# 1 Photochemical ozone pollution in five Chinese megacities in summer 2018

- 2 Xufei Liu<sup>1</sup>, Hai Guo<sup>1\*</sup>, Lewei, Zeng<sup>1</sup>, Xiaopu Lyu<sup>1</sup>, Yu Wang<sup>2</sup>, Yangzong Zeren<sup>1</sup>, Jin Yang<sup>1</sup>,
- 3 Luyao Zhang<sup>1</sup>, Shizhen Zhao<sup>3</sup>, Jun Li<sup>3</sup>, Gan Zhang<sup>3</sup>
- 4 <sup>1</sup> Air Quality Studies, Department of Civil and Environmental Engineering, Hong Kong
- 5 Polytechnic University, Hong Kong, China
- 6 <sup>2</sup> Institute for Environmental and Climate Research, Jinan University, Guangzhou, China
- <sup>3</sup> Guangzhou Institute of Geochemistry, Chinese Academy of Sciences, Guangzhou, China
- 8 \* Corresponding author. <u>ceguohai@polyu.edu.hk</u>

# 9 Abstract

10 To investigate photochemical ozone (O<sub>3</sub>) pollution in urban areas in China, O<sub>3</sub> and its 11 precursors and meteorological parameters were simultaneously measured in five megacities in 12 China in summer 2018. Moderate wind speeds, strong solar radiation and high temperature 13 were observed in all cities, indicating favorable meteorological conditions for local O<sub>3</sub> 14 formation. However, the unusually frequent precipitation caused by typhoons reaching the 15 eastern coastline resulted in the least severe air pollution in Shanghai. The highest O<sub>3</sub> level was 16 found in Beijing, followed by Lanzhou and Wuhan, while relatively lower O3 value was 17 recorded in Chengdu and Shanghai. Photochemical box model simulations revealed that net O<sub>3</sub> 18 production rate in Lanzhou was the largest, followed by Beijing, Wuhan and Chengdu, while 19 it was the lowest in Shanghai. Besides, the O<sub>3</sub> formation was mainly controlled by volatile 20 organic compounds (VOCs) in most cities, but co-limited by VOCs and nitrogen oxides in 21 Lanzhou. Moreover, the dominant VOC groups contributing to O<sub>3</sub> formation were oxygenated 22 VOCs (OVOCs) in Beijing and Wuhan, alkenes in Lanzhou, and aromatics and OVOCs in 23 Shanghai and Chengdu. Source apportionment analysis identified six sources of O<sub>3</sub> precursors 24 in these cities, including liquefied petroleum gas usage, diesel exhaust, gasoline exhaust, 25 industrial emissions, solvent usage, and biogenic emissions. Gasoline exhaust dominated the 26 O3 formation in Beijing, and LPG usage and industrial emissions made comparable contributions in Lanzhou, while LPG usage and solvent usage played a leading role in Wuhan 27 28 and Chengdu, respectively. The findings are helpful to mitigate O<sub>3</sub> pollution in China.

29 Keyword: Ozone formation, VOCs, radical chemistry, PBM-MCM, megacity clusters.

30

# 31 **1. Introduction**

Ozone (O<sub>3</sub>) pollution has attracted increasing attention in China since it has adverse impact on human health (Bell et al., 2004), agriculture (Ashmore, et al., 2005; Wang et al., 2005) and air quality (Thompson et al., 2011). Tropospheric O<sub>3</sub> is produced through complex chemical reactions between its precursors, *i.e.*, volatile organic compounds (VOCs) and nitrogen oxides (NO<sub>x</sub>), under the sunlight. However, the nonlinear relationship between O<sub>3</sub> and its precursors makes it difficult to control O<sub>3</sub> formation (NRC, 1992; Carter, 1994).

38 Severe O<sub>3</sub> pollution has been frequently observed in warm seasons in many megacities of China 39 (Wang T. et al., 2017; Wu and Xie, 2017; Li K et al., 2019). Over the past 20 years, a large 40 number of studies have reported the increasing O<sub>3</sub> trends and strong photochemical reactions 41 in several highly urbanized regions (Wang T. et al., 2017; Wang W. et al., 2017; Lu et al., 42 2020a), such as North China Plain (NCP) (Zhang et al., 2014; Tan et al., 2017, 2018a; Yang et 43 al., 2018), Yangtze River Delta (YRD) (Tang et al., 2008; Ran et al., 2009, 2012; Zhu J. et al., 44 2020), and Pearl River Delta (PRD) (Wang Y et al., 2017; Lu X. et al., 2019; Liu and Wang, 45 2020a, b; Yang et al., 2020). Moreover, cities in central and western China also experience air 46 quality deterioration, especially photochemical pollution, due to their expansion of economy, 47 population, and industrialization, such as Wuhan (Lyu et al., 2016a; Hui et al., 2018; Zeng et 48 al., 2018), Chengdu (Su et al., 2017; Tan et al., 2018b; Ning et al., 2020) and Lanzhou (Xue et 49 al., 2014a; Jia et al., 2016). Since 2013, the central government has made great effort to fight 50 against the air pollution problem in China, including various stringent control strategies on 51 multiple anthropogenic pollutants, e.g., the Air Pollution Prevention and Control Action Plan 52 in 2013-2018 (MEE PRC, 2019), the short-run "Blue Sky Defense Battle" from 2018 to 2020 53 (MEE PRC, 2018) and the long-run "Beautiful China Scheme" until 2035 (CCIED, 2019) According to Lu et al. (2020b), though many pollutants such as SO<sub>2</sub> and PM<sub>2.5</sub> have been 54 55 effectively controlled, O<sub>3</sub> pollution is still increasing in urban areas in China, indicating that

corresponding control measures on O<sub>3</sub> precursors, *i.e.*, VOCs and NO<sub>x</sub>, in different cities should
be more targeted and rigorous.

58 Moreover, since each city has its unique industrial structures, energy use pattern and 59 meteorological conditions, the photochemical O<sub>3</sub> formation mechanisms in different cities may 60 be different, which must be considered when formulating O<sub>3</sub> control strategies (Lu H. et al., 61 2019; Wang et al., 2019). In the past decade, many studies have investigated the net O<sub>3</sub> 62 production rate and/or radical chemistry in the urban areas of Chinese megacities. For instance, 63 an average daytime net O<sub>3</sub> production rate of 25.8 ppbv h<sup>-1</sup> was reported by Liu et al. (2012) at 64 an urban site in Beijing in summer 2007, and photolysis of VOCs dominated the local 65 formation of OH radical. Based on measurement data at an urban site in Wuhan in autumn 2013, Lu et al. (2017) found that the daytime mean net  $O_3$  production rate was 8.8 ppbv h<sup>-1</sup> and 66 highlighted the significant contribution of nitrous acid (HONO) to the atmospheric oxidative 67 68 capacity in central China. Most recently, Zeng et al. (2019) applied an observation-based model 69 to explore the O<sub>3</sub> photochemistry at an urban site in Wuhan in summer 2016 and indicated the average daily peak net O<sub>3</sub> production rate of 10 ppbv h<sup>-1</sup>. However, the radical chemistry was 70 71 not investigated in this study. Tan et al.(2018b) first revealed the peak net O<sub>3</sub> production rate of 20 - 28 ppbv h<sup>-1</sup> at four urban/suburban sites of Chengdu in autumn 2016. Moreover, they 72 73 analyzed the RO<sub>x</sub> budget using simulated radical concentrations and proved severe O<sub>3</sub> pollution 74 based on comprehensive radical chemistry in southwestern China. However, there is a dearth 75 of nationwide studies on in-situ O<sub>3</sub> photochemistry in urban areas of major Chinese megacities, 76 especially in central and western China, not to mention simultaneous study in multiple cities in 77 China.

In the past decades, China has experienced rapid industrialization and urbanization, which accelerated the consumption of energy, enlarged the emissions of VOCs, and led to more  $O_3$ production (Li M. et al., 2019; Tan et al., 2018b; Xu et al., 2019). A variety of natural and anthropogenic sources, which directly emit hundreds of VOC species into the atmosphere and contribute differently to local  $O_3$  formation, have been extensively studied to examine their impact on air quality in China (Jia et al., 2016; Lyu et al., 2016b; Guo et al., 2017; Wang T. et

al., 2017; Song et al., 2018; Liu Y. et al., 2019). For example, Wang et al. (2010) identified six 84 85 VOC sources in summer Beijing, including vehicle exhaust, liquefied petroleum gas (LPG) 86 usage, gasoline evaporation, biogenic emission, paint and solvents, and chemical industry, 87 among which vehicle exhaust contributed the most to VOCs (57-60%), followed by LPG usage 88 (10-19%) and gasoline evaporation (7-17%). The largest contribution of vehicular emissions 89 was also found in Wuhan (27.8%; Lyu et al., 2016b) and Chengdu (45.0%; Song et al., 2018). 90 Five out of six sources identified in Wuhan and Chengdu, respectively, were the same as those 91 in Beijing (Wang et al., 2010), except for gasoline evaporation replaced by coal burning in 92 Wuhan (Lyu et al., 2016b) and LPG usage replaced by a second industrial source in Chengdu 93 (Song et al., 2018). As reported by Liu Y. et al. (2019), petrochemical industry was the largest 94 contributor to VOCs in Shanghai (35.6%). Given that Lanzhou is a highly industrialized city, 95 Jia et al. (2016) reported that the mixed industrial processes in Lanzhou contributed the most 96 to VOCs (26.8%). The different VOC sources among cities may be partially affected by the 97 different sampling seasons and industrial structures of the cities. However, there is a lack of 98 studies that simultaneously investigate the spatial variations of VOC sources and their 99 contributions to O<sub>3</sub> formation in urban areas of multiple megacities in China, particularly in 100 warm seasons.

101 In this study, intensive measurements of O<sub>3</sub> and its precursors were simultaneously conducted 102 in urban areas in five megacities, including Beijing, Shanghai, Wuhan, Chengdu, and Lanzhou, 103 in summer 2018. Through comprehensive data analysis, the chemical characteristics of O<sub>3</sub> and 104 its precursors were investigated; the sensitivity of O<sub>3</sub> production to precursors and the production and destruction pathways of O3 and radicals were evaluated with the aid of an 105 106 observation-based photochemical box model; and the spatial variations of VOC sources and 107 their contributions to local O<sub>3</sub> formation were quantified in each megacity. These findings are 108 expected to help alleviate O<sub>3</sub> pollution across the country.

109 **2. Methodology** 

110 **2.1 Sampling sites** 

111 In this study, field measurements were undertaken in urban areas in five major city clusters of 112 China in summer 2018, including North China Plain (NCP), Yangtze River Delta (YRD), 113 central China, Sichuan Basin (SCB) and northwestern China (i.e., Beijing, Shanghai, Wuhan, 114 Chengdu and Lanzhou, respectively) (Figure 1). The sampling site in each megacity was 115 chosen based on the following criteria. Firstly, a residential or commercial area was determined 116 to represent the urban environment in each city. Secondly, the sampling site was in an open and high location without tall buildings and/or trees blocking the movement of air mass. 117 118 Thirdly, there were no emission sources (e.g., chimneys, vents, etc.) around the sampling site. 119 Lastly, the locations close to national air monitoring stations were preferable. Table S1 gives 120 the detailed information and descriptions of the environments around the five urban sites in the 121 megacities. Note we defined O<sub>3</sub> episode days as the day when maximum hourly mixing ratio 122 of O<sub>3</sub> exceeded 100 ppbv (Level II of National Ambient Air Quality Standard in China).



123

124 Figure 1. Maps showing the locations of the five megacities in China, *i.e.*, Beijing, Shanghai,

- 125 Wuhan, Chengdu, and Lanzhou.
- 126 **2.2 Sampling and VOC analysis**

127 Continuous measurements of trace gases (*i.e.*,  $O_3$ , CO and NO-NO<sub>2</sub>-NO<sub>x</sub>) and meteorological 128 parameters (*i.e.*, solar radiation, relative humidity, temperature, wind speed and wind direction) 129 were conducted at each site in August 2018. Different instruments for trace gases 130 measurements at each sampling site were applied during this comprehensive sampling campaign (Table S2). The high-resolution observation data were averaged into hourly values.
In addition, the meteorological variables were synchronously monitored by weather stations of
model QS/T 1-2000 at Beijing and Shanghai sites and mini weather stations (model HX-2000)
in Wuhan, Chengdu and Lanzhou, respectively.

135 On selected sampling days, hourly whole-air samples were collected using pre-cleaned and 136 vacuumed 2L canisters every other hour from 08:00 to 19:00 LT at each site, *i.e.*, six hourly 137 VOC samples were obtained every day. In total, 207 VOCs and 209 OVOC samples were obtained in the five cities (Table S1). These VOC samples were analyzed using gas 138 139 chromatography coupled with mass spectrometry, electron capture detection and flame 140 ionization detection (GC-MSD-ECD-FID) in Hong Kong Polytechnic University. In addition, 141 we collected oxygenated VOCs (OVOC) using dinitrophenylhydrazine (DNPH)-silica cartridges (Waters, Milford, MA) for 2 hours at a flow rate of 0.5 L min<sup>-1</sup> every two hours 142 during the daytime hours (08:00 - 18:00 LT). To avoid the influence of O<sub>3</sub> in the air on the 143 144 sampled OVOCs, we connected an O<sub>3</sub> scrubber at the inlet of the DNPH cartridge and replaced 145 it after collecting two samples. During the sampling period, the VOC canisters and DNPH-146 cartridges were shipped via speed logistics immediately after the sample collection. It took 2-147 4 weeks to deliver the samples from different sampling sites to the laboratory in Hong Kong. 148 In this study, the mixing ratios of 44 speciated VOCs and 4 OVOCs (Table S3) were used for 149 numerical simulations with a box model (see Section 2.3.2).

# 150 **2.3 Description and configurations of models**

# 151 **2.3.1 Source apportionment**

To identify VOC sources and quantify their contributions to ambient VOCs, a receptor model, *i.e.*, Positive Matrix Factorization (PMF), is often used (Lee et al., 1999; Brown et al., 2007).
In this study, we used the USEPA PMF 5.0 model (USEPA, 2017) for source apportionment.
Equation 1 below illustrates the basic principle of this model (Paatero, 1997; Ling et al., 2014).

156 
$$x_{ij} = \sum_{k=1}^{p} g_{ik} f_{kj} + e_{ij}$$
 (Equation 1)

where  $x_{ij}$  represents the observed value of *j*th species in *i*th sample,  $g_{ik}$  denotes the fraction of *k*th source in *i*th sample,  $f_{kj}$  is the percentage of *j*th species in *k*th source, and  $e_{ij}$  stands for the residual of *j*th species in *i*th sample. *p* is the total number of sources (Paatero, 2000a, b).

160 The PMF provides solutions with minimum Q values (Equation 2), which converge based on 161 the uncertainties (u) of input data.

162 
$$Q = \sum_{i=1}^{n} \sum_{j=1}^{m} \left[ \frac{x_{ij} - \sum_{k=1}^{p} g_{ik} f_{kj}}{u_{ij}} \right]^2$$
(Equation 2)

163 where  $u_{ij}$  denotes the uncertainty value of *j*th species in *i*th sample; *n* is the total number of 164 samples, and m means the total number of species.

165 According to Polissar et al. (1998) and Reff et al. (2007), the uncertainties of the VOC mixing 166 ratios applied to PMF in this study were set as follows. For VOC values lower than or equal to 167 the limit of detection (LoD), half of the LoDs was used for corresponding species, while 5/6 of 168 the corresponding LoDs were taken as the uncertainties of these VOC values. If the VOC values 169 were higher than LoDs, Equation 3 was applied to calculate the uncertainties, in which the error 170 fraction of 10% was assumed. Due to analytical and computational problems, mass 171 spectrometry based datasets frequently contain missing values. This occurred for one or two 172 species in very few canister samples in this study. To resolve this issue, the geometric mean of 173 observed concentrations of a VOC was used to substitute any missing values of this VOC and 174 the accompanying uncertainty was four times the geometric mean value. More information 175 about the settings of the uncertainty can be found in Norris et al. (2008) and Zhang et al. (2012).

176 Uncertainty = 
$$[(\text{Error Fraction} \times \text{concentration})^2 + (\text{LoD})^2]^{1/2}$$
 (Equation 3)

To obtain optimal solution, different number of factors were selected for testing in the model. For each test, the model was run at least 20 times and the seed was randomly chosen. Several criteria were considered before finally determining the best solution of the model: (1) a good agreement to the measurement data; and (2) the most interpretable source profile based on the information on the VOC sources in the sampling areas (Wang et al., 2010; Jia et al., 2016; Lyu et al., 2016b; Song et al., 2018; Liu X. et al., 2019; Liu Y. et al., 2019).

## 183 **2.3.2 Photochemical box model**

## 184 2.3.2.1 General description

To simulate how VOCs and OVOCs contribute to photochemical O<sub>3</sub> formation, a 185 186 photochemical box model coupled with the near-explicit Master Chemical Mechanism (PBM-187 MCM) was applied in this study. The MCM v3.2 includes about 6,700 species and 17,000 188 reactions, and comprehensively describes the homogeneous gas-phase reactions in the 189 atmosphere (Jenkin et al., 1997, 2003; Saunders et al., 2003). In this study, the hourly 190 measurement data were input into the model, including temperature, relative humidity, trace 191 gases and 48 C<sub>2</sub>-C<sub>10</sub> VOCs/OVOCs. The model was also localized to be suitable for each 192 megacity. For example, the coordinates of each sampling site were taken into consideration 193 when calculating the photolysis rates using Tropospheric Ultraviolet and Visible radiation 194 (TUV) model based on the measured solar radiations (Madronich and Flocke, 1999; Wang Y. 195 et al., 2017). Specifically, the actual location of each site and the modeling time periods were 196 applied to assign an initial solar radiation with the default cloud optical depth (COD), aerosol 197 optical depth (AOD), surface albedo and other parameters. Then, a calculated daily total solar 198 radiation, which had a less than 1% difference from the observed value, could be obtained by 199 adjusting different CODs progressively. Finally, the input configurations with the adjusted 200 CODs were documented, and the corresponding photolysis rates  $O_3$  (*i.e.*, J(O<sup>1</sup>D)) and NO<sub>2</sub> (*i.e.*, 201 J(NO<sub>2</sub>)) were applied into PBM-MCM for photochemistry modeling. The planetary boundary 202 layer with varying height was applied in the model during the daytime, *i.e.*, 300 m in early 203 morning and late afternoon and 1400 m at noon. However, physical processes, e.g., horizonal 204 and vertical transport, were not considered in the model, which could cause insufficient 205 evaluation of the air mass movement. Even so, previous studies demonstrated that the PBM-206 MCM had good performance in probing the in-situ photochemistry (Lam et al., 2013; Lyu et 207 al., 2017a; Wang Y. et al., 2017, 2018; Liu X. et al., 2019, 2020).

## 208 2.3.2.2 Model performance

To assess the performance of the PBM-MCM model, the index of agreement (IOA) with range of 0 to 1, was applied (Equation 4) (Huang et al., 2005; Wang et al., 2015; Lyu et al., 2015,

211 2016b). The larger IOA value is, the better the model performs (Huang et al., 2005).

212 
$$IOA = 1 - \frac{\sum_{i=1}^{n} (o_i - S_i)^2}{\sum_{i=1}^{n} (|o_i - \tilde{0}| + |s_i - \tilde{0}|)^2}$$
(Equation 4)

where  $S_i$  represents simulated values,  $O_i$  denotes observed values,  $\tilde{O}$  is the average value of all observation data, and *n* stands for the number of samples.

## 215 **2.3.2.3 Evaluation of O<sub>3</sub>-precursor relationship**

Relative incremental reactivity (RIR) evaluates how  $O_3$  precursors affect the  $O_3$  formation (Cardelino and Chameides, 1995). RIR values, calculated from the simulated outputs of the PBM-MCM, can reflect the relative change in net  $O_3$  production rate caused by the variation of mixing ratios of precursors. The positive RIR values indicate that the  $O_3$  production would be effectively lessened by reducing the concentrations of the precursors. In this study, the  $O_3$ integrated RIRs were applied given the weighted contributions to  $O_3$  production. Equation 5 and Equation 6 are shown as follows:

223 
$$RIR(X) = \frac{\left[P_{O_3 - NO}^S(X) - P_{O_3 - NO}^S(X - \Delta X)\right] / P_{O_3 - NO}^S(X)}{\frac{\Delta S(X)}{S(X)}}$$
(Equation 5)

224 Integrated 
$$RIR(X) = \frac{\sum_{i=1}^{n} \left( RIR(X)_i \times O_{3_i} \right)}{\sum_{i=1}^{n} O_{3_i}}$$
 (Equation 6)

where  $\frac{\Delta S(X)}{S(X)}$  represents the hypothetical change of concentration of precursor X (*i.e.*, VOC species, CO or NO<sub>x</sub>), assigned as 10% in this study;  $P_{O_3-NO}^S(X)$  denotes the net O<sub>3</sub> production rate with original mixing ratios in the base run, while  $P_{O_3-NO}^S(X - \Delta X)$  is the net O<sub>3</sub> production rate in the constrained run with 10% cutdown of species X but other species are not changed.  $RIR(X)_i$  and  $O_{3i}$  are the RIRs and simulated O<sub>3</sub> level at the *i*<sup>th</sup> hour during a day, respectively. The calculation of net O<sub>3</sub> production rate, *i.e.*,  $P_{O_3-NO}^S$ , is illustrated in Equations 7 - 9 in Section 2.3.2.4.

## 232 2.3.2.4 Production and destruction pathways of O<sub>3</sub> and radicals

The PBM-MCM model was applied to simulate the production and destruction pathways and rates of O<sub>3</sub>. The total production rate of O<sub>3</sub> was the sum of the oxidation rates of NO by both HO<sub>2</sub> and RO<sub>2</sub> (Equation 7), and the total destruction rate of O<sub>3</sub> was obtained by adding up the O<sub>3</sub> photolysis rate, reaction rates of OH with NO<sub>2</sub>, and O<sub>3</sub> with OH, HO<sub>2</sub> and VOCs (Equation 8). By subtracting the total destruction rate from the total production rate, we can get the net O<sub>3</sub> production rate at each sampling site (Equation 9).

239 
$$G_{O_3-NO} = k_{HO_2+NO}[HO_2][NO] + \sum k_{RO_2+NO}[RO_2][NO]$$
 (Equation 7)  
240  $D_{O_3-NO} = k_{HO_2+O_3}[HO_2][O_3] + k_{OH+O_3}[OH][O_3] + k_{O(^1D)+H_2O}[O(^1D)][O_3]$ 

241 + 
$$k_{OH+NO_2}[OH][NO_2] + k_{alkenes+O_3}[alkenes][O_3]$$
 (Equation 8)

242 
$$P_{O_3-NO} = G_{O_3-NO} - D_{O_3-NO}$$
 (Equation 9)

where,  $P_{O_3-NO}$  is the net O<sub>3</sub> production rate,  $G_{O_3-NO}$  is O<sub>3</sub> production rate and  $D_{O_3-NO}$ represents O<sub>3</sub> destruction rate.  $O({}^{d}D)$ , NO and NO<sub>2</sub> in instantaneous steady state are assumed. The *k* values denote the rate constants of each reaction. The concentration and the production and destruction rates of radicals can also be simulated using the PBM-MCM model.

## 247 2.3.3 Simulation scenarios

248 To quantify the contribution of each VOC source to the O<sub>3</sub> production in five megacities, two 249 simulation scenarios of PBM-MCM model at each sampling site were conducted. Specifically, 250 Scenario 1 represented the O<sub>3</sub> photochemistry with whole-air samples, where the model was 251 constrained by all O<sub>3</sub> precursors (excluding O<sub>3</sub>). In Scenario 2, with six sub-scenarios related 252 to six identified VOC sources, the VOCs from each individual source were subtracted from the 253 model input. In this approach, the difference of simulated O<sub>3</sub> between Scenario 1 and each sub-254 Scenario 2 was regarded as the contribution of individual VOC sources to the local O<sub>3</sub> 255 production at each sampling site. Bearing in mind that this approach could only qualitatively 256 but not quantitatively calculate the contributions of VOC sources to O<sub>3</sub> production since 257 photochemical O<sub>3</sub> is non-linearly correlated to its precursors. More detailed explanation is 258 provided in Liu X. et al. (2019).

#### **3. Results and discussion**

### 260 **3.1 Observation overview**

261 During the sampling period, 6 - 8 days were selected to collect VOC samples in each city, 262 among which  $0 - 5 O_3$  episode days were captured. It is noteworthy that the representativeness 263 of the VOC data collected on a few days was considered. Prior to the sampling campaign we 264 reviewed available literature to obtain the general characteristics of O<sub>3</sub> pollution in these five 265 cities and identified August as a typical month to investigate O<sub>3</sub> pollution. Indeed, the levels of 266 air pollutants including VOCs during the short-term period in all cities were comparable to 267 those reported in previous studies as discussed below, revealing that further analysis of O<sub>3</sub> 268 formation mechanisms and radical chemistry in the cities was typical. Figure 2 presents the 269 daytime (08:00-18:00LT) variations of O<sub>3</sub>, CO, NO<sub>x</sub>, VOC groups and meteorological 270 parameters on the VOC sampling days in the five cities in August 2018. Table S4 summarizes 271 the daytime average values of air pollutants and meteorological parameters in the five cities. 272 Here the TVOCs and VOC groups refer to the 44 VOCs and 4 OVOCs listed in Table S3. 273 During the sampling period, 5 and 4 O<sub>3</sub> episode days were captured in Beijing and Lanzhou, 274 respectively, while only 0-2 O<sub>3</sub> episode days were found in the other cities (Figure 2). It was 275 found that Beijing had the highest O<sub>3</sub> mixing ratios (78.6 $\pm$ 7.3 ppbv) (p < 0.05), followed by 276 Lanzhou ( $67.2\pm7.8$  ppbv) and Wuhan ( $63.9\pm6.8$  ppbv), indicating the severe O<sub>3</sub> pollution in 277 northern and central China in summer. The lower  $O_3$  in Chengdu (52.5 $\pm$ 7.5 ppbv) than in 278 Beijing and Lanzhou (p < 0.05) was partly attributed to the stronger NO titration effect (NO<sub>x</sub>: 279 23.8±2.8 ppbv vs. 18.8±1.5 ppbv (Beijing) and 18.2±2.4 ppbv (Lanzhou); p<0.05) and lower 280 TVOCs levels ( $32.7\pm3.7$  ppbv vs.  $44.2\pm4.3$  ppbv (Beijing) and  $45.3\pm5.9$  (Lanzhou); p<0.05), 281 while the higher  $O_3$  in Wuhan than in Chengdu (p < 0.05) was likely caused by higher temperature (p < 0.05) as both NO<sub>x</sub> and TVOCs levels were comparable (p = 0.36) in these two 282 283 cities. During the sampling period, Shanghai had the lowest levels of air pollutants with O<sub>3</sub> 284 mixing ratio of 20.7±1.9 ppbv, which was mainly due to the unstable weather conditions with continuous precipitation caused by a few tropical storms over the East China Sea (CMA, 2019). 285 In addition, moderate wind speeds ( $\leq 2.0 \text{ m s}^{-1}$ ), strong solar radiation ( $359.4 - 385.5 \text{ W m}^{-3}$ ) 286

and high ambient temperature  $(27.5 - 34.7 \,^{\circ}\text{C})$  in all the five cities suggested favorable meteorological conditions for local O<sub>3</sub> production in summer 2018.

289 During the sampling period, the cities in northern China, *i.e.*, Beijing and Lanzhou, had the 290 largest TVOC concentrations (44.2±4.3 ppbv and 45.3±5.9 ppbv, respectively), followed by 291 Chengdu in southwestern China (32.7±3.7 ppbv) and Wuhan in central China (30.2±3.1 ppbv), 292 and Shanghai (19.1±1.9 ppbv) in eastern China had the lowest TVOCs. Among the four VOC 293 groups, alkanes accounted for the highest proportions of TVOCs in Chengdu and Lanzhou 294 (44.6-45.6%), while OVOCs ranked the first in Beijing (55.0%). Alkanes and OVOCs had 295 similar contributions in Shanghai (alkanes: 31.9%; OVOCs: 35.6%; p = 0.11) and Wuhan 296 (alkanes: 36.1%; OVOCs: 35.8%; p = 0.48). Furthermore, alkenes made the second 297 contribution in Beijing (21.7%) and Lanzhou (27.8%), implying different chemical 298 compositions in different cities though all the cities had severe O<sub>3</sub> pollution problem. Moreover, 299 aromatics had the lowest proportions in all the five cities with percentages of 8.2-11.8%, in line 300 with the range of 7-35% reported by previous publications in China (e.g., Liu et al., 2008; Geng 301 et al., 2009; Jia et al., 2016; Zhu et al., 2016).

In comparison, the levels of NO and NO<sub>2</sub> in the five cities were in line with other studies conducted in urban areas in China in summer. The mixing ratios of the measured TVOCs at multiple sites were within the range reported by previous studies at urban sites (*e.g.*, Duan et al., 2008; Cai et al., 2010; Han et al., 2015; Zou et al., 2015; Yang et al., 2018).





Figure 2. Temporal variations of trace gases (*i.e.*, O<sub>3</sub>, CO, NO and NO<sub>2</sub>), VOCs, and meteorological parameters in the five sampling cities, where SR, Temp. and RH refer to solar radiation, temperature and relative humidity, respectively.

310

## 311 **3.2 O3 photochemistry**

# 312 **3.2.1 Validation of model simulations**

313 Prior to investigating the local O<sub>3</sub> photochemistry, the performance of PBM-MCM was evaluated. Figure S1 displays the daytime variations of observed O<sub>3</sub> and simulated mixing 314 315 ratios on the VOC sampling days in summer 2018 in the five cities. The simulated O<sub>3</sub> agreed 316 well with the observed O<sub>3</sub> variations with peaks at noon and lower values in the morning and 317 evening. The IOA values in Beijing, Wuhan, Chengdu, and Lanzhou were between 0.74-0.88 (Table S5), within the ranges of 0.66-0.89 reported in previous studies (Lyu et al., 2015, 2016a, 318 319 2016b; Wang et al., 2015; Wang Y. et al., 2018; Liu X. et al., 2019). However, the IOA in 320 Shanghai was a bit lower (0.61), probably because PBM-MCM usually performs better on high 321 O<sub>3</sub> days with intense in-situ photochemical reactions (*e.g.*, Lam et al., 2013; Lyu et al., 2017a; 322 Wang H. et al., 2018; Liu et al., 2020). The discrepancies between the observations and 323 simulation results at all sites might be attributable to the physical processes, e.g., horizontal

324 processes (including horizontal dispersion and horizontal advection) and vertical processes 325 (including vertical dispersion, vertical advection and vertical mixing), which were not well 326 covered in PBM-MCM (Wang Y. et al., 2017; Liu X. et al., 2019; Lyu et al., 2019). Note that 327 PBM-MCM simulations were only constrained by O<sub>3</sub> precursors when simulating O<sub>3</sub> to 328 evaluate the model performance (Section 3.2.1). However, PBM-MCM was constrained by the 329 measurement data of both O<sub>3</sub> and its precursors when deciphering the photochemical oxidative reactions in this study, in which both regional transported and locally produced O3 were taken 330 331 into consideration by the model (Section 3.2.2 -Section 3.2.3).

# 332 **3.2.2 In-situ net O3 production**

333 Figure 3 displays the average diurnal profiles of the simulated O<sub>3</sub> production and destruction 334 rates in each city. The daytime average reaction rates and the contribution of each pathway are 335 further illustrated in Table S6. Among the five cities, Lanzhou had the highest net O3 production rate (8.9 $\pm$ 1.7 ppbv h<sup>-1</sup>) while Shanghai had the lowest value (2.8 $\pm$ 0.7 ppbv h<sup>-1</sup>) (p 336 337 < 0.05). The net O<sub>3</sub> production rate in Beijing (6.4 $\pm$ 1.3 ppbv h<sup>-1</sup>), Wuhan (5.8 $\pm$ 1.2 ppbv h<sup>-1</sup>), and Chengdu (4.2±0.9 ppbv h<sup>-1</sup>) was at a moderate level (p = 0.08 and p = 0.11 related to 338 Beijing and Wuhan, respectively). HO<sub>2</sub>+NO (51.9 - 65.2%) and RO<sub>2</sub>+NO (34.6 - 47.3%) were 339 340 the dominant pathways of O<sub>3</sub> production, in accordance with previous studies in China (Wang 341 H. et al., 2018; Wang Y. et al., 2018; Lyu et al., 2016b, 2019). The higher contributions of  $RO_2$ +NO in Beijing (41.5±8.3%) and Lanzhou (47.3±8.5%) than other cities (34.6 - 39.9%) 342 might be attributable to the higher RO<sub>2</sub> concentrations enhanced by the higher local VOCs. In 343 344 contrast, the prominent destruction pathway of O<sub>3</sub> was OH+NO<sub>2</sub> (45.0 - 72.4%) in all the five cities. However, the second important destruction pathway of O<sub>3</sub> was different in the cities. It 345 346 was the O<sub>3</sub> reaction with alkenes in Beijing (16.1%) and Lanzhou (29.5%), but photolysis of O<sub>3</sub> in Chengdu (11.8%), Shanghai (18.6%) and Wuhan (19.2%). Overall, the simulated net O<sub>3</sub> 347 348 production rates among the five cities were consistent with those in previous studies conducted 349 in warm seasons in China. For example, Han et al. (2020) found that the average daytime net O<sub>3</sub> production rate was 11 ppbv h<sup>-1</sup> during an O<sub>3</sub> episode event in the NCP region in August 350 351 2018. Zeng et al. (2019) investigated the net O<sub>3</sub> production rates at three sites in Wuhan during

the summer of 2016 and indicated that the average daily peak net  $O_3$  production rate was 4 ppbv h<sup>-1</sup> at a roadside site and 10 ppbv h<sup>-1</sup> at an urban site. Recently, Zhu J.X. et al. (2020) revealed that the net  $O_3$  production rate in an urban area increased from 2.1 ppbv h<sup>-1</sup> on non-O<sub>3</sub> episode days to 5.6 ppbv h<sup>-1</sup> on high-O<sub>3</sub> episodes in August 2018 in Wuhan.



356

357



360 **3.2.3 Cycling of OH radical** 

361 Hydroxyl radical (OH) initiates the oxidation of VOCs, promoting atmospheric O<sub>3</sub> formation. Figure 4 illustrates each formation and loss pathway of OH radical in the five cities. Generally, 362 363 there was a balance between formation and loss rates of OH in all cities. The simulated OH concentration was  $(3.3\pm0.7) \times 10^6$  molecules cm<sup>-3</sup> in Wuhan,  $(2.4\pm0.4) \times 10^6$  molecules cm<sup>-3</sup> in 364 Beijing,  $(2.3\pm0.6) \times 10^6$  molecules cm<sup>-3</sup> in Shanghai,  $(2.0\pm0.3) \times 10^6$  molecules cm<sup>-3</sup> in Lanzhou, 365 and  $(1.5\pm0.3) \times 10^6$  molecules cm<sup>-3</sup> in Chengdu (Table S7). The levels of the simulated OH 366 367 radicals in the five cities were in line with previous studies in urban areas of China. For example, the measured peak OH concentration was  $(1-8) \times 10^6$  molecules cm<sup>-3</sup> in Beijing (Ma. et al., 368

2019; Slater et al., 2020), and the daytime average OH concentration was  $3.1 \times 10^6$  molecules 369 cm<sup>-3</sup> in urban Lanzhou (Wang et al., 2020). In addition, the simulated maximum OH 370 concentration was  $(3.2 - 6.4) \times 10^6$  molecules cm<sup>-3</sup> in Wuhan (Zhu J.X. et al., 2020) and (4 -371 8)  $\times$  10<sup>6</sup> molecules cm<sup>-3</sup> in Chengdu (Tan et al., 2018b). Among the formation pathways of OH 372 in all the five cities, HO<sub>2</sub>+NO was dominant (83.0 - 85.9%), followed by photolysis of HONO, 373 photolysis of O<sub>3</sub>, O<sub>3</sub>+VOCs and photolysis of H<sub>2</sub>O<sub>2</sub>. In comparison, OH was mainly consumed 374 through OH+VOCs (39.8 - 64.5%), followed by OH+CO (13.1 - 35.7%) and OH+NO<sub>2</sub> (11.3 -375 18.9%) in the five cities. 376  $RO_x$  radicals (= hydroxyl radical (OH) + hydroperoxyl radical (HO<sub>2</sub>) + organic peroxyl radical 377 (RO<sub>2</sub>)) play key roles in O<sub>3</sub> photochemistry, and their concentrations in the atmosphere reflect 378 379 the atmospheric oxidative capacity (AOC). Table S7 displays the concentration of  $RO_x$  in the five cities during the sampling period. It was found that  $RO_x$  had comparable concentrations 380 (p>0.1) in Lanzhou ((5.9±1.1) ×10<sup>8</sup> molecules cm<sup>-3</sup>), Beijing ((5.3±1.1) ×10<sup>8</sup> molecules cm<sup>-3</sup>) 381 and Wuhan ((4.3±1.0) ×10<sup>8</sup> molecules cm<sup>-3</sup>), while it was much lower (p < 0.05) in Shanghai 382  $((2.7\pm0.9)\times10^8 \text{ molecules cm}^{-3})$  and Chengdu  $((2.0\pm0.5)\times10^8 \text{ molecules cm}^{-3})$ , indicating that 383

- 384 the AOC was stronger and O<sub>3</sub> pollution was more serious in northern and central China in
- 385 summer.



388 Figure 4. Average diurnal profiles of the simulated OH formation and loss rates in the five 389 cities.

390

#### 391 3.3 VOC source identification and source contributions to O<sub>3</sub> formation

#### 392 **3.3.1 Source apportionment**

393 In the five cities,  $NO_x$  and 21 VOC species including 10 alkanes, 5 alkenes/alkynes and 6 394 aromatics in 199 out of the 207 VOC samples were processed by PMF model for source 395 identification and quantification. Note: 8 samples were not used as there were no corresponding 396 NO<sub>x</sub> values for these samples. The selection of the 22 species was based on the criteria: 1) their 397 abundances and 2) tracers of  $NO_x$  and VOC sources. To reduce the uncertainty of the source 398 apportionment results, compared to separate source apportionments for each city, the samples 399 collected in all the five cities as a whole were used for model input (Text S1). Figure 5 shows 400 the six sources resolved based on the distributions of the NO<sub>x</sub> and VOC tracers. The first source, 401 having moderate to high levels of propane and *i*-/*n*-butanes, represented LPG usage (Jorquera, 402 et al., 2004; Guo et al., 2013; Wu F. et al., 2016; Wu R. et al., 2016; Lyu et al., 2017b, 2019; 403 Song et al., 2017). The considerable loadings of *i-n*-pentanes and *n*-hexane and moderate 404 amount of C<sub>2</sub> hydrocarbons suggested the second source as gasoline exhaust (Liu et al., 2008a; 405 Ho et al., 2009; Ling and Guo, 2014; Lyu et al., 2019). The third source containing moderate

levels of C<sub>6</sub>-C<sub>9</sub> hydrocarbons, benzene and high percentages of NO<sub>x</sub> and C<sub>2</sub>-C<sub>3</sub> hydrocarbons especially alkenes, which was defined as diesel exhaust (Liu et al., 2008a, c; Sahoo et al., 2011; Lyu et al., 2019). Considering the great amount of 1,3-butadiene and styrene, and moderate loadings of NO<sub>x</sub> and aromatics, the fourth source was assigned to industrial emissions (Liu et al., 2008a, c; Knighton et al., 2012; Jones, 2014). The fifth source was more related to solvent usage due to the high levels of C<sub>7</sub>-C<sub>9</sub> aromatics (Yuan et al., 2010; Ling and Guo, 2014; Shao et al., 2016). Lastly, the source of biogenic emission was identified because of the dominance

413 of isoprene in factor 6 (Guenther, 2006).



414



416

# 417 **3.3.2** Source contribution to VOCs and O<sub>3</sub> production

Table 1 presents the contribution of each source to ambient VOC mixing ratios and to  $O_3$ production in the five cities. Note that the uncertainty of each value was at a considerable level (*i.e.*, at an average of 31.0% of their mean values), which might be due to the limited number of VOC samples collected in each city. Among the six sources, vehicular exhausts, including gasoline exhaust and diesel exhaust, contributed the most to VOC mixing ratios in all the five 423 cities (39.1-53.9%). Diesel exhaust ranked first (26.5-34.0%) in the other four cities except 424 Lanzhou, where both gasoline exhaust  $(23.9\pm7.0\%)$  and industrial emissions  $(23.1\pm7.8\%)$ 425 contributed the most to VOCs. Elevated contribution of vehicle exhausts to ambient VOCs (27-426 62%) has been widely reported in NCP (Yuan et al., 2009; Han et al., 2015; Wang et al., 2016; 427 Song et al., 2019), YRD (Wang et al., 2013; An et al., 2017; Liu Y. et al., 2019) and Sichuan 428 Basin (SCB) regions (Zhang et al., 2014; Li et al., 2018). Apart from traffic related sources, 429 solvent usage was the second VOC source in Shanghai, Chengdu and Beijing (15.4-22.5%), 430 and LPG usage had a considerable contribution in Wuhan (19.6±8.0%), which were all 431 consistent with the previous studies, in which solvent usage contributed 19-32% in Shanghai 432 (Cai et al., 2010; Wang et al., 2013; Liu Y. et al., 2019) and 13-23.7% in SCB region (Zhang 433 et al., 2014; Li et al., 2018), and LPG usage accounted for 19.8% in Wuhan (Lyu et al., 2016). 434 In comparison, Lanzhou had the largest industrial emissions  $(23.1\pm7.8\%)$  among the five cities, 435 consistent with the contribution of 23.2% reported by Jia et al. (2016). Compared to 436 anthropogenic sources, the contribution of biogenic emissions (4.6-7.2%) was the smallest, 437 consistent with the findings of previous studies (e.g., Wang et al., 2013; Li et al., 2016; Song 438 et al., 2018; Zeng et al., 2018).

439 Regarding the contribution of the six sources to O<sub>3</sub> production in each city, the biogenic 440 emission in the cities except Chengdu was more obvious than other sources, likely due to the 441 high loadings of NO<sub>x</sub>, which led to negative contributions of vehicular exhausts and industrial 442 sources to O<sub>3</sub> production in the cities except Lanzhou, where the O<sub>3</sub> production seemed to be 443 controlled by NO<sub>x</sub>. In addition, lower photochemical reactivity of VOC species in LPG usage 444 and solvent usage than that in biogenic emission was the reason of less contribution to O<sub>3</sub> 445 production. Furthermore, among the anthropogenic sources, gasoline exhaust in Beijing, LPG 446 usage in Wuhan, solvent usage in Chengdu, and both LPG usage and industrial emissions in 447 Lanzhou were the top contributors to local O<sub>3</sub> production.

448 Table 1. Source contributions to VOC mixing ratios and O<sub>3</sub> production in the five cities.

Sources	LPG usage	Gasoline	Diesel exhaust	Industrial	Solvent	BVOC
		exhaust		emissions	usage	
Contributio	on to VOCs mixir	ig ratio (%)				

Beijing	13.5±5.2	19.9±5.0	34.0±11.0	10.0±4.0	15.4±5.1	7.2±1.1			
Shanghai	13.4±2.6	18.1±4.0	27.0±5.6	12.7±2.9	22.5±3.5	$6.4{\pm}0.7$			
Wuhan	19.6±8.0	21.0±8.3	24.6±12.1	15.2±5.7	12.9±3.6	$6.6{\pm}0.8$			
Chengdu	17.2±3.8	12.6±6.4	26.5±7.4	18.2±10.9	20.9±4.9	4.6±2.0			
Lanzhou	15.7±8.5	23.9±7.0	19.1±3.9	23.1±7.8	11.9±5.5	6.4±1.0			
Contribution to O <sub>3</sub> production (%)									
Beijing	0.1±0.2	3.2±0.5	-5.2±2.7	-2.8±0.9	0.5±0.4	5.4±0.6			
Shanghai	$0.2{\pm}0.4$	$0.7{\pm}0.7$	-11.2±3.2	-6.2±1.9	$0.3{\pm}0.8$	2.4±0.6			
Wuhan	3.6±0.5	-0.1±0.3	-11.6±2.6	-4.5±0.9	0.1±0.3	$5.7{\pm}0.8$			
Chengdu	0.6±0.2	-2.6±0.5	-14.9±2.6	-2.5±0.8	7.7±1.3	1.6±0.3			
Lanzhou	3.1±0.3	2.3±0.5	2.3±2.8	2.9±1.0	1.9±0.4	5.1±0.5			

449 **3.4 Potential control measures for O<sub>3</sub> pollution** 

## 450 **3.4.1 O<sub>3</sub>-precursor relationship**

451 Figure 6 illustrates the average O<sub>3</sub>-integrated RIRs of O<sub>3</sub> precursors during the sampling period in the five cities. The local O<sub>3</sub> formation in Shanghai, Wuhan and Chengdu was limited by 452 453 VOCs (in particular anthropogenic VOCs (AVOCs)), whereas the negative RIRs of  $NO_x$ 454 indicated that cutting  $NO_x$  would cause the increase of  $O_3$ . In Lanzhou, the local  $O_3$  formation 455 was co-limited by VOCs and NO<sub>x</sub>, but more sensitive to the variations of VOCs. In Beijing, 456 the NO<sub>x</sub> reduction led to either increase or decrease of O<sub>3</sub> formation on different sampling days 457 given the RIR values ranged from positive to negative values, implying the complexity of local 458 O<sub>3</sub> formation in these five cities. Among different AVOC groups, O<sub>3</sub> formation was more 459 sensitive to OVOCs in Beijing and Wuhan, accounting for 46.7% and 35.6% of the total RIRs 460 of AVOCs, respectively, while aromatics and OVOCs made comparable contributions in 461 Shanghai (aromatics: 28.4%; OVOCs: 29.5%) and Chengdu (aromatics: 33.2%; OVOCs: 462 30.9%). In Lanzhou, alkenes contributed the most to the total RIR value of AVOCs (43.1%), 463 followed by aromatics (29.6%). In comparison, cities in south part of China, *i.e.*, Chengdu, 464 Shanghai and Wuhan, had relatively larger RIR values of biogenic VOCs (BVOCs), indicating 465 the higher vegetation emissions of BVOCs in summer in the lower mid-latitude areas of China. 466 Furthermore, we investigated the average RIR values during high O<sub>3</sub> period, *i.e.*, when hourly 467 O<sub>3</sub> mixing ratio reached maximum on each sampling day, in the five cities (Figure S2). It was 468 found that O<sub>3</sub> formation in Beijing and Wuhan tended to be co-limited by both VOCs and NO<sub>x</sub>, 469 while it was more sensitive to  $NO_x$  in Lanzhou, indicating cutting  $NO_x$  might be more effective

470 for O<sub>3</sub> alleviation during high O<sub>3</sub> period in these cities.



## 471

472 Figure 6. Average O<sub>3</sub>-integrated RIRs of precursors, *i.e.*, AVOCs, BVOCs, CO and NO<sub>x</sub> on 473 VOC sampling days in the five cities. AVOCs are further categorized into alkanes, alkenes 474 (excluding BVOCs), aromatics and OVOCs, which refer to the 18 C<sub>2</sub>-C<sub>10</sub> alkanes, 13 C<sub>2</sub>-C<sub>7</sub> 475 alkenes and alkynes, 10 C<sub>6</sub>-C<sub>9</sub> aromatics and 4 C<sub>1</sub>-C<sub>3</sub> OVOCs, respectively. BVOCs include 476 isoprene and  $\alpha$ -/ $\beta$ -pinenes. Error bars denote the 95% confidence level (C.I.) of each value 477 hereinafter.

478

479 Since O<sub>3</sub> formation was more sensitive to VOCs in all cities, the relative impact of individual 480 VOC species on O<sub>3</sub> formation was further examined. Figure 7 presents the average RIR values 481 of top 13 VOCs in each city, including 12 AVOCs and isoprene. The total RIR values of the 13 VOCs held 75.7 - 96.8% of the overall RIR values in the five cities, highlighting the 482 483 dominant role of a small number of VOC species in local O<sub>3</sub> formation. It was found that among 484 the AVOC species, formaldehyde, acetaldehyde and xylenes had high RIR values in all the five cities, except for acetaldehyde in Lanzhou. In addition, propene had the third largest RIR values 485 486 among all VOC species in Beijing and Lanzhou. Moreover, trimethylbenzenes and 1-butene 487 were also the key VOC species contributing to O<sub>3</sub> formation in Shanghai.



## 488

489 Figure 7. Average RIR values of top 13 VOC species in the five cities.

490 **3.4.2 O<sub>3</sub> control measures** 

491 The isopleths of net O<sub>3</sub> production rate in the five cities, based upon the percentages of OH 492 reactivity values with VOCs (OH reactivity<sub>VOCs</sub>) and NO<sub>x</sub> (OH reactivity<sub>NOx</sub>), are plotted in 493 Figure 8. In the five cities, the average OH reactivity<sub>VOCs</sub> on each sampling day were within 494 the range of 48-193% of the average OH reactivity<sub>VOCs</sub> of all VOC sampling days. For OH 495 reactivity<sub>NOx</sub>, the range was 37-173%. Based on a series of hypothetical scenarios with different 496 OH reactivity values of VOCs and  $NO_x$ , we simulated the net  $O_3$  production rates in each city 497 using the PBM-MCM model. To include the OH reactivity<sub>VOCs</sub> and OH reactivity<sub>NOx</sub> values on 498 all sampling days, factors between 0 and 200% with the interval of 10% were implemented to 499 the average diurnal profiles of both VOCs and  $NO_x$  in each city. In each scenario, the 500 concentrations of VOCs and  $NO_x$  were constrained to these scaled factors. Other air pollutants 501 and meteorological conditions were consistent with the base case, which had 100% input of 502 both VOCs and NO<sub>x</sub>. It was found that the simulated net O<sub>3</sub> production rate reached the 503 maximum at noon (12:00 LT). Therefore, Figure 8 presents the isopleth of net O<sub>3</sub> production 504 rate at noon in the five cities.

505 Text S2 introduces the method to define the  $O_3$  formation regimes in each city. According to 506 the simulations,  $O_3$  formation (*i.e.*, base case shown in Figure 8) during the sampling period 507 was mainly VOCs-limited in Chengdu and Shanghai, co-limited by both VOCs and NO<sub>x</sub> in 508 Beijing and Wuhan, but NO<sub>x</sub>-limited in Lanzhou. It is consistent with the results on high O<sub>3</sub> 509 hours in section 3.4.1. In Chengdu and Shanghai, the results suggested controlling VOCs be 510 effective to mitigate  $O_3$  formation, whereas reducing  $NO_x$  too fast would result in more local 511  $O_3$  production. However, cutting either VOCs or  $NO_x$  would effectively lower  $O_3$  production 512 in Beijing and Wuhan, while reducing  $NO_x$  would be more effective than cutting VOCs in 513 Lanzhou. Further, it was found that the local O<sub>3</sub> formation on O<sub>3</sub> episode days (*i.e.*, blue blocks in Figure 8) were in either transitional or NO<sub>x</sub>-limited regime in Beijing, Wuhan, and Lanzhou, 514 515 and in VOC-limited regime in Chengdu, basically in line with the average profile in each city. 516 However, the net O<sub>3</sub> production rates on non-O<sub>3</sub> episode days were not consistent in each city, 517 which deserves more attention when making local control policies.

## 518 (a) Limited by VOCs:



#### 520

519

(b) Co-limited by both VOCs and NO<sub>x</sub>:



23

200



Figure 8. Average isopleths of the net O<sub>3</sub> production rate (ppbv h<sup>-1</sup>) at noon (12:00 LT) based 525 on the variations of OH reactivity of VOCs<sup>\*</sup> and NO<sub>x</sub><sup>\*\*</sup> on all VOC sampling days in the five 526 cities. The purple cross represents the OH reactivity of VOCs and  $NO_x$  at noon with the 527 528 maximum net O<sub>3</sub> production rate at a certain OH reactivity<sub>VOCs</sub>. The black cross with circle in 529 yellow refers to the base case with 100% of both OH reactivity<sub>VOCs</sub> and OH reactivity<sub>NOx</sub> at 530 noon. The blue blocks and red triangles stand for the OH reactivity of VOCs and NO<sub>x</sub> values at noon on O<sub>3</sub> episode days and non-O<sub>3</sub> episode days, respectively. 531

532 \*OH reactivity of VOCs= $\sum (k_i * [VOC]_i)$ , where  $k_i$  represents the constant reaction rate of OH

with individual VOC species,  $[VOC]_i$  means the concentration of individual VOC species. 533

534 \*\*OH reactivity of NO<sub>x</sub>= $\sum (k_{(OH+NO)} * [NO] + k_{(OH+NO2)} * [NO_2])$ , where  $k_{(OH+NO)}$  and  $k_{(OH+NO2)}$ 535 are the rate constants of OH with NO and NO<sub>2</sub>, respectively; [NO] and [NO<sub>2</sub>] represent the 536 concentrations of NO and NO<sub>2</sub>, respectively.

537

#### 538 4. Conclusions

539 An intensive sampling campaign was concurrently carried out in five Chinese megacities in 540 summer 2018. O<sub>3</sub> pollution in northern and central China, *i.e.*, Beijing, Lanzhou, and Wuhan, 541 was found to be more severe. Further, higher VOC concentrations were found in northern China, *i.e.*, Beijing and Lanzhou. Among these five cities, alkanes and/or OVOCs were the 542 543 main contributors of TVOCs.

- 544 Aromatics and OVOCs were the largest contributors and made comparable contributions to O<sub>3</sub>
- 545 formation in Chengdu and Shanghai, dominated by xylenes, formaldehyde, and acetaldehyde,
- 546 while OVOCs contributed the most in Beijing and Wuhan. Alkenes, *i.e.*, isoprene and propene,
- 547 caused more O<sub>3</sub> formation in Lanzhou. The simulated net O<sub>3</sub> production rate in Lanzhou was
- the largest, followed by Beijing, Wuhan and Chengdu, while it was the lowest in Shanghai. In 548

addition, the simulated  $RO_x$  concentrations were comparable in Lanzhou, Beijing and Wuhan, which were significantly higher than those in Shanghai and Chengdu, implying stronger atmospheric oxidative capacity and more severe O<sub>3</sub> pollution in northern and central China in summer.

553 Six sources of  $O_3$  precursors were identified in the five cities, among which vehicular emissions 554 contributed the most to ambient VOCs. Gasoline exhaust in Beijing, LPG usage in Wuhan, 555 solvent usage in Chengdu, and both LPG usage and industrial emissions in Lanzhou were the 556 major sources for local  $O_3$  mitigation.

 $O_3$  formation in Shanghai and Chengdu was typically limited by VOCs. However, it was limited by VOCs during low  $O_3$  period, but co-limited by both VOCs and  $NO_x$  during high  $O_3$ period in Beijing and Wuhan. In Lanzhou,  $O_3$  formation was mainly controlled by  $NO_x$  when  $O_3$  was intensively produced. Specifically, reducing either VOCs or  $NO_x$  would effectively mitigate  $O_3$  formation in Beijing and Wuhan, while cutting  $NO_x$  would be more effective than cutting VOCs in Lanzhou. The findings are valuable for the cities to formulate and implement appropriate control measures on  $O_3$  precursors.

### 564 Acknowledgements

565 This study was supported by the Research Grants Council of the Hong Kong Special 566 Administrative Region via Theme-Based Research Scheme (T24-504/17-N) and General 567 Research Fund (PolyU15212421), the National Key R&D Program of China via grant No. 568 2017YFC0212001, and the Strategic Focus Area scheme of The Research Institute for 569 Sustainable Urban Development at The Hong Kong Polytechnic University (1-BBW9).

## 570 References

571 An, J., Wang, J., Zhang, Y. and Zhu, B., 2017. Source apportionment of volatile organic 572 compounds in an urban environment at the Yangtze River Delta, China. Archives of 573 Environmental Contamination and Toxicology, 72(3), 335-348.

- Ashmore, M.R., 2005. Assessing the future global impacts of ozone on vegetation. Plant Cell
  and Environment, 28 (8), 949–964.
- 576 Bell, M.L., McDermott, A., Zeger, S.L., Samet, J.M. and Dominici, F., 2004. Ozone and short-
- term mortality in 95 US urban communities, 1987-2000. Journal of the American MedicalAssociation, 292(19), 2372-2378.

- 579 Brown, S. G., Frankel, A., and Hafner, H. R., 2007. Source apportionment of VOCs in the Los 580 Angeles area using positive matrix factorization, Atmospheric Environment, 41, 227-237.
- 581 Cai, C., Geng, F., Tie, X., Yu, Q. and An, J., 2010. Characteristics and source apportionment
- 582 of VOCs measured in Shanghai, China. Atmospheric Environment, 44(38), 5005-5014.
- 583 Cardelino, C.A. and Chameides, W.L., 1995. An observation-based model for analyzing ozone
- 584 precursor relationships in the urban atmosphere. Journal of the Air and Waste Management

585 Association, 45(3), 161-180.

- 586 Carter, W.P., 1994. Development of ozone reactivity scales for volatile organic compounds.
  587 Air Waste, 44 (7), 881-899.
- 588 China Council for International Cooperation on Environment and Development (CCICED), 589 2019. Targets and Paths for environmental quality improvement 2035. bv http://www.cciced.net/zcyj/yjbg/zcyjbg/2019/201908/P020190830109949890545.pdf 590 (last 591 access: 28 April 2021).
- 592 Chinese Meteorological Administration (CMA), 2019. China Climate Bulletin of 2018.
- 593 http://zwgk.cma.gov.cn/zfxxgk/gknr/qxbg/201903/t20190319\_1709281.html (last access: 28
   594 April 2021).
- Duan, J., Tan, J., Yang, L., Wu, S. and Hao, J., 2008. Concentration, sources and ozone
  formation potential of volatile organic compounds (VOCs) during ozone episode in Beijing.
  Atmospheric Research, 88(1), 25-35.
- Geng, F.H., Zhang, Q., Tie, X.X., Huang, M.Y., Ma, X.C., Deng, Z.Z., Yu, Q., Quan, J.N.,
  Zhao, C.S., 2009. Aircraft measurements of O<sub>3</sub>, NO<sub>x</sub>, CO, VOCs, and SO<sub>2</sub> in the Yangtze River
  Delta region. Atmospheric Environment, 43, 584–593.
- 601 Guenther, A., Karl, T., Harley, P., Wiedinmyer, C., Palmer, P.I. and Geron, C., 2006. Estimates
- 602 of global terrestrial isoprene emissions using MEGAN (model of emissions of gases and 603 aerosols from nature). Atmospheric Chemistry and Physics, 6, 11, 3181-3210.
- Guo, H., Ling, Z. H., Cheung, K., Wang, D. W., Simpson, I. J., and Blake, D. R., 2013. Acetone
  in the atmosphere of Hong Kong: Abundance, sources and photochemical precursors,
  Atmospheric Environment, 65, 80-88.
- 607 Guo, H., Ling, Z.H., Cheng, H.R., Simpson, I.J., Lyu, X.P., Wang, X.M., Shao, M., Lu, H.X.,
- 608 Ayoko, G., Zhang, Y.L. and Saunders, S.M., 2017. Tropospheric volatile organic compounds
- in China. Science of the Total Environment, 574, 1021-1043.
- 610 Han, M., Lu, X., Zhao, C., Ran, L. and Han, S., 2015. Characterization and source
- apportionment of volatile organic compounds in urban and suburban Tianjin, China. Advances
  in Atmospheric Sciences, 32(3), 439-444.
- Han, S., Yao, Q., Tie, X., Zhang, Y., Zhang, M., Li, P. and Cai, Z. 2020. Analysis of surface
- and vertical measurements of  $O_3$  and its chemical production in the NCP region, China.
- 615 Atmospheric Environment, 241, 117759.

- 616 Ho, K.F., Lee, S.C., Ho, W.K., Blake, D.R., Cheng, Y., Li, Y.S., Ho, S.S.H., Kung, K., Louise,
- 617 P.K.K. and Park, D., 2009. Vehicular emission of volatile organic compounds (VOCs) from a
- 618 tunnel study in Hong Kong. Atmospheric Chemistry and Physics, 9 (19), 7491-7504.
- 619 Huang, J.P., Fung, J.C., Lau, A.K. and Qin, Y., 2005. Numerical simulation and process
- 620 analysis of typhoon-related ozone episodes in Hong Kong. Journal of Geophysical Research:
- 621 Atmospheres, 110(D05301).
- 622 Hui, L., Liu, X., Tan, Q., Feng, M., An, J., Qu, Y., Zhang, Y. and Jiang, M., 2018.
- 623 Characteristics, source apportionment and contribution of VOCs to ozone formation in Wuhan,
- 624 Central China. Atmospheric Environment, 192, 55-71.
- Jenkin, M. E., Saunders, S. M., and Pilling, M. J., 1997 The tropospheric degradation of volatile
  organic compounds: a protocol for mechanism development. Atmospheric Environment, 31,
  81-104.
- 628 Jenkin, M. E., Saunders, S. M., Wagner, V., and Pilling, M. J., 2003. Protocol for the
- 629 development of the Master Chemical Mechanism, MCM v3 (Part B): tropospheric degradation
- 630 of aromatic volatile organic compounds. Atmospheric Chemistry and Physics, 3, 181-193.
- Jia, C., Mao, X., Huang, T., Liang, X., Wang, Y., Shen, Y., Jiang, W., Wang, H., Bai, Z., Ma,
- 632 M., Yu, Z., Ma, J. and Gao, H., 2016. Non-methane hydrocarbons (NMHCs) and their
- 633 contribution to ozone formation potential in a petrochemical industrialized city, Northwest
- 634 China. Atmospheric Research, 169, 225-236.
- Jones, M.D., 2014. Catalytic transformation of ethanol into 1, 3-butadiene. Chemistry CentralJournal, 8(1), 1-5.
- Jorquera, H. and Rappenglück, B., 2004. Receptor modeling of ambient VOC at Santiago,
  Chile. Atmospheric Environment, 38(25), 4243-4263.
- 639 Knighton, W.B., Herndon, S.C., Wood, E.C., Fortner, E.C., Onasch, T.B., Wormhoudt, J., Kolb,
- 640 C.E., Lee, B.H., Zavala, M., Molina, L. and Jones, M., 2012. Detecting fugitive emissions of
- 641 1, 3-butadiene and styrene from a petrochemical facility: An application of a mobile laboratory
- and a modified proton transfer reaction mass spectrometer. Industrial and EngineeringChemistry Research, 51(39), 12706-12711.
- Lam, S.H.M., Saunders, S.M., Guo, H., Ling, Z.H., Jiang, F., Wang, X.M. and Wang, T.J.,
- 645 2013. Modelling VOC source impacts on high ozone episode days observed at a mountain
  646 summit in Hong Kong under the influence of mountain-valley breezes. Atmospheric
  647 Environment, 81, 166-176.
- Lee, E., Chan, C. K., and Paatero, P., 1999. Application of positive matrix factorization in
  source apportionment of particulate pollutants in Hong Kong. Atmospheric Environment, 33,
  3201-3212.

- Li, J., Wu, R., Li, Y., Hao, Y., Xie, S. and Zeng, L., 2016. Effects of rigorous emission controls
- on reducing ambient volatile organic compounds in Beijing, China. Science of the Total
- 653 Environment, 557, 531-541.

Li, J., Zhai, C., Yu, J., Liu, R., Li, Y., Zeng, L. and Xie, S., 2018. Spatiotemporal variations of

- ambient volatile organic compounds and their sources in Chongqing, a mountainous megacityin China. Science of the Total Environment, 627, 1442-1452.
- Li, K., Jacob, D. J., Liao, H., Shen, L., Zhang, Q. and Bates, K. H., 2019. Anthropogenic drivers
  of 2013-2017 trends in summer surface ozone in China. Proceedings of the National Academy
  of Sciences, 116(2), 422-427.
- 660 Li, M., Zhang, Q., Zheng, B., Tong, D., Yu, L., Liu, F., Hong, C., Kang, S., Liu, Y., Zhang, Y.
- and Yu, B., 2019. Persistent growth of anthropogenic non-methane volatile organic compound
   (NMVOC) emissions in China during 1990–2017: Drivers, speciation and ozone formation
- 663 potential. Atmospheric Chemistry and Physics, 19(13), 8897-8913.
- Ling, Z.H. and Guo, H., 2014. Contribution of VOC sources to photochemical ozone formation
  and its control policy implication in Hong Kong. Environmental Science and Policy, 38, 180191.
- Ling, Z.H., Guo, H., Lam, S.H.M., Saunders, S.M. and Wang, T., 2014. Atmospheric
  photochemical reactivity and ozone production at two sites in Hong Kong: Application of a
  master chemical mechanism–photochemical box model. Journal of Geophysical Research:
  Atmospheres, 119(17), 10567-10582.
- 671 Liu, X., Lyu, X., Wang, Y., Jiang, F. and Guo, H., 2019. Intercomparison of O<sub>3</sub> formation and
- 672 radical chemistry in the past decade at a suburban site in Hong Kong. Atmospheric Chemistry
- 673 and Physics, 19(7), 5127-5145.
- Liu, X., Wang, N., Lyu, X., Zeren, Y., Jiang, F., Wang, X., Zou, S., Ling, Z. and Guo, H., 2020.
  Photochemistry of ozone pollution in autumn in Pearl River Estuary, South China. Science of
  The Total Environment, 754, 141812.
- Liu, Y., M. Shao, L. Fu, S. Lu, L. Zeng and D. Tang, 2008a. Source profiles of volatile organic
  compounds (VOCs) measured in China: Part I. Atmospheric Environment, 42, 6247-6260.
- Liu, Y., Shao, M., Chang, C. C., Wang, J. L. and Chen, G. 2008b. Volatile Organic Compound
  (VOC) measurements in the Pearl River Delta (PRD) region, China. Atmospheric Chemistry
- 681 and Physics,8, 1531-1545.
- Liu, Y., Shao, M., Lu, S., Chang, C.C., Wang, J.L. and Fu, L., 2008c. Source apportionment of ambient volatile organic compounds in the Pearl River Delta, China: Part II. Atmospheric
- 684 Environment, 42(25), 6261-6274.
- Liu, Y.H., Wang, H., Jing, S., Gao, Y., Peng, Y., Lou, S., Cheng, T., Tao, S., Li, L., Li, Y.,
  Huang, D., Wang, Q. and An, J., 2019. Characteristics and sources of volatile organic

- 687 compounds (VOCs) in Shanghai during summer: Implications of regional transport.
  688 Atmospheric Environment, 215, 116902.
- 689 Liu, Y.M. and Wang, T., 2020a. Worsening urban ozone pollution in China from 2013 to 2017–
- 690 Part 1: The complex and varying roles of meteorology. Atmospheric Chemistry and Physics,
- 691 20(11), 6305-6321.
- 692 Liu, Y.M. and Wang, T., 2020b. Worsening urban ozone pollution in China from 2013 to 2017–
- 693 Part 2: The effects of emission changes and implications for multi-pollutant control.694 Atmospheric Chemistry and Physics, 20(11), 6323-6337.
- Liu, Z., Wang, Y., Gu, D., Zhao, C., Huey, L. G., Stickel, R., Liao, J., Shao, M., Zhu, T., Zeng,
  L., Amoroso, A., Costabile, F., Chang, C. C. and Liu, S. C., 2012. Summertime photochemistry
  during CAREBeijing-2007: RO<sub>x</sub> budgets and O<sub>3</sub> formation. Atmospheric Chemistry and
- 698 Physics, 12, 7737-7752.
- 699 Lu, H., Lyu, X., Cheng, H., Ling, Z. and Guo, H., 2019. Overview on the spatial-temporal
- 700 characteristics of the ozone formation regime in China. Environmental Science: Process
- 701 Impacts, 21, 916-929.
- Lu, X., Chen, N., Wang, Y., Cao, W., Zhu, B., Yao, T., Fung, J.C. and Lau, A.K., 2017. Radical
- budget and ozone chemistry during autumn in the atmosphere of an urban site in central China.
  Journal of Geophysical Research: Atmospheres, 122(6), 3672-3685.
- Lu, X., Zhang, L., Chen, Y.F., Zhou, M., Zheng, B., Li, K., Liu, Y.M., Lin, J.T., Fu, T.M. and
  Zhang, Q., 2019. Exploring 2016-2017 surface ozone pollution over China: source
  contributions and meteorological influences. Atmospheric Chemistry and Physics, 19, 8339–
  8361.
- Lu, X., Zhang, L., Wang, X., Gao, M., Li, K., Zhang, Y., Yue, X. and Zhang, Y., 2020a. Rapid
  Increases in Warm-Season Surface Ozone and Resulting Health Impact in China Since 2013.
- 711 Environmental Science and Technology Letters, 7, 240-247.
- Lu, X., Zhang, S., Xing, J., Wang, Y., Chen, W., Ding, D., Wu, Y., Wang, S., Duan, L. and
  Hao, J., 2020b. Progress of air pollution control in China and its challenges and opportunities
  in the ecological civilization era. Engineering, 6(12), 1423-1431.
- 715 Lyu, X. P., Ling, Z. H., Guo, H., Saunders, S. M., Lam, S. H. M., Wang, N., Wang, Y., Liu,
- 716 M., and Wang, T., 2015. Re-examination of C1-C5 alkyl nitrates in Hong Kong using an
- 717 observation-based model. Atmospheric Environment, 120, 28-37.
- Lyu, X.P., Liu, M., Guo, H., Ling, Z.H., Wang, Y., Louie, P.K.K. and Luk, C.W.Y., 2016a.
  Spatiotemporal variation of ozone precursors and ozone formation in Hong Kong: Grid field
- measurement and modelling study. Science of The Total Environment, 569, 1341-1349.
- Lyu, X. P., Chen, N., Guo, H., Zhang, W. H., Wang, N., Wang, Y. and Liu, M., 2016b. Ambient
- volatile organic compounds and their effect on ozone production in Wuhan, central China.
- 723 Science of the Total Environment, 541, 200-209.

- Lyu, X.P., Guo, H., Wang, N., Simpson, I.J., Cheng, H., Zeng, L., Saunders, S.M., Lam, S.H.M.,
- Meinardi, S. and Blake, D.R., 2017a. Modeling C1–C4 alkyl nitrate photochemistry and their
   impacts on O<sub>3</sub> production in urban and suburban environments of Hong Kong. Journal of
- 727 Geophysical Research: Atmospheres, 122(19), 10539-10556.
- 728 Lyu, X.P., Zeng, L.W., Guo, H., Simpson, I.J., Ling, Z.H., Wang, Y., Murray, F., Louie, P.K.K.,
- Saunders, S.M., Lam, S.H.M. and Blake, D.R., 2017b. Evaluation of the effectiveness of air
- pollution control measures in Hong Kong. Environmental pollution, 220, 87-94.
- 731 Lyu, X.P., Wang, N., Guo, H., Xue, L.K., Jiang, F., Zeren, Y.Z., Cheng, H.R., Cai, Z., Han,
- 732 L.H. and Zhou, Y., 2019. Causes of a continuous summertime O<sub>3</sub> pollution event in Jinan, a
- central city in the North China Plain. Atmospheric Chemistry and Physics. 19, 5, 3025-3042.
- 734 Ma, X., Tan, Z., Lu, K., Yang, X., Liu, Y., Li, S., Li, X., Chen, S., Novelli, A., Cho, C. and
- 735 Zeng, L., 2019. Winter photochemistry in Beijing: Observation and model simulation of OH
- and HO2 radicals at an urban site. Science of the Total Environment, 685, 85-95.
- Madronich, S. and Flocke, S., 1999. The role of solar radiation in atmospheric chemistry.
  Environmental Photochemistry, 2, 1-26.
- Ministry of Ecology and Environment of the People's Republic of China (MEE PRC), 2018.
  The State Council issued a notice on the issuance of a three-year Action Plan for Winning the
  Battle against Blue Skies. <u>http://www.gov.cn/zhengce/content/2018-07/03/content</u>
  5303158.htm (last access: 28 April 2021).
- 743 Ministry of Ecology and Environment of the People's Republic of China (MEE PRC), 2019. 744 releases Report on Air Ouality Improvement in China (2013 - 2018)MEE 745 https://www.mee.gov.cn/xxgk2018/xxgk/xxgk15/201906/t20190606 705778.html (last 746 access: 28 April 2021).
- National Research Council (NRC), 1992. Rethinking the ozone problem in urban and regional
  air pollution. Washington, DC: National Academies Press. https://doi.org/10.17226/1889.
- Ning G., Yim S.H.L., Yang Y., Gu Y. and Dong G., 2020. Modulations of synoptic and climatic
  changes on ozone pollution and its health risks in mountain-basin areas. Atmospheric
  Environment, 240, 117808.
- Norris, G., Wade, K., and Foley, C., 2008. EPA Positive Matrix Factorization (PMF) 3.0
  Fundamentals and User Guide, US Environmental Protection Agency, Office of Research and
  Development, Washington, EPA 600/R-08/108.
- Paatero, P., 1997. Least squares formulation of robust non-negative factor analysis.
  Chemometrics and Intelligent Laboratory Systems, 37(1), 23-35.
- 757 Paatero, P., 2000a. User's Guide for Positive Matrix Factorization Programs PMF2 and PMF3,
- 758 Part 1: Tutorial, Prepared by University of Helsinki, Finland. US Environmental Protection
- 759 Agency.

- 760 Paatero, P., 2000b. User's Guide for Positive Matrix Factorization Programs PMF2 and PMF3,
- Part 2: Reference, Prepared by University of Helsinki, Finland. US Environmental ProtectionAgency.
- 763 Polissar, A. V., Hopke, P. K., Paatero, P., Malm, W. C., and Sisler, J. F., 1998. Atmospheric
- aerosol over Alaska: 2. Elemental composition and sources, Journal of Geophysical Research:
  Atmospheres, 103 (D15).
- Ran, L., Zhao, C., Geng, F., Tie, X., Tang, X., Peng, L., Zhou, G., Yu, Q., Xu, J. and Guenther,
- 767 A., 2009. Ozone photochemical production in urban Shanghai, China: Analysis based on
- 768 ground level observations. Journal of Geophysical Research: Atmospheres, 114(D15).
- 769 Ran, L., Zhao, C. S., Xu, W. Y., Han, M., Lu, X. Q., Han, S. Q., Lin, W. L., Xu, X. B., Gao,
- W., Yu, Q., Geng, F. H., Ma, N., Deng, Z. Z. And Chen, J., 2012. Ozone production in summer
  in the megacities of Tianjin and Shanghai, China: a comparative study. Atmospheric Chemistry
- and Physics, 12, 7531-7542.
- Reff, A., Eberly, S. I., and Bhave, P. V., 2007. Receptor modeling of ambient particulate matter
- data using positive matrix factorization: review of existing methods. Journal of the Air and
- 775 Waste Management Association, 57, 146-154.
- Sahoo, D., Petersen, B. and Miles, P., 2011. Measurement of equivalence ratio in a light-duty
- 1777 low temperature combustion diesel engine by planar laser induced fluorescence of a fuel tracer.
- 778 SAE International Journal of Engines, 4(2), 2312-2325.
- Saunders, S.M., Jenkin, M.E., Derwent, R.G. and Pilling, M.J., 2003. Protocol for the
  development of the Master Chemical Mechanism, MCM v3 (Part A): Tropospheric degradation
  of non-aromatic volatile organic compounds. Atmospheric Chemistry and Physics, 3, 161-180.
- Shao, P., An, J., Xin, J., Wu, F., Wang, J., Ji, D. and Wang, Y., 2016. Source apportionment of
  VOCs and the contribution to photochemical ozone formation during summer in the typical
  industrial area in the Yangtze River Delta, China. Atmospheric Research, 176, 64-74.
- Slater, E.J., Whalley, L.K., Woodward-Massey, R., Ye, C., Lee, J.D., Squires, F., Hopkins,
  J.R., Dunmore, R.E., Shaw, M., Hamilton, J.F. and Lewis, A.C., 2020. Elevated levels of OH
  observed in haze events during wintertime in central Beijing. Atmospheric Chemistry and
  Physics, 20, 14847–14871.
- Song, C., Liu, B., Dai, Q., Li, H. and Mao, H., 2019. Temperature dependence and source
  apportionment of volatile organic compounds (VOCs) at an urban site on the north China plain.
- 791 Atmospheric Environment, 207, 167-181.
- 792 Song, M., Tan, Q., Feng, M., Qu, Y., Liu, X., An, J. and Zhang, Y., 2018. Source
- 793 Apportionment and Secondary Transformation of Atmospheric Nonmethane Hydrocarbons in
- Chengdu, Southwest China. Journal of Geophysical Research: Atmospheres, 123, 9741-9763.

- Su, R., Lu, K., Yu, J., Tan, Z., Jiang, M., Li, J., Xie, S., Wu, Y., Zeng, L., Zhai, C. and Zhang,
- Y., 2017. Exploration of the formation mechanism and source attribution of ambient ozone in
- 797 Chongqing with an observation-based model. Science China Earth Sciences, 61, 23-32.
- 798 Tan, Z., Fuchs, H., Lu, K., Hofzumahaus, A., Bohn, B., Broch, S., Dong, H., Gomm, S., Häseler,
- 799 R., He, L., Holland, F., Li, X., Liu, Y., Lu, S., Rohrer, F., Shao, M., Wang, B., Wang, M., Wu,
- 800 Y., Zeng, L., Zhang, Y., Wahner, A. and Zhang, Y., 2017. Radical chemistry at a rural site
- 801 (Wangdu) in the North China Plain: observation and model calculations of OH, HO2 and RO2
- radicals. Atmospheric Chemistry and Physics, 17, 663-690.
- 803 Tan, Z., Lu, K., Dong, H., Hu, M., Li, X., Liu, Y., Lu, S., Shao, M., Su, R., Wang, H. and Wu,
- 804 Y., 2018a. Explicit diagnosis of the local ozone production rate and the ozone-NO<sub>x</sub>-VOC sensitivities. Science Bulletin, 63(16), 1067-1076.
- 806 Tan, Z., Lu, K., Jiang, M., Su, R., Dong, H., Zeng, L., Xie, S., Tan, Q. and Zhang, Y., 2018b.
- 807 Exploring ozone pollution in Chengdu, southwestern China: A case study from radical 808 chemistry to  $O_3$ -VOC-NO<sub>x</sub> sensitivity. Science of the Total Environment, 636, 775-786.
- 809 Tang, W., Zhao, C., Geng, F., Peng, L., Zhou, G., Gao, W., Xu, J. and Tie, X., 2008. Study of
- ozone "weekend effect" in Shanghai. Science in China Series D: Earth Sciences, 51(9), 13541360.
- 812 Thompson, D.W., Solomon, S., Kushner, P.J., England, M.H., Grise, K.M. and Karoly, D.J.,
- 813 2011. Signatures of the Antarctic ozone hole in Southern Hemisphere surface climate change.
- 814 Nature Geoscience, 4(11), 741-749.
- 815 United States Environmental Protection Agency (US EPA), 2017. Positive Matrix
- 816 Factorization Model for environmental data analyses, available at https://www.epa.gov/air-
- 817 research/positive-matrix-factorization-model-environmental-data-analyses (last access: 28 818 April 2021)
- 818 April 2021).
- 819 Wang, B., Shao, M., Lu, S. H., Yuan, B., Zhao, Y., Wang, M., Zhang, S. Q. and Wu, D., 2010.
- Variation of ambient non-methane hydrocarbons in Beijing city in summer 2008. Atmospheric
  Chemistry and Physics, 10, 5911-5923.
- Wang, G., Cheng, S., Wei, W., Zhou, Y., Yao, S. and Zhang, H., 2016. Characteristics and
  source apportionment of VOCs in the suburban area of Beijing, China. Atmospheric Pollution
  Research, 7(4), 711-724.
- Wang, G., Jia, S., Li, R., Ma, S., Chen, X., Li, L., Shi, G. and Niu, X., 2020. Seasonal variation
  characteristics of hydroxyl radical pollution and its potential formation mechanism during the
- 827 daytime in Lanzhou. Journal of Environmental Sciences, 95, 58-64.
- 828 Wang, H., Lyu, X.P., Guo, H., Wang, Y., Zou, S.C., Ling, Z.H., Wang, X.M., Jiang, F., Zeren,
- 829 Y.Z., Pan, W.Z. and Huang, X.B., 2018. Ozone pollution around a coastal region of South
- 830 China Sea: interaction between marine and continental air. Atmospheric Chemistry and Physics,
- 831 18, 4277-4295.

- 832 Wang, H.L., Chen, C.H., Wang, Q., Huang, C., Su, L.Y., Huang, H.Y., Lou, S.R., Zhou, M.,
- Li, L., Qiao, L.P. and Wang, Y.H., 2013. Chemical loss of volatile organic compounds and its
- 834 impact on the source analysis through a two-year continuous measurement. Atmospheric
- 835 Environment, 80, 488-498.
- 836 Wang, H.X., Kiang, C.S., Tang, X.Y., Zhou, X.J., and Chameides, W.L., 2005. Surface ozone:
- A likely threat to crops in Yangtze delta of China, Atmospheric Environment, 39, 3843–3850.
- Wang M.Y., Yim S.H.L., Wong D.C., Ho K.F., 2019. Source contributions of surface ozone in
  China using an adjoint sensitivity analysis. Science of the Total Environment, 662, 385-392.
- Wang, N., Guo, H., Jiang, F., Ling, Z.H. and Wang, T., 2015. Simulation of ozone formation
  at different elevations in mountainous area of Hong Kong using WRF-CMAQ model. Science
- 842 of the Total Environment, 505, 939-951.
- 843 Wang, T., Xue, L., Brimblecombe, P., Lam, Y.F., Li, L. and Zhang, L., 2017. Ozone pollution
- in China: A review of concentrations, meteorological influences, chemical precursors, and
- 845 effects. Science of the Total Environment, 575, 1582-1596.
- 846 Wang, W, Cheng, T., Gu, X., Chen, H., Guo, H., Wang, Y., Bao, F., Shi, S., Xu, B., Zuo, X.
- and Meng, C., 2017. Assessing spatial and temporal patterns of observed ground-level ozone
- 848 in China. Scientific Reports, 7(1), 1-12.
- Wang, Y., Wang, H., Guo, H., Lyu, X.P., Cheng, H.R., Ling, Z.H., Louie, P.K., Simpson, I.J.,
  Meinardi, S. and Blake, D.R., 2017. Long-term O<sub>3</sub>-precursor relationships in Hong Kong: field
- observation and model simulation. Atmospheric Chemistry and Physics, 17, 10919-10935.
- Wang, Y., Guo, H., Zou, S., Lyu, X., Ling, Z., Cheng, H. and Zeren, Y., 2018. Surface O<sub>3</sub>
  photochemistry over the South China Sea: Application of a near-explicit chemical mechanism
  box model. Environmental Pollution, 234, 155-166.
- Wu, F., Yu, Y., Sun, J., Zhang, J., Wang, J., Tang, G. and Wang, Y., 2016. Characteristics,
  source apportionment and reactivity of ambient volatile organic compounds at Dinghu
  Mountain in Guangdong Province, China. Science of the Total Environment, 548, 347-359.
- Wu, R., Li, J., Hao, Y., Li, Y., Zeng, L. and Xie, S., 2016. Evolution process and sources of
  ambient volatile organic compounds during a severe haze event in Beijing, China. Science of
  the Total Environment, 560, 62-72.
- Wu, R. and Xie, S., 2017. Spatial distribution of ozone formation in China derived from
  emissions of speciated volatile organic compounds. Environmental Science and Technology
  51, 2574–2583.
- Xu, S.C., Li, Y.W., Miao, Y.M., Gao, C., He, Z.X., Shen, W.X., Long, R.Y., Chen, H., Zhao,
  B. and Wang, S.X., 2019. Regional differences in nonlinear impacts of economic growth,
  export and FDI on air pollutants in China based on provincial panel data. Journal of Cleaner
- 867 Production, 228, 455-466.

- 868 Xue, L. K., Wang, T., Gao, J., Ding, A. J., Zhou, X. H., Blake, D. R., Wang, X. F., Saunders,
- 869 S. M., Fan, S. J., Zuo, H. C., Zhang, Q. Z. and Wang, W. X., 2014a. Ground-level ozone in
- 870 four Chinese cities: precursors, regional transport and heterogeneous processes. Atmospheric
- 871 Chemistry and Physics, 14, 13175-13188.
- Yang, X., Xue, L., Wang, T., Wang, X., Gao, J., Lee, S., Blake, D. R., Chai, F. and Wang, W.,
- 873 2018. Observations and Explicit Modelling of Summertime Carbonyl Formation in Beijing:
- 874 Identification of Key Precursor Species and Their Impact on Atmospheric Oxidation Chemistry.
- 875 Journal of Geophysical Research: Atmospheres, 123, 1426-1440.
- Yuan, B., Shao, M., Lu, S. and Wang, B., 2010. Source profiles of volatile organic compounds
  associated with solvent use in Beijing, China. Atmospheric Environment, 44(15), 1919-1926.
- Yuan, Z., Lau, A.K.H., Shao, M., Louie, P.K., Liu, S.C. and Zhu, T., 2009. Source analysis of
  volatile organic compounds by positive matrix factorization in urban and rural environments
  in Beijing. Journal of Geophysical Research: Atmospheres, 114(D2).
- 881 Zeng, P., Lyu, X. P., Guo, H., Cheng, H. R., Jiang, F., Pan, W. Z., Wang, Z. W., Liang, S. W.
- and Hu, Y. Q., 2018. Causes of ozone pollution in summer in Wuhan, Central China.
- 883 Environmental Pollution, 241, 852-861.
- Zeng, P., Lyu, X., Guo, H., Cheng, H., Wang, Z., Liu, X. and Zhang, W., 2019. Spatial variation
  of sources and photochemistry of formaldehyde in Wuhan, Central China. Atmospheric
  Environment, 214, 116826.
- Zhang, J., Sun, Y., Wu, F., Sun, J. and Wang, Y., 2014. The characteristics, seasonal variation
  and source apportionment of VOCs at Gongga Mountain, China. Atmospheric Environment,
  88, 297-305.
- 890 Zhang, Y.L., Wang, X., Blake, D.R., Li, L., Zhang, Z., Wang, S., Guo, H., Lee, S.C., Gao, B.,
- Chan, L., and Wu, D., 2012. Aromatic hydrocarbons as ozone precursors before and after
  outbreak of the 2008 financial crisis in the Pearl River Delta region, South China. Journal of
  Geophysical Research: Atmospheres, 117, D15306.
- Zhu, J., Wang, S., Wang, H., Jing, S., Lou, S., Saiz-Lopez, A. and Zhou, B., 2020.
  Observationally constrained modelling of atmospheric oxidation capacity and photochemical
  reactivity in Shanghai, China. Atmospheric Chemistry and Physics, 20, 1217-1232.
- Zhu, J.X., Cheng, H.R., Peng, J., Zeng, P., Wang, Z., Lyu, X.P. and Guo, H., 2020. O<sub>3</sub>
  photochemistry on O<sub>3</sub> episode days and non-O<sub>3</sub> episode days in Wuhan, Central China.
  Atmospheric Environment, 223, 117236.
- 900 Zhu, Y., Yang, L., Chen, J., Wang, X., Xue, L., Sui, X., Wen, L., Xu, C., Yao, L., Zhang, J.
- and Shao, M., 2016. Characteristics of ambient volatile organic compounds and the influence
- 902 of biomass burning at a rural site in Northern China during summer 2013. Atmospheric
- 903 Environment, 124, 156-165.

- 904 Zou, Y., Deng, X.J., Zhu, D., Gong, D.C., Wang, H., Li, F., Tan, H.B., Deng, T., Mai, B.R.,
- Liu, X.T. and Wang, B.G., 2015. Characteristics of 1 year of observational data of VOCs, NO<sub>x</sub>
- 906 and  $O_3$  at a suburban site in Guangzhou, China. Atmospheric Chemistry and Physics, 15(12),
- 907 6625-6636.