1	
2	Imaging Damage in Plate Waveguides Using
3	Frequency-domain Multiple Signal
4	<b>Classification (F-MUSIC)</b>
5	
6	Xiongbin Yang <sup>a</sup> , Kai Wang <sup>b</sup> , Pengyu Zhou <sup>a</sup> , Lei Xu <sup>a</sup> , and Zhongqing Su <sup>a, c, d*</sup>
7	
8	<sup>a</sup> Department of Mechanical Engineering
9	The Hong Kong Polytechnic University, Kowloon, Hong Kong SAR
10	
11	<sup>b</sup> School of Aerospace Engineering, Xiamen University, Xiamen 361005, PR China
12	
13	<sup>c</sup> The Hong Kong Polytechnic University Shenzhen Research Institute,
14	Shenzhen 518057, PR China
15	
16	<sup>d</sup> School of Astronautics,
17	Northwestern Polytechnical University, Xi'an 710072, PR China
18	
19	
20	submitted to Ultrasonics
21	(first submitted on 19 August 2021; revised and re-submitted on 20 September 2021)
22	
23	

<sup>\*</sup> To whom correspondence should be addressed. Tel.: +852-2766-7818, Fax: +852-2365-4703, Email: <u>Zhongqing.Su@polyu.edu.hk</u> (Prof. Zhongqing SU, *PhD*)

### 24 Abstract

25 Earlier, an ameliorated MUSIC (Am-MUSIC) algorithm is developed by the authors [1], aimed at expanding conventional MUSIC algorithm from linear array-facilitated nondestructive 26 27 evaluation to in situ health monitoring with a sparse sensor network. Yet, Am-MUSIC leaves a 28 twofold issue to be improved: i) the signal representation equation is constructed at each pixel 29 across the inspection region, incurring high computational cost; and ii) the algorithm is applicable 30 to monochromatic excitation only, ignoring signal features scattered out of the excitation 31 frequency band which also carry information on structural integrity. With this motivation, a 32 multiple-damage-scattered wavefield model is developed, with which the signal representation 33 equation is constructed in the frequency domain, avoiding computationally expensive pixel-based 34 calculation - referred to as frequency-domain MUSIC (F-MUSIC). F-MUSIC quantifies the orthogonal attributes between the signal subspace and noise subspace inherent in signal 35 36 representation equation, and generates a full spatial spectrum of the inspected sample to visualize 37 damage. Modeling in the frequency domain endows F-MUSIC with the capacity to fuse rich 38 information scattered in a broad band and therefore enhance imaging precision. Both simulation 39 and experiment are performed to validate F-MUSIC when used for imaging single and multiple 40 sites of damage in an isotropic plate waveguide with a sparse sensor network. Results accentuate 41 that effectiveness of F-MUSIC is not limited by the quantity of damage, and imaging precision is 42 not downgraded due to the use of a highly sparse sensor network - a challenging task for 43 conventional MUSIC algorithm to fulfil.

44

*Keywords*: ultrasonic imaging; guided ultrasonic waves; multiple signal classification (MUSIC);
sparse sensor network; frequency domain analysis

### 48 **1. Introduction**

49 Multiple signal classification (MUSIC) algorithm is a proven array processing technique for guided wave-based damage characterization [2-6]. Instead of using straightforward wave features 50 51 such as time-of-flight or signal amplitude [7-12], MUSIC is an eigen-structure approach making 52 use of the orthogonality of subspaces extracted from wave signals [13-16]. Stepinski and Engholm 53 [17] are among those who first demonstrated the use of the MUSIC algorithm for estimating the 54 direction of arrival (DOA) of an incoming wave in acoustic emission. As an extension of that study, Yang et al [18, 19] determined the direction of impact-induced acoustic waves accurately 55 56 using MUSIC in conjunction with a linear sensor array. The approach, however, failed to precisely 57 locate the impact site, as the approach is based on the far-field hypothesis which simplifies the 58 impact-emanated wave as a plane wavefront when the wave arrives at the array - it is not true for 59 a waveguide of small dimensions. To circumvent this limitation, Zhong et al [3] developed a near-60 field MUSIC algorithm on the basis of Taylor expansion theory, in which an incoming wave was 61 deemed as a spherical wavefront. This method was validated by locating damage in a real 62 composite oil tank, showing potentials to improve localization accuracy. Extending this study and 63 also taking into account other impact-induced wave components out of the excitation frequency 64 range, Yuan et al [20] proposed a single frequency component-based re-estimated MUSIC 65 (SFCBR-MUSIC) algorithm with Shannon wavelet transform, showing proven capability of localizing impact to a composite wing box in aircraft. Conventional MUSIC was revamped by 66 67 Zhong et al [21] based on 2D near-field assumption and Gerschgorin discs theorem, and this 68 revamped MUSIC algorithm facilitated detection of multiple sites of damage.

69

In addition to these parametric studies concerning passive impact localization, MUSIC-based detection has also been extended to active damage identification. Bao *et al* [22] combined transmitter beamforming and weighted imaging with MUSIC, with which the severity of corrosion in aluminum plates was assessed using actively generated waves, in conjunction with 74 the use of a dual array consisting of two linear sensor groups. Zuo et al [23] presented a model-75 based MUSIC algorithm by calculating the cross-correlation function between the modeled wave 76 scattering signals and measured residual signals, to identify a mass added to a composite laminate, though material anisotropy of the composites and discrepancy of wave velocities in different 77 78 propagation directions were not considered in modeling. To compensate for the anisotropy of 79 composites, Bao et al [24, 25] developed an updated MUSIC algorithm, taking into account the 80 effect of both the sensor localization error and the sensor phase error due to material anisotropy, 81 whereby to enhance damage localization precision.

82

83 Despite proven effectiveness, MUSIC-driven damage identification is usually restricted to the use 84 of a linear array featuring a dense configuration of transmitter elements with a sufficiently small 85 and uniform element pitch. Approaches in this category barely provide full inspection coverage, 86 presenting downgraded beamforming capability at azimuth angles close to 0° and 180°, as a result of which the damage in an inspection region of  $[0, 30^\circ]$  or  $[150^\circ, 180^\circ]$  may be overridden [26]. 87 88 To circumvent such deficiency, an ameliorated MUSIC (Am-MUSIC) algorithm was developed 89 by the authors in an earlier study [1], in which the signal representation matrix is manipulated at 90 each pixel using the excitation signal series, instead of the scattered signal series. Am-MUSIC 91 algorithm does not necessarily demand the use of a linear phased array, and instead it is compatible 92 with a sparse sensor array. In the sparse sensor array, individual transducers can be positioned 93 arbitrarily. The Am-MUSIC yields a full spatial spectrum of the inspected sample, and damage in 94 the sample, if any, can thus be visualized in the spectrum.

95

96 However, the flexibility in sensor array configuration bestowed by the Am-MUSIC algorithm is 97 at the cost of higher computational expense (compared with conventional MUSIC algorithms), 98 because the signal representation matrix is calculated at each pixel across the entire inspection 99 region. In addition, Am-MUSIC algorithm is manipulated in the time domain within a narrowed frequency band, at which the monochromatic wave is excited. Such manipulation discards wave components in a captured signal that are out of the excitation frequency range, irrespective of the fact that these wave components also carry rich information on damage in the sample [27, 28].

104 Aimed at exploiting the merits of Am-MUSIC algorithm earlier developed (particularly its 105 flexibility in configuring a sensor network) but surmounting deficiency that the algorithm remains, 106 a frequency-domain MUSIC algorithm (F-MUSIC) is developed, in conjunction with the use of a 107 sparse sensor network with arbitrarily positioned transducers. Distinct from Am-MUSIC, F-108 MUSIC constructs the signal representation equation over the frequency domain, rather than at 109 each pixel in the spatial domain, based on a multiple-damage-scattered wavefield model. F-110 MUSIC quantifies the orthogonal attributes between the signal subspace and noise subspace 111 inherent in signal representation equation, and produces a full spatial spectrum of the inspected 112 sample to pinpoint damage. The accuracy of F-MUSIC is examined via simulation and 113 experiment, in which single and multiple sites of damage in a plate waveguide are imaged with a 114 sparse sensor network.

115

### 116 2. Principle of Methodology

117 Consider a monochronic Lamb wave guided by a plate waveguide, f(t). Upon propagating the 118 distance of *d*, without considering the attenuation, the received signal, r(t), is governed by

119 
$$r(t) = \int_{-\infty}^{\infty} F(\omega) e^{-ik(\omega)d} \exp^{i\omega t} d\omega, \qquad (1)$$

120 where  $F(\omega)$  is the Fourier transform of f(t) in the frequency domain, t the time,  $\omega$  the angular 121 frequency, i the imaginary unit, and  $k(\omega)$  the wavenumber of the Lamb wave  $(k(\omega)=\omega/c_p(\omega),$ 122 where  $c_p(\omega)$  is the phase velocity). Applying Fourier transform on Eq. (1), r(t) in the frequency 123 domain,  $R(\omega)$ , is obtained by

124 
$$R(\omega) = F(\omega) \exp^{-ik(\omega)d} = F(\omega) \exp^{-i\omega d/c_p(\omega)}.$$
 (2)

Assuming a wave scatterer (e.g., damage) in the waveguide, the scatterer can be modeled as a secondary wave source to scatter incoming f(t) and interfere with the original wavefield of signal f(t); and the scattered wavefield  $R^{\text{scattered}}(\omega)$  in the frequency domain can be defined by modulating the original wavefield with a scattering coefficient related to the scatterer, as

130 
$$R^{\text{scattered}}(\omega) = \alpha(\omega)F(\omega)\exp^{-i\omega d^{\text{scattered}}/c_p(\omega)}, \qquad (3)$$

131 where  $\alpha(\omega)$  is the scattering coefficient in the frequency domain, and  $d^{\text{scattered}}$  is the distance from 132 the excitation source to the scatterer and then to the wave receiver.

133

Discuss a sparse sensor network with Q piezoelectric lead zirconate titanate (PZT) wafers (labelled as PZT-1, ..., PZT-i, ..., PZT-Q) (i = 1, 2, K, Q) surface-mounted on the plate waveguide, as shown schematically in **Fig. 1**. With an arbitrary position on the waveguide, each wafer functions as a wave transmitter and a wave receiver as well. Thus, this sensor network renders M = Q(Q-1) transmitter–receiver paths, and the  $m^{th}$  transmitter–receiver path (m = 1, 2, K, M) links PZT-*i* (as wave transmitter) and PZT-*j* (as wave receiver).

140

For an intact waveguide, the wave signal, captured by the  $m^{th}$  transmitter-receiver path (denoted with  $r_m^{\text{measured-intact}}(t)$ ), is the direct arrival wave  $r_m^{\text{direct}}(t)$ , boundary-reflection wave  $r_m^{\text{boundary-reflection}}(t)$  with incoherent noise  $w_m^{\text{measured-intact}}(t)$ , as

144 
$$r_m^{\text{measured-intact}}(t) = r_m^{\text{direct}}(t) + r_m^{\text{boundary-reflection}}(t) + w_m^{\text{measured-intact}}(t), \quad (m = 1, 2, K, M).$$
(4)



147 **Fig. 1**. A plate waveguide with a sparse sensor network of *Q* PZT wafers and *L* damage sites.

146

Assume that up to *L* damage sites co-exist in the waveguide which are respectively located at  $(\xi_1, \psi_1), L, (\xi_l, \psi_l), L, (\xi_L, \psi_L)$ . Ignoring mode conversion and multiple reflection among damage sites, the wave signal captured by the same transmitter-receiver path,  $r_m^{\text{measured-damage}}(t)$ , embraces the direct arrival waves  $r_m^{\text{direct}}(t)$ , boundary-reflection wave  $r_m^{\text{boundary-reflection}}(t)$ , damage-scattered waves  $r_m^{\text{scattered},l}(t), (l = 1, 2, K, L)$  from all damage sites, and the incoherent noise  $w_m^{\text{measured-damage}}(t)$ , as

155 
$$r_m^{\text{measured-damage}}(t) = r_m^{\text{direct}}(t) + r_m^{\text{boundary-reflection}}(t) + \sum_{l=1}^L r_m^{\text{scattered},l}(t) + w_m^{\text{measured-damage}}(t), \quad (m = 1, 2, K, M),$$

157 where  $r_m^{\text{scattered},l}(t)$  represents the wave signal that propagates from PZT-*i* (as wave transmitter) to 158 the  $l^{th}$  damage site and then to PZT-*j* (as wave receiver).



161 
$$r_m^{\text{measured-damage}}(t) - r_m^{\text{measured-intact}}(t) = \sum_{l=1}^{L} r_m^{\text{scattered},l}(t) + w_m(t) = r_m^{\text{residual}}(t), \qquad (m = 1, 2, K, M) \quad (6)$$

162 where  $w_m(t)$  signifies the difference between two noise terms,  $w_m^{\text{measured-damage}}(t) - w_m^{\text{measured-intact}}(t)$ . 163 To facilitate discussion in what follows, the term,  $\sum_{l=1}^{L} r_m^{\text{scattered},l}(t) + e_m(t)$ , is referred to as the  $m^{th}$ 

residual signal  $r_m^{\text{residual}}(t)$ . Applying Fourier transform on Eq. (6) and substituting Eqs. (3) to (6), the  $m^{th}$  residual signal in the frequency domain,  $R_m^{\text{residual}}(\omega)$ , is obtained as

166 
$$R_m^{\text{residual}}(\omega) = \sum_{l=1}^{L} \alpha^l(\omega) F(\omega) \exp^{-i\omega d_m^{l/c_p}(\omega)} + W_m(\omega), \qquad (m = 1, 2, K, M)$$
(7)

167 where  $\alpha^{l}(\omega)$  denotes the scattering coefficient for the  $l^{th}$  damage site within the inspection 168 region;  $d_{m}^{l} = \sqrt{(\xi_{l} - x_{i})^{2} + (\psi_{l} - y_{i})^{2}} + \sqrt{(\xi_{l} - x_{j})^{2} + (\psi_{l} - y_{j})^{2}}$ , which represents the distance from 169 the  $i^{th}$  wave transmitter to the  $l^{th}$  damage and then to the  $j^{th}$  wave receiver;  $W_{m}(\omega)$  is the 170 Fourier counterpart of  $w_{m}(t)$  in the frequency domain.

172 Defining that 
$$\hat{F}_{l}(\omega) = \alpha^{l}(\omega)F(\omega)$$
 and  $a_{m}^{l}(\omega) = \exp^{-i\omega d_{m}^{l}/c_{p}(\omega)}$ , both of which are related to the  $l^{th}$   
173 damage site, the residual signal  $R_{m}^{\text{residual}}(\omega)$  can be rewritten, in the frequency domain, as

174 
$$R_m^{\text{residual}}(\omega) = \sum_{l=1}^{L} a_m^l(\omega) \hat{F}_l(\omega) + W_m(\omega). \qquad (m = 1, 2, K, M)$$
(8)

175 Extending the above manipulation to all the available *M* transmitter–receiver paths in the sensor176 network, it has

$$177 \qquad \mathbf{R}^{\text{residual}}(\omega) = \begin{bmatrix} R_1^{\text{residual}}(\omega) \\ \mathbf{M} \\ R_m^{\text{residual}}(\omega) \\ \mathbf{M} \\ R_m^{\text{residual}}(\omega) \end{bmatrix}_{M \times 1} = \begin{bmatrix} a_1^1(\omega) & \mathbf{L} & a_1^l(\omega) & \mathbf{L} & a_1^L(\omega) \\ \mathbf{M} & \mathbf{M} & \mathbf{M} \\ a_m^1(\omega) & \mathbf{L} & a_m^l(\omega) & \mathbf{L} & a_m^L(\omega) \\ \mathbf{M} & \mathbf{M} & \mathbf{M} \\ a_1^1(\omega) & \mathbf{L} & a_M^l(\omega) & \mathbf{L} & a_m^L(\omega) \end{bmatrix}_{M \times L} \begin{bmatrix} \hat{F}_1(\omega) \\ \mathbf{M} \\ \hat{F}_l(\omega) \\ \mathbf{M} \\ \hat{F}_l(\omega) \end{bmatrix}_{L \times 1} + \begin{bmatrix} W_1(\omega) \\ \mathbf{M} \\ W_m(\omega) \\ \mathbf{M} \\ W_M(\omega) \end{bmatrix}_{M \times 1}.$$

179 
$$\mathbf{R}^{\text{residual}}(\omega) = [R_1^{\text{residual}}(\omega), \mathbf{L}, R_m^{\text{residual}}(\omega), \mathbf{L}, R_M^{\text{residual}}(\omega)]^H$$
 is the residual signal vector for the entire  
180 sensor network. Defining that  $\mathbf{a}_l(\omega) = [a_1^l(\omega), \mathbf{L}, a_m^l(\omega), \mathbf{L}, a_M^l(\omega)]^H$  as the steering vector for the  
181  $l^{th}$  damage site,  $\mathbf{A}(\omega) = [\mathbf{a}_1(\omega), \mathbf{L}, \mathbf{a}_l(\omega), \mathbf{L}, \mathbf{a}_L(\omega)]$  as the steering vector dictionary for all  
182 damage sites,  $\mathbf{F}(\omega) = [\hat{F}_1(\omega), \mathbf{L}, \hat{F}_l(\omega), \mathbf{L}, \hat{F}_L(\omega)]^H$  as the excitation signal vector, and  
183  $\mathbf{W}(\omega) = [W_1(\omega), \mathbf{L}, W_m(\omega), \mathbf{L}, W_M(\omega)]^H$  as the noise term, Eq. (9) is

$$\mathbf{R}^{\text{residual}}(\omega) = \mathbf{A}(\omega)\mathbf{F}(\omega) + \mathbf{W}(\omega).$$
(10)

Equation (10) defines all wave signals received by the entire sensor network, containing multiple 185 186 damage-scattered wave components. It is referred to as a multiple-damage-scattered wavefield 187 model over the frequency domain. With this model, the residual signal series can be expressed 188 with the excitation signal series, which is independent of the location of a wave receiver. It is such 189 merit that allows arbitrarily positioning sensors in the sensor network – difficult to fulfill by 190 conventional MUSIC algorithms which are largely bound up with the use of a dense, linear array 191 with a uniform element pitch. Equation (10) also serves as the theoretical cornerstone for the F-192 MUSIC, as detailed as below.

193

194 Recalling the conventional MUSIC algorithm, the covariance matrix  $C(\omega)$  of the residual signal 195 vector  $\mathbf{R}^{\text{residual}}(\omega)$  is defined as

196  

$$\mathbf{C}(\omega) = E \left[ \mathbf{R}^{\text{residual}}(\omega) \mathbf{g} \mathbf{R}^{\text{residual}}(\omega)^{H} \right]$$

$$= \mathbf{A}(\omega) E \left[ \mathbf{F}(\omega) \mathbf{g} \mathbf{F}(\omega)^{H} \right] \mathbf{A}(\omega)^{H} + \mathbf{A}(\omega) E \left[ \mathbf{F}(\omega) \mathbf{g} \mathbf{W}(\omega)^{H} \right]$$

$$+ E \left[ \mathbf{W}(\omega) \mathbf{g} \mathbf{F}(\omega)^{H} \right] \mathbf{A}(\omega)^{H} + E \left[ \mathbf{W}(\omega) \mathbf{g} \mathbf{W}(\omega)^{H} \right],$$
(11)

197 where E[g] is covariance computation and the superscript *H* the complex conjugate transpose. As 198 the source signal is un-correlated to a noise signal, both  $E[\mathbf{F}(\omega)g\mathbf{W}(\omega)^H]$  and  $E[\mathbf{W}(\omega)g\mathbf{F}(\omega)^H]$ 199 retreat to zero. The noise,  $\mathbf{W}(\omega)$ , is commonly a Gaussian white noise which satisfies 200  $E[\mathbf{W}(\omega)\mathbf{g}\mathbf{W}(\omega)^{H}] = \sigma^{2}\mathbf{I}(\omega)$ , where  $\sigma^{2}$  is noise power and  $\mathbf{I}(\omega)$  the identity matrix. Therefore, 201 Eq. (11) can be rewritten as

$$\mathbf{C}(\omega) = \mathbf{A}(\omega)\mathbf{C}_{\mathbf{f}}(\omega)\mathbf{A}(\omega)^{H} + \sigma^{2}\mathbf{I}(\omega), \qquad (12)$$

203 where  $\mathbf{C}_{\mathbf{f}}(\omega) = E[\mathbf{F}(\omega)g\mathbf{F}(\omega)^{H}]$ , denoting the covariance matrix of the source signal.

204

205 Applied with eigenvalue decomposition, the covariance matrix  $C(\omega)$  in Eq. (12) is decomposed 206 into two orthogonal subspaces, viz., signal subspace and noise subspace, as

207 
$$\mathbf{C}(\boldsymbol{\omega}) = \mathbf{U}(\boldsymbol{\omega})\boldsymbol{\Sigma}(\boldsymbol{\omega})\mathbf{U}(\boldsymbol{\omega})^{H} = \mathbf{U}_{S}(\boldsymbol{\omega})\boldsymbol{\Sigma}_{S}(\boldsymbol{\omega})\mathbf{U}_{S}(\boldsymbol{\omega})^{H} + \mathbf{U}_{N}(\boldsymbol{\omega})\boldsymbol{\Sigma}_{N}(\boldsymbol{\omega})\mathbf{U}_{N}(\boldsymbol{\omega})^{H}, \quad (13)$$

where  $\mathbf{U}(\omega) = [\mu_1(\omega), \mu_2(\omega), \mathbf{L}, \mu_M(\omega)]$  (the eigenvectors), and  $\boldsymbol{\Sigma}(\omega) = \text{diag}[\lambda_1, \lambda_2, ..., \lambda_M]$  (the 208 eigenvalues with  $\lambda_1 > \lambda_2 > L > \lambda_j > \lambda_{j+1} = \lambda_{j+2} = L = \lambda_M = \sigma^2$ ). The number of damage sites can 209 210 be predicted by counting the number of eigenvalues  $\lambda_1, \lambda_2, L, \lambda_j$ , which is equal to j. The signal subspace  $\mathbf{U}_{s}(\omega) = [\mu_{1}(\omega), \mu_{2}(\omega), \mathbf{L}, \mu_{i}(\omega)]$  is spanned by the eigenvectors corresponding to the 211 eigenvalues  $\Sigma_{s}(\omega) = \text{diag}[\lambda_{1}, \lambda_{2}, ..., \lambda_{i}]$ ; 212 j largest the noise subspace  $\mathbf{U}_{N}(\omega) = [\mu_{j+1}(\omega), \mu_{j+1}(\omega), \mathbf{L}, \mu_{M}(\omega)]$  is spanned by those eigenvectors corresponding to the 213 remaining eigenvalues  $\Sigma_N(\omega) = \text{diag}[\lambda_{j+1}, \lambda_{j+2}, ..., \lambda_M].$ 214

215

# 216 Multiplying $\mathbf{U}_{N}(\omega)$ with $\mathbf{C}(\omega)$ in Eq. (12) results in

217 
$$\mathbf{C}(\omega)\mathbf{U}_{N}(\omega) = \mathbf{A}(\omega)\mathbf{C}_{\mathbf{f}}(\omega)\mathbf{A}(\omega)^{H}\mathbf{U}_{N}(\omega) + \sigma^{2}\mathbf{U}_{N}(\omega).$$
(14)

218 As  $\mathbf{C}(\omega)\mathbf{U}_N(\omega) = \sigma^2 \mathbf{U}_N(\omega)$  (according to Eq. (13)), substituting  $\sigma^2 \mathbf{U}_N(\omega)$  into Eq. (14) leads to

219 
$$\mathbf{A}(\omega)\mathbf{C}_{\mathbf{f}}(\omega)\mathbf{A}(\omega)^{H}\mathbf{U}_{N}(\omega) = \mathbf{0}.$$
(15)

220 Due to the full rank of  $C_f(\omega)$ , Eq. (15) can be simplified as

221 
$$\mathbf{A}(\boldsymbol{\omega})^{H}\mathbf{U}_{N}(\boldsymbol{\omega}) = [\mathbf{a}_{1}(\boldsymbol{\omega})^{H}\mathbf{U}_{N}(\boldsymbol{\omega}), \mathbf{L}, \mathbf{a}_{l}(\boldsymbol{\omega})^{H}\mathbf{U}_{N}(\boldsymbol{\omega}), \mathbf{L}, \mathbf{a}_{L}(\boldsymbol{\omega})^{H}\mathbf{U}_{N}(\boldsymbol{\omega})] = \mathbf{0}.$$
(16)

Equation (16) indicates that the steering vectors at a damage site are orthogonal with regard to the noise subspace, because  $\mathbf{a}_{l}(\omega)^{H}\mathbf{U}_{N}(\omega) = \mathbf{0}$ .

224

With that, the F-MUSIC algorithm is defined in terms of the degree of orthogonality between the steering vector at each pixel and the noise subspace  $\mathbf{U}_{N}(\omega)$ , as

227 
$$P_{F-MUSIC}(x, y, \omega) = \frac{1}{\left\| \mathbf{a}_{xy}(\omega)^{H} \mathbf{U}_{N}(\omega) \right\|^{2}} = \frac{1}{\mathbf{a}_{xy}(\omega)^{H} \mathbf{U}_{N}(\omega) \mathbf{U}_{N}(\omega)^{H} \mathbf{a}_{xy}(\omega)},$$
(17)

where

229 
$$\mathbf{a}_{xy}(\omega) = \left[\exp^{-i\omega d_{xy}^{1}/c_{p}(\omega)}, \mathbf{L}, \exp^{-i\omega d_{xy}^{m}/c_{p}(\omega)}, \mathbf{L}, \exp^{-i\omega d_{xy}^{m}/c_{p}(\omega)}\right]^{H},$$

230 
$$d_{xy}^{m} = \sqrt{(x - x_{i})^{2} + (y - y_{i})^{2}} + \sqrt{(x - x_{j})^{2} + (y - y_{j})^{2}}.$$

231

232 By varying (x, y) in Eq. (17), the entire inspection region of the sample under inspection is 233 scanned, and a spatial spectrum is obtained. In the presence of damage at a particular location, the 234 steering vector  $\mathbf{a}_{y}(\omega)$  is orthogonal to the noise subspace  $\mathbf{U}_{y}(\omega)$ , as a result of which the 235 denominator of Eq. (17) tends to be zero, resulting in a steep peak in the spatial spectrum, to 236 indicate the damage presence and its location. It is noteworthy that on the basis of the multiple-237 damage-scattered wavefield model, the eigenvalue decomposition in Eq. (13) is calculated only 238 once, and then the calculated  $U_{N}(\omega)$  is applicable to all pixels. It is such a feature of the F-239 MUSIC algorithm that avoids time-consuming pixel-based calculation - a demerit of the AM-MUSIC algorithm developed earlier [1], and remarkably lowers the computational costs. 240

241

On the other hand, the residual wave signals,  $\mathbf{R}^{\text{residual}}(\omega)$ , are distributed over a broad band ( $\boldsymbol{\omega}$ ) rather than confined at the frequency of wave excitation. The broadband signals embrace rich information on damage or material degradation along wave propagation path. With this in mind, 245 the F-MUSIC algorithm is further refined by integrating the calculation conducted by Eq. (17) 246 over a broad frequency band ( $\omega$ ), as

247 
$$P_{F-MUSIC}(x, y) = \frac{1}{\sum_{\omega \in \omega} \left| \frac{1}{P_{F-MUSIC}(x, y, \omega)} \right|}.$$
 (18)

248

Compared with conventional MUSIC algorithms manipulated in the time domain solely at the monochromatic excitation frequency, Eq. (18) suggests that F-MUSIC algorithm, based on the analysis of the multiple-damage-scattered wavefield over the frequency domain, fuses rich wave components over a broad frequency band, consequently enhancing imaging precision (to be demonstrated in what follows).

254

# 255 **3. Numerical Validation**

### 256 **3.1 Modeling and Results**

To verify the developed multiple-damage-scattered wavefield model and proposed F-MUSIC algorithm, numerical simulation is implemented first. A homogeneous, isotropic plate (density:  $\rho=2700 \text{ kg/m}^3$ ; Young modulus: E=71 GPa; Poisson's ratio: v=0.33; dimension: 300 mm × 300 mm × 2 mm) is modeled. Eight PZT wafers (labelled as P1, P2, ..., P8) that are on the surface of the plate form a sparse sensor network for wave generation and acquisition (a total of 8(8-1) = 56 sensing path), as illustrated schematically in **Fig. 2**.



Fig. 2. Schematic of a plate waveguide in simulation with a sparse sensor network (all dimensions in mm).





274275276

Fig. 3. Excitation signal and frequency domain spectrum.



277

278

Fig. 4. Waterfall view of 56 sets of residual signals.

Applying the F-MUSIC algorithm on all residual signals at the excitation frequency of 200 kHz using Eq. (17), the spatial spectrum of the plate containing the through-hole is displayed in **Fig. 5(a)**, in which, however, the damage can barely be visualized. Further, upon taking into account wave components scattered in the whole frequency band of excitation (100–300 kHz, as observed

in **Fig. 3**) with Eq. (18), the re-constructed image is shown in **Fig. 5(b)**, which explicitly indicates

the damage site and depicts the damage geometry with reduced artifacts, compared with **Fig. 5(a)**.



(a)



Fig. 5. Spatial spectra obtained with F-MUSIC algorithm: (a) at the excitation frequency of 200 kHz; and
(b) over the whole excitation band of 100–300 kHz (red 'o': actual damage).

- 290
- 291

### **3.2 Discussion**

### 293 Comparison with Conventional MUSIC Algorithm

The conventional MUSIC algorithm [3, 21, 29] is recalled to image the same damage in the above scenario for comparison. To this end, seven PZT wafers are configured in a linear array as wave receivers, **Fig. 6**, along with another PZT wafer at (150 mm, 240 mm) as wave transmitter. The image constructed using the conventional MUSIC algorithm is presented in **Fig. 7**, failing to pinpoint and size the through-hole. In addition, an elongation artifact is spotted along the scanning direction toward the damage, which is related to the point-spread function of the liner array at the location of the damage [3, 20-24].

301



302

**Fig. 6**. Schematic of a plate waveguide in simulation with a linear sensor array to implement conventional MUSIC algorithm (all dimensions in mm).

- 304 305
- 306



**Fig. 7**. Spatial spectrum obtained with conventional MUSIC algorithm (red 'o': actual damage).

311

310

## 312 Different Patterns of Sensor Distribution in Sparse Sensor Network

313 To examine the performance of F-MUSIC algorithm when the sensors are arranged in different 314 patterns in the sparse sensor network, parametric studies respectively using six PZT wafers 315 (namely, P1, P2, P4, P5, P6, P8) and using four PZT wafers (P2, P4, P6, P8), Fig. 2, are conducted, 316 and correspondingly imaged spatial spectra are in Figs. 8 and 9, respectively. Comparison with 317 the spectrum in Fig. 5(b) constructed when eight PZT wafers are used, these results obtained using 318 partial sensors of the sparse sensor network with different sensor distribution patterns still show 319 a high degree of detectability, and this implies the high flexibility in sensor network configuration 320 endowed by the F-MUSIC algorithm: not only in number of sensors, but in sensor distribution.

321



323

Fig. 8. Spatial spectrum obtained with F-MUSIC algorithm using six PZT wafers (P1, P2, P4, P5, P6,
 P8) (red 'o': actual damage).



327

Fig. 9. Spatial spectrum obtained with F-MUSIC algorithm using four PZT wafers (P2, P4, P6, P8) (red
 'o': actual damage).

- 330
- 331



333 The capability of identifying multiple damage sites in the inspection region using F-MUSIC

algorithm is studied. Two damage sites are included in the plate waveguide at (110 mm, 120 mm)

and (190 mm, 180 mm), respectively. The spatial spectrum constructed using F-MUSIC algorithm

is shown in **Fig. 10**, to observe quantitative match between identified and actual damage sites.



Fig. 10. Spatial spectrum obtained with F-MUSIC algorithm for a plate waveguide containing multi damage (red 'o': actual damage).

340

337

## 341 **4. Experimental Validation**

Experimental validation is conducted. An aluminum plate (density:  $\rho=2700 \text{ kg/m}^3$ ; Young 342 343 modulus: E=71 GPa; Poisson's ratio: v=0.33; dimension: 1000 mm  $\times$  1000 mm  $\times$  2 mm) is prepared, on which a sparse sensor network, consisting of eight PZT wafers (labelled as PZT-1, 344 345 PZT-2, ..., PZT-8), is surface-adhered, with respective locations indicated in Fig. 11(a). The 346 excitation is generated with a NI PXI-5412 arbitrary waveform generation unit, in the form of a 347 5-cycle Hanning-windowed tone-burst at the central frequency 200 kHz and amplified with a 348 Ciprian US-TXP-3 linear power amplifier before applied in turn to each PZT wafer. The S<sub>0</sub> mode 349 of Lamb waves are captured by remaining PZT wafers and then recorded with an Agilent MSOX 350 3014A oscilloscope at the sampling rate of 60 MHz. The experimental setup is shown 351 schematically in **Fig.11(b)**.



Fig. 11. (a) An aluminum plate with a surface-adhered sparse sensor network consisting of eight PZT
 wafers in experiment (all dimensions in mm); and (b) schematic of experimental set-up.

In line with simulation in Section 3, two damage scenarios are demonstrated in experiment. In the first case, a through-hole of a diameter of 10 mm is drilled at the location (400 mm, 400 mm) as a single damage case; after then multiple damage case C-II is studied by adding another throughhole of the same diameter at the location (600 mm, 600 mm). The F-MUSIC algorithm is applied

to two damage cases to obtain the spatial spectra, in Figs. 12(a) and (b), in which all damage sites 

are clearly depicted with high precise and image resolution.











Fig. 12. Spatial spectra for (a) the single damage case (b) the multiple damage case (red 'o': actual damage).

### 374 **5. Concluding Remarks**

375 Aimed at exploiting merits of the Am-MUSIC algorithm (particularly its flexibility in configuring 376 a sparse sensor network) that is earlier developed based on conventional MUSIC algorithms but 377 surmounting deficiency that the Am-MUSIC algorithm still remains, a *frequency-domain MUSIC* 378 (F-MUSIC) algorithm is developed, based on a multiple-damage-scattered wavefield model over 379 the frequency domain. F-MUSIC avoids computationally expensive pixel-based calculation, and 380 fuses rich information scattered in a broad band to enhance imaging precision. The algorithm is 381 validated using simulation and experiment, and results articulate that effectiveness of F-MUSIC 382 is not restricted by the quantity of damage, and with it the imaging precision is not sacrificed as a 383 result of the use of a sparse sensor network.

384

### 385 Acknowledgments

The work was supported by a General Project (No. 51875492) and a Key Project (No. 51635008)
received from the National Natural Science Foundation of China. Z Su acknowledges the support
from the Hong Kong Research Grants Council via General Research Funds (Nos. 15202820,
15204419 and 15212417).

390

391

- 393 **References**
- 394
- 395 [1] X. Yang, K. Wang, P. Zhou, L. Xu, J. Liu, P. Sun, Z. Su, Ameliorated-multiple signal
  396 classification (Am-MUSIC) for damage imaging using a sparse sensor network, Mechanical
  397 Systems and Signal Processing, 163 (2022) 108154.
- 398 [2] M. Engholm, T. Stepinski, Direction of arrival estimation of Lamb waves using circular arrays,
   399 Structural Health Monitoring, 10 (2011) 467-480.
- [3] Y. Zhong, S. Yuan, L. Qiu, Multiple damage detection on aircraft composite structures using
   near-field MUSIC algorithm, Sensors and Actuators A: Physical, 214 (2014) 234-244.
- 402 [4] S. Yuan, Y. Zhong, L. Qiu, Z. Wang, Two-dimensional near-field multiple signal classification
- 403 algorithm–based impact localization, Journal of Intelligent Material Systems and Structures, 26
  404 (2015) 400-413.
- [5] P. Zabbal, G. Ribay, B. Chapuis, J. Jumel, Multichannel Multiple Signal Classification for
  dispersion curves extraction of ultrasonic guided waves, The Journal of the Acoustical Society of
  America, 143 (2018) EL87-EL92.
- 408 [6] C. Xu, J. Wang, S. Yin, M. Deng, A focusing MUSIC algorithm for baseline-free Lamb wave 409 damage localization, Mechanical Systems and Signal Processing, 164 (2022) 108242.
- 410 [7] J.S. Hall, J.E. Michaels, Minimum variance ultrasonic imaging applied to an in situ sparse
- 411 guided wave array, IEEE Transactions on Ultrasonics, Ferroelectrics, and Frequency Control, 57
  412 (2010) 2311-2323.
- 413 [8] M. Liu, S. Chen, Z.Z. Wong, K. Yao, F. Cui, In situ disbond detection in adhesive bonded
- 415 [6] W. Eld, S. Chen, Z.Z. Wong, K. Tao, F. Cut, in situ dissolid detection in adhesive bolided
   414 multi-layer metallic joint using time-of-flight variation of guided wave, Ultrasonics, 102 (2020)
   415 106062.
- 416 [9] H. Jin, J. Yan, X. Liu, W. Li, X. Qing, Quantitative defect inspection in the curved composite
- 417 structure using the modified probabilistic tomography algorithm and fusion of damage index,418 Ultrasonics, 113 (2021) 106358.
- [10] R. Gorgin, Y. Luo, Z. Wu, Environmental and operational conditions effects on Lamb wave
  based structural health monitoring systems: A review, Ultrasonics, 105 (2020) 106114.
- 421 [11] H. Xu, L. Cheng, Z. Su, J.-L. Guyader, Identification of structural damage based on locally
- 422 perturbed dynamic equilibrium with an application to beam component, Journal of sound and 423 vibration, 330 (2011) 5963-5981.
- 424 [12] Y. Ren, L. Qiu, S. Yuan, Z. Su, A diagnostic imaging approach for online characterization
   425 of multi-impact in aircraft composite structures based on a scanning spatial-wavenumber filter of
- 426 guided wave, Mechanical Systems and Signal Processing, 90 (2017) 44-63.
- 427 [13] F.-G. Yan, Y. Shen, M. Jin, Fast DOA estimation based on a split subspace decomposition
  428 on the array covariance matrix, Signal Processing, 115 (2015) 1-8.
- [14] D. Kundu, Modified MUSIC algorithm for estimating DOA of signals, Signal Processing, 48(1996) 85-90.
- [15] Q. Bao, W. Hu, Q. Wang, A novel multi-site damage localization method based on near-field
  signal subspace fitting using uniform linear sensor array, Ultrasonics, 116 (2021) 106485.
- [16] P. Zhou, X. Yang, Y. Su, J. Yang, L. Xu, K. Wang, L.-m. Zhou, Z. Su, Direct-write
  nanocomposite sensor array for ultrasonic imaging of composites, Composites Communications,
  28 (2021) 100937.
- [17] M. Engholm, T. Stepinski, Direction of arrival estimation of Lamb waves using circular
  arrays, Structural Health Monitoring, 10 (2010) 467-480.
- 438 [18] H. Yang, Y.J. Lee, S.K. Lee, Impact source localization in plate utilizing multiple signal
- 439 classification, Proceedings of the Institution of Mechanical Engineers, Part C: Journal of
- 440 Mechanical Engineering Science, 227 (2013) 703-713.
- 441 [19] H. Yang, T.J. Shin, S. Lee, Source location in plates based on the multiple sensors array
- 442 method and wavelet analysis, Journal of Mechanical Science and Technology, 28 (2014) 1-8.

- 443 [20] S. Yuan, Q. Bao, L. Qiu, Y. Zhong, A single frequency component-based re-estimated
- 444 MUSIC algorithm for impact localization on complex composite structures, Smart Materials and
   445 Structures, 24 (2015) 105021.
- 446 [21] Y. Zhong, S. Yuan, L. Qiu, Multi-impact source localisation on aircraft composite structure
- using uniform linear PZT sensors array, Structure and Infrastructure Engineering, 11 (2015) 310320.
- 449 [22] Q. Bao, S. Yuan, F. Guo, L. Qiu, Transmitter beamforming and weighted image fusion–based
- 450 multiple signal classification algorithm for corrosion monitoring, Structural Health Monitoring,451 18 (2019) 621-634.
- 452 [23] H. Zuo, Z. Yang, C. Xu, S. Tian, X. Chen, Damage identification for plate-like structures
- using ultrasonic guided wave based on improved MUSIC method, Composite Structures, 203(2018) 164-171.
- 455 [24] Q. Bao, S. Yuan, Y. Wang, L. Qiu, Anisotropy compensated MUSIC algorithm based 456 composite structure damage imaging method, Composite Structures, 214 (2019) 293-303.
- 457 [25] Q. Bao, S. Yuan, F. Guo, A new synthesis aperture-MUSIC algorithm for damage diagnosis
- 458 on complex aircraft structures, Mechanical Systems and Signal Processing, 136 (2020) 106491.
- [26] S. Sundararaman, D.E. Adams, E.J. Rigas, Structural damage identification in homogeneous
  and heterogeneous structures using beamforming, Structural Health Monitoring, 4 (2005) 171190.
- 462 [27] J. Yang, J. He, X. Guan, D. Wang, H. Chen, W. Zhang, Y. Liu, A probabilistic crack size 463 quantification method using in-situ Lamb wave test and Bayesian updating, Mechanical Systems
- 464 and Signal Processing, 78 (2016) 118-133.
- 465 [28] T. Gao, X. Liu, J. Zhu, B. Zhao, X. Qing, Multi-frequency localized wave energy for 466 delamination identification using laser ultrasonic guided wave, Ultrasonics, 116 (2021) 106486.
- 467 [29] Y. Zhong, S. Yuan, L. Qiu, An improved two-dimensional multiple signal classification
- 468 approach for impact localization on a composite structure, Structural Health Monitoring, 14 469 (2015) 385-401.
- 470