1 Tension-compression asymmetry of the stress-strain behavior of the

# stacked graphene assembly: Experimental measurement and theoretical interpretation

- 4 Yang Gao,<sup>a,b,c†</sup> Yujie Xie,<sup>a,b,†</sup> Anran Wei,<sup>b</sup> Yujie Wei,<sup>c,d</sup> Tao Chen,<sup>e,f</sup> Haimin Yao<sup>a,b,\*</sup>
- <sup>5</sup> <sup>a</sup>The Hong Kong Polytechnic University Shenzhen Research Institute, Shenzhen,
- 6 Guangdong 518057, China
- 7 <sup>b</sup>Department of Mechanical Engineering, The Hong Kong Polytechnic University,
- 8 Kowloon, Hung Hom, Hong Kong SAR, China
- 9 <sup>c</sup>State Key Laboratory of Nonlinear Mechanics (LNM), Institute of Mechanics, Chinese
- 10 Academy of Sciences, Beijing 100190, China
- <sup>d</sup>School of Engineering Sciences, University of Chinese Academy of Sciences, Beijing
   100049, China
- <sup>13</sup> <sup>e</sup>Key Laboratory of Marine Materials and Related Technologies, Zhejiang Key
- 14 Laboratory of Marine Materials and Protective Technologies, Ningbo Institute of
- Materials Technology and Engineering, Chinese Academy of Sciences, Ningbo 315201,
   China
- <sup>17</sup> <sup>f</sup>School of Chemical Sciences, University of Chinese Academy of Sciences, Beijing
- 18 100049, China
- 19
- <sup>\*</sup>To whom correspondence should be addressed: mmhyao@polyu.edu.hk
- 21 <sup>†</sup>These authors contributed equally.
- 22

**Abstract:** Two-dimensional (2D) materials as exemplified by graphene have received a 23 bunch of attention for their outstanding properties and enormous application potential. 24 25 Recently, a macroscopic graphene-based material was fabricated simply by stacking the 26 few-layer graphene flakes. The resulting film, called SGA, exhibits unusual mechanical behavior, which implies the existence of tension-compression asymmetry in its mechanical 27 property. However, direct experimental verification of such unique mechanical property of 28 29 the SGA remains deficient because of the difficulty in fixturing and applying load on the samples. In this work, we tackle these problems by transferring the SGA film onto a 30 polyethylene (PE) substrate which can elongate and contract in response to the variation of 31 32 the ambient temperature. Tensile and compressive loads thus can be controllably applied to the SGA samples through the SGA/PE interface by tuning the temperature variation. 33 34 The stress-strain curves of the SGA, including tensile and compressive, are deduced based 35 on the Stoney equation for thin film-substrate systems, showing the tension-compression 36 asymmetry as expected. Theoretical modeling is carried out and reveals the structural basis of such unique mechanical behavior. This work not only provides a facile yet effective
approach to measuring the stress-strain behavior of less-cohesive materials like SGA but
also is of great value to the design and applications of SGA and other stacked assemblies
of 2D materials in flexible sensors and actuators.

41 Keywords: Two-dimensional materials; Thin film; Stoney equation; Stacked assembly;
42 Less-cohesive materials

#### 43 **1. Introduction**

Two-dimensional (2D) materials refer to crystalline materials consisting of one or a 44 few layers of atoms along the thickness direction. Recent years have witnessed the surging 45 46 research interest in 2D materials and breakthroughs in this field. So far, a large family of 2D materials has been reported, including graphene, h-BN, 2D oxides, transition 47 48 metal chalcogenides, 2D van der Waals heterostructures,  $\beta$ -Silicene, black phosphorus nanosheets, etc. Due to the ultrathin thickness and ultrahigh specific surface area, 2D 49 50 materials exhibit a range of fascinating electronic, optical, and mechanical properties that 51 are normally absent in their bulk counterparts (Bhimanapati et al., 2015; Geim, 2009; 52 Nakada et al., 1996; Sorkin et al., 2017), implying great potentials in diverse applications 53 including flexible electronics (Fiori et al., 2014; Kim et al., 2015), nanocomposites (Kim 54 et al., 2010; Potts et al., 2011), photodetectors (Huo and Konstantatos, 2018; Long et al., 2019), energy storage devices (Pomerantseva and Gogotsi, 2017; Zhang et al., 2016), etc. 55 56 To acquire high-quality 2D materials, a variety of physical and chemical methods have been developed, including mechanical exfoliation (Huang et al., 2015; Novoselov et al., 57 58 2004), liquid exfoliation (Coleman et al., 2011; Hanlon et al., 2015), thermal reduction (Chen et al., 2010) and chemical vapor deposition (Gupta et al., 2015; Jeon et al., 2015). 59 60 However, the fabrication process of large-area high-quality 2D materials is generally complicated and involves costly equipment for achieving the required ultravacuum and 61 high-temperature conditions. In contrast, small-area few-layer 2D materials, such as few-62 layer graphene flakes, can be produced by liquid exfoliation in large quantities at a low 63 cost. One of the promising applications of such small-area flakes is to assemble them into 64 macroscopic thin films via techniques such as vacuum filtration method (Hernandez et al., 65 2008) and Langmuir-Blodgett (L-B) method (He et al., 2019). Although the assembled 66

graphene flakes cohere with each other through the weak van der Waals forces, excellent 67 electrical conductivity was observed in the SGA film, making it an excellent candidate for 68 soft conductive materials in sensors (He et al., 2019; Li and Yang, 2020). In addition, the 69 70 mechanical behavior of the SGA film was also found unique. In our earlier study, the SGA was found to exhibit asymmetric elastoplasticity under tension and compression. 71 72 Specifically, it exhibits apparent plasticity under tension while pure elasticity under compression, which endows the SGA-based soft actuators with great configurational 73 programmability (Wang et al., 2020). However, this property of the SGA was inferred 74 from the thermal-induced curling behavior of the SGA-based bilayer films and was 75 testified merely by molecular dynamics (MD) simulation. The direct measurement of the 76 77 mechanical behaviors of SGA under tension and compression remains deficient, not to 78 mention the revelation of the underlying structure-property relations. The technical difficulty of experimentation mainly lies in the less-cohesive and fragile nature of the SGA 79 80 films, which can be hardly clamped using the traditional fixturing method. Another challenge is the possible buckling of the freestanding SGA film under compression, which 81 82 deters the possibility to measure the property of SGA under compression with the traditional testing method. To tackle these problems, in this paper, we transfer the SGA 83 84 film on a polyethylene (PE) substrate, a thermal-responsive film, to form a thin film/substrate bilayer system. By increasing or decreasing the ambient temperature, the PE 85 86 substrate tends to extend or contract while the SGA is relatively inert to the temperature 87 variation, resulting in the tensile or compressive load applied on the SGA film through the interface with the PE substrate. By using the Stoney relation for thin film and substrate 88 system, the stress and strain of the SGA can be deduced from the measured bending 89 90 curvature of the bilayer structure, giving rise to the stress-strain curves under tensile and 91 compressive loadings. To gain an insightful understanding of the experimental results, theoretical modelling is carried out to explore the structural dependences of the 92 characteristic mechanical properties of the SGA including the elastic moduli under tension 93 and compression as well as the tensile strength. The whole paper is concluded by discussing 94 95 the potential applications of the results and the limitation of the present work.

96

### 97 **2. Experimental measurement**

# 98 2.1 Theoretical basis of the testing approach

Due to the weak cohesion between graphene flakes as well as the small thickness of 99 100 SGA film, preparing a free-standing SGA sample remains challenging, not to mention 101 fixturing and applying loads on it. To tackle these problems, we constructed a bilayer structure by transferring an SGA film on a PE substrate which can expand or contract in 102 response to the variation of temperature ( $\Delta T$ ). As the thermal expansion of graphene is 103 negligibly low, the strain misfit between the SGA film and PE substrate will lead to internal 104 105 thermal stress and bending of the SGA/PE bilayer. Since the van der Waals interaction between PE and graphene is stronger than that between graphene flakes in SGA film (Pang 106 et al., 2019), we assume that the SGA film keeps attached on the PE substrate when 107 deforming upon loading. The bending curvature ( $\kappa$ ) depends on the temperature change 108 and the dimensions and mechanical properties of the SGA film and PE substrate (see Fig. 109 110 1(a)). The well-known Stoney equation correlates the film stress in the SGA with the bending curvature ( $\kappa$ ) of the bilayer as (Freund and Suresh, 2004) 111

112 
$$\sigma_{\rm SGA} = \frac{E_{\rm PE}^* t_{\rm PE}^2 \kappa}{6 t_{\rm SGA}} \tag{1}$$

where  $E_{\text{PE}}^* \equiv \frac{E_{\text{PE}}}{1-v_{\text{PE}}^2}$  is the plane strain modulus of the PE layer with  $E_{\text{PE}}$  and  $v_{\text{PE}}$  being the 113 Young's modulus and Poisson's ratio, and  $t_{SGA}$  and  $t_{PE}$  are the thicknesses of the SGA 114 film and PE substrate respectively. The validity of Eq. (1) requires  $t_{SGA} \ll t_{PE}$ . This 115 condition is satisfied in our SGA/PE bilayers. It should be pointed out that Eq. (1) is based 116 on the plane strain, rather than biaxial, assumption for the stress state of the bilayer. This 117 is because the thermal expansion of the PE film is much higher along the direction with 118 preferred molecular alignment, which was selected as the longitudinal direction of the film 119 during our sample preparation. Therefore, the bending of our SGA/PE bilayers mainly 120 121 happens about the transverse axis, as schematically shown in Fig. 1(a).



122

Fig. 1. Schematic illustrations showing (a) the setup for the measurement, (b) force andstress experienced by the SGA film and PE substrate.

For the PE substrate, which is subjected to an eccentric axial compressive force  $F' = t_{SGA}\sigma_{SGA}$  near the top surface (Fig. 1(b)), the strain near its top surface is given by

127 
$$\varepsilon_{\rm PE}^{\rm top} = (\alpha_{\rm LD} + \alpha_{\rm TD} \nu_{\rm PE}) \Delta T - \frac{4t_{\rm SGA}}{E_{\rm PE}^* t_{\rm PE}} \sigma_{\rm SGA}$$
(2)

where  $\alpha_{LD}$  and  $\alpha_{TD}$  are the coefficients of thermal expansion of the PE substrate along the 128 129 longitudinal and transverse direction, respectively, and  $\Delta T$  is the variation of temperature from the ambient temperature.  $\Delta T$  could be positive or negative. In Eq. (2), the first term 130 131 stands for the thermal strain along the longitudinal direction with transverse strain constrained and the second term represents the elastic strain caused by the eccentric axial 132 compression. Continuity of strain across the SGA/PE interface requires that the strain in 133 the SGA ( $\varepsilon_{SGA}$ ) should be equal to  $\varepsilon_{PE}^{top}$ , which upon the substitution of Eq. (1) gives rise 134 135 to

136 
$$\varepsilon_{\text{SGA}} = \varepsilon_{\text{PE}}^{\text{top}} = (\alpha_{\text{LD}} + \alpha_{\text{TD}}\nu_{\text{PE}})\Delta T - \frac{2t_{\text{PE}}\kappa}{3}$$
(3)

For a given  $\Delta T$ , the bending curvature  $\kappa$  in Eqs. (1) and (3) can be measured experimentally (see Section 2.3). Therefore, the stress and strain of the SGA layer can be deduced according to Eqs. (1) and (3) respectively if the values of  $E_{\rm PE}$ ,  $\nu_{\rm PE}$ ,  $\alpha_{\rm LD}$ ,  $\alpha_{\rm TD}$ ,  $t_{\rm SGA}$ ,  $t_{\rm PE}$ are known. Preliminary measurements indicated that  $\alpha_{\rm LD} = 3.58 \times 10^{-4} \, {}^{\circ}{\rm C}^{-1}$  and  $\alpha_{\rm TD} =$ 2.54 × 10<sup>-4</sup>  ${}^{\circ}{\rm C}^{-1}$  (see Appendix A),  $\nu_{\rm PE} = 0.46$  (Ladizesky and Ward, 1971),  $t_{\rm PE} =$ 10 µm. The elastic modulus of the PE layer exhibits strong temperature dependence, which can be expressed as  $E_{\rm PE}(T) = 0.045T^2 - 6.53T + 284.6$  (see Appendix B), with T

- being the temperature ranging from  $-20^{\circ}$ C to  $60^{\circ}$ C. Due to the stacking structure of the
- 145 SGA and the rough surface, the measurement of the thickness of the SGA film ( $t_{SGA}$ ) is
- 146 not straightforward and deserves an elaboration as below.
- 147



148 2.2 Measurement of the thickness of the SGA films



Fig. 2. SEM images of (a) top view and (b) cross-section of the prepared SGA film. (c)Schematic diagram showing the structure of SGA film as ply number increases.

Our SGA samples were produced by following the L-B method reported in the 152 literature (Wang et al., 2020). The top and cross-sectional SEM images of SGA film show 153 that the staggered graphene flakes form a stacked structure (see Fig. 2(a, b)). Thicker SGA 154 film can be obtained by repeating the transferring process in the L-B method multiple times. 155 One more time of transferring gives rise to an additional ply of flakes, as schematically 156 shown in Fig. 2(c). The thicknesses of the SGA films with different ply numbers were 157 158 measured by a stylus surface profiler (DektakXT, Bruker). Given the small size of graphene 159 flakes (5-10  $\mu$ m in-plane dimension), a combination of low scanning speed (50  $\mu$ m/s, the 160 lower limit of the apparatus) and high sampling rate (0.167 µm/point) was employed to

ensure a precise capture of the profile features of the SGA film. Fig. 3(a) shows the typical 161 profiles of the 1-ply and 6-ply SGA films. It can be seen that the height profiles exhibit 162 163 abrupt peaks resulting from the asperities on the SGA surface (see Fig. 2(b)). If the mean value of the profile height at all the sampling points (the blue dashed line in Fig. 3(a)) is 164 taken as the thickness of the SGA, apparently an overestimated thickness will be obtained. 165 To eliminate the noise brought by the surface asperities, we calculated the probability 166 density of the thickness at the sampling points, as displayed in Fig. 3(a). The height with 167 168 density peak (the red dashed line) represents the height that is most frequently examined during scanning, namely the mode of the measurements. It describes the thickness of the 169 SGA film better than the mean height does. Therefore, the height with peak probability 170 density, instead of the mean value, is taken as the SGA film thickness  $(t_{SGA})$  in our studies 171 172 below. Fig. 3(b) shows the measured  $t_{SGA}$  for SGA films with different ply numbers. These values will be used later when determining the stress and strain in the SGA film. 173



174

Fig. 3. (a) Representative surface height profiles of 1-ply and 6-ply SGA films and their
corresponding probability densities. (b) Measured thicknesses of SGA films with different
ply numbers from 1 to 10. Here, *N* stands for the number of the tested samples.

### 178 **2.3 Measurement of the bending curvature**

The curvature measurement of SGA/PE bilayer was performed in a homemade 179 180 temperature test chamber. The SGA/PE bilayer samples were clamped at one end and the other end was free. A thermocouple was placed nearby to monitor the temperature in real-181 182 time. For loading, the temperature in the chamber was increased or decreased at a rate of  $0.5^{\circ}$ C/min from the room temperature (~25°C). In our experiments, the temperature varied 183 in the range of  $25 \sim 60$  °C for heating and  $-15 \sim 25$  °C for cooling. For unloading, the 184 temperature in the chamber returned to the room temperature at a rate of 0.5°C/min. In the 185 loading and unloading processes, a digital photo was taken from the side every 2°C change 186 187 in temperature to capture the bending deflection z(x), based on which the bending curvature

188 (
$$\kappa$$
) can be deduced via  $\kappa = \frac{z''}{(1+z'^2)^{3/2}}$ .

189



Fig. 4. Effect of gravity on the bending curvature of the SGA/PE bilayer in response to 190 temperature variation. Schematic diagrams showing two different experimental 191 configurations with the SGA layer (a) facing upwards and (b) facing downwards. (c) 192 193 Measured deflections of the SGA/PE bilayer in two different configurations and their average; (d) Bending curvature of the bilayer calculated from the deflections in (c) through 194  $\kappa = \frac{z''}{(1+z'^2)^{3/2}}.$ 195

196 To eliminate the effect of gravity on the bending curvature, each test was conducted twice in two different testing configurations: one with the SGA layer facing upwards (see 197 Fig. 4(a) and the other with the SGA layer facing downwards (see Fig. 4(b)). As an 198 example, Fig. 4(c) shows the measured deflections of a 3-ply SGA/PE bilayer in two 199 configurations when  $\Delta T = 32$  °C as well as their mean. The bending curvatures calculated 200 201 from them are shown in Fig. 4(d). As expected, the curvature  $\kappa$  deduced from the mean deflection exhibits little variation with x, implying that the gravity effect has been 202 203 eliminated successfully.

204

#### 2.4 Measurement results: Stress-strain curves of SGA 205

206 Based on the measured curvature ( $\kappa$ ) in combination with the other dimensional 207 parameters and materials constants ( $E_{PE}$ ,  $v_{PE}$ ,  $\alpha_{LD}$ ,  $\alpha_{TD}$ ,  $t_{SGA}$ ,  $t_{PE}$ ), the stress and strain of the SGA at different temperatures can be deduced. Fig. 5 (a) shows the stress-strain curves 208 of SGA films with different ply numbers. Under tensile loading, the stress increases 209 linearly with the strain. The slope, which represents the elastic modulus, depends on the 210 ply number of the sample. The more the ply number, the higher the modulus (see Fig. 5(c)). 211 Such thickness dependence of the tensile modulus might be attributed to the increased 212 fraction of the graphene flakes with better alignment and flatness as the ply number 213 increases. When the tensile strain reaches a critical value around 0.7%, the load-carrying 214 capacity of the SGA films saturates, as shown by the plateaus on the stress-strain curves. 215 Under this circumstance, unrecoverable deformation, or plastic deformation, happens in 216 the SGA films upon further loading. This can be confirmed by a follow-up unloading 217 process as shown in Fig. 5(b). On the other hand, under compressive load, the stress 218 increases linearly with the strain, indicating a pure elastic deformation. Such elasticity can 219 220 be fully recovered upon unloading, as shown in Fig. 5(b). Similarly, the slope of the curve,

which represents the compressive modulus, increases with the ply number (see Fig. 5(c)).
The obtained asymmetric elastoplasticity of the SGA film, namely, elastic-perfectly plastic
under tension while purely elastic under compression, affirms our previous inference
indeed (Wang et al., 2020).



#### 225

226 Fig. 5. (a) Measured stress-strain curves of SGA film of different plies. Here, the solid lines represent the fitting curves of the experimental results, while the dashed ones represent the 227 theoretical predictions. Model parameters adopted in the prediction of tensile curves: 228  $E_{\rm GF} = 4 \,{\rm GPa}, \ G_{\rm in} = 400 \,{\rm MPa}, \ \tau_{\rm in} = 30 \,{\rm MPa}, \ h_{\rm GF} = 10 \,{\rm nm}, \ l = 5 \,{\rm \mu m}, \ h_{\rm in} = 1 \,{\rm nm}.$ 229 Model parameters adopted in the prediction of compressive curves:  $E_{11} = 3.5$  GPa,  $G_{13} =$ 230 0.7 GPa,  $E_{33} = 100$  MPa,  $v_{12} = v_{13} = 0.1$ . (b) The measured loading-unloading stress-231 232 strain curves for the SGA films with 10 plies. (c) The dependence of the mechanical 233 properties of SGA films (tensile modulus, compressive modulus, and yield strength under tension) on the ply number. Here, N stands for the number of the tested samples. 234

235

# **3.** Theoretical interpretation of the measured results

The experimental measurements above reconfirm the asymmetric elastoplasticbehavior of the SGA film, which can be fully depicted by three characteristic parameters:

tensile modulus  $(E_{SGA}^{t})$ , tensile strength  $(S_{SGA}^{t})$ , and compressive modulus  $(E_{SGA}^{c})$ . To disclose the dependence of these parameters on the microscopic structure of the SGA and the mechanical properties of the building graphene flakes, theoretical modeling was carried out.

### 243

# 3.1 Tensile properties of SGA

By neglecting the non-uniformity of the graphene flakes in dimension and shape as 244 well as the possible imperfect alignment of them, we model the SGA film as a staggered 245 multilayer structure of identical flakes with length 2l and thickness  $h_{GF}$ , as shown in Fig. 246 6(a). Since the interactions between the adjacent graphene flakes in SGA originate from 247 248 the van der Waals interaction which is shearing dominant when the SGA film is subject to remote tensile load (Yao et al., 2013), an artificial continuum layer then is introduced 249 250 between the adjacent graphene flakes to model the interaction between them. Here, the graphene flakes are modeled as a purely elastic material with Young's modulus and 251 Poisson's ratio being  $E_{GF}$  and  $v_{GF}$ , while the artificial interface layer is assumed elastic 252 within the interaction range with shear modulus and shear strength being  $G_{in}$  and  $\tau_{in}$ , 253 respectively (see Fig. 6(b)). The periodicity and symmetry of the model allow us to 254 255 consider a representative volume element (RVE) as shown in Fig. 6(c).



#### 256

Fig. 6. (a) Staggered multilayer structure of the SGA. (b) The constitutive relation adopted
to describe the intermolecular interaction between the graphene flakes. Illustrations of (c)
the representative volume element (RVE) adopted to model the tensile behavior of SGA,

and (d) the configuration of the RVE at the stage II of deformation in which the slidingbetween graphene flakes in the SGA happens under excessive tension.

<sup>262</sup> Upon an ever-increasing displacement load  $\Delta$ , the mechanical response of the RVE <sup>263</sup> model will experience two stages. At stage I (see Fig. 6(c)), when the displacement load  $\Delta$ <sup>264</sup> remains small, the whole RVE including the interface layer undergoes purely elastic <sup>265</sup> deformation only, and the shear stress distribution along the interface is given by (Yao et <sup>266</sup> al., 2013)

267 
$$\tau(x) = \frac{G_{\text{in}}\Delta}{h_{\text{in}}} \cdot \frac{\cosh(2\mu x/l - \mu)}{\cosh\mu + \mu \cdot \sinh\mu} (0 \le x \le l)$$
(4)

where dimensionless parameter  $\mu \equiv \sqrt{\frac{l^2 G_{\text{in}}}{h_{\text{GF}} h_{\text{in}} E_{\text{GF}}^*}}$  with  $E_{\text{GF}}^* \equiv \frac{E_{\text{GF}}}{1 - \nu_{\text{GF}}^2}$  representing the plane strain modulus of graphene flake,  $h_{\text{GF}}$  and  $h_{\text{in}}$  representing the thickness of an individual few-layer graphene flake and artificial interface layer, respectively. Force equilibrium of graphene flakes implies that the tensile force applied on the end of RVE should be equal to the resultant force of the shear stress applied on both sides. That is,

273 
$$F_{|x=l} = 2 \int_0^l \tau(x) dx = \frac{2G_{\text{in}}\Delta}{h_{\text{in}}\mu} \cdot \frac{l \cdot \tanh\mu}{1 + \mu \cdot \tanh\mu}$$
(5)

# 274 The nominal tensile stress applied on the RVE is given by

275 
$$\sigma = \frac{F_{|x=l}}{2(h_{\rm in} + h_{\rm GF})} = \frac{G_{\rm in}\Delta}{(h_{\rm in} + h_{\rm GF})h_{\rm in}\mu} \cdot \frac{l \cdot \tanh\mu}{1 + \mu \cdot \tanh\mu}$$
(6)

276 Dividing the nominal tensile strain  $\varepsilon \equiv \Delta/l$  on both sides of Eq. (6) gives rise to the 277 effective tensile modulus of the SGA as

278 
$$E_{\text{SGA}}^{\text{t}} \equiv \frac{\sigma}{\varepsilon} = \frac{G_{\text{in}}}{(h_{\text{in}} + h_{\text{GF}})h_{\text{in}}\mu} \cdot \frac{l^2 \cdot \tanh\mu}{1 + \mu \cdot \tanh\mu}$$
(7)

Similar prediction has also been made for the effective modulus of the brick-and-mortar
structured biological materials (Gao et al., 2003; Liu et al., 2011a).

Since the shear stress along the interface is limited by  $\tau_{in}$ , Eq. (4) implies a critical displacement load

283 
$$\Delta_{\rm c} = \frac{\tau_{\rm in} h_{\rm in}}{G_{\rm in}} \cdot (1 + \mu \cdot \tanh \mu), \tag{8}$$

above which graphene flakes would slide with respect to each other, leading to the onset
of stage II. Substituting Eq. (8) into Eq. (6) gives the yield strength of SGA as

286

$$S_{\text{SGA}}^{\text{t}} = \frac{\tau_{\text{in}} l \cdot \tanh \mu}{(h_{\text{in}} + h_{\text{GF}})\mu} \tag{9}$$

The deformation of the RVE at stage II can be deemed as the superposition of the interflake sliding plus the elastic deformation under external loading (Fig. 6(d)). Then the effective stress in the RVE can be obtained simply by replacing *l* and  $\Delta$  in Eq. (6) with *l* – *a* and  $\Delta_c$  respectively:

291 
$$\sigma = \frac{\tau_{\rm in} \sqrt{h_{\rm GF} h_{\rm in} E_{\rm GF}^*/G_{\rm in}}}{h_{\rm in} + h_{\rm GF}} \cdot \tanh\left[\sqrt{\frac{(l-a)^2 G_{\rm in}}{h_{\rm GF} h_{\rm in} E_{\rm GF}^*}}\right]$$
(10)

where a stands for the sliding distance between the adjacent flakes as shown in Fig. 6(d). 292 Here, the in-plane deformation of the sliding part (a) of the graphene flake is neglected due 293 294 to its high stiffness and resultant low strain level. Even though Eq. (10) implies the dependence of  $\sigma$  on a, the behavior of the hyperbolic tangent function in Eq. (10) 295 determines that such dependence is quite weak especially when  $\frac{(l-a)^2 G_{\text{in}}}{h_{GF} h_{\text{in}} E_{CF}^*} \gg 1$ . By taking 296 typical values  $E_{GF} = 4$  GPa (Li et al., 2019),  $v_{GF} = 0.1$  (Wei and Yang, 2019),  $G_{in} =$ 297 400 MPa (Soule and Nezbeda, 1968),  $\tau_{in} = 30$  MPa,  $h_{GF} = 10$  nm,  $l = 5 \mu m$ ,  $h_{in} =$ 298 299 1 nm, it can be estimated that the hyperbolic tangent function in Eq. (10) is close to unity 300 as a varies from 0 to 0.9*l*. Therefore, the effective stress ( $\sigma$ ) is maintained at a constant value close to  $S_{SGA}^{t}$  in a wide range of strain which includes the unrecoverable component 301  $(\varepsilon_{\rm p} = a/l)$  due to sliding and the elastic component  $(\varepsilon_{\rm e} = \Delta_{\rm c}/l)$ . Such stress plateau in the 302 stage II in combination with the elastic behavior at the stage I comprise the elastic-perfectly 303 304 plastic behavior of the SGA, which is consistent with our experiments above. However, since the theoretical model above did not consider the limited thickness of the SGA film 305 along the stacking direction, the theoretical tensile modulus  $(E_{SGA}^t)$  and yield strength 306  $(S_{SGA}^{t})$  we obtained can be deemed as their asymptotic solutions for SGA sufficiently thick, 307 308 as plotted by the broken line in Fig. 5(a) based on the representative parameters mentioned 309 above.

It can be demonstrated that the tensile modulus and yield strength of the SGA can befurther simplified to be

312 
$$E_{\text{SGA}}^{\text{t}} \cong \frac{E_{\text{GF}}^* h_{\text{GF}}}{h_{\text{in}} + h_{\text{GF}}}$$
(11)

313 
$$S_{\text{SGA}}^{\text{t}} \cong \frac{\tau_{\text{in}}}{h_{\text{in}} + h_{\text{GF}}} \sqrt{\frac{h_{\text{GF}} h_{\text{in}} E_{\text{GF}}^*}{G_{\text{in}}}}$$
(12)

when the non-dimensional parameter  $\mu \equiv \sqrt{\frac{l^2 G_{\text{in}}}{h_{\text{GF}} h_{\text{in}} E_{\text{GF}}^*}} \gg 1$ , implying the independence of 314 the tensile properties on the flake length (l) then. For typical values of  $E_{\rm GF} = 4$  GPa,  $\nu_{\rm GF} =$ 315 0.1,  $G_{in} = 400$  MPa,  $h_{in} = 1$  nm, this condition can be readily satisfied if the thickness 316 317 and lateral size of the graphene flakes fall in the ranges of  $h_{\rm GF} = 1 \sim 50$  nm and l = $1 \sim 50 \,\mu\text{m}$ , respectively. Taking typical values of  $E_{\rm GF} = 4 \,\text{GPa}$ ,  $\nu_{\rm GF} = 0.1$ ,  $G_{\rm in} =$ 318 400 MPa,  $\tau_{in} = 30$  MPa,  $h_{in} = 1$  nm, Fig. 7 plots the variations of the tensile modulus 319 and yield strength with  $h_{\rm GF}$  as given by Eqs. (11) and (12). It can be seen that thicker 320 graphene flakes would result in a higher tensile stiffness ( $E_{SGA}^{t}$ ), which is capped by the 321 intrinsic modulus of the graphene flakes  $E_{GF}^*$ . In contrast, the tensile yield strength of SGA 322  $(S_{\text{SGA}}^{\text{t}})$  decreases with  $h_{\text{GF}}$ . 323



324

Fig. 7. The dependence of tensile modulus  $(E_{SGA}^t)$  and tensile yield strength  $(S_{SGA}^t)$  of SGA on the thickness  $(h_{GF})$  of graphene flakes. Model parameters adopted in generating the curves:  $E_{GF} = 4$  GPa,  $\nu_{GF} = 0.1$ ,  $G_{in} = 400$  MPa,  $\tau_{in} = 30$  MPa,  $h_{in} = 1$  nm. The star symbols indicate the values of the SGA films we studied.

# 329 3.2 Compressive properties of SGA

As slender structures, the graphene flakes in an SGA film tend to buckle under critical compressive load (Bai et al., 2016; Ren et al., 2016; Wang et al., 2020). The post-buckling deformation of the graphene flakes under compressive load is recoverable and believed to

be the source of the elasticity as observed in experiments (Fig. 5(a)). Due to the mechanical 333 334 constraint by the adjacent flakes along the out-of-plane direction, the post-buckling configuration of the discontinuous flakes was found similar to that of the continuous few-335 layer graphene sheets (Pan et al., 2019). Therefore, the structural discontinuity of the 336 graphene flakes is neglected in our following discussion on the mechanical behavior of the 337 SGA film under compressive load. The SGA film is idealized as a composite consisting of 338 continuous few-layer graphene sheets and an artificial continuum matrix simulating the 339 340 interfacial intermolecular interactions. To reveal the post-buckling stress-strain relation of the SGA film, we follow the approaches for modeling the composites with crimped 341 reinforcements (Hsiao and Daniel, 1996a; Hsiao and Daniel, 1996b). The configuration of 342 the buckled graphene sheets is assumed as a sinusoidal wave with period L and amplitude 343 A, which can be formulated by expression  $z(x) = A\left(1 - \cos\left(\frac{2\pi x}{L}\right)\right)$ . The periodicity in 344 waviness allows us to select a one-period-long representative volume element (RVE) for 345 analysis, as shown in Fig. 8. As the period L and amplitude A of the graphene sheets may 346 vary significantly under external load, this configuration should be deemed as the instant 347 348 configuration, based on which the discussion on the mechanical response of the SGA to a compressive stress increment load  $(\Delta \sigma_{xx})$  along the x direction will be made in the 349 following. 350



Fig. 8. Representative volume element of the composite model and coordinate systems
adopted to model the mechanical behavior of the SGA under compression. P.B.C.: periodic
boundary condition.

351

355 Firstly, we focus on an infinitesimally thin slice with thickness dx, which can be treated as a composite containing parallel graphene sheets at an oblique angle  $\theta$  with respect to the 356 357 x-axis embedded in the artificial matrix simulating the interfacial interaction, as shown in Fig. 8. With the assumption of periodic boundary condition along the z-direction and plane 358 strain condition along the y-direction, the stress load  $\Delta \sigma_{xx}$  along the x-direction would 359 result in changes in the other two normal stress components  $\Delta \sigma_{yy}(x)$  and  $\Delta \sigma_{zz}(x)$  and one 360 shear stress component  $\Delta \tau_{xz}(x)$  but bring no change to the components  $\tau_{yz}$  and  $\tau_{xy}$ . The 361 relationship between the incremental stress and incremental strain of the slice thus is given 362 363 by

where  $[\overline{S}]$  is the instant compliance matrix of the slice in the fixed coordinate system *x*-*yz*. Traditional composite theory indicates that (Hsiao and Daniel, 1996b).

367 
$$[\overline{S}] = [R][T]^{-1}[R]^{-1}[S][T]$$
(14)

where [S] is the compliance matrix in the principal material coordinates 1-2-3, [T] the transformation matrix, [R] the Reuter matrix. Assuming the slice is transversely isotropic in the principal material coordinates 1-2-3, these matrices can be given by

371 
$$[\mathbf{S}] = \begin{bmatrix} S_{11} & S_{12} & S_{13} & 0 & 0 & 0 \\ S_{12} & S_{11} & S_{13} & 0 & 0 & 0 \\ S_{13} & S_{13} & S_{33} & 0 & 0 & 0 \\ 0 & 0 & 0 & S_{44} & 0 & 0 \\ 0 & 0 & 0 & 0 & S_{44} & 0 \\ 0 & 0 & 0 & 0 & 0 & 2(S_{11} - S_{12}) \end{bmatrix}$$
(15a)

372 
$$[\mathbf{T}] = \begin{bmatrix} c^2 & 0 & s^2 & 0 & 2cs & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 \\ s^2 & 0 & c^2 & 0 & -2cs & 0 \\ 0 & 0 & 0 & c & 0 & -s \\ -cs & 0 & cs & 0 & c^2 - s^2 & 0 \\ 0 & 0 & 0 & s & 0 & c \end{bmatrix}$$
(15b)

373 
$$[\mathbf{R}] = \begin{bmatrix} 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 2 & 0 & 0 \\ 0 & 0 & 0 & 0 & 2 & 0 \\ 0 & 0 & 0 & 0 & 0 & 2 \end{bmatrix}$$
(15c)

In Eq. (15a), the components  $S_{11} = \frac{1}{E_{11}}$ ,  $S_{33} = \frac{1}{E_{33}}$ ,  $S_{12} = -\frac{\nu_{12}}{E_{11}}$ ,  $S_{13} = -\frac{\nu_{13}}{E_{11}}$ ,  $S_{44} = \frac{1}{G_{13}}$ with  $E_{11}$  and  $E_{33}$  being the in-plane and out-of-plane moduli (without buckling) of a planar SGA film respectively, and  $G_{13}$  the shear modulus in the 1-3 plane, and  $\nu_{12}$  and  $\nu_{13}$  the Poisson's ratio in the 1-2 and 1-3 planes, respectively. In Eq. (15b),  $c = \cos\theta =$  $\left[1 + \left(2\pi\rho\sin\left(\frac{2\pi x}{L}\right)\right)^2\right]^{-0.5}$ ,  $s = \sin\theta = 2\pi\rho\sin\left(\frac{2\pi x}{L}\right)\left[1 + \left(2\pi\rho\sin\left(\frac{2\pi x}{L}\right)\right)^2\right]^{-0.5}$ , with

379  $\rho = A/L$  being the waviness ratio.

Plane strain condition along the *y*-direction implies  $\Delta \varepsilon_{yy} \equiv 0$ , which according to the second equation in Eq. (13) gives rise to

382 
$$\Delta \tau_{xz}(x) = -\frac{\bar{S}_{21}}{\bar{S}_{25}} \Delta \sigma_{xx} - \frac{\bar{S}_{22}}{\bar{S}_{25}} \Delta \sigma_{yy}(x) - \frac{\bar{S}_{23}}{\bar{S}_{25}} \Delta \sigma_{zz}(x)$$
(16)

383 Since  $\Delta \gamma_{xz} = \Delta(\tan \theta) = 2\pi \sin\left(\frac{2\pi x}{L}\right) \Delta \rho$ , the fifth equation in Eq. (13) implies

384 
$$\Delta \sigma_{yy}(x) = \frac{2\pi \sin\left(\frac{2\pi x}{L}\right)}{\bar{s}_{52}} \Delta \rho - \frac{\bar{s}_{51}}{\bar{s}_{52}} \Delta \sigma_{xx} - \frac{\bar{s}_{53}}{\bar{s}_{52}} \Delta \sigma_{zz}(x) - \frac{\bar{s}_{55}}{\bar{s}_{52}} \Delta \tau_{xz}(x)$$
(17)

Substituting Eq. (16) into Eq. (17) to eliminate  $\Delta \tau_{xz}(x)$  yields

$$386 \qquad \Delta\sigma_{yy}(x) = \frac{2\pi \sin\left(\frac{2\pi x}{L}\right)\bar{s}_{25}}{\bar{s}_{52}\bar{s}_{25}-\bar{s}_{55}\bar{s}_{22}}\Delta\rho + \left(\frac{\bar{s}_{55}\bar{s}_{21}-\bar{s}_{51}\bar{s}_{25}}{\bar{s}_{52}\bar{s}_{25}-\bar{s}_{55}\bar{s}_{22}}\right)\Delta\sigma_{xx} + \left(\frac{\bar{s}_{55}\bar{s}_{23}-\bar{s}_{53}\bar{s}_{25}}{\bar{s}_{52}\bar{s}_{25}-\bar{s}_{55}\bar{s}_{22}}\right)\Delta\sigma_{zz}(x)$$
(18)

On the other hand, periodic boundary condition along the *z*-direction requires  $\frac{\partial \Delta \varepsilon_{zz}}{\partial x} = 0$ , implying that  $\Delta \varepsilon_{zz}$  is a constant independent of *x*. Denoting  $\Delta \varepsilon_{zz} = C$ , according to the third equation in Eq. (13), we have

$$\Delta \varepsilon_{zz} = \bar{S}_{31} \Delta \sigma_{xx} + \bar{S}_{32} \Delta \sigma_{yy}(x) + \bar{S}_{33} \Delta \sigma_{zz}(x) + \bar{S}_{35} \Delta \tau_{xz}(x) = C$$
(19)

By substituting Eqs. (16) and (18) into Eq. (19) to eliminate  $\Delta \tau_{xz}(x)$  and  $\Delta \sigma_{yy}(x)$ ,  $\Delta \sigma_{zz}$ can be given in terms of  $\Delta \sigma_{xx}$  and  $\Delta \rho$  as

393 
$$\Delta\sigma_{zz}(x) = \frac{c}{g(x)} - \frac{f(x)}{g(x)} \Delta\sigma_{xx} - \frac{h(x)}{g(x)} \Delta\rho$$
(20)

394 where

395 
$$f(x) = \frac{\bar{s}_{31}\bar{s}_{25}\bar{s}_{52} - \bar{s}_{35}\bar{s}_{21}\bar{s}_{52} - \bar{s}_{31}\bar{s}_{55}\bar{s}_{22} + \bar{s}_{32}\bar{s}_{55}\bar{s}_{21} - \bar{s}_{32}\bar{s}_{51}\bar{s}_{25} + \bar{s}_{35}\bar{s}_{22}\bar{s}_{51}}{\bar{s}_{52}\bar{s}_{52} - \bar{s}_{55}\bar{s}_{22}}$$

$$g(x) = \frac{\bar{s}_{33}\bar{s}_{52}\bar{s}_{25} - \bar{s}_{35}\bar{s}_{23}\bar{s}_{52} - \bar{s}_{33}\bar{s}_{55}\bar{s}_{22} + \bar{s}_{32}\bar{s}_{55}\bar{s}_{23} - \bar{s}_{32}\bar{s}_{53}\bar{s}_{25} + \bar{s}_{35}\bar{s}_{22}\bar{s}_{53}}{\bar{s}_{52}\bar{s}_{25} - \bar{s}_{55}\bar{s}_{22}}$$

397 
$$h(x) = 2\pi \sin\left(\frac{2\pi x}{L}\right) \frac{\bar{S}_{32}\bar{S}_{25}-\bar{S}_{35}\bar{S}_{22}}{\bar{S}_{52}\bar{S}_{25}-\bar{S}_{55}\bar{S}_{22}}$$

Considering that the SGA along the *z*-direction is free of load, we propose an additional boundary condition as  $\int_0^L \Delta \sigma_{zz} dx = 0$ . Taking the integration on both sides of Eq. (20) and using this condition determine the constant *C* as

401 
$$C = \frac{\Delta \sigma_{\chi\chi} \int_0^L \frac{f(x)}{g(x)} dx + \Delta \rho \int_0^L \frac{h(x)}{g(x)} dx}{\int_0^L \frac{1}{g(x)} dx}$$

402 The first equation in Eq. (13) implies

403 
$$\Delta \varepsilon_{xx} = \bar{S}_{11} \Delta \sigma_{xx} + \bar{S}_{12} \Delta \sigma_{yy}(x) + \bar{S}_{13} \Delta \sigma_{zz}(x) + \bar{S}_{15} \Delta \tau_{xz}(x)$$
(21)

404 Substituting Eqs. (16)(18)(20) into Eq. (21) to eliminate  $\Delta \tau_{xz}(x)$ ,  $\Delta \sigma_{yy}(x)$  and  $\Delta \sigma_{zz}(x)$ 405 yields

406 
$$\Delta \varepsilon_{xx} = \left[ p(x) - \frac{q(x)f(x)}{g(x)} \right] \Delta \sigma_{xx} + \left[ r(x) - q(x)\frac{h(x)}{g(x)} \right] \Delta \rho + C \frac{q(x)}{g(x)}$$
(22)

407 where

408 
$$p(x) = \frac{\bar{s}_{11}\bar{s}_{25}\bar{s}_{52} - \bar{s}_{15}\bar{s}_{21}\bar{s}_{52} - \bar{s}_{11}\bar{s}_{55}\bar{s}_{22} + \bar{s}_{12}\bar{s}_{55}\bar{s}_{21} - \bar{s}_{12}\bar{s}_{25}\bar{s}_{51} + \bar{s}_{15}\bar{s}_{22}\bar{s}_{51}}{(\bar{s}_{52}\bar{s}_{25} - \bar{s}_{55}\bar{s}_{22})}$$

409 
$$q(x) = \frac{\bar{s}_{13}\bar{s}_{52}\bar{s}_{25} - \bar{s}_{15}\bar{s}_{23}\bar{s}_{52} - \bar{s}_{13}\bar{s}_{55}\bar{s}_{22} + \bar{s}_{12}\bar{s}_{55}\bar{s}_{23} - \bar{s}_{12}\bar{s}_{53}\bar{s}_{25} + \bar{s}_{15}\bar{s}_{22}\bar{s}_{53}}{(\bar{s}_{52}\bar{s}_{25} - \bar{s}_{55}\bar{s}_{22})}$$

410 
$$r(x) = 2\pi \sin\left(\frac{2\pi x}{L}\right) \frac{(\bar{s}_{12}\bar{s}_{25}-\bar{s}_{15}\bar{s}_{22})}{(\bar{s}_{52}\bar{s}_{25}-\bar{s}_{55}\bar{s}_{22})}$$

411 Then the effective compressive strain of the RVE, which is equal to the averaged strain of

412 a series of infinitesimal slices over one period along the *x*-direction, is given by

413 
$$\Delta \varepsilon_{\rm c} = \frac{1}{L} \int_0^L \Delta \varepsilon_{xx} dx = \Delta \sigma_{xx} M(\rho) + \Delta \rho N(\rho)$$
(23)

414 where

415 
$$M(\rho) = \frac{1}{L} \left\{ \frac{\int_{0}^{L} \frac{f(x)}{g(x)} dx \int_{0}^{L} \frac{q(x)}{g(x)} dx}{\int_{0}^{L} \frac{1}{g(x)} dx} + \int_{0}^{L} \left[ \frac{p(x)g(x) - q(x)f(x)}{g(x)} \right] dx \right\}$$

416 
$$N(\rho) = \frac{1}{L} \left\{ \frac{\int_{0}^{Lh(x)} dx \int_{0}^{L} \frac{q(x)}{g(x)} dx}{\int_{0}^{L} \frac{1}{g(x)} dx} + \int_{0}^{L} \left[ \frac{r(x)g(x) - q(x)h(x)}{g(x)} \right] dx \right\}$$

417 On the other hand,  $\Delta \varepsilon_c$  is geometrically correlated with  $\Delta \rho$  through (see Appendix C)

418 
$$\Delta \varepsilon_{\rm c} = \left[\frac{\mathbf{K}(k)/\mathbf{E}(k)}{\rho(1+4\pi^2\rho^2)} - \frac{1}{\rho}\right] \Delta \rho \tag{24}$$

419 where 
$$\mathbf{K}(k) \equiv \int_0^{\frac{\pi}{2}} \frac{1}{\sqrt{1-k^2 \sin^2 \theta}} d\theta$$
 and  $\mathbf{E}(k) \equiv \int_0^{\frac{\pi}{2}} \sqrt{1-k^2 \sin^2 \theta} d\theta$  are the complete elliptic

420 integrals of the first and second kind respectively with  $k \equiv \sqrt{\frac{4\pi^2 \rho^2}{1+4\pi^2 \rho^2}}$ . Combining Eqs. (23)

421 and (24) yields the tangent compressive modulus ( $E_{SGA}^{c}$ ) of the SGA film as

422 
$$E_{\text{SGA}}^{c}(\rho) \equiv \frac{\Delta \sigma_{\chi\chi}}{\Delta \varepsilon_{c}} = \frac{\left[\frac{K(k)/E(k)}{\rho(1+4\pi^{2}\rho^{2})} - \frac{1}{\rho}\right] - N(\rho)}{\left[\frac{K(k)/E(k)}{\rho(1+4\pi^{2}\rho^{2})} - \frac{1}{\rho}\right]M(\rho)}$$
(25)

423 From Eq. (25), it can be seen that the compressive stiffness of SGA film  $(E_{SGA}^c)$  is a function 424 of the waviness ratio  $\rho$ , whose initial value is given by

425 
$$E_{\text{SGA}}^{c}(\rho \to 0) = \frac{2S_{12}(S_{12} - S_{13})S_{13}^{2} - 2S_{11}^{2}S_{13}(S_{13} + S_{33}) + 2S_{11}^{3}S_{33} + 2S_{11}(S_{13}^{3} - S_{12}^{2}S_{33} + S_{12}S_{13}S_{33})}{(S_{11}^{2}S_{13}^{2} + S_{12}^{2}S_{13}^{2} + S_{11}^{3}S_{33} - S_{11}S_{12}^{2}S_{33})S_{44}}.$$
 (26)

426 If we assume  $S_{13} = S_{12} = -\nu S_{11}$  (i.e.,  $\nu_{13} = \nu_{12} = \nu$ ), Eq. (26) can be further simplified 427 to be

428 
$$E_{\text{SGA}}^{c}(\rho \to 0) = \frac{2(\nu+1)S_{33} - 2\nu^{2}(\nu+1)S_{11}}{[(\nu^{2}+1)\nu^{2}S_{11} + (1-\nu^{2})S_{33}]S_{44}}$$
(27)

429 Considering that SGA is more condensed along the in-plane directions than in the out-of-  
430 plane direction, we may assume 
$$S_{11} \ll S_{33}$$
. Eq. (27) can be further simplified to be

431  $E_{\text{SGA}}^{c}(\rho \to 0) \cong \frac{2(\nu+1)}{(1-\nu^2)} G_{13}$ , showing that the initial compressive stiffness scales up with the 432 shear stiffness  $(G_{13})$ .

When  $\rho > 0$ , the numerical calculation was applied to Eq. (25) to investigate the dependence of the compressive modulus ( $E_{SGA}^c$ ) on the waviness ratio ( $\rho$ ) as well as the affecting material parameters including  $E_{11}$ ,  $E_{33}$ ,  $G_{13}$ ,  $v_{12}$  and  $v_{13}$ . Considering that the vertical stiffness ( $E_{33}$ ) and Poisson's ratios ( $v_{12}$  and  $v_{13}$ ) generally vary little, here we mainly focus on the effects of  $G_{13}$  and  $E_{11}$ .

Taking  $E_{11}$  as the tensile modulus of the SGA film, which is measured to be 3.5 GPa 438 in the test above, Fig. 9(a) shows the dependence of  $E_{SGA}^{c}$  on  $G_{13}$  and  $\rho$ . It can be seen that 439 if the shear stiffness  $G_{13}$  is taken in the range of 0.5-0.8 GPa, the compressive modulus 440  $E_{SGA}^{c}$  exhibits little variation as the waviness ratio  $\rho$  increases, just as we observed in the 441 experiments (see Fig. 5(a)). In our SGA films, the graphene flakes adhere to each other 442 through van der Waals forces only. By introducing other chemical bonding such as 443 444 hydrogen bonding (Compton et al., 2012; Song et al., 2017), covalent bonding (Song et al., 445 2017), ionic bonding (Park et al., 2008) and polymetric intercalation (Chen et al., 2016; Wan et al., 2020) between the graphene flakes may lead to higher  $G_{13}$  and therefore 446 produce SGA films with the higher compressive modulus  $(E_{SGA}^{c})$  and higher elastic 447 nonlinearity under compression, as predicated by Fig. 9(b). Based on the best fitting to our 448 experimental results above, a reasonable estimation for our SGA films is  $G_{13} = 0.7$  GPa, 449 which is consistent with the value reported in literature (Soule and Nezbeda, 1968). 450

For given  $G_{13} = 0.7$  GPa, Fig. 9(c) shows the dependence of  $E_{SGA}^{c}$  on  $E_{11}$  and  $\rho$ . It 451 can be seen that  $E_{\text{SGA}}^{c}$  exhibits distinct evolutions with  $\rho$ , depending on the value of  $E_{11}$ . 452 For higher  $E_{11}$ ,  $E_{SGA}^{c}$  monotonically increases with  $\rho$ , while for lower  $E_{11}$  it monotonically 453 decreases with  $\rho$ .  $E_{SGA}^{c}$  exhibits little variation with  $\rho$  when  $E_{11}$  falls in the range of 3-4.5 454 455 GPa (Fig. 9(c)). This may explain the excellent linearity of the compressive curves of SGA observed in our experiments. Moreover, Figs. 9 (c) and (d) show that higher  $E_{11}$  results in 456 higher  $E_{SGA}^{c}$ . Therefore, application of thicker flakes, which would lead to higher tensile 457 modulus  $(E_{SGA}^{t})$  (see Fig. 7) and  $E_{11}$ , could produce SGA films with higher compressive 458 modulus ( $E_{SGA}^{c}$ ). 459



Fig. 9. Contours showing the dependence of the compressive modulus  $(E_{SGA}^c)$  of the SGA on (a, b)  $G_{13}$  for given  $E_{11} = 3.5$  GPa, and (c, d)  $E_{11}$  for given  $G_{13} = 0.7$  GPa. The rest model parameters adopted:  $E_{33} = 100$  MPa,  $v_{12} = v_{13} = 0.1$  (Wei and Yang, 2019).

464 **4. Conclusion and discussion** 

460

In this paper, we experimentally investigated the mechanical behaviors of the SGA 465 466 films under tensile and compressive loadings by taking advantage of the curling behavior of the SGA-based bilayers in response to temperature variation. It was shown that the SGA 467 468 film exhibits elastic-perfectly plastic behavior under tension while purely elastic behavior under compression. This result verified our previous prediction based on the molecular 469 dynamics simulations (Wang et al., 2020). Theoretical modeling was further carried out to 470 471 reveal the structural basis accounting for such asymmetric elastoplasticity. Three feature parameters characterizing the mechanical behaviors of the SGA, including tensile modulus 472  $(E_{SGA}^{t})$ , tensile yield strength  $(S_{SGA}^{t})$ , and compressive modulus  $(E_{SGA}^{c})$ , are formulated as 473 the functions of the structural dimensions as well as the mechanical properties of the 474 475 building flakes and the inter-flake interface, showing quantitatively the structure-property

476 relations of the SGA. Our work not only provides a facile yet feasible method to measure 477 the mechanical behaviors of the stacked assemblies of 2D materials, but also sheds lights on the structural dependence of their properties, which would allow us to controllably 478 479 manipulate the mechanical properties of the stacked assemblies of 2D materials by tuning the size and thickness of the building flakes or regulating the interfacial bonding between 480 481 flakes. This will be of great practical value to the application of the SGA and other alike stacked assemblies of 2D materials in sensors and actuators (He et al., 2019; Wang et al., 482 483 2020). Nevertheless, limitations remain present in our work. For example, when modeling the tensile behavior of the SGA, all the graphene flakes were assumed identical and 484 organized regularly in a staggered structure. In reality, however, the shape, size, and 485 thickness of the graphene flakes might differ widely (Yang et al., 2019; Yang et al., 2018; 486 487 Yang and Yao, 2020) and some flakes may be stacked in a tilted way, resulting in voids and flaws in the SGA. Additionally, initial wrinkles, ripples or corrugations often exist in 488 soft membranes like graphene flakes (Chang et al., 2019; Liu et al., 2011b). These 489 structural imperfection would largely affect the mechanical properties of the stacking 490 491 structure (Xie and Wei, 2021). For example, the existence of initial wrinkles or curvatures of the graphene flakes would lead to lower tensile modulus of the SGA film especially at 492 493 small strain before being flattened. Moreover, when preparing the SGA samples using the 494 L-B method (He et al., 2019), the graphene flakes were assembled and condensed by the 495 change of water surface tension induced by the submersion of a piece of sponge. The extent of condensation, which cannot be controlled precisely, may affect the structure of the 496 497 obtained SGA. The effects of these issues on the mechanical behaviors of SGA are beyond 498 the scope of this paper and will be addressed in our future work.

499

# 500 Appendix A. Measurement of the coefficient of thermal expansion of PE

Firstly, a graphene-ethanol solution is sprayed onto the surface of a PE film sample, resulting in random speckles after drying. Then, the PE film is hanged in a temperature test chamber, where the temperature varies (increases or decreases) from the room temperature (25°C) at a rate of 0.5°C/min. Every 4 minutes, a digital photo is taken on the speckled PE surface to capture the thermally-induced deformation of the film. Based on these photos, the strains of PE film along the longitudinal and transverse directions at different temperatures are calculated via image processing (ImageJ). Linear curve-fitting on the data, as shown in Fig. A1, gives the coefficients of thermal expansion along the longitudinal and transverse directions as  $3.58 \times 10^{-4}$ /°C and  $2.54 \times 10^{-4}$ /°C, respectively.



Fig. A1. The deformation (in terms of strain,  $\varepsilon_{\rm PE}$ ) of a PE film along the longitudinal (LD)

- and transverse directions (TD) with the temperature.
- 513

510 511

# 514 Appendix B. Measurement of the elastic modulus of PE

Tensile tests are carried out on PE film samples with a dynamic mechanical analyzer (DMA 8000, PerkinElmer) at different temperatures ranging from  $-15^{\circ}$ C to  $65^{\circ}$ C, which well covers the temperature range employed in the stress-strain curve measurement. The measured elastic modulus of PE ( $E_{PE}$ ), as shown in Fig. B1, exhibits a strong temperature dependence, which can be perfectly described by a quadratic fitting curve  $E_{PE}(T) =$  $0.045T^2 - 6.53T + 284.6$ . This temperature-dependent elastic modulus of PE will be applied when determining the stress-strain curve of SGA film.



Fig. B1. Dependence of the elastic modulus of PE film ( $E_{PE}$ ) on temperature (*T*). Here *N* stands for the number of the tested samples.

525

522

# 526 Appendix C. A geometrical correlation between $\Delta \varepsilon_c$ and $\Delta \rho$

527 For a wavy graphene layer with sinusoidal profile given by  $z(x) = A\left(1 - \cos\left(\frac{2\pi x}{L}\right)\right)$ , 528 its overall length in a period is

529 
$$s = 4 \int_0^{L/4} \sqrt{1 + z'(x)^2} \, dx = 4 \int_0^{L/4} \sqrt{1 + \frac{4\pi^2 A^2}{L^2} \sin^2\left(2\pi \frac{x}{L}\right)} \, dx \tag{C1}$$

530 Letting  $u = 2\pi \frac{(x-L/4)}{L}$  and  $\rho = A/L$ , Eq. (C1) can be simplified to be

531 
$$s = \frac{2L\sqrt{1+4\pi^2\rho^2}}{\pi} \int_0^{\frac{\pi}{2}} \sqrt{1 - \frac{4\pi^2\rho^2}{1+4\pi^2\rho^2}} \sin^2(u) \, \mathrm{d}u = \frac{2L\sqrt{1+4\pi^2\rho^2}}{\pi} \cdot \mathbf{E}(k), \tag{C2}$$

where  $\mathbf{E}(k) \equiv \int_{0}^{\frac{\pi}{2}} \sqrt{1 - k^2 \sin^2 \theta} \, d\theta$  is the complete elliptic integral of the second kind with  $k \equiv \sqrt{\frac{4\pi^2 \rho^2}{1 + 4\pi^2 \rho^2}}$ . We assume that the overall length of the graphene layer is unchanged during the deformation under compression. Then we have

535 
$$\frac{\mathrm{d}s}{\mathrm{d}\rho} = \frac{2\sqrt{1+4\pi^2\rho^2}}{\pi} \mathbf{E}(k) \frac{\mathrm{d}L}{\mathrm{d}\rho} + \frac{8L\pi^2\rho}{\pi\sqrt{1+4\pi^2\rho^2}} \mathbf{E}(k) + \frac{2L\sqrt{1+4\pi^2\rho^2}}{\pi} \mathbf{E}'(k) \frac{\mathrm{d}k}{\mathrm{d}\rho} = 0 \tag{C3}$$

where  $\mathbf{E}'(k) = \frac{d\mathbf{E}(k)}{dk} = \frac{\mathbf{E}(k) - \mathbf{K}(k)}{k}$  with  $\mathbf{K}(k) = \int_0^{\frac{\pi}{2}} \frac{1}{\sqrt{1 - k^2 \sin^2 \theta}} d\theta$  being the complete elliptic integral of the first kind. Substituting this relationship back to Eq. (C3) to eliminate  $\mathbf{E}'(k)$ , we have

539 
$$\frac{dL}{Ld\rho} = \frac{K(k)/E(k)}{\rho(1+4\pi^2\rho^2)} - \frac{1}{\rho}$$
(C4)

540 If we do not distinguish the engineering and true strains at small strain,  $d\varepsilon_c = \frac{dL}{L}$ . Eq. (C4)

541 then can be rewritten as

542 
$$\frac{\mathrm{d}\varepsilon_{\mathrm{c}}}{\mathrm{d}\rho} = \frac{\mathrm{K}(k)/\mathrm{E}(k)}{\rho(1+4\pi^{2}\rho^{2})} - \frac{1}{\rho} \tag{C5}$$

543 This gives rise to the correlation between  $\Delta \varepsilon_c$  and  $\Delta \rho$  as

544 
$$\Delta \varepsilon_{\rm c} = \left[\frac{{\rm K}(k)/{\rm E}(k)}{\rho(1+4\pi^2\rho^2)} - \frac{1}{\rho}\right] \Delta \rho \tag{C6}$$

545

#### 546 Acknowledgment

547 Support for this work from the National Natural Science Foundation of China (Grant no.548 11772283) is acknowledged.

549

#### 550 **References**

Bai, Z., Su, Y., Ji, B., 2016. Buckling behaviors of staggered nanostructure of biological materials.
Journal of Applied Mechanics 83, 031011.

Bhimanapati, G.R., Lin, Z., Meunier, V., Jung, Y., Cha, J., Das, S., Xiao, D., Son, Y., Strano, M.S.,
Cooper, V.R., 2015. Recent advances in two-dimensional materials beyond graphene. ACS Nano
9, 11509-11539.

- Chang, Z., Yang, R., Wei, Y., 2019. The linear-dependence of adhesion strength and adhesion range on temperature in soft membranes. Journal of the Mechanics and Physics of Solids 132, 103697.
- 559 Chen, K., Tang, X., Yue, Y., Zhao, H., Guo, L., 2016. Strong and tough layered nanocomposites560 with buried interfaces. ACS Nano 10, 4816-4827.
- 561 Chen, W., Yan, L., Bangal, P.R., 2010. Preparation of graphene by the rapid and mild thermal
  562 reduction of graphene oxide induced by microwaves. Carbon 48, 1146-1152.

- 563 Coleman, J.N., Lotya, M., O'Neill, A., Bergin, S.D., King, P.J., Khan, U., Young, K., Gaucher, A.,
- De, S., Smith, R.J., 2011. Two-dimensional nanosheets produced by liquid exfoliation of layered
   materials. Science 331, 568-571.

Compton, O.C., Cranford, S.W., Putz, K.W., An, Z., Brinson, L.C., Buehler, M.J., Nguyen, S.T.,
2012. Tuning the mechanical properties of graphene oxide paper and its associated polymer
nanocomposites by controlling cooperative intersheet hydrogen bonding. ACS Nano 6, 2008-2019.

- Fiori, G., Bonaccorso, F., Iannaccone, G., Palacios, T., Neumaier, D., Seabaugh, A., Banerjee, S.K.,
  Colombo, L., 2014. Electronics based on two-dimensional materials. Nature Nanotechnology 9,
  768-779.
- Freund, L.B., Suresh, S., 2004. Thin film materials: stress, defect formation and surface evolution.
  Cambridge university press, Cambridge, UK.

Gao, H., Ji, B., Jäger, I.L., Arzt, E., Fratzl, P., 2003. Materials become insensitive to flaws at nanoscale: lessons from nature. Proceedings of the National Academy of Sciences of the United States of America 100, 5597-5600.

- 577 Geim, A.K., 2009. Graphene: status and prospects. Science 324, 1530-1534.
- Gupta, A., Sakthivel, T., Seal, S., 2015. Recent development in 2D materials beyond graphene.
  Progress in Materials Science 73, 44-126.
- Hanlon, D., Backes, C., Doherty, E., Cucinotta, C.S., Berner, N.C., Boland, C., Lee, K., Harvey,
  A., Lynch, P., Gholamvand, Z., 2015. Liquid exfoliation of solvent-stabilized few-layer black
- 582 phosphorus for applications beyond electronics. Nature Communications 6, 1-11.
- He, J., Xiao, P., Lu, W., Shi, J., Zhang, L., Liang, Y., Pan, C., Kuo, S.-W., Chen, T., 2019. A
  Universal high accuracy wearable pulse monitoring system via high sensitivity and large linearity
- graphene pressure sensor. Nano Energy 59, 422-433.
- Hernandez, Y., Nicolosi, V., Lotya, M., Blighe, F.M., Sun, Z., De, S., McGovern, I., Holland, B.,
  Byrne, M., Gun'Ko, Y.K., Boland, J.J., Niraj, P., Duesberg, G., Krishnamurthy, S., Goodhue, R.,
  Hutchison, J., Scardaci, V., Ferrari, A.C., Coleman, J.N., 2008. High-yield production of graphene
  by liquid-phase exfoliation of graphite. Nature Nanotechnology 3, 563-568.
- Hsiao, H., Daniel, I., 1996a. Effect of fiber waviness on stiffness and strength reduction of
  unidirectional composites under compressive loading. Composites Science and Technology 56,
  581-593.
- Hsiao, H., Daniel, I., 1996b. Elastic properties of composites with fiber waviness. Composites Part
  A: Applied Science and Manufacturing 27, 931-941.
- Huang, Y., Sutter, E., Shi, N.N., Zheng, J., Yang, T., Englund, D., Gao, H.-J., Sutter, P., 2015.
  Reliable exfoliation of large-area high-quality flakes of graphene and other two-dimensional
  materials. ACS Nano 9, 10612-10620.
- Huo, N., Konstantatos, G., 2018. Recent progress and future prospects of 2D-based photodetectors.
  Advanced Materials 30, 1801164.

- Jeon, J., Jang, S.K., Jeon, S.M., Yoo, G., Jang, Y.H., Park, J.-H., Lee, S., 2015. Layer-controlled
   CVD growth of large-area two-dimensional MoS<sub>2</sub> films. Nanoscale 7, 1688-1695.
- Kim, H., Abdala, A.A., Macosko, C., 2010. Graphene/polymer nanocomposites. Macromolecules43, 6515-6530.
- Kim, S.J., Choi, K., Lee, B., Kim, Y., Hong, B.H., 2015. Materials for flexible, stretchable
  electronics: graphene and 2D materials. Annual Review of Materials Research 45, 63-84.
- Ladizesky, N., Ward, I., 1971. Determination of Poisson's ratio and Young's modulus of low density polyethylene. Journal of Macromolecular Science, Part B: Physics 5, 661-692.
- Li, P., Cao, K., Jiang, C., Xu, S., Gao, L., Xiao, X., Lu, Y., 2019. In situ tensile fracturing of
  multilayer graphene nanosheets for their in-plane mechanical properties. Nanotechnology 30,
  475708.
- Li, Z., Yang, Q.-S., 2020. Sensing mechanism of flexible and stretchable composites based on
  stacked graphene. Materials & Design 187, 108384.
- Liu, G., Ji, B., Hwang, K.-C., Khoo, B.C., 2011a. Analytical solutions of the displacement and
- 614 stress fields of the nanocomposite structure of biological materials. Composites Science and
- 615 Technology 71, 1190-1195.
- Liu, N., Pan, Z., Fu, L., Zhang, C., Dai, B., Liu, Z., 2011b. The origin of wrinkles on transferred
  graphene. Nano Research 4, 996.
- Long, M., Wang, P., Fang, H., Hu, W., 2019. Progress, challenges, and opportunities for 2D
  material based photodetectors. Advanced Functional Materials 29, 1803807.
- Nakada, K., Fujita, M., Dresselhaus, G., Dresselhaus, M.S., 1996. Edge state in graphene ribbons:
  Nanometer size effect and edge shape dependence. Physical Review B 54, 17954.
- Novoselov, K.S., Geim, A.K., Morozov, S.V., Jiang, D., Zhang, Y., Dubonos, S.V., Grigorieva,
  I.V., Firsov, A.A., 2004. Electric field effect in atomically thin carbon films. Science 306, 666-669.
- Pan, F., Wang, G., Liu, L., Chen, Y., Zhang, Z., Shi, X., 2019. Bending induced interlayer shearing,
  rippling and kink buckling of multilayered graphene sheets. Journal of the Mechanics and Physics
  of Solids 122, 340-363.
- Pang, Y., Yang, J., Curtis, T.E., Luo, S., Huang, D., Feng, Z., Morales-Ferreiro, J.O., Sapkota, P.,
  Lei, F., Zhang, J., 2019. Exfoliated graphene leads to exceptional mechanical properties of polymer
  composite films. ACS Nano 13, 1097-1106.
- Park, S., Lee, K.-S., Bozoklu, G., Cai, W., Nguyen, S.T., Ruoff, R.S., 2008. Graphene oxide papers
  modified by divalent ions—enhancing mechanical properties via chemical cross-linking. ACS
  Nano 2, 572-578.
- Pomerantseva, E., Gogotsi, Y., 2017. Two-dimensional heterostructures for energy storage. Nature
  Energy 2, 1-6.

- Potts, J.R., Dreyer, D.R., Bielawski, C.W., Ruoff, R.S., 2011. Graphene-based polymer
  nanocomposites. Polymer 52, 5-25.
- Ren, M., Liu, Y., Liu, J.Z., Wang, L., Zheng, Q., 2016. Anomalous elastic buckling of layered
  crystalline materials in the absence of structure slenderness. Journal of the Mechanics and Physics
  of Solids 88, 83-99.
- Song, P., Xu, Z., Wu, Y., Cheng, Q., Guo, Q., Wang, H., 2017. Super-tough artificial nacre based
  on graphene oxide via synergistic interface interactions of π-π stacking and hydrogen bonding.
  Carbon 111, 807-812.
- Sorkin, V., Cai, Y., Ong, Z., Zhang, G., Zhang, Y.-W., 2017. Recent advances in the study of
  phosphorene and its nanostructures. Critical Reviews in Solid State and Materials Sciences 42, 182.
- Soule, D., Nezbeda, C., 1968. Direct basal-plane shear in single-crystal graphite. Journal ofApplied Physics 39, 5122-5139.
- Wan, S., Li, X., Wang, Y., Chen, Y., Xie, X., Yang, R., Tomsia, A.P., Jiang, L., Cheng, Q., 2020.
  Strong sequentially bridged MXene sheets. Proceedings of the National Academy of Sciences of
  the United States of America 117, 27154-27161.
- Wang, S., Gao, Y., Wei, A., Xiao, P., Liang, Y., Lu, W., Chen, C., Zhang, C., Yang, G., Yao, H.,
  2020. Asymmetric elastoplasticity of stacked graphene assembly actualizes programmable
  untethered soft robotics. Nature Communications 11, 1-12.
- Wei, Y., Yang, R., 2019. Nanomechanics of graphene. National Science Review 6, 324-348.
- Xie, W., Wei, Y., 2021. Roughening for Strengthening and Toughening in Monolayer Carbon
  Based Composites. Nano Letters 21, 4823–4829.
- Yang, J., Shen, X., Wang, C., Chai, Y., Yao, H., 2019. Deciphering mechanical properties of 2D
   materials from the size distribution of exfoliated fragments. Extreme Mechanics Letters 29, 100473.
- Yang, J., Wang, Y., Li, Y., Gao, H., Chai, Y., Yao, H., 2018. Edge orientations of mechanically
  exfoliated anisotropic two-dimensional materials. Journal of the Mechanics and Physics of Solids
  112, 157-168.
- Yang, J., Yao, H., 2020. Automated identification and characterization of two-dimensional
  materials via machine learning-based processing of optical microscope images. Extreme
  Mechanics Letters 39, 100771.
- Yao, H., Song, Z., Xu, Z., Gao, H., 2013. Cracks fail to intensify stress in nacreous composites.
  Composites Science and Technology 81, 24-29.
- 667 Zhang, X., Hou, L., Ciesielski, A., Samorì, P., 2016. 2D materials beyond graphene for high-
- 668 performance energy storage applications. Advanced Energy Materials 6, 1600671.
- 669