

1       **Modeling the Effects of Rainfall Intensity on the Heteroscedastic**  
2                                   **Traffic Speed Dispersion on Urban Roads**

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Jian Li<sup>1,2</sup>, William H.K. Lam<sup>3</sup>, and Xingang Li<sup>4,5</sup>

5

6

7       **Abstract**

8

9       The heteroscedasticity refers to a collection of random variables with sub-population  
10       that have different dispersions from others. The variable dispersion could be quantified  
11       by measures of statistical dispersion such as standard deviation or coefficient of  
12       standard deviation. This study aims to model the effects of rainfall intensity on the  
13       heteroscedastic traffic speed dispersion on urban roads. The traffic and rainfall intensity  
14       data were collected by a selected video traffic detector and its nearest rainfall station in  
15       Hong Kong, respectively. The coefficient of variation of speed (*CVS*) was employed to  
16       measure the vehicular traffic speed dispersion. The analysis shows that the empirical  
17       values of *CVS* typically range from 0.05 to 0.2 at different traffic densities and rainfall  
18       intensities, and the exponential function provides a good fit to traffic speed data under  
19       both dry and rain conditions. A generalized function of *CVS* with the effects of rainfall  
20       intensity is proposed, calibrated and validated with different sets of empirical data. The  
21       calibration and validation results show that the proposed generalized function of *CVS*  
22       fits well with the empirical data. The empirical findings and the generalized function of  
23       *CVS* proposed in this study may benefit for assessing and modeling the level-of-service  
24       performance of urban roads in Pacific Rim cities similar to Hong Kong with relatively  
25       high annual rainfall intensity.

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28       **Keywords:** Traffic speed dispersion; Traffic speed variance; Coefficient of variation of  
29       speed; Heteroscedasticity; Rainfall Intensity.

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1       Assistant Professor, Key Laboratory of Road and Traffic Engineering of the Ministry of Education,  
School of Transportation Engineering, Tongji University, Shanghai, China (corresponding author).

Email: [jianli@tongji.edu.cn](mailto:jianli@tongji.edu.cn)

2       Research Associate, Dept. of Civil and Environmental Engineering, Hong Kong Polytechnic Univ.,  
Hung Hom, Kowloon, Hong Kong SAR.

3       Chair Professor, Dept. of Civil and Environmental Engineering, Hong Kong Polytechnic Univ.,  
Hung Hom, Kowloon, Hong Kong SAR. Email: [william.lam@polyu.edu.hk](mailto:william.lam@polyu.edu.hk)

4       Postdoctoral Fellow, Dept. of Civil and Environmental Engineering, Hong Kong Polytechnic Univ.,  
Hung Hom, Kowloon, Hong Kong SAR.

5       Lecturer, School of Traffic and Transportation, Beijing Jiaotong Univ., Beijing, China. Email:  
[lixingang@bjtu.edu.cn](mailto:lixingang@bjtu.edu.cn)

30 **Introduction**

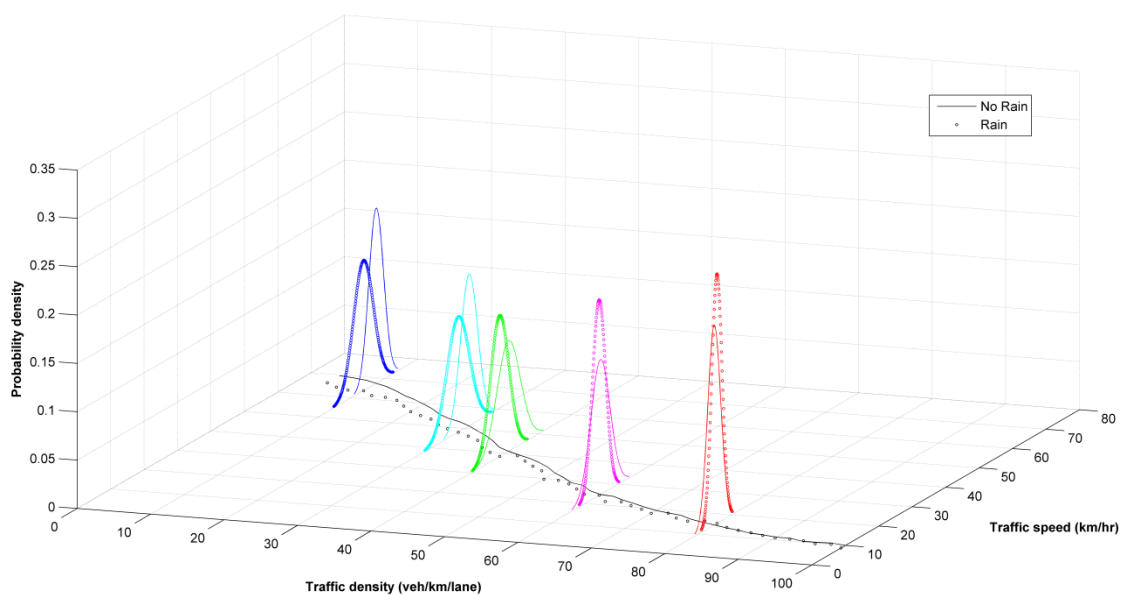
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32 Speed is a fundamental traffic performance measure of the roadway system, and speed  
33 dispersion is a key variable in traffic safety analysis. Speed dispersion, which is  
34 typically measured by speed variance or coefficient of variation of speed (*CVS*), has  
35 been found to be one of the major factors affecting traffic safety, in particular vehicle  
36 accidents. For example, recent study by Quddus (2013) shows that a 1% increase in  
37 speed variation is associated with a 0.3% increase in accident rates observed in a major  
38 road network in London.

39 Rain has significant detrimental effects on road traffic (Lam et al. 2008; Shao et  
40 al. 2008; Billot et al. 2009, 2010; Sumalee et al. 2011a), especially on traffic speed in  
41 Hong Kong (Tam et al. 2007; Lam et al. 2013) which has the highest average annual  
42 rainfall among some major Pacific Rim cities (Lam et al. 2013). Recently, Lam et al.  
43 (2013) investigated the relationships between traffic speed, flow, and density under  
44 various rainfall conditions on urban roads in Hong Kong. It has been found that rainfall  
45 intensity has significant impacts on urban road key traffic stream parameters, e.g. free-  
46 flow speed, speed at capacity and roadway capacity. Moreover, a generalized speed-  
47 flow-density function with rainfall intensity effects was proposed, and can possibly be  
48 used for assessing the performance of urban roads and modeling drivers' route choice  
49 behavior with different rainfall intensity.

50 The objectives of this study are to extend previous work of Lam et al. (2013) by  
51 investigating the heteroscedastic traffic speed dispersion on urban roads and  
52 constructing a generalized speed dispersion function with rainfall intensity effects. The  
53 heteroscedasticity, which is the absence of homoscedasticity, refers to a collection of  
54 random variables with sub-population that have different dispersions from others. The  
55 variable dispersion could be quantified by measures of statistical dispersion such as  
56 standard deviation or coefficient of standard deviation. In the particular field of traffic  
57 engineering, the heteroscedastic traffic speed dispersion means that values of the  
58 statistical measure of traffic speed dispersion are varied at different traffic densities. Fig.  
59 1 shows an illustrative example of the heteroscedastic traffic speed dispersion under  
60 different weather conditions in Hong Kong.

61



62

63 **Fig. 1** Illustrative the heteroscedastic traffic speed dispersion in Hong Kong

64 Two features distinguish this study from the previous relevant studies. First, numerous  
65 studies (May 1990; Treiber and Helbing 1999; Wang et al. 2007; Chung and Recker  
66 2010, 2014; Li et al. 2012a; Wang et al. 2013a, 2013b) investigated the empirical  
67 characteristics of speed dispersion on different types of roadways in various cities.  
68 However, those studies were analyzed either based on dry/no rain condition or without  
69 considering the effects of adverse weather conditions. In view of the detrimental effects  
70 of adverse weather on road traffic characteristics (Lam et al. 2008; Shao et al. 2008;  
71 Billot et al. 2009, 2010; Sumalee et al. 2011a; Tam et al. 2007; Lam et al. 2013), this  
72 study attempts to investigate the effects of rainfall intensity on the heteroscedastic  
73 traffic speed dispersion on urban roads in Hong Kong. The empirical findings of this  
74 study may benefit for empirical analysis and mathematical modeling (Ngoduy 2009;  
75 Sumalee et al. 2011b; Chen et al. 2013) in other Pacific Rim cities such as Bangkok  
76 similar to Hong Kong with relatively high annual rainfall intensity.

77 Second, although previous studies investigated the empirical characteristics of  
78 speed dispersion, however, there are only a few studies (Wang et al. 2007; Chung and  
79 Recker 2010, 2014; Wang et al. 2013a, 2013b) which have recently started to examine  
80 the relationship between traffic speed dispersion and traffic density under dry and/or no  
81 rain condition. This paper aims to propose a generalized function of vehicular traffic  
82 speed dispersion with the relationship of traffic density under different rainfall  
83 intensities. The generalized function proposed in this paper can be considered as an  
84 extension of the existing studies (Wang et al. 2007; Chung and Recker 2010, 2014;  
85 Wang et al. 2013a, 2013b). In addition, the generalized function proposed in this paper  
86 may also benefit for improving the urban traffic control and management, e.g. the  
87 analysis of road traffic safety under rainy condition (e.g. Li et al. 2012b) and the impacts  
88 of adverse weather on travel time reliability (Sumalee et al. 2011a).

89 The rest of this article is divided into five sections. The second section reviews the  
90 previous related studies on the relationship between traffic speed dispersion and  
91 accident rates, the empirical characteristics of traffic speed dispersion, and the impacts  
92 of adverse weather on traffic characteristics. The third section describes the different  
93 sets of data used for calibration and validation of the generalized function proposed in  
94 this paper. The fourth section presents the empirical characteristics of traffic speed  
95 dispersion at different traffic densities and rainfall intensities. The fifth section provides  
96 the calibration and validation results of the generalized traffic speed dispersion function  
97 with taking account the effects of rainfall intensity. The final section provides  
98 conclusion together with suggestions for further study.

## 99 100 **Literature Review**

101  
102 Although numerous studies investigate the relationship between traffic speed and  
103 accidents, see Aarts and Schagen (2006) for a review, however, there are only a few  
104 studies that examined the relationship between traffic speed dispersion/variation and  
105 accidents in the past few decades. Lave (1985) firstly pointed out that “variance kills,  
106 not speed”. He used speed variance as the statistical measure of speed dispersion. It was  
107 found that accident rates were associated with traffic speed variance, not speed. Garber  
108 and Gadiraju (1989) and Taylor et al. (2000) also confirmed the above findings in Lave  
109 (1985) by using data in U.S. and U.K. respectively. Garber and Gadiraju (1989) showed  
110 that a strong relationship between speed variance and traffic accidents exists on  
111 interstate highways. While Taylor et al. (2000) collected aggregated speed and accident  
112 data in UK and found that the relationship exists on different road types, e.g. congested  
113 roads in town, inner city roads, sub-urban roads, and outer suburban roads. Recently,

114 the study by Quddus (2013) shows that a 1% increase in speed variation is associated  
115 with a 0.3% increase in accident rates observed in a major road network in London.

116 Besides the relationship between traffic speed dispersion/variation and accidents,  
117 several studies also investigated the empirical characteristics of the speed dispersion.  
118 The standard deviation of speed (SDS) and coefficient of variation of speed (*CVS*) were  
119 widely used as the indicators of speed dispersion. May (1990) indicated that *CVS*  
120 might range from approximately zero to something on the order of the reciprocal of the  
121 mean speed, and normally range from 8% to 17% in the empirical studies. Del Castillo  
122 and Benitez (1995) used *CVS* as an indicator to distinguish stationary and unstable  
123 periods of traffic flows. Shankar and Mannering (1998) investigated the relationship  
124 between lane mean speeds and speed deviations based on a structural model. The model  
125 indicated that in-lane mean speeds were positively affect in-lane speed deviations.  
126 Treiber and Helbing (1999) modeled traffic speed dispersion by assuming that *CVS* is  
127 positively correlated with traffic density. The results showed that the values of *CVS*  
128 were about 10% under the free-flow condition. Park and Ritchie (2004) investigated the  
129 relationship between freeway speed variance, lane changing and vehicle heterogeneity.  
130 The statistical analysis indicated that lane changing behavior has significant impact on  
131 section speed variability. In addition, they also pointed out that long vehicles also have  
132 considerable influence on speed variance. Wang et al. (2007) presented characteristics  
133 of speed dispersion in urban freeway traffic in Nanjing, China. The results showed that  
134 traffic density could be modeled as an exponential function of *CVS*. In addition, the  
135 values of *CVS* varied from 7% to 32%. Recently studies (Chung and Recker 2010,  
136 2014) confirmed the exponential function of *CVS* with traffic density in Wang et al.  
137 (2007) and also showed the possible application of speed dispersion in measuring  
138 freeway level of service and air emissions evaluation. Recently, Li et al. (2012a) and  
139 Wang et al. (2013a, 2013b) investigated the stochastic modeling of the fundamental  
140 diagram by correlating the traffic speed variance with traffic density and free flow speed.

141 Previous studies investigated the relationship between traffic speed dispersion and  
142 accidents, and the characteristics of traffic speed dispersion. However, those studies  
143 were all conducted in a general case without specifying the effects of weather  
144 conditions. In fact, previous studies showed that adverse weather conditions in the form  
145 of rain have significant impact on traffic characteristics (Lam et al. 2008; Shao et al.  
146 2008; Billot et al. 2009, 2010; Sumalee et al. 2011a). The traffic characteristics include  
147 free-flow speed, road capacity, speed at capacity, etc. With rainfall intensity increases,  
148 the key traffic stream parameters such as free-flow speed, speed at capacity, and road  
149 capacity (or maximum flow) decrease. The specific traffic characteristics under  
150 different rainfall intensities are critical factors affecting traffic operations and safety.  
151 Thus, integrating the impact of rain into traffic modeling and management is essential  
152 and important, especially in the urbanized area with high annual rainfall intensity, e.g.  
153 Hong Kong (Tam et al. 2007; Lam et al. 2013).

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## 155 **Data**

156

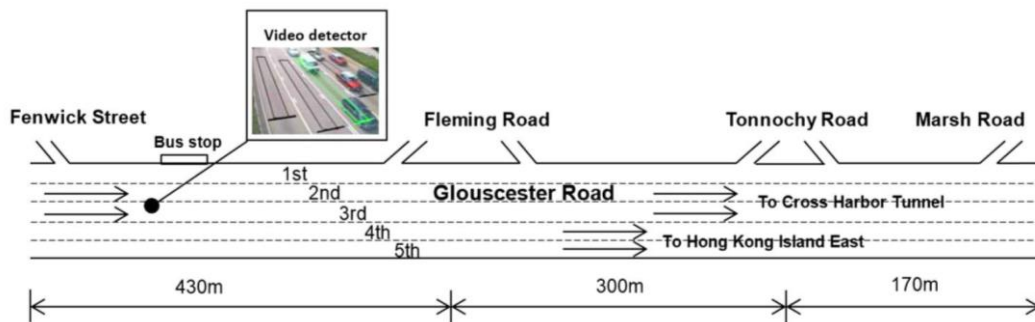
157 The traffic data used in this study was collected from Gloucester Road located in the  
158 north of Hong Kong Island. Gloucester Road is a major section of a primary distributor,  
159 which belongs to one road type of urban roads in Hong Kong with high capacity  
160 junctions, segregated pedestrian facilities and limited frontage access (Transport  
161 Department 2011). The road section, as shown in Fig. 2, is a five-lane (one-way)  
162 primary distributor with a speed limit of 70 km/h. The number of annual average daily  
163 vehicles using it was 172, 560 and 168, 560 (two-way) for years 2009 and 2010,

164 respectively (Transport Department 2010, 2011).

165 There are five traffic lanes and a bus stop in the eastbound direction in the selected  
166 road section. In this study, the study area of the selected road section was confined to  
167 the second and the third traffic lanes (see Fig. 2), which are towards the most congested  
168 tunnel, Cross Harbor Tunnel, in Hong Kong. The traffic data under different congestion  
169 conditions and rainfall intensities can be easily obtained at these two lanes for  
170 investigation and modeling of the impact of rainfall intensity on the heteroscedastic  
171 traffic speed dispersion.

172 Two types of data, traffic data (e.g., space mean speed and vehicular traffic flow),  
173 and rainfall intensity data, were collected and matched into a common database for  
174 empirical analysis of rainfall effects.

175



176

177 **Fig. 2** Schematic diagram of the selected urban road section (Lam et al. 2013)

178

### 179 *Traffic data*

180

181 Traffic data were collected by the Hong Kong Journey Time indication System (JTIS).  
182 JTIS is operated by the Hong Kong Transport Department, and provides the average  
183 journey time estimates on major routes in Hong Kong with an updating interval at every  
184 two minutes (Tam and Lam 2011). Autoscope video traffic detectors were installed at  
185 the major roads in Hong Kong to collect the real-time traffic data, such as time mean  
186 speeds, space mean speeds, and traffic counts for estimation of journey times at selected  
187 routes crossing the harbor.

188 As shown in Fig. 2, a video traffic detector is installed at the selected road section.  
189 In this study, space mean speeds and traffic flows on the two selected traffic lanes were  
190 obtained from the selected traffic detector. As the traffic density data are not available  
191 from the JTIS traffic detector dataset, the traffic density is equal to traffic flow divided  
192 by space mean speed.

193 The traffic data adopted for this study is at 2 minute time interval in the period of  
194 July 2009 to December 2010 in the selected urban road section in Hong Kong. In order  
195 to avoid possible data noise, the traffic data was aggregated at 10 minute time interval  
196 in this study.

197

### 198 *Weather data*

199

200 This study use hourly rainfall precipitation to measure the rainfall intensity. Hourly  
201 rainfall intensity data were collected from the Happy Valley rainfall station, which is  
202 the nearest rainfall station to the selected video traffic detector. The distance between  
203 the Happy Valley rainfall station and the selected traffic detector is 1.7 km. The hourly  
204 rainfall precipitation data collected at the Happy Valley station were used to represent

205 the weather conditions of the selected urban road section. The period in which rainfall  
 206 intensity data was collected is same as that in which traffic data was extracted from the  
 207 JTIS.

208 The differences between the aggregation intervals of traffic data and rainfall  
 209 intensity data were noted. In this study we assume that the rainfall intensity is uniformly  
 210 distributed in each hour time interval.

211

### 212 *Filtering of invalid data*

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214 The collected traffic data and rainfall precipitation data were filtered to ensure the data  
 215 validity. On one hand, we synchronized traffic data and weather data, and eliminated  
 216 traffic data corresponding to the missing rainfall precipitation data. Conversely, the  
 217 rainfall precipitation data corresponding to invalid traffic data was also eliminated from  
 218 the database. On the other hand, both traffic data and rainfall precipitation data collected  
 219 during traffic accidents were removed to avoid possible inaccurate traffic speed-flow-  
 220 density relationship due to the bottlenecks caused by traffic accidents (Huang et al.  
 221 2008). In this study, we assumed traffic accidents can be removed within one hour after  
 222 the occurrence of traffic accidents, and removed the corresponding traffic data and  
 223 rainfall precipitation data at that time. In addition, we also eliminated the outlier  
 224 observations due to malfunction of the traffic detector.

225

## 226 **Empirical Observations of the Heteroscedastic Traffic Speed Dispersion with** 227 **Rainfall Intensity Effects**

228

### 229 *The Statistical Measure*

230

231 The coefficient of variation of speed (*CVS*) is employed in this study to statistically  
 232 measure traffic speed dispersion. *CVS* is defined as the standard deviation divided by  
 233 the sample mean speed (May 1990). In other words, it could be considered as a  
 234 normalized standard deviation. This feature makes it widely accepted to measure traffic  
 235 speed dispersion in previous studies (May 1990; Del Castillo and Benitez 1995; Treiber  
 236 and Helbing 1999; Wang et al. 2007; Chung and Recker 2010, 2014).

237 This study uses *CVS* as a statistical indicator to measure and compare traffic  
 238 speed dispersion at different traffic densities and rainfall intensities. In order to  
 239 calculate the values of *CVS* at different traffic densities and rainfall intensities, we  
 240 divide the collected traffic data into  $m$  subgroups. For each subgroup  $i$  at traffic  
 241 density  $k_i$  and rainfall intensity  $r$ , the sample mean speed,  $\bar{v}_i(k_i, r)$ , sample speed  
 242 variance,  $s_i^2(k_i, r)$ , and sample standard deviation of speed  $s_i(k_i, r)$  can be  
 243 calculated as follows:

244

$$\bar{v}_i(k_i, r) = \frac{\sum_{m=1}^{N_i} v_m(k_i, r)}{N_i} \quad (1)$$

$$s_i^2(k_i, r) = \frac{\sum_{m=1}^{N_i} (v_m(k_i, r) - \bar{v}_i(k_i, r))^2}{N_i - 1} \quad (2)$$

$$s_i(k_i, r) = \sqrt{s_i^2(k_i, r)} \quad (3)$$

245

where  $i$  = subgroup  $i$  at traffic density  $k_i$  and rainfall intensity  $r$   
 $m$  = The speed observation  $m$  in subgroup  $i$  whereas  $1 \leq i \leq N_i$

$N_i$  = total number of speed observations in subgroup  $i$   
 $\bar{v}_i(k_i, r)$  = sample mean speed of subgroup  $i$   
 $v_m(k_i, r)$  = speed of the observation  $m$  in subgroup  $i$   
 $s_i^2(k_i, r)$  = sample speed variance of subgroup  $i$   
 $s_i(k_i, r)$  = sample standard deviation of speed of subgroup  $i$

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The value of  $\bar{v}_i(k_i, r)$  can be calculated either by the equation (1), or by a generalized speed-density function with rainfall intensity effects proposed by Lam et al. (2013), see the equation below.

$$\bar{v}_i(k_i, r) = \exp[-0.044 \cdot r^{0.296} + 4.260 - \theta(\frac{k_i}{k_j})^\delta] \quad (4)$$

251

where  $i$  = subgroup  $i$  at traffic density  $k_i$  and rainfall intensity  $r$   
 $k_j$  = jam density  
 $N_i$  = total number of speed observations in subgroup  $i$   
 $\theta, \delta$  = parameter to be calibrated  
 $\bar{v}_i(k_i, r)$  = sample mean speed of subgroup  $i$

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Then, the value of sample coefficient of variation of speed,  $CVS_i(k_i, r)$ , at traffic density  $k_i$  and rainfall intensity  $r$  can be calculated in the equation below.

$$CVS_i(k_i, r) = \frac{s_i(k_i, r)}{\bar{v}_i(k_i, r)} \quad (5)$$

256

where  $i$  = subgroup  $i$  at traffic density  $k_i$  and rainfall intensity  $r$   
 $CVS_i(k_i, r)$  = sample coefficient of variation of speed of subgroup  $i$   
 $\bar{v}_i(k_i, r)$  = sample mean speed of subgroup  $i$   
 $s_i(k_i, r)$  = sample standard deviation of subgroup  $i$

257

The value of  $CVS_i(k_i, r)$  can be interpreted as the percentage of the standard deviation of the speed sample to the sample mean speed at traffic density  $k_i$  and rainfall intensity  $r$ . As indicated by May (1990), the range of  $CVS$  might range from approximately zero to something on the order of the reciprocal of the square root of the mean speed.

263

It should be noted that, in order to use  $CVS$  as the statistical indicator to measure traffic speed dispersions at different traffic densities and rainfall intensities, the issue of sample size should be addressed. The equation (5) requires the accurate approximation of sample mean speed  $\bar{v}_i(k_i, r)$  and sample standard deviation of speed  $s_i(k_i, r)$ , respectively. In general, the approximation accuracy increases with the sample size  $N_i$  enlarged. As a practical matter, if  $N_i > 30$ , the standard deviation of the sample is numerically substituted for the standard deviation of the population (May 1990).

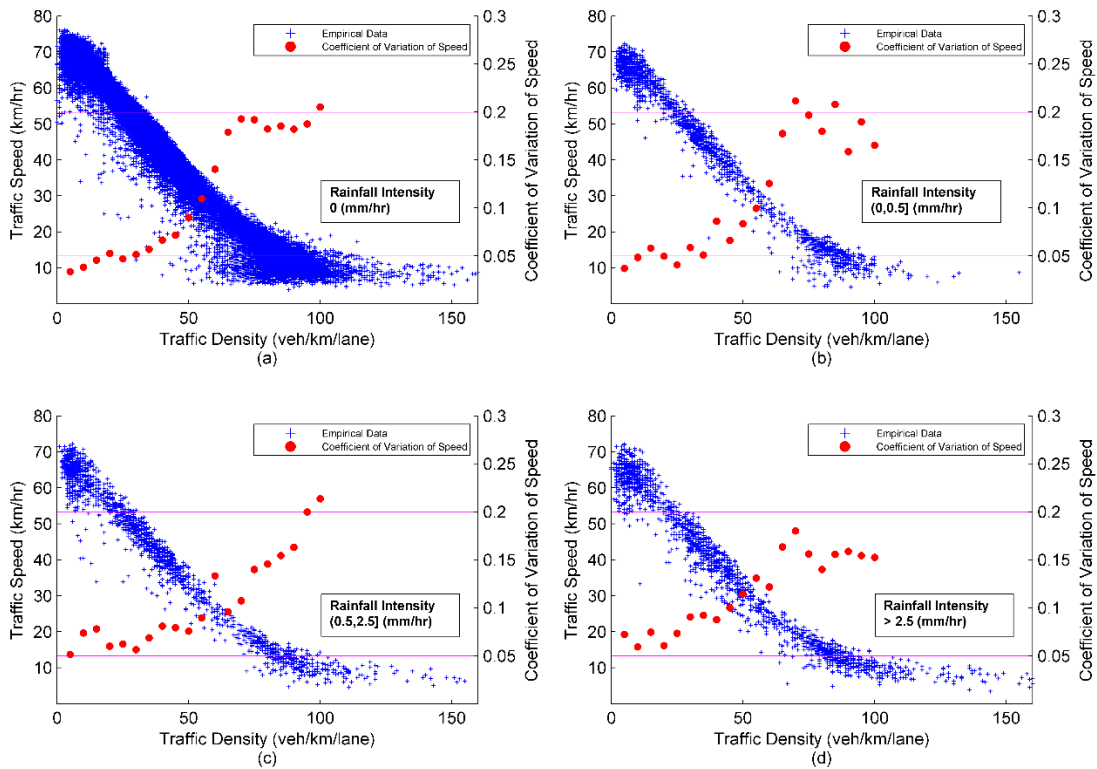
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271 **The Empirical Observations**

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273 In order to calculate the values of  $CVS_i(k_i, r)$  at traffic density  $k_i$  and rainfall  
 274 intensity  $r$  by equation (1) - (5), we firstly divide the collected traffic data into four  
 275 categories based on rainfall intensity: dry (*rainfall intensity* = 0 mm/hr), light  
 276 rain (*rainfall intensity*  $\in (0,0.5]$  mm/hr), medium rain (*rainfall intensity*  $\in$   
 277  $(0.5,2.5]$ mm/hr), and heavy rain (*rainfall intensity*  $> 2.5$  mm/hr). Then, for  
 278 each category of rainfall intensity, the collected traffic speed data is grouped with  
 279 density aggregation level 5 veh/km/lane to satisfy the sample size requirement (May  
 280 1990). Due to the limitation of samples, the values of  $CVS_i(k_i, r)$  at different  
 281 categories of rainfall intensity are only calculated till the density  $k_{20} = 100$   
 282 veh/km/lane. The empirical traffic speed data and the heteroscedastic traffic speed  
 283 dispersion measured by  $CVS_i(k_i, r)$  at different traffic densities in different categories  
 284 of rainfall intensity can be seen in Fig. 3.

285



286

287 **Fig. 3** The empirical data and  $CVS$  at different traffic densities and rainfall intensities

288

289 Fig. 3 shows that the values of  $CVS$  typically ranges from 0.05 to 0.2 in different  
 290 categories of rainfall intensity. Such range is reasonable by comparing the empirical  
 291 observations of the  $CVS$  values in previous studies (May 1990; Wang et al. 2007;  
 292 Chung and Recker 2010). May (1990) indicated that  $CVS$  normally ranged from 0.08  
 293 to 0.17 in the empirical studies. Wang et al. (2007) observed traffic density distributed  
 294 from 0.07 to 0.32. While Chung and Recker (2010) found that  $CVS$  ranged from 0.08  
 295 to as large as 0.5 on the 10-lane Interstate 80 in northern California.

296 Fig. 3 also presents that the exponential relationship between  $CVS$  and traffic  
 297 density, which observed in previous studies (Wang et al. 2007; Chung and Recker 2010,  
 298 2014) under dry/no-rain condition, also exists under rain condition. The values of  $CVS$   
 299 grows exponentially with the increase of traffic density in each category of rainfall



300 intensity, and a sharp increase of the value of *CVS* happens when traffic density  
301 approaching the critical density (e.g. 70-80 veh/km/lane) where roadway capacity  
302 usually obtained. In other words, the density area of maximum speed variance is also  
303 the density area of maximum throughput (Benjaafar et al. 1997). This is easy to  
304 understand, when traffic density increases, the potential vehicle conflicts such as  
305 acceleration, deceleration or lane-changing also increase (Park and Ritchie 2004). The  
306 increased conflicts make traffic stream stop-and-go and result in speed dispersion  
307 increase.

308 In addition to the general exponential relationship, there are several interesting  
309 findings by comparing the values of *CVS* among different categories of rainfall  
310 intensity. On one hand, it can be observed that no significant difference exists between  
311 the patterns in Fig. 3 (a) and (b). This is easy to understand because driving behavior  
312 might not be changed between light rain condition and dry condition. However, with  
313 the rainfall intensity increase, the values of *CVS* in non-congested status (e.g. traffic  
314 density  $k \leq 50$  veh/km/lane) under medium and heavy rainfall conditions are  
315 significantly higher than those values under dry/light rain conditions. Fig. 3 (c) and (d)  
316 show that the values of *CVS* whereas traffic density  $k \leq 50$  veh/km/lane are all  
317 higher than 0.05, while the corresponding values in Fig. 3 (a) and (b) are equal or even  
318 lower than 0.05. On the other hand, with the rainfall intensity increase, the values of  
319 *CVS* in congested status (e.g. traffic density  $k > 70$  veh/km/lane) under  
320 medium/heavy rainfall conditions are slightly lower than those values under dry/light  
321 rain conditions. For example, it is obvious that, under heavy rainfall condition in Fig. 3  
322 (d), the average value of *CVS* whereas traffic density  $k > 70$  veh/km/lane is only  
323 0.15, while the corresponding value under dry condition in Fig. 3 (a) is 0.18.

324 The above findings might be caused by the heterogeneity and consistency of  
325 driving behavior under different rainfall conditions. As explained by Treiber and  
326 Helbing (1999), under dry/no-rain condition, the speed dispersion for free traffic at low  
327 densities is caused mainly by variations of the individual desired speed. The main  
328 reason for the considerable speed dispersion for congested traffic at high densities is  
329 the variation of the time headway. The mechanism explained by Treiber and Helbing  
330 (1999) can also be used to explain the above findings under different rainfall conditions.  
331 The difference is that, in non-congested status under rainfall conditions, the variations  
332 of the individual desired speed might be increased due to drivers' response behavior to  
333 the rainfall conditions. While in congested status under rainfall conditions, drivers  
334 might be more cautious and keep larger time headways due to the visibility issue. This  
335 may somehow reduce the variation of the time headway. In addition, other factors may  
336 also influence speed dispersion under rainfall conditions. For example, the lane-  
337 changing behavior. Due to the poor visibility and wet roadway pavement conditions,  
338 drivers might prefer to follow the vehicle ahead instead of lane changing, which may  
339 reduce potential vehicle conflicts and speed variations than dry conditions.

340

## 341 **Modeling the Heteroscedastic Traffic Speed Dispersion with Rainfall Intensity**

### 342 **Effects**

343

344 This section aims to model the empirical observations in previous section. It is desirable  
345 to find appropriate a generalized function to represent the heteroscedastic traffic speed  
346 dispersion with rainfall intensity effects. In this section, we first calibrate a conditional  
347 exponential function which is widely used in previous studies (May 1990; Wang et al.  
348 2007; Chung and Recker 2010, 2014). A generalized exponential function is proposed,

349 calibrated and validated with collected traffic speed data.

350

### 351 *A Conditional Exponential Function*

352

353 The empirical observations in previous section shows that the value of *CVS* grows  
354 exponentially as traffic density increase with rainfall intensity effects. Such  
355 observations confirm previous findings (Wang et al. 2007; Chung and Recker 2010,  
356 2014) which show that exponential function is a good fit to the relationship between  
357 *CVS* and traffic density. Thus, a conditional exponential function of *CVS* with rainfall  
358 intensity effects is proposed in this section. The equation can be seen below.

359

$$CVS_r(k) = \alpha_r \cdot e^{\beta_r \cdot k} \quad (6)$$

360

where  $CVS_r(k)$  = coefficient of variation of speed with rainfall intensity  $r$  and  
traffic intensity  $k$

$\alpha_r, \beta_r$  = parameters need to be calibrated

361

362 Fig. 4 shows the calibrated conditional *CVS* functions with different rainfall  
363 intensities. The calibration results prove that the conditional exponential function is also  
364 a good to fit to the collected traffic data under different categories of rainfall intensity.  
365 All the coefficients of determination ( $R^2$ ) are higher than 0.8, implying that over 80%  
366 of the calibrated traffic speed values fit well with the observed traffic speed data. It  
367 should be noted that those outliers are values of *CVS* happen around critical density or  
368 capacity. As explained in previous section, when traffic flows approaching the roadway  
369 capacity, the increased vehicle conflicts make the traffic as a stop-and-go process thus  
370 increase the speed dispersion.

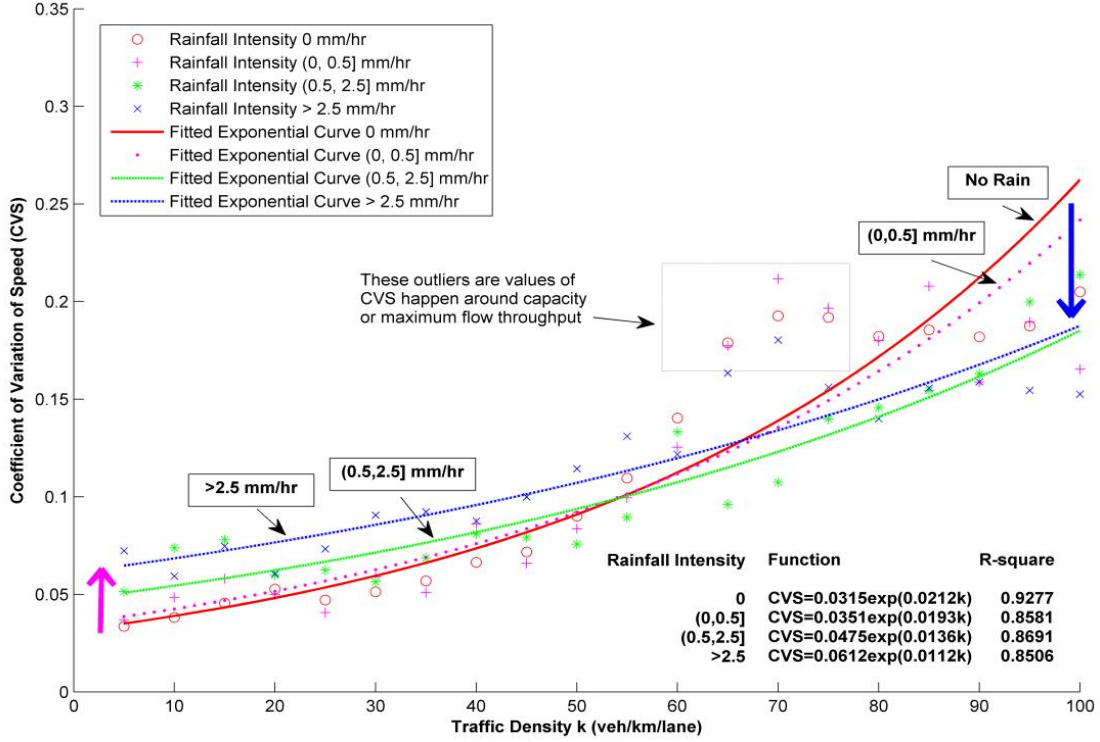
371

372 Moreover, Fig. 4 also illustrate the empirical observations in previous section  
373 about the values of *CVS* in different categories of rainfall intensity. With the rainfl  
374 intensity increases, the values of *CVS* in non-congested status under medium and  
375 heavy rainfall conditions are significantly higher than those values under dry/light rain  
376 conditions (see the red arrow in Fig. 4); while the values of *CVS* in congested status  
377 under medium/heavy rainfall conditions are lower than those values under dry/light rain  
378 conditions (see the blue arrow in Fig. 4).

378

379 Finally, it can also be observed that there is a relationship between the coefficients  
380 of the conditional *CVS* equation and the rainfall intensity. With the rainfall intensity  
381 increase, the slopes of the exponential function decrease while the initial value of *CVS*  
382 increase. In the exponential function,  $\beta_r$  controls the slope while  $\alpha_r$  refers to the  
383 initial value of *CVS*. It can be seen in Fig. 4 that, with the rainfall intensity increase,  
384 the coefficient of  $\alpha_r$  increases while the coefficient of  $\beta_r$  decreases. This relationship  
385 helps us to formulate a generalized *CVS* function with the rainfall intensity effects in  
386 the next section.

386



387

388 **Fig. 4** The calibrated conditional functions of *CVS* with different rainfall intensities

389

### 390 *A Generalized Exponential Function*

391

392 In this section, a generalized exponential function of  $CVS(r, k)$  with rainfall intensity  
 393 effects is proposed based on the empirical observations in the previous section. The  
 394 equation can be seen below.

395

396

$$CVS(r, k) = (\alpha \cdot r + \alpha_0) \cdot e^{(\beta \cdot r + \beta_0) \cdot k} \quad (7)$$

397

where  $CVS(r, k)$  = coefficient of variation of speed with rainfall intensity  $r$   
 and traffic intensity  $k$

$\alpha, \beta$  = parameters need to be calibrated

$\alpha_0, \beta_0$  = parameters in dry condition with rainfall intensity  $r = 0$

$\alpha_0 = 0.0315; \beta_0 = 0.0212$  (values can be found in Fig. 4)

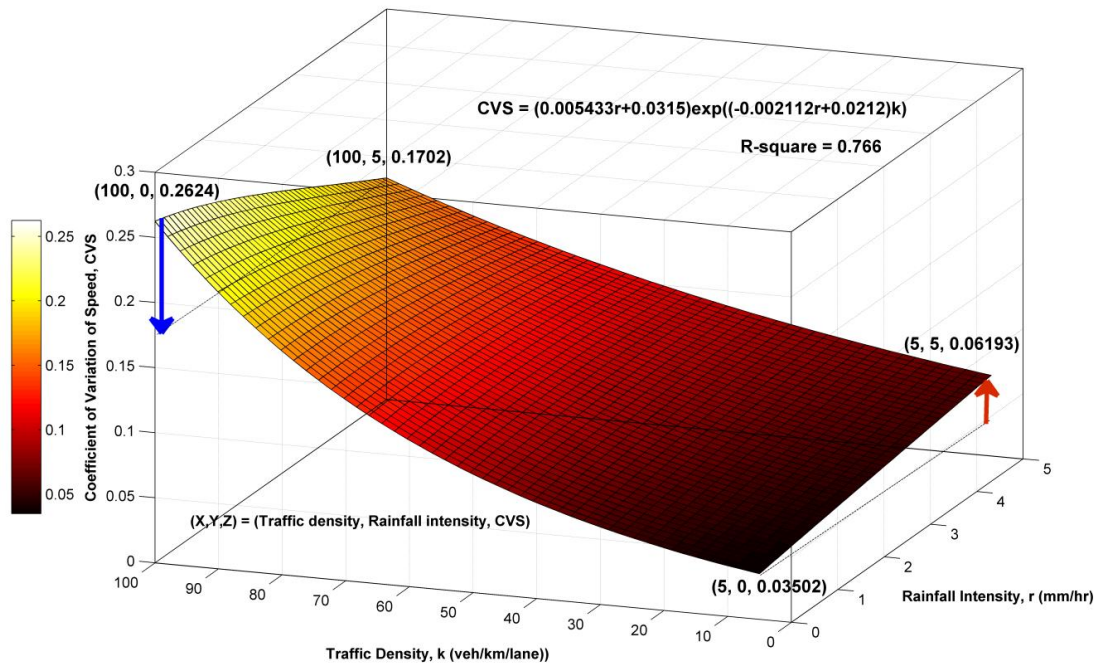
398

399 Equation (7) was calibrated with the valid observed traffic density, rainfall  
 400 intensity and the calculated values of *CVS* from July 2009 to December 2010. By the  
 401 nonlinear regression method, the values of parameter  $\alpha$  and  $\beta$  was found to be  
 402 0.005433 and -0.002112, respectively. The coefficient of determination ( $R^2$ ) is 0.766,  
 403 representing a good fit for the observed traffic data.

404

405 Fig. 5 illustrates the calibrated relationship between traffic density and *CVS* for  
 406 different rainfall intensities. The calibrated surface fit well with the observed empirical  
 407 features in previous sections. It can be seen that at the same traffic density in non-  
 congested condition, the values of *CVS* increase with rainfall intensity increases;

408 while the values of *CVS* decrease with rainfall intensity increase at the same traffic  
 409 density in congested condition.  
 410

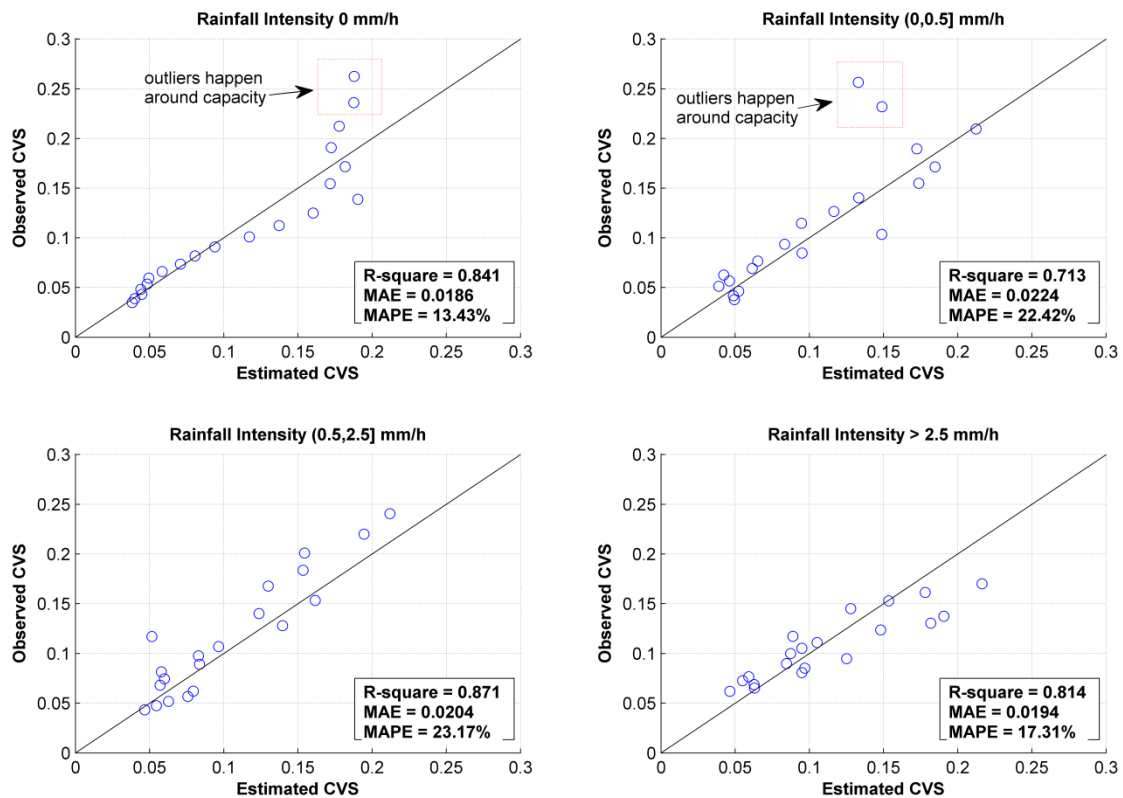


411  
 412 **Fig. 5** Calibrated the generalized function of *CVS* with different rainfall intensities  
 413

414 **Validation of the Proposed Function**

415  
 416 To validate the calibrated generalized exponential function of *CVS* with rainfall  
 417 intensity effects, an independent set of traffic and rainfall intensity data was collected  
 418 at the same selected road section in the year 2011. The collected datasets were  
 419 preprocessed using the same method described in the third section of this paper, for  
 420 filtering the invalid data before validation.

421 Fig. 6 presents the traffic flows estimated by the generalized exponential function  
 422 of *CVS* with rainfall intensity effects against the observed values of *CVS* under  
 423 respective dry and rainy conditions. Both the coefficient of determination ( $R^2$ ) are  
 424 higher than 0.7, implying that over 70% of the estimated traffic flows fit well with the  
 425 observed traffic flows. The mean absolute error (MAE) and the mean absolute  
 426 percentage error (MAPE) which reflects the predictability accuracy of the calibrated  
 427 generalized speed-flow function are also indicated in Fig. 6. It should be noted that  
 428 those outliers are values of *CVS* happen around critical density or capacity.  
 429



430  
431  
432  
433

**Fig. 6** Observed traffic speed versus estimated traffic speed by the calibrated generalized function of *CVS* with rainfall intensity effects

## 434 Conclusions

435

436 In this study, the impact of rainfall intensity on the heteroscedastic traffic speed  
437 dispersion was analyzed. The traffic and rainfall intensity data collected by a selected  
438 video detector and its nearest rainfall station in Hong Kong was used for the analyses.  
439 The coefficient of variation of speed (*CVS*) was used to measure traffic dispersions at  
440 different traffic densities and rainfall intensities. The empirical observations of the  
441 impacts of rainfall intensity on the heteroscedastic traffic speed dispersion were  
442 presented, and a generalized exponential function was proposed to model the observed  
443 characteristics of the heteroscedastic traffic speed dispersion with the effects of rainfall  
444 intensity. The major conclusions of this study are as follows:

445

- 446 • The empirical values of *CVS* typically range from 0.05 to 0.2 at different
- 447 traffic densities and rainfall intensities.
- 448 • When rains, the values of *CVS* at low traffic densities are higher than those
- 449 values under dry condition; while the values of *CVS* at high traffic densities
- 450 are lower than those values under dry condition.
- 451 • The difference of values of *CVS* under different rainfall intensities might be
- 452 caused by the heterogeneity and consistency of driving behavior under
- 453 different rainfall conditions.
- 454 • The exponential function is proved to be a good fit to traffic data not only under
- 455 dry condition in previous studies (Wang et al. 2007; Chung and Recker 2010,
- 456 2014), but also under different rainfall intensities.
- 457 • A generalized exponential function of *CVS* with rainfall intensity effects is

458 proposed, calibrated and validated. The calibration and validation shows that  
459 the proposed generalized function of *CVS* fits well with empirical data.

460  
461 The observed patterns of the heteroscedastic traffic speed dispersions in Hong  
462 Kong and the proposed generalized exponential function with the effects of rainfall  
463 intensity may benefit traffic operations and management in metropolitan areas similar  
464 to Hong Kong. However, the findings of this study cannot be generalized because they  
465 are based on the analysis of a single set of data of traffic speed from a specific video  
466 detector in a particular area. For other areas, a sensitivity analysis based on the  
467 calibrated models is recommended. Moreover, to have a reliable generalized  
468 exponential function with the effects of rainfall intensity, more empirical data from  
469 different locations are required. These data should be used to better calibrate and  
470 compare current state-of-the-practice and state-of-the-art traffic models.

471 Moreover, besides traffic modeling, the behavior analysis is a much more  
472 fundamental issue for better understanding of the drivers' behavior when rains. In this  
473 study, the authors offer several tentative explanations for the difference of the empirical  
474 patterns of the heteroscedastic traffic speed dispersions. However, such hypotheses  
475 need additional rigorous tests supplemented with individual information from surveys  
476 of different drivers. The current traffic data do not contain such information. Possible  
477 future work would be to conduct driving behavior surveys in Hong Kong.

478 Finally, further study can be carried out to explore potential applications of the  
479 proposed generalized exponential function of traffic speed dispersion with considering  
480 the effects of rainfall intensity. One possible application is to analyze the relationship  
481 between traffic speed dispersion, adverse weather and accident rates (e.g. Li et al.  
482 2012b). The other potential application is to quantify the level of service of urban roads  
483 in relation to the rainfall intensity. This may be beneficial to an improved understanding  
484 of traffic flow characteristics on urban roads and new applications of intelligent  
485 transportation systems such as real-time traffic control and management (e.g. Billot et  
486 al. 2010; Chung and Recker 2014).

## 487 **Acknowledgements**

488  
489 The work described in this paper was supported by the competitive earmarked research  
490 grant from the Research Grants Council of the Hong Kong Special Administrative  
491 Region (PolyU 152074/14E), and an internal research grant G-YZ21 from the Research  
492 Committee of the Hong Kong Polytechnic University for the Hong Kong Scholars  
493 Program, and the Research Institute for Sustainable Urban Development (RISUD) of  
494 the Hong Kong Polytechnic University (Project Nos. 1-ZVBX and 1-ZVBY). The  
495 revision of this work was also supported by Program for Young Excellent Talents in  
496 Tongji University (2014KJ014) and National Natural Science Foundation of China  
497 (71371001, 71171013, 71471013). The authors would like to thank the Transport  
498 Department of the Hong Kong Government for providing the JTIS traffic data from  
499 2009 to 2011.

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