

# Locating Fatigue Damage Using Temporal Signal Features of Nonlinear Lamb Waves

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## **Abstract**

The temporal signal features of linear guided waves, as typified by the time-of-flight (ToF), have been exploited intensively for identifying damage, with proven effectiveness in locating gross damage in particular. Upon re-visiting the conventional, ToF-based detection philosophy, the present study extends the use of temporal signal processing to the realm of nonlinear Lamb waves, so as to reap the high sensitivity of nonlinear Lamb waves to small-scale damage (*e.g.*, fatigue cracks), and the efficacy of temporal signal processing in locating damage. Nonlinear wave features (*i.e.*, higher-order harmonics) are extracted using networked, miniaturized piezoelectric wafers, and reverted to the time domain for damage localization. The proposed approach circumvents the deficiencies of using Lamb wave features for evaluating undersized damage, which are either undiscernible in time-series analysis or lacking in temporal information in spectral analysis. A probabilistic imaging algorithm is introduced to supplement the approach, facilitating a presentation of identification results in an intuitive manner. Through numerical simulation and then experimental validation, two damage indices (DIs) are comparatively constructed, based respectively on linear and nonlinear temporal features of Lamb waves, and used to locate fatigue damage near a rivet hole of an aluminum plate. Results corroborate the feasibility and effectiveness of using temporal signal features of nonlinear Lamb waves to locate small-scale fatigue damage, with enhanced accuracy compared with linear ToF-based detection. Taking a step further, a synthesized detection strategy is formulated by amalgamating the two DIs, targeting continuous and adaptive monitoring of damage from its onset to macroscopic formation.

**Keywords:** temporal signal features; nonlinear Lamb waves; signal processing; fatigue damage; sparse sensor network; structural health monitoring

## 1    **1. Introduction**

2    Lamb waves, the elastic disturbance disseminating in a thin plate or shell-like structure,  
3    have been the subject of intense scrutiny over the years, based on which a diversity of  
4    nondestructive evaluation (NDE) and structural health monitoring (SHM) techniques have  
5    been deployed, in a cardinal effort to warrant the reliability, integrity and durability of  
6    aging engineering structures. Central to an increasing awareness of the use of Lamb waves  
7    is their appealing merits including the ability of promptly interrogating a large area with  
8    only a few transducers, the capacity to omni-directionally access hidden components, the  
9    high sensitivity to various types of damage, as well as the prospect for implementing  
10    *in-situ* SHM. The majority of present Lamb-wave-based NDE and SHM techniques exploit  
11    changes in the temporal signal features in the time domain, with respect to baseline signals,  
12    in the form of deviations in wave amplitude and/or phase. Of particular interests among the  
13    temporal signal features are time-of-flight (ToF) [1–3], wave reflections/transmissions [4,  
14    5], energy dissipation [6], and mode conversions [7], to name a few.

15

16    In this backdrop, the theory and interpretation of temporal features of Lamb wave signals  
17    are prevalently based on the linear elasticity – extracting signal features at the frequency  
18    band at which the probing signals are generated. In that sense, the temporal features, for  
19    example the delay in ToF, show, to some extent, linear correlation with the alteration of  
20    material or structural parameters due to the damage. Thus, they are referred to as *linear*  
21    *temporal features* of Lamb waves in what follows, and the associated signal processing  
22    exercises as *temporal features processing*. In particular, ToF, the most straightforward yet  
23    informative linear temporal features, has proven effectiveness in locating gross damage  
24    (*viz.*, the damage with a characteristic dimension comparable to the wavelength of the  
25    probing waves) such as open cracks, through-holes, and voids [8, 9].

26

27 Yet, insofar as observed, the sensitivity of linear temporal features of Lamb waves is  
28 substantially restricted and wavelength-dependent. When used to characterize undersized  
29 damage, such as barely visible fatigue cracks or material degradation prior to the formation  
30 of discernable, macroscopic damage, these linear temporal features may become less  
31 sensitive. This is because inconspicuous damage (much smaller than the probing  
32 wavelength) would hardly alter linear temporal features and incur notable wave scattering  
33 phenomena. As a remedial measure, one can increase the excitation frequency of probing  
34 waves to achieve a reduced wavelength, but this is at the expense of introducing additional  
35 complexity to the signal appearance owing to the multimodal and dispersive properties of  
36 waves at higher frequencies. Therefore, when dealing with small-scale damage, linear  
37 temporal features of Lamb waves may compromise their effectiveness and accuracy.

38

39 As opposed to using linear temporal features, continued efforts have been casted to explore  
40 the nonlinear features of Lamb waves, with a hope to enhance the detectability of  
41 small-scale damage or even material degradation. When the probing Lamb waves traverse  
42 an elastic medium, the inherent nonlinearities of the medium and additional nonlinearities  
43 arising from possible damage can distort the probing waves. This results in a range of  
44 nonlinear attributes in the acquired Lamb wave signals, as evidenced at twice, thrice or  
45 higher-fold the probing frequency (a.k.a. fundamental frequency) – termed higher-order  
46 harmonics [10–14]; or at half of the probing frequency – comparatively called  
47 sub-harmonics [15]; or at mixed frequencies when another excitation (rather than the  
48 fundamental frequency) is used to modulate the probing waves (*e.g.*, spectral sidebands in  
49 nonlinear wave modulation spectroscopy) [16–19], *etc.* These nonlinear attributes can be  
50 locally intensified when the probing Lamb waves pass through the damaged region where

51 the damage-induced nonlinearities exist. For example, according to the “breathing crack  
52 model” under cyclic loads [20], when a crack closes, compressive and shear stresses of  
53 propagating waves are transmitted through the crack; when the crack opens during dilation,  
54 waves are partially decoupled. These will jointly lead to a local nonlinearity widely  
55 recognized as the *contact acoustic nonlinearity* (CAN). The higher-order harmonics  
56 generated therein, especially the second-order harmonic (as the third- and higher-order  
57 harmonics are usually too weak in magnitude to be perceptible in the signals), have gained  
58 prominence in characterizing undersized fatigue damage [11–14]. As fatigue damage  
59 introduces such local nonlinearities in the material when interacting with probing waves,  
60 the magnitude of the second-order harmonics in a captured Lamb wave signal can  
61 accordingly serve as an indicator to the presence of fatigue damage in the monitored  
62 structure. In addition, as the mechanism of this kind of detection is based on nonlinear  
63 features of Lamb waves in the frequency domain, its effectiveness is, in principle, less  
64 restricted by the probing wavelength than using linear techniques. Input waves in a  
65 moderate frequency range can entertain the demand of the evaluation of small-scale  
66 damage.

67

68 However, to put it into perspective, identification, extraction, and interpretation of  
69 nonlinear features of Lamb waves in these approaches are usually implemented via a  
70 spectral analysis in the frequency domain, at the expense of losing temporal signal features  
71 such as ToF. Consequently, this creates an obvious barrier to reaching quantitative damage  
72 localization. Among a limited number of exceptions, Kim *et al.* [21] explored the spatial  
73 variation of the normalized acoustic nonlinearity parameter of longitudinal waves  
74 associated with various propagation paths of different distances to a fatigue fracture site.  
75 Such a correlation was then extended to Lamb waves later [22], accounting for a variety of

76 wave propagation lengths and angles of incidence. Nevertheless, because this nonlinearity  
77 parameter would decrease rapidly to an uninformative level as the sensing path moves  
78 away from the damage site, this approach entails a dense sensor network configuration  
79 with sensors deliberately and strategically positioned. Thus, it diverged from the paradigm  
80 of SHM which preferably uses sparse sensor networks with minimum intrusion to the host  
81 structure. On the other hand, in order to perceive weak nonlinear features of Lamb waves,  
82 handheld bulky wedge ultrasonic transducers are usually used, to be manipulated in a  
83 narrow frequency band with a concentrated intensity. All of these have posed another  
84 challenge towards practical realization of *in-situ* SHM. Last but not least, nonlinear  
85 features of Lamb waves can be much more prone to environmental and instrumentation  
86 noise than their linear counterparts [14], raising concerns on their applicability in  
87 real-world scenarios. In quest of the possibility of using a built-in sensor network to  
88 acquire nonlinear properties of Lamb waves for small-scale fatigue damage detection, the  
89 authors of this paper [12, 14, 23] employed miniaturized piezoelectric wafers to form an  
90 active sensor network in a sparse configuration, with which the above-addressed  
91 correlation between the nonlinearity parameter and the distance from a sensing path to a  
92 fatigue damage site was investigated. This series of studies has extended damage  
93 characterization using nonlinear properties of Lamb waves to *in-situ* SHM.

94

95 To combine the respective merits of *temporal features processing* and *nonlinear features* of  
96 Lamb waves while circumventing their recognized limitations, in this study the nonlinear  
97 features of Lamb waves (*i.e.*, second-order harmonics), acquired via a sparse sensor  
98 network and extracted with a time-frequency analysis, are interpreted through ToF-based  
99 temporal features processing, in an attempt to achieve quantitative localization of  
100 small-scale fatigue damage. Two probability-based damage indices (DIs) are

101 comparatively constructed using the linear and nonlinear features of Lamb waves,  
102 respectively, and are used to characterize fatigue damage near the rivet hole of an  
103 aluminum plate, in both numerical simulation and experiment. It is then a corollary to  
104 amalgamate the two Dis, in order to further shape a damage detection and monitoring  
105 strategy with a capacity of accommodating damage in different scales (from its onset to  
106 macroscopic formation). Instead of chunky wedge transducers, miniaturized lead zirconate  
107 titanate (PZT) wafers are adopted to form the sparse sensor network, as a critical step  
108 toward the implementation of *in-situ* and cost-effective SHM [24].

109

## 110 **2. Nonlinear features of Lamb waves**

111 Although nonlinear Lamb waves have been addressed in a considerable amount of  
112 literature, it is incumbent on us to recapitulate their key aspects related to damage detection,  
113 prior to the development of a DI based on the nonlinear Lamb waves. An elastic medium  
114 possesses, by nature, certain types of sources producing nonlinearities that can distort  
115 elastic waves guided by the medium, including mainly the medium material itself,  
116 damage-driven plasticity, geometric effect, loading conditions, breathing crack behaviors,  
117 hysteresis, frictional and thermal effects of crack faces, and so on. To exploit the nonlinear  
118 features associated with second harmonics, the probing Lamb waves are often excited at a  
119 monochromatic frequency (denoted by  $f_E$ ). Should the probing waves be modulated by the  
120 nonlinearities of the medium and/or from the damage, additional spectral components  
121 would presumably appear at twice the excitation frequency, *i.e.*,  $2f_E$ , in the spectrum, which  
122 are the corresponding second harmonics of the probing waves. Identification of damage, at  
123 either qualitative or quantitative level, can be fulfilled by extracting and calibrating the  
124 second harmonics-related nonlinear features of acquired Lamb wave signals.

125

126 In its intact condition, the material exhibits some sort of weak mesoscopic nonlinearity  
127 over the entire volume [25]. As fatigue damage emerges, microstructure defects such as the  
128 dislocations accumulate under repetitive loads, and then form persistent slip bands that  
129 may nucleate micro-cracks, which, at the grain boundaries, produce micro-cracks on the  
130 scale of millimeters. Finally, micro-cracks coalesce and grow into macroscopic cracks that  
131 propagate through the material. This process results in a variety of localized, yet more  
132 pronounced nonlinear behaviors in the vicinity of fatigue damage site, strengthening the  
133 nonlinear effects of the probing waves. Detailed studies on the types of damage-related  
134 nonlinearities and the mechanisms of higher-order harmonic generation can be found  
135 elsewhere [25–30]. Thus, it can be assumed the damage-induced nonlinearities, reflected in  
136 the captured wave signals, can serve as a localized indication of fatigue damage.

137

138 The nonlinearity parameter, denoted by  $\beta$  in what follows, is a frequently used measure to  
139 calibrate the nonlinearity of Lamb waves and other ultrasonic waves alike. This parameter  
140 can be theoretically explained using the nonlinear stress-strain relation of a medium  
141 containing nonlinearity sources. It links the magnitude of the probing fundamental wave  
142 mode ( $\Theta_1$ ) and that of its paired second harmonic mode ( $\Theta_2$ ), according to

$$143 \quad \beta = 8\Theta_2 / (\Theta_1^2 k^2 x) \cdot \gamma, \quad (1)$$

144 where  $k$  and  $x$  are the wavenumber and propagation distance, respectively;  $\gamma$  is a scaling  
145 function of Lamb waves, regardless of the presence of damage in the medium [10].  
146 Stronger nonlinear effects around fatigue damage site is manifested by an increase in  $\beta$ .

147

148 Note that, due to the multimodal and dispersive natures of Lamb wave as illustrated by the  
149 theoretical dispersion curves shown in Fig. 1 (using aluminum as an example), only  
150 particular wave modes excited at prudentially selected frequencies could be exploited, so



151 as to ensure a prominent, cumulative second harmonic generation. A rich body of research  
152 has gone into this issue and provided selection criteria for wave mode and excitation  
153 frequency. At a rudimentary level, the probing fundamental mode at  $f_E$  and its paired  
154 second harmonic mode at  $2f_E$  should share roughly the same phase and group velocities,  
155 respectively, a condition termed *synchronism*, with non-zero power flux from  $f_E$  to  $2f_E$  [27].  
156 In line with this, the Lamb wave mode pair ( $S_1$ ,  $S_2$ ), as marked in Fig. 1, can be a candidate,  
157 of which the first-order symmetric mode  $S_1$  is excited at a frequency-thickness product of  
158 circa 3.59 MHz·mm and travels at a group velocity of about 4,375 m/s, and  $S_2$ , the  
159 second-order symmetric mode at 7.18 MHz·mm, is the corresponding second harmonics of  
160  $S_1$  with the same phase and group velocity. Another advantage of using the ( $S_1$ ,  $S_2$ ) pair  
161 relies on that  $S_1$  and  $S_2$  feature the highest velocities, at  $f_E$  and  $2f_E$ , respectively, so that  
162 they can be easily identified in the time domain.

163

### 164 **3. Temporal processing for nonlinear features of Lamb waves**

#### 165 *3.1. Principle of ToF-based temporal features processing*

166 ToF is defined as the duration for a specific wave packet to travel a certain distance, and is  
167 one of the most representative yet straightforward temporal features to be retrieved from a  
168 Lamb wave signal. The attributes of ToF reflect, to some extent, a linear correlation with  
169 damage parameters such as its location. In conjunction with the use of a networked sensor  
170 array, ToF-based temporal features processing can be applied on time-series signals to  
171 facilitate damage localization. To illustrate the principle of ToF-based temporal features  
172 processing, a sensing path,  $T_i - T_j$  ( $i, j = 1, 2, \dots, N, i \neq j$ ), from part of a sensor network  
173 composed by  $N$  PZT transducers is shown schematically in Fig. 2. Using Cartesian  
174 coordinates, the actuator  $T_i$ , the sensor  $T_j$ , and the center of the damage are supposed to be

175 situated at  $(x_i, y_i)$ ,  $(x_j, y_j)$ , and  $(x_d, y_d)$ , respectively. A simple triangulation algorithm can be  
 176 used to describe their relationship, as

$$177 \quad \frac{\sqrt{(x_d - x_i)^2 + (y_d - y_i)^2}}{V_i} + \frac{\sqrt{(x_d - x_j)^2 + (y_d - y_j)^2}}{V_{d-s}} = t_{i-j}, \quad (2)$$

178 where  $V_i$  and  $V_{d-s}$  are the group velocities of the incident probing wave mode and the  
 179 damage-scattered wave mode, respectively, which may or may not be equal, contingent  
 180 upon whether mode conversion occurs.  $t_{i-j}$  is the ToF for the incident probing wave to  
 181 travel from the actuator to the damage, then to the sensor after damage scattering.  
 182 Therefore, Eq. (2) mathematically depicts an elliptical (provided  $V_i = V_{d-s}$ ) or an  
 183 ellipse-like (provided  $V_i \neq V_{d-s}$ ) locus, indicating all the possible damage locations  
 184 perceived by sensing path  $T_i - T_j$ . If multiple sensing paths are available, as in a sensor  
 185 network, the damage can be presumably located at the intersections of most loci.

186

### 187 *3.2. ToF-based temporal features processing – linear damage index*

188 In this study, in order to interpret linear features acquired by individual sensing paths of a  
 189 sensor network, a damage index is established across the entire inspection area, with which  
 190 the damage, if any, is expected to be “visualized” in an intuitive image. Here, the DI is  
 191 defined in terms of the probability of damage occurrence at a particular spatial node in the  
 192 inspection area, using a probabilistic imaging algorithm (PIA). PIA distinguishes itself  
 193 from traditional damage imaging techniques such as Lamb wave tomography by using a  
 194 much sparse transducer network and a faster image reconstruction algorithm [4, 31, 32]. In  
 195 PIA, the inspected region is first meshed virtually with  $P \times Q$  nodes (assuming the region is  
 196 rectangular, without the loss of generality), and projected to an image with each image  
 197 pixel corresponding to a spatial node in the inspection region. The DI at mesh point  $(x_m, y_n)$   
 198 ( $m = 1, 2, \dots, P; n = 1, 2, \dots, Q$ ) perceived by sensing path  $T_i - T_j$ , denoted by

199  $DI_{i,j}(x_m, y_n)$ , is then defined as

$$200 \quad DI_{i,j}(x_m, y_n) = 1 - \int_{-z_{mn}}^{z_{mn}} f(z) dz, \quad (3)$$

201 where

$$202 \quad f(z_{mn}) = \frac{1}{\sigma_{mn} \sqrt{2\pi}} \exp\left[-\frac{z_{mn}^2}{2\sigma_{mn}^2}\right]. \quad (4)$$

203 More specifically,  $f(z_{mn})$  is the normal distribution function that relates the probability  
204 density of damage occurrence at mesh point  $(x_m, y_n)$  to  $z_{mn}$ , the shortest distance from that  
205 node to the locus;  $\sigma_{mn}$  is the standard deviation of the relevant damage feature as a  
206 tolerance factor in the imaging process, which can be obtained from experiments and  
207 adjusted empirically. Thus, the DI defined by Eq. (3) quantifies the probability of damage  
208 occurrence at each node, with respect to the locus obtained from every sensing path in the  
209 sensor network. It also implies that the closer one node is to the locus of a particular  
210 sensing path, the higher the probability of damage is at that mesh node. This raw DI  
211 depicts a source image that highlights all the possible damage locations determined by that  
212 sensing path defining such a locus.

213

214 Taking a step further by integrating information from all sensing paths in the network, the  
215 ultimate diagnostic image can be produced using an image fusion scheme based on the  
216 arithmetic mean of raw DIs, as

$$217 \quad DI_{Genre} = \frac{1}{N(N-1)} \sum_{i,j=1, i \neq j}^N DI_{i,j}. \quad (5)$$

218 Here, the subscript “Genre” can be substituted by “L” (*i.e.*,  $DI_L$ ) in the case that it is  
219 derived from ToF – the *linear temporal features* of Lamb waves. In the ultimate image,  
220 pixels with remarkably high field values would pinpoint the damage location, even the  
221 shape or orientation of the damage zone(s), to provide quantitative depiction of damage.

222

223 Nevertheless, it is noteworthy that this localization process, based on a linear damage  
224 index using ToF-based temporal features processing, is most effective only when the  
225 damage is gross enough, compared to the probing wavelength, so that the gross damage  
226 can warrant notable wave scattering and prominent changes in linear temporal features to  
227 be distinguished intelligibly in the time domain.

228

### 229 *3.3. Temporal features processing for nonlinear Lamb waves – nonlinear damage index*

230 Waking up to the advantages of temporal features processing for damage localization as  
231 well as its limitation towards detection of undersized damage, the above linear DI is  
232 “transplanted” into the domain of nonlinear Lamb waves, to make use of the high  
233 sensitivity of nonlinear Lamb waves to small-scale damage. In essence, efforts are made to  
234 extract temporal information of indicative events during wave propagation, not only at the  
235 fundamental frequency to explore conventional ToF information, but also at the  
236 corresponding double frequency to exploit nonlinear harmonics of Lamb waves.

237

238 More specifically, a selective mode, for example  $S_1$ , is excited at a central frequency of  $f_E$   
239 through a PZT wafer in the sensor network (to meet the criteria of *synchronism* and  
240 *non-zero power flux* for cumulative harmonic generation as mentioned earlier); the  
241 propagating waves are then captured by the rest wafers in the network, in the benchmark  
242 (intact) and current (damaged) statuses, respectively. Subsequently, a short-time Fourier  
243 transform (STFT) is performed on all the signals to develop time-frequency spectrograms,  
244 from each of which two energy profiles are retrieved at  $f_E$  and  $2f_E$ , denoted by  $E_{f_E}(t)$  and  
245  $E_{2f_E}(t)$ , respectively. On one hand, an indicative event at  $2f_E$ , for instance an abrupt energy  
246 hump detected in  $E_{2f_E}(t)$  in the current status, may be found deviating from its

247 benchmark counterpart. Such an energy packet, identified as the  $S_2$  mode, is generated  
248 exclusively when the incident  $S_1$  wave is nonlinearly distorted by the damage, which acts  
249 as a new source that “emits” second harmonics at  $2f_E$ . On the other hand,  $E_{f_E}(t)$  at  $f_E$  in  
250 the current status is anticipated to have trivial deviations from its benchmark counterpart,  
251 because undersized damage would not incur prominent changes in temporal features of  
252 Lamb waves at  $f_E$ , until the damage size approaches the wavelength of the fundamental  
253 mode to induce significant damage scattering. In short, constructing  $E_{2f_E}(t)$  at  $2f_E$   
254 essentially translates damage information exposed in the frequency domain back into the  
255 time domain where the location information can be retrieved (a detailed signal processing  
256 procedure is to be elaborated in Section 4.3).

257

258 Figure 3 illustrates this proposed detection rationale using the selected mode pair ( $S_1$ ,  $S_2$ ),  
259 which derives itself from the framework of the linear technique as exhibited in Fig. 2, yet  
260 with distinct mechanism and substantially improved effectiveness in detecting undersized  
261 damage. Now, Eq. (2) can be readily applied to the nonlinear circumstance, in which  
262  $V_i = V_{d-s} = V_{S_1} = V_{S_2}$  (according to the criteria of *synchronism*). The subsequent steps  
263 would be identical to the linear features processing elaborated in Section 3.2.

264

265 Ultimately, this leads to a damage index based on nonlinear attributes of Lamb waves,  
266 which is comparatively denoted by  $DI_{NL}$ , to be computed using Eq. (5) with the subscript  
267 “Genre” replaced by “NL” this time, meaning a DI that uses ToF of nonlinear features of  
268 Lamb waves.

269

#### 270 4. Proof-of-concept simulation

271 To examine the benefit of the proposed approach, the constructed linear and nonlinear DIs  
272 ( $DI_L$  and  $DI_{NL}$ , respectively) are applied comparatively on the data from finite element (FE)  
273 simulation first, to evaluate a fatigue crack, 1 mm in its length, in an aluminum plate.

274

##### 275 4.1. FE model

276 The considered aluminum plate (6061-T6), measuring  $450 \times 300 \times 3.18$  mm<sup>3</sup>, is shown  
277 schematically in Fig. 4(a). For convenience of discussion, a coordinate system is defined  
278 with its origin at the center of the plate. A rivet round hole with a diameter of 10 mm  
279 centered at (25 mm, 45 mm) is produced to serve, in an engineering context, as a fatigue  
280 crack initiator. The model is created in ABAQUS<sup>®</sup>/CAE and subsequently analyzed in  
281 ABAQUS<sup>®</sup>/EXPLICIT using a dedicated modeling technique developed by the authors  
282 previously [22]. In this modeling technique, the material, geometric, and plasticity-driven  
283 nonlinearities are modeled by a modified nonlinear stress-strain relation through a  
284 subroutine VUMAT. In particular, an extra local nonlinearity parameter is added to the  
285 global nonlinearity parameter in the vicinity of the fatigue damage (by calling a second set  
286 of property values in the same subroutine [22]), in order to reflect the plasticity-driven  
287 nonlinearity near fatigue damage site.

288

289 Four circular PZT wafers (denoted by  $T_i$ ,  $i = 1, 2, 3, 4$ ), 8 mm in diameter for each, are  
290 collocated on one side of the plate as actuators and sensors, forming an active sensor  
291 network and rendering six sensing paths. The 1-mm long fatigue crack is modeled as a  
292 through-thickness seam, running down from the bottom of the hole in  $Y$  direction with an  
293 initial clearance of zero between the two crack faces, with the in-plane midpoint of the  
294 crack approximately at (25 mm, 39.5 mm). The crack is enabled with the “breathing”

295 behavior when the probing waves traverse it. Furthermore, a contact-pair interaction with  
296 associated properties is defined on the crack interface to supplement the modeling of CAN  
297 mentioned in Section 2. Three-dimensional eight-node brick elements in ABAQUS®  
298 (C3D8R), each sized at 0.2 mm in the in-plane dimensions, are used to mesh the plate, as  
299 displayed in Fig. 4(b). The PZT wafers are modeled by a thin disk made up of four  
300 elements, which are tied to the plate surface [33]. 15.5-cycle Hann-windowed sinusoidal  
301 tone bursts at  $f_E = 1,130$  kHz are selected in accordance with the mode selection criteria  
302 detailed in Section 2, to excite the probing waves by imposing uniform in-plane radial  
303 displacements on the actuator's periphery. According to Fig. 1 and given the plate  
304 thickness of 3.18 mm, this particular frequency excites  $S_1$  as the probing fundamental  
305 mode (which then enables cumulative second harmonic generation of  $S_2$  for developing  
306  $DI_{NL}$ ). Structural responses are acquired by the other three sensors in the form of in-plane  
307 elemental strains. The above excitation-acquisition procedure is repeated on each wafer in  
308 turn so that twelve signals are collected from these six paths.

309

#### 310 4.2. Linear $DI$ results

311 Although there are no clear restrictions on the selection of the excitation frequency to  
312 construct  $DI_L$  in Eq. (5), a frequency would be considered appropriate if it is able to  
313 achieve good signal recognizability, reduced wave dispersion, concentrated energy, a small  
314 number of co-existing wave modes, and most importantly, sufficient sensitivity to the  
315 damage to be detected. In this regard, the frequency of 1,130 kHz (to be selected for  
316 constructing  $DI_{NL}$  later, according to the criteria of synchronism) gives rise to sound signal  
317 recognizability with well separated wave packets along all sensing paths.

318

319 As representative results, Fig. 5(a) shows the time-domain signals acquired via  $T_1 - T_4$

320 from the plate, in both benchmark and current (fatigue damaged) statuses. As can be seen,  
321 there are no apparent damage-scattered waves in the current signal, due to the minute scale  
322 of the crack compared to the probing wavelength. This finding confirms that even at such a  
323 high frequency (1,130 kHz), the probing wavelength of  $S_1$  (~3.9 mm) is still not small  
324 enough to characterize the crack at such a scale (~1 mm). As a result, extraction of linear  
325 signal features (*i.e.*, ToF) of damage-scattered wave component becomes vain. For this  
326 reason, a relatively large value for  $\sigma_{mn}$  (from Eq. (4)) is obtained, representing the lack of  
327 confidence in the chosen damage feature. With calculated  $DI_L$  using Eq. (5), the ultimate  
328 diagnostic image is shown in Fig. 5(b), which renders false diagnosis on the damage's  
329 location.

330

### 331 *4.3. Nonlinear DI results*

332 In parallel with the linear DI analysis, the same time-domain signals are reinvestigated.  
333 STFT is performed on all the signals in both benchmark and current statuses, as  
334 exemplified by the one shown in Fig. 6(a) for  $T_1 - T_4$  in the current status (whose  
335 benchmark counterpart looks very similar at this stage). The window size for STFT is  
336 selected at 512 so as to achieve a compromise between the temporal and spectral  
337 resolutions.

338

339 The energy profiles,  $E_{f_E}(t)$  at  $f_E$  and  $E_{2f_E}(t)$  at  $2f_E$  for both statuses, are plotted as a  
340 function of time, shown in Figs. 6(b) and 6(c), respectively. The almost identical profiles of  
341  $E_{f_E}(t)$  in Fig. 6(b) (dotted *vs.* solid), irrespective of the fatigue crack's occurrence,  
342 confirms the previous finding that no significant damage scattering has occurred in the  
343 time domain, because the scale of the damage is much smaller than the probing  
344 wavelength.



345

346 In the meantime, second harmonic features can be observed even in the benchmark profile  
347 in Fig. 6(c) (prior to the occurrence of fatigue damage), because the weak mesoscopic  
348 nonlinearity of the intact medium, as well as the mathematical nonlinearities involved in  
349 the FE program, also contribute to the generation of harmonics. Notably, however, none of  
350 these nonlinearities originates from the damage. These second harmonics are found at any  
351 excitation frequency whatsoever, and thus they are deemed irrelevant to the cumulative  
352 generation mechanism (i.e.,  $S_1 \rightarrow S_2$ ) described earlier.

353

354 Nevertheless, an extra hump can be clearly observed in  $E_{2f_E}(t)$  at  $2f_E$  for the damaged  
355 case, as shown by the dotted line in Fig. 6(c), deviating obviously from the benchmark  
356 (solid line), which can only be attributed to the presence of damage showing nonlinear  
357 traits. This particular packet is thus considered the  $S_2$  mode generated as the paired second  
358 harmonics of  $S_1$  upon its interaction with the fatigue damage, which arrives after the  
359 first-arrival  $S_1$  as a result of the “detour”, as illustrated schematically in Fig. 3.

360

361 Note that the first  $S_1$  mode at  $f_E$ , arriving at  $t_1$  in Fig. 6(b), is coupled by other nonlinear  
362 modes at  $2f_E$  due to non-damage-related nonlinearities (e.g., from the material and the FE  
363 program as explained earlier), which are contained in the first couple of packets arriving at  
364  $t_1'$  as shown in Fig. 6(c). Theoretically, at  $2f_E$ , the fastest mode ( $S_2$ ) is supposed to have the  
365 same velocity as  $S_1$ ; however, a slight difference is observed between  $t_1$  and  $t_1'$ , which can  
366 be interpreted by the time smearing effect of STFT, especially when the size of the chosen  
367 time window is relatively large and the frequency of interest is high. This smearing effect  
368 tends to stretch out the tails of the energy profile of a wave packet with respect to its peak,  
369 which remains much steadier in time. To take this into account and to achieve a more

370 accurate extraction of temporal features, the arrival time of the peak of wave packet, rather  
371 than its initial arrival time  $t_1$  or  $t_1'$ , is used, so is the case for identifying ToF for the  
372 damage-induced  $S_2$ . Now, following Eqs. (2) through (4),  $DI_{NL}$  can be calculated for this  
373 path using Eq. (5), based on which a raw source image is generated, as displayed in Fig.  
374 6(d). Finally, by fusing individual source images obtained from all available sensing paths  
375 in the network, the ultimate diagnostic image is produced as Fig. 6(e). The imaging factor  
376  $\sigma_{mn}$  is further adjusted so that a compromise is achieved between detection accuracy and  
377 noise tolerance. Furthermore, a threshold  $\kappa$  can be applied to reinforce the identification  
378 result. Here,  $\kappa$  is a preset percentage of the maximum field value of the ultimate image  
379 such that any field value less than the threshold level is forced to approach zero. Fig. 6(f)  
380 shows the improved image with  $\kappa = 90\%$ , where the location of the fatigue crack is  
381 precisely highlighted and distinguished from the rivet hole. It is also relevant to emphasize  
382 that the highlighted damage region is corresponding to the fatigue crack initiated from the  
383 rivet hole, rather than the rivet hole itself. This result verifies the feasibility and  
384 effectiveness of the proposed localization algorithm using ToF-based temporal features  
385 processing of nonlinear Lamb waves.

386

387

## 388 **5. Experimental validation**

389 Subsequent to FE simulation, the proposed methodology is examined experimentally by  
390 evaluating a hairline fatigue crack in an aluminum plate with the same configuration as that  
391 in simulation.

392

393 *5.1. Specimen and measurement set-up*

394 Four PZT wafers, 8 mm in diameter for each, are surface-mounted on an intact aluminum  
395 (6061-T6) plate as photographed in Fig. 7(a), which is consistent with the one considered  
396 in FE simulation as given in Fig. 4(a). The wafers are instrumented to a signal generation  
397 and acquisition system using shielded wires. The same 15.5-cycle tone burst excitation, as  
398 used in the simulation, is applied on the actuator as the probing signal with a Tektronix  
399 3000C arbitrary function generator at 20 V<sub>p-p</sub>. Response signals are acquired by the other  
400 three wafers with a Tektronix 4034B mixed signal oscilloscope at a sampling rate of 100  
401 MS/s with 512-time averaging.

402

403 After the benchmark testing, the specimen undergoes a high-cycle fatigue test, subject to a  
404 sinusoidal tensile load varying from 2 to 20 kN at 10 Hz, on an Instron<sup>®</sup> 8802 fatigue  
405 platform as photographed in Fig. 7(b). To facilitate the initiation of a fatigue crack, a tiny,  
406 yet sharp notch is inscribed at the bottom edge of the rivet hole as a stress riser. After  
407 roughly 1.2-million cycles, a barely visible fatigue crack through the plate thickness and in  
408 parallel with the 300-mm side is produced, measuring about 3 mm in length, as shown in  
409 Fig. 7(c). Note that the generated fatigue crack is deliberately longer than the one  
410 considered in FE simulation, in order to compare the respective applicability of the linear  
411 and nonlinear DIs (to be detailed in Section 6). The same signal excitation and acquisition  
412 procedure is implemented on the fatigued specimen.

413

414 *5.2. Linear vs. nonlinear DIs*

415 The experimental signals, after low-pass filtering, are processed with STFT. The amplitude  
416 profiles (*i.e.*,  $E_{f_E}(t)$  at  $f_E$  and  $E_{2f_E}(t)$  at  $2f_E$ ) of two groups of representative signals  
417 (benchmark *vs.* current, via path  $T_1 - T_4$ ) are displayed in Figs. 8(a) and 8(b), respectively.

418 It can be found that the profiles, even at the fundamental probing frequency (Fig. 8(a)),  
419 exhibit some deviation after the first packet (such a deviation is not clearly observed in the  
420 simulation, Fig. 5(a)). That is because the crack in the experiment, 3-mm long, is close  
421 enough to the probing wavelength of 3.9 mm, and under such a circumstance considerable  
422 damage scattering has already occurred even in the time history. By finding the ToF of the  
423 damage-scattered waves (from the time-domain signal, or alternatively from  $E_{f_E}(t)$ ),  $DI_L$   
424 is calculated for each sensing path, and all indices from individual paths are then fused to  
425 build the ultimate diagnostic image using a  $\kappa$  of 90%, as illustrated in Fig. 8(c), where the  
426 crack location is accurately identified. Contrasting this experimental result to the image  
427 obtained from simulation using linear DI (Fig. 5(b), in which the damage could not  
428 possibly be identified even with a threshold applied), the increased size of the crack does  
429 contribute, despite the different investigation methods, at least qualitatively to the  
430 improvement of the final diagnosis using  $DI_L$ .

431

432 In parallel with the linear method, Fig. 8(b) is scrutinized again to build  $DI_{NL}$ . Note that the  
433 smearing effect at the double frequency becomes stronger than in the FE case, as the size  
434 of the time window for experimental signals is increased. Nevertheless, the enhanced  
435 second harmonics at  $2f_E$  totally stands out as a separate wave packet at  $t_2$ , in contrast to the  
436 benchmark profile, which enables a very punctual ToF extraction from  $E_{2f_E}(t)$  and  
437 demonstrates the higher sensitivity of second harmonics to fatigue damage. Similar to the  
438 imaging procedure using linear DI ( $DI_L$ ), the ultimate diagnostic image using  $DI_{NL}$  with  $\kappa =$   
439 90% is presented in Fig. 8(d), showing a good agreement with the reality. In fact, as  
440 damage size increases, macroscopic damage scattering strengthens, and the punctuality of  
441 ToFs from linear Lamb waves also improves, leading to the reduced disparity of imaging  
442 quality between the linear and nonlinear techniques. Note the degree of this punctuality is

443 reflected in imaging as the sharpness of damage loci.

444

## 445 **6. Synthesized DI and discussion**

446 In the above, ToF-based temporal features processing is applied in parallel on the linear  
447 and nonlinear signal features of acquired Lamb waves. It has been found that, for smaller  
448 damage,  $DI_{NL}$  outperforms its linear counterpart  $DI_L$  by virtue of its higher sensitivity.  
449 However, this sensitivity roots in the fact that the energy level at the double frequency is  
450 significantly lower than that at the fundamental frequency in the first place. If the signal  
451 noise, especially at higher bands, increases to a considerable magnitude, as they probably  
452 would in real-world scenarios, useful second harmonics features may be inundated, leaving  
453 the nonlinear DI less credible. In this regard, linear techniques are more noise-tolerable  
454 with better adaptivity. It is thus a corollary to develop a synthesized damage detection and  
455 SHM strategy by combining the linear and nonlinear DI, so as to reap their respective  
456 merits, making the methodology potentially more effective to damage of various  
457 dimensions in changing ambient environments.

458

459 In this study, a synthesized damage index,  $DI_S$ , is developed using a weighted average of  
460  $DI_L$  and  $DI_{NL}$  defined by Eq. (5), as

$$461 \quad DI_S = w_L \cdot DI_L + w_{NL} \cdot DI_{NL}, \quad (6)$$

462 where  $w_L$  and  $w_{NL}$  are the weights of  $DI_L$  and  $DI_{NL}$ , respectively, which sum to 1. For a  
463 better resolution,  $DI_S$  can then be normalized against itself. The weights are assigned based  
464 on the stage of damage and/or the noise level. For a relatively healthy plate, a large  $w_{NL}$  is  
465 preferred at the beginning of the monitoring process in order to pinpoint any potential  
466 small-scale damage. If the crack, under continuous monitoring, keeps growing, or if  
467 ambient noise becomes significant,  $w_L$  can be gradually increased to improve the

468 noise-tolerance of the diagnosis while maintaining its sensitivity.

469

470 Figure 9 provides three examples of the diagnostic results in simulation when the crack is  
471 small (1-mm long) and in the absence of noise, in which  $w_{NZ} = 0, 0.4,$  and  $0.5,$  respectively.  
472 As can be seen, when the crack is too small to be accurately depicted solely by  $DI_L,$  small  
473 adjustments of the weights can make immediate improvement to the ultimate image using  
474 the synthesized DI. When  $w_{NL} = 0.5$  and beyond, the damage can be indicated precisely.

475

476 To simulate the impact of noise on  $DI_S,$  different levels of white noise (up to 50 MHz) are  
477 added to the raw signals (benchmark and current) obtained from the experimental study,  
478 where the 3-mm crack is large enough to be characterized by both  $DI_L$  and  $DI_{NL}.$  The noise  
479 level is approximately 1–2% of the maximum amplitude of each signal, which is  
480 substantially larger than the original noise presented, if any. In the example of path  $T_1 - T_4,$   
481 it is found that after band-pass filtering and STFT, the new amplitude profiles at the  
482 fundamental frequency is hardly impacted, as shown in Fig. 10(a), which would lead to a  
483 very similar ToF of damage-scattered waves as from Fig. 8(a). However, the new  
484 amplitude profiles at the double frequency start to deviate from each other considerably  
485 earlier, because signal noise has been increased to a level that is large enough to  
486 contaminate any useful second harmonics, which may otherwise have indicated the  
487 reinforcement of nonlinearity. Note that this noise contamination may either randomly  
488 increase or decrease the level of second harmonics of the current signal relative to the  
489 benchmark. Consequently, the ToF determined from Fig. 10(b) tends to be smaller than the  
490 value retrieved from Fig. 8(b), due to the early involvement of increased noise (after the  
491 first-arriving  $S_1$ ). In such a situation, a synthesized DI may be utilized to improve the  
492 effectiveness and flexibility of the monitoring strategy.

493

494 Figure 11 further illustrate the diagnostic results from the noise-contaminated experimental  
495 signals, when  $w_{NL} = 1, 0.75,$  and  $0.4,$  respectively. As predicted, a sole nonlinear analysis  
496 renders false diagnosis, as second harmonics are quite noise prone. As the weight of  $DI_L$   
497 increases, the diagnosis quality of the image improves rapidly; at  $w_{NL} = 0.4,$  the crack has  
498 been able to be identified relatively accurately. Indeed, it is envisaged from the analysis  
499 above that challenges could still remain in the detection of emerging small-scale damage in  
500 an environment that is noisy in the first place, or under conditions with such as varying  
501 temperature that may adversely impact the performance of the technique. However, with  
502 the proposed  $DI_S$  a tradeoff can be manipulated between noise tolerance and detectability  
503 of small-scale damage to maximize applicability.

504

## 505 **7. Conclusions**

506 Temporal signal features (*e.g.*, ToF) of linear and nonlinear Lamb waves are investigated  
507 for small-scale fatigue damage localization in an aluminum plate, using a sparse PZT  
508 sensor network that is conducive to the implementation of *in-situ* SHM. Two damage  
509 indices, based respectively on linear and nonlinear temporal features of Lamb waves, are  
510 established through a probability-based diagnostic imaging algorithm. Case studies are  
511 conducted in FE simulation and experiments on fatigued aluminum plates bearing cracks  
512 of two different lengths. While the linear technique, using ToF of damage-scattered waves,  
513 is more noise-proof and effective with gross damage, it generally fails to identify a fatigue  
514 crack whose size is much smaller than the probing wavelength. ToF-based temporal  
515 features processing of nonlinear Lamb waves can greatly facilitate the localization of  
516 small-scale damage quantitatively. A synthesized DI is therefore proposed to combine the  
517 superior sensitivity of nonlinear Lamb waves to fatigue damage with the high

518 noise-tolerance of linear technique, through which the weights of the individual linear and  
519 nonlinear DIs are mutually complemented, to cope with increasing crack size or varying  
520 noise level, enabling the approach for continuous, adaptive SHM in practice, in which the  
521 potential influences of crack growth and ambient conditions are of increasing concern.

522

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