

The following publication Chen, F., & Peng, G. (2021). Categorical Perception of Pitch Contours and Voice Onset Time in Mandarin-Speaking Adolescents With Autism Spectrum Disorders. *Journal of Speech, Language, and Hearing Research*, 64(11), 4468-4484 is available at https://dx.doi.org/10.1044/2021_JSLHR-20-00725. The *Journal of Speech, Language, and Hearing Research* is available at <https://pubs.asha.org/journal/jslhr>.

Categorical Perception of Pitch Contours and Voice Onset Time in Mandarin-Speaking Adolescents with Autism Spectrum Disorders

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12 **Conflict of Interest:** The authors have declared that no competing interests existed at the time of
13 publication.

14 **Funding Statement:** This study was supported by grants from the Major Program of National Social
15 Science Foundation of China (grant number 18ZDA293), the Social Science Foundation of Hunan
16 Province (grant number 20ZDB003), and the Fundamental Research Funds for the Central Universities,
17 Hunan University (grant number 53111801066).

18

19 **Abstract**

20 **Purpose:** Previous studies have shown enhanced pitch and impaired time perception in individuals with
21 autism spectrum disorders (ASD). However, it remains unclear whether such deviated patterns of auditory
22 processing depending on acoustic dimensions would transfer to the higher-level linguistic pitch and time
23 processing. In this study, we compared the categorical perception (CP) of lexical tones and voice onset
24 time (VOT) in Mandarin Chinese, which utilize pitch and time changes respectively to convey phonemic
25 contrasts.

26 **Method:** The data were collected from 22 Mandarin-speaking adolescents with ASD and 20 age-matched
27 neurotypical controls. In addition to the identification and discrimination tasks to test CP performance, all
28 the participants were evaluated with their language ability and phonological working memory. Linear
29 mixed-effects models were constructed to evaluate the identification and discrimination scores across
30 different groups and conditions.

31 **Results:** The basic CP pattern of cross-boundary benefit when perceiving both native lexical tones and
32 VOT was largely preserved in high-functioning adolescents with ASD. The degree of CP of lexical tones
33 in ASD was similar to that in typical controls, whereas the degree of CP of VOT in ASD was greatly
34 reduced. Furthermore, the degree of CP of lexical tones correlated with language ability and digit span in
35 ASD participants.

36 **Conclusions:** These findings suggest that the unbalanced acoustic processing capacities for pitch and
37 time can be generalized to the higher-level linguistic processing in ASD. Furthermore, the higher degree
38 of CP of lexical tones correlated with better language ability in Mandarin-speaking individuals with ASD.

39 **Keywords:** lexical tone, VOT, categorical perception, pitch contours, Mandarin, ASD

40 **Categorical Perception of Pitch Contours and Voice Onset Time in Mandarin-Speaking**

41 **Adolescents with Autism Spectrum Disorders**

42 **1 Introduction**

43 The speech and language difficulties are central to autism spectrum disorders (ASD) and are one
44 of the critical signs and symptoms for establishing a diagnosis with ASD (Landa, 2008; You et al., 2017).
45 However, the mechanisms that underlie speech and language delay/impairment in ASD remain poorly
46 understood. At the basic acoustic processing level, individuals with ASD tended to show unbalanced
47 auditory perceptual skills towards different aspects of spectral vs. temporal cues, as represented by
48 hypersensitive processing of pitch and hyposensitive discrimination of sound duration (see Haesen et al.,
49 2011; O’connor, 2012 for reviews).

50 Specifically, clinical observations reported that a small portion of low-functioning autistic children
51 owned ‘islands of genius’, such as case descriptions of musical savants with autism owning absolute or
52 ‘perfect’ pitch (Heaton et al., 1998; Kanner, 1943). In addition, more and more research suggested that
53 compared to typically developing (TD) controls, both low- and high-functioning children with ASD
54 (Heaton, 2003, 2005; Heaton et al., 2001, 2008; O’Riordan & Passetti, 2006) and adolescents/adults with
55 ASD (Bonnell et al., 2003; Foxtan et al., 2003; Mottron et al., 2000) had a better pitch memory and was
56 generally more accurate at the processing of melodic pitch contours, and better at identification and
57 discrimination of pitch changes in pure-tone stimuli (a nonspeech material). In stark contrast, both low- and
58 high-functioning children with ASD (Brodeur et al., 2014; Maister & Plaisted-Grant, 2011; Szelag et al.,
59 2004) and adolescents/adults with ASD (Falter et al., 2012; Martin et al., 2010) tended to show poorer
60 performance in the basic auditory processing of sound duration from the evidence of both behavioral and
61 neuroimaging studies, indicating that timing impairments may underpin core features of ASD. As suggested
62 by the functional hypothesis (Lancker, 1980), the accurate and complete perception of speech sounds in
63 native language speakers involves the processing of acoustic information as well as phonological

64 information. It would be meaningful to investigate whether and how the differential auditory and acoustic
65 sensitivity to spectral and temporal cues in ASD extended to the higher-level phonological processing.

66 Mandarin provides us an ideal opportunity to compare the perception of spectral and temporal
67 information, due to the phonemic status of pitch and duration variations over syllables or morphemes in
68 Mandarin. Besides the supra-segmental lexical tones, Mandarin phonology is also well-known for its
69 varieties of aspirated vs. unaspirated consonants. The lexical tone uses the spectral cue of fundamental
70 frequency (F0) to differentiate lexical meanings. For example, the Mandarin syllable *ba/pa/* (*Pinyin*, an
71 alphabetic phonological coding system used in Mainland China, and the corresponding International
72 Phonetic Alphabet enclosed by backslashes) with high-level pitch (Tone 1) means “eight”, and the same
73 syllable means “to pull” when it is pronounced with high-rising pitch contour (Tone 2). Furthermore, the
74 distinctive feature of unaspirated vs. aspirated contrast was acoustically realized as the temporal cue of
75 voice onset time (VOT, defined as the time interval between the beginning of release burst and the onset of
76 glottal pulsing) in Mandarin stops (Abramson & Whalen, 2017; Lisker & Abramson, 1964). For instance,
77 the aspirated Mandarin stop *p/p^h/* carries a much longer VOT compared to the corresponding unaspirated
78 *b/p/*. A series of behavioral and neurophysiological studies have proved that Mandarin-speaking TD adults
79 and children perceived both the linguistic pitch (lexical tone: Chen et al., 2017; Wang, 1976; Xi et al., 2010;
80 Xu et al., 2006) and linguistic time (VOT; Cheung et al., 2009; Feng, 2018; Xi et al., 2009) in a highly
81 categorical perception (CP) mode, with a sharp identification boundary and enhanced sensitivity to
82 between-category contrasts relative to within-category ones (Liberman et al., 1957; Massaro, 1987).
83 Moreover, developmental studies showed that Mandarin-speaking TD children from six-year-olds started
84 to show an adult-like competence in the CP of lexical tones (Chen et al., 2017; Xi et al., 2009), and 10-
85 year-old children generally reached an adult-like CP of VOT (Feng, 2018). Two studies investigated the
86 CP of lexical tones in Mandarin-speaking children with ASD (Chen et al., 2016; Wang et al., 2017), but
87 none of the previous studies have simultaneously explored the processing of lexical tones and VOT in ASD
88 within one single study. To fill the research gap, in the current study, we compared the competence of CP

89 of lexical tones and VOT in Mandarin-speaking individuals with ASD. This study aimed to investigate how
90 different auditory weighting systems towards spectral and temporal cues in ASD influenced their linguistic
91 processing of native phonological categories of lexical tones (Tone 1 vs. Tone 2) and VOT (*b/p/* vs. *p/p^h/*)
92 as indexed by CP measures. Answers to this question would deepen our understanding of the influence of
93 lower-level acoustic processing on the higher-level phonological processing during speech perception with
94 evidence from clinical populations.

95 Furthermore, previous studies on the development of speech perception in TD infants showed a
96 language-dependent perceptual reorganization between 6 and 12 months, by perceptual narrowing for the
97 native phonological contrasts and by perceptually ‘tuning out’ irrelevant acoustic information (Best &
98 McRoberts, 2003; Kuhl, 2000, 2004). Such Perceptual Magnet Effect (Kuhl, 1991; Kuhl et al., 2008) around
99 prototypes laid a foundation for the CP mode of native phonological categories in TD children. However,
100 individuals with ASD tended to exhibit a lack of early interest in social interaction and a reduced preference
101 to speech sounds (Constantino et al., 2004, 2007; Dawson et al., 1998; Klin, 1991; Kuhl et al., 2005), as
102 well as atypical processing bias towards local details and low-level acoustic features (Frith, 1989; Happé
103 & Frith, 2006; Mottron & Burack, 2001). Thus, they might show difficulties in extracting relevant invariant
104 phonetic features and forming proper phonological categories, as reflected by a reduced degree of CP of
105 speech sounds in ASD.

106 In the existing literature, one mismatch negativity (MMN) study (Wang et al., 2017) revealed a
107 deficit in CP of lexical tones in Mandarin-speaking children with autism (mean age = 10.4 years, age range
108 = 9–13), with similar mismatch response (MMR) amplitudes elicited from between-category tonal deviants
109 relative to within-category tonal deviants. Another behavioral study (Chen et al., 2016) also suggested that
110 the low-verbal and low-functioning children with ASD (age range = 6–8, developmental age = 3.7)
111 exhibited no enhanced discrimination accuracy for the between-category tonal pairs (Tone 1 vs. Tone 2),
112 and showed a much wider perceptual width around the boundary relative to age-matched TD children, both
113 pointing to an impaired CP pattern of lexical tones. Also, a huge within-group heterogeneity in the degree

114 of CP was observed based on the behavioral performance (Chen et al., 2016). These corroborating findings
115 seemed to imply an impaired CP of lexical tones in tone language speakers with ASD. However, the notion
116 of an impaired CP pattern (i.e., continuous/non-categorical perception pattern) among all autistic
117 individuals should be interpreted with caution. Firstly, the MMR component (Wang et al., 2017) is elicited
118 without behavioral requirements and in the absence of focal attention, thus it is highly likely that the
119 attention of ASD perceivers per se might exert an influence on phonological processing of speech sounds
120 (Whitehouse & Bishop, 2008). Secondly, the autistic spectrum showed huge variability in terms of speech
121 and language development. The high-functioning ASD with better language and cognitive capacity may
122 not necessarily show an impaired CP pattern. Thirdly, even TD young children often displayed a less precise
123 categorization of speech sounds compared to healthy adults (Hoonhorst et al., 2011; Medina et al., 2010),
124 which was related to the less sufficient experience to native speech sounds compared to adults. Similarly,
125 children with ASD might merely exhibit “weaker or delayed” category formation compared to age-matched
126 TD controls (Soulières et al., 2007), but rather an “impaired” CP pattern throughout their lifespan. To test
127 these hypotheses, by using a behavioral CP paradigm, we investigated the performance of CP of native
128 speech sounds (lexical tones and VOT) in high-functioning adolescents with ASD without severe
129 language/cognitive delays.

130 It remained unclear whether the CP of lexical tones was universally impaired among all the native
131 individuals of the autistic spectrum regardless of age and language/cognitive capacity. If this were the case,
132 it would imply the possibility of developing the CP index as one of the biomarkers for early diagnosis of
133 ASD from speech perception. Alternatively, if the degree of CP was altered among different subgroups of
134 ASD and different ages, it would be necessary to uncover the possible influential factors. The degree of CP
135 of native phonemes in TD children increased with age, due to an accumulation of perceptual development
136 from the tonal information of ambient sound input (Chen et al., 2017). Also, some sub-tests of phonological
137 working memory, such as digit span and nonword repetition, were considered to contribute to the behavioral
138 performance of speech perception (Millman & Mattys, 2017). In this study, we also aimed to investigate

139 whether the high-functioning adolescents with ASD who had longer native language experience could
140 perceive native speech sounds in a preserved CP manner, and whether the performance in CP of speech
141 would be indexed by chronological age, language ability as well as phonological working memory in ASD.

142 In the research field of auditory and speech processing, several recent studies have reported a
143 speech-specific pitch processing atypicality in tone-language-speaking children with ASD (Lau et al., 2020;
144 Jiang et al., 2015; Wang et al., 2017; Yu et al., 2015). In terms of syllable-level pitch processing, Mandarin-
145 speaking children with ASD showed an atypical or impaired processing of lexical tones (Wang et al., 2017;
146 Yu et al., 2015), whereas they showed normal or even enhanced processing of the same pitch information
147 in the nonspeech materials (pure tone or harmonic sound). Such domain specificity of pitch processing was
148 inconsistent with the relevant findings based on non-tonal language speakers. For instance, one study
149 explored the pitch discrimination of real word, nonword, and nonspeech stimuli in English-speaking autistic
150 children (mean age = 126 months) without significant language impairment (Heaton et al., 2008). The
151 perceptual results showed that English-speaking children with ASD were generally more proficient at
152 discriminating pitch contours from both speech (real word and nonword) and nonspeech conditions relative
153 to TD children, pointing to a domain-general account of pitch processing superiority in non-tonal language
154 speakers with ASD (Haesen et al., 2011; Heaton et al., 2008; Järvinen-Pasley & Heaton, 2007). The
155 discrepancy between different language backgrounds suggested that the speech-specific lexical tone
156 processing difficulties in autism were likely to be related to the unique phonological role of lexical tones.
157 Given that lexical tones are superimposed on the syllabic segments, the semantic status of the pitch carriers
158 in speech (real word vs. nonword) might contribute to the performance in CP of lexical tones. Furthermore,
159 as suggested by the Neural Complexity Hypothesis (Samson et al., 2006) in depicting auditory processing
160 in ASD, which proposes that individuals with ASD have difficulties in processing spectrally and temporally
161 complex auditory information. The speech stimuli are more complex regarding the spectro-temporal
162 components, compared with different types of nonspeech stimuli (e.g., pure tones, harmonics, or filtered
163 sounds) adopted in previous studies. Thus, in order to test whether the acoustic complexity also plays a role,

164 it is necessary to introduce another type of nonspeech material in this study, such as iterated rippled noise
165 (IRN) which is comparable to the speech materials in terms of the spectro-temporal complexity (see
166 *Methods* for more details). All in all, this study tried to uncover the nature of speech-specific lexical tone
167 processing difficulties in tone-language-speaking individuals with ASD, by comparing the CP of pitch
168 contours embedded in various types of pitch carriers with varying levels of spectro-temporal complexity or
169 phonemic/semantic relevance.

170 In a nutshell, we focus on two prominent phonological features in Mandarin Chinese, lexical tones
171 and voice onset time (VOT), which utilize pitch and time changes respectively to convey phonological
172 contrasts, aiming to address three main questions: (1) Whether Mandarin-speaking high-functioning
173 adolescents with ASD could perceive two speech continua varying in lexical tone and VOT in a similar
174 categorical manner as neuro-typical peers, (2) Whether the performance in the CP of speech was related to
175 chronological age, language ability as well as phonological working memory in individuals with ASD, and
176 (3) Especially, whether different types of speech and nonspeech pitch carriers with varying levels of
177 spectro-temporal complexity or phonemic/semantic relevance could exert an impact on the perception of
178 pitch contours.

179 **2 Methods**

180 **2.1 Participants**

181 We have initially recruited 22 high-functioning Mandarin-speaking adolescents with ASD and 20
182 age-matched TD controls to participate in this study. Assessed by the local administrant hospitals, all the
183 participants with ASD had nonverbal IQ above 70 using the Raven's Standard Progressive Matrices Test
184 (Raven & Court, 1998) and without moderate to severe language delays (i.e., capable of using full, complex
185 sentences). They were screened for hearing loss using pure tone audiometry and met the criteria for normal
186 hearing. The clinical diagnosis of ASD was established according to the DSM-5 criteria for ASD (American
187 Psychiatric Association, 2013), and further confirmed using the Autism Diagnostic Observation Schedule-

188 2 (ADOS-2; Lord et al., 2012), or Gilliam Autism Rating Scale–Second Edition (GARS-2; Gilliam, 2006)
189 by pediatricians and child psychiatrists with expertise in diagnosing ASD in local hospitals. Approval of
190 the research was granted by the local institutional review board of the Hong Kong Polytechnic University,
191 and a written consent form was obtained from each participant.

192 To avoid the inclusion of individuals who had problems in perceiving synthetic sounds, a minimum
193 accuracy score of 80% in the identification of two ending stimuli (i.e., prototypical tonal/aspiration
194 category) in each continuum was required for the analyses of CP data. All the 20 TD controls (males = 14)
195 met the accuracy criterion for the identification accuracy of both pitch contours and VOT. Of the 22
196 adolescents with ASD, 20 (males = 16) and 15 (males = 11) subjects with ASD met the criterion for pitch
197 contour and VOT condition, respectively. The overview of participant characteristics is presented in Table
198 1. By using the Package ‘Partiallyoverlapping’ in R for the comparison of two partially overlapping samples
199 (Derrick et al., 2017), the two ASD subgroups did not differ from each other in terms of chronological age
200 ($t = -0.23, p = .821$), language ability ($t = -0.11, p = .916$), forward digit span ($t = -0.03, p = .974$), as well
201 as nonword repetition ($t = -0.13, p = .899$). Moreover, both ASD subgroups had similar chronological age
202 and forward digit span as the TD controls ($ps > .05$), but significantly lagged behind the TD controls in
203 terms of language ability (both $ps < .01$) as well as nonword repetition (both $ps < .001$).

204 [Insert Table 1 around here]

205 2.2 Stimuli

206 The pitch contours ranging from Mandarin Tone 2 (high-rising tone) to Tone 1 (high-level tone)
207 were embedded in four types of sound materials: real word (speech), nonword (speech), IRN (nonspeech),
208 and pure tone (nonspeech). The stimulus features are shown in Table 2 in terms of pitch contrast, spectro-
209 temporal complexity, phonemic contrast, and semantic contrast among four types of pitch carriers. The
210 Mandarin monosyllabic words *ba/pa/* with the Tone 1 and Tone 2 were recorded by a native female speaker
211 (44100 Hz sampling rate, 16-bit resolution). Based on the natural pitch templates with Tone 2 (stimulus #1,

212 meaning “to pull”) and Tone 1 (stimulus #7, meaning “eight”), the seven stimuli along the lexical tone
213 continuum (Figure 1a) were synthesized using TANDEM-STRAIGHT software (Kawahara et al., 2009).
214 Then, the seven pitch tiers were extracted and superimposed on the other three types of pitch carriers,
215 including nonword (Figure 1b), IRN (Figure 1c), and pure tone (Figure 1d) using the Pitch-Synchronous
216 Overlap Add implanted in Praat (Boersma & Weenink, 2016). Specially, the nonword *bü/py/* was chosen
217 since it does not exist in Mandarin, but its constituent units of consonant /p/ and vowel /y/ belong to native
218 phonemes for Mandarin speakers. That is to say, the nonsense syllable *bü/py/* contained the phonemic
219 contrast but not semantic contrast in Mandarin. Additionally, two types of nonspeech materials with
220 different levels of spectro-temporal complexity were adopted. The nonspeech material of pure tone is
221 acoustically much simpler than the speech carriers, while the other nonspeech of iterated rippled noise (IRN)
222 using 64 iteration steps (Swaminathan et al., 2008) has a comparable level of spectro-temporal complexity
223 as the speech sounds. All the stimuli were normalized to be 300 ms. To further match the loudness level,
224 the intensity level of pure tone was set to 85 dB, 15 dB higher than that of the other three types of pitch
225 carriers (70 dB).

226 [Insert Table 2 around here]

227 [Insert Figure 1 around here]

228 A schematic diagram of the seven stimuli along the VOT continuum is shown in Figure 6(a). The
229 VOT continuum was synthesized with the following procedures. First, the monosyllabic word *pa/p^ha55/*
230 (with Tone 1, meaning “lying down”) was produced from the same native female speaker, which was used
231 as the basis for manipulation. Then, the syllable *pa/p^ha55/* was normalized to be 300 ms, and it was divided
232 into three parts: the burst release (~5 ms), aspiration (~48 ms), and vowel /a55/ (~247ms). The burst release
233 was referred to as the abrupt burst in the waveform caused by the sudden release of the oral closure when
234 producing stops; the aspiration part contained the frication noise along with the expiratory airflow right
235 after the release of closure and before the vowel portion. During manipulation, the burst release was kept
236 constant, while the aspiration part and vowel part were shortened and lengthened respectively in seven steps

237 ($\Delta = 8$ ms). Lastly, the three parts were concatenated in Praat, generating a continuum of seven equally
238 distanced stimuli ranging from the unaspirated *ba/pa55/* (stimulus #1, meaning “eight”) to the aspirated
239 *pa/p^ha55/* (stimulus #7, meaning “lying down”). All the seven stimuli along the VOT continuum were set
240 to be 300 ms in duration, and 70 dB in mean intensity.

241 **2.3 Tasks and Procedure**

242 Two classical tests for the CP of speech sounds, the identification test and the discrimination test,
243 were both conducted via E-Prime 2.0. The identification test was performed firstly for all the participants,
244 and then the discrimination test. Before performing experimental CP tests, three additional tasks evaluating
245 language ability, digit span, and nonword repetition were performed respectively for each subject.

246 *Language ability:* The overall language ability (Chen et al., 2017; Ning, 2013) was evaluated for
247 each Mandarin-speaking participant, which consists of five subtests (including *Test of Mandarin Grammar*,
248 *Word Definition Test*, *Rapid Automatized Naming*, *Narrative Test*, and *Sentence Comprehension Test*).
249 These subtests evaluated both language comprehension and language expression, and aimed to assess
250 different aspects of language abilities such as phonology, lexicons, grammar, and semantics. The
251 administration time is around 30 minutes.

252 *Digit span:* In order to evaluate the short-term phonological working memory, we administered a
253 digit span task, which included both the forward digit span and backward digit span. However, during data
254 collection, some of the individuals with ASD could not fully understand and follow the instructions of
255 backward digit span with a requirement on both storage information and manipulation by the executive
256 control (Hamann, 2017). Consequently, only the results from the simpler task of forward digit span were
257 reported to ensure the reliability of performance. In the forward digit span task, a series of numbers were
258 played to participants auditorily and they were asked to repeat them immediately. For each digit length (two
259 to nine digits), there were two separate items. The response for each item was regarded as correct and

260 awarded 0.5 points only when the participants could correctly repeat every digit in the right order. The full
261 score for the test of forward digit span is 8.

262 *Nonword repetition:* The nonword repetition task was comprised of 60 items divided equally into
263 20 monosyllabic (e.g., rai4), 20 disyllabic (e.g., bong1nua2), and 20 trisyllabic (e.g., sua3piong4buai1)
264 nonwords, which is compatible with the number of syllables in previous studies (Gathercole et al., 1991).
265 Some of the syllables carried a nasal coda, and each syllable carried diphthongs or triphthongs together
266 with one of the four Mandarin lexical tones, in an effort to increase the task demand and phonological
267 complexity. All the onsets, finals, and lexical tones existed in Mandarin phonology, but the combined
268 syllables within each nonword did not exist in Mandarin. The items, prerecorded by a female native speaker
269 of Mandarin, were played back to participants using E-Prime 2.0 (Psychology Software Tools Inc., USA)
270 on a Windows-based laptop. Monosyllabic, disyllabic, and trisyllabic nonwords were presented in three
271 separate blocks with a pause between the blocks; the items within each block were randomized. Three
272 practice trials were presented within each block before the experimental trials to familiarize subjects with
273 the task. All the TD and ASD participants were asked to repeat the nonwords as accurately as possible. The
274 repeated productions from each subject were recorded, and the recordings were transcribed and scored by
275 a native phonetician afterward. For each item, the average percentage of phonemes (including consonant,
276 vowel, and lexical tone) correctly repeated per nonword was calculated.

277
278 *Experimental CP task 1: Identification test.* First, in the identification test, participants were asked
279 to perform a two-alternative forced-choice (2AFC) paradigm. In the identification training of pitch contours
280 among the four continua, participants were trained to point to one picture depicting a car driving on a level
281 road when hearing a ‘level tone (Tone 1)’, and point to the other picture depicting a car driving on a rising
282 road when hearing a ‘rising tone (Tone 2)’. In the identification training of the VOT continuum, participants
283 were trained to point to one picture with a blue circle when hearing the sound of ‘ba/pa55/’, and point to
284 the other picture with a blue square when hearing ‘pa/p^ha55/’. After participants have acquired the matching

285 between the sounds and their corresponding pictures, a practice block was offered before formal testing. In
286 the practice block, the two ending stimuli of each continuum (#1 and #7) were repeated four times, and
287 minimum accuracy of 80% was required before moving on to the formal block. During the practice blocks,
288 the feedback was offered to the participant, but not in the formal blocks. In the formal block, each stimulus
289 was repeated five times and played randomly. There were totally five different blocks of five continua,
290 including four continua for the pitch condition and one continuum for the VOT condition. Subjects were
291 asked to identify 175 sounds in total ($7 \text{ stimuli} \times 5 \text{ repetitions} \times 5 \text{ continua}$) among five formal blocks. The
292 four identification blocks containing four types of pitch contours were randomly presented, and the order
293 of pitch and VOT conditions was also presented in a random order among participants. The participants'
294 responses were logged by the experimenter via pressing the corresponding keys on the keyboard. Both TD
295 and ASD adolescents were free to have a rest whenever they wanted. The whole identification test lasted
296 around 25 minutes for each participant.

297 *Experimental CP task 2: Discrimination test.* Then, for the discrimination test, the AX paradigm
298 was adopted to instruct subjects to discriminate the two sounds of each pitch/VOT pair as the 'same' or
299 'different'. Also, during the training stage, participants were trained to point to one picture (a happy face
300 with two identical eyes) representing the same pairs, and point to the other picture (a sad face with two
301 different eyes) representing the different pairs. Each practice block contained four pairs along the
302 pitch/VOT continuum (i.e., 1-1, 7-7, 1-7, and 7-1), with each practice pair repeating twice. The minimum
303 discrimination accuracy of 80% was required before moving to the formal block. Feedback was provided
304 to the participants in the practice blocks, but not in the formal blocks. A modified 2-step discrimination test
305 was adopted with no overlapping discrimination units (1-3, 3-5, and 5-7), which were used in some of the
306 ERP studies (e.g., Chen & Peng, 2020). There were 10 testing pairs for each pitch/VOT continuum in the
307 2-step discrimination task, including six pairs (different pairs) consisting of two different stimuli separated
308 by 2 steps in either forward (1-3, 3-5, 5-7) or reverse order (3-1, 5-3, 7-5), as well as four pairs (same pairs)
309 each paired with itself (1-1, 3-3, 5-5, 7-7). Each pair was repeated four times randomly within one formal
310 block, with a 500 ms inter-stimulus interval. There were 200 pairs ($10 \text{ pairs} \times 4 \text{ repetitions} \times 5 \text{ continua}$)

311 distributed among five formal blocks (four pitch blocks and one VOT block). The four blocks of pitch
312 discrimination were randomly presented, and the order of pitch and VOT conditions was presented in a
313 random order as well. All participants were free to have a rest whenever they wanted, and the whole
314 discrimination test lasted around 40 minutes for each subject.

315 **2.4 Scoring and Data Analyses**

316 For both identification and discrimination tests, only the data in formal blocks were involved in
317 further analyses. First, the identification curve was analyzed in term of two key parameters using probit
318 analyses (Finney, 1971): boundary position, which is defined as the corresponding 50% crossover point in
319 a continuum, and boundary width, defined as the linear distance along the stimulus step between the 25th
320 and 75th percentiles as determined by the mean and standard deviation obtained from probit analysis (Hallé,
321 Chang, & Best, 2004). We have replaced 0% with 0.1%, and 100% with 99.9% for individual identification
322 curves at both ends, in order to fit the asymptotic property of probit function (Peng et al., 2010). The
323 boundary position refers to the identification midpoint dividing the two tonal/aspiration categories, and the
324 boundary width indicates the steepness of the response shift around the categorical boundary. Importantly,
325 the boundary width was used to measure the degree of CP in the identification test (Chen et al., 2017). The
326 narrower the boundary width, the steeper the boundary shift, and vice versa.

327 Second, the discrimination pairs were divided into three comparison units (units 1-3, 3-5, and 5-7),
328 each containing four types of discrimination pairs: the same pairs (AA and BB) and different pairs (AB and
329 BA). Adjacent comparison units contained overlapping AA or BB pairs (Peng et al., 2010; Xu et al., 2006).
330 Then, the discrimination accuracy (%) was transferred into the sensitivity index d' for each comparison unit
331 (Macmillan & Creelman, 2005), which takes response bias into consideration. Specifically, for each
332 comparison unit, the d-prime (d') score was computed as the difference between standard normal deviate
333 (z -score) of hit rate (“different” responses to different pairs: AB and BA) and that of false alarm rate
334 (“different” responses to the same pairs: AA and BB). In reference to the boundary position, the comparison

335 units were further classified as between-category type and within-category type for each subject. For
336 instance, if one participant showed a boundary position of 3.94, then for this subject, the between-category
337 sensitivity was referred to the d' of the comparison unit of 3-5, while the within-category sensitivity was
338 calculated as the averaged d' for the comparison units of 1-3 and 5-7. Finally, the d' score of between-
339 category type minus that of within-category type was referred to as the “peakedness score” (Jiang et al.,
340 2012), which represents the benefit magnitude in the discrimination test.

341 Statistical analyses were conducted using linear mixed-effect models (LMMs) in R (R Core Team,
342 2014), by using the package of lme4 (Bates et al., 2014) to create the LMMs. Data points with standardized
343 residuals over 2.5 standard deviations (SD) were removed due to the violation of normal distribution. For
344 the condition of pitch perception, the models were built with *group* (ASD vs. TD), *pitch carrier* (real word,
345 nonword, IRN, and pure tone), and their two-way interaction acting as fixed factors to analyze the boundary
346 width and boundary position for identification analysis, as well as the peakedness score for discrimination
347 analysis. Another LMM was constructed for the pitch discrimination performance using *category type*
348 (within-category vs. between-category), *group* (ASD vs. TD), *pitch carrier* (real word, nonword, IRN, and
349 pure tone), and all possible interactions acting as fixed factors. Furthermore, for each LMM in the VOT
350 condition, the boundary width/boundary position/peakedness score was entered as the dependent measure,
351 with *group* (ASD vs. TD) acting as the fixed effect. In addition, to compare the within- vs. between-category
352 sensitivities to VOT changes, the LMM was built with *category type* (within-category vs. between-
353 category), *group* (ASD vs. TD), and their interaction acting as fixed factors. When fitting all the LMMs in
354 the analyses of identification and discrimination data, the factors of *language ability*, *digit span*, and
355 *nonword repetition* were regarded as controlled covariates, which were centered to reduce multicollinearity;
356 *participant* was included as a random effect. By-participant random intercepts and slopes for all possible
357 fixed factors were included in the initial model (Barr et al., 2013), which was compared with a simplified
358 model that excluded a specific fixed factor using the ANOVA function in lmerTest package (Kuznetsova

359 et al., 2017). Post-hoc pairwise comparisons were performed using the lsmeans package (Lenth, 2016) with
360 Tukey adjustment.

361 Furthermore, linear regression models were constructed in R to examine the potential variables
362 contributing to the ASD participants' CP performance. The approach of linear regression models is
363 considered superior to traditional methods of correlation analyses such as Pearson/Spearman's correlation
364 (Koerner & Zhang, 2017), since the linear regression models consider the mutual influence of different
365 predictors. Hypothesized predictors for the CP of pitch/VOT included chronological age, language ability,
366 forward digit span, and nonword repetition. Evaluation of the degree of CP in the current report included
367 (1) boundary width across four types of pitch carriers, (2) peakedness score across four types of pitch
368 carriers, (3) boundary width in the VOT condition, (4) peakedness score in the VOT condition. Separate
369 models were created for each estimate of CP performance, with all the four predictors added as fixed effects.
370 Parameter estimates, standard errors, t values, and p values for the fixed effects were assessed and reported.

371 **3 Results**

372 **3.1 Categorical Perception of Pitch Contours**

373 **3.1.1 Identification Result**

374 Figure 2(a) shows the overall identification curves, and Figure 2(b) displays the boundary positions
375 for the ASD and the TD groups among four types of pitch carriers. The LMM on boundary position showed
376 a significant two-way interaction of $group \times pitch\ carrier$ [$\chi^2(3) = 13.07, p < .01$], which was further
377 analyzed under different types of pitch carriers respectively. In the real word condition, compared to the
378 TD group ($M = 4.42, SD = 0.43$), the ASD group ($M = 4.97, SD = 0.41$) exhibited a much larger boundary
379 position which was closer to the level end ($\beta = 0.52, SE = 0.19, t = 2.73, p < .01$). However, as shown in
380 Figure 2(b), ASD group and TD group showed a similar boundary position in nonword condition ($\beta = 0.25,$
381 $SE = 0.19, t = 1.29, p = .202$), in IRN condition ($\beta = 0.04, SE = 0.19, t = 0.20, p = .839$), as well as in pure
382 tone condition ($\beta = -0.01, SE = 0.19, t = -0.06, p = .954$).

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[Insert Figure 2 around here]

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[Insert Figure 3 around here]

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3.1.2 Discrimination Result

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The d' values of the between-category and within-category comparison units in ASD and TD groups are displayed in Figure 4 across different pitch carriers. Statistical analysis revealed a significant three-way interaction of *group* \times *category type* \times *pitch carrier* [$\chi^2(3) = 8.47, p < .05$], which was further analyzed under different pitch carriers respectively. First, in the real word condition, LMM on d' values revealed significant main effects of *category type* [$\chi^2(1) = 19.16, p < .001$], and *group* [$\chi^2(1) = 5.28, p < .05$], while the interaction of *group* \times *category type* did not reach significance [$\chi^2(1) = 0.31, p = .575$]. Second, in the nonword condition, there were significant main effects of *category type* [$\chi^2(1) = 19.81, p < .001$], and *group* [$\chi^2(1) = 6.16, p < .05$] on the d' values, while there was no significant interaction effect of *group* \times *category type* [$\chi^2(1) = 2.32, p = .127$]. Third, the LMM on d' values in the IRN condition showed significant main effect of *category type* [$\chi^2(1) = 23.46, p < .001$], while the interaction effect of *group* \times *category type* was not significant [$\chi^2(1) = 0.06, p = .813$]. These findings above indicated that both ASD and TD groups showed much higher d' values in response to between-category unit compared to the within-category unit across pitch carriers of real word, nonword, and IRN. Moreover, as shown in Figure 4, relative to TD

407 controls, the ASD group showed relatively smaller d' values in discriminating both within-category and
408 between-category comparison units in pitch carriers of real word and nonword. Fourth, in the pure tone
409 condition, LMM on d' values exhibited significant main effect of *category type* [$\chi^2(1) = 35.30, p < .001$],
410 as well as interaction effect of *group* \times *category type* [$\chi^2(1) = 10.47, p = .001$]. Post-hoc pairwise
411 comparisons showed that relative to TD group, the ASD group had a lower d' in response to between-
412 category unit ($\beta = -0.89, SE = 0.33, t = -2.70, p < .01$), while the two groups had similar d' values in response
413 to within-category unit ($\beta = 0.21, SE = 0.33, t = 0.62, p = .535$). Moreover, the between-category unit
414 generated a much higher d' value than the within-category type in pure tone condition (Figure 4), for both
415 ASD group ($\beta = 0.64, SE = 0.23, t = 2.80, p < .01$), and TD group ($\beta = 1.74, SE = 0.23, t = 7.57, p < .001$).

416 [Insert Figure 4 around here]

417 The peakedness scores (the d' score of between-category unit minus that of within-category unit)
418 in TD and ASD groups are shown in Figure 5 across different pitch carriers. The mean peakedness scores
419 (SD) for the ASD group and TD group were 1.26 (1.50) and 1.00 (1.59) respectively in the real word
420 condition, 0.75 (1.54) and 1.40 (1.19) in nonword condition, 1.35 (2.00) and 1.48 (1.76) in IRN condition,
421 0.67 (1.09) and 1.74 (1.00) in pure tone condition. For the LMM on peakedness score in the discrimination
422 of pitch contours, neither main effects of *group* [$\chi^2(1) = 0.88, p = .348$], *pitch carrier* [$\chi^2(3) = 1.47, p$
423 $= .688$], nor interaction effect of *group* \times *pitch carrier* [$\chi^2(3) = 5.63, p = .131$] reached significance. The
424 peakedness scores were comparable between ASD and TD groups, and among different types of pitch
425 carriers (Figure 5).

426 [Insert Figure 5 around here]

427 3.1.3 Linear Regression Result

428 Table 3 shows the regression coefficients indicating the relationships between predictors
429 (chronological age, language ability, digit span, nonword repetition) and the degree of CP of pitch contours
430 in individuals with ASD. In the real word condition, *language ability* ($\beta = -0.03, SE = 0.01, t = -3.01, p$

431 < .01) and *digit span* ($\beta = -0.11$, $SE = 0.05$, $t = -2.17$, $p < .05$) were significant predictors for the boundary
432 width (Table 3). The negative regression coefficients (β) indicated that the better language ability or digit
433 span in ASD led to a narrower boundary width (i.e., a steeper identification slope) in the identification of
434 lexical tones in real word. In the nonword condition, only nonword repetition in ASD was significantly
435 associated with the boundary width in the identification of pitch contours embedded in nonword material
436 ($\beta = -5.30$, $SE = 1.66$, $t = -3.20$, $p < .01$), with higher accuracy of nonword repetition contributing to a
437 steeper slope. In the nonspeech pitch carriers of IRN and pure tone, however, none of the significant
438 correlations between predictors and the degree of CP were detected as shown in Table 3.

439 [Insert Table 3 around here]

440 3.2 Categorical Perception of VOT

441 Figure 6(b) shows the identification curves of VOT perception in ASD and TD groups. The analysis
442 on boundary position of VOT continuum showed that two groups had a similar boundary position ($\beta = 0.33$,
443 $SE = 0.38$, $t = 0.89$, $p = .383$). However, compared to ASD group, the TD controls showed a much narrower
444 boundary width in the identification of VOT as shown in Figure 6c ($\beta = -0.88$, $SE = 0.30$, $t = -2.92$, $p < .01$),
445 as well as a higher peakedness score in the discrimination of VOT as shown in Figure 6e ($\beta = 1.65$, $SE =$
446 0.62 , $t = 2.68$, $p < .05$). Furthermore, the LMM on d' values of different category types in VOT condition
447 exhibited a significant interaction effect of *group* \times *category type* [$\chi^2(1) = 8.60$, $p < .01$]. Post-hoc analyses
448 demonstrated that both ASD group ($\beta = 1.23$, $SE = 0.43$, $t = 2.89$, $p < .01$) and TD group ($\beta = 2.88$, $SE =$
449 0.37 , $t = 7.82$, $p < .001$) showed a higher between-category d' value relative to the within-category one
450 (Figure 6d). In addition, the ASD participants showed a much lower d' in response to between-category
451 unit ($\beta = -2.07$, $SE = 0.40$, $t = -5.20$, $p < .001$), while the two groups had similar d' values in response to
452 within-category unit during VOT perception ($\beta = -0.42$, $SE = 0.40$, $t = -1.05$, $p = .297$).

453 [Insert Figure 6 around here]

454 The regression coefficients (estimates, standard errors, *t* values, and *p* values) are presented in Table
455 4, indicating the relationships between predictors and the degree of CP of VOT among ASD participants.
456 None of the four predictors in ASD participants revealed a significant relationship with the boundary width
457 in the VOT identification test (all *ps* > .05). Similarly, there were no significant correlations between the
458 ASD participants' performance on chronological age/language ability/digit span/nonword repetition and
459 the peakedness score in the VOT discrimination test (all *ps* > .05).

460 [Insert Table 4 around here]

461 **4 Discussion**

462 **4.1 Preserved CP Pattern in High-functioning Adolescents with ASD and its Influential Factors**

463 By using a behavioral CP paradigm, we investigated the performance of CP of lexical tones and
464 VOT in high-functioning adolescents with ASD without severe language/cognitive delays. As seen in
465 Figure 4 and Figure 6(d), the high-functioning adolescents with ASD in the current study did perceive the
466 lexical tones and VOT in a preserved CP pattern, as indicated by a much higher *d'* for between-category
467 pairs than that for within-category pairs in both types of continua. Furthermore, the preserved CP pattern
468 of cross-boundary benefit in the speech context was transferred to nonspeech counterparts for both ASD
469 and TD groups (Figure 4), reflecting a carry-over influence of long-term phonological processing from the
470 speech to the nonspeech domain. The preserved CP pattern in ASD was also detected in the perception of
471 other types of speech sounds, such as the CP of vowels (/i-y/ continuum) and consonants by place of
472 articulation (/d-b/ continuum) in both high-functioning children with autism and Asperger syndrome (You
473 et al., 2017), as well as the CP of VOT (/g-k/ continuum) in high-functioning and cognitively able adults
474 with ASD (Stewart et al., 2018). Thus, following the above evidence from the perception of various types
475 of speech sounds, we would be confident to infer that the impaired CP pattern might not apply to all the
476 autistic individuals, but rather tend to be part of a shared vulnerability of language or cognitive
477 delay/impairment in a subgroup of ASD.

478 Thus, the autistic individuals showed large variabilities regarding the degree of CP of speech
479 sounds, with some individuals showing a profoundly impaired CP pattern (Chen et al., 2016; Wang et al.,
480 2017) while others exhibiting a preserved CP pattern albeit with varying levels of competence (this study;
481 Stewart et al., 2018; You et al., 2017). In the current study, we further investigated whether and how
482 chronological age, language ability, and phonological working memory (digit span and nonword repetition)
483 were related to the level of CP competence among individuals with ASD. As shown in Table 3, the overall
484 language ability in ASD was a significant predictor for the boundary width ($p < .01$) in real word condition.
485 The autistic participants with better language ability tended to elicit a narrower boundary width (i.e., a
486 steeper slope) in the identification of lexical tones. The higher degree of CP of lexical tones correlated with
487 better language ability in Mandarin-speaking high-functioning adolescents with ASD. Such correlation was
488 observed as well in the low-functioning younger autistic children (Chen et al., 2016). Furthermore, the
489 degree of CP was correlated with the verbal ability of reading, lexical decision, and verbal IQ in adults with
490 ASD (Stewart et al., 2018). Collectively, the close relationship between phonological processing capacities
491 and language functions was consistently observed in individuals with ASD of various cognitive abilities
492 and different age ranges (Bishop et al., 2004; Chen et al., 2016; Constantino et al., 2007; Stewart et al.,
493 2018). The implication is that some aspects of language difficulties found in individuals with ASD may be
494 related to the reduced CP competence of speech sounds. Our current findings suggested the necessity of
495 further examining the potential links among social competence, speech processing, and language
496 functioning among autistic individuals in future prospective longitudinal work. If the CP competence of
497 native speech turned out to be a reliable predictor of certain language-related abilities in ASD, it would call
498 for an inclusion of CP-related testing and training in the evaluation and intervention of ASD at an early
499 stage.

500 Furthermore, the regression analyses showed that the capacity of digit span in ASD could be a
501 contributing factor for the identification acuity of lexical tones; the nonword repetition in the autism group
502 was a significant predictor for the identification acuity of pitch contours in nonword condition (Table 3).
503 There findings were not surprising given that in the behavioral CP tests, three forms of memory—sensory

504 memory and the short- and long-term forms of categorical memory—are involved (Xu et al., 2006). Besides,
505 the discrimination task in the AX pattern required the recruitment of short-term working memory to store
506 one stimulus and then to compare it with the subsequent one (Mitterer & Mattys, 2017). Both digit span
507 and nonword repetition were used to evaluate short-term phonological working memory (Hamann, 2017;
508 Rispens & Baker, 2012); the nonword repetition task further draws on sub-lexical knowledge to access and
509 maintain new phonological codes, which is thought to measure the representations of "chunks" of phonemes
510 (Shao et al., 2020; Szewczyk et al., 2018). The close correlation between digit span/nonword repetition and
511 the degree of CP found in this study called for the controlling of such confounding factors of the cognitive
512 capacities such as phonological working memory of ASD (Boets et al., 2015). Contrary to our prediction,
513 this study failed to reveal a relationship between chronological age and CP competence across all the
514 stimulus conditions. However, the lack of age effect must be interpreted with caution as it may be attributed
515 to the relatively matured perceptual development in our samples of high-functioning adolescents with ASD,
516 and the lack of power.

517 **4.2 The Influence of Low-level Acoustic Processing on the High-level Phonological Processing**

518 In the research field of general auditory processing, a plethora of studies have implied that
519 individuals with ASD were reported to show atypical and unbalanced auditory processing depending on the
520 acoustic dimensions (spectral vs. temporal) (Alcántara et al., 2012; Groen et al., 2009). As CP of speech
521 sounds reflects the higher-level phonological processing mode, by comparing the CP competence of
522 linguistic pitch (lexical tone) and linguistic time (VOT) in native speakers with ASD at the same time, it
523 can help uncover whether and how lower-level acoustic processing could influence higher-level
524 phonological processing.

525 Based on the current findings, although both the perception of lexical tones and VOT showed a
526 typical CP pattern in Mandarin-speaking adolescents with ASD, the degree of CP varied greatly. As for the
527 behavioral indexes of CP competence (Chen et al., 2019), the boundary width was used to measure the
528 degree of CP in the identification function, with a narrower boundary width indicating a steeper slope, and

529 vice versa; the peakedness score reflected the magnitude of cross-boundary benefit in a discrimination test.
530 During the perception of the lexical tone continuum, the ASD participants showed similar boundary width
531 (Figure 3) and peakedness score (Figure 5) relative to the neuro-typical peers. In stark contrast, during the
532 perception of the VOT continuum, the ASD group showed a much wider boundary width (Figure 6c) and
533 lower peakedness score (Figure 6e). Taken together, for the high-functioning Mandarin-speaking
534 adolescents with ASD, the CP of native lexical tones was largely intact, meanwhile the degree of CP of
535 VOT was greatly reduced. These findings suggest that the unbalanced acoustic processing capacities for
536 pitch and time can be generalized to higher-level linguistic processing from the evidence in ASD. There is
537 a concern that the inferior performance on the CP of VOT in ASD could also be attributed to the relative
538 difficulty levels since the aspirated vs. unaspirated contrast tended to be acquired later relative to lexical
539 tones in Mandarin-speaking TD children (Hua & Dodd, 2000). However, even for the high-functioning
540 adults with ASD, they also showed a less categorical fashion in the perception of the VOT continuum when
541 compared with IQ-matched typically developed adults (Stewart et al., 2018). Thus, the auditory processing
542 difficulties of sound duration in autism are manifested profoundly and further persist into the higher-level
543 phonological processing that involves the basic CP competence of VOT, and the processing of vowel length
544 contrast phonemically to mark semantic distinction such as in Finnish-speaking (Lepistö et al., 2005) and
545 Japanese-speaking (Kasai et al., 2005) individuals with ASD. To conclude, the current findings provide
546 direct evidence that lower-level acoustics underlie higher-level phonological processing in speech
547 perception, since the unbalanced acoustic processing skill (pitch vs. time) in ASD extends to the CP of
548 speech sounds (lexical tones vs. VOT) in native perceivers from the clinical population.

549 **4.3 Lexical Tone Perception Difficulties in ASD and the Underlying Mechanisms**

550 For tone language speakers with ASD, several studies (Chen et al., 2016; Cheng et al., 2017; Lau
551 et al., 2020; Wang et al., 2017; Wu et al., 2020; Yu et al., 2015) pointed to the native lexical tone perception
552 difficulties at both behavioral and neural levels. Yet, our full understanding of lexical tone perception
553 difficulties and their underlying mechanisms in tone language speakers with ASD are still far from complete.

554 Some scholars have proposed a speech-specific mechanism to explain the pitch perception difficulties only
555 in the speech context (Wang et al., 2017; Yu et al., 2015). Others tried to explain the deficits with the
556 ‘allophonic perception’ theory for autism (Huang et al., 2018; M. O’Riordan & Passetti, 2006; You et al.,
557 2017), due to the detail-oriented processing style and enhanced acoustic pitch discrimination skills in autism.
558 By using a fine-grained CP approach, this study investigated the identification, as well as within-category
559 and between-category discrimination of pitch contours embedded in various types of speech and nonspeech
560 contexts. The four different types of pitch carriers (real word, nonword, IRN, pure tone) differ in the levels
561 of spectro-temporal complexity or phonemic/semantic relevance.

562 The degree of CP, as assessed by both boundary width (Figure 3) and peakedness score (Figure 5),
563 did not differ between ASD and TD groups among all the four types of pitch carriers, indicating the well-
564 developed CP of lexical tones in high-functioning adolescents with ASD, and its carry-over influence of
565 long-term phonological processing from the speech to nonspeech domain regardless of the word status and
566 spectro-temporal complexity. Interestingly, the boundary position differed between ASD and TD groups
567 only in the real word condition with semantic information. More specifically, as shown in Figure 2,
568 Mandarin-speaking participants with ASD showed a much higher boundary position (i.e., closer to the level
569 end) relative to TD controls in the real word condition, with a similar pattern called “psychophysical
570 boundary” observed in the non-tonal language speakers who had no tonal language experience (Wang,
571 1976). In other words, the relative perceptual space for the level tone (Tone 1) in Mandarin-speaking
572 individuals with ASD was compressed compared to the TD controls, with ASD participants displaying less
573 tolerance for the ambiguous rising contours to be judged as Mandarin level tone. Compared to the other
574 three types of pitch carriers, the real word condition additionally carried the semantic contrast with the
575 level-ending stimuli (#7) meaning “eight” and the rising-ending stimuli (#1) meaning “to pull”. As
576 suggested by the ‘Ganong effect’ (Ganong, 1980; Stewart & Ota, 2008), which proposed that the boundary
577 of phonetic categorization shifted as a function of lexical-semantic influence from real words, the enlarged
578 perceptual space for the high-level Tone 1 in TD group might be attributed to the stronger influence from

579 semantic effect in the high-frequency numeric word “eight”. Therefore, it was possible that the autistic
580 individuals were less susceptible to higher-level semantic capture when performing a pitch identification
581 task, in line with the ‘Weak Central Coherence’ theory (Frith, 1989; Happé & Frith, 2006). These findings
582 implied that one of the potential reasons responsible for the speech-specific lexical tone perception
583 difficulties in ASD might be caused by a weaker feedback loop from the lexicon to phonemic activation
584 (McClelland & Elman, 1986). This hypothesis should be tested in future studies with the paradigm of
585 ‘Ganong effect’ (Ganong, 1980; Stewart & Ota, 2008), which directly investigates whether the extent to
586 which tone categorization biases the judgment toward a known word is weakened in the ASD group relative
587 to neurotypicals.

588 In the discrimination test, the sensitivity to within-category pitch discrimination was not elevated
589 for the ASD group compared to the TD group in the speech conditions, which seemed to contradict the
590 ‘allophonic perception’ theory for autism (Huang et al., 2018; M. O’Riordan & Passetti, 2006; You et al.,
591 2017). But this phenomenon should be explained with caution. On the one hand, the within-category
592 discrimination does not merely reflect the acoustic pitch processing for the native speakers, since the
593 “dulled” within-category sensitivity was gradually formed with native language experience by perceptually
594 ‘tuning out’ irrelevant acoustic information. Following this line, the high-functioning adolescents with ASD
595 in this study who had an intact CP pattern might not show the ‘allophonic perception’ feature, which was
596 corroborated with the findings in the high-functioning adults with ASD who did not show more accurate
597 within-category discrimination in comparison with TD adults (Stewart et al., 2018). We would speculate
598 the ‘allophonic perception’ pattern to emerge in low-functioning young children with ASD who lack
599 inhibitory mechanisms for suppressing the detection of irrelevant within-category pitch differences, and
600 thus to cause an impaired CP pattern. On the other hand, the behavioral AX discrimination task taps into
601 attentional, and working memory processes, and is not solely assessing discrimination, which has been
602 noted to be unsuitable for the ASD population since a large proportion of them were accompanied with
603 attention and working memory deficits (Heaton et al., 2008). This might be one of the reasons to explain

604 why the autistic subjects performed inferiorly compared to controls across the board regardless of within-
605 and between-category pitch discriminations in the conditions of real word, nonword, as well as IRN.
606 Although with such profound attentional and memory disadvantage, the ASD group nevertheless showed
607 comparable d' values (even higher values but not statistically significant) in response to within-category
608 pairs relative to TD controls in the pitch carrier of pure tone. Compared with other carriers of speech sounds
609 and the nonspeech IRN, the pure tone is much simpler in terms of spectro-temporal complexity (Figure 1).
610 Our current observations on pure tone were consistent with the previous behavioral and MMN findings
611 (Bonnell et al., 2003; Ferri et al., 2003; Gomot et al., 2002; M. O’Riordan & Passetti, 2006), which highly
612 supports the Neural Complexity Hypothesis (Samson et al., 2006). That is, the individuals with autism may
613 display enhancement in pitch discrimination where spectro-temporally simple but not complex stimuli yield
614 superior performances.

615 **4.4 Limitations and Future Directions**

616 This study has several limitations. First, it is important to note that the current conclusions were
617 limited to the high-functioning adolescents with ASD, but not necessarily extended to younger children or
618 low-functioning individuals with ASD. Given the huge heterogeneity within the autistic spectrum, in order
619 to obtain a more robust statistical power, a larger sample size with a broader range of demographic
620 characteristics is needed. Second, one of the big challenges for performing behavioral studies in autism is
621 the reliability and validity of the data, given the serious attention deficits in most individuals with ASD.
622 The current study adopted a modified discrimination test with no overlapping discrimination units, and
623 future studies should try to include all the possible discrimination pairs to increase statistical power. Besides,
624 before formal CP tests we have performed the training stage and practice blocks to familiarize the subjects
625 with the experimental procedures, and a minimum accuracy of 80% was required before moving to the
626 formal blocks. However, in the formal testing blocks, no control items were included to monitor the
627 performance of the participants in this study. For instance, in the discrimination task, only the two-step
628 discrimination pairs were incorporated as the testing stimuli, which was hard for us to judge the reliability

629 of participants' responses. In future studies, we could also involve the easily discriminable pairs (such as
630 the discrimination of the two ending stimuli) with a much larger acoustic distance in the testing blocks in
631 an effort to monitor the behavioral responses during the testing stage. Furthermore, only behavioral research
632 of one representative lexical tone or VOT pair was adopted in the current study. Further investigations with
633 more pairs as well as electrophysiological approaches are warranted to comprehensively uncover the neural
634 mechanisms of the CP of lexical tones and VOT in tone language speakers with ASD, and their correlations
635 with behavioral measurements. Finally, future longitudinal research with younger children with ASD is
636 necessary to chart and compare the developmental trajectory of CP of lexical tones and VOT, to further
637 investigate the age effect on the perception of linguistic pitch and linguistic time in ASD.

638 **5 Conclusions**

639 Despite large individual variability, findings of the current study revealed a preserved CP pattern
640 when perceiving the native lexical tones and VOT in Mandarin-speaking high-functioning adolescents with
641 ASD, with a much higher sensitivity to the between-category pairs compared to the within-category pairs.
642 The degree of CP of lexical tones in ASD was similar to TD controls, whereas the degree of CP of VOT
643 was greatly reduced, reflecting the influence from lower-level acoustic processing of pitch and time. The
644 language ability, digit span, and nonword repetition of ASD participants were found to be significant
645 predictors for the levels of CP competence in some speech conditions of pitch perception. Furthermore,
646 individuals with ASD showed a “psychophysical boundary” similar to the non-tonal language speakers,
647 potentially due to the reduced access to the semantic information of real word. These findings deepened
648 our understanding of phonological processing of different speech elements in the subgroup of high-
649 functioning ASD without severe language/cognitive delay.

650 **6 Acknowledgments**

651 We would like to thank Yan Feng and Yumeng Yang from The Hong Kong Polytechnic University for
652 their assistance in implementing this study.

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910

911 **List of Abbreviations**

912 **ADOS-2:** Autism Diagnostic Observation Schedule-2

913 **ASD:** Autism spectrum disorder

914 **CP:** Categorical perception

915 **GARS-2:** Gilliam Autism Rating Scale–Second Edition

916 **IRN:** Iterated rippled noise

917 **LMM:** linear mixed-effect model

918 **MMN:** Mismatch negativity

919 **MMR:** Mismatch Responses

920 **SD:** Standard deviation

921 **TD:** Typically developing

922 **VOT:** Voice onset time

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925 **Tables**

926

927 **Table 1.** Means (and standard deviations) of chronological age, language ability, forward digit
928 span, and nonword repetition for two ASD subgroups in the perception of pitch contours and
929 VOT respectively, and TD controls.

Group	Number (male)	Chronological Age	Language Ability	Forward Digit Span	Nonword Repetition
ASD (Pitch)	20 (16)	13.87 (2.88)	87.40 (10.38)	6.45 (1.99)	75.03% (7.83%)
ASD (VOT)	15 (11)	14.06 (2.87)	87.73 (10.50)	6.47 (1.65)	75.42% (7.00%)
TD controls	20 (14)	13.46 (0.79)	98.60 (2.06)	7.40 (0.77)	84.96% (2.72%)

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Table 2. The stimulus features of four types of pitch carriers.

<i>Type</i>	<i>Pitch contrast</i>	<i>Spectro-temporal complexity</i>	<i>Phonemic contrast</i>	<i>Semantic contrast</i>
<i>Real word (speech)</i>	+	+	+	+
<i>Nonword (speech)</i>	+	+	+	-
<i>IRN (nonspeech)</i>	+	+	-	-
<i>Pure Tone (nonspeech)</i>	+	-	-	-

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939 **Table 3.** *The regression coefficients indicating the relationships between chronological*
 940 *age/language ability/digit span/nonword repetition and the degree of CP of pitch contours*
 941 *(boundary width/peakedness score) in individuals with ASD.*

Pitch Carriers	Predictors	Boundary Width				Peakedness Score			
		β	SE	<i>t</i>	<i>p</i> value	β	SE	<i>t</i>	<i>p</i> value
Real Word	Chronological Age	0.018	0.028	0.615	0.548	0.130	0.115	1.132	0.275
	Language Ability	-0.032	0.011	-3.009	0.009**	-0.021	0.043	-0.482	0.637
	Digit Span	-0.109	0.050	-2.170	0.046*	0.361	0.203	1.784	0.094
	Nonword Repetition	1.128	1.186	0.951	0.357	-7.081	4.774	-1.483	0.159
Nonword	Chronological Age	0.057	0.047	1.209	0.246	0.012	0.145	0.080	0.937
	Language Ability	0.013	0.016	0.801	0.435	0.046	0.049	0.918	0.373
	Digit Span	-0.084	0.077	-1.089	0.293	-0.296	0.236	-1.254	0.229
	Nonword Repetition	-5.302	1.656	-3.201	0.005**	-3.043	5.079	-0.599	0.558
IRN	Chronological Age	0.005	0.037	0.129	0.899	-0.034	0.171	-0.197	0.846
	Language Ability	-0.020	0.013	-1.575	0.136	0.053	0.059	0.900	0.382
	Digit Span	-0.040	0.060	-0.675	0.510	0.376	0.279	1.346	0.198
	Nonword Repetition	1.234	1.286	0.959	0.353	-2.696	6.015	-0.448	0.660
Pure Tone	Chronological Age	0.056	0.045	1.232	0.237	0.012	0.105	0.113	0.911
	Language Ability	-0.022	0.016	-1.417	0.177	-0.033	0.036	-0.931	0.367
	Digit Span	-0.098	0.074	-1.333	0.202	0.026	0.171	0.149	0.883
	Nonword Repetition	0.542	1.589	0.341	0.738	3.545	3.678	0.964	0.350

942 *******p* < .01, ******p* < .05

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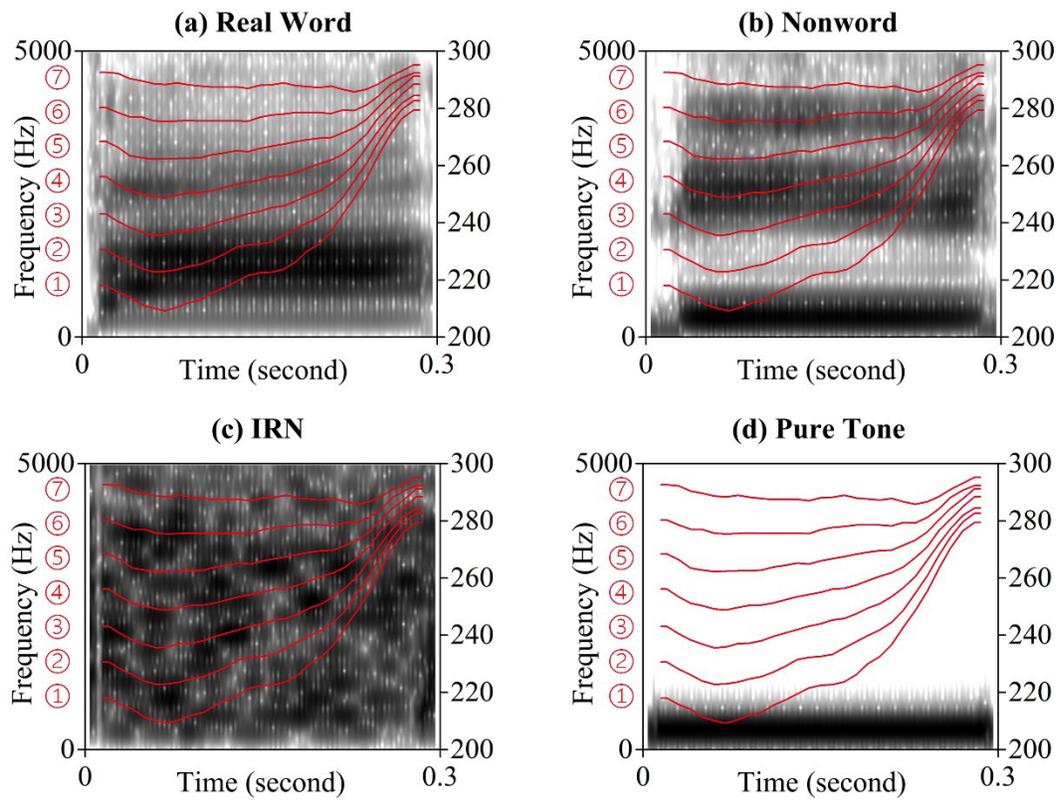
945 **Table 4.** *The regression coefficients indicating the relationships between chronological*
 946 *age/language ability/digit span/nonword repetition and the degree of CP of VOT (boundary*
 947 *width/peakedness score) among participants with ASD.*

	Predictors	Boundary Width				Peakedness Score			
		β	SE	<i>t</i>	<i>p</i> value	β	SE	<i>t</i>	<i>p</i> value
VOT	Chronological Age	-0.001	0.141	-0.010	0.992	-0.134	0.175	-0.772	0.458
	Language Ability	0.068	0.078	0.874	0.403	-0.106	0.096	-1.094	0.299
	Digit Span	-0.354	0.490	-0.721	0.487	0.843	0.604	1.395	0.193
	Nonword Repetition	-5.537	5.480	-1.010	0.336	3.182	6.745	0.472	0.647

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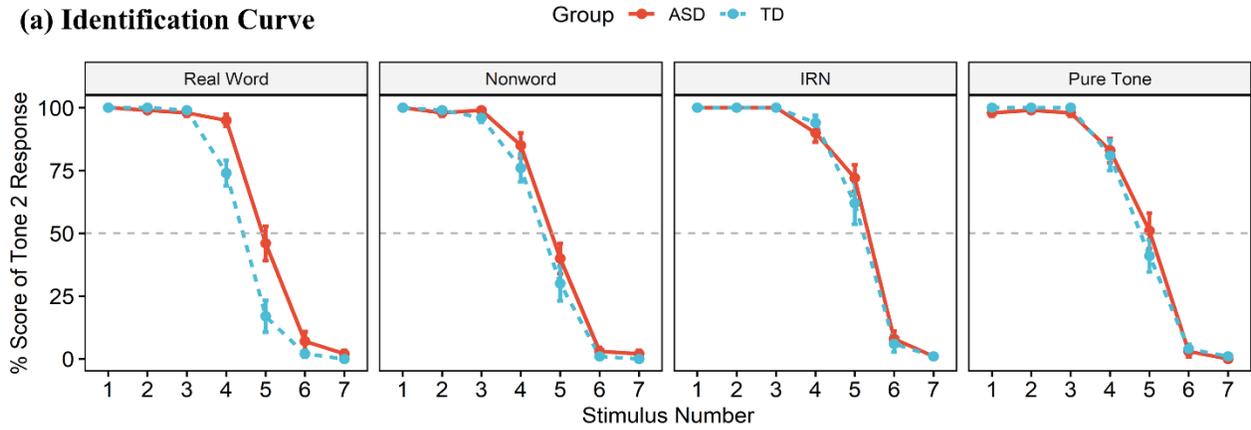
952 **Figure 1.** Schematic diagram of pitch contours embedded in (a) real word, (b) nonword, (c) IRN,

953 and (d) pure tone. The right-side y-axis indicates the F0 in Hz. The red curves indicate the pitch

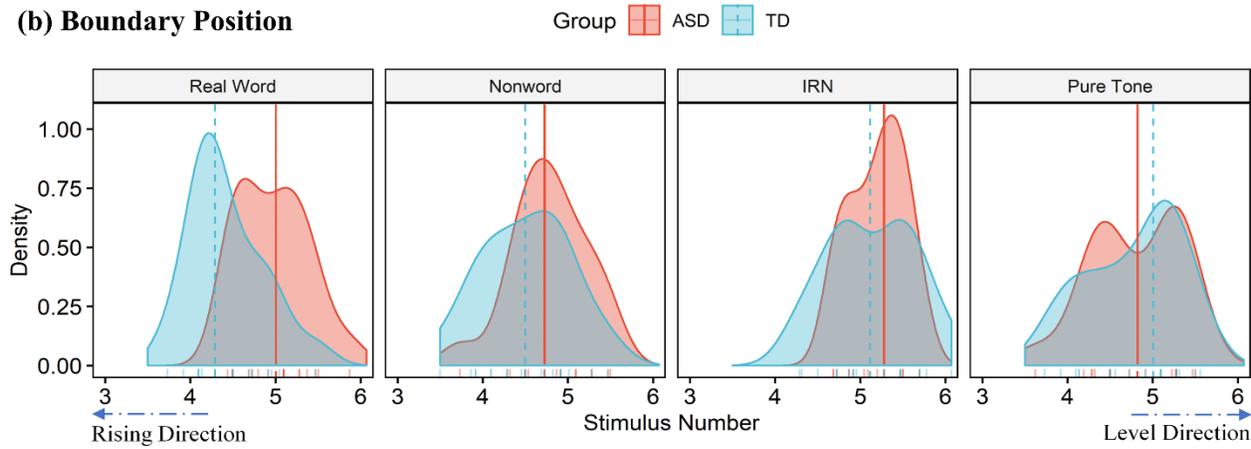
954 contours along each continuum.

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(a) Identification Curve



(b) Boundary Position

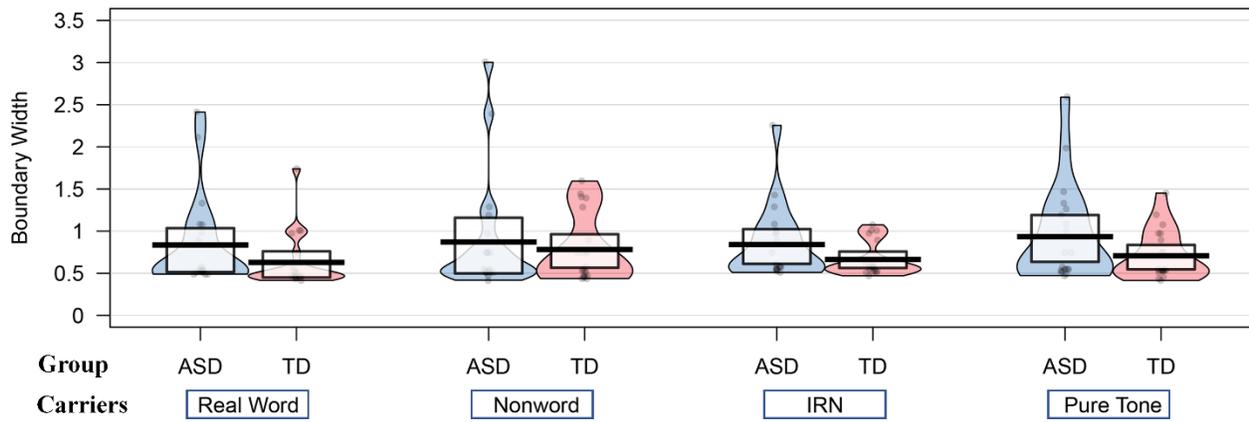


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957 **Figure 2.** (a) The identification curves of Tone 2 responses, and (b) boundary positions in ASD
958 and TD groups among four types of pitch contours (real word, nonword, IRN, and pure tone). The
959 vertical lines indicate the median values of the boundary position.

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Boundary Width by Pitch Carriers and Group

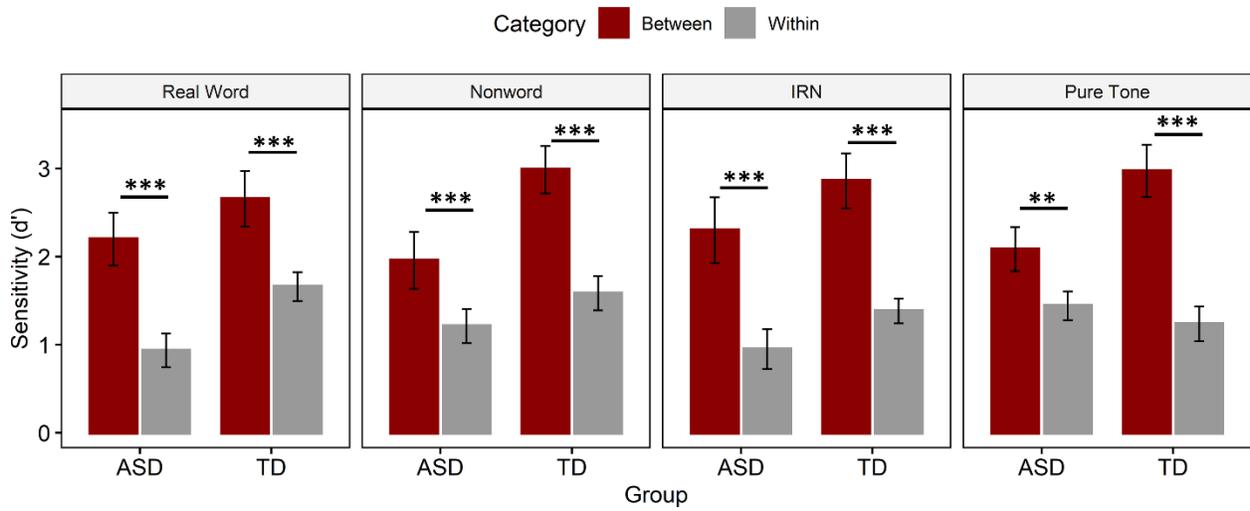


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962 **Figure 3.** The boundary widths in ASD and TD groups among four types of pitch contours (real

963 word, nonword, IRN, and pure tone).

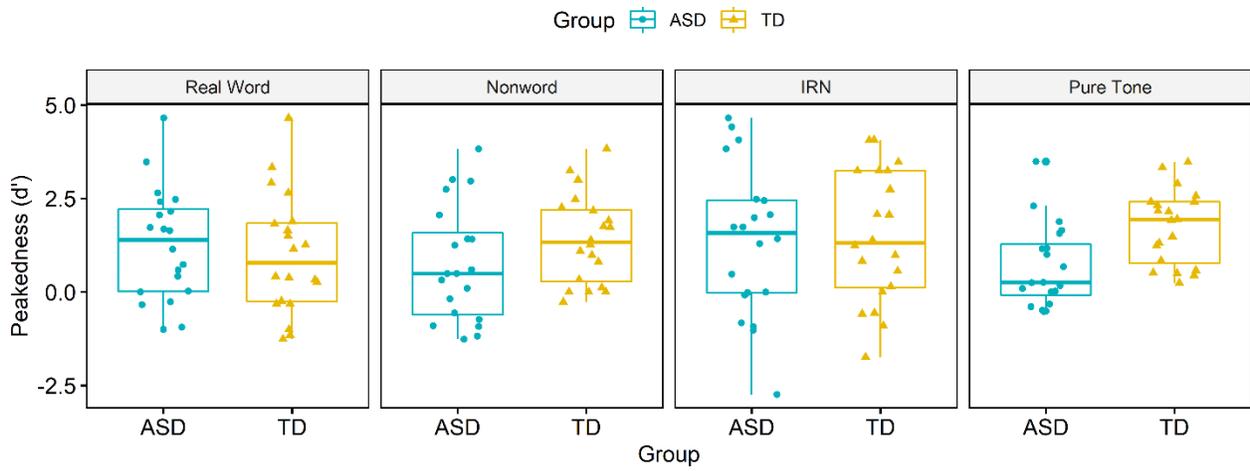
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966 **Figure 4.** The d' of the between- and within-category units for the ASD and TD participants under
 967 different types of pitch carriers (real word, nonword, IRN, and pure tone). Error bars: +/- 1 standard
 968 error. *** $p < .001$, ** $p < .01$

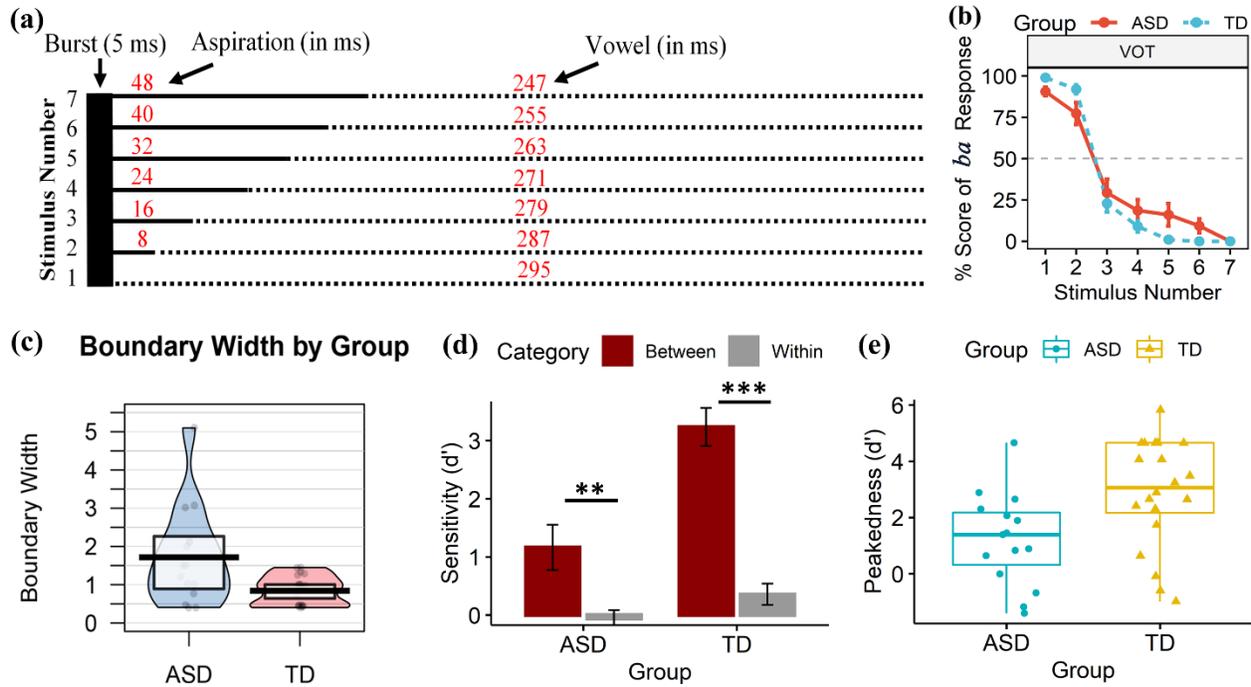
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971 **Figure 5.** Box plots of peakedness scores (d') for the ASD and TD participants under different
 972 types of pitch carriers (real word, nonword, IRN, and pure tone).

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975 **Figure 6.** (a) Schematic diagram of VOT continuum; (b) The identification curves of unaspirated
 976 *ba/pa* responses; (c) The boundary widths for ASD and TD groups in the identification of VOT;
 977 (d) The d' values of the between-category and within-category units for the ASD and TD
 978 participants in the discrimination of VOT; (e) Box plots of peakedness scores (d') for the ASD and
 979 TD participants in discrimination of VOT. *** $p < .001$, ** $p < .01$

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