

Cu-assisted austenite reversion and enhanced TRIP effect in maraging stainless steels

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Abstract

Control of the formation and stability of reverted austenite is critical in achieving a favorable combination of strength, ductility, and toughness in high-strength steels. In this work, the effects of Cu precipitation on the austenite reversion and mechanical properties of maraging stainless steels were investigated by atom probe tomography, transmission electron microscopy, and mechanical tests. Our results indicate that Cu accelerates the austenite reversion kinetics in two manners: first, Cu, as an austenite stabilizer, increases the equilibrium austenite fraction and hence enhances the chemical driving force for the austenite formation, and second, Cu-rich nanoprecipitates promote the austenite reversion by serving as heterogeneous nucleation sites

24 and providing Ni-enriched chemical conditions through interfacial segregation. In addition, the
25 Cu precipitation hardening compensates the strength drop induced by the formation of soft
26 reverted austenite. During tensile deformation, the metastable reverted austenite transforms to
27 martensite, which substantially improves the ductility and toughness through a transformation-
28 induced plasticity (TRIP) effect. The Cu-added maraging stainless steel exhibits a superior
29 combination of a yield strength of ~1.3 GPa, an elongation of ~15%, and an impact toughness
30 of ~58 J.

31 **Keywords:** maraging stainless steel, TRIP effect, austenite reversion, Cu-rich nanoprecipitate

32 **1. Introduction**

33 Low carbon martensitic steels with high strength, ductility, and toughness are highly
34 desirable for a variety of structural applications, such as aerospace and automotive industries
35 [1,2]. However, increasing strength often leads to a decrease in ductility and toughness, which
36 is known as the strength-ductility/toughness trade-off dilemma in structural materials [3-5].
37 Tremendous efforts have been put forth to break the strength-ductility/toughness trade-off of
38 high-strength martensitic steels by introducing a certain amount of sub-micro/nanometer-sized
39 retained or reverted austenite [6-9]. Reverted austenite often forms at the martensite lath
40 boundaries, which is metastable and transforms to martensite during deformation, resulting in
41 the transformation-induced plasticity (TRIP) effect. For example, Wang et al. [10] designed a
42 TRIP-maraging steel containing 15 vol.% reverted austenite by controlling Mn contents, which
43 exhibits a 1.1 GPa yield strength and 21% uniform elongation. Markfeld et al. [11] reported an
44 18% Ni (300) maraging steel containing 20 vol.% reverted austenite, which results in a marked

45 increase in tensile ductility. Sun et al. [12] reported that an intercritical tempering in the
46 (austenite + martensite) dual-phase region promotes the austenite reversion of a Fe-5.42Mn-
47 0.05C steel, leading to increased impact toughness through a TRIP assisted effect. Generally,
48 martensite lath boundaries are preferred nucleation sites for the formation of reverted austenite,
49 and the austenite stabilizers, such as Ni, Mn, Cu, and C, partition to the austenite region through
50 controlled heat-treatments. The volume fraction of reverted austenite is highly dependent on
51 the alloying additions and heat-treatment parameters. For achieving a substantial TRIP effect,
52 5-20 vol.% of reverted austenite is generally needed [10-13]. In addition, the appropriate size
53 and composition of reverted austenite are also critical for tailoring the austenite stability. For
54 example, Wang et al. [10] reported that reverted austenite with sizes in the range of 0.1-0.3 μm^2
55 is beneficial to the deformation-induced phase transformation. Yen et al. [14] reported that high
56 contents of austenite stabilizing elements in the austenite could improve the stability of the
57 austenite due to the increasing of chemical driving force for transformation. For this purpose,
58 precipitation-hardened steels are often subjected to over-aging treatments at relatively high
59 temperatures and/or for long times to facilitate elemental diffusion and partitioning [15,16].
60 However, the over-aging treatments inevitably cause the precipitate coarsening, leading to a
61 severe attenuation of the precipitation hardening effect. In addition, a high level of Mn and C
62 additions compromises the weldability of steels [17]. Therefore, achieving an accelerated
63 austenite reversion without causing a significant reduction of precipitation hardening is highly
64 desirable but still challenging.

65 Here, we report an innovative strategy to accelerate the austenite reversion by Cu-rich
66 nanoprecipitates in maraging stainless steels. The precipitation behavior of Cu-rich

67 nanoprecipitates and their effects on the austenite reversion were systematically studied
68 through high-resolution transmission electron microscopy (HR-TEM), atom probe tomography
69 (APT), and electron back-scattered diffraction (EBSD). Particularly, the mechanisms for the
70 Cu-assisted austenite reversion and enhanced ductility and toughness were critically discussed.
71 The findings provide a new approach to improve the strength-ductility-toughness synergy of
72 high-strength martensitic steels.

73 **2. Experimental**

74 Fe-12.5Cr-7.5Ni-7.5Co-3.0Mo steels with and without 1 wt.% Cu were melted in a
75 vacuum induction furnace and cast into ingots of 25 kg. Chemical compositions of the two steels
76 are presented in Table 1. For simplicity, these two steels are hereafter referred to as the Cu-
77 containing and Cu-free steels. The as-cast ingots were homogenized for 20 h at 1250 °C, hot-
78 forged into square bars with a cross section of 40 × 40 mm and a length of 1300 mm,
79 solutionized at 1050 °C for 1 h, immersed in liquid nitrogen for 1 h, and then aged at 500 °C for
80 various periods of time. Cylindrical tensile samples with a diameter of 5 mm and a gauge length
81 of 25 mm were prepared by electrodischarge machining. Room-temperature tensile tests were
82 conducted on a MTS 810 testing machine at a strain rate of $2 \times 10^{-3} \text{ s}^{-1}$. Charpy V-notch
83 specimens were prepared to conduct the Charpy impact tests at room temperature.

84 The volume fraction of austenite was measured by X-ray diffractions (XRD) according to
85 ASTM Standard E975-03 [18]. XRD samples with a dimension of 10 × 10 × 5 mm were wire-
86 electrode cut and carefully polished, and they were tested in the solid form. Data collection was
87 performed with a Bruker AXS D8 Advance X-ray diffractometer at a scanning rate of 1.0 °/min

88 using Cu K_{α} radiation in the 2θ range of 40-100°.

89 The precipitates were characterized by HR-TEM, and the distribution of austenite before
90 and after tensile tests was measured by EBSD and TEM. APT needles were prepared by lift-out
91 and tip milling in an FEI Scios focused ion beam/scanning electron microscope (FIB/SEM).
92 APT experiments were performed in a CAMECA LEAP 5000 XR, and the Imago Visualization
93 and Analysis Software (IVAS) 3.8 was used for three-dimensional reconstructions, composition
94 analysis, and the creation of isoconcentration surfaces. Equilibrium phase fractions were
95 calculated using the software Thermo-Calc 3.0.1 and TCFE7 database.

96 **3. Results and discussion**

97 The room-temperature engineering stress–strain curves of the two steels in the as-
98 quenched and 60-h aged (peak aged) conditions are displayed in Fig. 1a. The Cu-containing
99 and Cu-free steels exhibit similar tensile properties in the as-quenched state, with yield
100 strengths of 713 ± 10 and 725 ± 11 MPa, respectively, and ultimate tensile strengths of $834 \pm$
101 14 and 883 ± 11 MPa, respectively. After aging for 60 h, the yield strengths of the Cu-
102 containing and Cu-free steels increase to 1274 ± 18 and 1330 ± 15 MPa, respectively; both
103 show a ~ 600 MPa increment in the yield strength. However, the aging treatment results in
104 significantly different work-hardening behaviors and ductilities in the two steels. The work-
105 hardening rate curves and true stress-strain curves of the two steels are illustrated in Fig. 1b,
106 and their total and uniform elongations are summarized in Fig. 1c. In the Cu-free steel, after a
107 precipitous initial drop associated with the elastic to plastic transition, the work-hardening rate
108 decreases gradually possibly due to its ineffectiveness in dislocation generation and

109 accumulation during deformation. By contrast, in the Cu-containing steel, the work-hardening
110 rate curve reveals a multi-stage work-hardening response; after the initial drop, the work-
111 hardening rate increases substantially and maintains an obvious strain hardening plateau
112 between the 3.5% and 7.5% true strains, followed by a gradual decrease. The Cu-free steel
113 exhibit a slight work hardening with a uniform elongation of 5% and a total elongation of 11%,
114 whereas, the Cu-containing steel shows a strong work hardening with a 9% uniform elongation
115 and a 15% total elongation, demonstrating a substantial improvement in the ductility. The
116 room-temperature Charpy impact energies of the two steels in the 60-h aged condition are also
117 given in Fig. 1c. For the Cu-free steel, the impact resistance is low with an impact energy of 9
118 ± 1 J, which is typical for high-strength martensitic stainless steels [19]. In contrast, the
119 impact energy of the Cu-containing steel is increased drastically to 58 ± 3 J, which is more than
120 6X higher than that of the Cu-free steel. The above results indicate that Cu plays an important
121 role in improving the ductility and toughness of maraging stainless steels.

122 A representative TEM micrograph of the Cu-containing steel after aging for 60 h is shown
123 in Fig. 2a. Two categories of nanoprecipitates were observed; one category (red arrows) with
124 an average diameter of ~ 20 nm and located mainly on the dislocations, and the other category
125 (orange arrows) with an average diameter of ~ 5 nm. High-resolution images of representative
126 precipitates of the two categories and the corresponding fast Fourier transformation (FFT)
127 patterns are presented in Fig. 2b-e. Figure 2b and c shows that the small precipitates are Cu
128 particles with 9R twinned crystal structural, which is intermediate between the BCC and FCC
129 structures and can be considered as a close-packed structure with stacking faults every third
130 close-packed plane [20]. The orientation relationship between the 9R Cu particles and α -Fe

131 matrix is $(11\bar{4})_{9R} // (011)_\alpha$ and $[\bar{1}10]_{9R} // [1\bar{1}1]_\alpha$. Figure 2d and e shows that the crystal
132 structure of the coarse precipitate is A_2B -type Laves phase. The chemical composition and
133 solute partitioning of the two types of precipitates were further analyzed by APT, as shown in
134 Fig. 2f-h. The iso-concentration surfaces of 10 at.% Cu (orange surface) and 10 at.% Mo (red
135 surface) were used to visualize Cu-rich and Laves precipitates, respectively. Figure 2g and h
136 shows the proximity histograms of Cu-rich and Mo-enriched precipitates, respectively. The Cu-
137 rich precipitates consist of almost pure Cu in the precipitate core, whereas a clear segregation
138 of Ni was observed at the Cu-precipitate/matrix heterophase interface; the Ni concentration
139 reaches a maximum (18 at.%) at a distance of 1.0 nm from the interface. The composition of
140 Mo-enriched precipitates is 38.2Fe-16.5Cr-8.4Ni-4.8Co-32.1Mo (at.%). The APT composition,
141 together with the TEM results, indicates that Mo-enriched precipitates are $(Fe,Cr,Co,Ni)_2Mo$ -
142 type Laves phases.

143 The XRD patterns of the Cu-free and Cu-containing steels in the as-quenched and
144 different aged conditions are displayed in Fig. 3a and b, respectively. In the as-quenched
145 condition, both steels exhibit diffraction peaks corresponding to BCC-Fe only, with no signs
146 of FCC-Fe, indicating that the as-quenched steels has an almost fully martensitic microstructure.
147 Upon aging at 500 °C, the two steels exhibit different XRD characteristics. In the Cu-free steel,
148 no austenite peaks can be observed in the 0.5-h aged condition, and the austenite peaks appear
149 but with a low intensity in the 24-h and 60-h aged condition. Quantitative analyses (Fig. 3c)
150 indicate that the volume fractions of the austenite phase in the 0.5-h, 24-h, and 60-h aged
151 conditions are 0, $5.0 \pm 0.8\%$, and $6.5 \pm 0.6\%$, respectively, implying that the martensite-to-
152 austenite reversion kinetics is slow in the Cu-free steel. By contrast, obvious peaks of reverted

153 austenite were detected in the Cu-containing steel in all the aged conditions; the volume
154 fractions of the austenite phase in the 0.5-h, 24-h, and 60-h aged conditions are $8.5 \pm 0.8\%$,
155 $10.8 \pm 0.7\%$, and $12.4 \pm 1.2\%$, respectively. EBSD phase map of the Cu-containing steel in the
156 60-h aged condition is displayed in Fig. 3d. According to the phase map, the volume fraction
157 of reverted austenite (blue area) was estimated to be 11.8%, which is in good agreement with
158 the XRD data. The high volume fraction of reverted austenite in the Cu-containing steel
159 suggests that the Cu addition accelerates the austenite reversion kinetics.

160 The detailed microstructure of reverted austenite in the Cu-containing steel after aging for
161 60 h was further analyzed by TEM and APT, and the results are shown in Fig. 4. From Fig. 4a,
162 film-like austenite was observed mostly at lath boundaries, whereas some granular austenite
163 appears in the martensitic matrix. The orientation relationship between the reverted austenite
164 and martensite matrix obeys the Nishiyama–Wasserman relationship, i.e., $[01\bar{1}]_{\gamma} // [001]_{\alpha}$ and
165 $(111)_{\gamma} // (110)_{\alpha}$ (Fig. 4b) [21,22]. Figure 4c and d is the bright-field TEM image and the
166 corresponding TEM/EDS elemental mapping of Ni, Cu, and Mo, respectively. The reverted
167 austenite is enriched in Ni, and its substructure consists of stacking faults and a low density of
168 dislocations, suggesting that the formation of reverted austenite occurs by a process of
169 nucleation and growth. More intriguingly, a considerable amount of reverted austenite is co-
170 precipitated with Cu-rich precipitates, with the former adjacent to or enveloping the latter.

171 To understand the formation mechanism of reverted austenite, it is essential to characterize
172 the solute partitioning and phase composition at the nanoscale. Figure 4e shows the APT
173 microstructure of the austenite and Cu-rich precipitates in the Cu-containing steel after aging
174 for 60 h. The iso-concentration surfaces of 20 at.% Ni (green surface) and 10 at.% Cu (orange

175 surface) were used to visualize reverted austenite and Cu-rich precipitates, respectively. Three
176 Cu-rich precipitates were detected in the volume of analysis; two are adjacent to the austenite
177 phase and one is encompassed within the austenite phase. The co-precipitation structure of
178 reverted austenite and Cu-rich precipitates is consistent with the TEM results (cf. Fig. 4c and
179 d). The proximity histogram of reverted austenite (Fig. 4f) reveals that the Ni concentration is
180 approximately 30 at.%, which is 6X higher than that in the martensitic matrix (5 at.%),
181 indicating that the growth of reverted austenite is accompanied by Ni diffusion. In addition, Cu
182 is also enriched in the reverted austenite (0.8 at.%), as compared with that in the matrix (0.4
183 at.%). These observations indicate that the diffusion of both Ni and Cu are involved in the
184 austenite reversion of the Cu-containing steel.

185 The XRD spectra and EBSD phase maps of the tensile-deformed Cu-containing steel are
186 presented in Fig. 5a and b, respectively. After tensile deformation, the Cu-containing steel has
187 a fully martensitic microstructure with no detectable reverted austenite. The deformation
188 microstructures were further characterized by TEM, as shown in Fig. 5c. Almost all reverted
189 austenite transforms into martensite after the tensile deformation, and numerous dislocations
190 are accumulated in and around the deformation-induced martensite. The deformation-induced
191 austenite to martensite transformation can enhance the work-hardening rate via two
192 mechanisms [23]: (i) composite strengthening due to the formation of hard martensite phase
193 and (ii) formation of dislocations in and around newly formed martensite regions as a result of
194 the volume expansion during the austenite to martensite transformation. Therefore, it is
195 reasonable to speculate that the enhanced work-hardening rate of the Cu-containing steel is due
196 to the deformation-induced austenite to martensite transformation. The enhancement of the

197 work hardening makes the conditions for plastic instability to be met at higher strains, so that
198 the onset of necking is postponed to large deformations. As a result, an increase in the uniform
199 elongation and ultimate tensile stress was observed in the Cu-containing steel.

200 As aforementioned, the formation of reverted austenite is a diffusion-controlled process,
201 which is related to the nucleation and growth of reverted austenite [24]. The effect of Cu on the
202 austenite reversion can be analyzed from the nucleation point of view. First, because Cu is an
203 austenite stabilizing element, the addition of Cu to maraging stainless steels increases the total
204 concentration of austenite forming elements, resulting in an increase in the chemical driving
205 force for the formation of reverted austenite. To quantitatively understand how the Cu addition
206 affects the thermodynamic equilibrium, the equilibrium volume fractions of austenite phase in
207 the Cu-free and Cu-containing steels were calculated by using Thermo-Calc, and the
208 calculation results are shown in supplementary Fig. S1. At 500 °C, the volume fraction of
209 austenite in the Cu-free and Cu-containing steels are 10.6% and 12.0%, respectively. Therefore,
210 the Cu addition substantially increases the equilibrium volume fraction of austenite and
211 enhances the chemical driving force for the austenite reversion. Second, the Cu-rich
212 nanoprecipitates promote the nucleation of reverted austenite through interfacial segregation.
213 Cu has a very low solubility (~ 0.2 at.%) in BCC-Fe at 500 °C and quickly precipitates as
214 coherent bcc clusters in the martensitic matrix during aging [25]. The heat of mixing between
215 Fe–Cu is positive and large ($+13$ kJ mol⁻¹), whereas that for both Fe–Ni and Cu–Ni pairs are
216 very small (-4 and $+2$ kJ mol⁻¹, respectively); this means that the segregation of Ni at the
217 interface between Cu-rich precipitates and matrix can act a buffer layer to reduce the interfacial
218 energy of Cu precipitates [26]. Therefore, it is energetically favorable for Ni to segregate at the

219 interfaces between Cu-rich precipitates and matrix. Ni is known as one of the major austenite
220 forming elements in the steels, the Ni-enriched regions at the Cu-precipitate/matrix interface
221 provide the chemical conditions for the phase transformation from martensite to austenite.
222 Furthermore, the interfaces between Cu-rich precipitates and matrix can act as preferential
223 nucleation sites for heterogeneous nucleation of reverted austenite. As a result, the increased
224 chemical driving force and population of nucleation sites (heterophase interfaces), together
225 with the Ni enrichment at the interfaces, substantially increase the nucleation rate of reverted
226 austenite. Consequently, the volume fraction of reverted austenite in the Cu-containing steel
227 (~12.4 vol.%) is much higher than that in the Cu-free steel without Cu additions (~6.5 vol.%).
228 Furthermore, the TRIP behavior in the plastic zone around a front fracture absorbs fracture
229 energy, effectively contributing to the improvement of toughness [27]. Consequently, a
230 substantial improvement in ductility and toughness was observed in the Cu-containing steel
231 with the TRIP effect (cf. Fig. 1). Therefore, our work provides a new strategy to accelerate the
232 austenite reversion and enhance the TRIP effect of maraging steels through Cu
233 nanoprecipitation. The Cu content used here is 1 wt.%, which is lower than that in commercial
234 Cu-containing steels, such as 17-4 PH and 15-5 PH stainless steels [28,29]; thus, this Cu
235 content should be readily achievable in industrial scale steels.

236 **4. Conclusions**

237 The effects of Cu precipitation on the austenite reversion and mechanical properties of
238 maraging stainless steels were systematically investigated. Our results indicate that Cu, as an
239 austenite stabilizer, increases the equilibrium austenite fraction and enhances the chemical

240 driving force for the austenite formation. In addition, Cu-rich precipitates significantly promote
241 the nucleation of reverted austenite by not only increasing the chemical driving force for
242 nucleation, but also providing high number densities of heterogeneous nucleation sites for the
243 austenite reversion. During tensile deformation, the metastable reverted austenite transforms to
244 martensite, which substantially improves the ductility and toughness through the TRIP effect.
245 The Cu-containing steel containing 12.4 vol.% reverted austenite has a yield strength of 1330
246 MPa, an elongation of 15%, and an impact toughness of 58 J, exhibiting a good combination of
247 strength, ductility, and toughness. The strategy of Cu-assisted austenite reversion should be
248 applicable to many other steels and provides a new approach to improve the strength-ductility-
249 toughness synergy of advanced high-strength steels.

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341

342 **Figure captions**

343 **Fig. 1** Mechanical properties of the Cu-free and Cu-containing steels: (a) engineering tensile
344 stress-strain curves of the steels in the as-quenched and 60-h aged conditions, (b) work
345 hardening rate curves and true stress-strain curves of the steels in the 60-h aged condition, and
346 (c) elongations and Charpy impact energies in the 60-h aged condition.

347 **Fig. 2** Microstructure of Mo-enriched and Cu-rich precipitates: (a) bright-field TEM image of
348 the Cu-containing steel in the 60-h aged condition, HR-TEM images of (b) 9R Cu and (d) Laves
349 phase, (c) and (e) are the corresponding FFT patterns of (b) and (d), respectively, (f) APT
350 microstructure of Cu-rich and Mo-enriched precipitates, and (g) and (h) are the proximity
351 histograms of Cu-rich and Mo-enriched precipitates, respectively.

352 **Fig. 3** Microstructure of reverted austenite before deformation: XRD patterns of the (a) Cu-free
353 and (b) Cu-containing steels in the as-quenched and aged conditions, (c) volume fractions of
354 reverted austenite as a function of aging time, (d) EBSD phase maps for the Cu-containing steel
355 in the 60-h aged condition.

356 **Fig. 4** Microstructure of reverted austenite before deformation: (a) the TEM micrographs of the
357 Cu-containing steel in the 60-h condition, (b) the SAED patterns corresponding to reverted
358 austenite and matrix in (a), (c) and (d) are the bright-field TEM image and corresponding
359 TEM/EDS elemental mapping of Ni, Cu and Mo, respectively, and (e) and (f) APT
360 microstructure and compositions of Cu-rich precipitates and reverted austenite.

361 **Fig. 5** Microstructure of reverted austenite after deformation: (a) XRD pattern, (b) EBSD phase
362 maps, and (c) bright-field TEM image of the Cu-containing steel after tensile deformation.

Figure 1

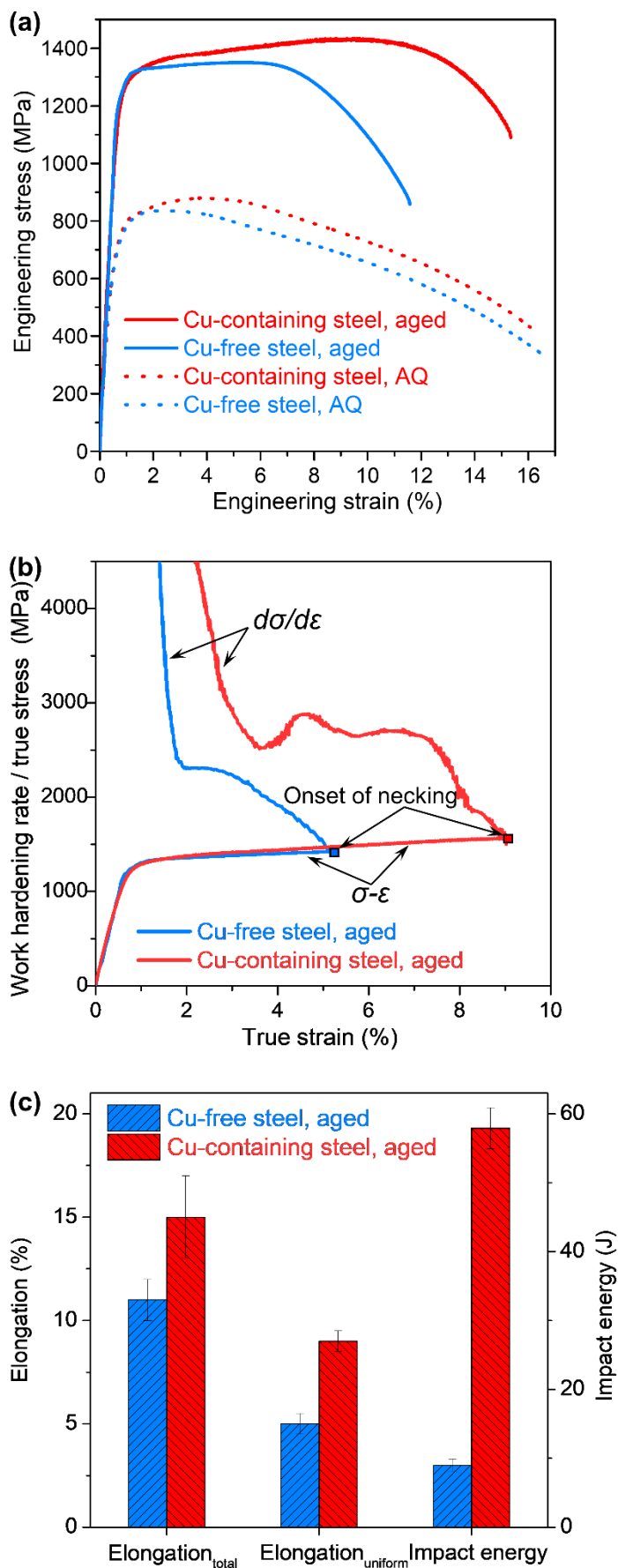
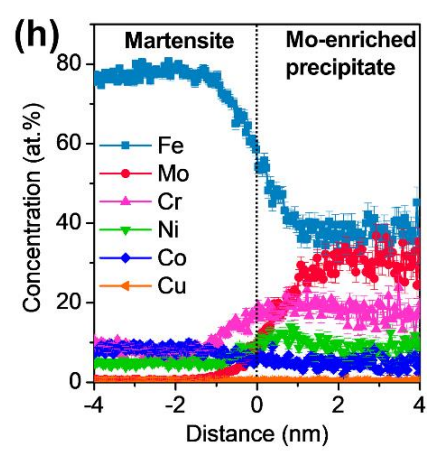
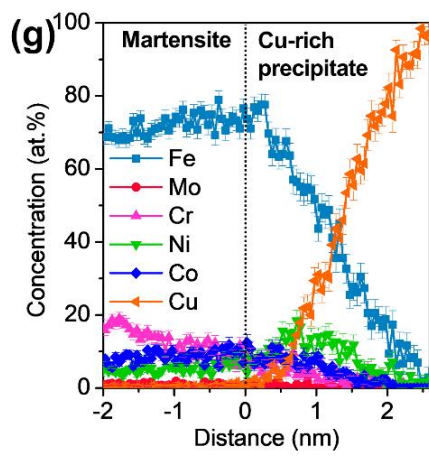
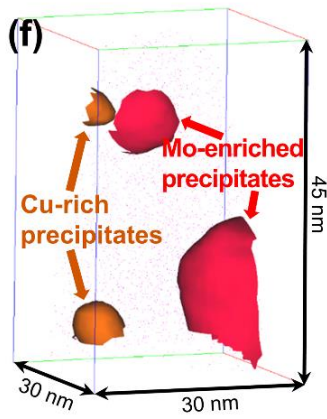
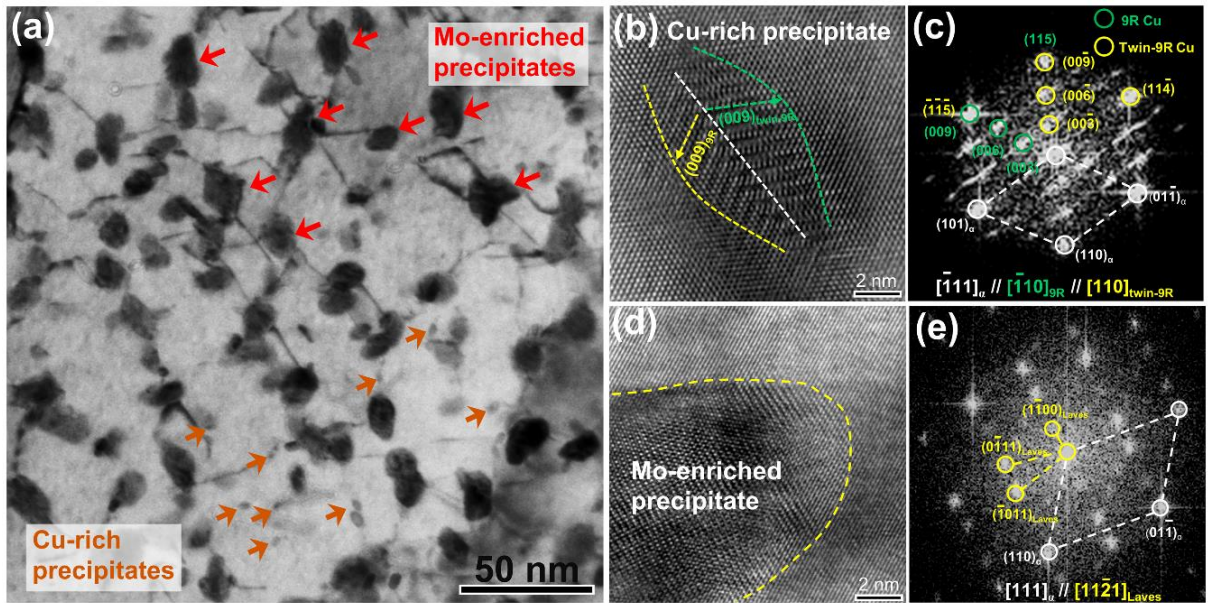


Figure 2



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Figure 3

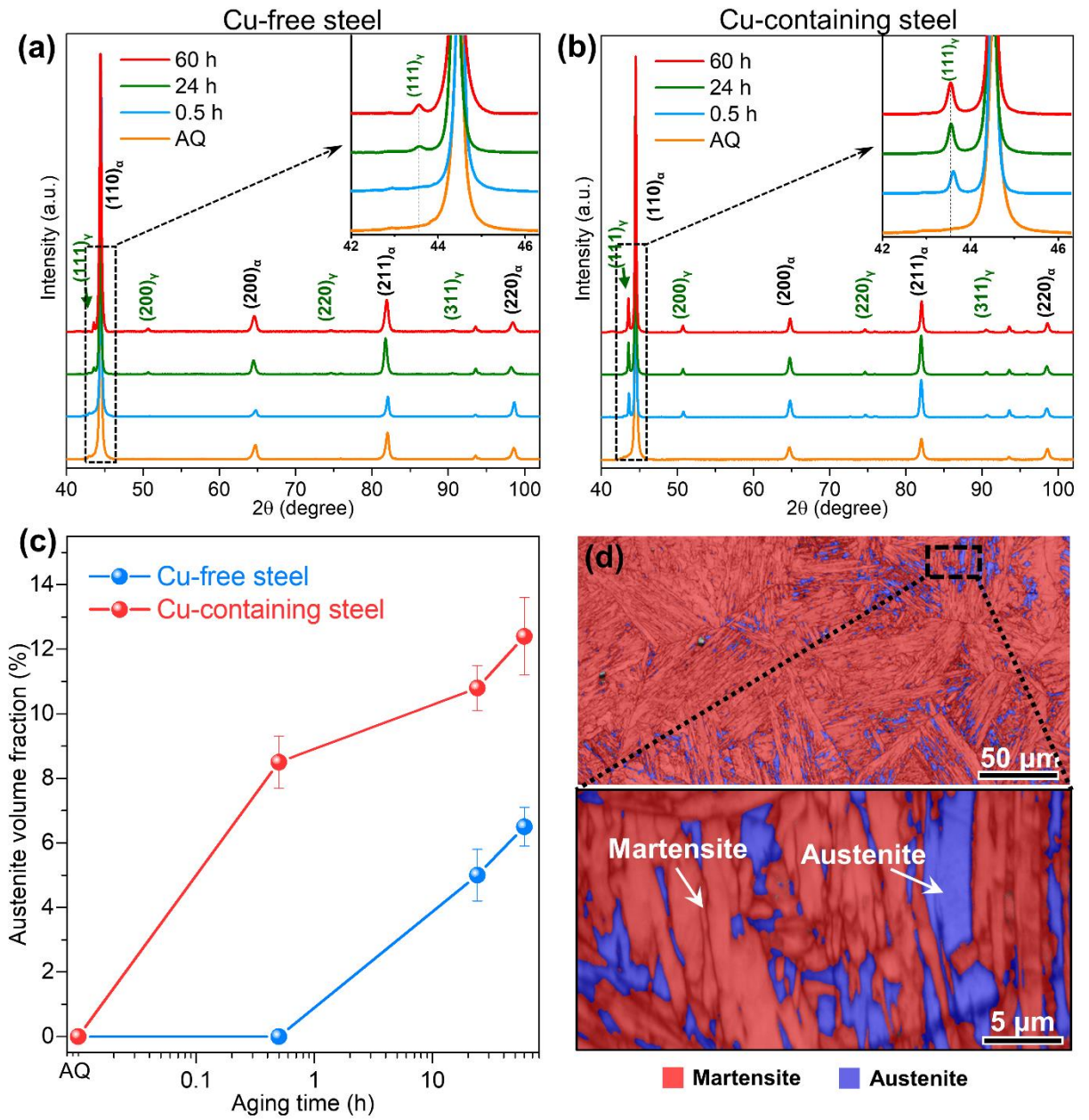


Figure 4

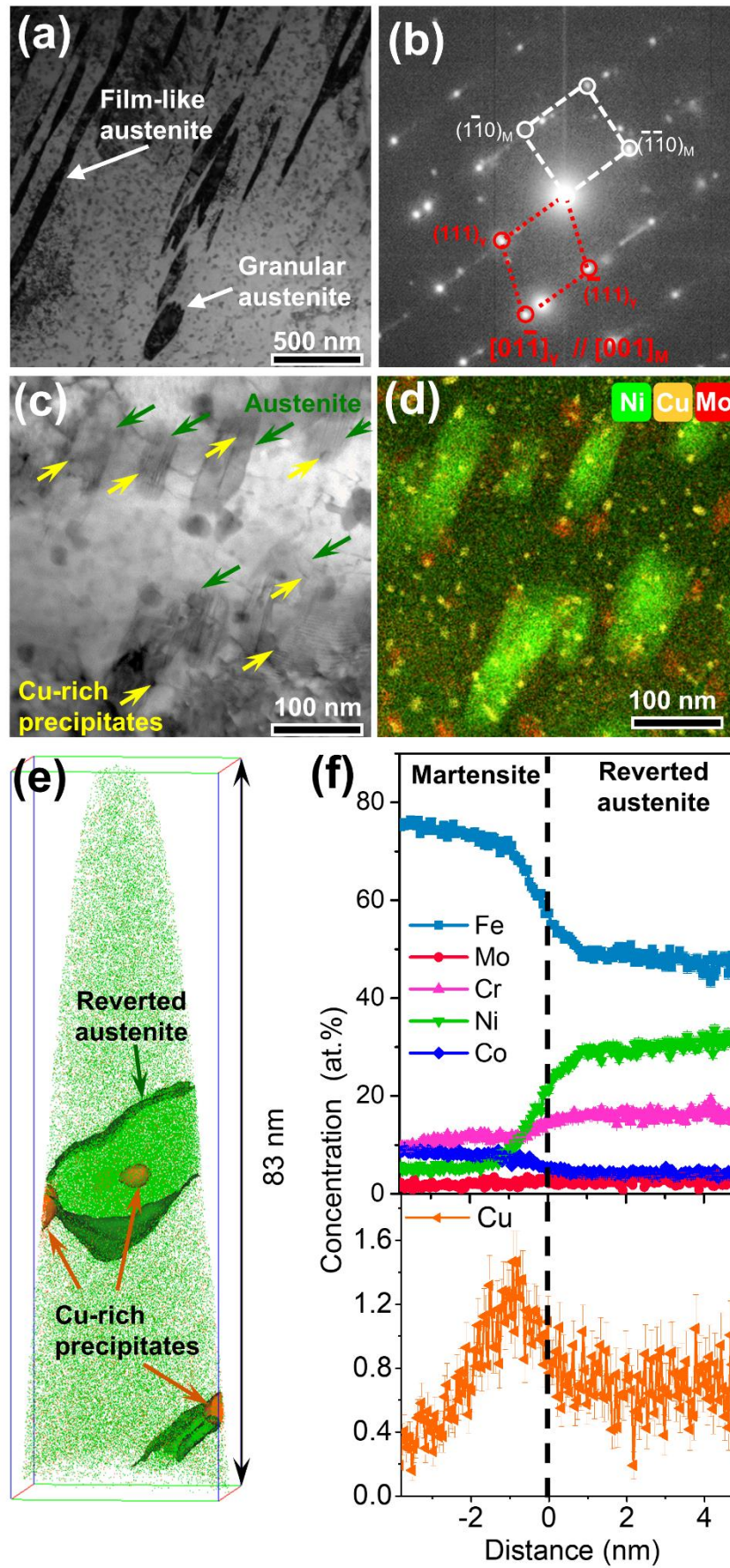
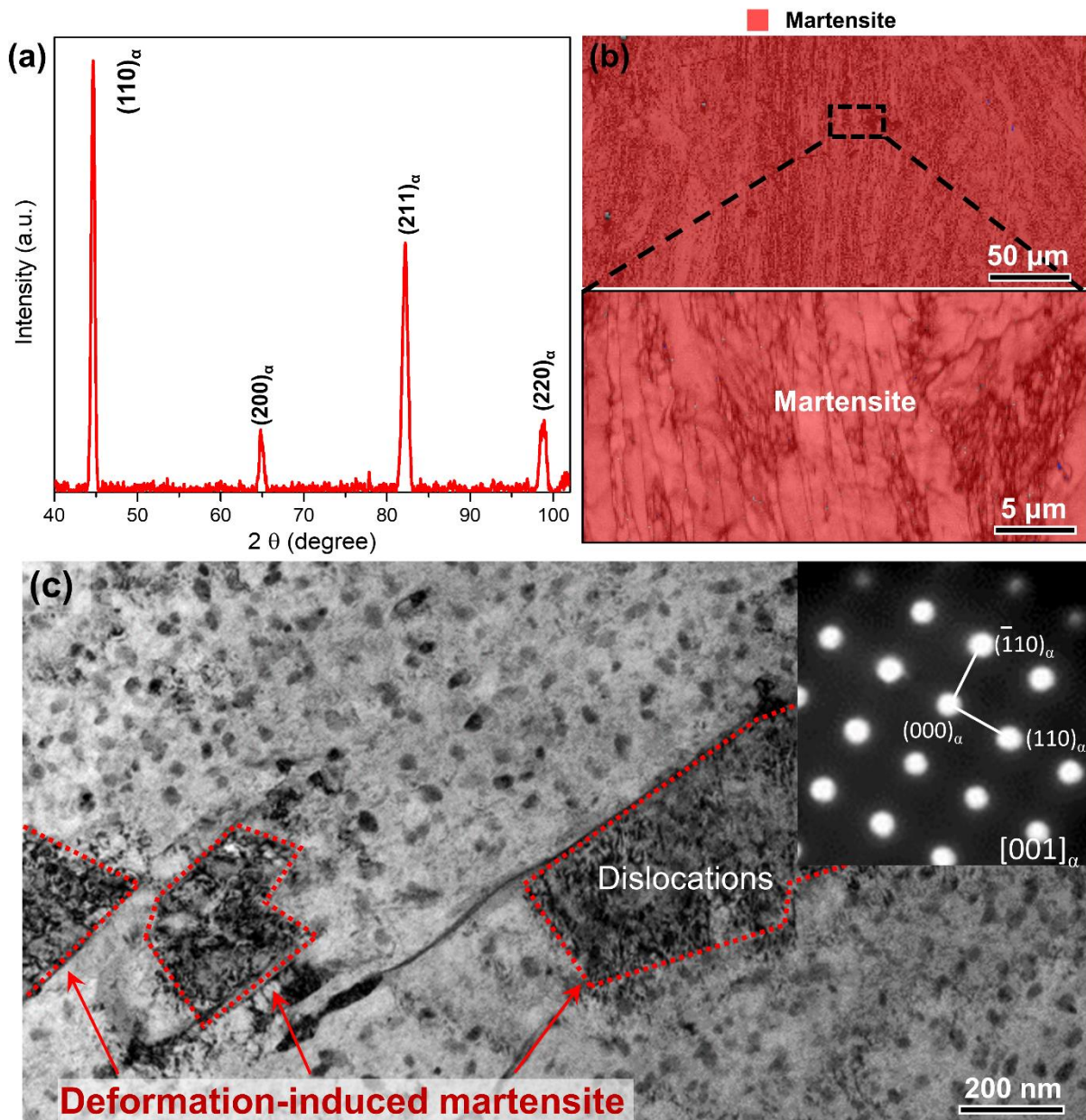


Figure 5



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