

# 1 Synergistic CO<sub>2</sub> Reduction Effects in Chinese Urban 2 Agglomerations: Perspectives from Social Network 3 Analysis

4 Wenrui Shen<sup>ab</sup>, Hanwei Liang<sup>ac\*</sup>, Liang Dong<sup>d</sup>, Jingzheng Ren<sup>e</sup>, Guojie Wang<sup>a</sup>

5 a Collaborative Innovation Center on Forecast and Evaluation of Meteorological Disasters  
6 (CIC-FEMD), School of Geographical Sciences, Nanjing University of Information Science  
7 and Technology (NUIST), Nanjing 210044, China

8 b Chang Wang School of Honors, Nanjing University of Information Science and  
9 Technology (NUIST), Nanjing 210044, China

10 c Key Laboratory of Coastal Zone Exploitation and Protection, Ministry of Natural  
11 Resources, Nanjing 210024, China

12 d Department of Public Policy, School of Energy and Environment, City University of  
13 Hong Kong, Hong Kong, China

14 e Department of Industrial and Systems Engineering, The Hong Kong Polytechnic  
15 University, Hong Kong, China

16 Email: liang.hanwei@nuist.edu.cn

## 17 Abstract

18 China has released its ambitious target for carbon neutrality by 2060. With decades of  
19 top-down energy conservation and pollutant mitigation policies, the techno-mitigation space  
20 has gradually shrunk, while more mitigation space is required for a systematic approach. To  
21 help to uncover CO<sub>2</sub> mitigation effects, location and better pathways from a systematic  
22 perspective, this paper combines disparity analysis and social network analysis to investigate  
23 the synergistic emissions reduction effect of urban agglomerations in three representative  
24 Chinese urban agglomerations, namely the Yangtze River Delta urban agglomeration (YRD),  
25 Chengdu-Chongqing urban agglomeration (CY) and Guangdong-Hong Kong-Macao urban  
26 agglomeration (GHM). Based on understanding of the carbon emission disparity  
27 characteristics of the three urban agglomerations using disparity analysis, this study uses

28 social network analysis to study the synergistic CO<sub>2</sub> reductions in each urban agglomeration  
29 from three perspectives: overall, individual, and connection. The findings emphasize that CY  
30 presented the greatest synergistic development capacity but with weak driving ability,  
31 indicating that overall synergistic emission reduction was difficult to achieve in a short period.  
32 GHM presented obvious fragmentation between the core and peripheral cities, resulting in a  
33 weak synergistic mitigation effect. YRD highlighted a solid synergistic development capacity  
34 with strong driving ability by its developed cities, thus generating the greatest potential to  
35 reduce CO<sub>2</sub> emissions in the short and middle terms. Different cities assume different roles in  
36 synergistic CO<sub>2</sub> reduction. Our results can be expected to enlighten more regionally oriented  
37 CO<sub>2</sub> mitigation policy implications from an urban agglomeration perspective.

38 **Keywords:** Urban agglomerations; Social network analysis; Synergistic CO<sub>2</sub> reduction;  
39 Carbon neutrality; Disparity analysis

## 40 **1. Introduction**

41 Urbanization and industrialization are the two engines driving surging greenhouse gas  
42 (GHG) emissions, particularly carbon dioxide (CO<sub>2</sub>) emissions, over the past two centuries  
43 (Fang et al., 2017, Fang et al., 2018, Luo et al., 2017). As the world's currently largest CO<sub>2</sub>  
44 emitter, China has been in rapid intertwined urbanization and industrialization, generating  
45 critical challenges for its commitment to carbon peaks and carbon neutrality (Dong et al.,  
46 2021, Zhao et al., 2021). At the 2015 United Nations Climate Change Conference in Paris,  
47 China announced its goal of reaching peak CO<sub>2</sub> emissions by 2030. With cities as  
48 mega-sources of CO<sub>2</sub> emissions, urban carbon mitigation has become a critical issue in  
49 China's overcoming the challenges of carbon neutrality strategies.

50 China has released national-level and top-down approaches in recent decades regarding  
51 energy conservation and pollutant mitigation and has realized significant effects from  
52 technology innovation (Dong et al., 2017, Li et al., 2017a, Liu et al., 2016). However, the  
53 techno-mitigation space would and has gradually shrunk, thereby requiring more mitigation  
54 space from a systematic perspective. A systematic approach will enable the generation of a  
55 so-called synergistic CO<sub>2</sub> reduction effect, which would expand the current mitigation space  
56 in a more cost-effective manner (Dong et al., 2016, Liang et al., 2014, Luo et al., 2016). With  
57 the rapid urbanization process together with industrialization, urban agglomerations, as an  
58 advanced form of urbanization development in China, have a strong influence and leading  
59 role in surrounding cities and significantly impact cities' and the nation's carbon emissions  
60 with their own high economic and social levels (Fang et al., 2016, Fujii et al., 2016, Liang et  
61 al., 2016).

62 Therefore, exploring the synergistic CO<sub>2</sub> mitigation effect in Chinese urban  
63 agglomerations will benefit the national carbon peak and carbon neutrality strategy from  
64 various perspectives: 1) urban agglomerations reflect a dynamic urban development pathway,  
65 as well as the underlying CO<sub>2</sub> emissions patterns, which could enlighten the future policy  
66 implications in fitting local conditions; 2) urban agglomerations are a process of symbiotic  
67 networking of cities, so investigation of the synergistic CO<sub>2</sub> mitigation effects could reflect  
68 how the cities' network structures will reduce or drive regional CO<sub>2</sub> emissions, thus guiding  
69 future low-carbon urban and industrial layout planning policies, and 3) spatially, urban  
70 agglomerations are hotspots of CO<sub>2</sub> emissions, so an in-depth exploration of CO<sub>2</sub> emissions  
71 and their relation to urban agglomerations could enlighten the spatial pathway of CO<sub>2</sub>  
72 mitigation at the national level, which would be significant to the 14<sup>th</sup> Five Year Plan (14<sup>th</sup>  
73 FYP).

74 Advanced economic geography and social science approaches, with application in cities,  
75 offer a strong toolbox for exploring the above issues for policy enlightenment (Liang, Dong,  
76 2016, Liu, Geng, 2016, Luo, Dong, 2016). Different cities have different carbon emissions  
77 profiles under the combined influence of their respective economic development levels,  
78 energy consumption differences, and industrial structures, leading to differences in the ability  
79 of different cities to meet the target of reaching a carbon peak. Such disparity requires  
80 policymakers to determine the carbon reduction policies of different cities according to each  
81 city's actual situation and the differences in carbon emissions between cities. Researchers  
82 aiming to study carbon emissions differences partly use the Gini coefficient and Theil index to  
83 study the magnitude differences in carbon emissions among regions (Pakrooh et al., 2020).

84 They partly use spatial autocorrelation and cold hot spot analysis to study carbon's spatial  
85 distribution characteristics and emissions among provinces and cities (Li et al., 2017c, Liu et  
86 al., 2020). There are many flows of people, materials, finance, and information running  
87 between cities, and the carbon emissions of each city are seriously influenced by other cities  
88 during this process, thus forming intercity carbon emissions connections. Therefore, each city  
89 should also consider the intercity carbon emissions correlation when reducing carbon  
90 emissions. For governmental decision makers, only by understanding the spatial correlations  
91 between cities and their spatial structures can they formulate practical overall carbon  
92 emissions reduction measures and achieve synergistic emissions reductions in the region.  
93 Studies investigating the connections of socioeconomic indicators between geographic units  
94 often use social network analysis. For instance, Alderson and Beckfield (2004) used network  
95 methods to study the position of cities in the world city system; Da et al. (2019) used SNA to  
96 investigate international trade, and Sun et al. (2015) used SNA to study economic connections  
97 at the city scale; Li, Z. et al. (2017) used SNA to examine industrial structure changes and  
98 corresponding CO<sub>2</sub> reduction effect. Some scholars have used SNA to study carbon emissions  
99 flows and connections between provinces and cities. Bai et al. (2020), Sun et al. (2020), and  
100 He et al. (2020) used SNA to study interprovincial carbon emissions connections. Song et al.  
101 (2018) used SNA to study carbon emissions associations in the Chengdu-Chongqing urban  
102 agglomeration.

103 However, to the best of our knowledge, there have still been only limited studies  
104 exploring the synergistic CO<sub>2</sub> reduction effects in urban agglomerations, particularly from  
105 both networking and disparity perspectives at the same time. It has now been demonstrated

106 that the connections of carbon emissions between geographical units significantly affect their  
107 carbon emissions disparities (Lv et al., 2019), and these disparities also significantly affect  
108 carbon emissions connections (Song, Feng, 2018). Mechanistically, the connection and  
109 disparity of urban carbon emissions will influence the generation of and changes in each other.  
110 Furthermore, the analysis of disparity helps us have a more comprehensive understanding of  
111 the generation of connections, and analysis of the connections will also elaborate the reasons  
112 for the changes in disparities from a dynamic perspective, providing a comprehensive  
113 understanding of synergistic CO<sub>2</sub> reduction. Therefore, it is essential to analyze the carbon  
114 emissions disparities and connections among cities simultaneously. Understanding the pattern  
115 of carbon emissions disparities within each urban agglomeration and exploring the spatial  
116 association of urban carbon emissions on this basis are conducive to the formulation of  
117 detailed and targeted synergistic carbon emissions reduction initiatives, which will, in turn,  
118 promote the realization of comprehensive and effective emissions reduction in China's urban  
119 agglomerations. However, most of the existing studies have paid more attention to the  
120 patterns of carbon emission disparities or spatial connections of carbon emissions separately,  
121 while limited efforts have been applied to investigate both aspects simultaneously.

122 According to the above background and scientific highlights, this paper acts as a first  
123 attempt to explore this issue from both the CO<sub>2</sub> emissions pattern disparity and networking  
124 structure (connection of cities) perspectives. To answer such questions, the well-developed  
125 Theil index and Moran's *I* index are applied to analyze the carbon emissions disparities  
126 among three main urban agglomerations, namely the Yangtze River Delta urban  
127 agglomeration (YRD), Chengdu-Chongqing urban agglomeration (CY), and

128 Guangdong-Hong Kong-Macao urban agglomeration (GHM), from the perspectives of carbon  
129 emissions magnitude and spatial patterns, respectively. Social network analysis is further  
130 applied to investigate the carbon emissions networks among cities in each urban  
131 agglomeration. Indicators of SNA support measuring the synergistic development capacity of  
132 each urban agglomeration and determining the importance of different cities and intercity  
133 connections to synergistic emissions reduction. Combining disparity analysis and network  
134 analysis, synergistic carbon emissions reduction features and pathways in each urban  
135 agglomeration are discussed to enlighten an effective and efficient carbon mitigation pathway  
136 for China's 14th FYP period.

137 The remainder of this study is organized as follows: after this introductory section,  
138 section 2 presents the analytical framework, methodologies, and data sources; section 3  
139 presents the results; and section 4 discusses the results. Finally, section 5 summarizes the  
140 conclusions and policy implications.

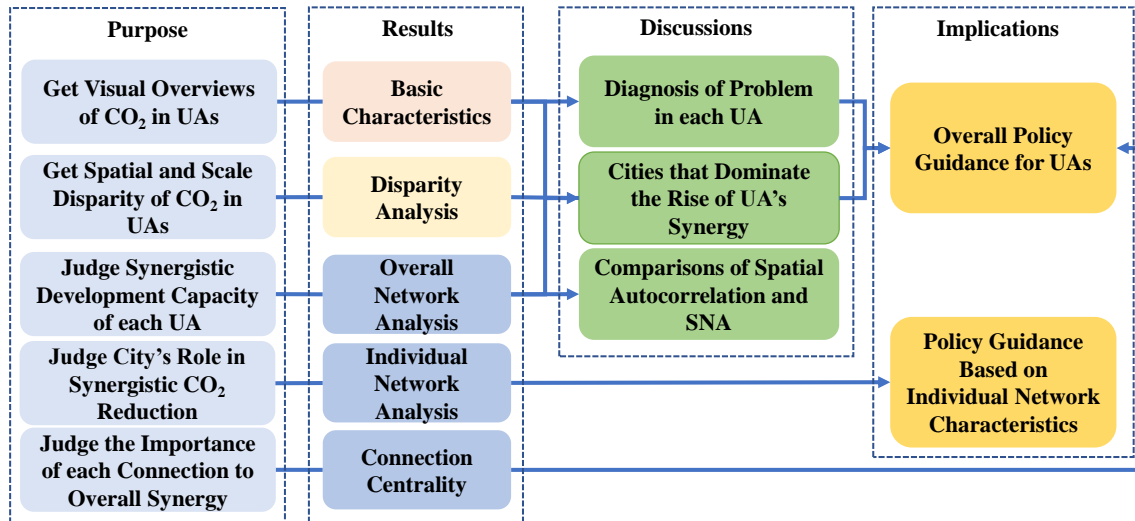
## 141 **2. Data and Methods**

### 142 **2.1 Analytical framework**

143 The analytical framework is illustrated in Figure 1. First, the basic characteristics of CO<sub>2</sub>  
144 in each urban agglomeration are analyzed to obtain overviews; the Theil index and Moran's I  
145 index are used to quantify the carbon emission disparities from the perspectives of carbon  
146 emissions magnitude and spatial pattern, respectively. Second, carbon emissions network  
147 models were constructed, and indicators of SNA were used to measure the synergistic  
148 development capacity of each urban agglomeration (overall analysis), judge the importance of

149 different cities (individual analysis) and intercity connections to synergistic emissions  
 150 reductions (connection analysis). Third, the key to synergistic carbon emissions reduction in  
 151 each urban agglomeration is discussed by combining the above analyses. Finally, overall and  
 152 individual policies for synergistic CO<sub>2</sub> reduction are proposed.

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155 **Figure 1.** Flowchart of the full text. Note: UA is the abbreviation of Urban Agglomeration.

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## 157 2.2 Study Area and Dataset

158 In this study, three representative urban agglomerations were selected for analysis  
 159 (Figure 2).

160 (1) The Yangtze River Delta (YRD) is an important region with the highest economic  
 161 development level in China and the country's demonstration area of ecological civilization. It  
 162 is one of the most dynamic, open, and innovative regions in China's economy and an essential  
 163 intersection between the "Belt and Road" and the Yangtze River Economic Belt, with a more  
 164 important strategic position in modernization China.

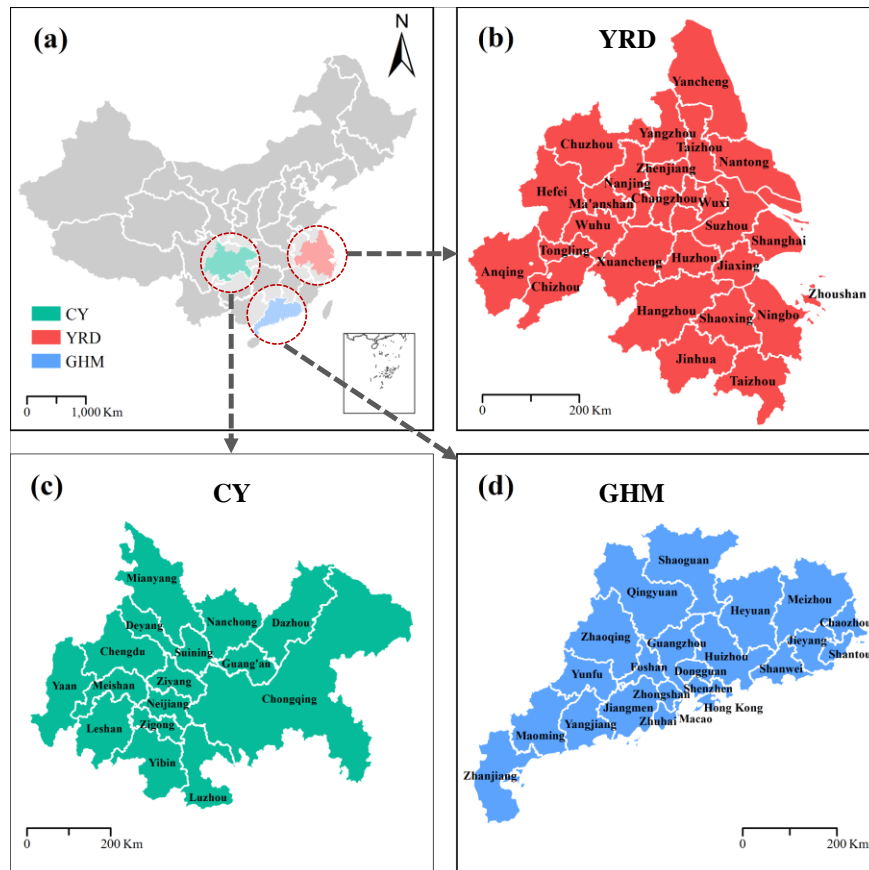
165 (2) The Chengdu-Chongqing urban agglomeration (CY) has taken the lead in developing



166 Western China by integrating the Yangtze River Economic Zone Strategy with the West  
167 Development strategy.

168 (3) The Guangdong-Hong Kong-Macao urban agglomeration (GHM) has a significant  
169 economic scale and serves as a major international land and maritime corridor connecting  
170 countries along the Silk Road Economic Belt (Central Asia and Europe) and Maritime Silk  
171 Road (South Asia, Oceania to Africa and the Middle East). GHM in this study contains a total  
172 of 23 cities. One part consists of the 11 cities in the Guangdong, Hong Kong, and Macau  
173 Greater Bay Area mentioned in the 13th Five-Year Plan, i.e., core cities; the remainder are 12  
174 other prefecture-level cities in Guangdong Province.

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**Figure 2.** Locations of the study area. Note: YRD represents the Yangtze River Delta urban agglomeration; CY represents the Chengdu-Chongqing urban agglomeration; GHM represents the Guangdong-Hong Kong-Macao urban agglomeration.

180 The data used in this study include carbon emission data and socioeconomic data of each  
181 city in the three urban agglomerations in 2010 and 2015. (1) Carbon emissions data are  
182 obtained from the China City CO<sub>2</sub> Emission Dataset (2010) and the China City Greenhouse  
183 Gases Emission Dataset (2015). The calculation process of these two carbon emissions  
184 datasets is listed in detail in the study by Cai et al. (2018) and Cai et al. (2019). (2) Resident  
185 population data are obtained from the Statistical Yearbook of each province and city, Hong  
186 Kong Annual Digest of Statistics, and Macao Statistical Yearbook. (3) GDP data are obtained  
187 from the Statistical Yearbook of Chinese Cities, Hong Kong Annual Digest of Statistics, and  
188 Macao Statistical Yearbook. Some cities' industrial data come from provincial-level and  
189 city-level statistical yearbooks. The basic information (GDP, POP, CO<sub>2</sub>) of all cities in three  
190 urban agglomerations are shown in Tables S1–S3 of supplementary material.

## 191 **2.3 Methods**

192 This section first describes the Theil index and spatial autocorrelation analysis required  
193 for the disparity analysis. We then elaborate on how the network is constructed (gravity  
194 model), what the network indicators mean, and how they are calculated (network  
195 characteristics).

### 196 **2.3.1 Theil Index**

197 This study introduces the Theil index to quantify the disparities in carbon emissions  
198 within and between urban agglomerations. The Theil index is a measure of economic or social  
199 inequality derived mainly from the concept of entropy in information theory. Its main  
200 advantage is the ability to measure the contributions of within-group and between-group

201 disparities to the overall disparities. According to Theil (1967) and Shorrocks (1980), the  
202 Theil index is calculated as follows:

$$T_k = \sum_{i=1}^n \frac{P_i}{P_k} \times \ln\left(\frac{P_i}{P_k} / \frac{C_i}{C_k}\right) \quad (1)$$

$$T_a = \sum_{k=1}^m P_k \times \ln\left(\frac{P_k}{C_k}\right) \quad (2)$$

203 where  $k$  represents agglomerations,  $i$  represents cities,  $n$  is the number of cities in  
204 agglomeration  $k$ , and  $m$  is the number of agglomerations.  $T_k$  is the Theil index representing  
205 the disparity in three agglomerations;  $T_a$  is the Theil index reflecting the disparities in carbon  
206 emissions between urban agglomerations;  $P_i$  is the proportion of the population of city  $i$  in  
207 urban agglomeration  $k$  of the total population of the study area;  $P_k$  is the proportion of the  
208 population of agglomeration  $k$  of the total population of the study area;  $C_i$  is the proportion of  
209 carbon emissions of city  $i$  in agglomeration  $k$  of the total carbon emissions of the study area;  
210 and  $C_k$  is the proportion of total carbon emissions of agglomerations of the total carbon  
211 emissions of the study area.

212 It should be noted that the above formula shows the steps for calculating the Theil index  
213 with the population as the weight (Theil<sub>POP</sub>). To calculate the GDP-weighted Theil index  
214 (Theil<sub>GDP</sub>), we need only replace the population in the formula with the corresponding city's  
215 GDP value.

### 216 2.3.2 Spatial Autocorrelation Analysis

217 The measure of spatial autocorrelation is used to test the correlation of spatial variables  
218 with a certain regularity in different spatial locations, which can intuitively express the spatial

219 correlation and disparity of a specific economic phenomenon and determine the distribution  
 220 characteristics and regularity of regional economic attribute indicators from geographic space,  
 221 as well as whether aggregation characteristics or interdependence exists. In this study, the  
 222 Global Spatial Autocorrelation Indices – Moran’s  $I$  is used to compare and analyze the  
 223 disparities in the spatial distribution characteristics of carbon emissions in different urban  
 224 agglomerations from a spatial perspective (Cliff and Ord, 1981, Moran, 1950). The formula for  
 225 Moran’s  $I$  is as follows:

$$I = \frac{n \sum_{i=1}^n \sum_{j=1}^n w_{ij} (x_i - \bar{x})(x_j - \bar{x})}{\sum_{i=1}^n \sum_{j=1}^n w_{ij} \sum_{i=1}^n (x_i - \bar{x})^2} = \frac{n \sum_{i=1}^n \sum_{j=1}^n w_{ij} (x_i - \bar{x})(x_j - \bar{x})}{S^2 \sum_{i=1}^n \sum_{j=1}^n w_{ij}} \quad (3)$$

226 where  $S^2 = \sum_{i=1}^n (x_i - \bar{x})^2$ ;  $\bar{x} = \frac{1}{n} \sum_{i=1}^n x_i$ ,  $I$  is Moran’s  $I$ ;  $n$  is the number of cities in an  
 227 agglomeration;  $x_i, x_j$  is the CO<sub>2</sub> emission of city  $i, j$ ;  $\bar{x}$  is the average value of carbon  
 228 emissions of each city in the agglomeration; and  $w_{ij}$  is spatial weight matrix. In this study, the  
 229  $k$ -nearest neighbor method is used in the calculation, and  $k$  is set at 25% of the number of  
 230 cities in each urban agglomeration.

231 Moran’s  $I$  index ranges between -1 and 1, and the  $Z$ -score tests the significance of this  
 232 index. In general, a higher (or lower)  $Z$ -score represents a greater degree of clustering. If the  
 233  $Z$ -score is close to zero, there is no significant clustering in the study area. A positive  $Z$ -score  
 234 indicates clustering of high attribute values, while a negative  $Z$ -score indicates clustering of  
 235 low attribute values.

### 236 2.3.3 Social Network Analysis

237 Social network analysis (SNA) has been one of the most widely used research methods in  
238 sociology and economics in recent years. The theoretical perspective of SNA focuses on the  
239 relationships and social structures between social actors, and the object of SNA is the network  
240 structure constituted by the inner connections of different actors. During the analysis, urban  
241 agglomerations are considered overall network structures, and each city within them is  
242 regarded as a node in the network. Connections between cities are viewed as edges in the  
243 network. Furthermore, the points, edges, and overall network characteristics of the carbon  
244 emissions networks of different urban agglomerations are quantified. This study uses these  
245 indicators to investigate the synergistic capacity of carbon emissions networks in urban  
246 agglomerations.

#### 247 1) Gravity Model

248 The first step in social network analysis is to construct a network of associations. In this  
249 study, points represent individual cities, and edges represent the connections of carbon  
250 emissions between cities. Considering that the gravity model can comprehensively consider  
251 factors such as economy, distance, and carbon emissions, this study adopts a modified gravity  
252 model to construct the spatial association relationship of carbon emissions between cities. The  
253 modified gravity model is as follows:

$$y_{ij} = k_{ij} \frac{\sqrt[3]{P_i G_i C_i} \times \sqrt[3]{P_j G_j C_j}}{D_{ij}^b} \quad (4)$$

$$k_{ij} = \frac{C_i}{C_i + C_j} \quad (5)$$

254 where  $y_{ij}$  is the gravitation of CO<sub>2</sub> emissions between city  $i$  and city  $j$ ,  $P_i$  is the population of  
255 city  $i$ ,  $G_i$  is the gdp of city  $i$ ,  $C_i$  is the carbon emissions of city  $i$ ,  $D_{ij}$  represents the spherical  
256 distance between city  $i$  and  $j$ , and  $b$  is the coefficient of distance. Here, we assign a value of 1  
257 to  $b$  because the spatial impedance coefficient is reasonably small in the case of more frequent  
258 and convenient connections within urban agglomerations.  $K_{ij}$  represents the gravity coefficient  
259 of CO<sub>2</sub> from city  $i$  to city  $j$ . The carbon emissions gravitational force between cities is used to  
260 construct the CO<sub>2</sub> gravity matrix.

261 To facilitate network characterization, the CO<sub>2</sub> gravity matrix is binarized in this study.  
262 Considering that a limited number of cities will significantly influence one city, we consider  
263 each row's average value in the matrix as the threshold. When the gravitational value is  
264 greater than the average value of the row in which it is located, it is recorded as 1, indicating  
265 that the connection is significant, and the city in that column influences the city's carbon  
266 emissions in that row.

## 267 2) Network Characteristics

268 Based on the connection network's construction, we can use network analysis indicators  
269 to quantify the overall, individual, and connection characteristics of each urban agglomeration.  
270 There are two types of indicators commonly used for analysis (Bu et al., 2020, He et al.,  
271 2020). One is the indicator used to analyze the overall structure of the network, which is used  
272 in this study to indicate the synergistic development capability of the network; the other is the  
273 indicator used to analyze the position of network nodes in the network, i.e., the individual

274 centrality, which is used in this study to reflect the role of cities in synergistic emissions  
 275 reduction. In addition to these two types of indicators, this study innovatively introduces  
 276 connection centrality to analyze the network characteristics of carbon emissions. This  
 277 indicator is well suited to reflecting the importance of carbon emissions exchanges between  
 278 cities for synergistic development of the overall network. Table 1 contains an introduction to  
 279 each network characteristic. All of these indicators in this chapter refer to the book *Social*  
 280 *Network Analysis: A Handbook* by Scott (2000).

281

282 **Table 1** A brief description of the calculation methods of social network characteristics

Network characteristics		Description	
Overall Network Characteristic	Network density	$Den = \frac{M}{N(N-1)} \quad (6)$ <p><math>N</math> is the number of cities in the network, <math>M</math> is the sum of all actual network connections.</p>	Higher network density means a tighter network of carbon emissions and a stronger overall synergistic capability of the network.
	Network diameter	$Dia = Max(d_{ij}) \sim i, j = 1 \dots N \quad (7)$ <p><math>d_{ij}</math> is the shortcut distance from <math>i</math> to <math>j</math>.</p>	Smaller network diameter means fewer cities that are difficult to be driven in the network.
	Network reciprocity	Number of two-way connections as a percentage of all connections.	A higher network reciprocity implies more excellent stability of the synergy.
Individual Centrality	Degree Centrality	$DC_i = \frac{L_i}{2(N-1)} \quad (8)$ <p><math>L_i</math> stands for the number of connections with one endpoint being <math>i</math>.</p>	A higher degree centrality means the city has more connections with other cities in the network, and the city has more significant impact on the synergistic CO <sub>2</sub> reduction of the network.
	Betweenness Centrality	$BC = \frac{2 \sum_{j=1}^N \sum_{k=1}^N g_{jk}(i) / g_{jk}}{3N^2 - 3N + 2} \quad (9)$ <p><math>g_{jk}(i)</math> denotes the number of times city <math>i</math> appears on the shortcut associated with the two cities(<math>j,k</math>), <math>g_{jk}</math> denotes the number of</p>	Higher betweenness centrality means the city has a more significant influence on the interaction of carbon emission between other cities and a stronger synergistic effect on the development among other cities.

Network characteristics	Description
	shortcuts between cities( $j,k$ ).
Closeness Centrality	$CC_i^{-1} = \frac{\sum_{j=1}^n d_{ij}}{N-1} \quad (10)$ <p>Closeness centrality reflects the extent to which each city in the entire network is not controlled by other cities.</p>
Connection Centrality	<p>The centrality of an edge refers to the extent to which the edge lies on the short-cut between the connecting cities</p> <p>Higher centrality of the connection means that the connection significantly impacts the network's synergistic emission reduction.</p>

283

## 284 **3. Results**

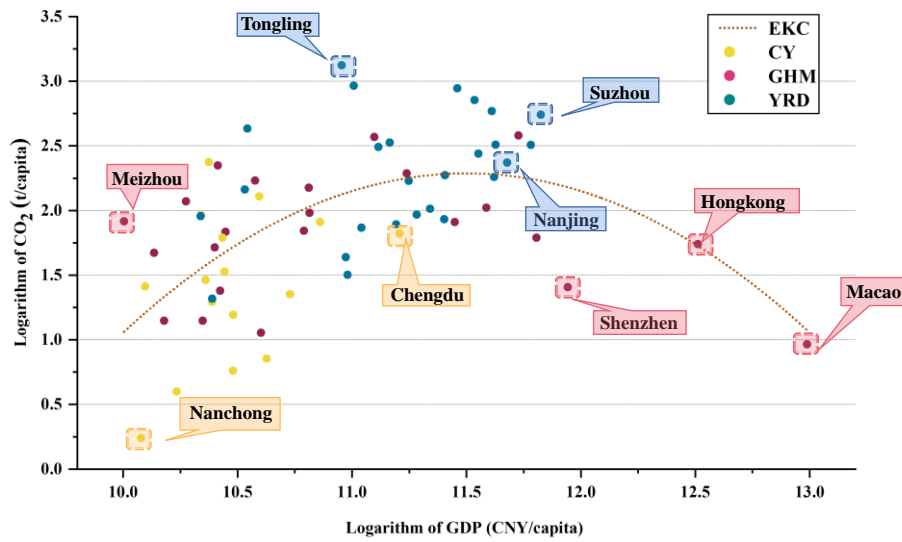
### 285 **3.1 Basic characteristics of CO<sub>2</sub> emissions**

286 To study the relationship between carbon emissions and the economic growth of each  
 287 urban agglomeration, we plotted the environmental Kuznets curve (EKC) with GDP per  
 288 capita and carbon emissions per capita as variables and each city as a sample point (Figure 3).

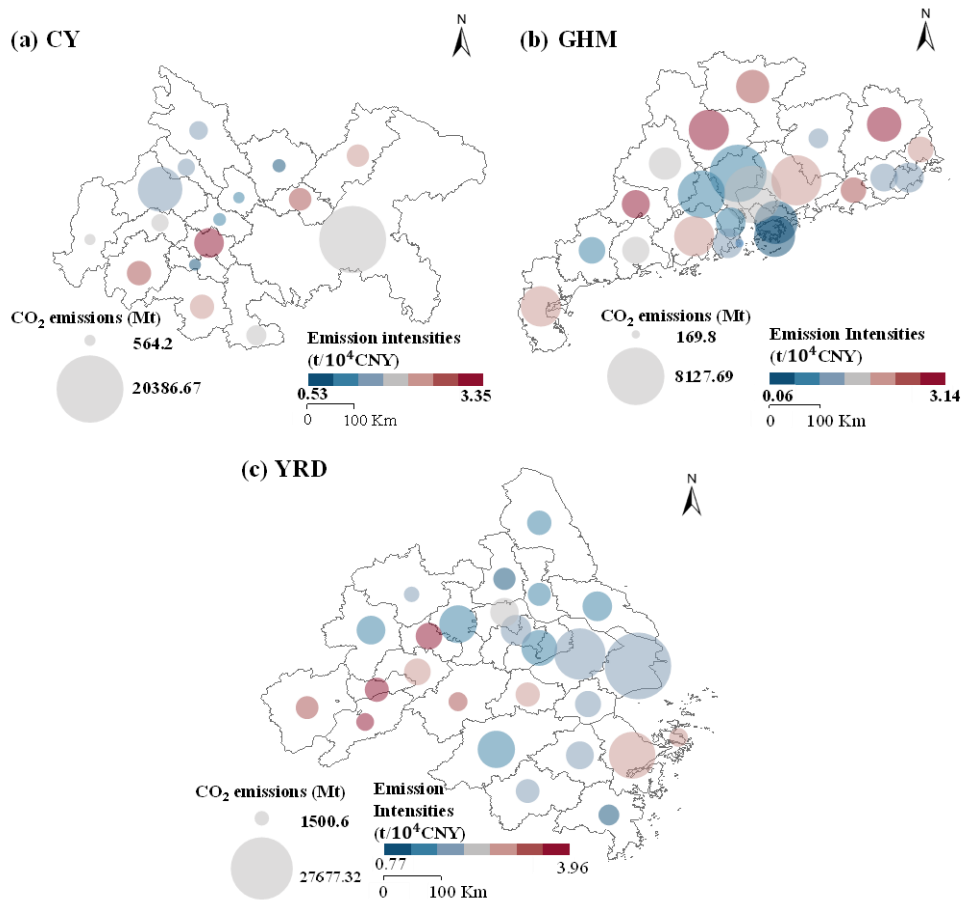
289 This spatial EKC curve shows an obvious inverted U-shaped relationship between  
 290 environmental and economic indicators. Assuming that each city develops along a consistent  
 291 path, different cities can be seen as cities' different development stages. Most cities in CY are  
 292 at a relatively early stage, in which both per capita CO<sub>2</sub> and per capita GDP are in a low but  
 293 rapidly rising stage. Most YRD cities are near the inverted U-shaped curve apex, and these  
 294 cities have almost peaked in carbon emissions with high economic levels. However, the  
 295 overall development of YRD still shows a positive correlation between CO<sub>2</sub> and the economy.  
 296 The cities in GHM are in various stages, with extremely high variability in development from  
 297 city to city.

298





**Figure 3.** Environmental Kuznets curve (EKC) for CO<sub>2</sub> emissions. Note that the EKC curves are fitted from data for all cities in the three urban agglomerations.



**Figure 4.** The magnitude and intensities of CO<sub>2</sub> emissions in the urban agglomeration of (a) CY, (b) GHM, and (c) YRD for the year 2015.

299 To obtain a comprehensive understanding of each urban agglomeration's carbon  
300 emissions, we must sort out the spatial structure of the carbon emissions of each urban  
301 agglomeration. Figure 4 is analyzed as follows.

302 First, we compare the matching relationship between carbon emissions intensity and total  
303 emissions in the three urban agglomerations: in YRD and GHM, the top cities in total  
304 emissions tend to have lower CO<sub>2</sub> intensity; in contrast, the top cities in CY have the highest  
305 carbon emissions intensity in the urban agglomeration, except for Chengdu and Chongqing.  
306 Combined with CY still being in a relatively primary stage, as shown by the EKC curve, the  
307 low carbon emissions intensity of the cities is mainly due to their low level of  
308 industrialization; the cities with low carbon emission intensity in the other two urban  
309 agglomerations are mainly the results of the developed economy and advanced industrial  
310 structures.

311 The spatial structure of carbon emissions in each urban agglomeration in 2015 is  
312 analyzed. The carbon emissions of CY show an obvious bicentric pattern, with Chengdu and  
313 Chongqing having much higher carbon emissions than other cities. Most of the cities with  
314 high carbon emissions in YRD are located on two axes: the main axis is the line between  
315 Shanghai and Nanjing, and the secondary axis is the line between Hangzhou and Ningbo.  
316 Cities on the axis tend to have lower carbon emissions intensity. The cities with high carbon  
317 emissions intensity are mainly concentrated in the western part of the urban agglomeration;  
318 i.e., Anhui Province is at a disadvantage in terms of carbon emission's intensity. Most cities  
319 with high CO<sub>2</sub> emissions are centered on the Hong Kong-Guangzhou line, and the magnitude  
320 of carbon emissions decreases gradually from the inside to the outside. The 11 core cities in

321 GHM have higher and more concentrated carbon emissions and lower carbon emissions  
322 intensity, forming a noticeable difference from other cities around them, so we define the 12  
323 cities around them as peripheral cities hereafter.

## 324 **3.2 Disparity analysis of urban CO<sub>2</sub> emissions**

### 325 3.2.1 Theil Index

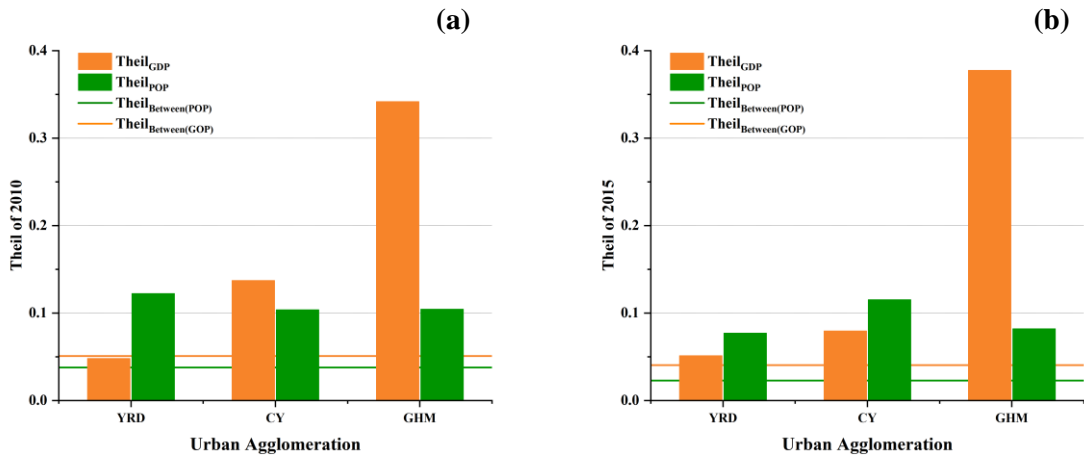
326 The magnitude of the disparity in CO<sub>2</sub> in each urban agglomeration is analyzed (see  
327 Figure 5). GHM has the largest Theil<sub>GDP</sub> in 2015 and has increased compared to 2010,  
328 indicating that industries' carbon emissions intensity has varied significantly in GHM.  
329 Developed cities of GHM, such as Macao, Hong Kong, Guangzhou, and Shenzhen, have  
330 mature services, finance, and retail industries, and their tertiary industry commonly accounts  
331 for more than 60% of their GDP. While the tertiary industry in less developed cities, such as  
332 Jieyang, Shanwei, Heyuan, and Yunfu, only accounts for approximately 35% of their GDP,  
333 the pillar industry in these cities is more likely to be industry.

334 Both YRD and CY underwent significant changes in their Theil indices within five years.  
335 The Theil<sub>POP</sub> of YRD saw a considerable decrease, indicating that the disparity of carbon  
336 emissions per capita in each city decreased. Section 3.1 shows that the cities in YRD could be  
337 plotted with an upward trend in the EKC curve; i.e., the economy is positively correlated with  
338 carbon emissions, and the economically developed cities have higher per capita carbon  
339 emissions. Thus, the decrease in the per capita carbon emissions disparity is likely due to the  
340 decrease in per capita carbon emissions in the developed cities or the increase in per capita  
341 carbon emissions in the less developed cities. The Theil<sub>GDP</sub> of CY considerably decreased  
342 from 2010 to 2015, indicating that the disparity in the carbon emissions intensity of each city

343 decreased. Considering that the two central cities have the lowest carbon intensity (and are  
 344 unlikely to increase) among the cities with high carbon emissions in CY (Section 3.1), it is  
 345 likely that the carbon intensity of the other cities decreased rapidly, rendering the carbon  
 346 intensity of the cities more similar overall.

347 Comparing the Theil indices between and within urban agglomerations, the contribution  
 348 of intra-agglomeration disparity is larger than the inter-agglomeration disparity, and the  
 349 inter-agglomeration disparity of both Theil indices shows a decreasing trend, revealing less  
 350 carbon emissions disparity among the three agglomerations, and there is a rising similarity of  
 351 carbon emissions among them, while there are still significant disparities in CO<sub>2</sub> within  
 352 agglomerations. The focus should be on balancing intra-agglomeration disparities in carbon  
 353 emissions when formulating and implementing future policies.

354



355

356 **Figure 5.** Theil index of CO<sub>2</sub> emissions between and within urban agglomerations in (a)

357 2010 and (b) 2015. Note that the solid lines represent the inter-agglomeration Theil

358 index.

359

360

361 3.2.2 Spatial Autocorrelation Analysis

362 Table 2 indicates that the global Moran’s *I* of both GHM and YRD pass the 5%  
 363 significance test. Comparing each urban agglomeration, the clustering characteristics of  
 364 carbon emissions in GHM are greater than those in YRD, and the spatial imbalance is more  
 365 significant in the former. The highest spatial unevenness of GHM is consistent with the results  
 366 of the Theil index obtained from the magnitude perspective in Section 3.2.1. The difference  
 367 between GHM and YRD is also in line with the spatial pattern shown in Section 3.1; i.e., the  
 368 carbon emissions of YRD are mainly concentrated on two axes, while those of GHM are  
 369 concentrated on only one axis. The nonsignificant Moran’s *I* of CY could be due to its unique  
 370 “double-center” spatial pattern of carbon emissions (Section 3.1).

371

372 **Table 2** Global autocorrelation of the three urban agglomerations in 2010 and 2015

	YRD		GHM		CY	
	2010	2015	2010	2015	2010	2015
Moran’ <i>I</i>	0.121	0.095	0.141	0.125	-0.037	-0.068
<i>Z-score</i>	2.288	1.999	2.3098	2.1395	0.7196	0.0196
Pattern	<i>C</i>	<i>C</i>	<i>C</i>	<i>C</i>	<i>R</i>	<i>R</i>

373 Note:  $|z-score| > 1.96$  means pass 5% significant test;  $|z-score| > 1.26$  means pass 10% significant test. *C*  
 374 represents the spatial clustering pattern, *R* represents the spatial random pattern.

375

376 From the temporal perspective, the Moran’s *I* of both urban agglomerations somewhat  
 377 decreased and moved closer to zero over the five-year period, showing that the two urban  
 378 agglomerations changed from a significant clustering to a spatially random distribution. This  
 379 change suggests an enhanced carbon spillover effect between cities, with a weaker  
 380 polarization effect and a stronger trickle-down effect.

### 381 3.3 Social network analysis of CO<sub>2</sub> emissions

#### 382 3.3.1 Overall network analysis

383 Using Gephi software, we analyzed the overall network characteristics of CO<sub>2</sub> emissions  
384 and visualized the connection network (see Table 3 and Figure 6).

385

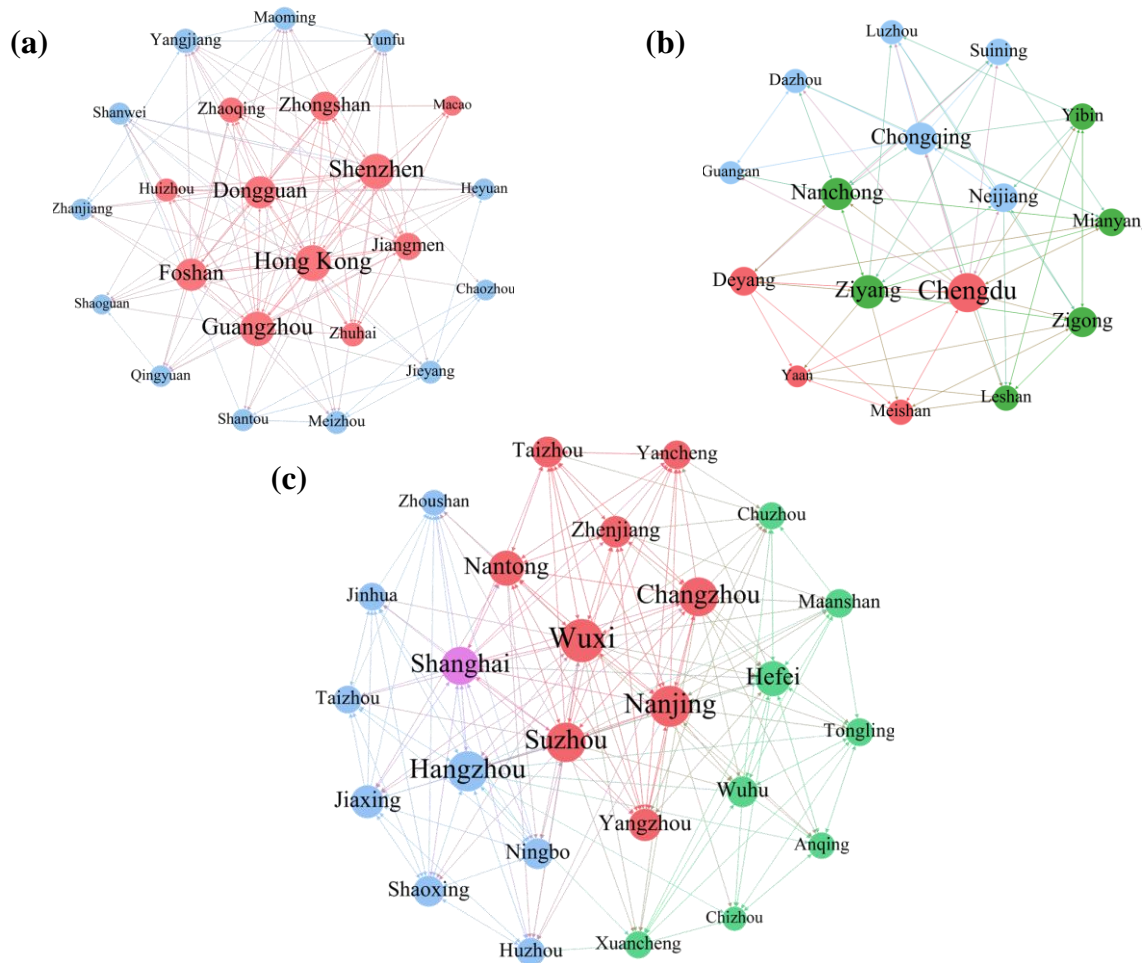
386 **Table 3** Overall network analysis of three urban agglomerations

	Network Intensity		Network Diameter		Network Reciprocity	
	2010	2015	2010	2015	2010	2015
YRD	0.28	0.372	5	5	0.474	0.455
CY	0.342	0.379	4	4	0.547	0.533
GHM	0.328	0.328	7	7	0.325	0.315

387

388 The network density of YRD increased from 0.28 to 0.372 during 2010–2015, indicating  
389 that the overall network synergy of YRD has improved significantly. This change can be  
390 explained from two perspectives: first, due to policy changes, YRD initially included only  
391 Shanghai, Jiangsu Province, and Zhejiang Province until 2014, when some cities in Anhui  
392 Province were included in the scope of YRD; and second, due to changes in industrial  
393 structure, some cities in YRD increased their influence and coverage by establishing high-tech  
394 development zones and introducing high-tech industries, thus increasing the overall network  
395 connectedness. Among the three urban agglomerations, CY had the highest network density in  
396 both 2010 and 2015, likely due to its unique bicentric spatial pattern of CO<sub>2</sub> emissions  
397 (mentioned in Section 3.1). From 2010 to 2015, the number of GHM connections did not  
398 increase, so the network density remained unchanged. The significant disparity of CO<sub>2</sub>  
399 emissions among cities within GHM makes it extremely difficult to develop and maintain the  
400 connection network.

401 The network diameters of all three urban agglomerations did not change during  
402 2010-2015, indicating that there are still cities far from the center in each urban  
403 agglomeration network that cannot be driven by developed cities. To facilitate understanding,  
404 we further analyzed the network diameter from a geometric perspective. The overall network  
405 is considered an ellipse, the network diameter is considered the long axis, and the number of  
406 cities in the network indicates its area. We can imitate the characteristics of an ellipse and  
407 study the similarity of ellipses to circles to judge the equilibrium properties of each network.  
408 The ratio of the square of the long axis (network diameter) to the area (number of cities) is  
409 used to determine the similarity between the ellipse and the circle. The smaller that this ratio  
410 is, the greater that the similarity is. Among them, YRD has 26 cities with a network diameter  
411 of 5, and the ratio of the network is less than 1, indicating that the ellipse is very close to a  
412 circle and that there are nearly no points within the network that are too far from the center.  
413 CY has 16 cities with a network diameter of 4, and the ratio of the network is equal to 1,  
414 indicating that the ellipse is similar to a circle and that the connections between the edge cities  
415 can be guaranteed. GHM has 23 cities with a network diameter of 7, and the ratio of the  
416 network is 2.13, so relatively more cities in this agglomeration cannot receive the driving  
417 effect from developed cities. It is also evident from Figure 6 that the connection between the  
418 core cities of the GHM carbon emissions network is very dense, but the connections between  
419 the core cities and the peripheral cities are relatively weak; i.e., there is fragmentation  
420 between the core and peripheral cities.



421

422 **Figure 6.** CO<sub>2</sub> emissions networks of three agglomerations in 2015. Note that (a) is  
 423 GHM, red represents core cities, blue represents peripheral cities; (b) is CY, red for  
 424 Chengdu and surrounding cities, green for Chongqing and surrounding cities, blue for  
 425 cities that do not share a border with either center or share a border with both centers; (c)  
 426 is YRD, red represents cities in Jiangsu Province, green represents cities in Anhui  
 427 Province, blue represents cities in Zhejiang Province, and purple represents Shanghai.  
 428 The bigger the pot is, the higher its degree centrality is.

429

430 Finally, the reciprocity of the network was analyzed. CY had the highest network  
 431 reciprocity and the most stable network structure of carbon emissions, indicating that the  
 432 existing synergies in urban agglomerations are stable. The network reciprocity of YRD was at  
 433 the middle level. The network reciprocity of GHM was the lowest, indicating that its carbon



434 emission network structure was less stable and could change. The synergies in agglomeration  
 435 are changeable. The degree of reciprocity among all three urban agglomerations declined  
 436 during 2010-2015. This decline is likely due to cities in the network attempting to reach out to  
 437 other cities, and the connections just established were often one-way, leading to an increase in  
 438 one-way connections and a decrease in the proportion of two-way connections in the overall  
 439 network, further rendering the network less reciprocal. In brief, as the network expands and  
 440 spreads, the rising coverage of the carbon emissions network's synergy leaves the synergy  
 441 more unstable.

442

### 443 3.3.2 Network analysis among the individual cities

444 To judge the role of each city in the synergistic emissions reduction of the CO<sub>2</sub> network,  
 445 we use centrality (degree centrality, betweenness centrality, closeness centrality) for analysis.  
 446 Table 4 and Table 5 show the individual centrality analysis of some cities in YRD and CY.  
 447 Figure 7 shows the individual network analysis of YRD and CY. Considering that the Theil  
 448 index indicates less disparity among the three agglomerations (Section 3.2.1), one of the  
 449 agglomerations can be used as an example for specific centrality analysis. Because YRD has  
 450 the largest number of cities, it is used as an example for detailed individual analysis.

451

452 **Table 4** Individual centrality analysis of CO<sub>2</sub> emissions networks of YRD

YRD (with higher DC)	2015 Indegree	2015 Out-degree	YRD (with lower DC)	2015 Indegree	2015 Out-degree
Wuxi	10	24	Jinhua	8	5
Nanjing	12	19	Taizhou	8	5
Hangzhou	11	19	Tongling	9	4
Suzhou	8	21	Chuzhou	10	2
Changzhou	9	19	Xuancheng	9	3

YRD (with higher DC)	2015 Indegree	2015 Out-degree	YRD (with lower DC)	2015 Indegree	2015 Out-degree
Shanghai	9	18	Anqing	8	3
Hefei	13	10	Zhoushan	10	0
Nantong	10	13	Chizhou	7	1

453

454 **Table 5** Individual centrality analysis of CO<sub>2</sub> emissions networks of CY

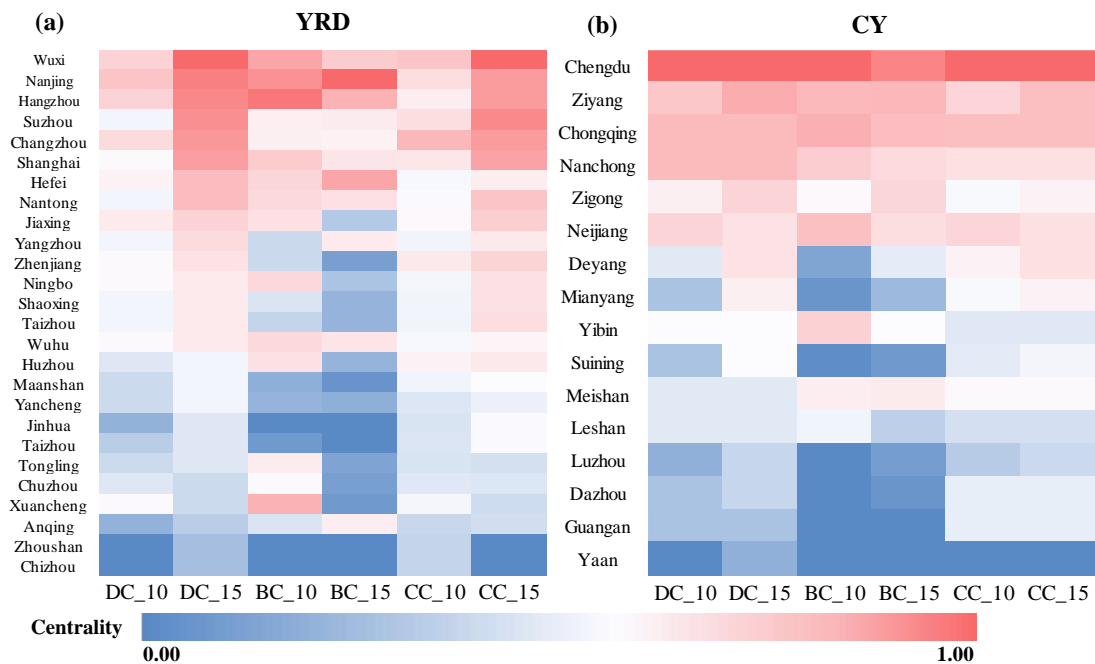
CY (with higher DC)	2015 Indegree	2015 Out-degree	CY (with lower DC)	2015 Indegree	2015 Out-degree
Chengdu	6	15	Mianyang	6	3
Ziyang	6	10	Suining	6	2
Chongqing	5	10	Luzhou	6	1
Nanchong	8	7	Dazhou	5	3
Zigong	6	7	Guangan	4	3
Neijiang	5	7	Yaan	6	0

455

Note: Considering the number of connections in GHM did not change from 2010 to 2015, only the centrality analysis of YRD and CY are listed. The centrality of all cities in three urban agglomerations are shown in Table S4, S5 and S6.

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**Figure 7.** Individual network analysis of CO<sub>2</sub> emissions networks in YRD and CY. Note that DC\_10 and DC\_15 represent degree centrality in 2010 and 2015, BC\_10 and BC\_15 represent betweenness centrality in 2010 and 2015, CC\_10 and CC\_15 represent closeness centrality in 2010 and 2015, respectively. All these centrality indicators are standardized and the detailed data are shown in Tables S4-S6 of supplementary material.

465 In terms of degree centrality, the cities in the top 25% of degree centrality in 2015 were  
466 Wuxi, Nanjing, Hangzhou, Suzhou, Changzhou, and Shanghai. These cities meet two  
467 conditions: first, they have advanced industrial structures, with finance, retail, and technology  
468 industries contributing more than 20% of GDP and leading the industrial structures of other  
469 cities; and second, they are located in the center of the agglomeration, so their industrial  
470 structures can efficiently radiate and drive more cities. Specifically, Suzhou, Wuxi, Hangzhou,  
471 Changzhou, and Shanghai have advanced industrial structures, and they are located at the  
472 border of Jiangsu Province and Zhejiang Province, which is the gateway to the Yangtze River  
473 Delta. Nanjing is at the gateway between Anhui Province and Jiangsu Province and has a high  
474 driving and leading role in central Jiangsu Province and parts of Anhui Province.

475 In terms of betweenness centrality, the top five betweenness centrality cities in 2015 are  
476 Nanjing, Hefei, Hangzhou, Wuxi, and Nantong, and these five cities play the role of bridges  
477 in the carbon emissions network. They can be planned to control the process of synergistic  
478 carbon emissions reduction of other cities. It can be noted that the provincial capitals of each  
479 province are precisely the cities with the top three betweenness centralities, implying that  
480 cross-provincial carbon emissions are likely to be exchanged between provincial cities and  
481 provincial capitals first and then between provincial capitals, consistent with the actual  
482 political status. Therefore, in subsequent carbon reductions, attention should also be paid to  
483 the political center's influence.

484 In the analysis of closeness centrality, special attention should be paid to cities with  
485 lowest closeness centrality. These cities, such as Tongling, Anqing, Xuancheng, Chizhou, and  
486 Zhoushan, have a much smaller out-degree than in-degree and are in a passive position in the

487 network, so they are more likely to be restricted and unable to obtain positive synergies,  
488 ultimately leading to a delay in the overall carbon peak of urban agglomerations. Therefore,  
489 they will rely more on other cities to reduce carbon emissions and will require more policy  
490 support.

491 A comparative analysis of changes in cities in the two urban agglomerations in Figure 8  
492 reveals that the cities with significant increases in the numbers of connections are in different  
493 positions in each urban agglomeration. The cities in YRD with numbers of connections that  
494 increased significantly were mainly those that used to have higher degree centrality (i.e.,  
495 developed cities). In contrast, the cities with lower degree centrality in 2010 in CY (i.e., less  
496 developed cities) significantly increased their degree of centrality, the cities with higher  
497 centrality have remained unchanged or even decreased in their number of connections.

### 498 3.3.3 Network analysis for connections between cities

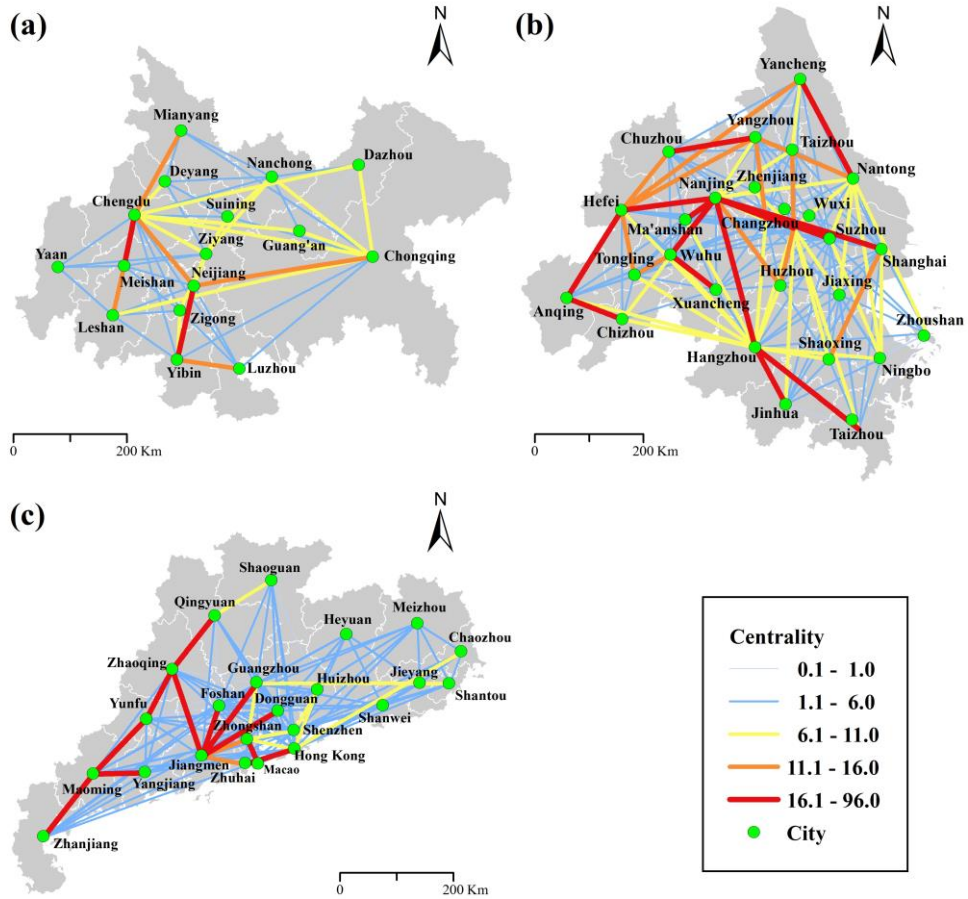
499 All the network connections in each urban agglomeration can be fully visualized in  
500 Figure 8. Generally, most of the connections with high centrality in the YRD carbon emission  
501 network are concentrated on the Shanghai-Nanjing-Anhui line; the connection centrality in  
502 GHM shows two opposing extremes and lacks moderate connection centrality, reflecting an  
503 uneven distribution of connections; and the connection centrality of CY is relatively even.

504 To clearly analyze the connections that are most important for synergistic CO<sub>2</sub> reductions  
505 in each urban agglomeration, we list the connections with centrality in the top 5% of each  
506 urban agglomeration in Table 6. The connections with the highest centrality in YRD can be  
507 divided into three main categories: intra-Anhui Province connections, connections between  
508 Anhui Province and Nanjing, and connections between Nanjing and other developed cities.

509 Nanjing plays an absolutely intermediary role in YRD. Nanjing obtained relatively  
510 high-quality industry and technology through synergy with developed cities and promoted  
511 carbon emissions reductions in less developed cities in Anhui Province by its driving effect.  
512 On the whole, the critical carbon emissions connection of YRD provides resources to the less  
513 developed regions in phases; i.e., it is preparing for the “last mile” of overall emissions  
514 reduction.

515         Among the essential connections in GHM, 50% of the connections are between core  
516 cities, 37.5% are between peripheral cities, and there is only one connection between the core  
517 and peripheral cities: Yunfu-Zhaoqing. The lack of important connections between the core  
518 and peripheral cities is entirely consistent with the structure shown in the previous overall  
519 network and shows the fragmentation of GHM from the view of connection centrality. If we  
520 view the important connections as a whole, Yunfu receives the influence of Zhaoqing and then  
521 influences Maoming, and Maoming establishes connections with other peripheral cities,  
522 reflecting the importance of the Yunfu-Zhaoqing connection to the GHM carbon emissions  
523 network’s synergy capacity.

524         The connections with high centrality are more balanced in CY, perhaps because the CY  
525 network is highly integrated and generally has strong synergy, so there is no overly critical  
526 connection. The synergy extending out of Chengdu is mainly toward the south, and the  
527 synergistic development extending out of Chongqing is mainly toward the southwest. The  
528 southern region could become the key to the overall synergistic development of CY.



530

**Figure 8.** The centrality of network connection in three agglomerations

531

**Table 6** Important network connections in three urban agglomerations

Agglomeration	Connection centrality in the top 5% of all connections
YRD	Hefei-Nanjing; Anqing-Hefei; Wuhu-Nanjing; Chizhou-Anqing; Xuancheng-Wuhu; Nanjing-Hangzhou; Nanjing-Shanghai; Nanjing-Suzhou; Nanjing-Wuxi; Maanshan-Nanjing; Jinhua-Hangzhou; Taizhou-Hangzhou
GHM	Zhaoqing-Jiangmen; Yunfu-Zhaoqing; Maoming-Yunfu; Jiangmen-Guangzhou; Jiangmen-Foshan; Yangjiang-Maoming; Jiangmen-Dongguan; Zhanjiang-Maoming
CY	Yibin-Neijiang; Meishan-Chengdu; Luzhou-Yibin; Neijiang-Chongqing

532

## 4. Discussions

533

### 4.1 Diagnosis of the problem in each urban agglomeration

534

Combining the previous results, we analyze the problems of synergistic emissions

535

reduction in each urban agglomeration.

536 (1) CY: Although CY has the most muscular synergistic development capacity of carbon  
537 emissions, there is still a long way to go before it reaches the carbon peak overall. According  
538 to the good behavior of SNA, CY can easily develop as a whole. However, considering that  
539 CY is in a relatively backward stage in the EKC curve, and the most developed cities have not  
540 yet reached their peaks, the premature emergence of a more holistic network is not indeed a  
541 good thing. The mismatch between the strength of developed cities and network structures  
542 will likely lead to a weak driving effect of the two centers being dispersed by multiple  
543 connections, thus rendering the central cities' carbon emissions impacts on the less developed  
544 cities around them weaker (Chu, 2020) and eventually making the overall synergistic  
545 development of the agglomeration slow and the overall carbon peak of the agglomeration  
546 challenging to achieve. Therefore, CY not only cannot rely on its own evolution and  
547 development but also requires assistance from outside for peak carbon emissions overall.

548 (2) GHM: The biggest problem of GHM is the fragmentation between the developed  
549 cities at the core and the less developed cities at the periphery, i.e., the weak synergy ability of  
550 carbon emissions between developed and less developed cities. This conclusion is consistent  
551 with previous studies (Chen et al., 2021, Lin and Li, 2020, Yao and Zang, 2021, Zhou et al.,  
552 2018). The lowest network density and the largest network diameter in 2015 directly reflect  
553 the fragile synergy of the overall network. Both the magnitude and spatial disparities of  
554 carbon emissions reflect substantial unevenness, indirectly confirming the considerable  
555 fragmentation in connections from the perspective of disparity analysis. The lack of moderate  
556 connection centrality also justifies this. The situation of GHM is the opposite of CY. GHM's  
557 low carbon development level is at the forefront of China (Price et al., 2013), and the

558 developed cities within GHM have a more exemplary industrial structure and lower carbon  
559 emissions intensity (Zhang et al., 2020) (at the rightmost end of the EKC curve) and could  
560 produce powerful driving effects. However, they only connect with other core developed  
561 cities and lack driving effects on the peripheral cities. Therefore, GHM should guide  
562 developed cities to have more connections with less developed cities and promote overall  
563 synergistic carbon emissions.

564 (3) YRD: YRD has relatively fewer problems in synergistic carbon emissions than CY  
565 and GHM. The spatial clustering characteristic of CO<sub>2</sub> is weakening, the disparity is weaker,  
566 its network synergy ability is stronger, and the developed cities have relatively advanced  
567 industrial structures and low carbon emissions intensity (Zhang et al., 2019). Thus, YRD is  
568 prepared to achieve the goal of peak overall carbon emissions in a relatively short amount of  
569 time.

#### 570 **4.2 Analysis of cities that dominate the rise of urban agglomeration synergy**

571 The carbon emissions network's synergistic capacity can be partially reflected in the  
572 number of connections in a network. That is, the increase in the number of connections  
573 implies an increase in the synergistic capacity of the network. The analysis is performed for  
574 cities with a significant increase in the number of connections in each urban agglomeration.  
575 The study of these cities' types and characteristics can help to determine the reasons for the  
576 improvement in synergy in each agglomeration and provide policy recommendations for  
577 maintaining and improving the synergy of these urban agglomerations in the future.



578 According to Tables 4 and 5, in YRD, the developed cities, i.e., cities with high degree  
579 centrality (Wuxi, Nanjing, Hangzhou, Suzhou) drive the carbon synergy of urban  
580 agglomerations. In CY, it is mainly the less developed cities, i.e., cities with low degree  
581 centrality (Dazhou, Luzhou, Suiyang, Mianyang) that drive the urban agglomeration's carbon  
582 synergy. Considering the basic situation (Section 3.1) and the disparity pattern (Section 3.2)  
583 of each urban agglomeration, the reasons for the increase in the synergistic capacity of  
584 different urban agglomerations are analyzed comprehensively. (1) The developed cities in  
585 YRD pass the apex of the EKC curve, and economic development is gradually decoupling  
586 from carbon emissions, perhaps because of the massive introduction of high-tech industries  
587 into these cities. (Li et al., 2017b). Taking Suzhou as an example, the city vigorously  
588 developed its high-tech industry within five years, and it had four national high-tech zones  
589 and five provincial high-tech zones in 2015. With the rapid development of high-tech  
590 industries, outdated industries and technologies in developed cities are often phased out and  
591 may relocated to surrounding less developed cities (Zhang et al., 2019). This process  
592 manifests itself as a spillover effect of carbon emissions in terms of space (Liu and Liu, 2019).  
593 This is consistent with the spreading of carbon emissions as indicated in Section 3.2.2. As  
594 carbon emissions spread from developed cities, the less developed cities with lower carbon  
595 emissions in 2010 received the spillover carbon emissions, causing their carbon emissions to  
596 rise, shown as a narrowing of emissions disparities within urban agglomerations (Section  
597 3.2.1) and more communication and collaboration between cities. Overall, it seems that  
598 developed cities promote the synergistic ability of urban agglomerations. (2) In CY, the less  
599 developed cities are in a relatively primary development stage (the relatively primary stage of

600 the EKC curve), with rapid economic development and carbon emissions intensity coming  
601 closer to developed cities (decreasing economic Theil indices). Thus, it easier for these cities  
602 to exchange carbon emissions with developed cities. Developed cities, due to the excessive  
603 spillover effect, have a less obvious driving effect (Section 4.1) and can only barely maintain  
604 the existing synergistic capacity without further breakthroughs. Overall, it seems that the less  
605 developed cities drive the rise of synergistic network capacity.

606 In conclusion, in YRD, developed cities have strengthened their driving effect and  
607 increased overall network synergy; in CY, less developed cities have undertaken efforts to  
608 integrate into the overall network and increase the overall network synergy (Song, Feng,  
609 2018). Therefore, when strengthening network synergy in the future, YRD should consider  
610 developed cities that are decoupling as the entry point and encourage them to exchange and  
611 collaborate with other cities (Li et al., 2018). The CY urban agglomeration should consider  
612 less developed cities as the entry point, improving synergistic capacity while reducing the  
613 burden on developed cities.

#### 614 **4.3 Comparisons of spatial autocorrelation and social network analysis**

615 SNA expresses the synergistic ability of urban agglomerations through spatial connections;  
616 spatial autocorrelation expresses the carbon emissions characteristics of urban agglomerations  
617 through spatial clustering. Many studies have indicated that social network analysis is an  
618 extension and breakthrough of spatial autocorrelation, but they have only stayed at the  
619 conjecture stage and do not compare the results of both (Liu et al., 2015, Song & Feng, 2018).  
620 In this study, we attempt to compare the spatial autocorrelation results of three urban

621 agglomerations with social network analysis results to investigate the connections between  
622 these two methods. The results of the two methods show obvious negative correlations: the  
623 global autocorrelation order of the three urban agglomerations is GHM> YRD> CY (CY is  
624 not significant). However, the network density order derived from SNA is CY> YRD> GHM.  
625 That is, the carbon emissions networks of urban agglomerations in the cluster case tend to be  
626 more sparsely connected, while the carbon emissions networks of urban agglomerations in the  
627 random or homogeneous case tend to have more connections.

628       The clustering characteristic of urban agglomerations indicates a concentrated distribution  
629 of developed (or less developed) cities, making it more likely for cities in developed areas to  
630 establish connections with neighboring developed cities, while it is difficult to influence less  
631 developed cities located at the periphery (this fact is especially evident in GHM). The  
632 non-clustering of cities, in contrast, suggests a nested distribution of developed and less  
633 developed cities, with developed cities likely to pass through less developed cities when  
634 establishing connections with other developed cities, allowing less developed cities to gain  
635 more connections and even be at the center of the network structure. In conclusion, the  
636 clustering characteristic could benefit the synergistic emissions reduction effects of local areas  
637 in urban agglomerations. However, the overall carbon emissions network's synergistic  
638 emissions reduction ability will be reduced by overclustering, and the driving effect of  
639 developed cities will not be fully utilized.

## 640 **5. Conclusions and Implications**

### 641 **5.1 Conclusions**

642 This study first analyzes the magnitude and spatial disparity of each urban agglomeration  
643 using the Theil index and spatial autocorrelation analysis to obtain the actual situation of  
644 carbon emissions in each urban agglomeration. Second, SNA is used to analyze the  
645 synergistic capacity of the carbon emissions network in each urban agglomeration. Finally, we  
646 combine disparity analysis and social network analysis to identify the cities leading to the rise  
647 of synergy capacity in each urban agglomeration and the main problems of each urban  
648 agglomeration to help the government to analyze the process and devise a way of integrating  
649 the carbon emissions network of each urban agglomeration to address the existing problems  
650 of each urban agglomeration in a targeted manner.

651 (1) The economic Theil index of GHM is significantly larger and still rising. The  
652 matching degree of the population and the carbon emissions of YRD are significantly  
653 increasing; i.e., the disparity of per capita carbon emissions in each city is decreasing. The  
654 matching degree of the economy and carbon emissions of CY is significantly increasing, and  
655 the disparity between the carbon emission intensities of each city is decreasing. Comparing  
656 the inter-agglomeration and intra-agglomeration Theil indices, we can see that the  
657 intra-agglomeration disparity is significant. The inter-agglomeration disparity is small and  
658 decreasing, so the overall carbon emissions reduction should focus on the intra-urban  
659 agglomeration disparities.

660 (2) The clustering characteristics of GHM are the most obvious. YRD also showed

661 clustering characteristics, and the clustering pattern of CY was not significant. The clustering  
662 characteristics of GHM and YRD are weakening, and their trickle-down effect is  
663 strengthening.

664 (3) CY has the best overall synergistic development capability, maintaining the highest  
665 network density and reciprocity, with a slight increase in network density, and it has a solid  
666 and stable network synergistic capability. YRD has the best overall synergistic development  
667 ability, with a rapid increase in network density, the smallest network diameter, and the  
668 broadest scope of synergistic development (all cities have the opportunity to participate in  
669 synergistic development). GHM has the lowest capacity for synergistic development, and all  
670 indicators reflect considerable fragmentation between core cities and peripheral cities.  
671 Reciprocity decreases in all urban agglomerations, likely due to cities' attempts to reach out to  
672 other cities (increased instability due to the expansion of network synergy capacity).

673 (4) An attempt was made to analyze the role of cities in the network through individual  
674 network characteristics and the growth of the number of connections. In terms of centrality,  
675 cities with advanced industrial structures and convenient geographical locations for  
676 interaction will have a higher degree of centrality, i.e., more significant influence. The  
677 analysis of betweenness centrality shows that political centers in the network are more likely  
678 to play the role of "bridges" in connections; cities with lower closeness centrality tend to have  
679 much lower out-degrees than in-degrees and are easily influenced by other cities. From the  
680 perspective of connection growth, the increase in the synergy of YRD relies mainly on the  
681 driving role of developed cities, while the increase in the synergy of CY relies mainly on the  
682 spontaneous integration of less developed cities into the carbon emissions network.

683 (5) The most important connections of the YRD carbon emissions network are all  
684 concentrated on the Shanghai-Nanjing-Anhui line, reflecting the concern of developed cities  
685 for less developed cities. The connection characteristics of the GHM carbon emissions  
686 network show serious fragmentation between the core and the periphery, in which  
687 Yunfu-Zhaoqing is the only important connection between the core and the periphery. The  
688 connections of the CY carbon emissions network are more balanced, with no vital  
689 connections. The southern region could become the key to the overall synergistic  
690 development of CY.

## 691 **5.2 Implications**

### 692 5.2.1 Overall policy guidance for urban agglomerations

693 The analysis of the main problems of the three urban agglomerations and the cities with  
694 an increasing number of connections are integrated, and different emissions reduction  
695 strategies are adopted for the three urban agglomerations. 1) For the Yangtze River Delta  
696 urban agglomeration, some developed cities have achieved preliminary carbon emission peak,  
697 and the industries are transforming to develop high-tech industries and service industries,  
698 promoting the rise of the synergistic capacity of the carbon emissions network. Policy  
699 guidance for YRD can be implemented in developed cities to promote carbon emissions  
700 reductions while increasing the overall network synergistic capacity. Due to the good  
701 conditions of YRD, as long as the connections among cities are correctly guided, and the  
702 critical connections from the main axis to the cities in Anhui Province are emphasized, YRD  
703 itself is sufficient to drive the overall carbon emissions network to achieve a carbon peak by

704 its ability. 2) For the Chengdu-Chongqing urban agglomeration, the developed cities still did  
705 not peak carbon emissions in 2015, and the cities with higher centrality have a weak driving  
706 ability due to the over-dispersed driving effect, making it challenging to promote the overall  
707 emissions reduction of the urban agglomeration by the power of developed cities themselves.  
708 The less developed cities not only require the driving effect from the developed cities but also  
709 help and support from the outside to have them quickly achieve the carbon peak. The  
710 government should import low-carbon technologies from outside, plan low-carbon industrial  
711 systems, and reorganize less developed cities' industrial structure, which will help less  
712 developed cities to reduce CO<sub>2</sub> and continue to integrate into the network, thus reducing the  
713 burden of developed cities and eventually causing the overall network peak carbon emissions  
714 to occur earlier. 3) For the development of the Guangdong-Hong Kong-Macao urban  
715 agglomeration, the most important thing is to establish the connection between the core cities  
716 and the peripheral cities for the current situation of the fragmentation between its developed  
717 cities located at the core and the less developed cities located at the periphery. Therefore, the  
718 focus for the transformation of GHM is not on outside help or on planning and guidance for  
719 developed cities, but more on the balance and restructuring of the connections within the  
720 agglomeration and promoting the establishment of counterpart help between the core cities  
721 and the peripheral cities in GHM. Among them, the focus is on the exchange of information,  
722 resources, and transportation by the critical connection of Yunfu-Zhaoqing as a basis for the  
723 development of synergistic emissions reductions between core and peripheral cities.

724       Considering connection centrality can be used to identify the importance of the  
725 connections between cities to the synergistic CO<sub>2</sub> reduction of each urban agglomeration, the

726 government can also provide detailed policy guidance based on the connection centrality. The  
727 important connections within the network can be supported by policy guidance, convenient  
728 transportation, counterpart support and information exchange, which will result in lower  
729 exchange costs (Luo et al., 2017; Luo et al., 2016). The reduction in exchange costs may lead  
730 to a series of favorable factors such as the free flow of factors, the division of labor and  
731 interaction between enterprises, the clustering of green industries, and the exchange and  
732 dissemination of technology (Tian et al., 2018; Zhang et al., 2020), which will ultimately  
733 contribute to the emergence of carbon peaking and carbon neutrality in three urban  
734 agglomerations.

### 735 5.2.2 Policy guidance based on individual network characteristics

736 Degree centrality is the most intuitive representation of a city's influence in the overall  
737 network of synergistic emissions reduction. Therefore, in formulating emissions reduction  
738 policies for urban agglomerations, the focus of carbon emission peak and carbon  
739 neutralization should be on cities with high levels of degree centrality. Specific measures  
740 include requiring these cities to reach the carbon peak before 2024; actively applying  
741 low-carbon technologies to transform and upgrade traditional industries in cities with a large  
742 share of traditional industries; for cities that have preliminarily achieved the carbon emission  
743 peak, accelerating the exploration of market-oriented low-carbon mechanisms, including  
744 carbon emissions trading and carbon finance; and actively exploring carbon neutral pathways.  
745 By adjusting the central cities' industrial development planning, the whole urban  
746 agglomeration's overall industrial development direction will be driven.

747 For cities with high betweenness centrality, we can focus on controlling these cities,



748 considering their “bridge” characteristics. From the perspective of the “restriction” function of  
749 bridges, we can reduce the exchange of inefficient carbon emissions, block unnecessary  
750 carbon emissions connections, and eliminate backward production capacity. The carbon  
751 emissions spillover effects between cities can be effectively controlled. From the perspective  
752 of the “influence” function of the bridge, each city with high betweenness centrality  
753 influences the cities at both ends of the bridge, and the industrial structure development  
754 direction of these bridge cities will also greatly influence the carbon emissions of the cities at  
755 both ends. Therefore, these cities should also adjust their industrial structures and drive the  
756 cities at both ends of the bridge to transform their industries.

757       If a city has low closeness centrality, it is likely to be passively influenced by other cities.  
758 These cities have difficulties gaining direct influence from major cities (they are at the end of  
759 the carbon flow, and quality resources are already filtered and kept “upstream”), and they  
760 have fewer choices in receiving resources, such as industries, labor, markets, and information.  
761 Specific measures are as follows. For those with more mature industrial structures, we can  
762 promote direct interaction and contact with developed cities in urban agglomerations and  
763 directly obtain better industries and technologies according to local conditions to help their  
764 industrial structures to transform and develop rapidly. For those cities with immature  
765 industrial structures that have not yet formed a heavy industrial path dependency, they should  
766 learn from developed cities across the whole country to plan and establish a low-carbon  
767 industrial system as early as possible and to develop an innovative green economy. Policy for  
768 this part of the reactive city will lay a good foundation for the “last mile” of the overall  
769 network in reaching the peak of carbon emissions in the future.

770 **Acknowledgments**

771 This project is funded by the National Key Research and Development Program of China (No.  
772 2019YFC1510201), the National Natural Science Foundation of China (No. 42001240), the Open  
773 Fund of Key Laboratory of Coastal Zone Exploitation and Protection, Ministry of Natural  
774 Resources (No. 2019CZEPK07), College Students Practice and Innovation Training Program of  
775 Jiangsu Province, China (No. 201910300003Z), and College Students Practice and Innovation  
776 Training Program of NUIST, China (No. 202010300271). The third author (L. Dong) also thanks  
777 the support by Joint Project funded by the National Science Foundation, China (NSFC), and the  
778 Dutch Research Council (NWO): ‘Towards Inclusive Circular Economy: Transnational Network  
779 for Wise-waste Cities (IWWCs)’ (NSFC-NWO, NSFC No. 72061137071; NWO No. 482.19.608),  
780 and the National Natural Science Foundation of China (No. 41701636). The author acknowledges  
781 Fuping Wang and Na Li's support for collecting and processing data.

782 **Abbreviation Notation:**

<b>Abbreviations</b>	<b>Full names</b>
<b>CY</b>	Chengdu-Chongqing urban agglomeration
<b>YRD</b>	Yangtze River Delta urban agglomeration
<b>GHM</b>	Guangdong-Hong Kong-Macao urban agglomeration
<b>GHGs</b>	Greenhouse gases
<b>CO<sub>2</sub></b>	Carbon dioxide
<b>14th FYP</b>	14th Five Year Planning
<b>SNA</b>	Social Network Analysis
<b>UA</b>	Urban agglomeration
<b>GDP</b>	Gross Domestic Product
<b>Theil<sub>POP</sub></b>	Population-weighted Theil index
<b>Theil<sub>GDP</sub></b>	GDP-weighted Theil index
<b>EKC</b>	Environmental Kuznets Curve
<b>Theil<sub>Between(GDP)</sub></b>	GDP-weighted Theil indices between urban agglomerations
<b>Theil<sub>Between(POP)</sub></b>	GDP-weighted Theil indices between urban agglomerations
<b>DC</b>	Degree centrality
<b>BC</b>	Betweenness centrality
<b>CC</b>	Closeness centrality

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