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### 36 Abstract

37 Leaf thermoregulation and consequent leaf-to-air temperature difference ( $\Delta T$ ) are tightly 38 linked to plant metabolic rates and health. Current knowledge mainly focus on the regulation 39 of environmental conditions on  $\Delta T$ , while an accurate assessment of biotic regulations with field data remains lacking. Here, we used a trait-based model that integrates a coupled 40 41 photosynthesis-stomatal conductance model with a leaf energy balance model to explore how six leaf traits (i.e. leaf width, emissivity, visible and near-infrared light absorptance, 42 photosynthetic capacity— $V_{c,max25}$ , and stomatal slope— $g_1$ ) regulate  $\Delta T$  variability across the 43 44 diel timescale. We evaluated the model with field observations collected from temperate to tropical forests. Our results show that: (1) leaf traits mediate large  $\Delta T$  variability, with the 45 46 noon-time trait-mediated  $\Delta T$  variability reaching c. 15.0 °C; (2) leaf width,  $V_{c,max25}$ , and  $g_1$  are 47 the three most important traits and their relative importance in  $\Delta T$  regulation varies strongly 48 across the diel timescale; and (3) model-derived trait- $\Delta T$  relationships match field 49 observations that were collected close to either midday or midnight. These findings advance 50 our understanding of biotic controls of leaf-level  $\Delta T$  variability, highlighting a trait-based 51 representation of leaf energy balance that can improve simulations of diverse leaf 52 thermoregulation strategies across species and physiological responses to climate change.

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54 Keywords: leaf-to-air temperature difference, plant functional traits, leaf energy balance,
55 coupled photosynthesis-stomatal conductance model, trait-based modeling, global sensitivity
56 analysis

## 57 **1. Introduction**

58 Leaf temperature is tightly connected with vegetative functioning at all spatial scales, from 59 individual plants to forest ecosystems. At the individual level, leaf temperature regulates 60 plant ecophysiology through both direct controls on photosynthetic metabolism (Farquhar et 61 al., 1980; Bernacchi et al., 2013) and indirect controls via temperature-associated leaf-to-air 62 vapour pressure deficit (VPD<sub>leaf</sub>) that down-regulates stomatal conductance (Lloyd & Farquhar, 2008). At the ecosystem level, leaf temperature is a key state variable of terrestrial 63 64 biosphere models (TBMs), influencing large-scale biogeochemical cycles and vegetation-65 climate interactions (Best et al., 2011; Bonan et al., 2014; Smith et al., 2020). Despite its importance, leaf temperature has long been approximated using air temperature (Helliker & 66 67 Richter, 2008; Huang et al., 2019). However, increasing field-based evidence challenges this 68 approximation by showing that leaf-to-air temperature difference ( $\Delta T$ ) is considerable and 69 has a highly dynamic nature (Leuzinger & Körner, 2007; Aubrecht et al., 2016; Still et al., 70 2019). Such temperature differences reflect that plants can thermoregulate to decouple their 71 tissue temperature from the ambient air temperature, which suggests that approximating leaf 72 temperature with air temperature could result in large uncertainties in TBMs for simulating 73 terrestrial ecosystem response to climate change (Michaletz et al., 2015; Dong et al., 2017). 74 Therefore, an improved understanding of leaf temperature and associated mechanistic 75 controls of  $\Delta T$  variations remains a central issue in many ecology-related disciplines, with 76 increasing urgency under recent climate change.

77

Two approaches have been used to study leaf temperature and  $\Delta T$ . One is based on a leaf energy balance model (Gates, 1968; Campbell & Norman, 2012). Since this approach has a strong theoretical basis, it has been widely implemented in TBMs to infer leaf temperature and simulate terrestrial ecosystem physiological response to climate variability (Bonan *et al.*, 82 2014; Lawrence et al., 2018). Despite successful implementation in TBMs, there remain 83 some important but understudied parameters in this modeling framework that correspond to 84 key leaf traits. Energy balance equations usually contain multiple trait parameters, most of 85 which are time-consuming to measure at a level and resolution associated with each leaf of interest (Jones, 2013; Michaletz et al., 2016). For example, the measurements of stomatal 86 87 slope and leaf absorptance, require specialised equipment and often take relatively long times to complete for a single tree, even when coordinated by a team (Wu et al., 2019, 2020). For 88 89 simplicity's sake, they are often assigned with fixed values for each plant functional type in 90 TBMs, without considering the potential large trait variability within and across vegetation 91 biomes (e.g. Lin et al., 2015; Wright et al., 2017; Ivanova et al., 2018). Consequently, this 92 coarse characterization of leaf traits has caused inaccurate predictions for leaf temperature 93 (Dong et al., 2017) and vegetation response to climate change (Rogers et al., 2017; Bonan & 94 Doney, 2018).

95

96 The other commonly-used approach is statistically examining the relationships of  $\Delta T$  with 97 leaf traits. For example, leaf width has been shown to have a tight negative correlation with  $\Delta T$  (Lusk et al., 2018). The relationships between  $\Delta T$  and several other leaf traits and 98 99 processes have also been explored, including but not limited to leaf thickness (Leigh et al., 100 2012), margin complexity (Leigh et al., 2017), stomatal conductance (Leuzinger & Körner, 101 2007), and boundary layer resistance (Majcher, 2018). Compared with the leaf energy 102 balance modeling approach, the statistical approach is advantageous in exploring the 103 relationships of  $\Delta T$  with specific traits in regulating  $\Delta T$  dynamics at the finer scale (Jones, 104 1999; Økland, 2007). However, it has been criticized for being semi-empirical and lack of 105 rigorous theoretical basis.

107 As the known trait- $\Delta T$  relationships remain limited to the easier-to-measure leaf traits listed 108 above, other important but relatively difficult-to-measure traits remain underexplored. Take 109 leaf maximum carboxylation capacity scaled to  $25^{\circ}$ C ( $V_{c,max25}$ ) and Medlyn-type stomatal 110 slope  $(g_1)$  as examples. They are two traits tightly connected with stomatal behaviors, and 111 thus importantly regulate plant photosynthesis and transpiration rates (Medlyn et al., 2011; 112 Wu et al., 2017, 2020). Despite this, how they affect  $\Delta T$  is still not fully understood. Additionally, most relevant studies were conducted for a single time of the day, such as noon-113 114 time (Yu et al., 2015) or midnight (Lusk et al., 2018), though we expect these 'static' traits, like  $V_{c,max25}$  and  $g_1$ , to have variable roles on their control of leaf temperature on a diel 115 116 timescale. This is because although these traits remain constant throughout the day, the 117 energy fluxes regulated by these traits, like latent heat and sensible heat, change (Jones, 2013; 118 Still *et al.*, 2019), and may ultimately lead to a diel dynamic effect on  $\Delta T$ . As such, we can 119 infer that the trait- $\Delta T$  relationships derived from a single time may be misleading when 120 applied to other periods of a day. All these limitations result in an incomplete understanding 121 of how biotic factors, particularly multiple associated leaf traits, regulate  $\Delta T$  variability 122 (Dong et al., 2017; Still et al., 2019).

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124 Integrating the leaf energy balance model with the plant physiology model may offer a 125 solution to mechanistically assess how key leaf traits regulate  $\Delta T$  variability via fundamental 126 pathways (Fig. 1). This integration has been previously used in TBMs to explore and predict 127 vegetation-atmosphere interactions (e.g. community land model-CLM 4.5 and 5.0; Oleson et al., 2010; Lawrence et al., 2018), but they are rarely evaluated with comprehensive field 128 data (Dong et al., 2017; Rogers et al., 2017). This is because evaluation requires 129 130 simultaneous measurements of environmental conditions and leaf physiological traits, which are labor-intensive and challenging to measure, especially for tall canopy trees (Wu et al., 131

2019, 2020). Additionally, difficulties in trait measurements can hinder the accurate
parameterization of TBMs (Rogers *et al.*, 2017). A better strategy for model evaluation might
be spending effort on the key leaf traits that are more important in leaf temperature regulation,
while setting less-important traits as constants or approximations, simplifying the model
constraints while ensuring model accuracy. Therefore, it is important to understand which
traits dominate leaf temperature regulation.

138

139 This study aims to develop an improved understanding of biotic controls on  $\Delta T$  variability. 140 Specifically, we address three questions: (i) To what extent can leaf traits affect  $\Delta T$ ? (ii) 141 What are the most important traits that regulate  $\Delta T$  variability, and how do their relative roles 142 vary at the diel timescale? (iii) Do trait- $\Delta T$  relationships based on our coupled model that 143 integrates the leaf energy balance model with the plant physiology model agree with field 144 observations? To address these questions, we used a trait-based leaf energy balance model, 145 consistent with those implemented in TBMs, and a dataset with traits and  $\Delta T$  collected from 146 three distinct types of forests, including a temperate forest, tropical rainforest, and tropical dry forest. With this integrated model and field dataset, we hope to improve the mechanistic 147 148 understanding of trait- $\Delta T$  relationships across both temporal (i.e. diel) and spatial (i.e. leaf 149 samples within and across forest sites) scales.

150

### 151 **2. Materials and methods**

We divided this section into four parts. In the first part, we described a comprehensive dataset including the field observations of environmental conditions, leaf traits, and  $\Delta T$  (made close to midday or midnight). In the second part, we built a trait-based leaf energy balance model and assessed the model's performance with field observations from the above dataset. In the third part, we performed a model sensitivity analysis to quantify to what extent leaf traits 157 mediate  $\Delta T$  variability, and explore trait- $\Delta T$  relationships at the diel timescale. In the last part, 158 we evaluated the model-derived trait- $\Delta T$  relationships by comparing them with field 159 observations.

- 160
- 161 **2.1 Data collection**
- 162 **2.1.1 Data collection close to midday**
- 163 **2.1.1.1 Study sites**

We conducted field measurements at three forest sites: (1) a temperate mixed forest at the Mt. Changbai (CB, location: 42°24'N, 128°06'E) with a mean annual temperature (MAT) of 2.8°C and mean annual precipitation (MAP) of 691 mm (He *et al.*, 2019); (2) a tropical broadleaved evergreen forest at the Xishuangbanna site (XSBN, location: 21°47'N, 101°03'E) with a MAT of 21.8°C and MAP of 1493 mm (Shen *et al.*, 2018); and (3) a Caatinga woodland forest near Petrolina (PE, location: 9°03'S, 40°19'W), with a MAT of 26.2°C and MAP of 510 mm (de Souza *et al.*, 2018).

171

We selected these three sites for two reasons. First, we could access the sunlit leaves of 172 representative canopy tree species of each site, which minimized other factors (e.g. 173 174 illumination conditions) that also caused  $\Delta T$  variability. Specifically, a canopy crane facility is available at each of the CB and XSBN sites that enabled easy access to canopy trees within 175 176 a one-hectare area surrounding the canopy crane tower. At the PE site, we accessed sunlit 177 canopy leaves using a horticultural ladder due to the relatively lower height of the trees 178 (Majcher, 2018). Second, there was a vast diversity of biotic and abiotic conditions across 179 these sites, including tree species, temperature, precipitation, and soil types (Cao et al., 2006; 180 Wu et al., 2006), which allowed for a broader-scale evaluation of our model.

### 182 **2.1.1.2** Field measurements of environmental variables, leaf traits, and $\Delta T$

183 At the CB and XSBN sites, field measurements were conducted during the peak growing 184 season (July-August) of 2019, and all the leaves surveyed were fully expanded leaves to 185 minimize the effects of leaf age. Environmental variables including photosynthetically active radiation (PAR), air temperature ( $T_{air}$ ), relative humidity (RH), and wind speed (u) were 186 187 logged by a weather station (WatchDog 2550) at half-hour intervals. Four leaf traits:  $V_{c,max25}$ 188 (measured by portable gas exchange systems-LI-6400XT); visible and near-infrared light 189 absorptance ( $\alpha_{PAR}$  and  $\alpha_{NIR}$ ; measured by a spectrometer—Spectra Vista Corporation, SVC, 190 HR-1024i); leaf width derived via the scanned images and ImageJ software (version 1.53); 191 and  $T_{\text{leaf}}$  close to solar noon (measured by a thermal camera between 10:30 am and 1:30 pm, 192 FLIR-T650sc AB, Band range: 7.5-13.0 µm) were measured for sunlit leaves from 19 canopy 193 trees across 7 abundant tree species in CB and 26 canopy trees across 15 abundant tree 194 species in XSBN (Table S1), following the protocols used in previous studies (Majcher, 195 2018; Wu et al., 2019; Yan et al., 2021).

196

197 At the PE site, field measurements were conducted during the middle-to-end of the growing 198 season (February-May) of 2018 and all the leaves surveyed have been strictly controlled on 199 the fully expanded leaves to minimize the effects of leaf age. The environmental variables 200 collected (consistent with CB and XSBN sites) were derived from a local flux tower 201 belonging to Embrapa Semi-Árido, Brazil. Leaf width,  $T_{\text{leaf}}$ , and  $\Delta T$  close to solar noon were 202 measured for sunlit leaves from 27 canopy trees across 13 most abundant Caatinga tree 203 species (Table S1). It is worth noting that there were no measurements of leaf emissivity 204 ( $\varepsilon_{\text{leaf}}$ ) and  $g_1$  in our three sites (Table 1).

205

## 206 **2.1.2 Data collection close to midnight**

207 At the CB, XSBN, and PE sites, we lacked night-time  $T_{\text{leaf}}$  and paired environmental 208 observations to derive and validate the trait- $\Delta T$  relationship because the relevant facilities 209 could not be used at night due to safety concerns. Thus, we turned to the datasets assembled 210 from previous publications to retrieve environmental data, leaf traits, and  $\Delta T$ . For this, in 211 September 2021, we searched the Web of Science Core Collection database using the 212 combined keywords of 'leaf temperature' and 'leaf traits' and 'night' as the search terms, returning a total of 204 peer-reviewed papers. We thoroughly reviewed these articles and 213 214 checked whether they included paired night-time measurements of any of the six leaf traits in our model and all of the necessary environmental data to model  $T_{\text{leaf}}$ . Based on the above 215 216 criteria, two papers, Lusk & Clearwater (2015) and Lusk et al. (2018), were found to include 217 90 leaves with paired measurements from 21 tree species of two temperate forest sites in New 218 Zealand close to midnight.

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From these papers, environmental variables, including air temperature, humidity, wind speed, and PAR (that was by default set zero at night), were recorded by meteorological sensors with data loggers. Leaf temperature was measured by a thermocouple. Only one trait, leaf width, was measured, and the other five traits in our model were not observed in any previous study.

225

## 226 2.2 Trait-based leaf energy balance model and model assessments

## 227 **2.2.1 A trait-based leaf energy balance model**

To represent trait- $\Delta T$  relationships in a mechanistic way, we built a trait-based model, in which leaf traits were used as model parameters. Our trait-based model integrated a plant physiology model (Wu *et al.*, 2017) with a steady-state leaf energy balance model (Equations 1-2; Campbell & Norman, 2012), where leaf temperature ( $T_{\text{leaf}}$ ; °C) or  $\Delta T$  is derived based on 232 the balance between the net radiation flux ( $R_n$ ; W m<sup>-2</sup>), latent heat flux ( $\lambda E$ ; W m<sup>-2</sup>), and 233 sensible heat flux (H; W m<sup>-2</sup>).

$$H = R_n - \lambda E \tag{1}$$

235 
$$\Delta T = T_{\text{leaf}} - T_{\text{air}} = H / (c_p \times g_H) = (R_n - \lambda E) / (c_p \times g_H)$$
(2)

236 
$$\lambda E = \lambda \times \frac{g_s \times g_b}{g_s + g_b} \times \text{VPD}_{leaf} \times \frac{1}{P_a}$$
(3)

237 where  $c_p$  refers to the specific heat capacity of air;  $g_s$  and  $g_b$  are stomatal conductance and 238 boundary layer conductance for water vapour, respectively;  $P_a$  is the atmospheric pressure;  $g_H$ 239 is boundary layer conductance and can be described as a function of leaf width (d) and wind 240 speed (u) (see Table S2 for details).  $\lambda E$  in Equation 3 is determined by the leaf-to-air VPD 241 and diffusivity conductance (the function of  $g_s$  and  $g_b$ ), where  $g_s$  can be described using a 242 stomatal conductance model (Medlyn et al., 2011). The Medlyn-type stomatal conductance 243 model was used because it is a mechanistic model based on the optimality theory and has 244 been shown to model gs with high accuracy (e.g. Duursma, 2015; Wu et al., 2020).

245

246 Because net assimilation rate  $(A_n)$ , often characterized by the Farquhar-von Caemmerer-Berry 247 photosynthesis model (FvCB; Farquhar et al., 1980), is an essential input for the Medlyn-type 248 g<sub>s</sub> model, the coupling of the two models (FvCB-Medlyn model) would allow for direct 249 modeling of  $g_s$ . The FvCB-Medlyn modeled  $g_s$ , together with leaf traits and environmental 250 variables, is then used to derive the energy fluxes (e.g.  $\lambda E$ ) in the energy balance model 251 (Janka et al., 2016). Specifically, the integration of these models (i.e. leaf energy balance 252 model and FvCB-Medlyn model) consists of three steps. First, the initial values of  $T_{\text{leaf}}$  (set as  $T_{air}$ ) and  $C_i$  (set as  $0.7 \times C_a$ ,  $C_a=400$  ppm), together with other relevant variables and 253 254 parameters (e.g. V<sub>c.max</sub>, PAR, and so on, see Table S4), were input into the FvCB model to 255 obtain net photosynthesis rate  $(A_n)$  (indicated by the yellow box of Fig. S1). Second, after obtaining the  $A_n$  value, stomatal conductance  $(g_s)$  was then calculated using the Medlyn-type 256

model, followed with an update value on  $C_i$  based on the Equation of  $C_i = C_a$ -1.6\* $A_n/g_s$ (Warren & Adams, 2006). We kept looping these two steps until the convergence of  $C_i$  and  $g_s$ (indicated by the green box in Fig. S1). Third, we input the  $g_s$  into the leaf energy balance equation to calculate the three energy fluxes (i.e.  $R_n$ ,  $\lambda E$ , and H) for deriving  $T_{\text{leaf}}$ , and kept looping all the above three steps until these energy fluxes being balanced (i.e.  $R_n$ -H- $\lambda E$ =0). As a result, the final modeled  $T_{\text{leaf}}$  was derived.

263

264 There are three types of model inputs in our trait-based leaf energy balance model. The first type includes six leaf traits (Fig. 1), namely,  $\varepsilon_{\text{leaf}}$ ,  $\alpha_{\text{PAR}}$ ,  $\alpha_{\text{NIR}}$ , d,  $V_{\text{c,max25}}$ , and  $g_1$ . We here did 265 not include leaf mass per area (LMA, a key leaf trait in TBMs), since it is not directly linked 266 267 to the leaf-level energy balance equation (see Fig. 1 and Equations in Table S2). The second type is environmental variables, including  $T_{air}$  (°C), PAR (µmol m<sup>-2</sup> s<sup>-1</sup>), atmospheric CO<sub>2</sub> 268 concentration ( $C_a$ ; ppm), RH (%), and u (m s<sup>-1</sup>). The third type is model constants, such as the 269 specific heat capacity of air ( $c_p = 29.3 \text{ J mol}^{-1} \text{ K}^{-1}$ ) and latent heat of vaporization of water ( $\lambda =$ 270 44000 J mol<sup>-1</sup>). The details of these inputs are shown in Table S4. 271

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Due to some higher-order nonlinear energy terms associated with  $T_{\text{leaf}}$  in the energy balance 273 274 equations (e.g. Equations 4 & 18 of Table S2), there is no analytical solution for  $T_{\text{leaf.}}$  Instead, 275 two alternative approaches are often proposed, namely, the linear approximation approach 276 (Paw, 1987) and numerical solution (Gutschick, 2016). The linear approximation approach 277 uses a linearized first/second-order Taylor approximation for the nonlinear terms, but its accuracy decreases with an increase in  $\Delta T$  (Tracy *et al.*, 1984). In the second approach, the 278 279 iteration process starts with assigning an initial value of  $T_{\text{leaf}}$  (e.g.  $T_{\text{leaf}}=T_{\text{air}}$ ), followed by calculated intermediate variables and associated updated value of  $T_{\text{leaf}}$ , and then repeats the 280 above process until the whole set of energy equations are balanced. In our study, we used the 281

second approach to solve  $T_{\text{leaf}}$  since it is more accurate (Gutschick, 2016). Our trait-based leaf energy balance model was coded in MATLAB (R2019a; MathWorks Inc., Natick, Massachusetts), following the framework and equations shown in Fig. S1 and Table S2-S3. Our code and all the data used in this study are available on this GitHub page: https://github.com/guozhengfei/trait-based-leaf-energy-balance-model.

287

### 288 **2.2.2 Model assessments**

289 To assess model accuracy, we compared modeled  $T_{\text{leaf}}$  and  $\Delta T$  with corresponding field 290 observations. Specifically, leaf traits and associated *in-situ* measurements of environmental 291 variables at the CB and XSBN sites were used to drive the trait-based model (the details are 292 shown in Table 1). As we didn't measure  $g_1$  in our field records, we used a global synthesis 293 study (Lin et al., 2015) and assigned PFT (plant functional type)-specific g<sub>1</sub> values for CB 294  $(g_1=4.64)$  and XSBN  $(g_1=3.77)$ , respectively. Our analysis shows strong agreements between both model-derived  $T_{\text{leaf}}$  and  $\Delta T$  with field observations (Fig. S2;  $R^2=0.93$  for  $T_{\text{leaf}}$  and 295 296  $R^2=0.71$  for  $\Delta T$ ), consistent within and across the two forest sites.

297

# 298 **2.3 Model sensitivity analysis**

After model evaluation, we carried out a global sensitivity analysis on the trait-based model to address two questions: (1) To what extent do leaf traits regulate  $\Delta T$  variability? (2) What are the most important traits that regulate  $\Delta T$  variability, and how do their relative roles vary at the diel timescale? To address these two questions, we used a set of *in-situ* measurements of environmental variables as the model input while allowing the trait variability to cover the full range of values reported by the literature (Table 2).

306 There are four steps in the model sensitivity analysis. Step 1 is the set-up of environmental 307 variables (Table 2). We used the *in-situ* diel measurements of all environmental variables (Fig. S3) except wind speed. The wind speed values were set as constants for daily averages 308 309 following the similar protocol of Wright *et al.* (2017) to control the  $\Delta T$  variability caused by 310 the irregular disturbance of wind speed (Fig. S3d) while emphasizing the  $\Delta T$  variability 311 resulting strictly from the biotic controls. To further validate this approach, we conducted a 312 series of model simulations, and demonstrated that despite the wind speed having a strong 313 influence on the magnitude of  $\Delta T$  (Fig. S4), the model-derived trait- $\Delta T$  relationships 314 remained comparable across various wind speed scenarios (Fig. S5).

315

316 Step 2 is the set-up of leaf traits. We built up a one-time random sampling for each of all six 317 leaf traits using Sobol' quasi-random sequences (Sobol' et al., 2011), each of which has a 318 uniform distribution following a predefined trait range from the literature (Table 2). The 319 uniform random sampling approach was used here based on an assumption that these six 320 traits are independent of each other. To ensure this assumption is reasonable, we analyzed the 321 correlations among four measured leaf traits (i.e. leaf size, PAR absorptance, NIR absorptance, and  $V_{c,max25}$ ) and the result confirms no covariance among them (Fig. S6). We 322 323 then performed an additional analysis with the sample size varying from 100 to 10000 and 324 found that the explored trait- $\Delta T$  relationships stabilized when the sample size was above 2000 325 (Fig. S7). We thus used a sample size of 2000 for the construction of our random trait 326 combinations.

327

328 Step 3 is model simulations using our trait-based leaf energy balance model. With the 329 ensemble of trait combinations (n=2000) generated in step 2, together with the prescribed diel 330 environmental variables (in half-an-hour intervals) in step 1, we ran the trait-based leaf energy balance model to infer  $T_{\text{leaf}}/\Delta T$ , from which we further calculated the variability range of the modeled  $T_{\text{leaf}}$  and  $\Delta T$  for each time step over the full diel timescale, addressing our question 1.

334

Step 4 is variance partitioning. For each time step (with constant environmental conditions) throughout the diel timescale, we employed a widely used global variance-based sensitivity analysis algorithm (EFAST, Saltelli *et al.*, 2010), which captures both the direct and indirect effects of model parameters on model output. Using this method, we partitioned the variance of modeled  $T_{\text{leaf}}$  and  $\Delta T$  to the variability of each leaf trait, addressing our question 2.

340

We also output several intermediate model state variables, including  $R_n$ ,  $\lambda E$ , and  $g_H$ , to help explain the mechanism underlying traits' role in regulating  $\Delta T$  variability at the diel timescale.

## 344 **2.4** Trait-Δ*T* relationships evaluation with field observations

345 To address our question 3 (Do modeled trait- $\Delta T$  relationships agree with field observations?), we evaluated model-derived trait- $\Delta T$  relationships against field observations made close to 346 midday or midnight (Table 3). Here, traits' relative importance on  $\Delta T$  could be represented 347 by the  $R^2$  of trait- $\Delta T$ , the higher the corresponding  $R^2$ , the greater the relative importance, (Fig. 348 349 S8). For the midday evaluation, we used field measurements made close to local solar noon 350 (10:30 am-1:30 pm) under clear-sky days at our three forest sites, and analysed the 351 relationships of  $\Delta T$  with  $V_{c,max25}$ ,  $\alpha_{PAR}$ ,  $\alpha_{NIR}$ , and leaf width. For the midnight evaluation, we used field observations (made close to midnight around between 0:00 am and 2:00 am) 352 353 assembled from previous publications (i.e. Lusk & Clearwater, 2015; Lusk et al., 2018), 354 including leaf width,  $\Delta T$ , and relevant environmental data, to derive and evaluate the leaf trait- $\Delta T$  relationship. Regardless of the trait- $\Delta T$  relationships derived from models and 355

observations, we used ordinary least-squares regressions to examine these relationships. It is also important to note that the trait-based energy balance model was driven by the same set of environmental variables corresponding to field-based paired measurements of traits and  $\Delta T$ for each site (Table 3).

360

361 **3. Results** 

### 362 **3.1 Diel variations in model-derived** $T_{\text{leaf}}$ and $\Delta T$

The diel patterns were assessed with two metrics, the mean and range of  $T_{\text{leaf}}$  and  $\Delta T$ , both of which showed large but consistent diel patterns across all the three forest sites (Fig. 2). Specifically, for each site, we observed that both environmental variables and leaf traits drove the diel variations in  $T_{\text{leaf}}$  and  $\Delta T$ , with the diel changes in mean  $T_{\text{leaf}}$  and  $\Delta T$  primarily driven by the diel environmental variability since the same set of leaf traits were used throughout the day. However, as the environmental conditions were set constant for each half-hour time point, the range of  $T_{\text{leaf}}$  and  $\Delta T$  (i.e. the error bars in Fig. 2) was caused solely by leaf traits.

370

The diurnal variation in  $\Delta T$  could further be divided into the following three phases: 1) from early morning to middle afternoon (e.g. 6 am to 4 pm in Fig. 2a-ii),  $\Delta T$  was positive with an initial increase in its mean and range until peak (*c*. 15.0°C in  $\Delta T$  range) at noon, followed with slight declines afterwards; 2) from mid-afternoon to sunset (e.g. 4 pm to 8 pm in Fig. 2aii),  $\Delta T$  was all negative with continuous decreases in its mean and range; and 3) during the night-time (e.g. before 6 am or after 8 pm in Fig. 2a-ii),  $\Delta T$  was all negative and nearly constant for both its mean (*c*. -2.0°C ) and range (*c*. 3.0°C ).

378

To explore the drivers of the diel  $\Delta T$  variation, we assessed the diel dynamics of  $R_n$ ,  $\lambda E$ , and  $g_H$  that determined  $\Delta T$ . We observed nearly constant mean and range values of  $g_H$  across the 381 full diel timescale (Fig. 2a,b,c-iii), suggesting that  $g_H$  was not the state variable responsible 382 for the model-derived  $\Delta T$  variability. In contrast,  $R_n$  and  $\lambda E$  demonstrated significant diurnal 383 variations, and the difference between these two variables  $(R_n - \lambda E)$  precisely tracked the 384 diurnal pattern in  $\Delta T$  in terms of both sign and range of its variability, with positive  $R_n - \lambda E$ leading to positive  $\Delta T$  and vice versa (Fig. 2a,b,c-iv). For night-time, since  $\lambda E=0$ , the  $\Delta T$ 385 386 variability was primarily determined by the ratio of  $R_n/g_H$ , where  $R_n$  and  $g_H$  were both nearly constant in their means and ranges (Fig. 2a,b,c-iii and Fig. 2a,b,c-iv), resulting in relatively 387 388 stable  $\Delta T$  during the night-time (Fig. 2a,b,c-ii).

389

## 390 **3.2** Relative importance of leaf traits in regulating $\Delta T$ variability at the diel timescale

391 Our results identified leaf width,  $V_{c,max25}$ , and  $g_1$  as the three most important traits that 392 cumulatively explained over 90% of  $\Delta T$  variability. Their relative importance was not static, 393 showing strong variations across the full diel timescale (Fig. 3). During night-time, leaf width 394 explained over 90% of the model-derived  $\Delta T$  variability, with leaf emissivity accounting for 395 the remaining part. During day-time,  $g_1$  and  $V_{c,max25}$  had increased relative importance, and together with leaf width explained around 90% of the  $\Delta T$  variability (Figs. 3 and S10). 396 Specifically, the relative importance of these three traits was observed to follow a W-shaped 397 398 pattern during day-time, and the timing when  $T_{\text{leaf}}$  equals  $T_{\text{air}}$  because  $R_n = \lambda E$  determined the 399 critical transition points of the W-shape, during which the relative role of leaf width was 400 diminished while showing a higher value before and afterwards. In contrast, the relative 401 importance of  $g_1$  and  $V_{c,max25}$  showed complementary and inversed trends to that of leaf width. 402 Finally, we demonstrated a varying response of each state variable (i.e.  $R_n$ ,  $g_H$ , and  $\lambda E$ ) to 403 specific leaf traits as the main reason for why traits displayed varied importance in regulating 404  $\Delta T$  (Fig. 4).

### 406 **3.3 Evaluations of the model-derived trait-** $\Delta T$ relationships with field observations

407 For midnight, only one trait, leaf width, was found to have been reported in the literature matching our search criteria. Regardless, both field observations and model results 408 demonstrated that leaf width explained most of the night-time  $\Delta T$  variability, with  $\Delta T$ 409 decreasing exponentially with leaf width ( $R^2$ =0.89 for observations and  $R^2$ =0.97 for model; 410 411 Fig. 5), agreeing with other studies (Wright et al., 2017; Lusk et al., 2018). During midday, among the four traits analysed ( $V_{c,max25}$ , leaf width,  $\alpha_{PAR}$ , and  $\alpha_{NIR}$ ), the trait- $\Delta T$  relationships 412 derived from field observations and model were very comparable (Fig. 6). Specifically, both 413 observations and model demonstrated a negative exponential relationship between  $V_{c,max25}$ 414 and  $\Delta T$  (R<sup>2</sup>=0.17-0.33 for observations and R<sup>2</sup>=0.22 for model; Fig. 6a), a positive 415 416 exponential relationship between leaf width and  $\Delta T$  (Fig. 6b), and weak relationships 417 between  $\Delta T$  with  $\alpha_{PAR}$  and  $\alpha_{NIR}$  (Fig. 6c-d).

418

### 419 4. Discussion

## 420 **4.1 Important biotic regulations of** $\Delta T$ variability

421 For long, plant species have been suggested to be characterized by a set of plant traits 422 (Wright et al., 2004; Weng et al., 2017; Franklin et al., 2020). Here, we used a trait-based 423 leaf energy balance model to investigate the dominant biotic controls on  $\Delta T$ . Our results 424 demonstrate that the range of  $\Delta T$  variability is strongly mediated by a combination of leaf 425 traits (Fig. 2a,b,c-ii) across the diel timescale. The observed large variability in trait-mediated 426  $\Delta T$ , including the range of  $\Delta T$  variability peak at noon (from -2.9 to 11.9°C) and minimum at midnight (from -3.5 to -0.1°C), is consistent with several previous field-based studies. For 427 428 example, researchers found that the  $\Delta T$  variation at the interspecific level varied from 0.3 to 429 4.8°C in a mixed temperate deciduous forest in Switzerland (Leuzinger & Körner, 2007), and -2.8 to 7.5°C in a tropical evergreen forest in Southeast China (Dong et al., 2016). Meanwhile, 430

431 consistently negative night-time  $\Delta T$  across species ranging from -3.2 to -0.8°C in a temperate 432 deciduous forest in New Zealand (Lusk *et al.*, 2018) and from -3.0 to -0.5°C in a desert plant 433 community in Egypt (Hegazy & El Amry, 1998) were also observed. Our results, together 434 with these previous studies, highlight the importance of leaf traits in regulating  $\Delta T$  variability. 435

436 Our finding of large trait-associated  $\Delta T$  variability also calls for caution on using  $\Delta T$  for plant health monitoring (Gerhards *et al.*, 2016; Jin *et al.*, 2017). Recently, the monitoring of  $T_{\text{leaf}}$  or 437 438  $\Delta T$  has been increasingly advocated as an integral component for plant health monitoring, since under similar environmental conditions, a higher  $T_{\text{leaf}}$  or  $\Delta T$  is more likely associated 439 440 with reduced plant transpiration and water stress statuses (Isoda, 2010; Gerhards et al., 2016; 441 Niu & Xiang, 2018). This approach might work well when tracking the same plant species 442 across local-space and limited-time scales (Jones & Leinonen, 2003; Sagan et al., 2019). However, it is risky when monitoring species-rich vegetative communities where trait-443 444 induced  $\Delta T$  variability can be large, as a higher  $\Delta T$  may be caused exclusively by leaf traits 445 rather than stress status (e.g. Fig. 2). Consequently, we recommend considering these intrinsic biotic regulations of  $\Delta T$  when using thermal remote sensing techniques to monitor 446 447 plant health statuses.

448

# 449 **4.2** Dynamic relative importance of leaf traits in regulating $\Delta T$ variability at the diel 450 timescale

451 Our study demonstrated that leaf width,  $V_{c,max25}$ , and  $g_1$  are the three dominant traits 452 regulating  $\Delta T$ , followed by  $\alpha_{\text{NIR}}$ ,  $\alpha_{\text{PAR}}$ , and emissivity (Fig. 3). Although leaf width regulating 453  $\Delta T$  through altering leaves' boundary larger conductance has been well known (Jones, 2013; 454 Aubrecht *et al.*, 2016), the dominant role of  $g_1$  and  $V_{c,max25}$  on  $\Delta T$  regulation has rarely been 455 reported previously.  $g_1$  and  $V_{c,max25}$  regulate  $T_{\text{leaf}}$  and  $\Delta T$  primarily because they control the 456 stomatal behaviours, and thus cooling air temperature through leaf transpiration. Specifically, 457 as shown in Equation 4 (Medlyn *et al.*, 2011), under a given environmental condition,  $g_s$ 458 scales linearly with stomatal slope ( $g_1$ ) and net assimilation rate ( $A_n$ ), which is positively 459 correlated with  $V_{c,max25}$  (Farquhar *et al.*, 1980).

460 
$$g_s = 1.6 \times \left(1 + \frac{g_1}{\sqrt{VPD}}\right) \times \frac{A_n}{C_a}, \ A_n \propto V_{c,max25}$$
(4)

461

Additionally, the relative importance of leaf traits in regulating  $\Delta T$  is not static, instead, they 462 463 show strong diel variation (Fig. 3). To our knowledge, this is the first study uncovering a 464 novel insight into diel changes in the proportionate importance of leaf traits in regulating  $\Delta T$ 465 dynamics. Meanwhile, the dynamic traits- $\Delta T$  relationship derived by our trait-based model 466 during night-time and day-time is further confirmed with field observations (Figs. 4 and 5). 467 These dynamic trait- $\Delta T$  relationships further suggest that previous empirical explorations of 468 trait- $\Delta T$  relationships that focus on either some traits with no direct links with leaf energy 469 balance (e.g. leaf circularity; Majcher, 2018) or certain fixed time point of measurements (e.g. 470 Leuzinger & Körner, 2007; Leigh et al., 2012) are limited. A recent study has also pointed 471 out that traits that are common but not directly linked to energy balance (e.g. nitrogen fraction and  $\delta^{13}$ C) are not very useful in predicting  $T_{\text{leaf}}$  or  $\Delta T$  (Blonder *et al.*, 2020). 472

473

The reason for the change in the relative importance of leaf traits in regulating  $\Delta T$  is that  $R_n$ , *H*, and  $\lambda E$  jointly determine  $\Delta T$ , while each of them is jointly determined by both environmental variables (change throughout the day) and associated leaf traits (Fig. 1). For example, as shown in Fig. 2,  $R_n$  displays a clear diel trend following a similar shape as the diel pattern of PAR. Diurnal variation in  $\lambda E$  is tightly related to diurnal variation in leaf ecophysiology (i.e. stomatal conductance tied to  $V_{c,max25}$  and  $g_1$ ) and VPD (Figs 2 and S4). Since the diurnal patterns in  $R_n$  and  $\lambda E$  are different, it further suggests that the relative roles 481 of  $R_n$  and  $\lambda E$  in determining  $\Delta T$  (proportional to  $R_n - \lambda E$ ) can be different across the diel time 482 scale. Together with the different roles of each trait on mediating  $R_n$  and  $\lambda E$  (Fig. 4), these 483 ultimately lead to the dynamic relative role of each trait in regulating  $\Delta T$ .

484

With these findings, our work generates two implications. Firstly, our observed diel variation 485 486 in leaf width- $\Delta T$  relationships helps explain the macro patterns of leaf width distribution across large environmental gradients. Consistent with several previous field-based studies 487 488 (Lusk *et al.*, 2018; Majcher, 2018), our results demonstrate a tight and negative leaf width- $\Delta T$ 489 relationship during night-time (Fig. 5) and a positive leaf width- $\Delta T$  relationship during day-490 time (Fig. 6b). The observed negative leaf width- $\Delta T$  relationship at night may imply that 491 plants living in cold regions tend to maintain smaller leaf width to avoid night-time over-492 cooling. The observed positive leaf width- $\Delta T$  relationship during day-time may imply that 493 plants living in hot and dry environments tend to maintain smaller leaf width to avoid day-494 time overheating. Both of them are consistent with many empirical observations that have 495 been conducted across large temperature (Peppe et al., 2011; Wright et al., 2017; Lusk et al., 496 2018) or rainfall (McDonald et al., 2003; Li et al., 2020) gradients. These together suggest 497 that trait-mediated plant thermoregulation can be an important strategy to help interpret 498 macroecological patterns of climate-trait relationships, and our trait-based leaf energy 499 balance model could be a potential tool for this exploration. In addition to leaf 500 thermoregulation as a candidate strategy, it is also worth noting that many other factors (e.g. 501 plant height, water, light, and nutrient availability) can operate as alternative mechanisms in 502 structuring the biogeography of leaf width (Wright et al., 2017; Lusk et al., 2018).

503

Secondly, both our modeled and field-derived trait- $\Delta T$  relationships highlight that there are key leaf traits regulating  $\Delta T$  and should be accurately represented in modeling. Since the 506 overall framework of our trait-based modeling approach is similar to that module in TBMs, 507 our findings have direct implications for TBMs as well. The leaf energy balance model has 508 long been a critical component for simulating plant ecophysiological responses in TBMs 509 (Oleson et al., 2010; Lawrence et al., 2018), but their trait parameterization remains 510 oversimplified, e.g. assigning fixed trait values for each plant functional type, resulting in the 511 large modeling uncertainty (Rogers et al., 2017; Fisher et al., 2018). Our finding of large modeled trait-mediated  $\Delta T$  variability, as well as the dynamics of traits' relative roles 512 513 throughout the diel timescale, suggests the importance of incorporating multiple traits, 514 particularly leaf width,  $V_{c,max25}$ , and  $g_1$ , and associated trait variability in TBMs.

515

# 516 **4.3 Caveats and future directions**

517 Our work also has two important caveats that need to be improved in the future. First, our 518 demonstration of biotic regulations of  $\Delta T$  relied on a specific abiotic condition (i.e. clear-sky 519 days and without environmental stress). This simplification was essential to help understand 520 the dominant biotic controls, but is not complete as  $\Delta T$  is jointly determined by leaf traits and 521 environmental conditions (see our trait-based leaf energy balance model and also Michaletz et al., 2015; Gutschick, 2016). Especially when plants are under environmental stress, e.g. 522 523 extreme heat/drought environment, leaves may have a thermal response that differs from 524 normal conditions, because atmospheric water demand (VPD) increases but soil water supply 525 (e.g. soil moisture) decreases, affecting the plant water use efficiency (reflected by the change 526 in leaf water potential, g<sub>1</sub> and V<sub>c.max25</sub>; e.g. Zhou et al., 2014; Anderregg et al., 2017), transpiration, and thus  $T_{\text{leaf.}}$  Additionally, we only collected paired measurements of  $\Delta T$  and 527 528 leaf traits at the peak growing season of limited sites close to midday or midnight to validate 529 our results, with a lack of observations at other time points throughout the diel timescale or 530 other seasons of the year. Therefore, a comprehensive understanding and model evaluation of 531 the  $\Delta T$  variability across more representative field sites over various environmental 532 conditions (e.g. across the full growing season or under environmental stress) and the full diel 533 timescale is still needed.

534

Second, to minimize additional sources of uncertainty, we focused this study on the leaf level 535 536 and did not consider night-time transpiration. However, night-time transpiration for some plants cannot be ignored (Caird et al., 2007; Sadok & Jagadish, 2020), and should be 537 538 considered in future studies. Meanwhile, the understanding of dominant biotic regulations of 539  $\Delta T$  at the canopy or ecosystem level is even more important, but is more challenging as well 540 (Gutschick, 2016; Zellweger et al., 2019). Larger scales representing entire plants or forest 541 ecosystems as a whole responding to the ambient environment can be evaluated with 542 measurements of sap flow, eddy covariance, and proximate and satellite remote sensing 543 (Doughty et al., 2008; Newman et al., 2019). With the validation of the trait-based energy 544 balance model in this study, the next important step is to extend the current modeling work 545 from leaf to canopy and ecosystem levels, which ultimately allows us to quantitatively assess the roles of canopy structure, leaf traits, and microclimate (Jucker et al., 2018; Zellweger et 546 al., 2019) in regulating  $\Delta T$  variability from individual plants to forest ecosystems. The global 547 548 plant traits dataset (e.g. TRY; Kattge et al., 2020) and the technique using vegetation spectroscopy to infer leaf traits (Serbin et al., 2015; Wu et al., 2019) would offer important 549 550 datasets for such scaling exploration, and these efforts are still greatly needed in the future.

551

### 552 **5. Conclusions**

In this study, we developed a coupled trait-based leaf energy balance model to explore the role of different leaf traits in mediating leaf thermoregulation (approximated by  $\Delta T$ ). Our results show that leaf traits were important regulators of  $\Delta T$  variability across the full diel 556 timescale (Fig. 2), highlighting the importance of considering biotic controls when predicting 557 leaf temperature. Among the six leaf traits included in the model, leaf width,  $V_{c,max25}$ , and  $g_1$ were the most important, and their relative importance in regulating  $\Delta T$  varied considerably 558 559 throughout the day (Fig. 3), agreeing with field observations (Figs. 5 and 6). This suggests 560 these three are the key leaf traits that need to be accurately parameterized when modeling leaf 561 temperature and associated physiological processes, as well as in TBMs aiming to study the large-scale pattern of vegetation and atmosphere interactions. Collectively, our study 562 improves the process understanding of biotic regulations of  $\Delta T$ , offering a trait-based 563 564 mechanistic approach to improve our understanding of leaf thermoregulation strategies across 565 plant individuals and associated modeling of plant physiological response to climate 566 variability.

567

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586

# 587 Author contributions

- 588 J.W. and Z.G. designed the study. Z.G., Z.Y., B.M.M., Y.Z., G.S., B.W., X.W., Y.D., and
- 589 J.W. contributed to data collection. Z.G. and J.W. carried out all the data analyses, and Z.Y.,
- 590 B.M.M., C.K.F.L., S.T.M., Y.R., A.L., H.-M.L., M.W., and L.L. participated in the result
- 591 interpretation. Z.G, Y.Z., B.M.M., C.K.F.L., and J.W. drafted the paper and all authors
- 592 contributed to the writing of the manuscript.

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833	

834 Table 1. The source of environmental and trait data (grey zone) used for driving and evaluating a trait-based leaf energy balance model in

835	simulating $T_{\text{leaf}}$ and $\Delta$	T at the	Changbai (Cl	B) and X:	ishuangbanna	(XSBN) (	canopy cra	ane sites.
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$T_{air}$ Air temperature°CCB & XSBN sitesWeather statio $u$ Wind speedm s <sup>-1</sup> CB & XSBN sitesWeather statioPARPhotosynthetically active radiation $\mu$ mol m <sup>-2</sup> s <sup>-1</sup> CB & XSBN sitesWeather statioRHRelative humidityCB & XSBN sitesWeather statio $d$ Leaf widthmCB & XSBN sitesWeather statio $d_{PAR}$ Absorptance of PAR bandCB & XSBN sitesSVC and PRO $\alpha_{NIR}$ Absorptance of NIR bandCB & XSBN sitesSVC and PRO $elaf$ Leaf emissivityset as 0.95 $V_{c,max 25}$ Maximum carboxylation rate at 25°C $\mu$ mol m <sup>-2</sup> s <sup>-1</sup> CB & XSBN sitesLI-COR 6400 $g_1$ Stomatal slopePFT-specific <sup>[1]</sup>	Symbol	Description	Unit	Source	Equipment/software
$u$ Wind speedm s <sup>-1</sup> CB & XSBN sitesWeather stationPARPhotosynthetically active radiation $\mu$ mol m <sup>-2</sup> s <sup>-1</sup> CB & XSBN sitesWeather stationRHRelative humidityCB & XSBN sitesWeather station $d$ Leaf widthmCB & XSBN sitesImage J $a_{PAR}$ Absorptance of PAR bandCB & XSBN sitesSVC and PRO $a_{NIR}$ Absorptance of NIR bandCB & XSBN sitesSVC and PRO $e_{leaf}$ Leaf emissivitySet as 0.95 $V_{c,max 25}$ Maximum carboxylation rate at 25°C $\mu$ mol m <sup>-2</sup> s <sup>-1</sup> CB & XSBN sitesLI-COR 6400 $g_1$ Stomatal slopePFT-specific <sup>[1]</sup>	$T_{\rm air}$	Air temperature	°C	CB & XSBN sites	Weather station
PARPhotosynthetically active radiation $\mu$ mol m <sup>-2</sup> s <sup>-1</sup> CB & XSBN sitesWeather stationRHRelative humidityCB & XSBN sitesWeather stationdLeaf widthmCB & XSBN sitesImage J $\alpha_{PAR}$ Absorptance of PAR bandCB & XSBN sitesSVC and PRO $\alpha_{NIR}$ Absorptance of NIR bandCB & XSBN sitesSVC and PRO $e_{leaf}$ Leaf emissivitySet as 0.95 $V_{c,max 25}$ Maximum carboxylation rate at 25°C $\mu$ mol m <sup>-2</sup> s <sup>-1</sup> CB & XSBN sitesLI-COR 6400 $g_1$ Stomatal slopePFT-specific <sup>[11]</sup> TLeaf temperature°CCB & XSBN sitesThermal apperature	и	Wind speed	m s <sup>-1</sup>	CB & XSBN sites	Weather station
RHRelative humidityCB & XSBN sitesWeather station $d$ Leaf widthmCB & XSBN sitesImage J $a_{PAR}$ Absorptance of PAR bandCB & XSBN sitesSVC and PRO $a_{NIR}$ Absorptance of NIR bandCB & XSBN sitesSVC and PRO $e_{leaf}$ Leaf emissivityset as 0.95 $V_{c,max 25}$ Maximum carboxylation rate at 25°C $\mu mol m^{-2} s^{-1}$ CB & XSBN sitesLI-COR 6400 $g_1$ Stomatal slopePFT-specific $^{[1]}$ $T_{c,max 25}$ Leaf temperature°CCB & XSBN sitesThermal seme	PAR	Photosynthetically active radiation	µmol m <sup>-2</sup> s <sup>-1</sup>	CB & XSBN sites	Weather station
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$a_{\rm NIR}$ Absorptance of NIR bandCB & XSBN sitesSVC and PRO $e_{\rm eaf}$ Leaf emissivityset as 0.95 $V_{c,max 25}$ Maximum carboxylation rate at 25°C $\mu mol m^{-2} s^{-1}$ CB & XSBN sitesLI-COR 6400 $g_1$ Stomatal slopePFT-specific $^{[1]}$ TLeaf tamperature $2^{\circ}C$ CB & XSBN sitesThermal same	$\alpha_{\rm PAR}$	Absorptance of PAR band		CB & XSBN sites	SVC and PROSPECT
$\mathcal{E}_{leaf}$ Leaf emissivityset as 0.95 $V_{c,max 25}$ Maximum carboxylation rate at 25°C $\mu$ mol m <sup>-2</sup> s <sup>-1</sup> CB & XSBN sitesLI-COR 6400 $g_1$ Stomatal slopePFT-specific <sup>[1]</sup> $T_{c,max}$ Log f compositive2°CCB & XSBN sitesThermal compositive	$\alpha_{\rm NIR}$	Absorptance of NIR band		CB & XSBN sites	SVC and PROSPECT
$V_{c,max 25}$ Maximum carboxylation rate at 25°C $\mu$ mol m-2 s-1CB & XSBN sitesLI-COR 6400 $g_1$ Stomatal slopePFT-specific <sup>[1]</sup> $T_{constraints}$ Los f tamperature°CCB & XSBN sitesThermal same	$\mathcal{E}_{\text{leaf}}$	Leaf emissivity		set as 0.95	
$g_1$ Stomatal slope PFT-specific <sup>[1]</sup>	V <sub>c,max 25</sub>	Maximum carboxylation rate at 25°C	µmol m <sup>-2</sup> s <sup>-1</sup>	CB & XSBN sites	LI-COR 6400
T Los framparatura °C CP & VSPN sites Thermal same	$g_1$	Stomatal slope		PFT-specific <sup>[1]</sup>	
Tleaf Leaf temperature C CB & ASBN sites Thermat came	$T_{\text{leaf}}$	Leaf temperature	°C	CB & XSBN sites	Thermal camera

836 [1] Lin *et al.* (2015).

838 Table 2. The source of environmental and trait data (grey zone) used to drive a trait-based leaf energy balance model

839 through model sensitivity analysis respectively conducted at each forest site level.

Model input	Unit	Global range	Source
$T_{ m air}$	°C		CB, XSBN, PE sites
и	m s <sup>-1</sup>		CB, XSBN, PE sites
PAR	µmol m <sup>-2</sup> s <sup>-1</sup>		CB, XSBN, PE sites
RH			CB, XSBN, PE sites
d	m	0.004-0.4	Wright et al. (2017)
$\alpha_{\rm PAR}$	m	0.73-0.96	Féret et al. (2017)
α <sub>NIR</sub>		0.24-0.64	Féret et al. (2017)
$\mathcal{E}_{\text{leaf}}$		0.95-0.995	Chen et al. (2015)
V <sub>c,max 25</sub>	µmol m <sup>-2</sup> s <sup>-1</sup>	13-163	Rogers (2014)
$g_1$		0.27-8.28	Lin et al. (2015)

841 **Table 3.** The source of environmental and trait data (grey zone) respectively used to derive modeled and field-observed trait- $\Delta T$  relationships.

Trait- AT relationshin	Time	Model simul	ation	validation			
fruit Af Felutionship	Time	Environment source	Global trait range	Environment source	Filed-measured trait and $\Delta T$		
Leaf size- $\Delta T$	Midday	CB, XSBN, PE	0.004-0.4 m	CB, XSBN, PE	CB, XSBN, PE		
PAR absorptance- $\Delta T$	Midday	CB, XSBN	0.73-0.96	CB, XSBN	CB, XSBN		
NIR absorptance- $\Delta T$	Midday	CB, XSBN	0.24-0.64	CB, XSBN	CB, XSBN		
$V_{ m c,max\ 25}$ - $\Delta T$	Midday	CB, XSBN	13-163 $\mu$ mol m <sup>-2</sup> s <sup>-1</sup>	CB, XSBN	CB, XSBN		
Leaf size- $\Delta T$	Midnight	Literature [1] (New Zealand)	0.004-0.4 m	Literature [1] (New Zealand)	Literature [1] (New Zealand)		

842 [1] Lusk & Clearwater (2015); Lusk *et al.* (2018).

# **Main Figures**



**Figure 1.** Theoretical pathways connecting leaf traits and associated process variables within the leaf energy balance equation that infers leaf-to-air temperature difference ( $\Delta T$ ).  $\Delta T$  is determined by three energy fluxes (i.e. net radiation, sensible heat, and latent heat), which are further connected with the six leaf traits, including absorptance of PAR ( $\alpha_{PAR}$ , 400-700nm), absorptance of NIR (700-2500nm), emissivity, leaf width, Medlyn-type stomatal slope ( $g_1$ ) (Medlyn *et al.*, 2012), and maximum carboxylation capacity ( $V_{c,max25}$ ).



852 **Figure 2.** Diel variations in leaf temperature, air temperature,  $\Delta T$ , and the associated three 853 state variables (i.e.  $g_H$ ,  $R_n$ , and  $\lambda E$ ) were derived based on our trait-based leaf energy balance 854 model. Error bars indicate the ranges of maximum and minimum values of modeling results associated with leaf traits at each given time of the day. The results are shown for three sites: 855 856 (a) CB, (b) XSBN, and (c) PE. The panels (i-iv) represent (i) modeled leaf temperature and field-observed air temperature, (ii) modeled  $\Delta T$  (modeled leaf temperature minus field-857 observed air temperature), (iii) modeled heat boundary layer conductance of leaf  $(g_H)$ , and (iv) 858 modeled net radiation flux ( $R_n$ ), latent heat flux ( $\lambda E$ ), and sensible heat flux ( $R_n - \lambda E$ ). Night-859

- time is defined as the period when PAR is less than 10  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup>. The grey zones in this
- 861 figure indicate the time period when leaf temperature is higher than air temperature; the two
- 862 red dash lines correspond to the time points when  $R_n = \lambda E$  (also  $T_{\text{leaf}} = T_{\text{air}}$ ).



Figure 3. Diel variation in traits' relative contribution on regulating the  $\Delta T$  variability. Nighttime is defined as the period when PAR is less than 10 µmol m<sup>-2</sup> s<sup>-1</sup>. The dashed lines in this figure indicate the time points when net radiation flux ( $R_n$ ) is equal to latent heat flux ( $\lambda E$ ) (also see Fig. 2iv), during which leaf temperature is equal to air temperature and there is no sensible heat exchange. The pattern is consistent for the three forest sites: (a) CB, (b) XSBN, and (c) PE.



Figure 4. Diel variation in traits' relative contribution in regulating the three state variables (i.e.  $R_n$ ,  $g_H$ , and  $\lambda E$ ) that directly determine  $\Delta T$ . The environmental variables from the CB site (the same as that used in Fig. 3a) are used here to drive the trait-based leaf energy balance model. The state variables of interest include (a)  $R_n$ , (b)  $g_H$ , (c)  $R_n/g_H$ , (d)  $\lambda E$ , (e)  $\lambda E/g_H$ , and (h)  $(R_n - \lambda E)/g_H$ . Night-time is defined as the period when PAR is less than 10 µmol m<sup>-2</sup> s<sup>-1</sup> Notably, the displayed relative contribution of leaf traits on  $(R_n - \lambda E)/g_H$  is identical to traits' relative roles on  $\Delta T$  shown in Fig. 3a.



**Figure 5.** Modeled and field-based (derived from previous literature; solid purple circles) relationship between leaf width and  $\Delta T$  during night-time. The grey dots indicate the modelderived leaf width- $\Delta T$  relationship under each random trait combination. The solid black line marks the best fitted negative exponential relationship of leaf width- $\Delta T$  based on all the trait combinations (*n*=2000). *R*<sup>2</sup> indicates the determination of coefficients, and \*\*\* indicates the significance level of *p*<0.001 for the explored relationships.



**Figure 6.** Modeled and field-based relationships between four leaf traits and  $\Delta T$  during the 887 888 noon-time (10:30 am-1:30 pm). Four leaf traits include (a) leaf maximum carboxylation rate 889 scaled to 25°C ( $V_{c,max25}$ ), (b) leaf width, (c) the absorptance of PAR ( $\alpha_{PAR}$ ), and (d) the 890 absorptance of NIR ( $\alpha_{NIR}$ ). The modeled results (grey dots for the simulation result under each random trait combination, and solid black lines/dashed color lines for corresponding 891 best fitted relationships) are derived based on our trait-based leaf energy balance model.  $R^2$ 892 indicates the determination of coefficients, and two significant levels are used to indicate 893 894 each relationship, including \*\*\* for p < 0.001, and \* for p < 0.05.

896	Supporting Information
897	
898	Figure S1 The flowchart of deriving leaf temperature $(T_{\text{leaf}})$ using a trait-based leaf energy
899	balance model.
900	Figure S2 Comparison of modeling results between our code and Duursma's code of leaf
901	energy balance model.
902	Figure S3 Evaluation of our modeling results with field observations.
903	Figure S4 Diel patterns of key meteorological variables at three forest sites.
904	Figure S5 Assessing the impacts of different wind speed scenarios on model-derived traits'
905	relative contributions to the $\Delta T$ variability.
906	<b>Figure S6</b> Assessing the impacts of wind speed on model-derived $\Delta T$ variability.
907	Figure S7 Changes in the standard deviation of total sensitivity index with sample size.
908	Figure S8 Example demonstration of deriving leaf temperature of canopy sunlit leaves from
909	the thermal camera measurement.
910	<b>Table S1</b> The equations of the leaf energy balance model.
911	Table S2 The equations of the FvCB-type photosynthesis model, and the Medlyn-type
912	stomatal conductance model.
913	Table S3. Variables, parameters, and associated descriptions used in the trait-based leaf
914	energy balance model.
915	<b>Supporting Method S1</b> Field measurements of environmental variables, leaf traits, and $\Delta T$ .
916	



917

Figure S1. The flowchart of deriving leaf temperature ( $T_{\text{leaf}}$ ) using a trait-based leaf energy balance model that integrates a leaf energy balance model with a coupling of the FvCB-type photosynthesis model (Farquhar *et al.*, 1980) and the Medlyn-type stomatal conductance model (Medlyn *et al.*, 2011).



**Figure S2.** Evaluation of our modeling results with field observations, including (a) leaf temperature ( $T_{\text{leaf}}$ ) and (b) leaf-to-air temperature difference ( $\Delta T$ ). The model is driven by field-derived leaf traits and *in-situ* measurements of environmental variables at the CB and XSBN sites. The  $g_1$  was set as constant (i.e. 4.64 for CB and 3.77 for XSBN) following Lin *et al.* (2015). The solid line shows the 1:1 line. The  $R^2$  and *n* represent the coefficient of determination and total field observation size, respectively.



Figure S3. Diel patterns of key environmental variables at three forest sites, including (a) photosynthetically active radiation (PAR), (b) air temperature, (c) vapour pressure deficit of air (VPD<sub>air</sub>), and (d) wind speed. These three forest sites include a high latitude temperate forest at Mt. Changbai (CB) in Northern China, a tropical rainforest in Xishuangbanna (XSBN) of Southern China, and a tropical dry forest in Pernambuco (PE) of Brazil.



938 **Figure S4.** Assessing the impacts of wind speed on model-derived  $\Delta T$  variability at both (a) noon-time (12 pm) and (b) midnight (0 am). The modeling results are based on an integration 939 940 of the trait-based leaf energy balance model with field-measured environmental variables (except wind speed) under a clear-sky day of 2019-07-08 at the CB site, including the noon-941 time PAR=2115.0  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup>, T<sub>air</sub>=25.5 °C, and RH=35.7% and the night-time PAR=0.0 942  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup>,  $T_{air}$ =13.7 °C, and RH=73.9%. The wind speed examined here ranges from 0.5 m 943  $s^{-1}$  to 7 m  $s^{-1}$  with an interval 0.5 m  $s^{-1}$ . Error bars indicate the ranges of maximum and 944 945 minimum values of modeled  $\Delta T$  associated with leaf traits at each given wind speed.



947

948 Figure S5. Assessing the impacts of different wind speed scenarios on model-derived traits' 949 relative contributions to the  $\Delta T$  variability. Two types of wind speed settings are examined 950 here. First, wind speed is set as a constant value across the diel timescale, including (a) 0.5 m  $s^{-1}$ , (b) 1.2 m  $s^{-1}$  (daily average), (c) 2.0 m  $s^{-1}$ , (d) 4.0 m  $s^{-1}$ , and (e) 6.0 m  $s^{-1}$ , respectively. 951 Second, wind speed is set as (f) *in-situ* measurements throughout the entire diel timescale 952 953 (also see Fig. S3d). The modeling results are based on an integration of the trait-based leaf 954 energy balance model with field-measured environmental variables (except wind speed) 955 under a clear-sky day of 2019-07-08 at the CB site, and the result shown in panel b is the 956 same as that shown in Fig. 3a.



**Figure S6.** Heat map showing  $R^2$  among four measured leaf traits (i.e. leaf size, PAR 961 absorptance, NIR absorptance, and  $V_{c,max25}$ ) at CB and XSBN sites.



**Figure S7.** Diel patterns of traits' relative contribution on (a)  $\Delta T$  and (b) trait- $\Delta T$  relationship. The larger contribution of one trait on  $\Delta T$  in panel (a), the larger  $R^2$  between the trait and  $\Delta T$ in panel (b), which means a higher explanation of this trait on  $\Delta T$  variation.



970Figure S8. Changes in the standard deviation (SD) of total sensitivity index ( $S_{Ti}$ ) with the971sample size (n).  $S_{Ti}$  quantifies the proportion of model output variation explained by n and a972larger  $S_{Ti}$  indicates higher sensitivity. It is calculated using the Jansen estimator (Jansen.,9731999). The black dashed line indicates a 5‰ of the SD of  $S_{Ti}$ , below which the model974sensitivity is stable.





977 Figure S9. Example demonstration of deriving leaf temperature of canopy sunlit leaves from 978 the thermal camera measurement. The *in-situ* thermal measurement of species *Fraxinus ornus* 979 at the CB site on date 2019-07-03 is shown here for demonstration, and there are three steps 980 for deriving leaf temperature. First, (a) a regular RGB photo, together with (b) a raw thermal 981 photo, were simultaneously acquired using a thermal camera FLIR-T650sc (FLIR Systems 982 AB, Taby, Sweden). Second, (c) the calibrated thermal photo is generated by calibrating the 983 raw thermal photo with a R package of "ThermStats". Third, (d) the classified image is 984 derived that differentiates sunlit leaves from the shaded leaves and branches in the calibrated 985 thermal image using a supervised image classification. The yellow ellipse circles indicate the region of interest, and all the sunlit leaves pixels in the yellow ellipse of the calibrated 986 987 thermal photo (i.e. panel c) are finally used to estimate leaf temperature of the target tree 988 species. 989



Figure S10. Leaf temperature variability and its drivers based on our model simulation. (a)
Diel variations in leaf temperature, with error bars indicating the ranges of maximum and
minimum values of modeling results associated with leaf traits at each given time of the day.
(b) The drivers and their relative contributions to the leaf temperature variability at five given
time points (corresponding to the orange lines in panel a).

998 Table S1. Summary of species and leaf traits of representative canopy trees across the three forest sites (i.e. CB, XSBN, and PE). The leaf traits

999 include leaf width, PAR absorption, NIR absorption, and the maximum carboxylation rate of Rubisco standardized to 25°C (V<sub>c, max25</sub>). For each

1000 trait of a given species, data were used to calculate the mean trait value and the trait standard deviation (mean  $\pm$  s.d.). The climatic conditions,

unit of a g	i on species	, and	ere abea e	, eure urure	the mean	tituit vui	ao ana m	ie tiuit	standard	deviation	(11104111 -	- 5101.)1
approxima	ted by mean	annual	temperatur	e (MAT) a	and mean a	annual pi	recipitatio	on (MA	AP), are sl	hown belo	w for ea	ch site.

Site description	Specie name	Leaf width	PAR absorptance	NIR absorptance	V <sub>c,max25</sub>	# of	# of
		(cm)	(unitless)	(unitless)	(µmol m <sup>-2</sup> s <sup>-1</sup> )	trees	leaves
Site_name: CB	Tilia amurensis	$5.40\pm0.07$	$0.90\pm0.01$	$0.41\pm0.01$	$66.3\pm6.1$	4	13
	Quercus mongolica	$6.48 \pm 0.05$	$0.93\pm0.02$	$0.46\pm0.01$	$50.2\pm10.4$	2	6
location:	Tilia mandshurica	$8.72\pm0.08$	$0.94\pm0.01$	$0.48\pm0.01$	$74.5\pm10.8$	4	11
42°24′N, 128°06′E	Fraxinus mandschurica	$4.09\pm0.04$	$0.93 \pm 0.01$	$0.49\pm0.01$	$68.1 \pm 13.0$	2	5
	Acer pictum subsp. mono	$7.62\pm0.04$	$0.93\pm0.01$	$0.52\pm0.01$	$25.3\pm2.6$	1	3
MAT: 2.8°C	Phellodendron	$4.57\pm0.05$	$0.94\pm0.01$	$0.45\pm0.01$	$35.6\pm3.0$	3	9
MAP: 691 mm	Ulmus davidiana	$4.00\pm0.05$	$0.92\pm0.01$	$0.48\pm0.01$	$59.6\pm5.8$	3	9
	Parashorea chinensis	$4.84\pm0.00$	$0.89\pm0.01$	$0.44 \pm 0.01$	$31.3 \pm 4.7$	4	12
	Alseodaphne petiolaris	$10.20\pm0.10$	$0.94\pm0.01$	$0.48\pm0.02$	$35.9\pm4.4$	3	9
Site_name: XSBN Location: 21°47'N, 101°03'E	Sapium baccatum	$9.30\pm0.15$	$0.95\pm0.01$	$0.55\pm0.02$	$54.0\pm7.8$	2	6
	Colona thorelii	$6.00\pm0.02$	$0.92\pm0.02$	$0.40\pm0.01$	$53.6 \pm 12.0$	2	6
	Castanopsis indica	$6.40\pm0.05$	$0.94 \pm 0.01$	$0.49\pm0.01$	$71.8 \pm 14.0$	2	6
	Schefflera bodinieri	$5.50\pm0.06$	$0.92\pm0.01$	$0.53\pm0.01$	$49.1 \pm 14.0$	1	3
	Sloanea tomentosa	$5.00\pm0.04$	$0.92\pm0.01$	$0.48\pm0.01$	$13.2\pm2.8$	1	3
	Pometia pinnata	$6.75\pm0.04$	$0.93 \pm 0.01$	$0.53\pm0.01$	$28.2\pm4.2$	2	5
MAT: 21.8°C MAP: 1493 mm	Litsea dilleniifolia	$13.00\pm0.08$	$0.92\pm0.01$	$0.40\pm0.01$	$23.8\pm4.2$	1	3
	Duabanga grandiflora	$8.57\pm0.06$	$0.93 \pm 0.01$	$0.56\pm0.01$	$53.2\pm6.3$	1	3
	Ficus langkokensis	$4.43\pm0.04$	$0.93 \pm 0.01$	$0.41\pm0.01$	$53.2\pm6.3$	2	6
	Lithocarpus grandifolius	$6.40\pm0.08$	$0.94 \pm 0.01$	$0.49\pm0.01$	$41.3\pm9.7$	1	2
	Lithocarpus craibianus	$6.00\pm0.15$	$0.92\pm0.01$	$0.53\pm0.01$	$45.4\pm6.2$	1	2
	Diospyros atrotricha	$8.50\pm0.07$	$0.95\pm0.01$	$0.53\pm0.01$	$42.3\pm7.9$	2	5
	Croton conduplicatus	$2.89\pm0.00$		_		2	4
	Jathropa mollissima	$15.80\pm0.14$	_	_	_	2	3

	Commiphora leptophloeos	$5.42\pm0.04$	_	_	—	2	3
Site_name: PE	Cnidoscolus quercifolius	$4.06\pm0.04$	_	—	_	1	2
	Schinopsis brasiliensis	$6.10\pm0.08$	—	_	_	3	5
Location:	Senegalia piauhiensis	$7.44\pm0.06$	_	—	_	3	5
9°03′S, 40°19′W	Sapium glandulosum	$4.05\pm0.05$	—	_	_	2	4
	Poincianella microphylla	$3.30\pm0.04$	—	—	_	2	4
MAT: 26.2°C	Pseudobombax simplicifolium	$4.40\pm0.05$	_	—	_	2	5
MAP: 510 mm	Bauhinia cheilantha	$6.46\pm0.05$	—	—	_	2	3
	Manihot pseudoglaziovii	$10.44\pm0.12$	_	—	_	2	4
	Handroanthus spongiosus	$6.82\pm0.08$	—	_	_	2	4
	Varronia leucocephala	$0.22\pm0.05$	—	_	_	2	3

1003 **Table. S2.** The equations of leaf energy balance model.

Equations	Definition	No.	Ref.
$R_n = H + \lambda E$	Leaf energy balance	1	A, B
	equation (W m <sup>-2</sup> )		
$R_n = R_{abs} - L_{oe}$	Net radiation flux (W m <sup>-2</sup> )	2	A, B
$R_{abs} = (\alpha_{PAR}R_{PAR} + \alpha_{NIR}R_{NIR})(1+\rho) + \alpha_L\sigma(\varepsilon_{sky}T_{sky}^4 + \varepsilon_{sur}T_{sur}^4)$	Absorbed radiation flux of leaf (W m <sup>-2</sup> )	3	A, B
$L_{oe} = 2\varepsilon_{leaf} \sigma T_{_{leaf}}^4$	Emitted radiation flux of leaf (W m <sup>-2</sup> )	4	A, B
$H = 2 * c_p g_H (T_{leaf} - T_{air})$	Sensible heat (convection) flux (W m <sup>-2</sup> )	5	A, B
$g_H = g_{H, free} + 1.4 \times g_{H, forced}$	Heat boundary conductance $(mol m^{-2} s^{-1})$	6	A, C
$g_{H,forced} = \frac{0.664\hat{\rho}D_H \operatorname{Re}^{1/2}\operatorname{Pr}^{1/3}}{d}$	Forced convection conduc- tance of heat (mol m <sup>-2</sup> s <sup>-1</sup> )	7	A, C
$g_{H,free} = \frac{0.54\hat{\rho}D_H (Gr\mathrm{Pr})^{1/4}}{d}$	Free convection conduct- ance of heat (mol $m^{-2} s^{-1}$ )	8	A, C
$\operatorname{Re} = \frac{ud}{v}$	Reynolds number: Ratio of inertial viscous forces	9	А
$\Pr = \frac{v}{D_H}$	Prandtl number: Ratio of kinematic viscosity to thermal diffusivity	10	А
$Gr = \frac{gd^3\Delta T}{(T_{air} + 273.15)v^2}$	Grashof number: Ratio of a buoyant force times an inertial force to the square of a viscous force	11	A
$\lambda E = \lambda g_{v} (e_{s}(T_{leaf}) - e(T_{air})) / P_{a}$	Latent heat (transpiration) flux (mol $m^{-2} s^{-1}$ )	12	А
$g_{v} = \frac{1}{\frac{1}{g_{s}} + \frac{1}{g_{b}}} = \frac{g_{s}g_{b}}{g_{s} + g_{b}}$	Water vapor conductance (mol m <sup>-2</sup> s <sup>-1</sup> )	13	Α
$g_b = g_{b, free} + 1.4 \times g_{b, forced}$	Boundary layer conductance of water vapor (mol m <sup>-2</sup> s <sup>-1</sup> )	14	A, C
$g_{b,forced} = \frac{0.664\hat{\rho}D_{j} \operatorname{Re}^{1/2} Sc^{1/3}}{d}$	Forced convection conductance of vapor (mol $m^{-2} s^{-1}$ )	15	А
$g_{b,free} = \frac{0.54\hat{\rho}D_j(GrSc)^{1/4}}{d}$	$\begin{array}{ll} \mbox{Free} & \mbox{convection} \\ \mbox{conductance} & \mbox{of vapor (mol} \\ \mbox{m}^{-2} \ \mbox{s}^{-1}) \end{array}$	16	А
$Sc = \frac{v}{D_j}$	Prandtl number: Ratio of kinematic viscosity to mass diffusivity	17	Α
$e_s(T) = 0.6108 \times \exp(\frac{17.269T}{237.2 + T})$	Saturated vapor pressure at T °C (kPa)	18	A, D
$e(T) = \overline{e_s(T) \times RH}$	Vapor pressure at T °C (kPa)	19	А

 1004
 Relevant references: A: Campbell & Norman (2012); B: Jones, (2013); C: Huang *et al.* 

 1005
 (2015); and D: Murray (1967).

1006 Table S3. The equations of FvCB-type leaf photosynthesis model (Farquhar *et al.*, 1980), and
1007 the Medlyn-type stomatal conductance model (Medlyn *et al.*, 2011).

Equations	Definition	No.	Ref.
$A_n = \min \{A_c, A_j, A_s\} - R_l$	Leaf level net assimilation rate $(\mu mol CO_2 m^{-2} s^{-1})$	1	Е
$A_{c} = max\{V_{cmax} \times \frac{C_{i} - \Gamma_{*}}{C_{i} + K}, 0\}$	Rubisco-limited photosynthesis $(\mu mol CO_2 m^{-2} s^{-1})$	2	Е
$K' = K_C \times (1 + \frac{O}{K_O})$	Effective Michaelis-Menten Constant	3	Е
$A_{j} = \max \{J \times \frac{C_{i} - \Gamma_{*}}{4 \times (C_{i} + 2 \times \Gamma_{*})}, 0\}$	Electron-transport limited rate of photosynthesis ( $\mu$ mol CO <sub>2</sub> m <sup>-2</sup> s <sup>-1</sup> )	4	E
$J_e = \Phi_{PSII, \max} \times \alpha \times \beta \times Q$	The rate of whole electron transport ( $\mu$ mol m <sup>-2</sup> s <sup>-1</sup> )	5	G
$J = \frac{J_e + J_{\max} - \sqrt{(J_e + J_{\max})^2 - 4 \times \Theta \times J_e \times J_{\max}}}{2 \times \Theta}$	The rate of electrons through the thylakoid membrane ( $\mu$ mol CO2 m <sup>-2</sup> s <sup>-1</sup> )	6	E
$A_s = 0.5 \times V_{c \max}$	Triose phosphate export limited rate of photosynthesis ( $\mu$ mol CO <sub>2</sub> m <sup>-2</sup> s <sup>-1</sup> )	7	F
$Parameter = Parameter_{25} \times exp \frac{(T_{K} - 298) \times \Delta H_{a}}{R \times T_{K} \times 298}$	Temperature functions for parameters that are based on Rubisco kinetic properties and do not have an optimum within a biologically significant temperature range ( $K_C$ , $K_O$ , $\Gamma^*$ , $R_l$ , and in most cases $V_{c,max25}$ )	8	G
$J_{\max} = J_{\max 25} \times \frac{e^{-(\frac{T_L - Topt}{\Omega_T})}}{e^{-(\frac{25 - Topt}{\Omega_T})}}$	Temperature function for maximum electron transport rate, $J_{\text{max}}$	9	G, H
$\Omega_T = 11.6 + 0.18 \times T_{opt}$	The coefficient for temperature function of $J_{\text{max}}$	10	G, H
$J_{\max 25} = 1.67 \times V_{c \max 25}$	Linear scaling relationship between $J_{max25}$ and $V_{c,max25}$	11	I, J
$T_K = T_l + 273.15$	Leaf temperature in Kelvin	12	G
$R_{l25} = \overline{0.015 \times V_{c \max 25}}$	Leaf dark respiration at $25^{\circ}$ C (µmol CO <sub>2</sub> m <sup>-2</sup> s <sup>-1</sup> )	13	Ι
$g_{s} = 1.6 \times (1 + \frac{g_{1}}{\sqrt{VPD}}) \times \frac{A_{n}}{C_{a}}$ $A_{n} = g_{s} \times (C_{a} - C_{i})$ $\Rightarrow C_{i} = C_{a} \times (1 - \frac{1}{1.6 \times (1 + \frac{g_{1}}{\sqrt{VPD}})})$	Use the optimal stomatal model to estimate internal $CO_2$ concentration ( $C_i$ ) from atmospheric $CO_2$ concentration ( $C_a$ ) and vapor pressure deficit (VPD)	14	K

1008 Relevant references: E: Farquhar *et al.* (1980); F: Ryu *et al.* (2011); G: Bernacchi *et al.*1009 (2013); H: June *et al.* (2004); I: Bonan *et al.* (2014); J: Wu *et al.* (2017); and K: Medlyn *et al.*1010 (2011).

Model	Symbols	Definition	Values	Ref.
	d	Leaf width (m)	0.004-0.4	L
	$\mathcal{E}$ leaf	Leaf emissivity	0.95-0.995	Μ
	α <sub>PAR</sub>	Leaf absorptance at the visible band (400-700nm)	0.73-0.96	Ν
	anir	Leaf absorptance at NIR band (700-2500nm)	0.24-0.64	N
	PAR	Photosynthetically active radiation	observation	-
	и	Wind speed (m s <sup>-1</sup> )	observation	-
del	RH	Relative humidity (%)	observation	-
no	$T_{\rm air}$	Air temperature (°C)	observation	-
Ce 1	$\mathcal{E}_{sur}$	Emissivity of the surrounding underside leaf		
and	Initial $T_{\text{leaf}}$ Initial Leaf temperature (= $T_{\text{air}}$ ) (°C)			-
bal	Cp	Heat capacity of air (J mol <sup>-1</sup> K <sup>-1</sup> )	29.3	А
gy	αL	Leaf absorptance at long-wave band	Same as	Μ
ner		(>3000nm)	$\mathcal{E}_{\text{leaf}}$	
fe	ρ	The reflectivity of surrounding leaf	0.13	A, B
Lea	$\hat{\rho}_{20}$	Molar density of air at 20 °C (mol m <sup>-3</sup> )	41.6	A, B
	σ	Stefan–Boltzmann constant (W m <sup>-2</sup> K <sup>-4</sup> )	5.6703×10 <sup>-8</sup>	A, B
	g	Gravitational acceleration (m s <sup>-1</sup> )	9.8	A, B
	λ	44000	A, B	
	D <sub>H,20</sub>	21.4	A, B	
	R	Gas constant (J mol <sup>-1</sup> K <sup>-1</sup> )	8.3143	A, B
	Pa	Standard atmospheric pressure (kPa)	101.325	A, B
	$C_a$	Ambient $CO_2$ concentration (µmol mol <sup>-1</sup> )	380	Е
del	Initial C <sub>i</sub>	Initial intercellular CO <sub>2</sub> concentration $(=0.7*C_a; \mu mol mol^{-1})$	266	Е
mo	0	Oxygen concentration (mmol mol-1)	205	Е
sis	ß	Fraction of photosystem II to photosystem I	0.5	E, G
ynthes	$\Phi_{PSII, max}$	Maximum quantum efficiency of PSII photochemistry	0.7	G
otos	Θ	Curvature term	0.7	E, I
Pho	$V_{\rm cmax,25}$	Maximal carboxylation rate at 25°C ( $\mu$ mol m <sup>-2</sup> s <sup>-1</sup> )	13-163	0
	Topt	Optimal leaf temperature for $J_{\text{max}}$ (°C)	35	Р
tal ance	<i>g</i> <sub>1</sub>	Stomatal conductance slope	0.27-8.28	Q
	VPD <sub>leaf</sub>	leaf-to-air vapour pressure deficit (= $e_s$ ( $T_{\text{leaf}}$ )- $e(T_{\text{air}})$ ; kPa)	Calculation	E, F
uct	$A_n$	Net assimilation rate ( $\mu$ mol m <sup>-2</sup> s <sup>-1</sup> )	Calculation	E
Sto indi m	$C_a$	Ambient $CO_2$ concentration (µmol mol <sup>-1</sup> )	380	E
CO	Initial C <sub>i</sub>	Initial intercellular $CO_2$ concentration (=0.7*Ca; umol mol <sup>-1</sup> )	266	E

1012 Table S4. Variables, parameters, and associated descriptions used in the trait-based leaf1013 energy balance model.

1014 Relevant references: L: Wright et al. (2017); M: Chen et al. (2015); N: Féret et al. (2017); O:

<sup>1015</sup> Rogers *et al.* (2014); P: Lloyd & Farquhar (2008); and Q: Lin *et al.* (2015).

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1066 Supporting Method S1.

# 1067 Field measurements of environmental variables, leaf traits, and $\Delta T$

1068

### 1069 Environmental variables

1070 For the sites of CB and XSBN, we measured environmental variables with a mobile weather 1071 station (WatchDog 2550, Spectrum Technologies Inc., Aurora, IL), which was installed on 1072 the top of the canopy crane tower on the day 1 of field measurements. The weather station 1073 recorded environmental variables at half an hour interval continuously for approximately two 1074 weeks until the end of field campaigns at each site. Environmental measurements include  $T_{air}$ , 1075 PAR, RH, and *u* (Fig. S3). VPD<sub>air</sub> was derived using the formula proposed by Murray (1967) 1076 (Equation 18 of Table S2) with field-measured  $T_{air}$  and RH as input. Since  $T_{air}$  could be more 1077 dynamic under the mixed cloudy-sunny days, in order to minimize such impacts, we only 1078 used environmental measurements from clear-sky days, including environmental 1079 measurements of 2019-07-08 for CB and of 2019-08-10 for XSBN. Notably, we interpolated the  $T_{\rm air}$  from half-hour intervals to 1-minute intervals using spline interpolation method (de 1080 1081 Boor., 1978), which allowed us obtain the  $T_{air}$  at the time of  $T_{leaf}$  record to calculate  $\Delta T$  (see 1082 section *Leaf temperature and*  $\Delta T$ ). Additionally, to minimize the impacts of rapid fluctuations 1083 of wind speed on causing  $T_{\text{leaf}}$  variability, we set the wind speed as daily averages for the model input, which is 1.2 m s<sup>-1</sup> for CB and 1.0 m s<sup>-1</sup> for XSBN. 1084

1085

1086 At the PE site, environmental variables were accessed from a local eddy flux tower belonging 1087 to Embrapa Semiárido, Brazil. The measurements were recorded at half an hour interval, and 1088 included  $T_{air}$ , PAR, RH, and u (Fig. S3). VPD<sub>air</sub> was calculated using the same approach 1089 mentioned above. Environmental measurements of a clear-sky day (2018-04-16), 1090 representing the central time period of field campaigns at this site, were used to drive our 1091 trait-based leaf energy balance model. For the same reason, to minimize rapid fluctuating 1092 wind speed effects, we set the wind speed to the daily average of 0.5 m s<sup>-1</sup>.

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## 1094 Leaf gas exchange and V<sub>c,max25</sub>

1095 At the CB and XSBN sites, leaf gas exchange was measured using three portable gas 1096 exchange systems (LI-6400XT; Li-COR Inc., Lincoln, NE, USA). The response of  $A_n$  to the 1097 intercellular CO<sub>2</sub> concentration ( $C_i$ ), commonly known as A- $C_i$  curves, were measured on the 1098 sunlit leaves from the detached branches of top canopy trees. These branches were cut in 1099 water before dawn using the canopy crane to avoid xylem embolism (Wu *et al.*, 2020). And 1100 then, the branches were stored in individual water buckets, and kept in deep shade until used 1101 for measurements. For each selected canopy tree, we randomly sampled two branches and 1102 measured the  $A-C_i$  curves of 1-3 leaves per branch, closely following the protocol of Rogers 1103 et al., (2017). We set the gradient of reference CO<sub>2</sub> concentration as follows: 400, 325, 250, 1104 175, 100, 66, 33, 400, 400, 400, 475, 575, 675, 800, 1000, 1400, 1800, 400 µmol mol<sup>-1</sup>. The leaf was put under 400  $\mu$ mol CO<sub>2</sub> mol<sup>-1</sup> for photosynthetic stabilization before the A-Ci curve 1105 1106 measurements. During the measurements, T<sub>leaf</sub> was set to ambient air temperature or 1-2 °C 1107 above the dew point to avoid condensation inside the leaf chamber, and RH was set to 50-85%. The saturated PAR at CB and XSBN was set to 1700  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup> and 2000  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup>, 1108 respectively, based on the preliminary light response curves and previous empirical studies in 1109 1110 these biomes (Croft et al., 2017; Wu et al., 2019). A biochemical photosynthesis model 1111 (Farquhar *et al.*, 1980) was then used to fit the  $A-C_i$  curves, by which we derived the leaf 1112 maximum carboxylation capacity ( $V_{c,max}$ ) using the same code developed in Wu *et al.* (2019). Finally, we standardized  $V_{c,max}$  to a reference temperature of 25°C ( $V_{c,max25}$ ) using the same 1113 1114 kinetic constants and temperature response functions as Bernacchi et al. (2013).

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# 1116 Leaf absorptance for visible and near-infrared light

1117 At the CB and XSBN sites, leaf absorptance for visible (400-700 nm;  $\alpha_{PAR}$ ) and near-infrared 1118 (700-2500 nm;  $\alpha_{\text{NIR}}$ ) light were derived based on the inversion of leaf reflectance spectra 1119 using a process-based model (i.e. PROSPECT; Jacquemoud & Baret, 1990; Féret et al., 2017), 1120 following Wu et al. (2018). It includes two steps. First is the measurements of leaf reflectance 1121 spectra. Upon finishing the gas exchange measurements, leaves were immediately measured 1122 for leaf reflectance spectra using a portable spectroradiometer SVC HR-1024i (i.e. Spectra 1123 Vista Corporation Inc., Poughkeepsie, NY, USA; spectra full-range: 350-2500nm), following 1124 the standard procedure (Wu et al., 2019). Specifically, the fiber optic probe (SVC LC-RP-Pro) 1125 with an internal calibrated light source was used together with a black background for leaf 1126 reflectance measurements. For each leaf, reflectance spectra were measured on 3-6 different 1127 parts of the leaf adaxial surface, and then averaged to determine the mean reflectance spectra 1128 across all wavelengths.

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Second is the model inversion to derive leaf absorptance. With the measurements of leaf reflectance spectra, we next estimated leaf transmittance and subsequent absorptance by inverting the PROSPECT model after it was optimized to match field measurements of leaf reflectance spectra. We used the PROSPECT model because this model is process-based, and the model-inverted leaf absorptance has been shown with high consistency compared with the values obtained with an integrating sphere for fresh leaves (Shiklomanov *et al.*, 2016; Wu *et al.*, 2018). We here used the same inversion code as Wu *et al.* (2018) to derive the PROSPECT-inverted leaf absorptance, and then combined the spectral response function for visible (400-700 nm) and near-infrared (700-2500 nm) light to respectively derive  $\alpha_{PAR}$  and  $\alpha_{NIR}$ .

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### 1141 Leaf temperature and $\Delta T$

1142 At the CB and XSBN sites, leaf temperature of sunlit upper canopy foliage was measured 1143 using a thermal camera FLIR-T650sc (FLIR Systems AB, Taby, Sweden; spectral 1144 wavelength range: 7.5-13.0 µm), which was held about 1-m directly above the treetop 1145 accessed by the canopy crane facility (see example RGB and thermal photos in Fig. S8a&b). 1146 These thermal measurements were conducted under clear-sky days between 10:30 am to 1:30 1147 pm local time of each site. With the FLIR-T650sc thermal camera, two unshaded branches in 1148 the canopy top and representative of each canopy tree were selected for thermal 1149 measurements. For each thermal measurement, we generated two images, including one RGB 1150 image (with a resolution of 2592\*1944 pixels; e.g. Fig. S8a) and one raw thermal image (with 1151 a resolution of 640\*480 pixels; e.g. Fig. S8b). In order to obtain the surface temperature of 1152 leaves, we converted these raw thermal images into the calibrated digital grey image using 1153 the R package 'ThermStats' (Senior et al., 2019), where the digital grey values in the 1154 calibrated images (leaf emissivity was set to 0.95) indicates the corresponding  $T_{\text{leaf}}$  readings. 1155 Additionally, since our main focus was on sunlit leaves, we developed a supervised 1156 classification approach to differentiate the sunlit leaves from other backgrounds (i.e. the 1157 shaded leaves and branches), by which we derived a mean  $T_{\text{leaf}}$  value for the sunlit leaves (e.g. 1158 Fig. S8d). Finally, we calculated  $\Delta T$  using  $T_{\text{leaf}}$  minus  $T_{\text{air}}$  of the same time, which was 1159 generated by interpolating weather station records (see section Environmental variables 1160 above). Notably, the method of temperature measurements using FLIR-T650sc thermal 1161 camera have been cross-referenced with thermocouple measurements by multiple previous 1162 studies (e.g. Page et al., 2018), and is regarded as an accurate method with comparable results 1163 as thermocouple measurements.

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1165 At the PE site, we selected 1-3 unshaded canopy-top leaves from different branches for  $T_{\text{leaf}}$ 1166 measurements. The measurements were taken using a hand-held infrared camera (870-1 1167 Testo; Lenzkirch in Schwarzwald, Germany) at a 0.5 m distance directly above the leaves.

- 1168 Thermal images were taken with a resolution of  $640 \times 480$  pixels. These thermal images were 1169 acquired under clear-sky days between 10:30 am to 1:30 pm local time. To accurately obtain 1170  $T_{\text{leaf}}$ , the thermal images and relevant measurement conditions (i.e. target distance and leaf 1171 emissivity of 0.95) were input to a professional software—Testo AG IRSoft 4.0 (Testo Ag, Lenzkirch, Germany) for further processing. The temperature measurements from the Testo 1172 1173 thermal camera were cross-referenced using infrared point thermometer measurements (572-1174 2 Fluke; Everett, WA, USA) and found to be consistent (Fig.S1 in Majcher, 2018). Mean T<sub>leaf</sub> 1175 was derived as an average temperature recorded for all the pixels encompassed within the leaf 1176 margin (see Majcher, 2018). Finally, we calculated  $\Delta T$  as a difference between  $T_{\text{leaf}}$  and 1177 same-time  $T_{air}$ , which came from the after-interpolated flux tower records.
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## 1179 Leaf width

At the CB, XSBN, and PE sites, we used leaf maximum width (cm) for leaf width, as it has 1180 1181 been shown as a key biotic control of leaf boundary layer conductance (e.g.  $g_H$  in Equation 3), 1182 and thus importantly regulates  $\Delta T$  variability (Campbell & Norman, 2012; Wright *et al.*, 1183 2017). The leaf maximum width of the canopy-top sunlit leaves was measured following 1184 Majcher (2018). Specifically, leaves were harvested for leaf width measurements following a 1185 two-step approach. First is to obtain the images of leaf samples. At CB and XSBN, we used a 1186 Canon digital scanner (FSU201, Canon, Tokyo, Japan). Specifically, we placed each of 1187 sampled leaves on the panel of the scanner with known dimensions, flatten it with a white 1188 board, and scanned it for image records. At the PE site, we put each leave on a scaled board, 1189 flatten it with a transparent board, and then photographed it using a DSLR Camera (EOS XTi 1190 Canon, Tokyo, Japan). Second is to estimate the leaf maximum width. We used the 1191 ShapeFilter plugin (Wagner & Lipinski, 2013) in ImageJ Software (Schneider et al., 2012) to 1192 extract and measure the maximum width of leaves.

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