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Keywords: Transcranial direct current stimulation, motor priming, mirror therapy, stroke, upper extremity, motor recovery

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Keywords: Transcranial direct current stimulation, motor priming, mirror therapy, stroke, upper extremity, motor recovery.

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5 **List of abbreviations**
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| Abbreviation | Description |
|---------------------|--|
| ARAT | Action Research Arm Test |
| BBT | Box and Block Test |
| FMA-UE | Fugl-Meyer Assessment-Upper Extremity |
| FTHUE | Functional Test for the Hemiplegic Upper Extremity |
| LTD | Long-term depression |
| LTP | Long-term potentiation |
| MAS | Modified Ashworth Scale |
| MCID | Minimal clinically important differences |
| MMSE | Mini-Mental State Examination |
| MT | Mirror therapy |
| tDCS | Transcranial direct current stimulation |

1. Introduction

Neuroplasticity, which refers to the ability to rebuild anatomical and functional connectivity in order to react to external stimuli, is currently underpinning post-stroke functional recovery [1]. Researchers have explored hybrid or a combination of different modalities as possible ways to facilitate motor recovery after a stroke. Priming has been defined as a type of implicit learning wherein a change in behavior is induced by a stimulus [2]. In rehabilitation, motor priming has been reported in motor skill learning in order to facilitate the sensorimotor system to be more ready for sequential motor treatment, consequently enhancing behavioral outcomes [3]. Priming can be classified into prior or concurrent priming in terms of the time of application, and stimulation-based, mental based, movement based, pharmacological based and sensory-based in terms of the modalities used [2].

Transcranial direct current stimulation (tDCS) is commonly used as a form of stimulation-based priming. It is a safe and noninvasive therapeutic approach that causes a shift in membrane potential threshold which increases the probability of neurons in the cerebral cortex firing. The idea of tDCS is that it boosts subthreshold neuronal action potentials, thus may achieve stronger neurons firing than would occur without administering tDCS. The tDCS stimulator sends a low-level current from the anodal electrode to the cathodal electrode via surface electrodes attached to the scalp. With the application of anodal tDCS, the brain activity under the anodal electrode is likely to increase, whereas under the electrode in cathodal tDCS is likely be inhibited [4, 5]. The reasoning behind using tDCS for motor recovery in stroke is based on the interhemispheric competition theory that hemiplegia, due to a stroke, can lead to an imbalance between the two hemispheres, followed by the inhibition of the affected cerebral cortex and the excitation of the less affected hemisphere [4, 5]. Accordingly, tDCS can speed up motor recovery of the paretic upper limb, likely by rebalancing abnormal interhemispheric interactions, enhancing ipsilesional M1 excitability,

1 reducing contralesional excitability, or doing both [6]. There have been two recent
2 meta-analyses regarding the effects of rTMS and tDCS on hemiplegic upper limb
3 recovery after stroke [4, 7]. All three stimulation paradigms, including anodal,
4 cathodal, and dual (applied bihemispherically) tDCS, have shown short-term benefits
5 for chronic stroke patients with mild to moderate upper limb impairment [8].
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11 Three studies have used tDCS as prior motor priming [9-11]. In those studies,
12 one of them compared the three groups (anodal vs cathodal vs sham group) [11], and
13 the other two only compared the anodal [10] or cathodal [9] groups with a sham group
14 intervention, which was performed by using cathodal stimulation on the unaffected
15 hemisphere or anodal electrode on the affected side or both of them together with
16 1.5-2mA of electric intensity and a duration of 10-25 minutes per session. All the
17 studies used daily sessions and the number of total sessions varied from two to 10
18 sessions, according to different study designs. Four [12-15] studies chose tDCS as
19 concurrent priming combined with other treatments, two of them [13-14] had a
20 three-group design trial which consisted of an anodal group, a cathodal group, and a
21 sham group. One study [15] only compared a cathodal group with a sham group.
22 Another study used dual tDCS stimulation (with the anode over the affected side M1
23 and the cathode over the unaffected side M1) to compare results with a sham group
24 [12].
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41 tDCS can be combined with explicit motor training to enhance the effectiveness
42 of motor learning. Two studies [13, 15] combined tDCS with virtual reality, and one
43 study combined tDCS with robot-assisted arm training. The dual tDCS study
44 combined tDCS with constraint-induced movement therapy (CIMT) [12]. Mirror
45 therapy (MT) is a widely used motor training method and has also been demonstrated
46 to be significantly beneficial for post-stroke upper limb motor recovery with a
47 moderate effect size [16]. During the treatment, a mirror is placed in the subject's
48 midsagittal plane. With the affected side behind the mirror, the subject can only see
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1 the unaffected side and its reflection in the mirror, so that the affected side appears to
2 be performing the same movement [17]. This combination of MT and tDCS has been
3 demonstrated to be useful in the motor recovery of hemiplegic upper limbs in patients
4 with chronic stroke [18].
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9 Recently, increasing evidence has demonstrated that the order of motor priming
10 and training may influence the interaction effect between tDCS and behavioral tasks
11 [1, 2, 19]. Given that most relevant studies were conducted in healthy populations and
12 that different forms of tDCS have been used in motor priming, we would like to
13 investigate the timing-dependent interaction effect of tDCS with MT in the stroke
14 population. We hypothesize that dual tDCS applied simultaneously with MT would
15 lead to a greater enhancement in motor performance than that of tDCS applied prior
16 to MT, as well as sham tDCS applied before or simultaneously with MT.
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28 **2. Methods**

29 *2.1. Participants*

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31 Thirty participants with stroke were recruited from self-help stroke organizations in
32 Hong Kong from January 2018 to May 2018, based on convenience sampling. Our
33 study was performed in accordance with the principles of the Declaration of Helsinki
34 and ethical approval was sought from the human ethics committee of the Hong Kong
35 Polytechnic University before implementation (reference no. HSEARS20180118006).
36 The study was retrospectively registered in the Chinese Clinical Trial Registry (no.
37 ChiCTR180002008).
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48 Only participants who had given informed written consent were included in the
49 study. Participants were assessed for eligibility based on the following inclusion
50 criteria: 1) adults (aged ≥ 18 years old) who had experienced their first stroke more
51 than six months ago; 2) upper extremity impairment \geq second level in the Functional
52 Test for the Hemiparetic Upper Extremity (FTHUE) [20]; 3) medically stable; 4)
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1 Mini-Mental State Examination (MMSE) score ≥ 21 , to ensure the participant could
2 understand the instructions and give consent; 5) not participating in other clinical,
3 drug, or research studies at the same time; and 6) passed the safety screening for
4 tDCS. Those who had severe health conditions that required intensive medical care,
5 such as heart failure, pneumonia, a poor nutritional state, or contraindications of tDCS,
6 such as a cardiac pacemaker, cancer, bleeding tendencies, pregnancy, metal implants,
7 a history of seizures, etc., were excluded from the study.
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17 2.2. Study design

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19 A randomized, controlled pilot trial was conducted, wherein participants
20 admitted consecutively were randomly allocated to one of the three training groups: 1)
21 dual tDCS applied before MT (prior-tDCS group); 2) dual tDCS applied
22 simultaneously with MT (concurrent-tDCS group); and 3) dual sham-tDCS applied
23 before or simultaneously with MT (sham-tDCS group). Group allocations were sealed
24 in opaque envelopes, which were kept by a third-party researcher. Once participants
25 were enrolled, the researcher opened the envelopes and informed the study
26 investigators of the group allocation.
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39 2.3. Interventions

40 41 2.3.1. Transcranial direct current stimulation (tDCS)

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43 The protocols of tDCS vary in the literature in terms of duration of stimulation,
44 intensity, and density of current delivered. Some studies have reported that the
45 duration typically ranges from 10 to 30 min [21-23] and even a 10-minute duration
46 could present sufficient effects to elicit prolonged effects for more than one hour after
47 the session [23]. In terms of density, the majority of studies have applied tDCS with a
48 current density of 1mA/35 or 25cm², without any reports of severe adverse effects
49 [25]. Previous studies have demonstrated that patients who received a current
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intensity over 2mA were more likely to perceive pain [26].

In this study, we used the Soterix Medical 1x1 tDCS Low-Intensity Stimulator, and a 1mA tDCS current was delivered through two 35cm × 35cm saline-soaked surface sponge electrodes for 30 minutes, 5 days per week, for 2 weeks. The cathodal electrode was placed over the primary motor cortex (M1) of the contralesional hemisphere (C3 position, according to the 10/20 EEG system), and with the anodal electrode placed above the ipsilateral M1 position. A head band was used to attach the electrodes. Initially, the current ramped up gradually to 1mA in 30 sec., in order to avoid adverse sensations. The 30-min training duration did not include the 30 sec. ramp up time at the start of stimulation and an approximately 30 sec. ramp down time at the end of stimulation. With regard to the sham condition, the sham button of the device was switched on so that only an approximately 30 sec. ramp up and an approximately 30 sec. ramp down was delivered. The experimental setup can be found in Fig. 1.

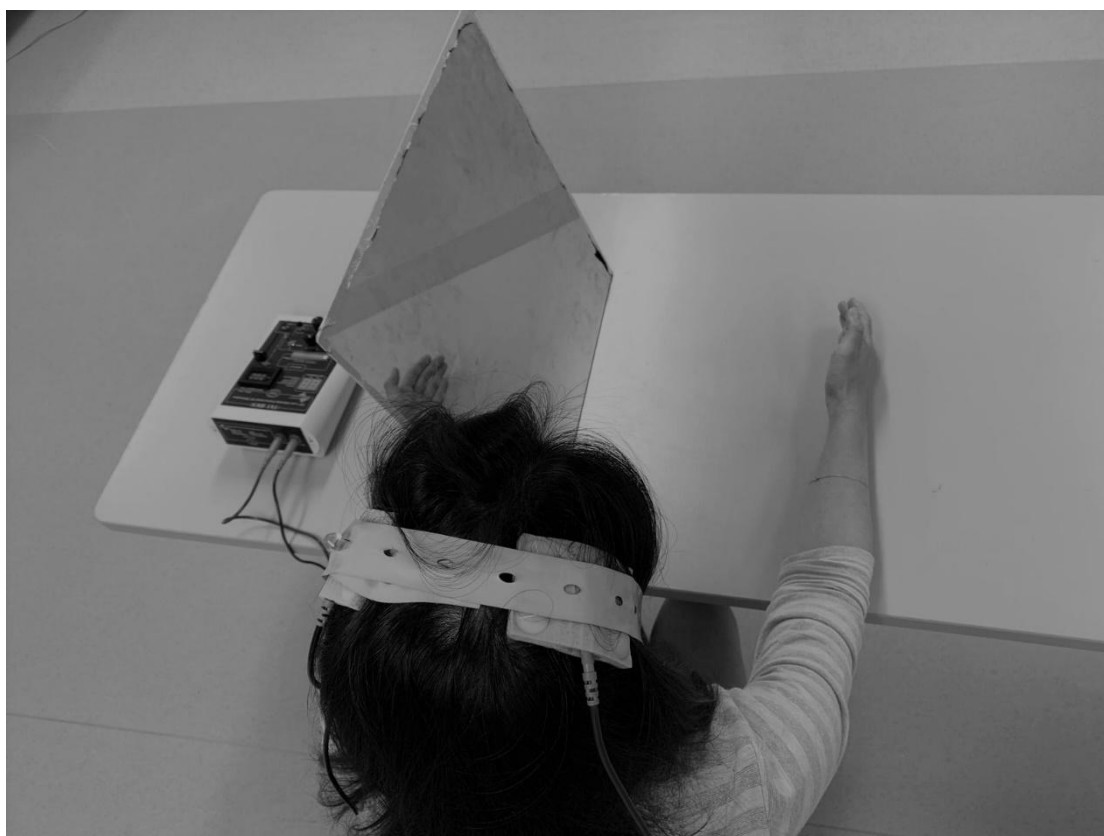


Fig. 1. Experimental setup of mirror therapy and tDCS stimulation

2.3.2. *Mirror Therapy (MT)*

The movement practice for each group involved five table-top tasks. The participants were instructed to perform as many trials as possible in each session, with a maximum of 30 trials per task, giving a total of 150 trials per session. Exercises were customized and based on the seven functional levels of the Functional Test for the Hemiplegic Upper Extremity (FTHUE) [20]. Examples of customized tasks are shown in the Appendix. The FTHUE was developed originally according to Brunnstrom's developmental stages of stroke recovery and has been validated and used extensively for stratification of hemiplegic upper extremities in recent studies [21].

1 During each session, participants in the prior-tDCS group received 30 minutes of
2 stimulation prior to 30 minutes of MT training. In the concurrent-tDCS condition,
3 tDCS was applied for 30 minutes at the same time as the 30 minutes of MT training.
4 In the sham-tDCS group, in order to eliminate the effect of favoring either prior-tDCS
5 or concurrent-tDCS conditions as well as providing similar dosage of MT in the sham,
6 participants performed tasks with sham stimulation randomly prior to or concurrent
7 with MT. The intervention was delivered five days per week for two weeks.
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17 *2.4. Outcome Measurements*

18 The primary outcome was the motor function of the hemiplegic upper extremity.
19 Three motor scales were used, including the Fugl-Meyer Assessment-Upper
20 Extremity Subscore (FMA-UE) [22], the Action Research Arm Test (ARAT) [29], and
21 the Box and Block Test (BBT) [30]. All of the assessments were evaluated before the
22 first session (T0), at post-intervention (T1), and at the two-week follow-up (T2) by
23 investigators who had received standard training.
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32 The FMA is a classic motor measurement scale designed for the hemiplegic
33 upper extremity. The FMA contains five domains and has better psychometric
34 properties than most other assessments designed for clinical trials [31]. In the present
35 study, only the upper extremity subscale (FMA-UE) was used. It includes 33 items,
36 with each item rated from 0 to 2 (0 = cannot perform, 1 = can partially perform, and 2
37 = can fully perform). The FMA-UE scores range from 0 to 66. The ARAT is
38 commonly used to measure upper extremity motor function after stroke, in a
39 laboratory setting; it consists of four subtests: grasp, grip, pinch, and gross motor.
40 Evidence demonstrates that the ARAT possesses satisfactory psychometric properties
41 when used with stroke patients with mild to moderate motor severity [29]. The BBT
42 counts the number of blocks that can be transported by participants from one
43 compartment of a box to another compartment within one minute. It is a measure of
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1 gross manual dexterity and demonstrates good inter-rater and test-retest reliability
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7 *2.5. Statistical analysis*

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9 An intention-to-treat analysis was applied to deal with the missing data.
10 Descriptive statistics were expressed as means and standard deviations (SDs) (Table
11 1). Due to the small sample size, non-parametric statistical methods were adopted.
12 The baseline of the clinical and demographic data was compared across three groups
13 using Fisher's exact test or the Kruskal-Wallis test for the categorical or continuous
14 data, respectively. The Friedman Test was used to compare the within-group
15 differences at T0, T1, and T2 (Table 2). Between-group differences for the Gain
16 Score 1 (T1-T0) and Gain Score 2 (T2-T0) were evaluated by the Kruskal-Wallis Test
17 (Table 2). The statistical significance level was set at 0.05. Significance values for
18 post-hoc tests have been adjusted by the Bonferroni correction, so that $p = 0.05/n$,
19 where $n =$ number of variables; therefore, p was set at 0.017 in the between-group
20 comparison. Minimal clinically important differences (MCID) were used to compare
21 the results of the present study if significant differences were found. All statistics
22 were calculated using SPSS version 20.
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10 **Table 1. Demographics and baseline characteristics**

| Variable | Groups ¹ | | | <i>P</i> * |
|------------------------------------|---------------------|------------------|------------------|--------------------|
| | Group 1 (n = 10) | Group 2 (n = 10) | Group 3 (n = 10) | |
| Age (years)* | 59.00 (9.80) | 58.70 (7.92) | 57.50 (7.08) | 0.753 ^b |
| Gender (female/male) | 2/8 | 3/7 | 1/9 | 0.847 ^a |
| Stroke onset (months)* | 19.44 (8.25) | 20.46 (11.13) | 22.16 (8.15) | 0.747 ^b |
| Hemiparetic side (right/left) | 4/6 | 8/2 | 7/3 | 0.249 ^a |
| Stroke type (ischemic/hemorrhagic) | 8/2 | 4/6 | 8/2 | 0.668 ^a |
| Usual care (yes/no) | 9/1 | 7/3 | 6/4 | 0.450 ^a |
| FMA-UE* | 52.70 (18.56) | 45.80 (15.97) | 49.30 (18.14) | 0.426 ^b |
| BBT* | 28.60 (16.48) | 19.00 (17.37) | 22.80 (20.32) | 0.570 ^b |
| ARAT* | 42.90 (22.02) | 33.10 (18.86) | 35.00 (22.18) | 0.348 ^b |
| FTHUE* | 5.90 (2.08) | 5.20 (1.81) | 5.10 (2.18) | 0.603 ^b |
| Higher functioning (levels 5-7) | 8 | 6 | 6 | NA |
| Lower functioning (levels 1-4) | 2 | 4 | 4 | NA |
| MAS_arm* | 0.65 (0.58) | 0.90 (0.70) | 0.95 (0.72) | 0.493 ^b |
| MAS_hand* | 0.45 (0.60) | 0.65 (0.71) | 0.55 (0.90) | 0.813 ^b |

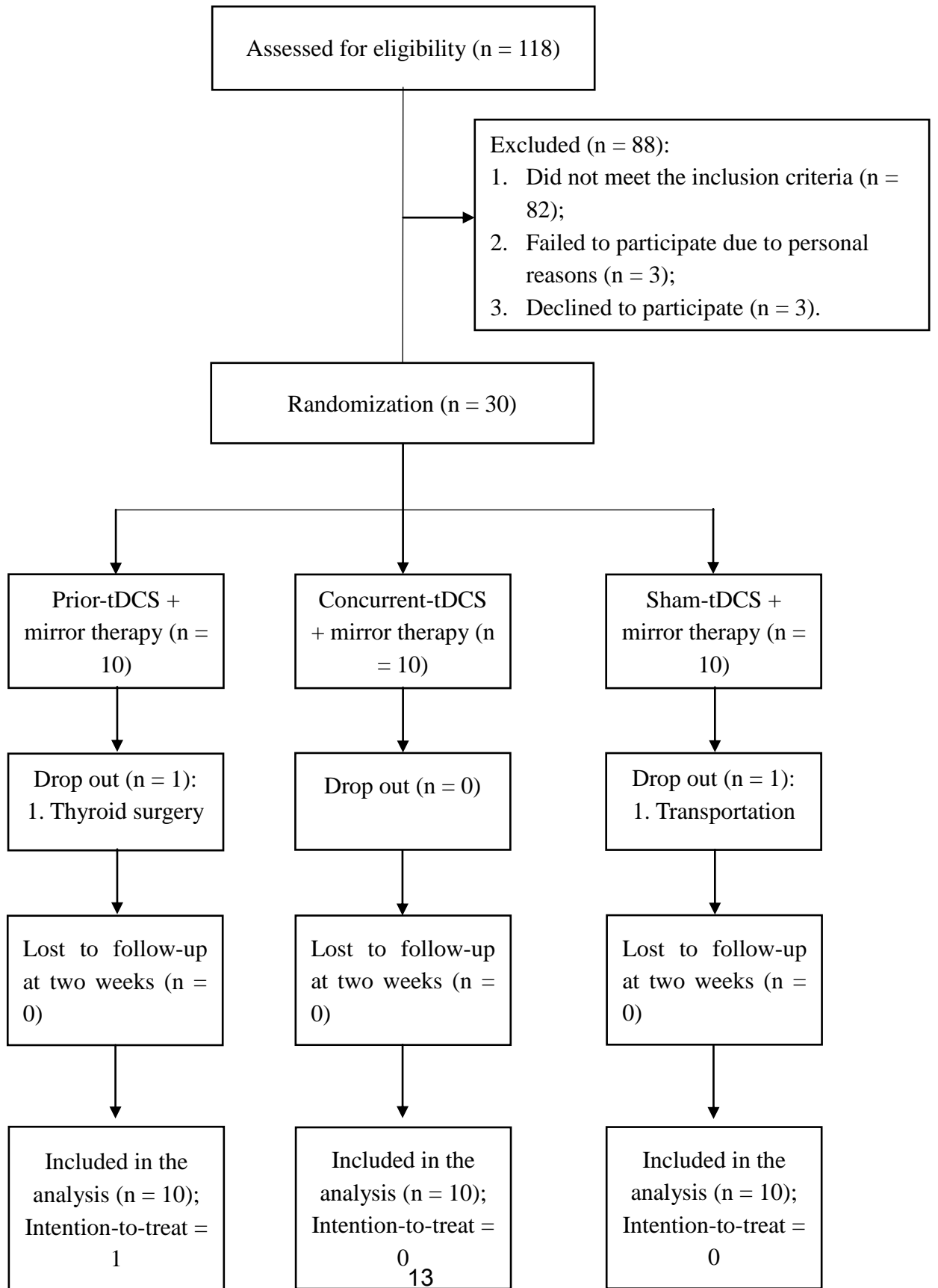
34 *Data expressed as means (SDs); ¹Group 1 = prior-tDCS group, Group 2 = concurrent-tDCS group, Group 3 = sham-tDCS group; ³Fisher's exact
35 test was used to compare proportions for categorical variables; ^bthe Kruskal-Wallis test was used to compare means; * $P \leq 0.05$; tDCS =
36 transcranial direct current stimulation; MT = Mirror Therapy; FMA-UE = Fugl-Meyer Assessment-Upper Extremity Subscore; BBT = Box and
37 Block Test; ARAT = Action Research Arm Test; FTHUE = Functional Test for the Hemiplegic Upper Extremity; MAS = Modified Ashworth
38 Scale.
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3. Results

Thirty patients were recruited, with 10 allocated to the prior-tDCS group, 10 to the concurrent-tDCS group, and 10 to the sham-tDCS group. The participants' flow charts can be found in Fig. 2. Two participants dropped out during the study, one from the prior-tDCS group and another from the sham-tDCS group. None of the patients reported discomfort or severe side effects.

Demographics and outcomes are summarized in Table 1. The distributions of age, gender, stroke onset, paralyzed side, types of stroke, usual care (which refers to regular clinical rehabilitation training), and the baselines of outcomes were comparable across groups. Regarding the within-group comparison, there was statistically significant improvement in the ARAT when tDCS was applied concurrently at both post-intervention ($P = 0.002$) and follow-up ($P = 0.030$), whereas a significant increase in the FMA-UE ($P = 0.022$) and the BBT ($P = 0.042$) were only found at post-intervention in the concurrent-tDCS condition (Table 2). Compared with the MCID for the FMA-UE in individuals with chronic stroke (MCID = 5.25) [32] and that for the ARAT (MCID = 5.7) [33] and the BBT (MCID = 6) [34], none of the gain scores achieved a clinical significance level. In regard to the between-group comparison, overall significant difference was found in Gain Score 1 in the ARAT ($p = 0.010$). The post-hoc comparison showed that there was significant improvement in the ARAT between the concurrent-tDCS group and the prior-tDCS group ($p = 0.022$), and between the concurrent-tDCS group and the sham-tDCS group ($p = 0.031$) (Table 3). Although the gain scores in the FMA-UE were higher for the concurrent-tDCS group than the prior-tDCS and sham groups, no statistically significant difference could be seen across the three groups in regard to the gain scores for the FMA-UE and the BBT (Fig. 3).

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Fig.2.CONSORT diagram of subjects throughout the study

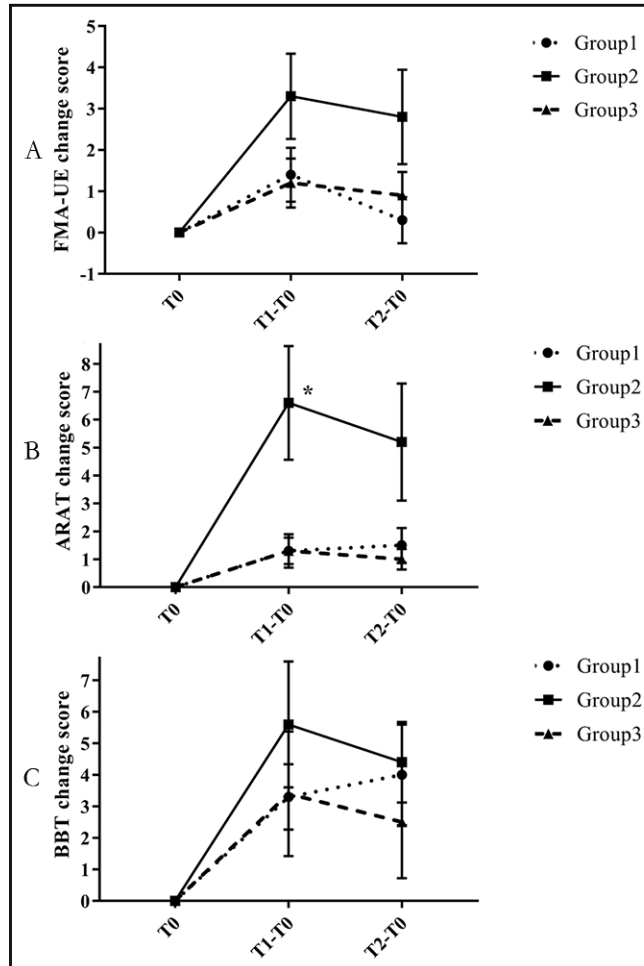


Fig. 3. T1-T0, T2-T0 change scores for the FMA-UE, ARAT, BBT among three groups.

Note: Error bars represent (SEM). * $P < 0.05$ when compared with the sham group; Group 1 = prior-tDCS group, Group 2 = concurrent-tDCS group, Group 3 = sham-tDCS group.

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Table 2. Within-group (pretest, posttest, and follow-up) and between-group comparisons (Group 1, Group 2, and Group 3)

| Variable | Group 1 (n = 10) | | | | | Group 2 (n = 10) | | | | | Group 3 (n = 10) | | | | | Multiple comparison@ | | | | |
|----------|------------------|------------------|------------------|------------------|----------------|------------------|-------------------------------|-------------------------------|----------------|----------------|------------------|------------------|------------------|------------------|----------------|----------------------|----------------|--------------|----------------|----------------|
| | T0 | T1 | T2 | Gain Score 1 | Gain Score 2 | T0 | T1 | T2 | p [¶] | Gain Score 1 | Gain Score 2 | T0 | T1 | T2 | p [¶] | | Gain Score 1 | Gain Score 2 | p ¹ | p ² |
| FMA-UE | 52.70 (18.56) | 54.10 (18.02) | 53.00 (18.51) | 0.047* (2.07) | 0.30 (1.77) | 45.80 (15.97) | 49.10 (15.61) [#] | 48.60 (16.10) | 0.007* | 3.30 (3.27) | 2.80 (3.61) | 49.30 (18.14) | 50.50 (17.30) | 50.20 (18.33) | 0.157 | 1.20 (1.87) | 0.90 (1.79) | 0.154 | 0.238 | |
| ARAT | 42.90 (22.02) | 44.20 (22.43) | 44.40 (21.38) | 0.003* (1.89) | 1.50 (1.96) | 33.10 (18.86) | 39.70 (18.19) [#] | 38.30 (18.01) [#] | 0.001* | 6.60 (6.43) | 5.20 (6.63) | 35.00 (22.18) | 36.30 (22.71) | 36.00 (22.53) | 0.015* | 1.30 (1.49) | 1.00 (1.15) | 0.010* | 0.172 | 1, 2; 2, 3 |
| BBT | 28.60 (16.48) | 31.90 (18.35) | 32.60 (17.98) | 0.004* (3.27) | 4.00 (5.06) | 19.00 (17.37) | 24.60 (19.30) [#] | 23.40 (18.66) | 0.007* | 5.60 (6.31) | 4.40 (4.03) | 22.80 (20.32) | 26.20 (23.82) | 25.30 (22.69) | 0.276 | 3.40 (6.26) | 2.50 (5.62) | 0.426 | 0.278 | |

Note: [¶]The Friedman Test was used to compare within-group differences; ¹the Kruskal-Wallis test was used to compare between-group differences for Gain Score 1 (T1-T0); ²the Kruskal-Wallis test was used to compare between-group differences for Gain Score 2 (T2-T0); [@]post hoc analysis had not been carried out, as there was no overall significant difference among the three groups; Group 1 = prior-tDCS group, Group 2 = concurrent-tDCS group, Group 3 = sham-tDCS group; *p ≤ 0.05; #adjusted p ≤ 0.05 compared with T0; FMA-UE = Fugl-Meyer Assessment-Upper Extremity Subscore; BBT = Box and Block Test; ARAT = Action Research Arm Test.

4. Discussion

This study aimed to explore the time-dependent effect of dual tDCS with MT on the motor recovery of upper limbs in individuals with chronic stroke, compared to a combination of sham-tDCS and MT. The results demonstrated a significantly greater improvement in the ARAT at post-intervention when tDCS was applied concurrently with MT than prior to MT, as well as the sham condition. The results might imply that there was a motor priming effect of concurrent-tDCS in combination with MT when compared to the sham condition.

Our results regarding the robust improvements in behavioral measures in the concurrent tDCS application are partially in line with previous studies [1, 19]. The results might be attributed to the way in which motor learning in human M1 was associated with an increase in the synaptic strength in the form of long-term potentiation (LTP) like or long-term depression (LTD) like changes [35-37]. For motor priming, the underlying theory is that priming could increase neural activity and facilitate the subsequent induction of LTP or LTD-like plasticity, which would induce a change in the process of motor learning [38]. Thus, our results imply that the application of tDCS over M1 during motor practice is more likely to facilitate corticospinal excitability and consequently enhance the effects of the accompanying training. A study using a similar paradigm regarding lower limbs found that the concurrent application of tDCS resulted in a trend toward greater increases in corticospinal excitability (recorded by motor-evoked potential from the Tibialis muscle) than tDCS applied prior to motor training [1]. This superiority resulting from the concurrent-tDCS protocol may be explained by the Gating theory, which can be induced instantaneously from tDCS with motor practice and occurs by cortical disinhibition (i.e., anodal to the ipsilateral hemisphere and cathodal to the contralesional hemisphere). Any intervention that leads to an acute disinhibition of intracortical inhibitory circuits may gate the effects of a conditioning protocol [38],

1 consequently enhancing the effectiveness of MT when it is applied simultaneously. In
2 contrast, compared to the sham condition, our results regarding tDCS applied before
3 MT did not show any significant improvement on motor learning, which is consistent
4 with several previous studies [1, 10, 39]. When tDCS is used in conjunction with
5 another intervention successively, it is likely that the time course between the priming
6 stimulation and motor training is considered as essential to the magnitude of boosting
7 neuronal action potentials to induce plasticity [40].
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When comparing the two-week follow-up results among the three groups, no significant difference in any of the three outcome measurements was observed. These findings are opposed to some previous studies. According to a recent review of the long-term maintenance effect of tDCS in facilitating motor learning post-stroke [4], dual (bihemispheric) tDCS could induce significant long-term motor learning retention in chronic stroke patients. There are three possible reasons for our discrepant results. The first is that the priming effect of tDCS could not be adequate to lead to acquired motor skills improvement, compared with sham tDCS. In the present study, improvements from the short intervention period of tDCS (i.e., 10 sessions, with 30 minutes per session) were relatively limited. The second reason is related to the heterogeneity and chronicity of recruited patients with stroke. As demonstrated in Table 1, some patients received extra rehabilitation training at varied intensities and recovery was slow due to chronic stroke.

Several limitations were identified in the present study. First, although significant pre-post improvements were found in the FMA-UE, ARAT, and BBT in the prior-tDCS and concurrent-tDCS groups at post-intervention, compared with the MCID for the FMA-UE in individuals with chronic stroke (MCID = 5.25) [32] and that for the ARAT (MCID = 5.7) [33] for the BBT (MCID = 6) [34], none of the gain scores demonstrated clinical significance due to the intervention. A possible explanation for this could rely on the comparatively small quantity of total

1 intervention sessions. A previous study that explored the interaction effect on chronic
2 patients of tDCS, accompanied by MT and delivered over 18 sessions across six
3 weeks, showed significantly positive results [18]. Another study that focused on the
4 effectiveness of the combination of tDCS and virtual reality in chronic stroke patients
5 conducted 15 sessions, which resulted in more than 50% of the participants achieving
6 the MCID in the experimental group [41]. Future studies may increase the number of
7 intervention sessions to achieve more persuasive results. Second, although the current
8 intensity of using 1mA was referenced in another study of dual tDCS [6], it could be
9 too low and might be for this reason we did not detect any persistent effects of the
10 treatment at the follow-up visit. Third, our patients were quite varied in terms of
11 stroke characteristics, whereas stroke severity and location were suggested as
12 potential covariates to modify the effects of a combination protocol of tDCS and
13 explicit motor training [42]. Moreover, MT itself could be considered as a
14 movement-based priming that would induce a positive effect to motor learning and
15 could be beneficial to all groups. The follow-up evaluation after 2 weeks was also
16 relatively short in duration. Future studies could be to recruit more subjects and
17 reduce heterogeneity when recruiting cases, limit the age and the FTHUE level to
18 control the potential covariates.

41 **5. Conclusion**

42 This study investigated the timing-dependent interaction effects of tDCS in
43 conjunction with MT in the chronic stroke population. We found that the priming
44 effect of tDCS was important to facilitate motor recovery in MT. Significant
45 improvements after concurrent-tDCS and MT in only one motor function test were
46 found when compared with prior-tDCS or sham-tDCS and MT but could not be seen
47 in other outcomes. The concurrent-tDCS seems to be more advantageous and
48 time-efficient in the context of clinical trials combining with other kinds of motor
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rehabilitation training which should be considered in future application.

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1 **DECLARATIONS**

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3 **Consent for publication:**

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5 None.

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9 **Availability of data and material:**

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11 The datasets used and analyzed during the current study are available from the
12 corresponding author upon reasonable request.
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18 **Declarations of interest:**

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20 None.

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23
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33 **Authors' contributions:**

34
35 Conceptualization: FNKK, ZZ, JM. Data collection, analysis and interpretation: JM,
36 ZZ, BZ. Drafting of manuscript: FNKK, JM, ZZ. Critical revision: FNKK, ZZ, JM.
37
38 Project Administration: FNKK. All authors read and approved the final manuscript.
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47 Conference on Rehabilitation (PPCR) on 17th-18th November 2018 in Hong Kong.
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1 **Legends**

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4 Fig. 1 Experimental setup of mirror therapy and tDCS stimulation.

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6 Fig. 2 CONSORT diagram of subjects throughout the study.

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9 Fig. 3 T1-T0, T2-T0 change scores for the FMA-UE, ARAT, and BBT among
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12 three groups.

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15 Table 1 Demographics and baseline characteristics.

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18 Table 2 Within-group (pretest, posttest, and follow-up) and between-group
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21 comparisons (Group 1, Group 2, and Group 3).
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Appendix. Customary Mirror Therapy Training Tasks for the Upper Extremity

| Corresponding levels in FTHUE-HK | Principles of movement | Examples of tasks recommended [#] |
|----------------------------------|---|---|
| Two | <ol style="list-style-type: none"> 1. Passive range of motion movement; 2. Balance the strength of flexors and extensors | <ul style="list-style-type: none"> - Passive shoulder flexion and extension with elbow extension - Passive elbow exercise with forearm supported on table - Passive wrist flexion and extension with forearm pronation and supported on table - Passive forearm pronation and supination |
| Three | <ol style="list-style-type: none"> 3. Improve the control of shoulder and elbow 4. Facilitate functional synergy | <ul style="list-style-type: none"> - Shoulder circular motion - Elbow extension and flexion - Passive forearm pronation and active-assistive supination with elbow flexion at 90° - Passive wrist flexion and active extension with forearm supination - Passive finger flexion and extension |
| Four | <ol style="list-style-type: none"> 1. Isolated limb segments control training 2. Grasp and release training | <ul style="list-style-type: none"> - Shoulder flexion at 90° with elbow in full extension and shoulder extension with elbow flexion - Active/active-assistive forearm pronation and supination - Wrist circumduction with elbow flexion or extension - Grasp and release exercise |
| Five | <ol style="list-style-type: none"> 1. Shoulder, elbow, and wrist coordinated movement 2. Coordinating mild grasp and release in shoulder, elbow, and wrist control training | <ul style="list-style-type: none"> - Grasping a bottle and putting it on the box ahead with shoulder flexion and elbow extension - Wrist movement in all directions - Turning over a block with forearm supination and pronation - Grasping three blocks in hand and release - Finger opposition to index and middle fingers |
| Six | <ol style="list-style-type: none"> 1. Fine motor skills training | <ul style="list-style-type: none"> - Finger opposition to ring and little |

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|--------------|----|---|--|
| | 2. | Individual finger movement | fingers |
| | 3. | Grasp and release training | - Reaching out and clipping clothes pegs |
| | 4. | Endurance training | - Small ball shifting in hand |
| | | | - Coin shifting using radial three fingers |
| Seven | 1. | Finger motor skills training | - Finger opposition in a fast manner |
| | 2. | Endurance, speed, and coordination in arm use | - Pen shifting using fingers |
| | | | - Card translation between fingers |
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#FTHUE = Functional Test for the Hemiplegic Upper Extremity