Gamma_{(T)}$$

where $v = 1 + e$, and e is the void ratio; $N_{(T)}$ and $\Gamma_{(T)}$ denote the specific volumes of the temperature-dependent NCL and CSL at $p = 1\text{kPa}$, respectively. λ and κ are the slopes of the NCL (or CSL) and the swelling line in the v - $\ln p$ space (see Fig. 2), respectively.

For fine-grained soils, the overconsolidation ratio ($\text{OCR} = p_{c(T)} / p$) is more often used to define the soil state. As depicted in Fig. 2, eq.(2) can be equivalently expressed as:

$$(3) \quad \xi_{(T)} = (\lambda - \kappa) \ln \left(\frac{r_{(T)}}{\text{OCR}} \right)$$

It is important to note that $\text{OCR} = r_{(T)}$ (or $\xi_{(T)} = 0$) at the critical state; $\text{OCR} < r_{(T)}$ on the ‘wet’ side (i.e., soft clay, $\xi_{(T)} > 0$); $\text{OCR} > r_{(T)}$ on the ‘dry’ side (i.e., stiff clay, $\xi_{(T)} < 0$).

It is clear that in eq.(1) the spacing ratio is allowed to vary with material type and temperature. In other words, the SBS is generalised to accommodate the complex thermal-dependent behaviour of various soils, providing a link between classical critical state soil mechanics and thermomechanical constitutive modelling. By normalising eq.(1) with the preconsolidation pressure, a generalised yield surface in the p - q plane is obtained as:

$$(4) \quad f^{\text{MY}} = \left(\frac{q}{Mp} \right)^n + \frac{\ln(p / p_{c(T)})}{\ln r_{(T)}}$$

The yield function of the original Cam-clay model can be recovered exactly by choosing $n=1$ and $r_{(T)}=2.718$, and the ‘wet’ side of the modified Cam clay model can be matched accurately by choosing $r_{(T)}=2$ in conjunction with a suitable value of n (typically around 1.5–2) in eq.(4). In agreement of the majority of the published experimental data (e.g., (Cekerevac and Laloui 2004; Hong et al. 2016; Hueckel and Baldi 1990; Tanaka et al. 1997)), M is assumed to be temperature-independent in the present model. The material constant n is also assumed to be independent of temperature. In eq.(4), thermal variations of the SBS (size and shape) are controlled by thermal evolutions of the preconsolidation pressure (i.e., $p_{c(T)}$) and the spacing ratio (i.e., $r_{(T)}$), and they will be defined in the next section. It needs to be pointed out that conflict results with regard to the dependencies of M and n on the temperature were reported for some particular soils

(Hamidi et al. 2015; Hueckel and Pellegrini 1991). However, this is not taken into account in the present basic model due to the lack of compelling evidence.

Thermo-elastoplastic modelling

Assuming compressive stress and strain as positive, two stress variables normally used in critical state soil mechanics are defined as:

$$(5 \text{ a, b}) \quad p = \sigma_{ii} / 3 = (\sigma_{11} + \sigma_{22} + \sigma_{33}) / 3, \quad q = \sqrt{3(\sigma_{ij} - p\delta_{ij})(\sigma_{ij} - p\delta_{ij})} / 2$$

where σ_{ij} is principal effective stress tensor; δ_{ij} is the Kronecker delta. For notation convenience, all stress variables in this paper are effective values.

The volumetric and deviatoric strain increments are defined as:

$$(6 \text{ a, b}) \quad d\varepsilon_v = d\varepsilon_{11} + d\varepsilon_{22} + d\varepsilon_{33},$$

$$d\varepsilon_d = \frac{2}{3} \sqrt{(d\varepsilon_{11} - d\varepsilon_{22})^2 + (d\varepsilon_{11} - d\varepsilon_{33})^2 + (d\varepsilon_{33} - d\varepsilon_{22})^2}$$

where ε_v and ε_d denote the volumetric and deviatoric strains, respectively. ε_{ii} are principal strain components. The total strain increments are split into elastic and plastic components (i.e., $d\varepsilon_v = d\varepsilon_v^e + d\varepsilon_v^p$ and $d\varepsilon_d = d\varepsilon_d^e + d\varepsilon_d^p$), which depend on both mechanical and thermal loadings. They are treated separately in the small deformation domain as below. **Note that** heating and/or cooling of the soil are assumed to be under drained conditions in this paper.

Elastic behaviour

For elastic deformation, it is common to assume that temperature changes only induce elastic volumetric strain in soils (Abuel-Naga et al. 2007a; Cui et al. 2000; Hueckel and Borsetto 1990; Laloui and François 2009; Yao and Zhou 2013). In other words, the elastic deviatoric strain is solely associated with mechanical loading. As a result, the thermoelastic strain increments can be defined as:

$$(7) \quad d\varepsilon_v^e = \frac{\kappa}{1+e} \frac{dp}{p} - \alpha dT$$

$$(8) \quad d\varepsilon_d^e = \frac{2(1+\mu)}{9(1-2\mu)} \frac{\kappa}{1+e} \frac{dq}{p}$$

where μ is Poisson's ratio, and it is assumed to be constant for a given soil. α is the drained elastic volumetric thermal expansion coefficient. It was shown that α may essentially be considered as independent of stress state and temperature (Cui et al. 2000; Hong et al. 2013; Mašin and Khalili 2012; Sultan et al. 2002). Therefore, a constant value of α is assumed in the eq.(7).

In eqs.(7) and (8), the mechanically induced strains are described by using the hypoelastic model as in Cam-clay models (Wood 1990). **Although** thermal dependent κ **has been reported** (Eriksson 1989; Graham et al. 2001), many results showed that the slope of the swelling line (κ) is temperature independent (Abuel-Naga et al. 2007b; Campanella and Mitchell 1968; Cui et al. 2000). It was argued by Abuel-Naga et al. (2007b) that this discrepancy is due to the difference in the test procedure, and a constant value of κ is preferable for defining the mechanical elastic behaviour within the yield limit. This is adopted in the present model. It needs to be pointed out that thermal variations of κ , if any, would lead to additional changes to the critical state stress than that is purely caused by thermal shift of the CSL, and thereby could further alter the shape of the SBS (Tanaka 1995).

Thermomechanical yield curves

In the framework of elastic-plastic theory, thermomechanical yield curves describe the boundary of a thermoelastic domain, within which reversible deformation occurs due to changes of temperature or effective stresses or both. In this model, similar to Cui et al. (2000) and Abuel-Naga et al. (2007a), two yield surfaces are introduced in the p - T plane, namely mechanical yield (MY) surface and thermal yield (TY) surface. The former one corresponds to the thermal evolution of the SBS in the p - q space, and the latter mechanism is for describing the thermally induced volume change of soil more realistically.

(a) Mechanical yield limit

The mechanical yield surface in the p - q space was defined in eq.(4). Subjected to temperature changes, the size of the SBS varies due to the thermal evolution of the preconsolidation pressure (i.e., $p_{c(T)}$) (Cui et al. 2000; Hueckel and Borsetto 1990; Laloui and Cekerevac 2003; Wang et al. 2016). Several evolution laws of $p_{c(T)}$ in response to temperature changes at constant plastic strain (i.e. at no heat-induced plastic strain) were proposed in the p - T plane (Hueckel and Borsetto 1990; Laloui and Cekerevac 2003;

239 Moritz 1995). Based on available experimental data of a variety of soils, Moritz (1995)
 240 and Wang et al. (2016) demonstrated that it is reasonable to assume a linear relationship
 241 between $\ln(p_{c(T)} / p_{c(T_0)})$ and $\ln(T / T_0)$, that is

$$242 \quad (9) \quad \ln\left(\frac{p_{c(T)}}{p_{c(T_0)}}\right) = \theta \ln\left(\frac{T_0}{T}\right)$$

243 where $p_{c(T_0)}$ is the preconsolidation pressure at reference (or room) temperature T_0 ; θ is
 244 a material parameter that determines the thermal dependence of the preconsolidation
 245 pressure. With a positive value of θ , eq.(9) predicts a nonlinear decrease of $p_{c(T)}$ with
 246 temperature increases (e.g. Fig. 3). Wang et al. (2016) validated this relationship with
 247 seven types of clays and also pointed out that eq.(9) in fact is the first-order approximation
 248 of the dependency law of Laloui and Cekerevac (2003).

249 Meanwhile, the shape of the SBS may also change with temperature (Abuel-Naga et
 250 al. 2009; Hamidi et al. 2015; Hong et al. 2016; Hueckel et al. 2009; Mašin and Khalili
 251 2012; Zhou and Ng 2015). **This thermal variation may be attributed to the thermally**
 252 **induced irreversible changes of soil structure (fabric) (Abuel-Naga et al. 2009; Zymnis et**
 253 **al. 2018), and** it can be captured by allowing the spacing ratio (i.e., $r_{(T)}$) in the yield
 254 function to be temperature dependent. As shown in Fig. 2, $\ln(p_{c(T)} / p_{c(T_0)})$ is the
 255 horizontal distance between the NCLs at T_0 and elevated temperature T , respectively, at a
 256 constant plastic strain condition. It is assumed that the translation of the CSL due to
 257 temperature changes is similar to that of the NCL. Therefore, by definition, the thermal
 258 variation of the spacing ratio can be expressed as:

$$259 \quad (10) \quad \ln\left(\frac{r_{(T)}}{r_{(T_0)}}\right) = \zeta \ln\left(\frac{T_0}{T}\right)$$

260 where $r_{(T_0)}$ denotes the spacing ratio at the reference temperature T_0 ; ζ is a constant value
 261 which controls the thermal variation of the spacing ratio. The influence of the thermal
 262 evolution of $r_{(T)}$ on the yield surface is illustrated in Fig. 3. According to eqs.(9) and (10),
 263 the horizontal translation of the CSL due to the temperature change of $(T-T_0)$ (i.e.,
 264 $\ln(p_{c(T)} / p_{c(T_0)})$) equals $(\theta - \zeta) \ln(T_0 / T)$. As the CSL may stay or move downwards in

the v - $\ln p$ space when the soil is heated (Abuel-Naga et al. 2007b; Cekerevac and Laloui 2004; Ghahremannejad 2003; Kuntiwattanakul et al. 1995; Tanaka et al. 1997), $\zeta \leq \theta$ and ζ could be positive, zero, or negative, which indicates that the parallel shift of the CSL is smaller, the same, or greater than that of the NCL with the same temperature change. For example, compared to the experimental data of Ghahremannejad (2003) (refer to Fig. 1), Fig. 4 shows that eq.(10) with $\zeta=0.082$ agrees well with the measured results; on the contrary, a negative value of ζ (see Table 1) was calibrated from results of drained triaxial tests that were performed by Uchaipichat and Khalili (2009) on Bourke silt at different temperatures as detailed later.

(b) Thermal yield limit

Upon drained heating, the volume change of soil greatly depends on the stress history (e.g., OCR). For example, with rising temperature, thermal contraction dominates at low values of OCR, whereas the soil may dilate first and then transit to contract at intermediate OCRs. The transition temperature from expansion to contraction increases with higher OCR values (Abuel-Naga et al. 2007a; Baldi et al. 1988; Cekerevac and Laloui 2004; Cui et al. 2000; Sultan et al. 2002; Towhata et al. 1993). At very high OCRs, the soil may only experience thermal expansion in the temperature range without freezing or boiling of the pore water. Based on elastoplastic theory, the reversible thermal expansion is attributed to thermoelastic deformation (e.g., eq.(7)), and the thermal contraction is interpreted as thermoplastic (Hueckel and Baldi 1990). It was reported that the transition temperature for overconsolidated soils is generally much lower than the yield limit predicted by the MY curve even though the thermal evolution of the preconsolidation pressure is considered (Abuel-Naga et al. 2007a; Coccia and McCartney 2016). Cui et al. (2000) proposed that this phenomenon can be captured by introducing a second yield curve (i.e., TY) in the p - T plane. Here, the TY curve proposed by Abuel-Naga et al. (2007a) (i.e., eq.(11)) is followed.

$$(11) \quad \frac{p_{c(T_0)}}{p_{T(T)}} = \beta \sqrt{\ln \frac{T}{T_0}} + 1$$

Then the TY function can be written as

$$(12) \quad f^{TY} = p_{T(T)} \left(\beta \sqrt{\ln \frac{T}{T_0}} + 1 \right) - p_{c(T_0)} = 0$$

where $p_{T(T)}$ is the effective stress at the aforementioned transition temperature; β is the evolution parameter depending on the loading history, and its initial value is β_0 . Fig. 4 shows example results calculated by eq.(11) with different fixed values of β in the p_T - T plane, under stresses below which the deformation is assumed to be thermoelastic. The adopted TY curve coincides with the MY curve at room temperature, which is in agreement with experimental observations (Abuel-Naga et al. 2007a).

Thermomechanical plastic strains

Mechanical plastic volumetric strain (ε_v^{mp}) is produced when the mechanical yield limit is reached. Following the conventional soil plasticity theory, mechanical plastic strain increments can be expressed as

$$(13 \text{ a,b}) \quad d\varepsilon_v^{mp} = d\lambda_m \frac{\partial g^{MY}}{\partial p}, \quad d\varepsilon_d^{mp} = d\lambda_m \frac{\partial g^{MY}}{\partial q}$$

where $d\lambda_m$ is the plastic multiplier, a positive scalar. g^{MY} is the plastic potential function corresponding to mechanical loading. ε_d^{mp} is the plastic deviatoric strain due to mechanical loading, and $\varepsilon_d^p = \varepsilon_d^{mp}$ as no plastic deviatoric strain is induced by thermal loading.

Although the conventional Cam-clay type flow rules were often used directly at elevated temperatures (Cui et al. 2000; Graham et al. 2001; Laloui and François 2009; Zhou and Ng 2015), it was shown that changes of the temperature may also affect the plastic flow rule (Abuel-Naga et al. 2007b; Hamidi et al. 2015). Hence, a simple thermal dependent flow rule is proposed in eq.(14) by slightly modifying the conventional Cam-clay type flow rule (Hong et al. 2016; McDowell and Hau 2003). Inspired by some experimental observations (Abuel-Naga et al. 2009; Hamidi et al. 2015; Uchaipichat and Khalili 2009), $k = 2 \ln r_{(T)}$ is assumed by simplifying the general stress-dilatancy relation proposed by Yu (2006).

$$(14) \quad \frac{d\varepsilon_v^{mp}}{d\varepsilon_d^{mp}} = \frac{M^2 - \eta^2}{k\eta}$$

Then the non-associate plastic potential function g^{MY} can be readily obtained by integrating eq.(14) (Yu 2006) as

$$(15) \quad g^{\text{MY}} = k \ln \left[1 + (k-1) \left(\frac{\eta}{M} \right)^2 \right] + 2(k-1) \ln \left(\frac{p}{C} \right)$$

where C indicates the size of the plastic potential surface, which can be determined by solving the above equation for any given stress state. It is noted that the potential function with $k = 2$ corresponds to the modified Cam-clay model.

In eq.(14), the flow rule is related to the temperature dependent spacing ratio. While ζ is positive, zero, or negative, the incremental plastic strain ratio (i.e., $d\varepsilon_v^{\text{mp}} / d\varepsilon_d^{\text{mp}}$) increases (Abuel-Naga et al. 2007b), remains unchanged (Graham et al. 2001), or decreases (Uchaipichat and Khalili 2009) with temperature increases, which coincides with experimental observations in the literature. For example, in soft Bangkok clay, Abuel-Naga et al. (2007b) observed that the NCL move downwards while the CSL almost remain unaltered when the soil is heated. Therefore, ζ is positive and close to the value of θ (e.g., Table 1). With a positive value of ζ , eq.(14) predict that $d\varepsilon_v^{\text{mp}} / d\varepsilon_d^{\text{mp}}$ increases as temperature rises, which is consistent with the test observation (Fig. 6). Note that underestimation of the temperature effect is shown at high stress ratios. This can be alleviated by involving in several additional model parameters (Hamidi et al. 2015). However, this was not attempted in this study to keep the model consistent and simple.

Then, the plastic multiplier $d\lambda_m$ can be determined from the consistency condition of the MY function, as follows:

$$(16) \quad d\lambda_m = - \left(\frac{\partial f^{\text{MY}}}{\partial p} dp + \frac{\partial f^{\text{MY}}}{\partial q} dq + \frac{\partial f^{\text{MY}}}{\partial T} dT \right) / \left(\frac{\partial f^{\text{MY}}}{\partial p_{c(T_0)}} \frac{\partial p_{c(T_0)}}{\partial \varepsilon_v^{\text{mp}}} \frac{\partial g^{\text{MY}}}{\partial p} \right)$$

Upon heating, thermal plastic contraction occurs when the TY limit is reached. Experimental results (for example, (Abuel-Naga et al. 2007a; Baldi et al. 1991; Laloui and François 2009; Sultan et al. 2002)) indicated that the thermally induced plastic volumetric strain highly depends on the OCR value in addition to temperature changes (Cui et al. 2000; Graham et al. 2001; Hong et al. 2016; Yao and Zhou 2013). And Demars and Charles (1982) and Sultan et al. (2002) reported that the thermal plastic volumetric strain ($\varepsilon_v^{\text{tp}}$) is independent of the magnitude of the effective confining stress applied.

347 According to these findings, eq.(17) with only one additional material parameter is
 348 proposed and used to predict ε_v^{tp} .

$$349 \quad (17) \quad d\varepsilon_v^{tp} = \omega(T - T_0) \left(\frac{p}{p_{c(T_0)}} \right)^2 dT$$

350 where ω is a soil parameter controlling the development of ε_v^{tp} and is assumed to be
 351 constant. **Eq.(17) is triggered only while the TY surface (eq.(12)) is reached.** In eq.(17)
 352 the hardening/softening of $p_{c(T_0)}$ is involved (e.g., eq.(18)). Together with eq.(9), it can
 353 be seen that the thermally induced plastic volumetric strain defined here is a function of
 354 the temperature change and the current soil stress state (i.e., OCR), which is consistent
 355 with the abovementioned literature. Excellent agreement is shown in Fig. 7 between
 356 predicted and measured results of ε_v^{tp} for different soils at various stress conditions,
 357 which directly confirms the rationality of eq.(17).

358 **Thermomechanical hardening laws and coupling mechanism**

359 The preconsolidation pressure $p_{c(T_0)}$ appears in both the mechanical (i.e., eqs.(4) and
 360 (9)) and the thermal yield functions (i.e., eq.(12)) as a hardening parameter. It is
 361 postulated that $p_{c(T_0)}$ depends on the total plastic volumetric strains (ε_v^p) as defined in
 362 eq.(18), and, thus, these two plastic mechanisms are coupled through ε_v^p . Mechanical and
 363 thermal plastic volumetric strains will move the mechanical and thermal yield limits
 364 simultaneously.

$$365 \quad (18) \quad dp_{c(T_0)} = p_{c0(T_0)} \frac{1 + e_0}{\lambda - \kappa} d\varepsilon_v^p$$

$$366 \quad (19) \quad d\varepsilon_v^p = d\varepsilon_v^{tp} + d\varepsilon_v^{mp}$$

367 where $p_{c0(T_0)}$ is the initial preconsolidation pressure at the reference temperature T_0 .

368 The volumetric hardening (i.e., eq.(18)) mainly affects the sizes or positions of the MY
 369 and TY curves. Meanwhile, the shapes of them may also vary with temperature due to
 370 thermal evolutions of the stress pacing ratio (see eq.(10)) and the parameter β . While the
 371 TY mechanism is activated, a hardening phenomenon moves the TY surface upwards in

the T - p plane as β reduces (see Fig. 5). The evolution of β can be determined from the consistency condition of TY function, as follows:

$$(20) \quad df^{TY} = \frac{\partial f^{TY}}{\partial p} dp + \frac{\partial f^{TY}}{\partial T} dT + \frac{\partial f^{TY}}{\partial \beta} d\beta + \frac{\partial f^{TY}}{\partial p_{c(T_0)}} dp_{c(T_0)} = 0$$

Mechanical plastic strains will develop when the MY mechanism is activated as defined in eqs.(13 a,b), and thermal plastic volumetric strains will be induced due to temperature changes as defined in eq.(17). In this model, the thermomechanical soil response is loading path dependent. For example, although the TY curve will not be activated upon purely isothermal loading in the proposed model, thermal evolutions of the preconsolidation pressure and the spacing ratio possibly occurred during pre-thermal loadings. As a result, the isothermal strength of soil may either increase or decrease, depending on the stress and temperature history. For illustration, thermal evolutions of the MY and TY curves are discussed in the p - T plane along three typical drained thermal loading paths (e.g., from T_0 to T in Fig. 8) as follows:

(a) Loading path: OABC. For heavily overconsolidated soils (e.g., point B), only recoverable thermal expansion occurs upon heating within the concerned temperature range (e.g., path B→C). As the elastic thermal expansion coefficient α was assumed to be constant in eq.(7), the soil volume increases or decreases linearly during drained heating or cooling (e.g., Fig. 8 (a)). As no plastic volumetric strain will be produced along this typical path, the preconsolidation pressure reduces at higher temperatures as defined by eq.(9).

(b) Loading path: OADEF. At point D, the soil is intermediately overconsolidated. Upon heating from room temperature, thermal expansion dominates before reaching the TY limit (i.e., path D→E in Fig. 8 (b)). Afterwards, thermal plastic deformation develops beyond the transition temperature (i.e., point E), and, therefore, $p_{c(T_0)}$ evolves. As thermal plastic deformation accumulates, a reduction of the soil volume will be caused (e.g., Fig. 8 (c)). Overall, along with the path D→E→F, thermal expansion occurs first, followed by contractions. The transition temperature gets higher with greater OCR values as that has been widely observed in soil heating tests (Abuel-Naga et al. 2007b; Baldi et al. 1988; Cekerevac and Laloui 2004; Sultan et al. 2002).

(c) Loading path: OAGH. At point A (normally consolidated state at room temperature), the proposed MY and TY coincides, and both of them are reached immediately. Upon heating from point A, $p_{c(T_0)}$ evolves as both plastic strain hardening and thermal softening occur, and, therefore, the locations of the MY and TY curves move as defined by eqs. (9) and (12), respectively. It is found that both the TY and MY limits will be reached along the heating path from A to G in the coupled hardening mechanism defined. Thus, both thermal and mechanical plastic strains develop, and the consistency conditions of eqs.(16) and (20) must be satisfied simultaneously. From G to H, the soil contraction is solely caused by thermal plastic deformation as only the TY mechanism is triggered. In other words, the soil always stays as normally consolidated under thermal loading in the temperature range between T_A and T_G (temperatures at points A and G, respectively), which is consistent with the test observation of Plum and Esrig (1969) in heating tests on Newfield clay from 24°C to 50°C; thermally induced overconsolidation effect is predicted with further temperature rise as the TY limit is always reached prior to the MY limit along G to H, which agrees well with experimental observations (Abuel-Naga et al. 2007a; Burghignoli et al. 1992; Sultan et al. 2002). As a result, an s -shape T - ε_v curve is predicted by the present model as illustrated in Fig. 8 (f). The range of path A \rightarrow G might be soil-specific (Sultan et al. 2002).

Determination of model parameters

There are a total number of 12 parameters required in the proposed model. The isothermal parameters (λ , κ , M , μ , $\Gamma_{(T)}$, n , and $r_{(T)}$) are similar to those of the mother model of CASM, the first five of which are common soil parameters of Cam-clay models. The determination procedure and typical values of them were elaborated by Yu (1998). Under non-isothermal conditions, $r_{(T)}$ and $\Gamma_{(T)}$ were assumed to be temperature-dependent, which can be calculated by eqs.(10) and (21), respectively.

$$(21) \quad \Gamma_{(T)} = \Gamma_{(T_0)} - (\lambda - \kappa)(\theta - \zeta) \ln(T / T_0)$$

New soil parameters that are introduced to account for the temperature effects include α , θ , ζ , β_0 , and ω . The role of each of them and practical methods for determining them are briefly discussed as follows.

(a) The elastic thermal expansion coefficient α can be calibrated from the cooling stage of a drained heating-cooling test or a drained heating test on a heavily overconsolidated soil in the T - ε_v plot. α is mainly determined by the thermal expansion coefficient of the solid constituent (Khalili et al. 2010) and can be considered as constant in the order of $10^{-5} \text{ } ^\circ\text{C}^{-1}$ for most practical purposes.

(b) As defined in eq.(9), θ controls the temperature dependency of the preconsolidation pressure at a constant plastic strain, which is generally of positive value. It can be obtained by performing two or more isotropic compression tests at different constant temperatures.

(c) β_0 determines the initial shape or position of the TY curve in the p - T plot (e.g., Fig. 5). The transition temperature at a given OCR can be determined by plotting isotropic drained heating test results in the ε_v - T plot (e.g., Fig. 8 (c)). With measured values of the transition temperature at different OCRs (at least two), β_0 can be fitted using eq.(11).

(d) The spacing ratio typically lies in the range of 1.5~3.0 for clays (Yu 1998), which can be determined by locating the NCL and the CSL at a given temperature. The thermal evolution of $r_{(T)}$ is controlled by the parameter ζ as defined in eq.(10). With measured values of $r_{(T)}$ at different temperatures (at least two), ζ can be obtained by the method of fitting in the $r_{(T)}$ - T plot (e.g., Fig. 3). Alternatively, as the spacing ratio defines the shape of the MY surface, the relationship between $r_{(T)}$ and T can also be estimated by fitting the yield loci obtained at different temperatures.

(e) In eq.(17), ω controls the development of thermal plastic strain. It can be calibrated from thermal isotropic drained heating tests (e.g., Fig. 7).

In the above procedure, both 1D compression and 3D triaxial tests at a range of temperatures are involved to determine the soil parameters. Alternatively, the whole set of parameters can be efficiently identified with a limited number of 3D conventional soil tests (e.g. triaxial tests at different temperatures) using an appropriate optimization method (Jin et al. 2017; Mattsson et al. 1999; Yin et al. 2017). In general, the optimization procedure consists of two core parts: (1) the definition of an error function measuring the difference between model responses and experimental results and (2) the selection of an optimization strategy to enable the search for the minimum of this error function (Yin et al. 2018).

460 **Evaluation of the proposed model**

461 To test the reliability of the proposed model, predictions of various short-term
462 thermomechanical behaviour of soils are compared with experimental data published in
463 the literature. Note that the simulations of these soil element tests were performed at the
464 element level, namely the distributions of strains and temperature were regarded as
465 uniform throughout the soil element. Model parameters for each soil were calibrated
466 following the above procedure based on test data, and they are summarised in Table 1.

467 **Temperature effect on the drained volumetric behaviour**

468 Thermally induced volume changes of natural Boom clay and reconstituted Bangkok
469 clay subjected to heating-cooling cycles at different OCRs were simulated, and they are
470 compared with experimental results of Baldi et al. (1991) and Abuel-Naga et al. (2007a)
471 in Fig. 9. In the tests of Baldi et al. (1991), the Boom clay was saturated under a constant
472 $p=2\text{MPa}$ first, then isotropically loaded up to 4 MPa, and unloaded to 1 MPa prior to
473 heating. After, three heating-cooling cycles of 21.5-95-21.5 °C was applied under $p=1, 3,$
474 6 MPa (OCR=4, 1.33, 1, respectively) with a continuous test procedure as depicted in
475 Fig. 9 (a). The adopted tests of Abuel-Naga et al. (2007a) (Fig. 9 (b)) were performed in
476 a similar manner. The soil samples were consolidated under a vertical stress of 200 kPa
477 and unloaded to be different stress levels (giving OCR=1, 2, 4, and 8), followed by an
478 incremental heating-cooling cycle of 22-90-22 °C.

479 Taking the tests of Baldi et al. (1991) as an example, the thermal related parameters $\alpha,$
480 $\beta_0,$ and ω were calibrated based on the data during the heating stage at $p=1\text{MPa}; \theta=0.24$
481 was determined as the preconsolidation pressures were 6 MPa and 4.2 MPa at 21.5°C and
482 95°C, respectively; other parameters were measured by Baldi et al. (1991) as summarised
483 in Table 1. Note that two methods were used to calculate the drained thermal volumetric
484 strain in the test. The results that subtracted the thermal expansion of both the pore water
485 and the solid phase from the measured volume of water expelled from the soil sample
486 were used in Fig. 9 (a) because they are believed more accurate as discussed by Hong et
487 al. (2013). Overall, great effects of stress history on the thermal volumetric strain under
488 drained heating-cooling cycles are shown in Fig. 9, and the predicted and measured results
489 were in good agreement at different OCRs. This demonstrates the model capacity in
490 capturing these effects, and the mechanisms were elaborated in Fig. 8.

Fig. 10 shows a comparison between simulated and measured results of Bangkok clay on a combined thermomechanical path. The test consists in oedometric loading of the normally consolidated Bangkok clay until an effective vertical stress of 100 kPa, followed by a heating-cooling cycle of 22-90-22°C and finishing at vertical loading up to 200 kPa. (Abuel-Naga et al. 2007a). An apparent overconsolidation state after one heating-cooling cycle was caused, which is in line with other observations in the literature (for example, (Burghignoli et al. 1992; Sultan et al. 2002)). Using the soil parameters in Table 1, this thermally induced overconsolidation behaviour is also well predicted by the proposed model (Fig. 10).

Undrained triaxial compression tests at different temperatures

Tanaka (1995) reported several undrained triaxial compression tests on reconstituted Illite clay at different temperatures. In the tests, three normally consolidated specimens were prepared by increasing the effective consolidation pressure to 1.5 MPa at $T_0=28^\circ\text{C}$; two overconsolidated specimens were produced by applying an effective consolidation pressure up to 1MPa followed by isotropic unloading to 0.5 MPa, giving $\text{OCR}=2$. After initial consolidations, the specimens were heated to desired temperatures under drained conditions. Finally, undrained triaxial shear tests were performed with the normally consolidated specimens at three constant temperatures (i.e., 28°C, 65°C and 100°C) and with the overconsolidated specimens at two constant temperatures (i.e., 28°C and 65°C). The calibrated model parameters are listed in Table 1. It should be noted that the stress-state coefficient n and the spacing ratio were obtained by fitting the measured yield limits on the ‘wet’ side as shown in Fig. 11; $\omega=0.6\times 10^{-6}/^\circ\text{C}^2$ was fitted with the experimental results on the normally consolidated sample at $T=65^\circ\text{C}$; typical values of α and β_0 were assumed as related data of thermal isotropic tests were not available in the reference.

Numerical simulations were performed following the same loading paths as those were applied in the tests. Good agreement between the measured and predicted stress-strain curves is shown in Fig. 12. The results show that the normally consolidated Illite clay has greater undrained shear strength and generated lower pore water pressures at higher temperatures, and the difference becomes smaller for samples of $\text{OCR}=2$. The predicted yield loci in Fig. 11 indicate that the peak shear strength at higher OCRs may turn to decrease with increases of temperature, and this has also been observed in similar test on different soils (De Bruyn and Thimus 1996; Hueckel and Borsetto 1990). The predicted

and measured stress paths of the normally consolidated soil samples at different temperatures are compared in Fig. 13. Apparent thermal overconsolidation behaviour at elevated temperatures are shown due to the thermal evolution of the mechanical yield surface as explained in Fig. 8 (e.g. path OAGH). As the soil response is assumed as purely elastic within the SBS (i.e., elastic wall) in the present model, which is described by using a simple hypoelastic model (i.e., eqs.(7) and (8)), the predicted transition from elastic to elastoplastic states is unnecessarily abrupt. This issue can be readily tackled by introducing the bounding surface scheme (Dafalias 1986; Laloui and François 2009; Zhou and Ng 2015) or empirical relationships accounting for soil stiffness degradation (Hardin and Black 1968; Vardanega and Bolton 2013). **Meanwhile, this will also give a closer prediction of the stress path for the test at 100°C.** In order to minimise the number of model parameters, they were not included in this basic model.

It is shown in Fig. 12 that, for normally consolidated specimens at elevated temperatures, the peak deviatoric stresses are slightly underestimated, and excess pore water pressures (Δu) are therefore over-predicted. In the framework of critical state soil mechanics, no further volumetric plastic strains will be generated at the critical state. Hence, constant critical-state values of q and Δu were predicted by soil models with the volumetric hardening mechanism only, which agree well with the test results at room temperature (e.g., $T=2.8^\circ\text{C}$ in Fig. 13). However, as shown in Fig. 13, hardening continued at the critical state for the specimens at elevated temperatures, and the hardening effect was more significant at $T=100^\circ\text{C}$. This phenomenon may be related to thermally induced anisotropy due to the heterogeneity of clay. However, it is important to note that the finding on this temperature-related hardening phenomenon at the critical state is not conclusive in the literature, for example, an opposite trend was reported by Abuel-Naga et al. (2007b) in undrained triaxial tests on soft Bangkok clay. In theory, it is anticipated that this behaviour can be modelled by adopting more complex hardening laws with an inclusion of additional contributions from plastic shear strains (e.g., combined deviatoric and volumetric hardening laws) (Collins and Kelly 2002; Dafalias and Taiebat 2013; Wheeler et al. 2003; Yu et al. 2005).

Drained triaxial compression tests at different temperatures

Drained triaxial compression tests on saturated Bourke silt at different temperatures reported by Uchaipichat and Khalili (2009) were simulated and compared in Figs. 14 and 15. Nine soil specimens were initially consolidated under an isotropic loading of 200 kPa

at 25°C, followed by unloading to 150 kPa, 100 kPa or 50 kPa (i.e., OCR=1.33, 2, 4). Then some of them were heated to 40°C or 60°C under drained condition prior to performing isothermal drained triaxial compression tests. Note that the model parameters α , β_0 and ω were determined by fitting the results of OCR=2 at $T=40^\circ\text{C}$; n , $r_{(T)}$, and ζ were calibrated by fitting the measured yield loci as shown in Fig. 14. **Note that the yield points at high values of OCR are underestimated, and this directly leads to underestimations of the peak strength for tests at OCR=4 (Fig. 14 (c)).**

For the Bourke silt, it was measured that the spacing ratio increases with an increase in temperature (e.g., Fig. 14). This behaviour is also reflected in the plot of volumetric strain versus shear strain (e.g., Fig. 14 (c)). It is shown that the amount of shear dilation of soil at OCR=4 (strain softening) is smaller at higher temperatures, and this can be well captured by the proposed flow rule (eq.(14)) with a negative value of ζ . In the drained triaxial shear tests of the Bourke silt (Fig. 14), the shear stress reduces with the increase of the temperature before reaching the critical state, where the temperature effects on the stress path almost vanish. On the contrary, the temperature effects on the volumetric strain accumulate with shear strain, and the soil deformation becomes more contractive at a higher temperature. Although the volumetric strains at OCR=1.33 **and the peak strength at OCR=4 were underestimated slightly**, overall soil behaviours are well reproduced by the model simulations, which confirms the validity of the proposed model for drained triaxial shear tests at different temperatures.

Conclusions

In this paper, a two-surface (TY coupled with MY) based elastoplastic constitutive model is developed in the critical state framework for describing the thermomechanical behaviour of saturated fine-grained soils. The TY and MY mechanisms are coupled through the volumetric plastic strain which is expressed in terms of both stress and temperature. To better characterize the isotropic thermal deformation of soil, a TY surface is introduced and a new expression for the thermoplastic strains is proposed and validated. Both the size and the shape of the MY surface are allowed to vary with temperature, which dependent on the combined hardening mechanism of the preconsolidation pressure and the thermal dependent spacing ratio, respectively. A nonlinear relationship between the spacing ratio and temperature changes is defined based on available experimental observations. The soil stress dilatancy is related to the spacing ratio as well, thus a non-

588 associated thermal dependent plastic potential is obtained, by which some observed
589 temperature effects on the flow rule are well captured. The number of model parameters
590 is kept to a minimum. All the parameters have a clear physical interpretation, and a
591 detailed procedure for determining each of them is presented.

592 The model is evaluated by comparing with selected experimental results of several
593 typical stress and temperature-controlled tests. It is demonstrated that many important
594 thermomechanical features of saturated soils at non-isothermal conditions can be well
595 described by the model, for example, thermal deformation of soil at different OCRs in
596 one heating-cooling cycle, thermally induced overconsolidation effect observed in
597 normally consolidated soils, and thermally induced soil strength changes (either increase
598 or decrease) in both undrained and drained triaxial shear tests. It also reveals that further
599 experimental and constitutive investigations, particularly, into the microscopic behaviour
600 and mechanisms, are necessarily needed for better explaining and modelling some
601 contrasting phenomena related to coupled thermal and mechanical effects.

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Table and Figure captions

Table 1 Summary of model parameters.

Fig. 1 Measured and fitted NCLs and CSLs for M44 clay at different temperatures (after Ghahremannejad (2003)).

Fig. 2 Definitions and example relationships among NCLs, NCLs and yield loci.

Fig. 3 Size and shape variation with temperature increase at constant plastic strain.

Fig. 4 Measured and predicted thermal variation of the spacing ratio for M44 clay.

Fig. 5 Example results of TY limits.

Fig. 6 Measured and predicted f stress–dilatancy relationships for soft Bangkok clay.

Fig. 7 Measured and predicted thermal plastic volumetric strains.

Fig. 8 Explanation of model responses subjected to heating at three typical OCR values.

Fig. 9 Measured and simulated thermal volumetric deformation under heating-cooling cycle at different OCRs. (a) natural Boom clay ($e_0 = 0.59$); (b) Bangkok clay ($e_0 = 1.4$).

Fig. 10 Measured and simulated consolidation curves under a combined thermomechanical oedometric path.

Fig. 11 Measured and predicted yield loci for Illite clay.

Fig. 12 Measured and simulated stress-strain curves for undrained triaxial tests on soft Illite clay at different temperatures (measured data from Tanaka (1995)).

Fig. 13 Measured and predicted stress paths for normally consolidated Illite clay in undrained triaxial compression tests (measured data from Tanaka (1995)).

Fig. 14 Measured and predicted yield loci for Bourke silt.

Fig. 15 Measured and predicted stress behaviour of Bourke silt in drained triaxial compression tests ($e_0=0.56$).

819 Notation

| | | |
|-----|--|--|
| 820 | p, q | effective mean and deviatoric stress |
| 821 | e | void ratio |
| 822 | v | soil specific volume, $v=1+e$ |
| 823 | T_0 | room temperature |
| 824 | $p_{c(T)}$ | preconsolidation pressure at temperature T |
| 825 | $p_{c0(T_0)}$ | initial preconsolidation pressure at T_0 |
| 826 | $p_{x(T)}$ | critical state stress at temperature T |
| 827 | $f^{\text{MY}}, f^{\text{TY}}$ | mechanical and thermal yield limits |
| 828 | $r_{(T)}$ | spacing ratio at temperature T , $r_{(T)} = p_{c(T)} / p_{x(T)}$ |
| 829 | η | stress ratio, $\eta = q / p$ |
| 830 | M | the slope of the CSL in the $p - q$ space |
| 831 | n | stress state coefficient |
| 832 | $\xi_{(T)}$ | state parameter at temperature T |
| 833 | $\xi_{R(T)}$ | vertical distance between the NCL and the CSL |
| 834 | $N_{(T)}$ | specific volume on the NCL at $p = 1\text{kPa}$ at temperature T |
| 835 | $\Gamma_{(T)}$ | specific volume on CSL at $p = 1\text{kPa}$ at temperature T |
| 836 | λ | the slope of the NCL in the $v - \ln p$ space |
| 837 | κ | the slope of the swelling line in the $v - \ln p$ space |
| 838 | $p_{T(T)}$ | effective stress at the transition temperature |
| 839 | $\varepsilon_v^e, \varepsilon_d^e$ | elastic volumetric strain and elastic deviatoric strain |
| 840 | $\varepsilon_v^p, \varepsilon_d^p$ | plastic volumetric strain and elastic deviatoric strain |
| 841 | μ | Poisson's ratio |
| 842 | α | drained elastic volumetric thermal expansion coefficient |
| 843 | $\varepsilon_v^{\text{mp}}, \varepsilon_v^{\text{tp}}$ | mechanical and thermal components of ε_v^p |
| 844 | $\varepsilon_d^{\text{mp}}$ | mechanical component of ε_v^p |
| 845 | g^{MY} | mechanical plastic potential |
| 846 | $d\lambda_m$ | is the plastic multiplier |
| 847 | θ | material parameter for thermal evolution of the preconsolidation |
| 848 | | pressure |

849 ζ material parameter for thermal evolution of the spacing ratio

850 β (or β_0) material parameter for thermal evolution of TY

851 ω material parameter controlling the development of ε_v^{tp}

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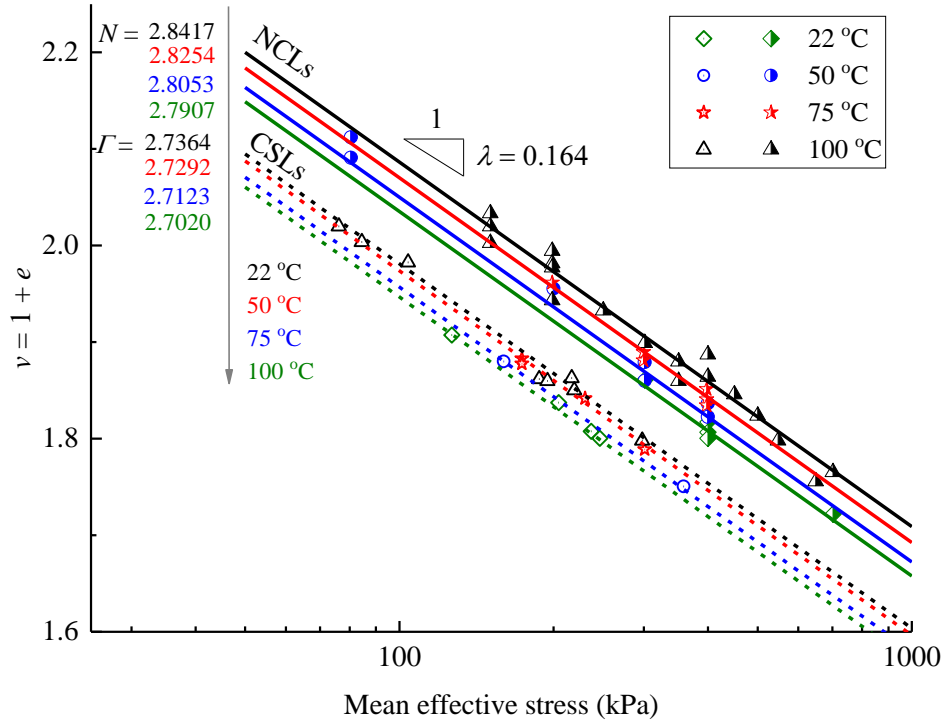


Fig. 1 Measured and fitted NCLs and CSLs for M44 clay at different temperatures (after Ghahremannejad (2003)).

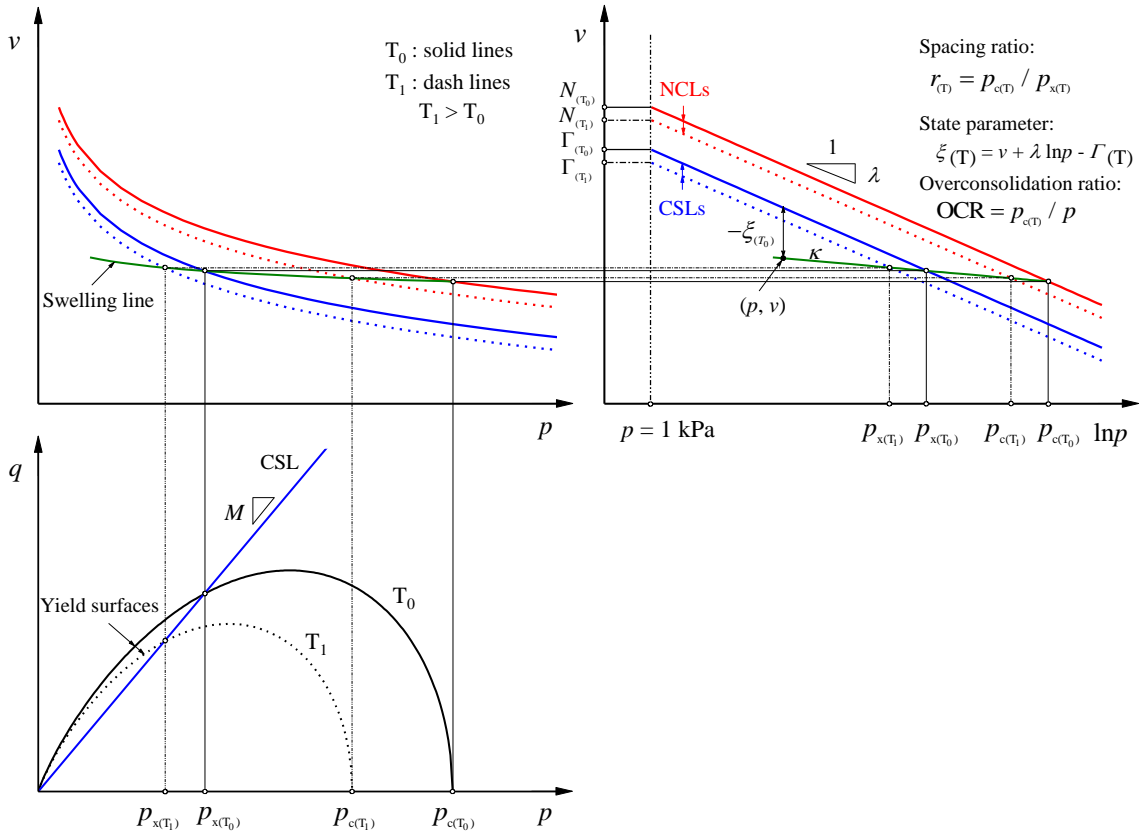


Fig. 2 Definitions and example relationships among NCLs, NCLs and yield loci.

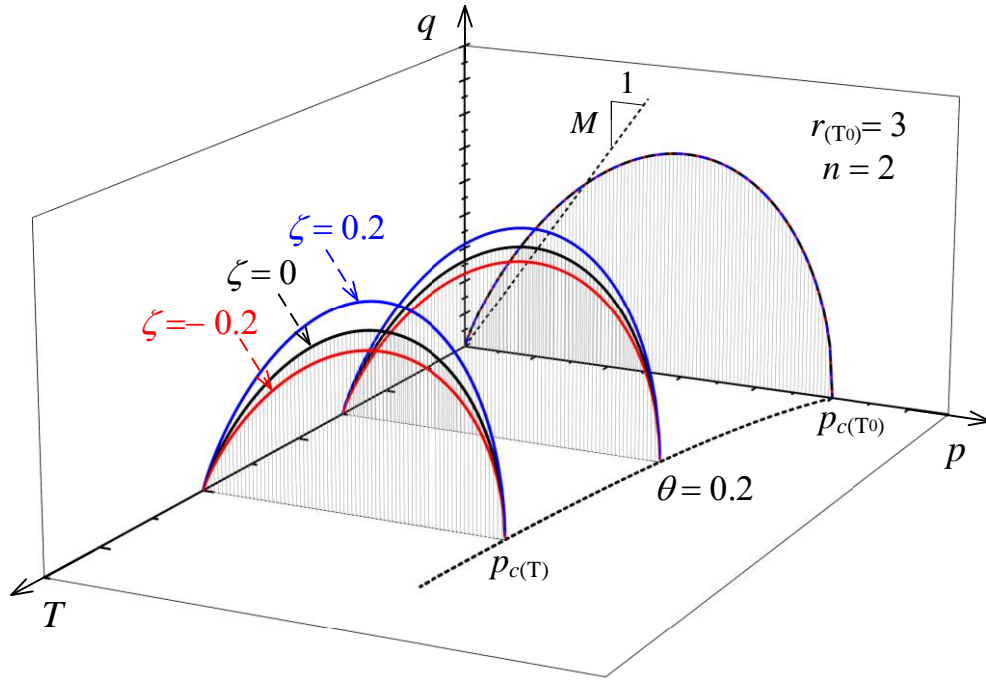


Fig. 3 Size and shape variation with temperature increase at constant plastic strain.

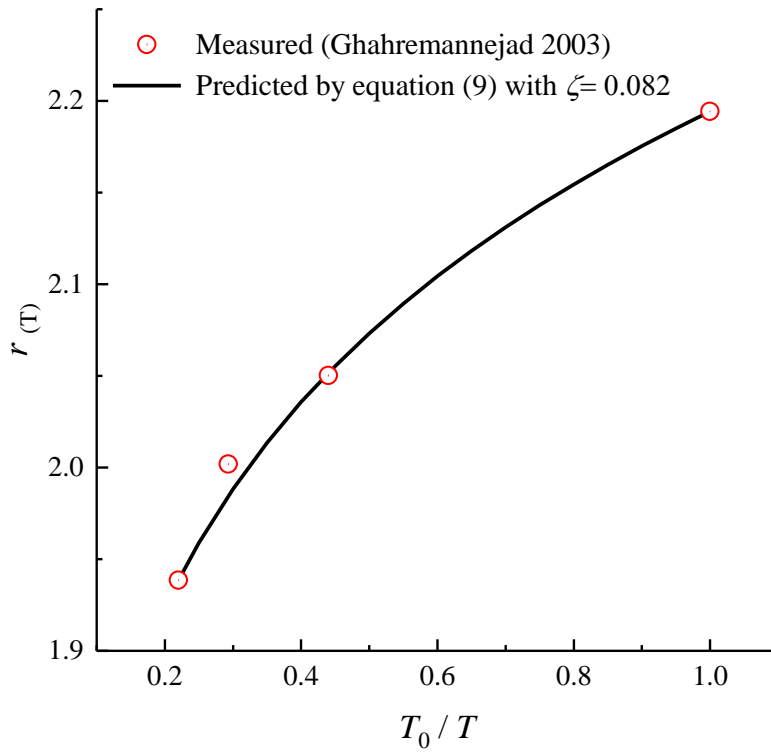


Fig. 4 Measured and predicted thermal variation of the spacing ratio for M44 clay.

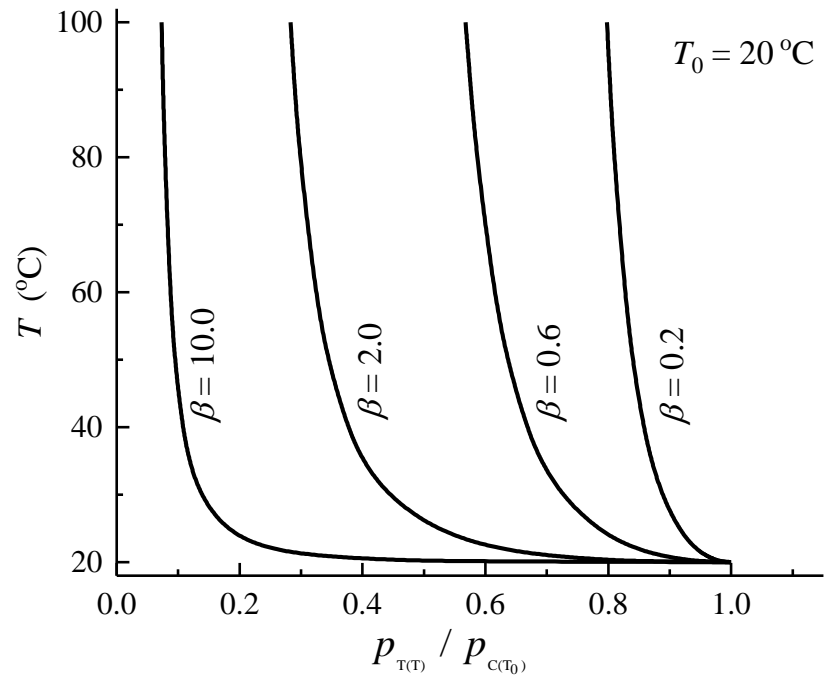


Fig. 5 Example results of TY limits.

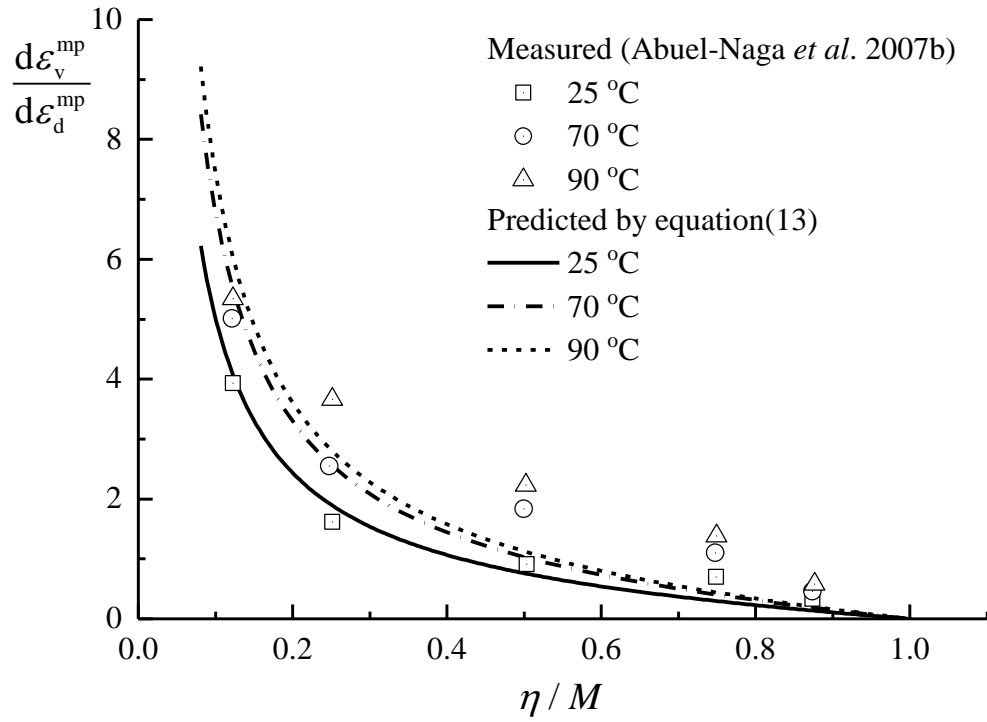


Fig. 6 Measured and predicted stress-dilatancy relationships for soft Bangkok clay.

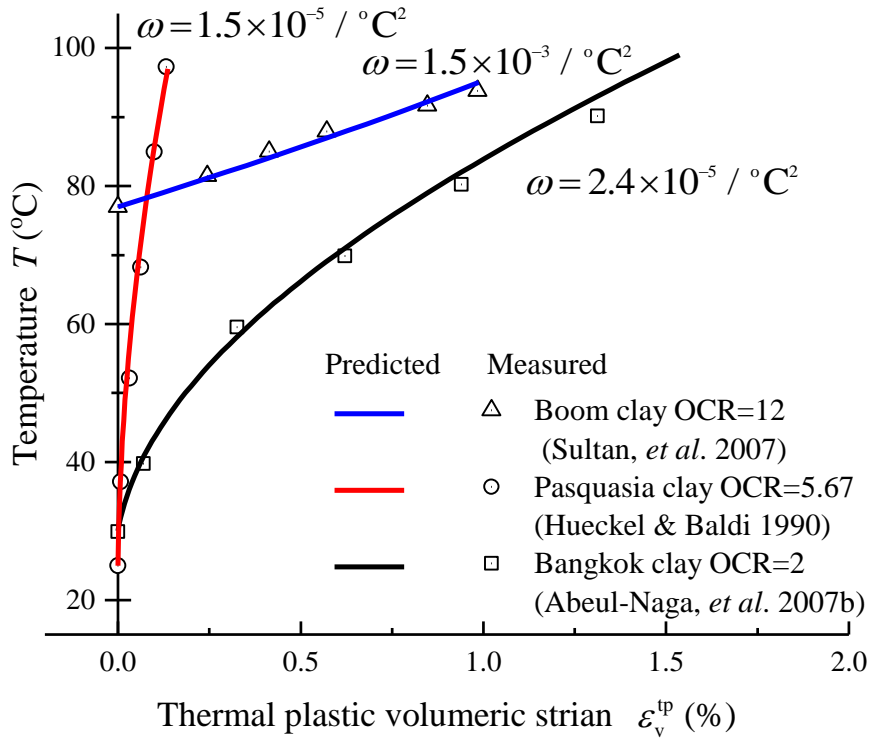


Fig. 7 Measured and predicted thermal plastic volumetric strains.

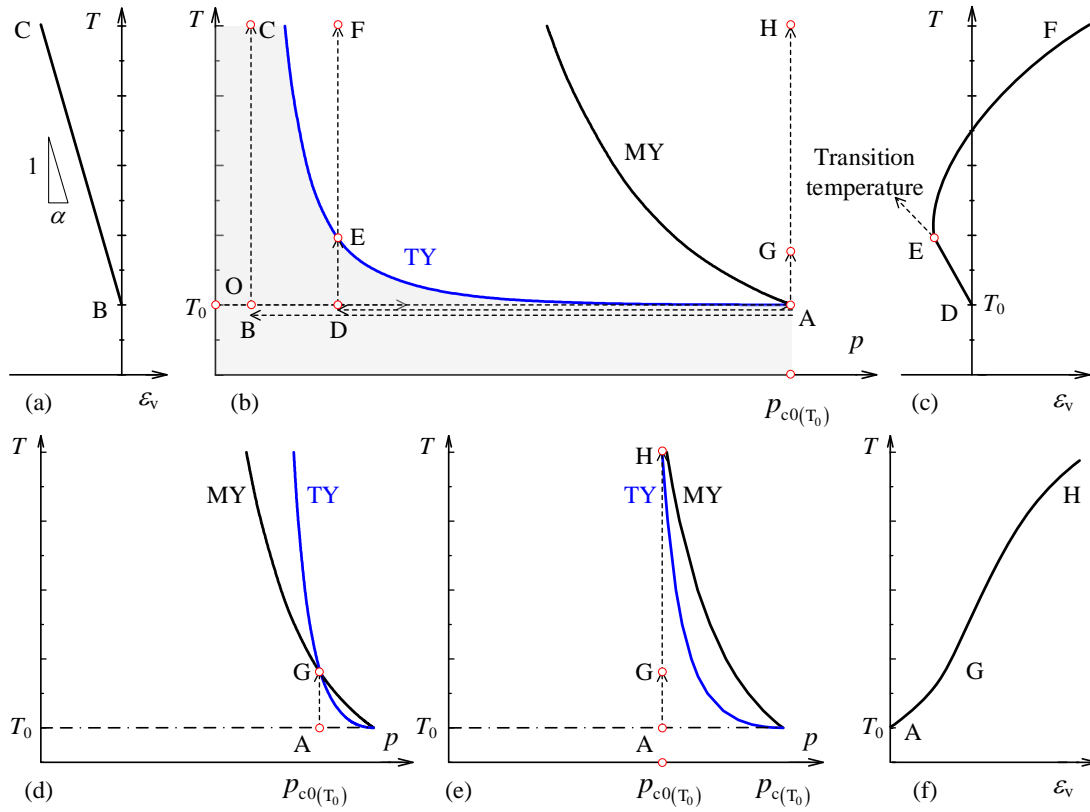


Fig. 8 Explanation of model responses subjected to heating at three typical OCR values.

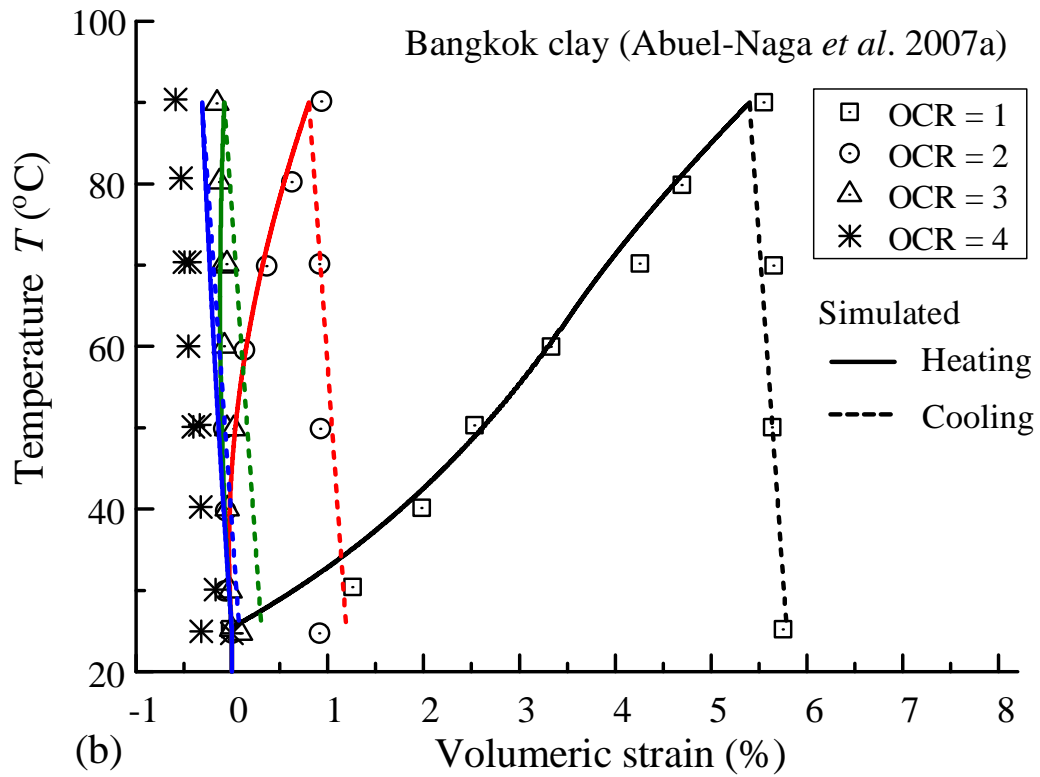
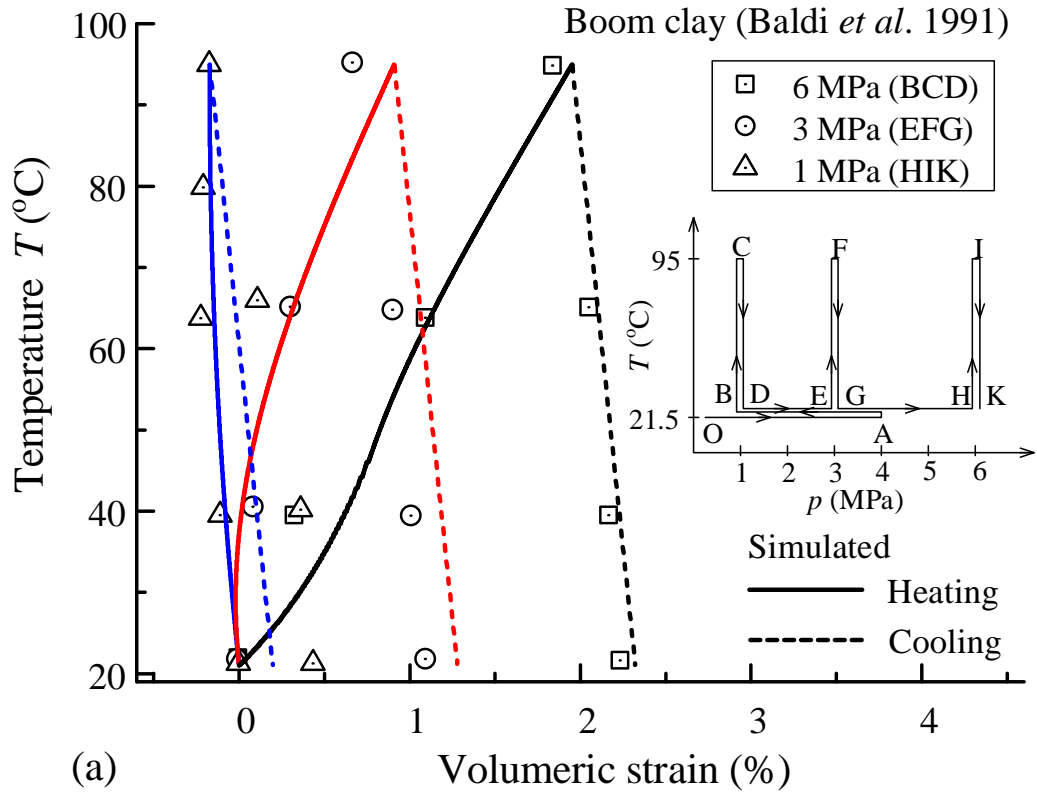


Fig. 9 Measured and simulated thermal volumetric deformation under heating-cooling cycle at different OCRs. (a) natural Boom clay ($e_0 = 0.59$); (b) Bangkok clay ($e_0 = 1.4$).

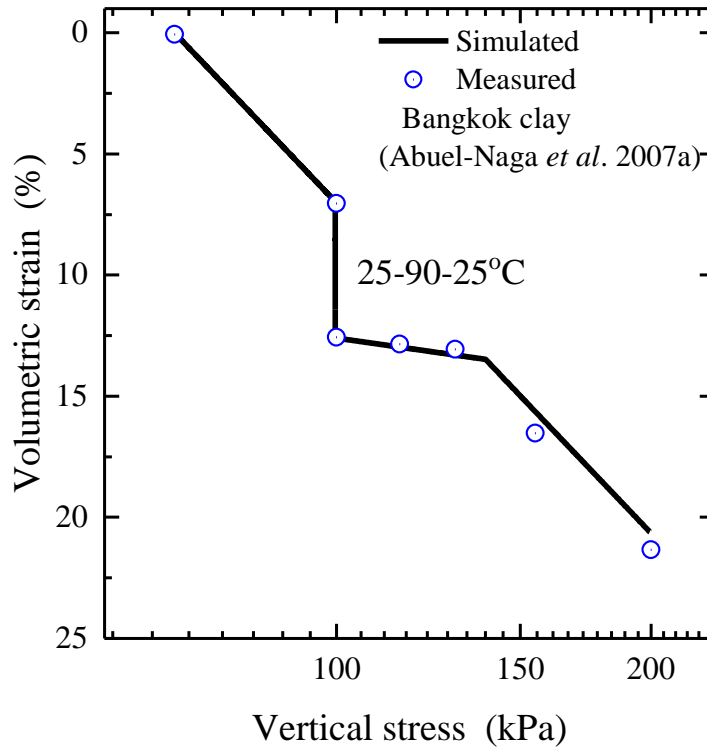


Fig. 10 Measured and simulated consolidation curves under a combined thermomechanical oedometric path.

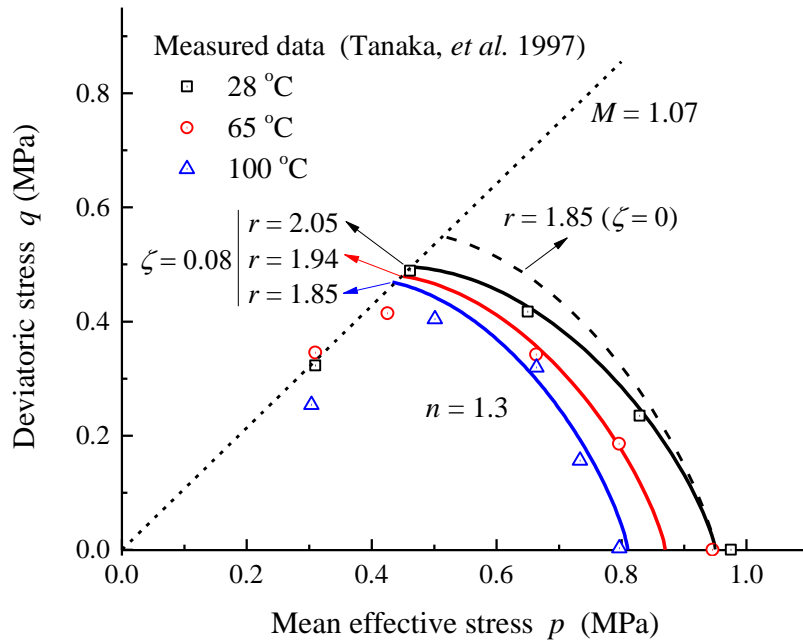


Fig. 11 Measured and predicted yield loci for Illite clay.

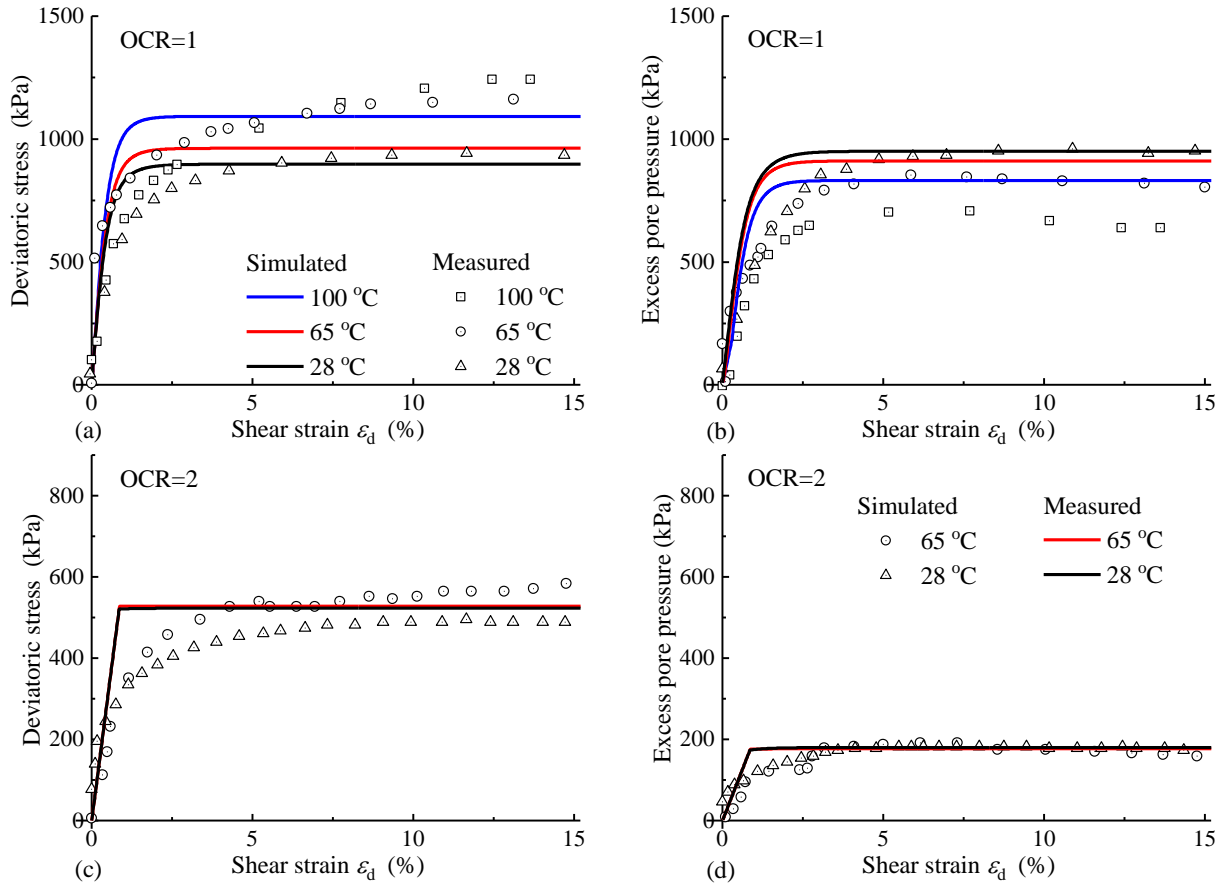


Fig. 12 Measured and simulated stress-strain curves for undrained triaxial tests on soft Illite clay at different temperatures (measured data from Tanaka (1995)).

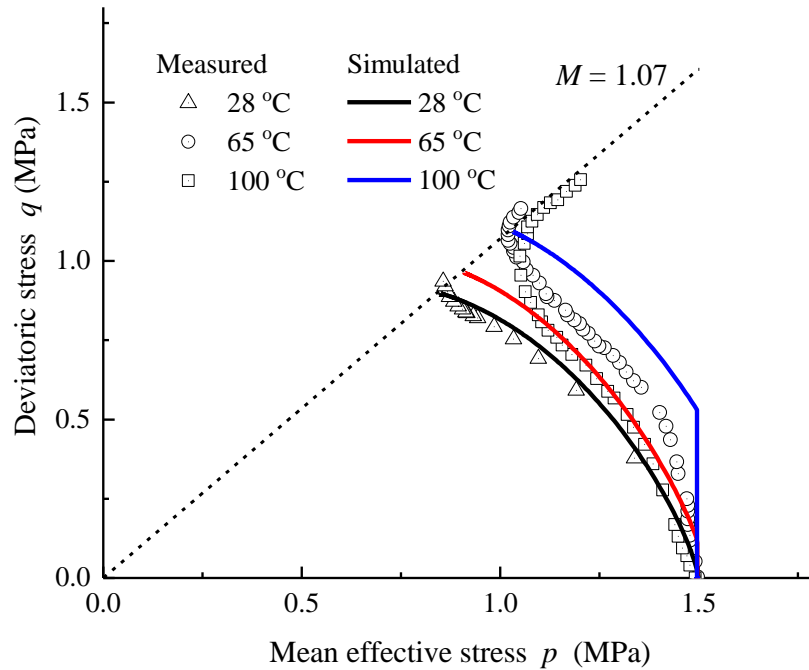


Fig. 13 Measured and predicted stress paths for normally consolidated Illite clay in undrained triaxial compression tests (measured data from Tanaka (1995)).

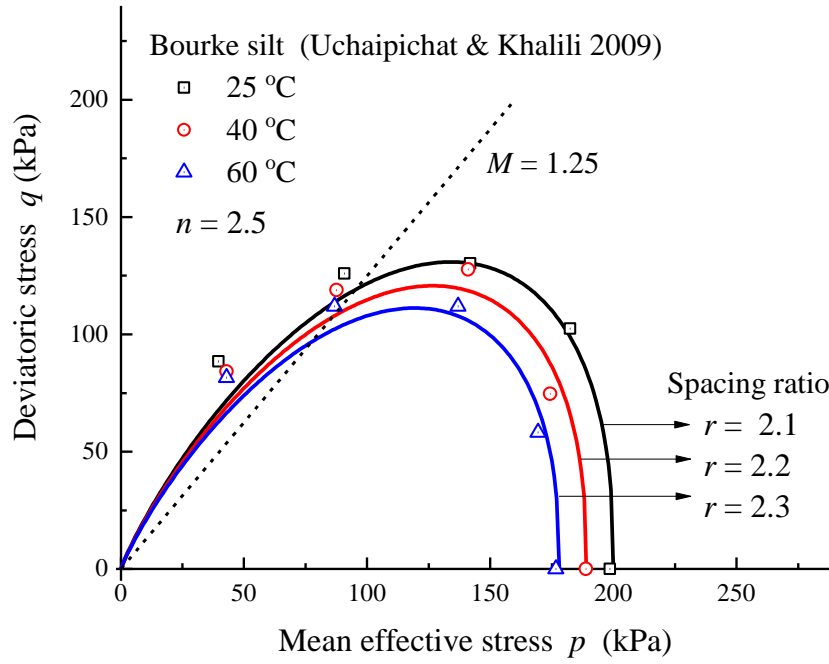


Fig. 14 Measured and predicted yield loci for Bourke silt.

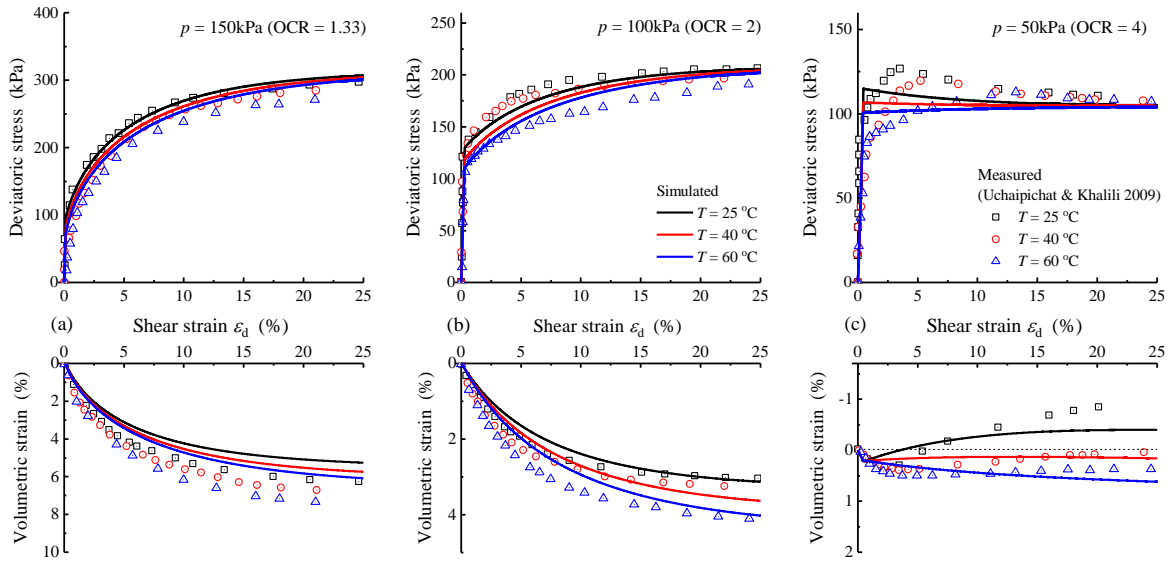


Fig. 15 Measured and predicted stress behaviour of Bourke silt in drained triaxial compression tests ($e_0=0.56$).