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A General Simple Method for Calculating Consolidation

Settlements of Layered Clayey Soils with Vertical Drains under Staged Loadings

by

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34 **Abstract:**

35 It is well known that the calculation of consolidation settlements of clayey soils shall consider
36 creep compression in both “primary” consolidation and so-called “secondary” consolidation
37 periods. Rigorous Hypothesis B method is a coupled method and can consider creep
38 compression in the two periods. But this method needs to solve a set of non-linear partial
39 differential equations with a proper Elastic Visco-Plastic (EVP) constitutive model so that this
40 method is not easy to be used by engineers. Recently, Yin and his co-workers have proposed a
41 simplified Hypothesis B method for single and two layers of soils. But this method cannot
42 consider complicated loadings such as loading, unloading, and reloading. This paper proposes
43 and verifies a general simple method with a new logarithmic function for calculating
44 consolidation settlements of viscous clayey soils without or with vertical drains under staged
45 loadings such as loading, unloading, and reloading. This new logarithmic function is suitable
46 to cases of zero or very small initial effective stress. Equations of this simple method are derived
47 for complicated loading conditions. This method is then used to calculate consolidation
48 settlements of clayey soils in three typical cases: Case 1 is a single soil layer without vertical
49 drains under loading only, Case 2 is a two-layered soil profile with vertical drains subjected to
50 loading, unloading, and reloading, and Case 3 is a real case of a test embankment on seabed of
51 four soil layers installed with vertical drains under three stages of loading. Settlements of all
52 three cases using the new general simple methods are compared with values calculated using
53 rigorous fully coupled finite element method (FEM) with an Elastic Visco-Plastic (EVP)
54 constitutive model (Cases 1 and 2) and measured data for Case 3. It is found that the calculated
55 settlements are in good agreement with values from FEM and/or measured data. It is concluded
56 that the general simple method is suitable for calculating consolidation settlements of layered
57 viscous clayey soils without or with vertical drains under complicated loading conditions with
58 good accuracy and also easy to use by engineers using spread-sheet calculation.

59 **Keywords:** clayey soil, settlement, consolidation, time-dependent, creep, elastic visco-plastic

60

61 **1. Introduction**

62 In recent decades, many geotechnical structures have been constructed on clayed soil ground,
63 especially on seabed with layered clayey soils and other soil types in many coastal cities in the
64 world. One typical example is two artificial islands (5.10 km² for runway one and 5.45 km² for
65 runway 2) of Kansai International Airport in Osaka, Japan. Runway one was constructed
66 starting in December 1986 and was open in September 1994. Runway two was constructed in
67 May 1999 and was open in August 2007. The excessive settlements have been a problematic
68 issue (Akai and Tanaka 1999). In Hong Kong, a total area of 1100 km² was reclaimed on seabed
69 since 1980's to 2003. Recently, three large artificial islands were constructed on seabed as part
70 of Hong Kong-Zhuhai-Macao Link project. In near future, more marine reclamations will be
71 constructed on seabed in Hong Kong waters. Excessive settlements, especially long-term
72 settlements have been and will be a big concern. It is well known that settlements of saturated
73 clayey soils are caused by dissipation of excessive pore water pressure in voids of soils and also
74 by viscous deformation of soil skeleton. The stress-strain behaviour of the skeleton of clayey
75 soils is time-dependent due to the viscous nature of the skeleton (Bjerrum 1967; Graham *et.al.*
76 1983; Leroueil *et.al.* 1985; Olson 1998). Methods for calculating settlements of saturated
77 clayey soils shall consider the coupling process of dissipation of excessive pore water pressure
78 and viscous deformation of soil skeleton.

79 Terzaghi (1943) first presented a theory and equations for analysis of the consolidation of
80 soil in one-dimensional (1D) straining (oedometer condition). But this theory cannot consider
81 viscous deformation of soil skeleton. Later, improved methods were proposed, including
82 methods based on Hypothesis A (Ladd *et. al.* 1977; Mesri and Godlewski 1977) and other
83 methods based on Hypothesis B (Gibson and Lo 1961; Barden 1965, 1969; Bjerrum 1967;
84 Garlanger 1972; Leroueil *et.al.* 1985; Hinchberger and Rowe 2005; Kelln, *et al.* 2008).
85 Hypothesis A method assumes no creep compression during the “primary” consolidation period

86 and the creep compression occurs only in the “secondary” compression starting at t_{EOP} which
87 is the time at End-Of-Primary consolidation. Yin and Feng (2017) and Feng and Yin (2017)
88 pointed out that Hypothesis A method normally underestimates the total settlements due to
89 ignoring creep compression in the “primary” consolidation period.

90 Hypothesis B is a coupled consolidation analysis using a proper constitutive relationship for
91 the time-dependent stress-strain behaviour of clayey soils. Hypothesis B method needs to solve
92 a set of two partial equations: (i) an equation derived based on mass continuity condition using
93 Dancy’s law and (ii) a constitutive equation such Yin and Graham’s (1994) 1D Elastic Visco-
94 Plastic model (1D EVP) (Yin and Graham 1996). Yin and Graham (1996) used a finite
95 difference method to solve this set of equations. The computed settlements and excessive pore
96 water pressures were in good agreement with measured data from tests done by Berre and
97 Iversen (1972). Yin and Graham (1996) also found that Hypothesis A method underestimated
98 total settlements. Nash and Ryde (2000, 2001) also used Hypothesis B method adopting 1D
99 EVP model (Yin and Graham 1994) to analyze the consolidation settlement of an embankment
100 on soft ground with vertical drains. Their computed settlements were in good agreement with
101 measured values.

102 Hypothesis B method needs to solve a set of non-linear partial differential equations and a
103 computer program is needed. This method is difficult to be used by practicing engineers without
104 such computer program and without a good knowledge of non-linear constitutive model. To
105 overcome this limitation, Yin and Feng (2017) and Feng and Yin (2017) proposed a de-coupled
106 simplified Hypothesis B method for calculating settlements due to both excessive porewater
107 pressure dissipation and also due to creep compression during and after the “primary”
108 consolidation period. The calculated settlements are in close agreement with measured data and
109 computed values using the fully coupled Hypothesis B method with aid of computer software.
110 However, this simplified method is neither suitable for complicated loading such as staged

111 unloading and reloading, nor for multiple layers of soils with vertical drains. In this paper,
112 authors propose and verify a general simplified Hypothesis B method (also called a general
113 simple method) for calculating consolidation settlements of layered clayey soils with or without
114 vertical drains under staged loadings including loading, unloading, and reloading. Such loading
115 process is commonly used in practice. In addition, a new logarithmic function which has
116 definition at zero stress is used in this method for calculating settlements of soils at very small
117 vertical effective stress.

118

119 **2. Formulation of a General Simple Method for Calculating Consolidation Settlements** 120 **of Multi-layered Soils Exhibiting Creep under Staged Loading**

121 ***2.1. Formulation of a general simplified Hypothesis B method***

122 Figure 1 shows a soil profile with n -layers of soils with corresponding thicknesses
123 (H_1, H_2, \dots, H_n) and depths (z_1, z_2, \dots, z_n) . The total thickness of this profile is H . A vertical
124 drain with smear zone is shown in Figure 1, where $d_d = 2r_d$ is diameter of a drain equal to
125 twice radius r_d of the drain, $d_s = 2r_s$ is diameter of a smear zone equal to twice radius r_s
126 of the smear zone, $d_e = 2r_e$ is diameter of an equivalent unit cell equal to twice radius r_e of
127 the cell. It is noted that vertical drains are installed all in the same triangular pattern or the same
128 square pattern and are subjected a uniform surcharge over all vertical drains. Therefore,
129 deformation of soils in all unit cells is approximately in vertical direction. Thus, soils in each
130 unit cell are assumed to be in 1D straining in average. 1D straining constitutive models can be
131 used, for example 1D EVP model (Yin and Graham 1989, 1994). If a horizontal soil profile has
132 no vertical drains, then $d_d = d_s = 0$ and $d_e = \infty$ in Figure 1, which is also suitable for multi-
133 layered soils without vertical drains.

134 Authors propose a general simplified Hypothesis B method for calculating consolidation

135 settlement of multi-layered viscous soils with or without vertical drains under any loading
 136 condition for the soil profile under uniform surcharge $q(t)$ in Figure 1. Formulation of this
 137 general simple method is presented below:

$$\begin{aligned}
 S_{totalB} &= S_{primary} + S_{creep} = \sum_{j=1}^{j=n} U_j S_{ff} + \sum_{j=1}^{j=n} S_{creepj} \\
 &= U \sum_{j=1}^{j=n} S_{ff} + \sum_{j=1}^{j=n} [\alpha U_j^\beta S_{creep,ff} + (1 - \alpha U_j^\beta) S_{creep,dj}] \quad (1) \\
 &\quad \text{for all } t \geq t_{EOP,lab} \quad (t \geq t_{EOP,field} \text{ for } S_{creep,dj})
 \end{aligned}$$

139 The formulation in Eq.(1) is a de-coupled simplified Hypothesis B method. The “de-coupled”
 140 means that “primary” consolidation settlement $S_{primary}$ is separated from creep settlement
 141 S_{creep} . The separation of “primary” consolidation from “secondary” compression for a lab test
 142 is shown in Figure 2. A normal soil specimen in oedometer test has 20 mm in thickness with
 143 double drainage so that the value of $t_{EOP,lab}$ in Figure 2 is small with tens of minutes only.
 144 $t_{EOP,field}$ in Eq.(1) is the End-Of-Primary (EOP) time for soil layers in the field. The value of
 145 $t_{EOP,field}$ may vary from a few years to tens of years depending on the thickness and
 146 permeability of soils in the field. t_{24hrs} in Figure 2 is the time with duration of 24 hours in an
 147 oedometer test, normally larger than $t_{EOP,lab}$ with $t_{EOP,lab} < t_{24hrs} < t_{EOP,field}$ normally true. In
 148 practical application, $t_{EOP,lab}$ will be replaced by the time t_0 , which is conveniently adopted
 149 as 24 hours with conventional oedometer tests. The compression indices are calculated using
 150 test data from the same duration of 24 hours as t_0 . It shall be pointed out that in Eq.(1), the
 151 items of $S_{creep,dj}$ will be zero for $t \leq t_{EOP,field}$ and will become positive $t > t_{EOP,field}$.

152 In Eq.(1), “primary” consolidation settlement $S_{primary}$ shall be calculated for multiple soil
 153 layers with or without a vertical drain:

$$154 \quad S_{primary} = \sum_{j=1}^{j=n} U_j S_{ff} = U \sum_{j=1}^{j=n} S_{ff} \quad (2)$$

155 where U_j is combined average degree of consolidation for j -layer and U is combined
 156 average degree of consolidation for all multiple soil layers with or without a vertical drain:

$$157 \quad U_j = 1 - (1 - U_{vj})(1 - U_{rj}) \quad (3a)$$

$$158 \quad U = 1 - (1 - U_v)(1 - U_r) \quad (3b)$$

159 Eq.(3) is called Carrillo's (1942) formula where U_{vj} and U_{rj} or U_v and U_r are average
 160 degree of vertical consolidation and radial consolidation for j -layer or multiple soil layers. If
 161 there is no vertical drain, $U_{rj} = U_r = 0$, from (3), $U_j = U_{vj}$ or $U = U_v$. For multiple soil
 162 layers, the superposition of the average degree of consolidation for each layer is not valid since
 163 the continuation condition at each interface of two layers must be satisfied. S_{ff} is the final
 164 "primary" consolidation at End-Of-Primary (EOP) consolidation for j -layer. S_{ff} can be
 165 calculated using the coefficient of volume compressibility m_v or compression indexes C_c ,
 166 C_r of j -layer. More details on calculations of S_{ff} and U are presented in next section.

167 In Eq.(1), S_{creepj} is creep settlement of soil skeleton in j -layer and is equal to:

$$168 \quad S_{creepj} = \alpha U_j^\beta S_{creep,ff} + (1 - \alpha U_j^\beta) S_{creep,dj} \quad (4a)$$

for all $t \geq t_{EOP,lab}$ ($t \geq t_{EOP,field}$ for $S_{creep,dj}$)

169 Eq.(4a) can also be written as:

$$170 \quad S_{creepj} = \begin{cases} \alpha U_j^\beta S_{creep,ff} & \text{for } t \geq t_{EOP,lab} \\ \alpha U_j^\beta S_{creep,ff} + (1 - \alpha U_j^\beta) S_{creep,dj} & t \geq t_{EOP,field} \end{cases} \quad (4b)$$

171 where U_j is from Eq.(3a) with value from 0 to 1 only and β is a power index with value
 172 from 0 to 1. Yin (2011) used a parameter $\alpha = 1$ without U_j^β . But this over predicted total
 173 consolidation settlement. Yin and Feng (2017) and Feng and Yin (2017) used $\alpha = 0.8$ without

174 U_j^β and gave results in close agreement with measured data and values from rigorous fully
 175 coupled consolidation modelling. In this paper, a general term of αU_j^β is suggested. See more
 176 examples later in this paper on more accurate prediction results.

177 $S_{creep,ff}$ in Eq.(1) or Eq.(4) is creep settlement of j -layer under the “final” vertical effective
 178 stress after load increased, ignoring the excess porewater pressure. $S_{creep,dj}$ in Eq.(1) or Eq.(4)
 179 is “delayed” creep settlement of j -layer under the “final” vertical effective stress ignoring the
 180 excess porewater pressure. $S_{creep,dj}$ starts for $t \geq t_{EOP,field}$, in other words, is “delayed” by time
 181 of $t_{EOP,field}$ to occur. $t_{EOP,field}$ is the End-Of-Primary (EOP) of consolidation for field
 182 condition of j -layer. More discussion on $S_{creep,ff}$ and $S_{creep,dj}$ are in later section.

183

184 **2.2. Calculation of S_{ff}**

185 In Eq.(2), the total primary consolidation settlement $S_{primary}$ is sum of settlements S_{ff} of
 186 all sub-layers multiplied by an over-all average degree of consolidation U . This section
 187 presents methods and solutions for calculating S_{ff} . In the following calculations, in order make
 188 all equations and text in following paragraphs concise, the layer index “ j ” is removed, keeping
 189 in minds that these equations are for one soil layer.

190 If the coefficient of volume compressibility m_v is used, vertical effective stress increment
 191 $\Delta\sigma'_z$ and thickness H are known for a soil j -layer. S_f for j -layer is:

$$192 \quad S_f = m_v \Delta\sigma'_z H \quad (5)$$

193 It is noted that m_v is not a constant, depending on vertical effective stress, and shall be used
 194 with care. For clayey soils or soft soils, it is better to use C_c and C_r to calculate S_f for
 195 higher accuracy. An oedometer test is normally done on the same specimen in multi-stages.

196 According to British Standard 1377 (1990), the standard duration for each load shall normally
 197 last for 24 hours. In this paper, the indexes C_r, C_c and pre-consolidation stress point
 198 $(\sigma'_{zp}, \varepsilon_{zp})$ are all determined from the standard oedometer test with duration of 24 hours (1 day),
 199 that is, $t_{24hrs} = t_{1day} = 24 \text{ hours} = 1 \text{ day}$, for each load and for each layer. The idealized
 200 relationship between the vertical strain and the log (effective stress) is shown in Figure 3 with
 201 loading, unloading and reloading states.

202 Yin and Graham (1989, 1994) and Yin (1990) pointed out limitations of using a logarithmic
 203 function for fitting creep curve of log(time) and strain, when time is zero. In 1D EVP model,
 204 Yin and Graham (1989, 1994) introduced a time parameter t_o in a logarithmic function to care
 205 creep starting from time zero. In many real cases, the vertical effective stress σ'_z is zero or
 206 very near zero, for example, σ'_z at surface or near surface of seabed soils or soil ground. If a
 207 normal logarithmic function is used for fitting compression curve of log(effective stress) and
 208 strain, when the stress is zero, the strain is infinite. To overcome this problem, a unit stress σ'_{uit}
 209 is added to the logarithmic function in this paper and was also in Yin's a non-linear logarithmic-
 210 hyperbolic function in (Yin 1999). Adding σ'_{uit} in linear logarithmic stress function is
 211 particularly necessary for very soft soils in a soil ground with initial effective stress zero at the
 212 top of the surface. For example, the initial vertical effective stress at the top surface in soft Hong
 213 Kong Marine Clay (HKMC) in seabed is zero.

214 Based on Figure 3 and assuming stresses in each layer are uniform, the final settlements S_f
 215 for j -layer in Eq.(2) for six cases are calculated as follows by adding σ'_{uit1} and σ'_{uit2} in a new
 216 logarithmic stress function for elastic compression and elastic-plastic (NCL) compression
 217 separately.

218 (i) Loading from point 1 to point 2 with $OCR = \sigma'_{zp} / \sigma'_{z1}$ and point 2 in OCL:

$$219 \quad S_{f,1-2} = \varepsilon_{z,1-2} H = \frac{C_r}{1+e_o} \log\left(\frac{\sigma'_{z2} + \sigma'_{unit1}}{\sigma'_{z1} + \sigma'_{unit1}}\right) H \quad (6a)$$

220 The $\varepsilon_{z,1-2}$ is the vertical strain increase due to stress increases from σ'_{z1} to σ'_{z2} . The OCR is
 221 over-consolidation ratio and OCL is an over-consolidation line. If σ'_{unit1} is zero, (6a) goes back
 222 to conventional logarithmic stress function. The value of σ'_{unit1} is from 0.001 kPa to 1 kPa. For
 223 very soft soils, σ'_{unit1} takes values close to 0.01 kPa. Similar strain increase symbols are used in
 224 the following equations. Eq.(6a) can avoid singularity problem at initial stress zero ($\sigma'_{z1} = 0$)
 225 and is good for very soft soils, such as slurry under self-weight consolidation.

226 (ii) Loading from point 1 to point 4 with $OCR = \sigma'_{zp} / \sigma'_{z1} > 1$ and point 4 in NCL:

$$227 \quad S_{f,1-4} = \varepsilon_{z,1-4} H = \left[\frac{C_r}{1+e_o} \log\left(\frac{\sigma'_{zp} + \sigma'_{unit1}}{\sigma'_{z1} + \sigma'_{unit1}}\right) + \frac{C_c}{1+e_o} \log\left(\frac{\sigma'_{z4} + \sigma'_{unit2}}{\sigma'_{zp} + \sigma'_{unit2}}\right) \right] H \quad (6b)$$

228 NCL is a normal consolidation line. Adding σ'_{unit1} and σ'_{unit2} in Eq.(6b) can avoid singularity
 229 problem at initial stress zero ($\sigma'_{z1} = \sigma'_{zp} = 0$).

230 (iii) Loading from point 3 to point 4 with $OCR = \sigma'_{zp} / \sigma'_{z3} = 1$ and point 4 in NCL:

$$231 \quad S_{f,3-4} = \varepsilon_{z,3-4} H = \frac{C_c}{1+e_o} \log\left(\frac{\sigma'_{z4} + \sigma'_{unit2}}{\sigma'_{z3} + \sigma'_{unit2}}\right) H \quad (6c)$$

232 (iv) Unloading from point 4 to point 6:

$$233 \quad S_{f,4-6} = \varepsilon_{z,4-6} H = \frac{C_r}{1+e_o} \log\left(\frac{\sigma'_{z6} + \sigma'_{unit1}}{\sigma'_{z4} + \sigma'_{unit1}}\right) H \quad (6d)$$

234 (v) Reloading from point 6 to point 5:

$$235 \quad S_{f,6-5} = \varepsilon_{z,6-5} h = \frac{C_r}{1+e_o} \log\left(\frac{\sigma'_{z5} + \sigma'_{unit1}}{\sigma'_{z6} + \sigma'_{unit1}}\right) h \quad (6e)$$

236 (vi) Reloading from point 6 to point 7:

$$237 \quad S_{f,6-7} = \varepsilon_{z,6-7} H = \left[\frac{C_r}{1+e_o} \log\left(\frac{\sigma'_{z4} + \sigma'_{unit1}}{\sigma'_{z6} + \sigma'_{unit1}}\right) + \frac{C_c}{1+e_o} \log\left(\frac{\sigma'_{z7} + \sigma'_{unit2}}{\sigma'_{z4} + \sigma'_{unit2}}\right) \right] H \quad (6f)$$

238 However, the initial stresses and stress increments in a clayey soil layer are not uniform,
 239 Eq.(6) cannot be used. There are two approaches to consider this non-uniform stress as below.

240

241 (a) Dividing j -layer into sub-layers

242 A general method is to divide this soil layer into sub-layers with smaller thickness, say, 0.25
 243 m to 0.5m, which has been adopted by previous studies (Yin and Feng 2017; Yin and Zhu 2020).

244 The stresses and parameters in each sub-layer are considered uniform and constant. The final
 245 settlement S_f for j -layer is sum of settlements of all sub-layers (Yin and Feng 2017, Feng and
 246 Yin 2017). For each sub-layer with uniform stresses, equations in Eqs.(6a) to (6f) can be used
 247 depending on the initial and final stress points. This method is flexible and valid for complicated
 248 cases in which vertical stress and pre-consolidation pressure may not be uniform.

249

250 (b) Special case of constant parameters C_c, C_r and linear changes of initial stresses, stress
 251 increments, and pre-consolidation pressure for j -layer

252 For a clayey soil layer of thickness H , C_c, C_r are often constant, but stresses may vary with
 253 depth z . Figure 4 shows linear changes of initial vertical effective stress, total vertical effective
 254 stress, vertical pre-consolidation stress for a soil layer. Linear changes are in following
 255 equations:

$$256 \quad \sigma'_{z1} = \sigma'_{z1,0} + \frac{z}{H} (\sigma'_{z1,H} - \sigma'_{z1,0}) \quad (7a)$$

$$257 \quad \sigma'_{zp} = \sigma'_{zp,0} + \frac{z}{H} (\sigma'_{zp,H} - \sigma'_{zp,0}) \quad (7b)$$

$$258 \quad \sigma'_z = \sigma'_{z4,0} + \frac{z}{H} (\sigma'_{z4,H} - \sigma'_{z4,0}) \quad (7c)$$

259 where σ'_{z1} is the initial vertical effective stress. It is noted that the increase of pre-consolidation
 260 stress (or pressure) σ'_{zp} may not be as fast as the total vertical effective stress σ'_z as shown in
 261 Figure 4. Therefore, there is a point which $\sigma'_{zp} = \sigma'_z$ at depth z_p . Let us consider a general case
 262 of loading from point 1 to point 4, the calculation of settlements of j -layer for four different
 263 cases are in followings.

264 (i) Normal consolidation case: $OCR = \sigma'_{zp} / \sigma'_{z1} = 1$

265 In this case, initial effective stress σ'_{z1} and pre-consolidation stress σ'_{zp} are the same, after
 266 the stress increase, $\sigma'_z > \sigma'_{zp} = \sigma'_{z1}$. In this case, $S_{f,1-4}$ is:

$$267 \quad S_{f,1-4} = \int_{z=0}^{z=H} \varepsilon_{z,1-4} dz = \int_{z=0}^{z=H} \frac{C_c}{1+e_o} \log\left(\frac{\sigma'_z + \sigma'_{unit2}}{\sigma'_{zp} + \sigma'_{unit2}}\right) dz \quad (8a)$$

268 Substituting Eq.(6) into above equation:

$$269 \quad S_{f,1-4} = \int_{z=0}^{z=H} \frac{C_c}{(1+e_o)\ln(10)} \ln\left[\frac{\sigma'_{z4,0} + \frac{z}{H}(\sigma'_{z4,H} - \sigma'_{z4,0}) + \sigma'_{unit2}}{\sigma'_{z1,0} + \frac{z}{H}(\sigma'_{z1,H} - \sigma'_{z1,0}) + \sigma'_{unit2}}\right] dz = \frac{C_c}{(1+e_o)\ln(10)} \left\{ \int_{z=0}^{z=H} \ln\left[\sigma'_{z4,0} + \frac{z}{H}(\sigma'_{z4,H} - \sigma'_{z4,0}) + \sigma'_{unit2}\right] dz - \int_{z=0}^{z=H} \ln\left[\sigma'_{z1,0} + \frac{z}{H}(\sigma'_{z1,H} - \sigma'_{z1,0}) + \sigma'_{unit2}\right] dz \right\}$$

270 Let us introduce a new variable $x = \sigma'_{z4,0} + \frac{z}{H}(\sigma'_{z4,H} - \sigma'_{z4,0}) + \sigma'_{unit2}$ and

271 $y = \sigma'_{z1,0} + \frac{z}{H}(\sigma'_{z1,H} - \sigma'_{z1,0}) + \sigma'_{unit2}$, we have $dz = [H / (\sigma'_{z4,H} - \sigma'_{z4,0})] dx$ and

272 $dz = [H / (\sigma'_{z1,H} - \sigma'_{z1,0})] dy$. Noting that for $z=0$ and H , we have $x_{z=0} = \sigma'_{z4,0} + \sigma'_{unit2}$ and

273 $x_{z=H} = \sigma'_{z4,H} + \sigma'_{unit2}$; $y_{z=0} = \sigma'_{z1,0} + \sigma'_{unit2}$ and $y_{z=H} = \sigma'_{z1,H} + \sigma'_{unit2}$. The above equation can be

274 written as:

$$275 \quad S_{f,1-4} = \frac{C_c}{(1+e_o)\ln(10)} \left\{ \int_{x=\sigma'_{z4,0} + \sigma'_{unit2}}^{x=\sigma'_{z4,H} + \sigma'_{unit2}} \frac{H}{(\sigma'_{z4,H} - \sigma'_{z4,0})} \ln x dx - \int_{y=\sigma'_{z1,0} + \sigma'_{unit2}}^{y=\sigma'_{z1,H} + \sigma'_{unit2}} \frac{H}{(\sigma'_{z1,H} - \sigma'_{z1,0})} \ln y dy \right\}$$

276 Since $\int \ln x dx = x \ln x - x$ and $\int \ln y dy = y \ln y - y$, the above equation becomes:

$$277 \quad S_{f,1-4} = \frac{C_c}{(I + e_o) \ln(10)} \left\{ \frac{H}{(\sigma'_{z4,H} - \sigma'_{z4,0})} [x \ln x - x]_{x=\sigma'_{z4,0} + \sigma'_{unit2}}^{x=\sigma'_{z4,H} + \sigma'_{unit2}} - \frac{H}{(\sigma'_{z1,H} - \sigma'_{z1,0})} [y \ln y - y]_{y=\sigma'_{z1,0} + \sigma'_{unit2}}^{y=\sigma'_{z1,H} + \sigma'_{unit2}} \right\}$$

278 From above, we have:

$$279 \quad S_{f,1-4} = \frac{C_c}{(I + e_o) \ln(10)} \left\{ \frac{H}{(\sigma'_{z4,H} - \sigma'_{z4,0})} [(\sigma'_{z4,H} + \sigma'_{unit2}) \ln(\sigma'_{z4,H} + \sigma'_{unit2}) - (\sigma'_{z4,H} + \sigma'_{unit2}) - \right. \\ \left. ((\sigma'_{z4,0} + \sigma'_{unit2}) \ln(\sigma'_{z4,0} + \sigma'_{unit2}) - (\sigma'_{z4,0} + \sigma'_{unit2})) \right] - \frac{H}{(\sigma'_{z1,H} - \sigma'_{z1,0})} [(\sigma'_{z1,H} + \sigma'_{unit2}) \ln(\sigma'_{z1,H} + \sigma'_{unit2}) \\ - (\sigma'_{z1,H} + \sigma'_{unit2}) - ((\sigma'_{z1,0} + \sigma'_{unit2}) \ln(\sigma'_{z1,0} + \sigma'_{unit2}) - (\sigma'_{z1,0} + \sigma'_{unit2})) \right] \left. \right\} \quad (8b)$$

281 (ii) Over-consolidation case: $OCR = \sigma'_{zp} / \sigma'_{z1} > 1$ and $\sigma'_z \geq \sigma'_{zp}$ for $0 \leq z \leq H$

282 Figure 4 shows a case commonly encountered in the field. Initially, the soil is over-
283 consolidated with $OCR = \sigma'_{zp} / \sigma'_{z1} > 1$. After increased loading $\Delta \sigma'_z = \sigma'_z - \sigma'_{z1}$, we have
284 and $\sigma'_z \geq \sigma'_{zp}$ for $0 \leq z \leq H$. In this case, we have:

$$285 \quad S_{f,1-4} = \int_{z=0}^{z=H} \varepsilon_{z,1-4} dz = \int_{z=0}^{z=H} \left[\frac{C_r}{I + e_o} \log \left(\frac{\sigma'_{zp} + \sigma'_{unit1}}{\sigma'_{z1} + \sigma'_{unit1}} \right) + \frac{C_c}{I + e_o} \log \left(\frac{\sigma'_z + \sigma'_{unit2}}{\sigma'_{zp} + \sigma'_{unit2}} \right) \right] dz \quad (8c)$$

286 Substituting equations in (6) for $\sigma'_{z1}, \sigma'_{zp}, \sigma'_z$ into (8c) and using the same method in (i), the
287 integration of above equation is:

$$288 \quad S_{f,1-4} = \frac{C_r}{(I + e_o) \ln(10)} \left\{ \frac{H}{(\sigma'_{zp,H} - \sigma'_{zp,0})} [(\sigma'_{zp,H} + \sigma'_{unit1}) \ln(\sigma'_{zp,H} + \sigma'_{unit1}) - (\sigma'_{zp,H} + \sigma'_{unit1}) - \right. \\ \left. ((\sigma'_{zp,0} + \sigma'_{unit1}) \ln(\sigma'_{zp,0} + \sigma'_{unit1}) - (\sigma'_{zp,0} + \sigma'_{unit1})) \right] - \frac{H}{(\sigma'_{z1,H} - \sigma'_{z1,0})} [(\sigma'_{z1,H} + \sigma'_{unit1}) \ln(\sigma'_{z1,H} + \sigma'_{unit1}) \\ - (\sigma'_{z1,H} + \sigma'_{unit1}) - ((\sigma'_{z1,0} + \sigma'_{unit1}) \ln(\sigma'_{z1,0} + \sigma'_{unit1}) - (\sigma'_{z1,0} + \sigma'_{unit1})) \right] \left. \right\} + \\ \frac{C_c}{(I + e_o) \ln(10)} \left\{ \frac{H}{(\sigma'_{z4,H} - \sigma'_{z4,0})} [(\sigma'_{z4,H} + \sigma'_{unit2}) \ln(\sigma'_{z4,H} + \sigma'_{unit2}) - (\sigma'_{z4,H} + \sigma'_{unit2}) - \right. \\ \left. ((\sigma'_{z4,0} + \sigma'_{unit2}) \ln(\sigma'_{z4,0} + \sigma'_{unit2}) - (\sigma'_{z4,0} + \sigma'_{unit2})) \right] - \frac{H}{(\sigma'_{zp,H} - \sigma'_{zp,0})} [(\sigma'_{zp,H} + \sigma'_{unit2}) \ln(\sigma'_{zp,H} + \sigma'_{unit2}) \\ - (\sigma'_{zp,H} + \sigma'_{unit2}) - ((\sigma'_{zp,0} + \sigma'_{unit2}) \ln(\sigma'_{zp,0} + \sigma'_{unit2}) - (\sigma'_{zp,0} + \sigma'_{unit2})) \right] \left. \right\} \quad (8d)$$

290 (iii) Over-consolidation case: $OCR = \sigma'_{zp} / \sigma'_{z1} > 1$ and $\sigma'_z < \sigma'_{zp}$ for $0 \leq z \leq z_p$

291 Figure 4 shows a case in which $OCR = \sigma'_{zp} / \sigma'_{z1} > 1$, but $\sigma'_z < \sigma'_{zp}$ for $0 \leq z \leq z_p$ and

292 $\sigma'_z \geq \sigma'_{zp}$ for $z_p \leq z \leq H$. In this case, the settlement calculation shall consider depth z_p :

$$293 \quad S_{f,1-4} = \int_{z=0}^{z=H} \varepsilon_{z,1-4} dz = \begin{cases} \int_{z=0}^{z=z_p} \frac{C_r}{1+e_o} \log\left(\frac{\sigma'_z + \sigma'_{unit1}}{\sigma'_{z1} + \sigma'_{unit1}}\right) dz & \text{for } 0 \leq z \leq z_p \\ \int_{z=z_p}^{z=H} \left[\frac{C_r}{1+e_o} \log\left(\frac{\sigma'_{zp} + \sigma'_{unit1}}{\sigma'_{z1} + \sigma'_{unit1}}\right) + \frac{C_c}{1+e_o} \log\left(\frac{\sigma'_z + \sigma'_{unit2}}{\sigma'_{zp} + \sigma'_{unit2}}\right) \right] dz & \text{for } z_p \leq z \leq H \end{cases}$$

294 (8e)

295 Linear equations in Eq.(6) for $\sigma'_{z1}, \sigma'_{zp}, \sigma'_z$ can be substituted into Eq.(8e). Analytical integration

296 solution can be obtained using the same method in (i) and is not presented here. Equations like

297 Eq.(8) can be obtained for other loading, unloading, and reloading cases with linear changes of

298 stresses and are not discussed here.

299 In many calculations, m_v is needed, for example in Eq.(5) and $c_v = k_v / (m_v \gamma_w)$ and

300 $c_r = k_r / (\gamma_w m_v)$ in order to calculate U_v and U_r . If indexes C_r, C_c and pre-consolidation

301 stress point $(\sigma'_{zp}, \varepsilon_{zp})$ are used to calculate final settlements in Eqs.(6), (7), and (8), the

302 coefficient of vertical volume compressibility m_v can be back-calculated as

303 (i) for the case of Eq. (6b) in normal loading:

$$304 \quad m_{v,1-4} = \frac{S_{f,1-4}}{H(\sigma'_{z4} - \sigma'_{z1})} \quad (9a)$$

305 (ii) for the case of Eq.(6d) in unloading:

$$306 \quad m_{v,4-6} = \frac{S_{f,4-6}}{H(\sigma'_{z4} - \sigma'_{z6})} \quad (9b)$$

307 In Eqs.(9a) and (9b), settlements and stress increments are known so that m_v corresponding

308 to the same stress increment can be calculated. In Eq.(9b), $S_{f,4-6}$ and $(\sigma'_{z4} - \sigma'_{z6})$ are both

309 negative so that $m_{v,4-6}$ is positive. The calculation method for m_v in Eqs.(9a) and (9b) can
 310 be applied to other different loading stages.

311

312 2.3. Calculation of U_j and U

313 In Eqs.(1) and (2), an average degree of consolidation U_j for j -layer or over-all average
 314 degree of consolidation U is needed. The basic definition of U_j for j -layer is:

$$315 \quad U_j = \frac{S_j(t)}{S_{ff}} = \frac{\int_{z=0}^{z=H_j} m_{vj} \Delta\sigma'_{zj}(t) dz}{\int_{z=0}^{z=H_j} m_{vj} \Delta\sigma'_{zjf} dz} = \frac{\int_{z=0}^{z=H_j} [u_{eij} - u_{ej}(t)] dz}{\int_{z=0}^{z=H_j} u_{eij} dz} = 1 - \frac{\int_{z=0}^{z=H_j} u_{ej}(t) dz}{\int_{z=0}^{z=H_j} u_{eij} dz} \quad (10a)$$

316 where S_{ff} is the final settlement for j -layer using m_{vj} and $\Delta\sigma'_{zjf}$, calculated using Eq(5). It is
 317 noted that the final vertical effective stress increment $\Delta\sigma'_{zjf}$ is equal to the initial excess pore
 318 water pressure u_{eij} for j -layer. $u_{ej}(t)$ is the excess pore water pressure at time t for j -layer.
 319 Eq.(10a) can be written:

$$320 \quad U_j = 1 - \frac{\frac{1}{H_j} \int_{z=0}^{z=H_j} u_{ej}(t) dz}{\frac{1}{H_j} \int_{z=0}^{z=H_j} u_{eij} dz} = 1 - \frac{\bar{u}_{ej}(t)}{\bar{u}_{eij}} \quad (10b)$$

321 where \bar{u}_{eij} and \bar{u}_{ej} are the average initial and current excess porewater pressures respectively
 322 t for j -layer. The over-all average degree of consolidation U is:

$$323 \quad U = \frac{S_{primary}}{S_f} = \frac{\sum_{j=1}^{j=n} S_j(t)}{\sum_{j=1}^{j=n} S_{ff}} = \frac{\sum_{j=1}^{j=n} \int_{z=0}^{z=H_j} m_{vj} \Delta\sigma'_{zj}(t) dz}{\sum_{j=1}^{j=n} \int_{z=0}^{z=H_j} m_{vj} \Delta\sigma'_{zjf} dz} = 1 - \frac{\sum_{j=1}^{j=n} m_{vj} \int_{z=0}^{z=H_j} u_{ej}(t) dz}{\sum_{j=1}^{j=n} m_{vj} \int_{z=0}^{z=H_j} u_{eij} dz} \quad (11a)$$

324 From Eq.(10a), $\bar{u}_{ej}(t) = (1 - U_j) \bar{u}_{eij}$. Using this relation, (11a) can be written:

$$\begin{aligned}
U &= 1 - \frac{\sum_{j=1}^{j=n} m_{vj} \frac{H_j}{H_j} \int_{z=0}^{z=H_j} u_{ej}(t) dz}{\sum_{j=1}^{j=n} m_{vj} \frac{H_j}{H_j} \int_{z=0}^{z=H_j} u_{ej} dz} = 1 - \frac{\sum_{j=1}^{j=n} m_{vj} H_j \bar{u}_{ej}(t)}{\sum_{j=1}^{j=n} m_{vj} H_j \bar{u}_{ej}} = 1 - \frac{\sum_{j=1}^{j=n} m_{vj} H_j (1 - U_j) \bar{u}_{ej}}{\sum_{j=1}^{j=n} m_{vj} H_j \bar{u}_{ej}} = \\
&= 1 - \frac{\sum_{j=1}^{j=n} m_{vj} H_j \bar{u}_{ej} - \sum_{j=1}^{j=n} m_{vj} H_j U_j \bar{u}_{ej}}{\sum_{j=1}^{j=n} m_{vj} H_j \bar{u}_{ej}} = \frac{\sum_{j=1}^{j=n} m_{vj} H_j \bar{u}_{ej} U_j}{\sum_{j=1}^{j=n} m_{vj} H_j \bar{u}_{ej}}
\end{aligned} \tag{11b}$$

Attention shall be paid to the definition and differences of U_j and U . The following paragraphs summarize existing solutions for U_v , U_r , U_j and U .

The early analytical solutions were obtained by Terzaghi (1925, 1943) for a single soil layer with thickness H under suddenly applied load for 1-D straining. Charts of these solutions can be found in Craig's Soil Mechanics (Knappett 2019). For double drainage with linear excess pore water pressure u_e distribution or one way drainage with uniform u_e distribution, following appreciate equation is good and simple to calculating U_v :

$$\begin{cases}
\text{For } U_v < 0.6: & T_v = \frac{\pi}{4} U_v^2, \quad U_v = \sqrt{\frac{4T_v}{\pi}} \\
\text{For } U_v \geq 0.6: & T_v = -0.944 \log(1 - U_v) - 0.085, \quad U_v = 1 - 10^{-\frac{T_v + 0.085}{0.933}}
\end{cases} \tag{12a}$$

If we assume that when $U_v = 98\%$, $u_e \approx 0$; time at $U_v = 98\%$ is selected as time at EOP in the field $t_{EOP,field}$. We have:

$$T_v = -0.944 \log(1 - U_v) - 0.085 = 0.150 \tag{12b}$$

$$t_{EOP,field} = \frac{T_v d^2}{c_v} = \frac{1.50 d^2}{c_v} \tag{12c}$$

where d is the maximum drainage path of a soil layer, if double drainage, $d = H/2$, c_v is the coefficient of vertical consolidation.

To consider ramp loading as shown in Figure 4, a simple correction method for U_v

341 proposed by Terzaghi (1943) can be used. Solutions to 1-D consolidation under depth-
 342 dependent ramp load and to special 1-D consolidation problems can be found in Zhu and Yin
 343 (1998, 2012). Solutions to double soil layers without vertical drains under ramp load can be
 344 found in Zhu and Yin (1999b). Solutions to 2-D consolidation of a single soil layer with
 345 vertical drains under ramp load were obtained by Zhu and Yin (2001a,b; 2004). Solutions to
 346 2-D consolidation of a single soil layer with vertical drains without well resistance under
 347 suddenly applied load were obtained by Barron (1948). Hansbo (1981) presented analytical
 348 solution to consolidation problem of a soil with vertical drains considering both smear zone and
 349 well resistance under suddenly applied load under equal vertical strain assumption.

350 Solutions to consolidation problem of a stratified soil with vertical and horizontal drainage
 351 under ramp loading were obtained by Walker and Indraratna (2009) and Walker *et al.* (2009)
 352 using a spectral method. The main partial differential equation for the average excess pore water
 353 pressure \bar{u} using spectral method is:

$$354 \quad \frac{m_v}{\bar{m}_v} \frac{\partial \bar{u}}{\partial t} = - \left[dT_r \frac{\eta}{\bar{\eta}} \bar{u} - dT_v \left(\frac{\partial}{\partial Z} \left(\frac{k_v}{\bar{k}_v} \right) \frac{\partial \bar{u}}{\partial Z} + \frac{k_v}{\bar{k}_v} \frac{\partial^2 \bar{u}}{\partial Z^2} \right) \right] + \frac{m_v}{\bar{m}_v} \frac{\partial \bar{\sigma}}{\partial t} + dT_r \frac{\eta}{\bar{\eta}} w \quad (13a)$$

355 where, $\eta = \frac{k_r}{r_e^2 \mu}$, $dT_v = \frac{\bar{c}_v}{H^2}$, $dT_r = \frac{2\bar{\eta}}{\gamma_w \bar{m}_v}$, $\bar{c}_v = \frac{\bar{k}_v}{\gamma_w \bar{m}_v}$, $Z = \frac{z}{H}$. Vertical and horizontal drainages are

356 considered simultaneously in Eq.(13a). All parameters are explained below:

357 \bar{u} : averaged excess pore water pressure (averaged along radial coordinate r) at depth Z , a
 358 function of time t and Z .

359 $\bar{\sigma}$: average total stress (averaged along r) at depth Z , a function of time t and Z .

360 w : water pressure applied on the vertical drains, varying with depth Z , which is zero without
 361 vacuum pre-loading pressure.

362 r_w : unit weight of water.

363 k_r : the horizontal permeability coefficient of the undisturbed soil, a function of Z .

364 m_v : coefficient of volume compressibility (assumed the same in smear and undisturbed zone),
 365 calculated using total incremental strain resulted from primary consolidation under total
 366 stress increment, and a function of Z .

367 Parameters k_v , m_v and η can be depth-dependent in a piecewise linear way or kept
 368 constant within each layer. \bar{k}_v , \bar{m}_v and $\bar{\eta}$ are convenient reference values at certain depth, for
 369 example values $k_{v,j=1}$, $m_{v,j=1}$ and $\eta_{j=1}$ of layer 1. If so, $\bar{c}_v = \bar{k}_v / \gamma_w \bar{m}_v = k_{v,j=1} / \gamma_w m_{v,j=1} = c_{v,j=1}$.
 370 $\bar{\eta} = \bar{k}_r / r_e^2 \mu = k_{r,j=1} / r_e^2 \mu = \eta_{j=1}$. All the parameters in Eq.(13a) have been normalized and may
 371 be different for different soil layers (no layer index is used here to make presentation concise).
 372 Normalized parameters in Eq.(13a) are: m_v / \bar{m}_v , $\eta / \bar{\eta}$, k / \bar{k}_v .

373 The parameter $\eta = k_r / (r_e^2 \mu)$ is related to radial permeability k_r , equivalent radius r_e of
 374 cylinder cell, and μ . If there is no horizontal drainage in a soil layer, $k_r = 0$ to that $\eta = 0$. This
 375 is useful for consolidation analysis of soils with partially penetrating vertical drains. All soil
 376 layers below vertical drains have $\eta = 0$. Walker and Indraratna (2009) and Walker *et al.* (2009)
 377 discussed that their method can also simulate the effect of using long and short drains in unison.
 378 For example, in lower soil layers where only long drains are installed, η shall have smaller
 379 value than that of upper soil layers where both short and long drains are present.

380 μ inside η is a dimensionless drain geometry/smear zone parameter. Expressions for μ
 381 can be taken as the following by considering effects of smear zone, well resistance, or
 382 approximation (Hansbo 1981):

$$\begin{aligned}
 \mu = & \frac{n^2}{n^2 - 1} \left(\ln \frac{n}{s} - \frac{3}{4} + \frac{k_r}{k_s} \ln s \right) + \frac{s^2}{n^2 - 1} \left(1 - \frac{s^2}{4n^2} \right) + \\
 & + \frac{k_r}{k_s} \frac{1}{n^2 - 1} \left(\frac{s^4 - 1}{4n^2} - s^2 + 1 \right) + \pi z (2l - z) \frac{k_r}{q_w} \left(1 - \frac{1}{n^2} \right)
 \end{aligned}
 \tag{13b}$$

384 In (13b), $T_r = \frac{c_v t}{r_e^2}$, $n = \frac{r_e}{r_d}$, $s = \frac{r_s}{r_d}$. $q_w = k_w \pi r_w^2$ is specific discharge capacity of drain (vertical

385 hydraulic gradient $i=1$). z is the vertical coordinate in Figure 1 and l is length of drain when
 386 closed at bottom or a half of drain when bottom is open. If hydraulic resistance of vertical drains
 387 is zero, this means $q_w = k_w \pi r_d^2 \Rightarrow \infty$. (13b) can be simplified:

$$388 \quad \mu = \frac{n^2}{n^2 - 1} \left(\ln \frac{n}{s} - \frac{3}{4} + \frac{k_r}{k_s} \ln s \right) + \frac{s^2}{n^2 - 1} \left(1 - \frac{s^2}{4n^2} \right) + \frac{k_r}{k_s} \frac{1}{n^2 - 1} \left(\frac{s^4 - 1}{4n^2} - s^2 + 1 \right) \quad (13c)$$

389 Walker and Indraratna (2006) also provided an expression for μ considering parabolic smear
 390 zone permeability but ingoring smear zone:

$$391 \quad \mu = \ln \frac{n}{s} - \frac{3}{4} + \frac{\kappa(s-1)^2}{(s^2 - 2\kappa s + \kappa)} \ln \frac{s}{\sqrt{\kappa}} - \frac{s(s-1)\sqrt{\kappa(\kappa-1)}}{2(s^2 - 2\kappa s + \kappa)} \ln \left(\frac{\sqrt{\kappa} + \sqrt{\kappa-1}}{\sqrt{\kappa} - \sqrt{\kappa-1}} \right) \quad (13d)$$

392 where κ is ratio of undisturbed horizontal permeability k_r to smear zone permeability k_{s0} at
 393 the drain/soil interface, (at $r = r_d$, $k_s = k_{s0}$). At $r = r_s$, $k_s = k_r$.

394 Walker and Indraratna (2009) provided an Excel spreadsheet calculation program
 395 implemented with VBA program named SPECCON to enable convenient adoption of this
 396 method for consolidation analysis of multiple soils layers with or without vertical drains. After
 397 inputted all parameters and load $\bar{\sigma}$, this program gives excess pore water pressure at time t
 398 $\bar{u}_{ej}(t)$ for j -layer and $\bar{u}_e(t)$ for all layers together. The combined average degree of
 399 consolidation U_j for each j -layer is calculated using Eq.(10a). The overall combined average
 400 degree of consolidation U for all layers shall be calculated using Eq.(11a) or Eq.(11b).
 401 Once U_j and U with time t are known, total “primary” consolidation settlement $S_{primary}$ can
 402 be calculated using Eq.(2).

403

404 **2.4. Calculation of S_{creep} , S_{creepj} , $S_{creep, fj}$ and $S_{creep, dj}$**

405 In Eq.(1) or Eq.(4b), the total creep settlement S_{creep} of all layers together is sum of S_{creepj}
 406 for all layers. This is a simple superposition. The key items for calculating S_{creepj} are $S_{creep, fj}$

407 and $S_{creep,dj}$.

408

409 (a) Calculation of $S_{creep,ff}$ for different stress-strain states

410 Creep settlement $S_{creep,ff}$ of j -layer is calculated as creep compression under the “final”
411 vertical effective stress ignoring coupling of excess porewater pressure nor any ramp loading
412 process. This is an ideal case in order to de-couple this consolidation problem. To consider
413 creep compression occurred in “primary” consolidation starting from time zero, the void ratio
414 e due to creep is (Yin and Graham 1989, 1994):

$$415 \quad e = e_o - C_{ae} \log \frac{t_o + t_e}{t_o} \quad (14)$$

416 where C_{ae} is a creep parameter; t_o is another creep parameter; t_e is “equivalent time”
417 defined by Yin and Graham (1989, 1994); and e_o is the initial void ratio at $t_e = 0$. In this
418 study, C_{ae} is considered constant as a common practice in engineering. Yin (1999) proposed
419 a nonlinear creep model which considers the creep limit with time and the decreasing trend of
420 C_{ae} with effective stress, which shows advantages in very long-term settlement calculations
421 (Chen et al. 2021). For settlement calculation of settlements of most soft soils in a normal
422 service life (say 50 years) of a geotechnical structure, it is still reliable and convenient to adopt
423 constant values of C_{ae} to avoid lengthy equations as much as possible (Yin and Feng 2017;
424 Feng and Yin 2017). According to the “equivalent time” concept (Yin and Graham 1989, 1994,
425 Yin 1990, 2011, 2015), the total strain ε_z at any stress-strain state in Figure 3 can be calculated
426 by the following equation:

$$427 \quad \varepsilon_z = \varepsilon_{zp} + \frac{C_c}{V} \log \frac{\sigma'_z}{\sigma'_{zp}} + \frac{C_{ae}}{V} \log \frac{t_o + t_e}{t_o} \quad (15)$$

428 where $\varepsilon_{zp} + \frac{C_c}{V} \log \frac{\sigma_z'}{\sigma_{zp}'}$ is the strain on the normal consolidation line (NCL) under stress σ_z'

429 (also called “reference time line” and noting specific volume $V = 1 + e_o$); and $\frac{C_{ae}}{V} \log \frac{t_o + t_e}{t_o}$

430 is the creep strain occurring from the NCL under the same stress σ_z' . The above equation is

431 valid for any 1-D loading path. The calculation of $S_{creep,ff}$ is dependent on the final stress-strain

432 state $(\sigma_z', \varepsilon_z')$. To make presentation concise, in the following equations, layer index j is

433 removed.

434 (i) The final stress-strain point is on an NCL line, for example at point 4

435 The final creep settlement for any point on NCL line is:

$$436 \quad S_{creep,f} = \frac{C_{ae}}{1 + e_o} \log \left(\frac{t_o + t_e}{t_o} \right) H \quad \text{for } t_e \geq 0 \quad (16a)$$

437 For a suddenly applied load kept for a duration time t , we have $t_e = t - t_o$. Submitting the above

438 relation into (16a), we have:

$$439 \quad S_{creep,f} = \frac{C_{ae}}{1 + e_o} \log \left(\frac{t}{t_o} \right) H \quad \text{for } t \geq 1 \text{ day} \quad (16b)$$

440 Noting $t_o = 1$ day since C_r and C_c are determined using data with 1 day duration. In (16a), if

441 $t = 1$ day, from $t_e = t - t_o$, $t_e = 1 - 1 = 0$. This means that at time $t = 1$ day, creep settlement

442 $S_{creep,f}$ on NCL is zero. According to Elastic Visco-Plastic (EVP) modelling theory (Yin and

443 Graham 1989, 1994), the compression strain rate is sum of elastic strain rate and visco-plastic

444 strain rate. The NCL line in Figure 3, in fact, has included both elastic strain and visco-plastic

445 strain (or creep strain). The creep settlement in (16a) is additional creep compression starting

446 from 1 day or below NCL.

447 (ii) The final stress-strain point is on an OCL line, for example at point 2

448 Consider a sudden load increase from point 1 to point 2 which is kept unchanged with a

449 duration time t . The final creep settlement for any point, for example point 2, on Over-
 450 Consolidation Line (OCL) line is:

$$451 \quad S_{creep,f} = \frac{C_{ae}}{1+e_o} \log\left(\frac{t_o+t_e}{t_o+t_{e2}}\right)H \quad \text{for } t_e \geq t_{e2} \quad (16c)$$

452 (16c) can be re-written with $\Delta\varepsilon_{zcreep}$ included:

453

$$454 \quad S_{creep,f} = \left[\frac{C_{ae}}{1+e_o} \log\left(\frac{t_o+t_e}{t_o}\right) - \frac{C_{ae}}{1+e_o} \log\left(\frac{t_o+t_{e2}}{t_o}\right) \right] H = \Delta\varepsilon_{zcreep} H$$

455 Referring to Figure 3, it is seen that $\frac{C_{ae}}{1+e_o} \log\left(\frac{t_o+t_{e2}}{t_o}\right)$ is the strain from point 2' to point 2;

456 while $\frac{C_{ae}}{1+e_o} \log\left(\frac{t_o+t_e}{t_o}\right)$ is the strain from point 2' to a point below point 2 downward. The

457 increased strain for further creep done from point 2 is $\Delta\varepsilon_{zcreep}$, which is used to calculate creep

458 settlement $S_{creep,f}$ under loading at point 2. It is noted that the relationship between t_e and

459 the creep duration time t under the stress σ'_{z2} is $t_e = t_{e2} + t - t_o$. t_{e2} here or in (16c) can be

460 calculated below. Using Eq.(15), at point 2 of $(\sigma'_{z2}, \varepsilon_{z2})$, we have:

$$461 \quad \varepsilon_{z2} = \varepsilon_{zp} + \frac{C_c}{V} \log \frac{\sigma'_{z2}}{\sigma'_{zp}} + \frac{C_{ae}}{V} \log \frac{t_o+t_{e2}}{t_o}$$

462 From the above, we have:

$$463 \quad \log \frac{t_o+t_{e2}}{t_o} = (\varepsilon_{z2} - \varepsilon_{zp}) \frac{V}{C_{ae}} - \frac{C_c}{C_{ae}} \log \frac{\sigma'_{z2}}{\sigma'_{zp}}$$

$$464 \quad t_{e2} = t_o \times 10^{\left(\varepsilon_{z2} - \varepsilon_{zp}\right) \frac{V}{C_{ae}} - \frac{C_c}{C_{ae}} \log \left(\frac{\sigma'_{z2}}{\sigma'_{zp}}\right)} - t_o \quad (16d)$$

465 It is seen from (16d) that the equivalent time t_{e2} at point 2 is uniquely related to the stress-

466 strain state point $(\sigma'_{z2}, \varepsilon_{z2})$. Substituting $t_e = t_{e2} + t - t_o$ into (16c), we have:

467
$$S_{creep,f} = \frac{C_{ae}}{1+e_o} \log\left(\frac{t+t_{e2}}{t_o+t_{e2}}\right)H \quad t \geq 1 \text{ day} \quad (16e)$$

468 If we consider unloading from point 4 to point 6 in Figure 3, using the same approach above,
 469 we can derive the following equations:

470
$$t_{e6} = t_o \times 10^{\left(\frac{\epsilon_{z6}-\epsilon_{zp}}{C_{ae}}\right) \frac{V}{\sigma'_{zp}} - \frac{C_c}{C_{ae}}} - t_o \quad (16f)$$

471
$$S_{creep,f} = \frac{C_{ae}}{1+e_o} \log\left(\frac{t_o+t_e}{t_o+t_{e6}}\right)H = \frac{C_{ae}}{1+e_o} \log\left(\frac{t+t_{e6}}{t_o+t_{e6}}\right)H \quad t \geq 1 \text{ day} \quad (16g)$$

472 Reloading from point 6 to point 5:

473
$$t_{e5} = t_o \times 10^{\left(\frac{\epsilon_{z5}-\epsilon_{zp}}{C_{ae}}\right) \frac{V}{\sigma'_{zp}} - \frac{C_c}{C_{ae}}} - t_o \quad (16h)$$

474
$$S_{creep,f} = \frac{C_{ae}}{1+e_o} \log\left(\frac{t_o+t_e}{t_o+t_{e5}}\right)H = \frac{C_{ae}}{1+e_o} \log\left(\frac{t+t_{e5}}{t_o+t_{e5}}\right)H \quad t \geq 1 \text{ day} \quad (16i)$$

475

476 (b) Calculation of $S_{creep,dj}$ for different stress-strain states

477 $S_{creep,dj}$ is called “delayed” creep settlement of j -layer under the “final” vertical effective
 478 stress ignoring the excess porewater pressure. $S_{creep,dj}$ starts for $t \geq t_{EOP,field}$, that is, is “delayed”
 479 by time of $t_{EOP,field}$. The selection of time at EOP is subjective since the separation of “primary”
 480 consolidation from “secondary” compressions is not scientific and subjective. In the general
 481 simple method, the time at $U_j = 98\%$ is considered to be the time at EOP, that is, $t_{EOP,field}$ for
 482 field condition for j -layer (Yin and Feng 2017). Eq.(12c) or other solutions for U_j can be
 483 used to calculate $t_{EOP,field}$ for a single layer case. Equations for calculating $S_{creep,dj}$ for
 484 different “final” stress-strain state are presented below. The layer index j is removed in
 485 following equations.

486 (i) The final stress-strain point is on an NCL line, for example at point 4

487 Eq.(16a) is the final creep settlement for any point on NCL line for $t_e \geq 0$ or $t \geq 1$ day :

$$488 \quad S_{creep,f} = \frac{C_{ae}}{1+e_o} \log\left(\frac{t_o+t_e}{t_o}\right)H \quad t_e \geq 0$$

489 $S_{creep,d}$ is delayed by $t_{EOP,field}$:

$$490 \quad S_{creep,d} = \frac{C_{ae}}{1+e_o} \log\left(\frac{t_o+t_e}{t_o}\right)H - \frac{C_{ae}}{1+e_o} \log\left(\frac{t_o+t_{e,EOP,field}}{t_o}\right)H$$

$$= \frac{C_{ae}}{1+e_o} \log\left(\frac{t_o+t_e}{t_o+t_{e,EOP,field}}\right)H \quad \text{for } t_e \geq t_{e,EOP,field} \quad (17a)$$

491 Noting $\because t_e = t - t_o \quad \therefore t_{e,EOP,field} = t_{EOP,field} - t_o$. Substituting these time relations into (17a):

$$492 \quad S_{creep,d} = \frac{C_{ae}}{1+e_o} \log\left(\frac{t}{t_{EOP,field}}\right)H \quad \text{for } t \geq t_{EOP,field} \quad (17b)$$

493 In (17b) $S_{creep,d}$ is calculated for $t \geq t_{EOP,field}$, that is, “delayed” by time $t_{EOP,field}$.

494 (ii) The final stress-strain point is on an OCL line, for example at point 2

495 The final creep settlement at point 2 is:

$$496 \quad S_{creep,f} = \frac{C_{ae}}{1+e_o} \log\left(\frac{t+t_{e2}}{t_o+t_{e2}}\right)H \quad \text{for } t_e \geq t_{e2} \text{ or } t \geq t_o = 1 \text{ day}$$

497 $S_{creep,d}$ is delayed by $t_{EOP,field}$:

$$498 \quad S_{creep,d} = \frac{C_{ae}}{1+e_o} \log\left(\frac{t+t_{e2}}{t_o+t_{e2}}\right)H - \frac{C_{ae}}{1+e_o} \log\left(\frac{t_{EOP,field}+t_{e2}}{t_o+t_{e2}}\right)H$$

$$S_{creep,d} = \frac{C_{ae}}{1+e_o} \log\left(\frac{t+t_{e2}}{t_{EOP,field}+t_{e2}}\right)H \quad \text{for } t \geq t_{EOP,field} \quad (18a)$$

499 When $t = t_{EOP,field}$, $S_{creep,d}$ in (18a) is zero.

500 Using the same approach, at point 6:

$$501 \quad S_{creep,d} = \frac{C_{ae}}{1+e_o} \log\left(\frac{t+t_{e6}}{t_{EOP,field}+t_{e6}}\right)H \quad \text{for } t \geq t_{EOP,field} \quad (18b)$$

502 at point 5:

$$S_{creep,d} = \frac{C_{ae}}{1+e_o} \log\left(\frac{t+t_{e5}}{t_{EOP,field} + t_{e5}}\right)H \quad \text{for } t \geq t_{EOP,field} \quad (18c)$$

504

505 **3. Consolidation Settlements of a Clay Layer with OCR=1 or 1.5 from General Simple** 506 **Method and Fully Coupled Consolidation Analyses**

507 In this section, consolidation settlements of an idealized horizontal layer of Hong Kong
508 Marine Clay (HKMC) are calculated using the simplified Hypothesis B method and two fully
509 coupled Finite Element (FE) consolidation models. This HKMC layer has 4 m in thickness and
510 is free drained on the top surface and impermeable at the bottom. Over-Consolidation Ratio
511 (OCR) is OCR=1 or 1.5. Two FE programs are used for fully coupled consolidation analysis of
512 the HKMC layer: one is software “Consol” developed by Zhu and Yin (1999a, 2000), and the
513 other one is Plaxis software (2D 2015 version) (Plaxis 2015). In the “Consol” analysis, a 1D
514 EVP model (Yin and Graham 1989, 1994) is used for the consolidation modelling. In Plaxis
515 software (2D 2015 version), a soft soil creep (SSC) model is adopted in the FE simulations.
516 SSC model is in fact a 3D EVP model (Vermeer and Neher 1999). The structure and parameters
517 of this SSC model is almost the same as a 3D EVP model proposed by Yin (1990) and Yin and
518 Graham (1999).

519 Values of all parameters used in FE consolidation simulation are listed in Table 2. In all FE
520 simulations, a vertical stress of 20 kPa is assumed to be instantly applied on the top surface and
521 kept constant for a period of 18250 days (50 years). Since HKMC layer is in seabed, the initial
522 vertical effective stress is zero at the top of the HKMC layer surface. Therefore, the unit stress
523 σ'_{unit1} or σ'_{unit2} in Eq.(6) cannot be zero. The best value of σ'_{unit1} or σ'_{unit2} shall be determined by
524 oedometer compression test data at very small vertical effective stress. Here we may assume
525 that σ'_{unit1} or σ'_{unit2} takes values from 0.01 kPa to 1 kPa and discuss difference of calculated
526 settlement values.

527

528 (a) Normally Consolidated HKMC Layer with $H=4\text{m}$ and $\text{OCR}=1$

529 The integrated Eq.(8b) is used to calculate the final “primary” settlement $S_{f,1-4}$. The values

530 of all parameters are listed in Table 1. The values of all stresses are $\sigma'_{z1,0} = 0, \sigma'_{z1,H} = 20.76\text{kPa},$

531 $\sigma'_{z4,0} = 20, \sigma'_{z4,H} = 40.76\text{kPa}$. $S_{f,1-4}$ is:

$$S_{f,1-4} = \frac{1.4624}{3.65 \ln(10)} \left\{ \frac{4}{(40.76 - 20)} [(40.76 + \sigma'_{unit2}) \ln(40.76 + \sigma'_{unit2}) - (40.76 + \sigma'_{unit2}) - \right.$$

532 $((20 + \sigma'_{unit2}) \ln(20 + \sigma'_{unit2}) - (20 + \sigma'_{unit2}))] - \frac{4}{(20.76 - 0)} [(20.76 + \sigma'_{unit2}) \ln(20.76 + \sigma'_{unit2})$

$$\left. - (20.76 + \sigma'_{unit2}) - ((0 + \sigma'_{unit2}) \ln(0 + \sigma'_{unit2}) - (0 + \sigma'_{unit2}))] \right\}$$

533 Using above equation with $\sigma'_{unit2}=0.01$ kPa, it is found that $S_{f,1-4}=0.944$ m; if $\sigma'_{unit2}=0.1$ kPa,

534 $S_{f,1-4}=0.928$ m; if $\sigma'_{unit2}=0.5$ kPa, $S_{f,1-4}=0.879$ m; if $\sigma'_{unit2}=1$ kPa, $S_{f,1-4}=0.834$ m. This

535 means that the final “primary” settlement $S_{f,1-4}$ is sensitive to the value of σ'_{unit2} . In this

536 example, we select $\sigma'_{unit2}=0.1$ kPa so that the final “primary” settlement $S_{f,1-4}$ is 0.928 m.

537 The calculation of average m_v and c_v is below:

$$\Delta \varepsilon_{z,1-4} = S_{f,1-4} / 4 = 0.928 / 4 = 0.232$$

538 $m_v = \Delta \varepsilon_{z,1-4} / \Delta \sigma'_{z,1-4} = 0.232 / 20 = 0.0116$ (1/ kPa)

539 $c_v = k / (\gamma_w m_v) = 1.9 \times 10^{-4} / (9.81 \times 0.0116) = 1.670 \times 10^{-3}$ (m^2/day) = 0.610 (m^2/year)

540 As explained, a thick layer can be divided into small sub-layers. The stresses and values of soil

541 parameters in each sub-layer are assumed be constant. In this case, simple equations in Eq.(6b)

542 can be used to calculate that the final “primary” settlement $S_{f,1-4}$ for each sub-layer. This layer

543 of 4m can be divided into 2, 4, or 8 sub-layers with thickness of 2m, 1m, and 0.5m respectively.

544 The final “primary” settlement $S_{f,1-4}$ calculated is 0.743m, 0.831m, 0.881m, and 0.910 m

545 sub-layers with thickness of 4m, 2m, 1m, and 0.5m respectively. Values of S_f , m_v and c_v

546 for sub-layer thickness of 0.5 m for OCR=1 are listed in Table 2. ε_{zp} in Table 2 is the vertical
547 strain in each sub-layer (0.5 m here) from the initial effective stress σ'_{zi} to pre-consolidation
548 pressure σ'_{zp} and $\bar{\varepsilon}_{zp}$ is average of all ε_{zp} values. Since OCR=1, $\sigma'_{zp} = \sigma'_{zi}$, the strain ε_{zp}
549 and $\bar{\varepsilon}_{zp}$ are zero. $\Delta\varepsilon_z$ is the strain increase in each sub-layer for loading from σ'_{zp} to current
550 stress σ'_z . $\Delta\bar{\varepsilon}_z$ is average of all $\Delta\varepsilon_z$ values. $(\bar{\varepsilon}_{zp} + \Delta\bar{\varepsilon}_z)$ is total vertical strain. Summary of
551 values of S_f , m_v and c_v for different number of sub-layers for OCR=1 are listed in Table 3
552 including S_f obtained by more accurate integration method. It is seen from Table 3 that the
553 more sub-layers (or the smaller thickness of the sub-layers), the more accurate are these S_f ,
554 m_v and c_v . A thickness of 0.5 m is considered as appropriate since the relative error of S_f is

555 only $\frac{0.928 - 0.910}{0.928} \times 100\% = 1.9\%$ of the integrated one.

556 In this example, the general simplified Hypothesis B method in Eq.(1) together with other
557 equations on relevant parameters is used to calculate the total settlement S_{totalB} using $\alpha = 0.8$
558 and $\beta = 0$ (denoted B Method 1), $\beta = 0.3$ (denoted B Method 2), and $\beta = 1$ (denoted B
559 Method 3). B Method 1 using $\alpha = 0.8$ and $\beta = 0$ is in fact the method published by Yin
560 and Feng (2017). The calculated curves of settlements with log(time) from the simplified
561 Hypothesis B method are shown in Figure 5(a) for time up to 100 years. At the same time,
562 Hypothesis A method and two fully coupled finite element models are used to calculate the
563 curves of settlements with log(time) which are also shown in Figure 5(a) for comparison. It is
564 seen from Figure 5(a) that, when $\alpha = 0.8$ and $\beta = 0.3$ m, B Method 2 gives curves much
565 closer to the curves from the two finite element models of “Consol” by Zhu and Yin (1999a,
566 2000) and Plaxis software (2D 2015 version). Values of parameters used in Consol software
567 are listed in Table 1(b) and those of Plaxis in Table 1(c). As shown in Figure 5(a), again,

568 Hypothesis A method underestimates the total settlement for the time period.

569

570 (b) Over-Consolidated HKMC Layer with $H=4\text{m}$ and $\text{OCR}=1.5$

571 Eq.(8d) from integration is used to calculate the final “primary” settlement $S_{f,1-4}$. Values of

572 all parameters are listed in Table 1. Values of all stresses are $\sigma'_{z1,0} = 0, \sigma'_{z1,H} = 20.76 \text{ kPa},$

573 $\sigma'_{zp,0} = 0, \sigma'_{zp,H} = 31.14 \text{ kPa}$ $\sigma'_{z4,0} = 20, \sigma'_{z4,H} = 40.76 \text{ kPa}$. $S_{f,1-4}$ is:

$$\begin{aligned}
 S_{f,1-4} = & \frac{0.0913}{3.656 \ln(10)} \left\{ \frac{4}{(31.14-0)} [(31.14 + \sigma'_{unit1}) \ln(31.14 + \sigma'_{unit1}) - (31.14 + \sigma'_{unit1}) - \right. \\
 & ((0 + \sigma'_{unit1}) \ln(0 + \sigma'_{unit1}) - (0 + \sigma'_{unit1}))] - \frac{4}{(20.76-0)} [(20.76 + \sigma'_{unit1}) \ln(20.76 + \sigma'_{unit1}) \\
 & - (20.76 + \sigma'_{unit1}) - ((0 + \sigma'_{unit1}) \ln(0 + \sigma'_{unit1}) - (0 + \sigma'_{unit1}))] \left. \right\} + \\
 & \frac{1.4624}{3.65 \ln(10)} \left\{ \frac{4}{(40.76-20)} [(40.76 + \sigma'_{unit2}) \ln(40.76 + \sigma'_{unit2}) - (40.76 + \sigma'_{unit2}) - \right. \\
 & ((20 + \sigma'_{unit2}) \ln(20 + \sigma'_{unit2}) - (20 + \sigma'_{unit2}))] - \frac{4}{(40.76-0)} [(31.14 + \sigma'_{unit2}) \ln(31.14 + \sigma'_{unit2}) \\
 & - (31.14 + \sigma'_{unit2}) - ((0 + \sigma'_{unit2}) \ln(0 + \sigma'_{unit2}) - (0 + \sigma'_{unit2}))] \left. \right\}
 \end{aligned}$$

575 Using above equation with $\sigma'_{unit1} = \sigma'_{unit2} = 0.01 \text{ kPa}$, we find $S_{f,1-4} = 0.681 \text{ m}$; if $\sigma'_{unit1} = \sigma'_{unit2}$

576 $= 0.1 \text{ kPa}$, $S_{f,1-4} = 0.669 \text{ m}$; if $\sigma'_{unit1} = \sigma'_{unit2} = 0.5 \text{ kPa}$, $S_{f,1-4} = 0.635 \text{ m}$; if $\sigma'_{unit1} = \sigma'_{unit2} = 1 \text{ kPa}$,

577 $S_{f,1-4} = 0.604 \text{ m}$. This means that the final “primary” settlement $S_{f,1-4}$ is sensitive to the value

578 of σ'_{unit1} and σ'_{unit2} . In this example, we select $\sigma'_{unit1} = \sigma'_{unit2} = 0.1 \text{ kPa}$ so that the final “primary”

579 settlement $S_{f,1-4}$ is 0.669 m . The calculation of average m_v and c_v is below:

$$580 \quad \Delta \varepsilon_{z,1-4} = S_{f,1-4} = 0.669 / 4 = 0.167$$

$$m_v = \Delta \varepsilon_{z,1-4} / \Delta \sigma'_{z,1-4} = 0.167 / 20 = 0.00837 \quad (1 / \text{kPa})$$

$$581 \quad c_v = k / (\gamma_w m_v) = 1.9 \times 10^{-4} / (9.81 \times 0.00837) = 2.316 \times 10^{-3} \text{ (m}^2/\text{day)} = 0.845 \text{ (m}^2/\text{year)}$$

582 This 4m thick layer can be divided into small sub-layers. The stresses and values of soil

583 parameters in each sub-layer are assumed be constant. In this case, simple equations in (6b) can

584 be used to calculate that the final “primary” settlement $S_{f,1-4}$ in each sub-layer. This layer of
585 4m can divided into 2, 4, or 8 sub-layers with thickness of 2m, 1m, and 0.5m respectively. The
586 final “primary” settlement $S_{f,1-4}$ calculated is 0.487m, 0.573m, 0.625m, and 0.646 m sub-
587 layers with thickness of 4m, 2m, 1m, and 0.5m respectively. Values of S_f , m_v and c_v for
588 sub-layer thickness of 0.5 m for OCR=1.5 are listed in Table 4. The meanings of ε_{zp} , $\bar{\varepsilon}_{zp}$, $\Delta\varepsilon_z$,
589 $\Delta\bar{\varepsilon}_z$, and $(\bar{\varepsilon}_{zp} + \Delta\bar{\varepsilon}_z)$ are the same as those in Table 2. Summary of values of S_f , m_v and
590 c_v for different number of sub-layers for OCR=1.5 are listed in Table 5 including S_f
591 obtained by more accurate integration method. It can be seen that the relative error of S_f with
592 sub-layer thickness of 0.5 m is only $\frac{0.669 - 0.646}{0.669} \times 100\% = 3.4\%$.

593 The simplified Hypothesis B method in (1) together with other equations on relevant
594 parameters is used to calculate the total settlement S_{totalB} using $\alpha = 0.8$ and $\beta = 0$ (denoted
595 B Method 1), $\beta = 0.3$ (denoted B Method 2), and $\beta = 1$ (denoted B Method 3) for OCR=1.5.
596 The calculated curves of settlements with log(time) from the simplified Hypothesis B method
597 are shown in Figure 5(b) for time up to 100 years. At the same time, Hypothesis A method and
598 two fully coupled finite element models are used to calculate the curves of settlements with
599 log(time) which are also shown in Figure 5(b) for comparison. It is seen from Figure 5(b) that
600 when $\alpha = 0.8$ and $\beta = 0.3$ m, B Method 2 gives curves much closer to the curves from the
601 two finite element models of “Consol” by Zhu and Yin (1999, 2000) and Plaxis software (2D
602 2015 version). Again, Hypothesis A method underestimates the total settlement.

603

604 **4. Consolidation Settlements of Layered Soils with Vertical Drains under Staged**
605 **Loading-Unloading-Reloading from General Simple Method and Fully Coupled**
606 **Consolidation Analysis**

607 **4.1. Description of soil conditions**

608 In this section, easy use and accuracy of the general simple method is demonstrated through
609 calculation of consolidation settlements of a multiple-layered soil under multi-staged loadings
610 with comparison with values from fully coupled FE simulations. The soil profile is modified
611 from a real case in Hong Kong (Koutsoftas *et al.* 1987; Zhu *et al.* 2001) as shown in Figures 6
612 and 7. This section only studies the first two layers, namely upper marine clay of 6.22 m thick
613 and upper alluvium of 5.80 m thick. To make the consolidation analysis more accurate and to
614 record accumulated settlement at different depths, the upper marine clay layer is divided into
615 two layers by Sondex anchor 3, forming a total of three layers of soils. Properties of upper
616 marine clay and upper alluvium can be found in Table 6.

617 Prefabricated vertical drains (PVDs) with a spacing of 1.5m in triangular pattern were
618 inserted in the soils. The radius of influence zone of each PVD was $r_e = 0.525d = 0.7875\text{m}$ for
619 triangular pattern. The width of PVD was $b = 100\text{mm}$, thickness was $t = 7\text{mm}$, and equivalent
620 radius is calculated as $r_d = (b + t) / 4 + t / 10 = 27.45\text{ mm}$ (Yin and Zhu 2020). The installation
621 of PVDs normally causes a smear zone around the vertical drains as shown in Figure 7. We
622 assume that radius of this smear zone $r_s = 5r_d = 137.25\text{ mm}$, in which the soils were disturbed
623 and the horizontal permeability k_r became k_s with values listed in Table 6. Other properties
624 such as OCR and compression indices of the smear zone remain the same as the undisturbed
625 region.

626 There are four stages of loadings to be applied on top of the soils, including two stages of
627 loading, one stage of unloading and the final stage of reloading. The magnitude of vertical load
628 (p_1, p_2, p_3, p_4), construction time ($t_{c1}, t_{c2}, t_{c3}, t_{c4}$) and loading stage duration (t_1, t_2, t_3, t_4) are
629 shown in Figure 8(a). This type of staged loading is very close the real case of reclamation
630 process from loading (filling to a designed level), surcharging fill (added pressure), unloading

631 by removing part of surcharging fill, and re-loading again due to construction of superstructures
632 on reclaimed land. The final stage of loading (superstructures) may last for 50 years (18250
633 days) after completion of reclamation construction. To validate the general simplified
634 Hypothesis B method, a fully coupled finite element (FE) analysis is conducted in Plaxis 2D
635 (2015) for this case. A Soft Soil Creep (SSC) model (Vermeer and Neher 1999), which is mostly
636 similar to the 3D EVP model by Yin and Graham (1999), is adopted as the constitutive model
637 for the two clayey soils in Figure 7. The parameters used in the FE model for the two soils are
638 the same as those in Table 6. Accumulated settlements at settlement monitoring points 1, 3 and
639 5 (depths of 0 m, 3 m, and 6m respectively) are calculated using the general simple method and
640 the FE model and are plotted with total elapsed time. Excess pore pressures at the center of each
641 layer and at the middle between r_d and r_e are calculated by the FE model during the whole
642 consolidation process.

643

644 ***4.2. Consolidation settlement calculation by general simple method under staged loadings***

645 This section shows details with steps how to use the general simple method to calculate
646 consolidation settlements of Case 2 under staged loading-unloading-reloading. The total
647 consolidation settlements are summation of “primary” consolidation settlement and creep
648 settlements in Eq.(1). For four stages of loading, details of calculations are presented below.

649 ***Stage 1***

650 As shown in Figure 8(a), for Stage 1 under $p_1 = 52\text{kPa}$, the stress-strain state will move
651 from point i ($\sigma'_{zi}, \varepsilon_{zi}$) to point 1 ($\sigma'_{z1}, \varepsilon_{z1}$) as in Figure 8(b). The calculation method of S_f is
652 similar to the case of load increment from point 1 to 2 or point 1 to 4 in Figure 3. Due to the
653 nonlinear strain-stress relationship of soils and non-uniform stress distribution, each j -layer (j
654 = 1, 2, 3 for 3 layers) is divided into several sub-layers (say N sub-layers) with a thickness of

655 h_n (0.5 m or less) to calculate S_f and m_v . Within each sub-layer, initial effective stress σ'_{zi}
656 can be considered as constant. The final vertical effective stress at Stage 1 is calculated as
657 $\sigma'_{z1} = \sigma'_{zi} + p_1$ for each sub-layer. The settlement for each j -layer will be the superposition of
658 settlements of all sub-layers ($n = 1 \dots N$). Therefore, S_{ff1} and m_{vj1} for j -layer with thickness
659 H_j in Stage 1 (sub-index “1” for Stage 1; later “2”, “3”, “4” for Stages 2, 3, and 4) are calculated
660 in the following equations:

$$661 \quad S_{ff1} = \sum_{n=1}^{n=N} \begin{cases} \frac{C_r}{1+e_o} \log\left(\frac{\sigma'_{z1} + \sigma'_{unit1}}{\sigma'_{zi} + \sigma'_{unit1}}\right) h_n, & \text{if } \sigma'_{z1} \leq (\sigma'_{zi} + POP) \\ \left[\frac{C_r}{1+e_o} \log\left(\frac{\sigma'_{zi} + POP + \sigma'_{unit1}}{\sigma'_{zi} + \sigma'_{unit1}}\right) + \frac{C_c}{1+e_o} \log\left(\frac{\sigma'_{z1} + \sigma'_{unit2}}{\sigma'_{zi} + POP + \sigma'_{unit2}}\right) \right] h_n, & \text{if } \sigma'_{z1} > (\sigma'_{zi} + POP) \end{cases} \quad (19a)$$

$$662 \quad m_{vj1} = \frac{\varepsilon_{z1} - \varepsilon_{zi}}{p_1} = \frac{S_{ff1}}{H_j p_1} \quad (19b)$$

663 where n is index for sub-layers within j -layer ($n = 1 \dots N$), h_n is thickness of a sub-layer
664 ($h_n \leq 0.5\text{m}$), POP in Table 6 is called Pre-Overconsolidation Pressure (after Zhu *et al.* 2001)
665 and $POP = \sigma'_{zp} - \sigma'_{zi}$. Eq.(19) is valid for the final state $(\sigma'_{z1}, \varepsilon_{z1})$ in either over-consolidation
666 (OC) state or Normal consolidation (NC) state.

667 Values of S_{f1} and m_{v1} for three layers ($H_j, j = 1, 2, 3$) under Stage 1 are calculated using
668 Eq.(19) and listed in Table 7. After this, a Microsoft Excel spreadsheet with macros based on a
669 spectral method developed by Walker and Indraratna (2009) is used to calculate the average
670 excess porewater pressure \bar{u}_{ej} for j -layer using known values of k_v , k_r , and k_s in Table 6
671 and the calculated m_{vj1} in Table 7. The average degree of consolidation U_{j1} for j -layer for
672 Stage 1 is then calculated using Eq.(10b). Using calculated values of U_{j1} and S_{ff1} , the
673 “primary” consolidation settlement $S_{primary1}$ in Stage 1 is calculated as:

$$674 \quad S_{primary} = \sum_{j=1}^3 S_{primary,j} = \sum_{j=1}^3 U_{j1} S_{ff1} \quad (20)$$

675 To calculate creep settlement S_{creep1} during Stage 1, the equivalent time in Yin and
676 Graham's 1D EVP model should be determined according to the final stress-strain state
677 $(\sigma'_{z1}, \varepsilon_{z1})$ for each sub-layer. If the soil is in normal consolidation state (*i.e.* $\sigma'_{z1} \geq (\sigma'_{zi} + POP)$),
678 equivalent time t_{e1} at the "final" effective stress σ'_{z1} in Stage 1 is zero. If the soil is in OC
679 state (*i.e.* $\sigma'_{z1} < (\sigma'_{zi} + POP)$), t_{e1} should be calculated as:

$$680 \quad t_{e1} = t_o \times 10^{(\varepsilon_{z1} - \varepsilon_{zp}) \frac{V}{C_{ae}} \left(\frac{\sigma'_{z1}}{\sigma'_{zp}} \right)^{-\frac{C_c}{C_{ae}}} - t_o} \quad (21)$$

681 where $\varepsilon_{z1} = \varepsilon_{zi} + S_{ff1} / H_j$ is the "final" strain without creep at Stage 1. In fact, Eq.(21) is also
682 valid for NC state. The value of t_{e1} is calculated for each sub-layer h_n . Therefore, $S_{creep,ff1}$,
683 $S_{creep,dj1}$, $S_{creepj1}$ and total settlement $S_{totalBj1}$ for each j -layer, no matter the "final" stress-strain
684 point is in OC or NC state, can be calculated using the following equations:

$$685 \quad S_{creep,ff1} = \sum_{n=1}^{n=N} \frac{C_{ae}}{1 + e_o} \log \frac{t_{e1} + t}{t_{e1} + t_o} h_n \quad \text{for } t_o \leq t \leq t_1 \quad (22a)$$

$$686 \quad S_{creep,dj1} = \sum_{n=1}^{n=N} \frac{C_{ae}}{1 + e_o} \log \frac{t_{e1} + t}{t_{e1} + t_{EOP,field}} h_n \quad \text{for } t_{EOP,field} \leq t \leq t_1 \quad (22b)$$

$$687 \quad S_{creepj1} = \alpha U_{j1}^\beta S_{creep,ff1} + (1 - \alpha U_{j1}^\beta) S_{creep,dj1} \quad (22c)$$

$$688 \quad S_{totalBj1} = U_{j1} S_{ff1} + \left[\alpha U_{j1}^\beta S_{creep,ff1} + (1 - \alpha U_{j1}^\beta) S_{creep,dj1} \right] \quad (22d)$$

689 In this case, Eq.(22d) is used to calculate $S_{totalBj1}$ for j -layer in Stage 1 with $\alpha = 0.8$ and
690 $\beta = 0.3$. Using Eq.(1), the total settlement $S_{totalB1}$ of 3 layers in Stage 1 is

$$691 \quad S_{totalB1} = \sum_{j=1}^{j=3} S_{totalBj1} = \sum_{j=1}^{j=3} U_{j1} S_{ff1} + \left[\alpha U_{j1}^\beta S_{creep,ff1} + (1 - \alpha U_{j1}^\beta) S_{creep,dj1} \right] \quad (22e)$$

692 **Stage 2**

693 For Stage 2 with $p_2 = 100\text{kPa}$, the final vertical effective stress σ'_{z2} is
 694 $\sigma'_{z2} = \sigma'_{z1} + p_2 = \sigma'_{zi} + p_1 + p_2$ as in Figure 8(b) at point 2 ($\sigma'_{z2}, \varepsilon_{z2}$). The calculation of S_{fj} is
 695 dependent on the soil stress-strain state before and after loading increment as below:

$$696 \quad S_{fj2} = \sum_{n=1}^{n=N} \begin{cases} \frac{C_r}{1+e_o} \log\left(\frac{\sigma'_{z2} + \sigma'_{unit1}}{\sigma'_{z1} + \sigma'_{unit1}}\right) h_n, & \text{if } \sigma'_{z1} < \sigma'_{z2} \leq (\sigma'_{zi} + POP) \\ \left[\frac{C_r}{1+e_o} \log\left(\frac{\sigma'_{zi} + POP + \sigma'_{unit1}}{\sigma'_{z1} + \sigma'_{unit1}}\right) + \frac{C_c}{1+e_o} \log\left(\frac{\sigma'_{z2} + \sigma'_{unit2}}{\sigma'_{zi} + POP + \sigma'_{unit2}}\right) \right] h_n, & \text{if } \sigma'_{z1} < (\sigma'_{zi} + POP) < \sigma'_{z2} \\ \frac{C_c}{1+e_o} \log\left(\frac{\sigma'_{z2} + \sigma'_{unit2}}{\sigma'_{z1} + \sigma'_{unit2}}\right) h_n, & \text{if } (\sigma'_{zi} + POP) \leq \sigma'_{z1} < \sigma'_{z2} \end{cases} \quad (23a)$$

$$697 \quad m_{vj2} = \frac{\varepsilon_{z2} - \varepsilon_{z1}}{p_2} = \frac{S_{fj2}}{H_j p_2} \quad (23b)$$

698 where $\varepsilon_{z2} = \varepsilon_{z1} + S_{fj2} / H_j$ is the final accumulated vertical strain without creep strain at Stage
 699 2. Values of S_{fj2} and m_{vj2} for three layers ($H_j, j = 1, 2, 3$) under Stage 2 are listed in Table
 700 7. In this stage, average degree of consolidation for each layer U_{j1} under p_1 and U_{j2} under
 701 p_2 should be calculated independently using Walker and Indraratna (2009)'s spectral method.
 702 For U_{j1} , the staged-consolidation time at Stage 2 should be from t_1 to $(t_1 + t_2)$. For U_{j2} , the
 703 staged-consolidation time at Stage 2 should be from 0 to t_2 . Total $S_{primary}$ should include
 704 the settlements produced by p_1 and p_2 with total time below:

$$705 \quad S_{primary} = \sum_{j=1}^3 S_{primary,j} = \sum_{j=1}^3 (U_{j1} S_{fj1} + U_{j2} S_{fj2}) \quad (24)$$

706 $S_{creepj2}$ only includes the creep settlement at the current loading stage under p_2 (*i.e.*
 707 $t_o < t < t_2$ for $S_{creep,f}$ and $t_{EOP,field} < t < t_2$ for $S_{creep,d}$). To calculate $S_{creepj2}$, the actual
 708 stress-strain state at Stage 2 and its corresponding equivalent time t_{e2} should be determined.

709 First of all, the final creep strain $\varepsilon_{z,creep1}$ shown in Figure 8(b) and accumulated total strain
 710 ε_{z1end} at the end of Stage 1 (point 1'') should be calculated by the following equations:

$$711 \quad \varepsilon_{z,creep1} = \frac{S_{creepj,1}(t_1)}{H_j} \quad (25a)$$

$$712 \quad \varepsilon_{z1end} = \varepsilon_{z1} + \varepsilon_{z,creep1} \quad (25b)$$

713 The new apparent pre-consolidation pressure σ'_{zp1} and the corresponding strain ε_{zp1} at the
 714 end of Stage 1 shown in Figure 8(b) due to previous creep (or ageing) should be calculated by
 715 solving the following two equations:

$$716 \quad \varepsilon_{zp1} = \varepsilon_{z1end} + \frac{C_r}{1+e_o} \log \frac{\sigma'_{zp1} + \sigma'_{unit1}}{\sigma'_{z1} + \sigma'_{unit1}} \quad (26a)$$

$$717 \quad \varepsilon_{zp1} = \varepsilon_{zp} + \frac{C_c}{1+e_o} \log \frac{\sigma'_{zp1} + \sigma'_{unit2}}{\sigma'_{zp} + \sigma'_{unit2}} \quad (26b)$$

718 From Eqs.(26a)-(26b), the apparent pre-consolidation pressure σ'_{zp1} can be solved as:

$$719 \quad \sigma'_{zp1} = \frac{\left(\sigma'_{zp} + \sigma'_{unit2}\right)^{\frac{C_c}{C_c-C_r}} \times 10^{\left(\varepsilon_{z1end}-\varepsilon_{zp}\right) \frac{1+e_o}{C_c-C_r}} - \sigma'_{unit1}}{\left(\sigma'_{z1} + \sigma'_{unit1}\right)^{\frac{C_r}{C_c-C_r}}} \quad (26c)$$

720 where σ'_{unit1} is assumed equal to σ'_{unit2} here. If σ'_{zp1} is known, ε_{zp1} can be calculated using
 721 Eq.(26a) or (26b). With p_2 applied, if $(\sigma'_{z1} + p_2) = \sigma'_{z2} \geq \sigma'_{zp1}$ (i.e. the soil is in NC state at
 722 point 2_{NC}) as in Figure 8(b), the equivalent time $t_{e2} = 0$. Otherwise, $\sigma'_{z2} \leq \sigma'_{zp1}$, as the case
 723 of point 2_{OC} in OC state as shown in Figure 8(b), t_{e2} at σ'_{z2} should be calculated as:

$$724 \quad t_{e2} = t_o \times 10^{\left(\varepsilon_{z2}-\varepsilon_{zp}\right) \frac{V}{C_{ae}} \left(\frac{\sigma'_{z2}}{\sigma'_{zp}}\right)^{-\frac{C_c}{C_{ae}}} - t_o} \quad (27)$$

725 where $\varepsilon_{z2} = \varepsilon_{z1end} + \frac{C_r}{1+e_o} \log \frac{\sigma'_{z2} + \sigma'_{unit1}}{\sigma'_{z1} + \sigma'_{unit1}}$ is the vertical strain at point 2_{OC} in OC state, before
726 creep at the beginning of Stage 2 loading. The value of t_{e2} is calculated for each sub-layer
727 with thickness h_n for the point in either NC state or OC state. Therefore, $S_{creep,ff2}$ and
728 $S_{creep,dj2}$ for each j -layer can be calculated using the following equations:

$$729 \quad S_{creep,ff2} = \sum_{n=1}^{n=N} \frac{C_{ae}}{1+e_o} \log \frac{t_{e2} + t}{t_{e2} + t_o} h_n \quad \text{for } t_o \leq t \leq t_2 \quad (28a)$$

$$730 \quad S_{creep,dj2} = \sum_{n=1}^{n=N} \frac{C_{ae}}{1+e_o} \log \frac{t_{e2} + t}{t_{e2} + t_{EOP,field}} h_n \quad \text{for } t_{EOP,field} \leq t \leq t_2 \quad (28b)$$

731 However, since $S_{creepj2}$ is calculated from the current stress-strain state under $(p_1 + p_2)$
732 loading, U_j in Eq.(22c) should be replaced by the accumulated average degree of
733 consolidation $U_{multi,j2}$ for multi-stages of loadings, which is calculated by:

$$734 \quad U_{multi,j2} = \frac{(U_{j2}p_1 + U_{j2}p_2)}{p_1 + p_2} \quad (29)$$

735 Finally, the total consolidation settlements for j -layer and for all three layers in the period of
736 Stage 2 are calculated by:

$$737 \quad S_{totalBj2} = U_{multi,j2} S_{ff2} + \left[\alpha U_{multi,j2}^{\beta} S_{creep,ff2} + (1 - \alpha U_{multi,j2}^{\beta}) S_{creep,dj2} \right] \quad (30a)$$

$$738 \quad S_{totalB2} = \sum_{j=1}^{j=3} S_{totalBj2} = \sum_{j=1}^{j=3} U_{multi,j2} S_{ff2} + \left[\alpha U_{multi,j2}^{\beta} S_{creep,ff2} + (1 - \alpha U_{multi,j2}^{\beta}) S_{creep,dj2} \right] \quad (30b)$$

739 **Stage 3**

740 For Stage 3 of unloading $p_3 = -116\text{kPa}$, S_{ff3} , m_{vj3} and U_{j3} are calculated using the
741 same procedures as Stages 1 and 2. It should be noted that, for this unloading stage, S_{ff3} is
742 simply calculated by:

$$743 \quad S_{ff3} = \sum_{n=1}^{n=N} \frac{C_r}{1+e_o} \log \left(\frac{\sigma'_{z3} + \sigma'_{unit1}}{\sigma'_{z2} + \sigma'_{unit1}} \right) h_n \quad (31)$$

744 where $\sigma'_{z2} = \sigma'_{zi} + p_1 + p_2$, $\sigma'_{z3} = \sigma'_{zi} + p_1 + p_2 + p_3$, and $\sigma'_{z3} < \sigma'_{z2}$. As shown in Figure 8(b),
745 point 3_{OC} must be in an OC state, but point 3_{OC} may be reached by from the end of creep at
746 point 2_{NC} . However, point 2 could be at point 2_{OC} in an OC state. If this case, Eq.(31) can
747 still be used. Under unloading condition, as both S_{ff3} and p_3 are negative, m_{v3} is still
748 positive, and therefore the spectral method can be normally used to compute the degree of
749 consolidation. The calculation of $S_{primary,j}$ should contain the settlements produced in the
750 previous stages during the current stage period in the following equation:

$$751 \quad S_{primary,j} = U_{j1}S_{ff1} + U_{j2}S_{ff2} + U_{j3}S_{ff3} \quad (32)$$

752 where U_{j1} , U_{j2} and U_{j3} are the average degree of consolidation under (i) p_1 from
753 $(t_1 + t_2)$ to $(t_1 + t_2 + t_3)$, (ii) p_2 from t_2 to $(t_2 + t_3)$, (ii) p_3 from 0 to t_3 respectively.

754 Calculation of $S_{creepj3}$ follows similar procedures as in Stage 2, not be elaborated here. $U_{multi,j3}$
755 for calculating creep settlement should be calculated as:

$$756 \quad U_{multi,j3} = \frac{(U_{j1}p_1 + U_{j2}p_2 + U_{j3}p_3)}{p_1 + p_2 + p_3} \quad (33)$$

757 **Stage 4**

758 For Stage 4 with $p_4 = 74\text{kPa}$, similar procedures as those for Stages 1 and 2 are used for
759 calculations of S_{ff4} , U_{j4} and $S_{creepj4}$. But $S_{primary,j}$ and $U_{multi,j4}$ should be calculated as:

$$760 \quad S_{primary,j} = U_{j1}S_{ff1} + U_{j2}S_{ff2} + U_{j3}S_{ff3} + U_{j4}S_{ff4} \quad (34)$$

$$761 \quad U_{multi,j4} = \frac{(U_{j1}p_1 + U_{j2}p_2 + U_{j3}p_3 + U_{j4}p_4)}{p_1 + p_2 + p_3 + p_4} \quad (35)$$

762 where U_{j1} , U_{j2} , U_{j3} , and U_{j4} is the average degree of consolidation under (i) p_1 from
763 $(t_1+t_2+t_3)$ to $(t_1+t_2+t_3+t_4)$, (ii) p_2 from (t_2+t_3) to $(t_2+t_3+t_4)$, (iii) p_3 from
764 t_3 to (t_3+t_4) , and (iv) p_4 from 0 to t_4 .

765 The values of S_{ff} and m_{vj} for Stages 1 to 4 are listed in Tables 7. Using the spectral
766 method and Eqs. (10b), (24), (26) and (28), the average degree of consolidation degree $U_{multi,j}$
767 for each layer during four stages is calculated and plotted with time in Figure 9.

768

769 ***4.3. Comparisons of results from the general simple method and fully coupled FE analysis***

770 Figure 10 shows the computed settlements at three measurement points (0, 3 and 6m) by
771 both simplified Hypothesis B method and FE analysis. It can be found that the settlements at
772 three different depths are close to those computed by FE analysis under four stages of loading,
773 unloading and re-loading. The settlements in 50 years in Stage 4 are very small. This is because
774 the soils are in over-consolidation state in Stage 4 due to the surcharge in Stage 2. The results
775 demonstrated that surcharge loading before construction will significantly reduce long-term
776 post-construction settlements.

777 Figure 11 shows the average excess porewater pressure \bar{u}_j at the center of each soil layer,
778 compared with the computed excess porewater pressure at the abovementioned measurement
779 points in the FE model. It is found that excess porewater pressure computed by the spectral
780 method adopted in the general simple method fit well with the one simulated by FE model. In
781 conclusion, the proposed simplified Hypothesis B method is close to fully coupled FE analysis
782 for the case with multiple layered soils under multi-staged loading conditions.

783

784 **5. Consolidation Settlements of Test Embankment on Layered Soils with Vertical** 785 **Drains under Staged Loading from General Simple Method, Fully Coupled** 786 **Consolidation Analyses, and Measurement**

787 ***5.1. General descriptions of the test embankment***

788 In this section, Test Embankment at Chek Lap Kok for Hong Kong International Airport
789 (HKIA) project in 1980s is used as an example to demonstrate the validity of the new general
790 simple method. Consolidation settlements of this HKIA Chek Lap Kok Test Embankment are
791 calculated using the new general simplified Hypothesis B method and are compared with
792 measured data and values from the simplified finite element (FE) method reported by Zhu, *et*
793 *al.* (2001). Details of the site conditions, properties of soils, parameters of vertical drains,
794 construction process, parameters used in the FE model can be found in Koutsoftas *et al.* (1987)
795 and Zhu *et al.* (2001). The calculations of S_{ff} , $\Delta\sigma'_z$, $\Delta\varepsilon_z$, m_{vj} and c_{vj} for each layer under three
796 stages are listed in Table 8.

797 Figure 6 shows soil profile and settlement monitoring points of Chek Lap Kok Test
798 Embankment (Handfelt *et al.* 1987; Koutsoftas *et al.* 1987). Elevation in mPD (meter in
799 Principal Datum), depth coordinate, thickness values of four major layers, 8 settlement
800 monitoring points by Sondex anchors, and 9 pore water pressure measurement points are all
801 shown in Figure 6. In this section, only 4 points at depths 0 m, 3 m, 6 m, and 14.5 m are selected
802 to calculate settlements for comparison with measured data.

803 Figure 7 shows soil profile and vertical drain with smear zone. It is noted that the vertical drain
804 penetrated only 5.1 m into “Lower marine clay”. Therefore, “Lower marine clay” is divided into
805 two layers: “Lower marine clay 1” with thickness of 5.1 m and “Lower marine clay 2” with
806 thickness of 0.72 m in order to calculate the average degree of consolidation of each layer better.

807 Values of parameters of soils and vertical drains for HKIA Chek Lap Kok Test Embankment
808 are listed in Table 6. For more accurate calculate of settlements and the average degree of
809 consolidation, as well as convenient calculation at settlement monitoring points, “Upper marine
810 clay” is divided into two main layers of $H_j = 3.01$ m and 3.21 m, “Lower marine clay 1” is
811 divided into $H_j = 2.47$ m and 2.63 m, “Lower alluvium” is divided into two layers with

812 $H_j = 4.165$ m each . There are a total of 8 layers ($j=1\dots 8$).

813 Figure 12 shows construction time (t_{c1} , t_{c2} , or t_{c3}), loading stage times (t_1 , t_2 , or t_3), and stage
814 vertical pressures (p_1 , p_2 , or p_3) for each of three staged loadings. It should be noted that in-situ
815 monitoring of settlements by Sondex anchors were started 65 days after the construction began.
816 The in-situ settlement data from 65th to 909th day of total construction time were recorded and used
817 for comparisons in this study.

818

819 **5.2. Comparisons of results from the general simple method, fully coupled FE analyses, and** 820 **measurement**

821 In the general simplified Hypothesis B method, calculations of S_{jj} , m_v , U_j and S_{creepj}
822 for each j -layer under three loading stages are completed in a Microsoft Excel spreadsheet in
823 the same way as that in Section 4. In this case, $\alpha = 0.8$ and $\beta = 0.3$ are used, which is also the
824 same as in previous sections.

825 The total consolidation settlements S_{totalB} at depths of 0m, 3m, 6 m, and 14.5 m are
826 calculated using the general simplified Hypothesis B method for three stages of loading.
827 Comparison of curves of settlements with accumulated time at depths of 0 m, 3 m, 6 m, and
828 14.5 m from the general simplified Hypothesis B method, fully coupled finite element
829 modelling, and measurement are shown in Figure 13. It is found that the values from the general
830 simplified Hypothesis B method are in good agreement with measured data and values from
831 fully coupled finite element modelling (Zhu *et al.* 2001) using a 1-D Elastic Visco-Plastic (1-D
832 EVP) model (Yin and Graham 1989, 1994).

833

834 **6. Summary and Conclusions**

835 A new general simplified Hypothesis B method, also called general simple method, is

836 proposed and verified for calculating consolidation settlements of layered clayey soils
837 exhibiting creep without or with vertical drains under complicated staged loadings. This
838 method is a new un-coupled method compared with fully coupled consolidation methods.
839 Equations of this general simple method incorporating a new logarithmic stress function which
840 avoid singularity problem are rigorous derived. Excess pore water pressure in “primary
841 consolidation” is calculated by using a spectral method implemented in a Microsoft Excel
842 spreadsheet. Two parameters, namely α and β , are introduced in this method. All other
843 parameters in this method are convectional parameters which can be easily determined from
844 multi-staged oedometer tests. It is worthy to note that the two creep parameters $C_{\alpha e}$ and t_o are
845 determined from a creep test under a vertical effective stress in a normal consolidation (NC)
846 state. But, using the “equivalent time” (t_e) concept and theory of Yin and Graham (1989,
847 1994), the creep function using $C_{\alpha e}$ and t_o as well t_e can be used to calculate creep settlements
848 in OC state and also in unloading/reloading states. Verification studies are carried out by
849 comparing calculated values of settlements by this general simple method with values from
850 fully coupled finite element analysis for Cases 1 and 2 as well as in-situ measured data for Case
851 3. Based on these works, following conclusions can be made.

852 (a) From the case study of a single soil layer with OCR = 1 or 1.5 under instantaneous vertical
853 loading, calculated settlements by using the new general simple method agree well with
854 values from fully coupled finite element (FE) analyses by Plaxis and Consol. Selection of
855 $\alpha = 0.8$ and $\beta = 0.3$ is found to have the best performance compared to other selections.

856 It is also clearly revealed that Hypothesis A method underestimates the total settlements.

857 (b) From the case study for double layered soils under multi-staged loading-unloading-
858 reloading, consolidation settlements in either short-term or long-term period are very close
859 to values from an FE analysis. It can be concluded that the proposed general simplified
860 Hypothesis B method has a stable performance and good accuracy for layered soils under

861 complicated staged loading schemes.

862 (c) The general simple method is applied to calculate consolidation settlements in a real case
863 in HKIA Chek Lap Kok Test Embankment with multi-layered soils and vertical drains
864 under multi-staged loading. Calculated settlements by this new simple method are in good
865 agreement with in-situ measured data and also values from an FE analysis.

866 (d) Based on the above comparisons and validations, it is found that the new general simple
867 method is accurate and easy to use for calculating consolidation settlements of single or
868 layered soils with and without vertical drains under multi-staged loading, unloading and
869 reloading using parameters from conventional oedometer tests.

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882

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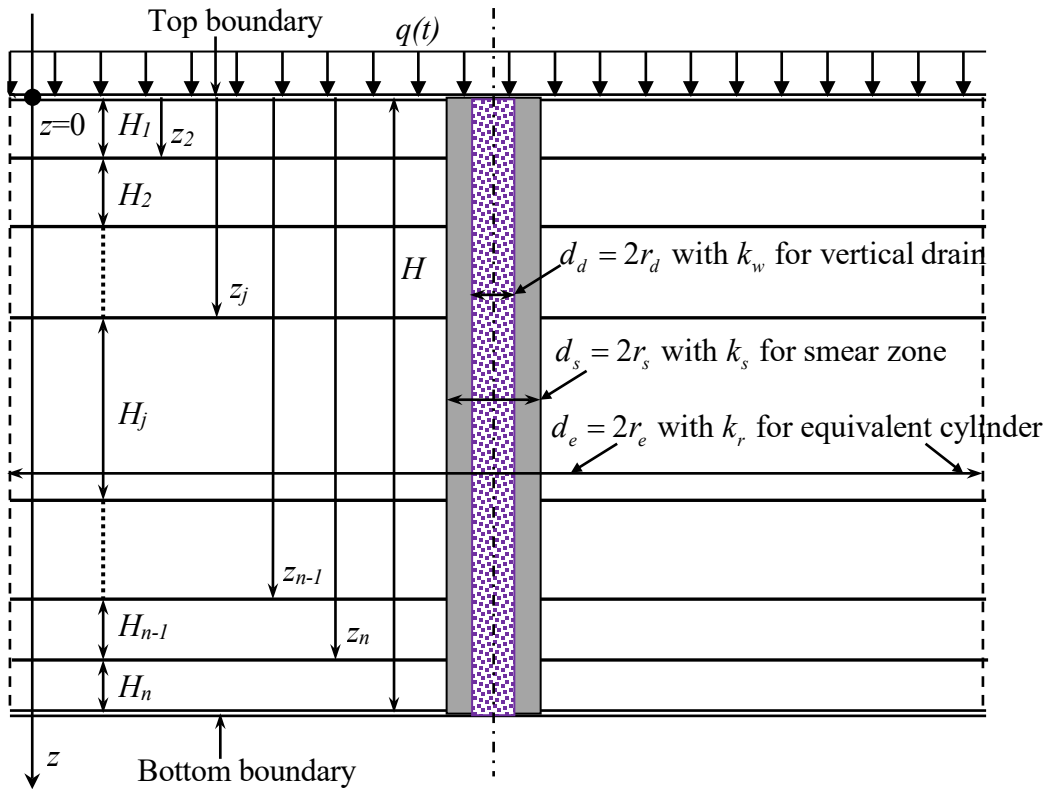
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Figure 1. A soil profile of n -layers with vertical drain subjected to uniform surcharge $q(t)$ with time

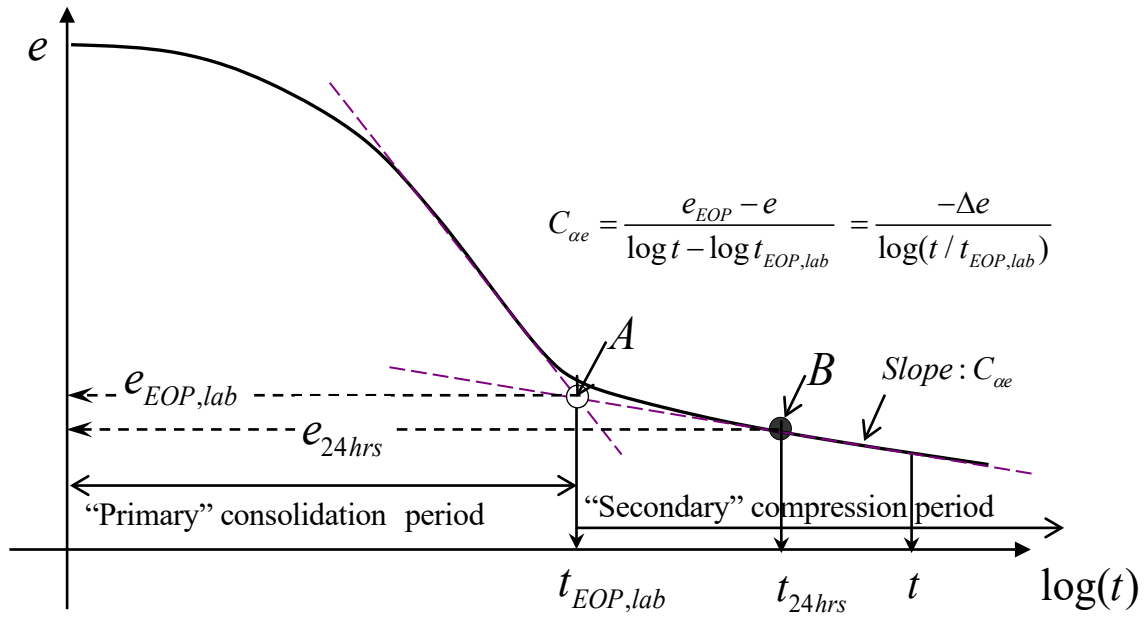


Figure 2. Curve of void ratio *versus* log (time) and “secondary” compression coefficient

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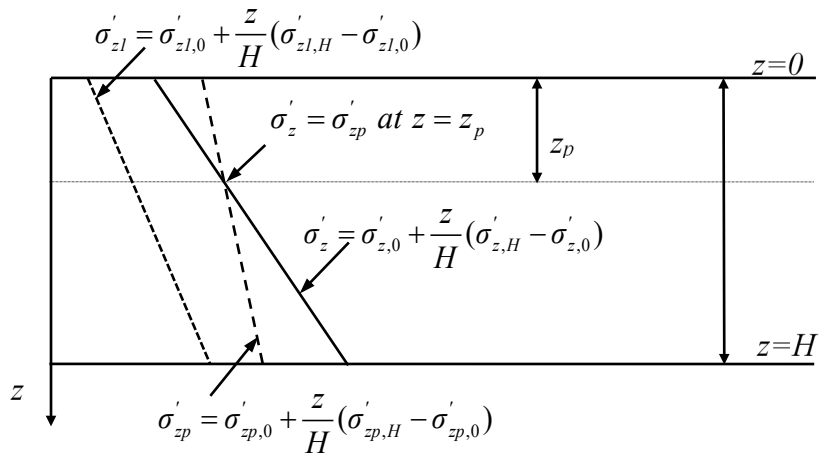
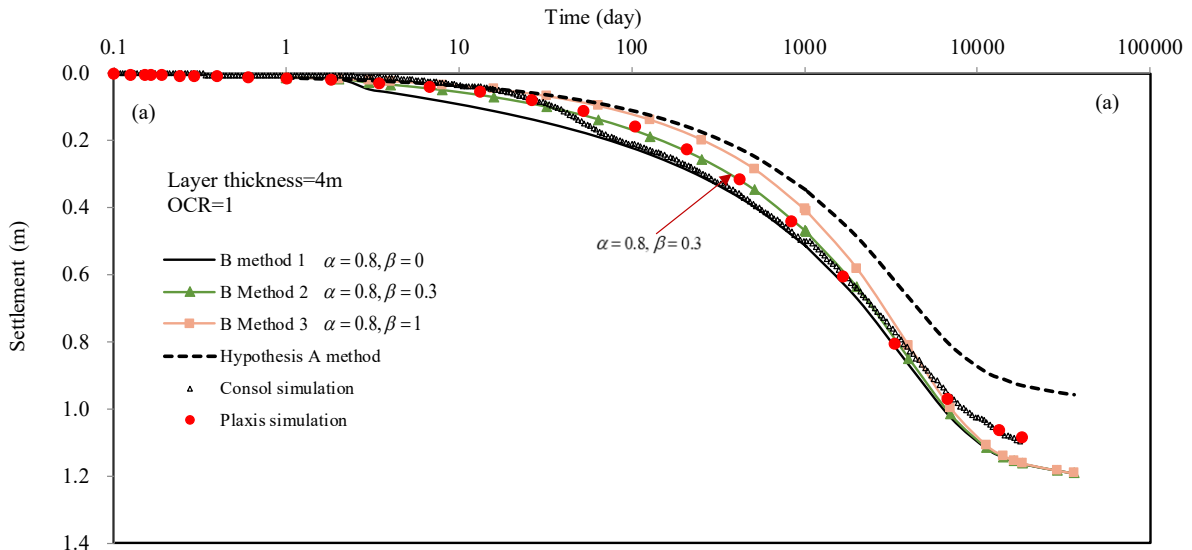
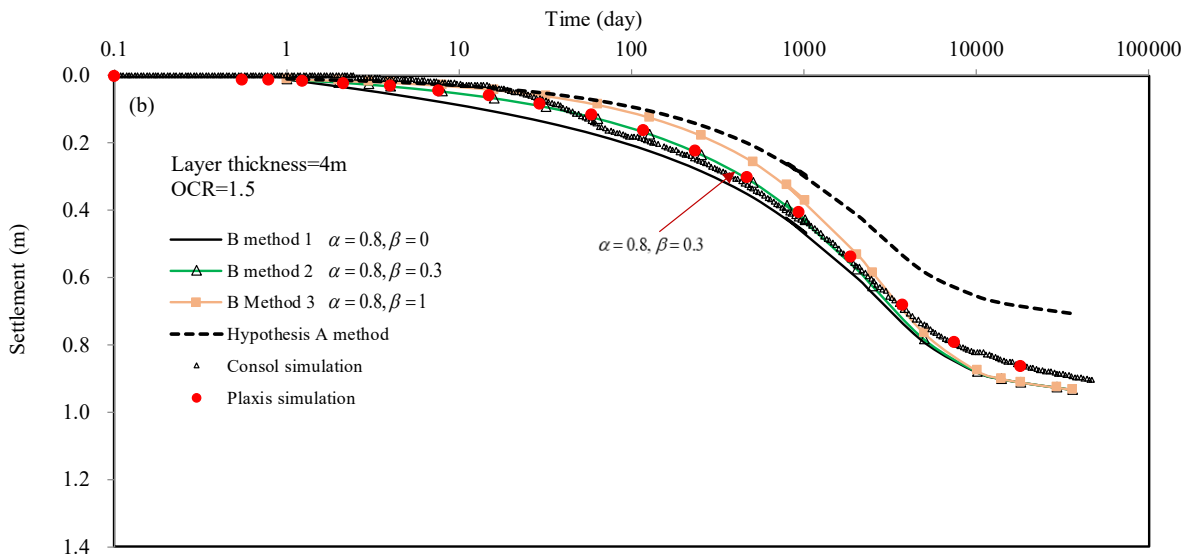


Figure 4. Linear changes of initial vertical effective stress (σ'_{z1}), total vertical effective stress (σ'_z), vertical pre-consolidation stress (σ'_{zp}) for a soil layer

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1017 Figure 5. Comparison of curves of settlements with log(time) from the simplified Hypothesis
1018 B method, Hypothesis A method, and two fully coupled finite element modellings – (a) $h=4m$
1019 and $OCR=1$ and (b) $h=4m$ and $OCR=1.5$

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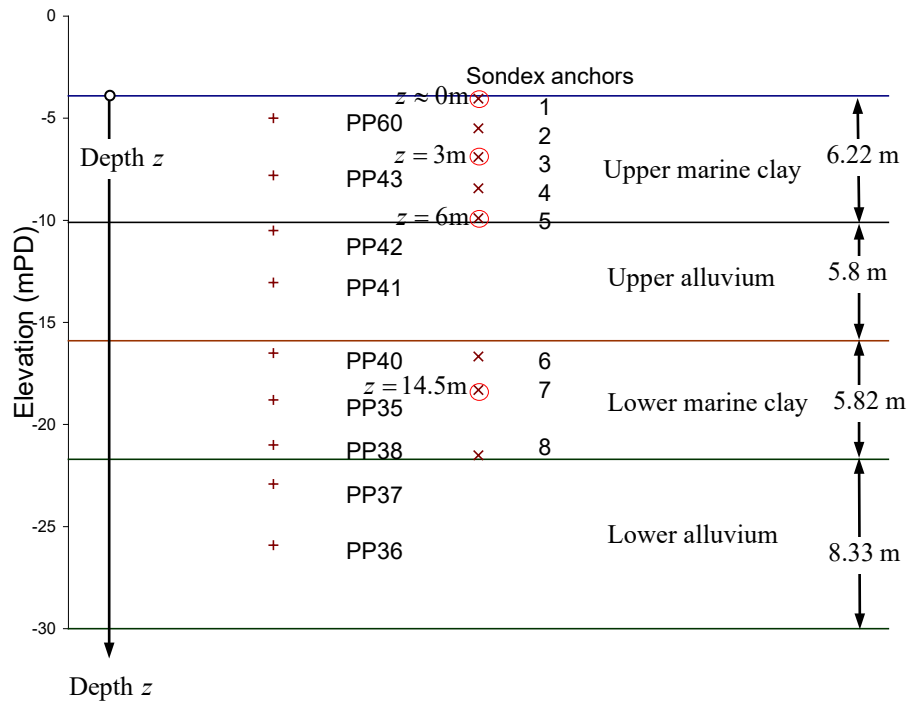


Figure 6. Soil profile and settlement monitoring points of a test embankment at Chek Lap Kok for Hong Kong International Airport project in 1980s

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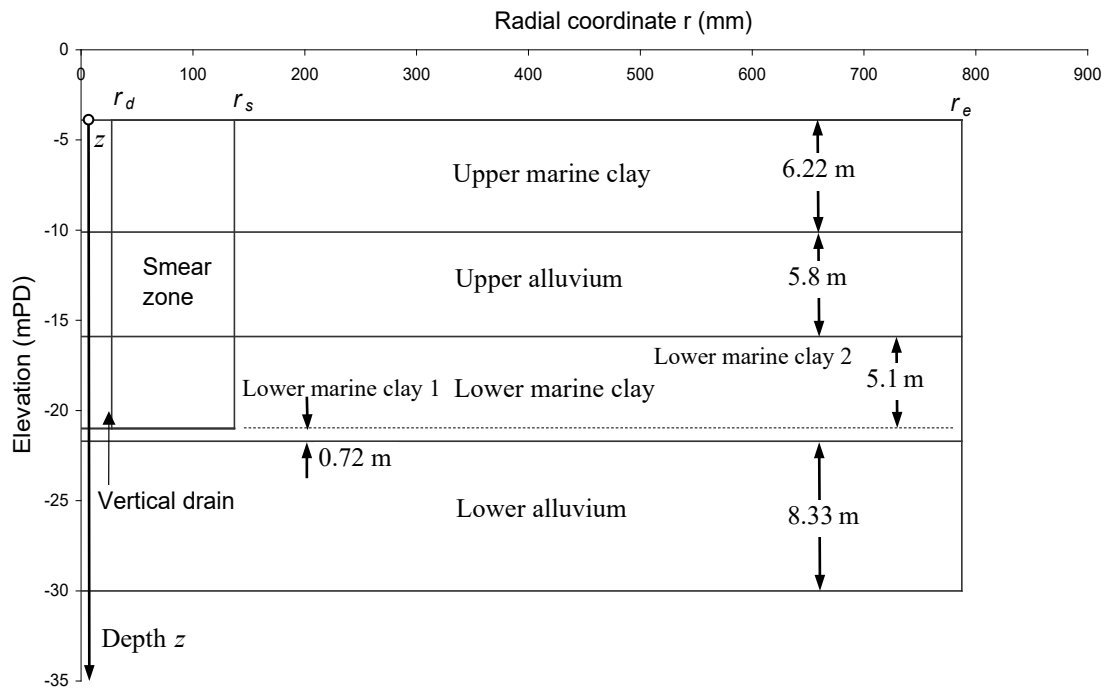
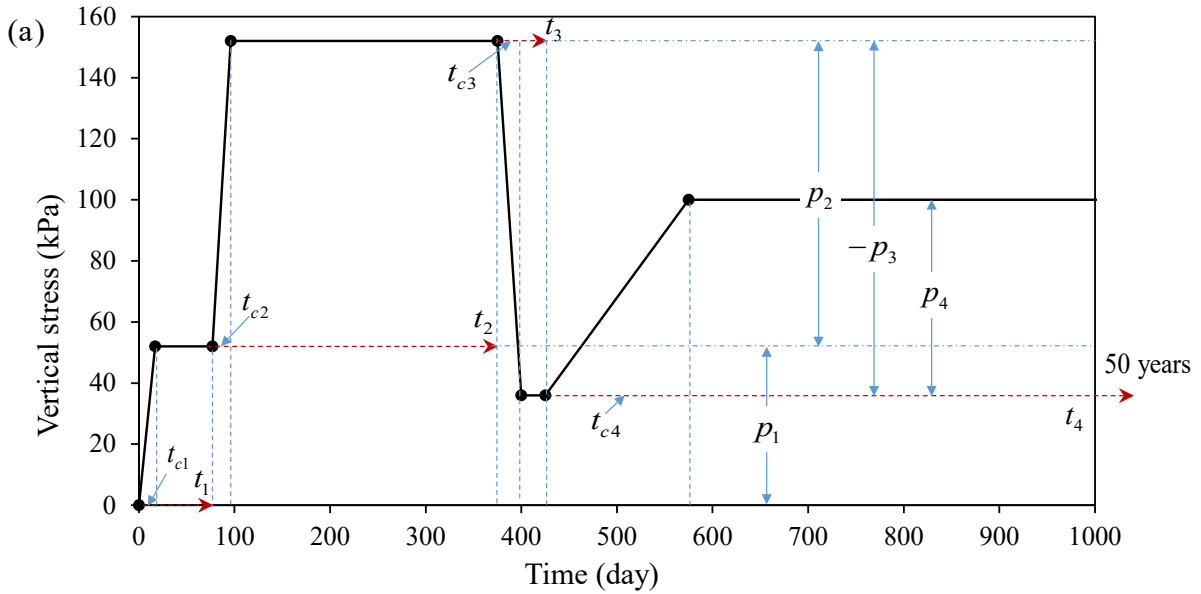


Figure 7. Soil profile including a vertical drain and a smear zone of a test embankment at Chek Lap Kok for Hong Kong International Airport project in 1980s

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| | Stage 1 | Stage 1 | Stage 1 | Stage 2 | Stage 2 | Stage 3 | Stage 3 | Stage 4 | Stage 4 |
|--|-------------------------|---------|---------|--------------------------|---------|-------------------------|---------|-----------------------------|---------|
| Time (day) | 0 | 17 | 77 | 96 | 375 | 400 | 425 | 575 | 18675 |
| Stage pressure (kPa) | 0 | 52 | 52 | 152 | 152 | 36 | 36 | 100 | 100 |
| t_c and stage ending time t_1, t_2, t_3, t_4 (day) | $t_{c1} = 17, t_1 = 77$ | | | $t_{c2} = 19, t_2 = 298$ | | $t_{c3} = 25, t_3 = 50$ | | $t_{c4} = 150, t_4 = 18520$ | |

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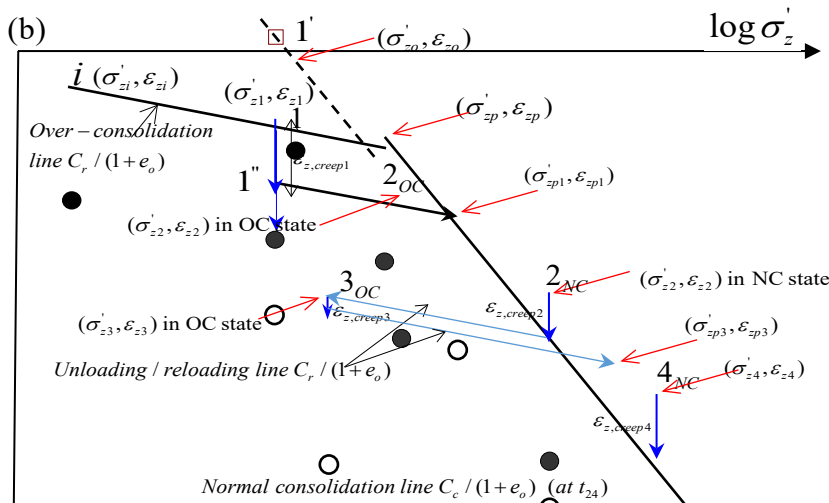
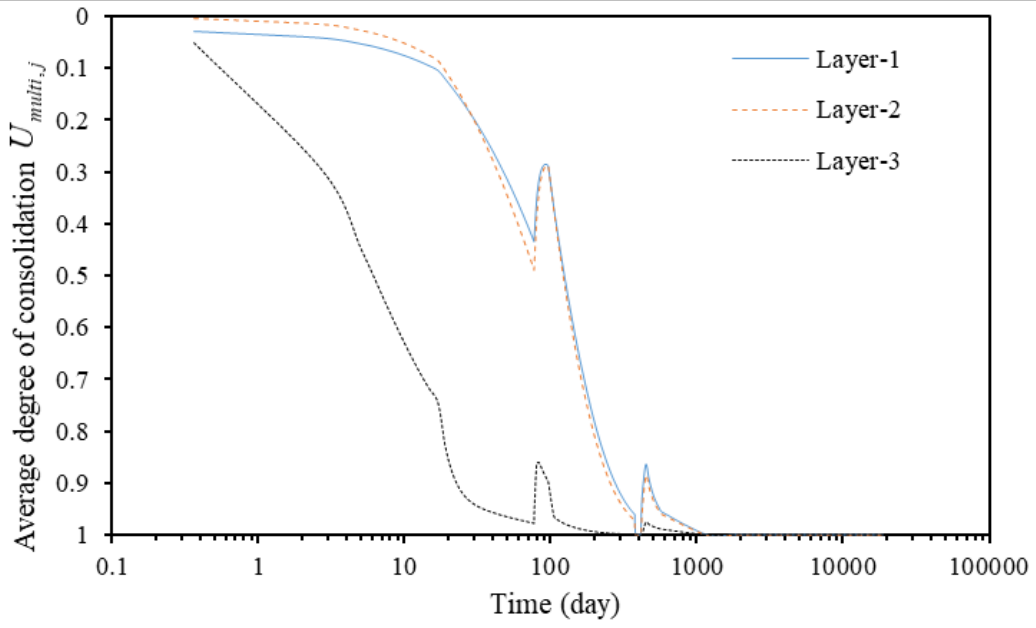


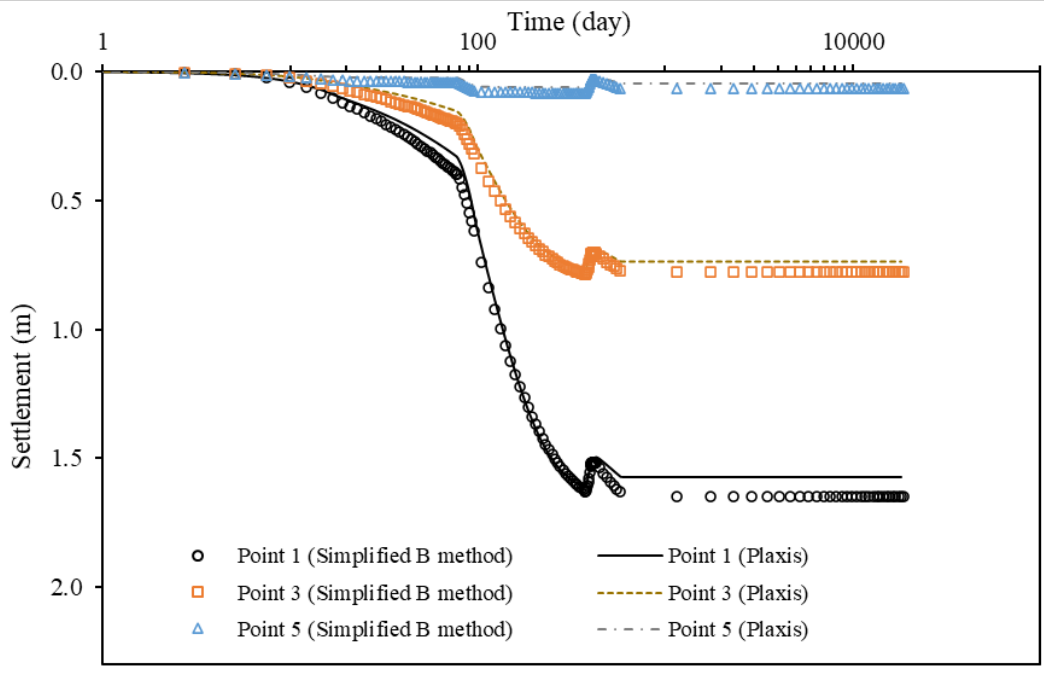
Figure 8. (a) Construction time, stage time, and vertical pressures of four staged loadings from loading, to unloading and reloading and (b) state points in vertical strain-log(effective stress) space

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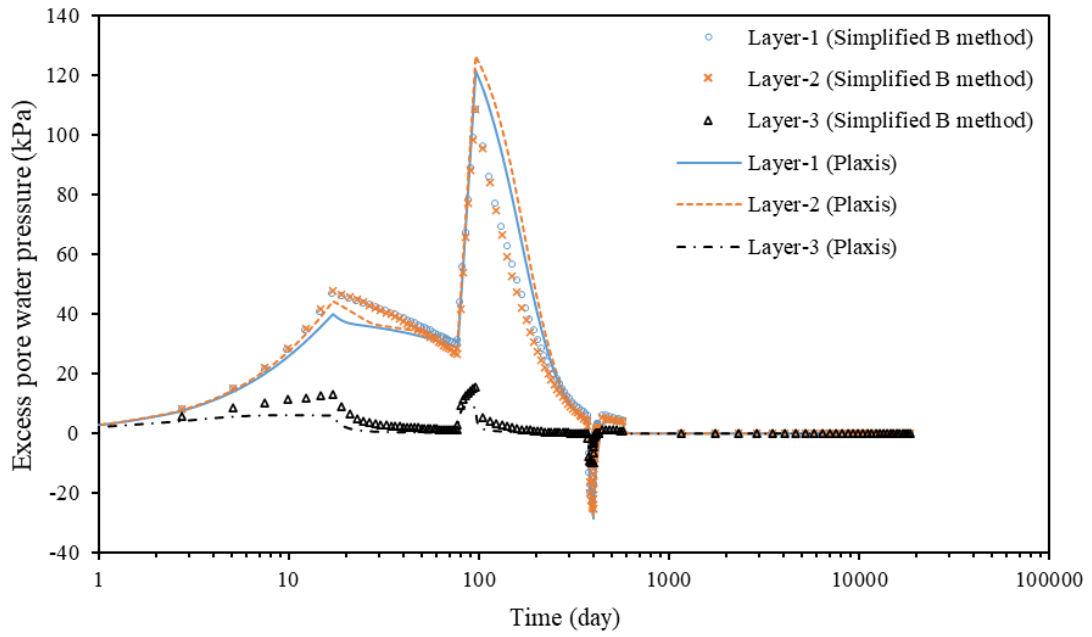
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Figure 9. Calculated curves of $U_{multi,j}$ and total loading time in logarithmic scale for each j -layer under multi-staged four loadings



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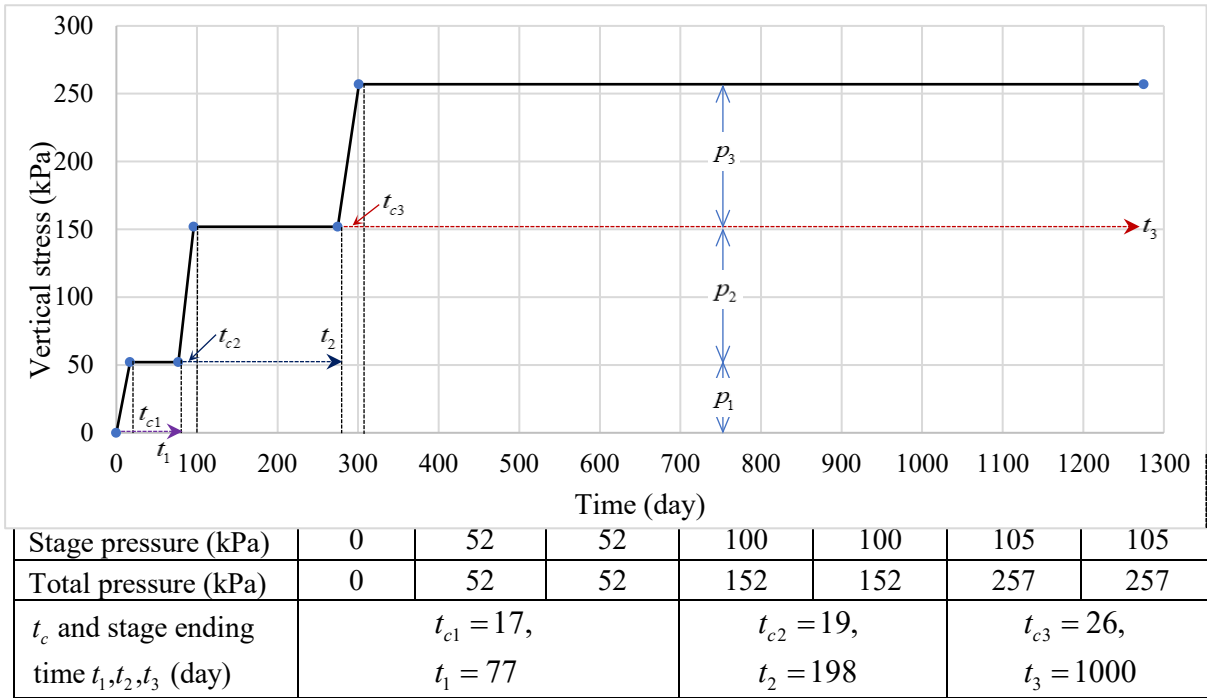
Figure 10. Comparison of settlements with accumulated total loading time in logarithmic scale at three settlement monitoring points at $z=0$ m, 3 m, and 6 m from the simplified Hypothesis B method and fully coupled finite element modelling



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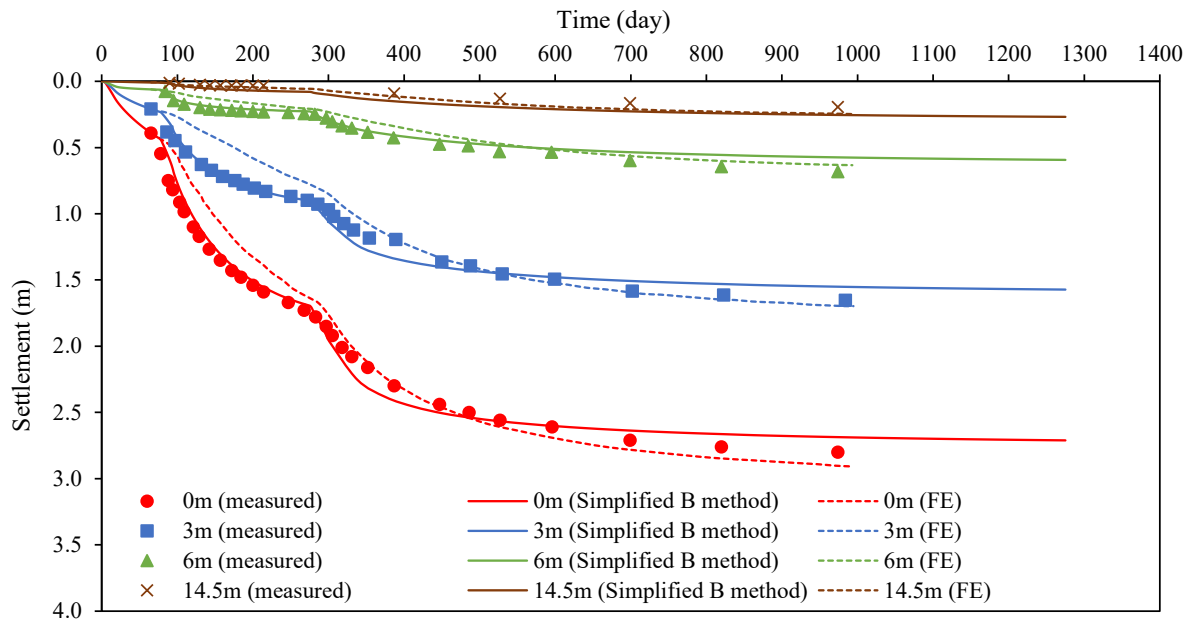
Figure 11. Comparison of excess porewater pressure with log(total loading time) for three layers from the general simplified Hypothesis B method and fully coupled finite element modelling

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Figure 12. Construction time, stage time, and vertical pressures of three staged loadings in HKIA Chek Lap Kok Test Embankment



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Figure 13. Comparison of curves of settlements with total loading time at depths 0 m, 3 m, 6 m, and 14.5 m from the general simplified Hypothesis B method, fully coupled finite element modelling, and measurement