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4 1 **Effect of ambient air pollution on tuberculosis risks and mortality in**
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6 2 **Shandong, China: A multi-city modelling study of the short- and long-term**
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9 3 **effects of pollutants**

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28
29 17 **Conflict of Interests:**

30 18 All authors declare they have no actual or potential competing financial interest .

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29 **ABSTRACT**

30 **Background:** Few studies conducted in China have assessed the effects of ambient air pollution
31 exposure on tuberculosis (TB) risk and mortality, especially with a multicity setting.

32 **Objective:** We evaluated the effect of short and long term ambient sulfur dioxide (SO₂), nitrogen
33 dioxide (NO₂), carbon monoxide (CO), ozone (O₃), and particulate matter ≤2.5 μm in aerodynamic
34 diameter (PM_{2.5}) exposures on development and mortality of active TB in 7 Chinese cities in
35 Shandong province from January 1, 2013 to December 31, 2017

36 **Methods:** We estimated the pollution-associated risk to new infection TB, recurrent TB and
37 mortality in relation to 1 μg/m³ increases in air pollutants using the penalized multi-variate Poisson
38 regression models.

39 **Results:** A total of 83,555 new infection TB and 3,060 recurrent TB including 997 deaths were
40 recorded. Short and long term exposure to outdoor air pollutants (SO₂, NO₂, CO, O₃, and PM_{2.5}) was
41 significantly associated with new infection TB, recurrent TB risk, and mortality. The dominant
42 positive effects of SO₂, NO₂, CO, and PM_{2.5} for new infection and recurrent TB risk was observed at
43 long term (>30 days) exposure, whereas the dominant effects SO₂, CO, and PM_{2.5} for mortality was
44 observed at short term (≤30 days) exposures. Of the 5 air pollutants we assessed, SO₂, and PM_{2.5}
45 exhibited more consistently and strongly associations with TB related outcomes. We estimated an
46 increase of 1.33% (95% CI: 1.29%, 1.37%), and 3.04% (95% CI: 2.98%, 3.11%) in new infection
47 TB count for each 1 μg/m³ increase of SO₂ at lag 0–180 days, and PM_{2.5} at lag 0–365 days,
48 respectively.

49 **Conclusions:** This epidemiologic study in China shows that air pollution exposure is associated with
50 increased risk of development of active TB and mortality. Control of ambient air pollution may
51 benefit the control and decrease the mortality of TB disease.

52 **Keywords:** Air pollution; Tuberculosis; Recurrent Tuberculosis; Mortality; China; Multi-city;
53 Panelized regression.

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56 1. Introduction

57 Despite improvements in recent years, tuberculosis (TB) remains a major infectious disease globally,
58 and was the 9th leading cause of mortality worldwide, with the majority of which occur in the
59 developing world (WHO, 2017). TB burden in China accounted for approximately 14% of the global
60 total (WHO, 2016). Identification of potential risk factors for TB progression is necessary for
61 reducing the disease burden, and smoking, diabetes, malnutrition, acquired immune deficiency
62 syndrome (AIDS), and immunosuppressive treatment are well-known risk factors for TB progression
63 (Lai et al., 2016; Lin et al., 2007; Lonnroth et al., 2009).

64 Air pollution is a substantial cause of morbidity and mortality worldwide. Numerous studies have
65 reported the deleterious effects of air pollution on human health. Indoor air pollution, which
66 primarily from biomass fuel combustion, was shown to be associated with TB disease in previous
67 meta-analyses (Lin et al. 2007; Sumpter and Chandramohan 2013). Several ecologic and
68 epidemiologic studies also suggests that ambient air pollution exposures may associated with the
69 development of TB (Iwai et al. 2005; Smith et al. 2014; Tremblay 2007). Correlation was found
70 between suspended particles in air and increased TB risk in previous ecologic studies (Iwai et al.
71 2005). However, present epidemiologic studies have provided inconsistent evidences linking outdoor
72 air pollution to TB risks. For instance, several studies found significant associations between
73 particulate matter $\leq 2.5 \mu\text{m}$ in aerodynamic diameter ($\text{PM}_{2.5}$) and positive TB status (Jassal et al.,
74 2013; You et al., 2016). Whereas, other studies suggested no positive correlation between $\text{PM}_{2.5}$ and
75 active TB (Lai et al., 2016; Smith et al., 2014, Hwang et al., 2014). In a nested case-control study,
76 Smith et al (2014) showed that carbon monoxide (CO) and nitrogen dioxide (NO_2) were associated
77 with increased risk of pulmonary TB. Another South Korea study reported that the interquartile
78 increase in sulfur dioxide (SO_2) concentration but not CO, NO_2 or O_3 , was associated with increased
79 incidence of TB in males (Hwang et al., 2014). Whereas, a recent study conducted in Ningbo city in
80 China reported negative correlation between ambient SO_2 exposure and daily TB patient visits.

81 The majority of previous studies were based on single city data (Popovic et al., 2019), and the
82 generalizability of the findings was uncertain. Multicity studies were less prone to biases which
83 might affect small studies and were believed to generate more stable results. In addition, most
84 previous research were conducted in developed countries, and only limited data have been generated
85 in China. In the view of the heterogeneities in population status, pollutant characteristics, and
86 meteorological patterns between developed and developing countries, as well as the enormous TB
87 disease burden in the latter, an urgent need for the multicity analysis about air pollution on the risk of
88 active TB in China. Moreover, evidence for the association between ambient air pollution and the
89 mortality of TB patients is limited. To our knowledge, up to now, only 1 study conducted in
90 Shanghai city in China, has examined the effects of $\text{PM}_{2.5}$ exposure on TB mortality, which showed
91 that long-term $\text{PM}_{2.5}$ exposure might increase the risk of death from TB and other diseases among TB

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181 92 patients (Peng et al., 2016). Further more comprehensive evaluation of ambient air pollution
182 93 exposure and the mortality of TB is also needed.

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184 94 The objectives of the present study were to present an extensive analysis of the effect of short and
185 95 long term ambient SO₂, NO₂, CO, O₃ and PM_{2.5} exposures on development and mortality of active
186 96 TB, using data in 7 large Chinese cities in Shandong province from January 1, 2013 to December 31,
188 97 2017. We quantifies the associations between various air pollutants and new-infection TB, recurrent
189 98 TB risks and mortality with a panelized regression approach. We also explore the spatial
190 99 heterogeneities of the pollutants' effects on TB risks and mortality among 7 cities and compared the
192 100 pollutant-associated risk rate by different sub-groups of population. Both short-term and long-term,
193 101 instantaneously and cumulative lagged effects of air pollutants were studied.

195 102 **2. Methods**

197 103 **2.1 Ethics**

199 104 Ethics approval was obtained from the Ethics Committee of Shandong Provincial Hospital, affiliated
200 105 with Shandong University, Shandong, China. Before analysis, patient records were anonymized
202 106 and deidentified.

205 108 **2.2 Study settings**

207 109 Seven cities in Shandong Province were included in this study, including 6 inland cities Jinan,
209 110 Weifang, Linyi, Jining, Liaocheng, and dezhou; and 1 coastal city Yantai (Fig. 1). Shandong
210 111 peninsula located in the east of China, which is the third largest economic province and the second
211 112 most populous province in China. Jinan, is the capital city of Shandong Province, with a resident
213 113 population of approximately 7 million, and is 8,227 square kilometers. Weifang, Linyi, Jining,
214 114 Liaocheng, dezhou and Yantai had 9.3, 11.2, 8.3, 6.0, 7.2 and 7.1 million residents, respectively. The
215 115 7 cities are more than half of the total population and area of Shandong Province and distributed in
217 116 the eastern, western, southern, northern and central regions of Shandong Province. These cities have
218 117 a typical temperate monsoon climate, with cold and dry winter, high temperature and rainy summer.
220 118 The annual average temperature is 11-14 °C.

224 120 **2.3 Study Population**

226 121 Daily TB case reports in the 7 cities were obtained from the Shandong Provincial Center for Disease
227 122 Control and Prevention (Shandong CDC) from January 1, 2013 to December 31, 2017. China
228 123 implements an online national infectious disease reporting system "China National Notifiable
230 124 Disease Surveillance System". According to the national guidelines on TB control, active or
231 125 suspected TB cases detected in any health facilities should be reported through the reporting system
233 126 within 24 hour. The system recorded patient's demographic data, home address, diagnosis, names of

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the hospital for TB diagnosis and treatment, initial outpatient visit date for TB related symptom, and so on using a standard case report form (Wang et al., 2010). TB cases in the Shandong CDC database were diagnosed by isolation of *Mycobacterium tuberculosis* (*M. tb*) or in the absence of bacteriological confirmation, TB diagnosis were made in the light of clinical, radiologic evidence, and/or histologic grounds together with anti-tuberculosis treatment (prescription for at least 2 anti-tuberculosis medications including isoniazid, rifampin, ethambutol, and pyrazinamide).

2.4 Mortality data

Death information were also obtained from the infectious disease reporting system in the Shandong CDC. The treatment outcomes including cure or end of treatment, treatment failure or incomplete, loss of follow-up, death, or others were reported and documented in the reporting system. The death refer to all cause death.

2.5 Air pollutant data.

Air pollutant data included SO₂, NO₂, CO, O₃ and PM_{2.5} concentration, in each city of Shandong Province from January 1, 2013 to December 31, 2017 were obtained from the department of Ecological Environment of Shandong Province. Air pollutants concentration were measured at the air monitoring stations in each of the 7 cities: 17 stations in Jinan, 10 stations in Weifang, 8 stations in Linyi, 7 stations in Jining, 5 stations in Liaocheng, 6 stations in dezhou, and 11 stations in Yantai. There are 5-17 fixed-site air monitoring stations in each city, which dispersed throughout the metropolitan areas. For SO₂, NO₂, CO and PM_{2.5}, daily data were 24-hour averages, and daily maximum of 8-hourly running average was used for O₃. The Chinese government mandated extensive quality assurance and quality control programs at the monitoring stations in order to provide reliable and comparable real-time hourly air pollutants concentrations. The monitoring measurements have been shown to be able to reflect urban air pollution levels (Chen et al., 2012).

2.6 Meteorological data.

Daily weather information were collected from the China Meteorological Science Data Sharing Service Network for the period from January 1, 2013 to December 31, 2017. The information including daily average temperature, relative humidity, wind speed, and so on was downloaded.

2.7 Statistical analysis

The penalized regression model is adopted to estimate the risk effects of pollution variants on the tuberculosis (TB) incidence and mortality rates (Goeman et al., 2010; Tibshirani et al., 1996). We treat the daily counts of the TB following a Poisson process that depends on many factors including demographic data, weather and pollution factors, and other seasonal factors. We model this Poisson process as a penalized multivariate regression against the pollutant variables and other co-variates. We also include dummy variables to offset the heterogeneities of different cities and detrend the unmeasurable temporal patterns. The regression model is given in Eqn (1).

$$E[Y_t|\tau] = \exp\left[\sum_i \beta_{i,\tau} X_{i,t,\tau} + \sum_j \alpha_{j,\tau} X_{j,t,\tau} + \text{covariates} + \theta\right], \quad (1)$$

where $\beta_i > 0$ for all i s. The function $E[\cdot]$ represents the expectation, and θ is the interception term. The term Y_t denotes the TB counts at time t , and term $X_{i,t}$ denotes the i -th independent pollutant variable (i.e., predictor) at time t . The index i denotes the factor that is penalized, e.g., pollution factors, by forcing the coefficient to be positive. The index j is for those free factors, e.g., temporal and spatial dummy, demographic, weather variables. Thus, the regression parameter α can be unconstrained. The term τ is the lag term. To explore the lagged effects of the pollutants, the models in Eqn (1) are fitted to three types of TB risks with various lag terms (τ).

Single-day lag effect estimates may underestimate the cumulative correlations between air pollution levels and TB outcomes, therefore, we use two types of the lag effects

- the instantaneously lag effect; and
- the cumulative lag effect, which is the effect of the past number of days.

In this work, we consider the lag terms to be ranged from 0 to 365 days. We estimated the overall effects from lag0, lag15, lag30, lag90, lag180, to lag365 for the instantaneously lag effect. And similarly, the effects from lag0-1, lag0-15, lag0-30, lag0-90, lag0-180, and lag0-365 in the cumulative lag model, respectively. For the instantaneously lag effect, the lagged meteorological data are used in the model. And for the cumulative lag effect, the averages of the meteorological data in the past number of days are used in model. For the regression parameters under restriction (β_i), we force the effects of all pollutants to be positive except for the O_3 , of which a free (i.e., no penalty) effect is implemented. The effect estimates were presented as the percentage change (mean and 95% CIs), i.e., the excess rate, in daily mortality for each $1 \mu\text{g}/\text{m}^3$ increase of daily $\text{PM}_{2.5}$ concentrations.

Since the regression framework is based on “ $Y \sim \exp(\beta X)$ ”, the term $[\exp(\beta) - 1] * 100\%$ is the change rate, also known as the excess risk, of “ Y ” (i.e., the TB incidence or mortality risk in this work) when there is one unit increase in “ X ” (i.e., one of the pollution factors). We also explore the relative risk (i.e., risk ratio, RR) between different groups of population. The population are divided according to the genders, age groups, and sputum smear tests. Age group was classified into two levels: 4-64 years and 65 years or above; sputum smear status was grouped into smear positive cases and smear negative cases. We estimation the RRs of each pollution variants to the TB risk of the new infection, recurrent, and death respectively.

We avoid to smooth the variable time series, and instead, we directly use raw data to fit the penalized regression model. Therefore, the models could be noisy so that it may be difficult to provide apparent shape of curve of the effect (β) versus lag (τ). To be able to recognize a clear shape of the curve, it is preferable to restrict the coefficients to vary smoothly with lag terms. Inspired by previous study (Zanobetti et al., 2003), we restrict the effect terms to a natural cubic spline of the lag

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terms. Hence, for the i -th variable, we have $\beta_{i,\tau} = \beta_i(\tau) = ns_i(\tau)$, where “ns” represents the natural cubic spline function. Here, we consider τ ranging from 0 to 365 days, and set up 5 knots, which are evenly distributed in one year period (i.e., from 0 to 365 days), to develop the spline function of each effect function for all is in Eqn (1).

To check the fitting performance of the penalized regression model, the likelihood ratio (LR) test is adopted to verify the significance of the full model in Eqn (1) against the null model. To further investigate the spatial heterogeneity in the seven different cities, we employ the Cochran's Q test to exam the heterogeneity of the pollutant effect estimates in different places (Lin et al., 2016).

All data process and analysis are conducted by using **R** (version 3.4.3) (Team et al., 2013). **R** package ‘penalized’ is used for fitting the penalized regression models (Goeman et al., 2018). **R** package ‘Epi’ is used for developing the natural spline function of the effect (β) versus lag (τ) (Carstensen et al., 2008). **R** package ‘metafor’ is used for conducting the Cochran's Q tests (Viechtbauer et al., 2010).

3. Results

3.1 Descriptive results

Table 1 summarizes the incidence of new infection TB, recurrent TB, and death in the seven cities in Shandong province from 1st January 2013 to 31st December 2017. During the study period, a total of 83555 new infection TB cases, and 3060 recurrent TB cases, including 997 deaths were recorded in the seven cities. The daily incidence of new infection TB and recurrent TB (per 100,000 population) varied among the cities and ranged from 24.3 to 48.5, and from 0.5 to 1.7, respectively. The daily incidence rates of death in all TB cases range from 0.2 to 0.6 (per 100000 population) and from 6.2 to 15.7 (per 1000 infection) respectively. There was an overall decreasing trend of new infection TB incidence in the seven cities over the period. Six of seven cities have decreasing trends of the recurrent TB except Yantai. However, we also found increasing trends of mortality rates in the TB cases in Shandong. Yantai has a highest mortality rate and Liaocheng has the lowest.

Table 1 also summarizes the air pollutants concentration, and meteorological variables in the seven cities. The daily mean concentrations of SO₂ in the cities ranged from 23.2 to 56.0 µg/m³, NO₂ ranged from 34.6 to 51.3 µg/m³, CO ranged from 0.8 to 1.7 µg/m³, O₃ ranged from 106.9 to 129.3 µg/m³, and PM_{2.5} ranged from 44.2 to 97.7 µg/m³. Jinan had the highest levels of SO₂ and NO₂, whereas Liaocheng showed the highest concentrations of CO and PM_{2.5}. Yantai, the only coastal city, showed the lowest concentrations of SO₂, NO₂, CO and PM_{2.5}. The mean temperature of the seven cities ranged from 13.1 °C to 15.4 °C. Table 2 shows the the mean values for the Spearman correlation coefficients between air pollutants in seven cities from 2013 to 2017. SO₂, NO₂, CO, and PM_{2.5} was positively correlated with each other, whereas O₃ had negative correlation with other pollutants.

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3.2. Regression results

Table 3 shows results from the single-lag day (L0, L15, L30, L90, L180, L365) and cumulative lag days (L0-1, L0-15, L0-30, L0-90, L0-180, L0-365) using multi-pollutants models for the percent increase (mean and 95% CIs) in daily new infection TB, and recurrent TB counts per 1 µg/m³ in pollution in seven cities during 2013–2017.

New infection TB In single-day lag models, statistically significant effects on new infection TB counts were observed for SO₂ (L0, L15, L30, L180, L365), NO₂ (L180), O₃ (L0, L15, L30, L365), CO (L30), and PM_{2.5} (L0, L15, L30, L90) exposure (all p-value < 0.05). In the cumulative exposure models, high exposure to SO₂ (L0-1, L0-15, L0-30, L0-180), NO₂ (L0-15, L0-30, L0-90, L0-365), O₃ (L0-1, L0-15, L0-30, L0-365), CO (L0-15, L0-30) and PM_{2.5} (L0-1, L0-15, L0-30, L0-180, L0-365) were all significantly associated with increased incidence of new infection TB (all p-value < 0.05). For instance, we estimated an increase of 1.33% (95% CI: 1.29%, 1.37%), 1.58% (95% CI: 1.54%, 1.62%), and 3.04% (95% CI: 2.98%, 3.11%) in new infection TB count for each 1 µg/m³ increase of SO₂ at lag 0–180 days, NO₂ at lag 0–90 days, and PM_{2.5} at lag 0–365 days, respectively. The effect estimates of the 5 pollutants in multi-day exposure models generally produced larger estimates compared with the single-day lag models, suggesting the cumulative effects of SO₂, NO₂, O₃, CO, and PM_{2.5} on new infection TB. The effect estimates achieved highest at lag 0-180 for SO₂, at lag 0–90 for NO₂, at lag 0-15 for O₃, at lag 0-30 for CO, at lag 0-365 for PM_{2.5}, respectively. Thus, we used these lags for each pollutant in the following analyses. We show the estimated percentage change rates of new infection TB of all lags from 0 to 365 days in the Figure S1.

Recurrent TB In single-day lag models, statistically significant relationships were observed for recurrent TB incidence with SO₂ (L0, L15, L30, L180, L365), NO₂ (L90), O₃ (L0, L15, L30, L365), CO (L15, L30, L180), and PM_{2.5} (L0, L15, L90, L180) (P < 0.05). In cumulative exposure models, exposure to SO₂ (L0-1, L0-15, L0-30, L0-365), NO₂ (L0-90, L0-365), O₃ (L0-1, L0-15, L0-30, L0-365), CO (L0-15, L0-30, L0-90, L0-180, L0-365) and PM_{2.5} (L0-90, L0-180, L0-365) were also significantly associated with increased incidence of recurrent TB (p-value < 0.05). We estimated an increase of 0.59% (95% CI: 0.50%, 0.67%), 0.49% (95% CI: 0.43%, 0.54%), 1.47% (95% CI: 1.41%, 1.53%) and 0.55% (95% CI: 0.52%, 0.57%) in recurrent TB count for each 1 µg/m³ increase of SO₂ at lag 0–180 days, NO₂ at lag 90 days, O₃ at lag 0-365 days and PM_{2.5} at lag 0–365 days, respectively. We show the estimated percentage change rates of recurrent TB of all lags from 0 to 365 days in the Figure S1.

Mortality Statistically significant effects on mortality were observed for exposure to SO₂ (L15, L30, L180), NO₂ (L180, L365), O₃ (L180), CO (L15, L30), and PM_{2.5} (L15, L30, L90) in the single-

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day lag models. In cumulative-day lag models, SO₂ (L0-1, L0-15, L0-30), NO₂ (L0-90), O₃ (L0-180), CO (L0-15, L0-30) and PM_{2.5} (L0-15, L0-30, L0-90) were significantly associated with increased incidence of mortality. An increase of 1 μg/m³ in SO₂ (L0-1), NO₂ (L365), O₃ (L0-180), and PM_{2.5} (L0-30) were associated with a 0.12% (95% CI: 0.11%, 0.14%), 0.07% (95% CI: 0.03%, 0.11%), 0.38% (95% CI: 0.34%, 0.41%) and 0.20% (95% CI: 0.19%, 0.22%) increase of mortality, respectively. The cumulative effect estimates of SO₂ and PM_{2.5} were larger than the single day exposures. We also show the percentage change rate estimate of the TB mortality in the Figure S1.

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Fig. 2 illustrates the percentage increase of new infection TB incidence associated with a 1 μg/m³ increase of air pollutants concentrations in the seven cities. In the multi-pollutants models, statistically significant effects of SO₂ (L180), NO₂ (L90), O₃ (L30), CO (L15), and PM_{2.5} (L365) with new infection TB incidence were observed in most of the cities we studied. However, the associations of the five pollutants with new infection TB varied by cities (Fig. 2), and Q-tests showed that the heterogeneity was statistically significant for meta-analyses (all p-value < 0.05). For instance, we observed that Yantai, which with lowest concentrations of SO₂, NO₂, CO and PM_{2.5} among the seven cities, showed higher effect estimates per unit increase of SO₂, CO and PM_{2.5} concentrations (Fig. 2). The percentage change estimation results of TB risks to different pollutants in different cities of all lags from 0 to 365 days were shown in Figure S2.

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3.3. Stratified analyses

Table 4 shows the effect estimates of stratified analyses by age group, sex, and sputum smear tests. For new infection TB, the effect estimates of SO₂ and O₃ were higher among the females, the young population (4-64 years old), and among smear positive cases; whereas, the effect estimates of NO₂ and PM_{2.5} were higher among the males, the elderly aged 65 years or more, and also among smear positive cases. For recurrent TB, high exposure to NO₂, CO, and PM_{2.5} was associated with increased risk of recurrent TB among men and smear positive cases, but not among women and smear negative cases. For different age groups, the effect estimates of O₃ and PM_{2.5} among the young population were found higher than those among the elderly, whereas a higher risk of recurrent TB was observed among the elderly exposed to higher levels of NO₂. We also showed the effect estimates of stratified analyses by age group, sex, and sputum smear tests of all lags from 0 to 365 days in the Figure S3-S8.

4. Discussion

To the best of our knowledge, this is the largest epidemiologic study and the first multicity study to date on the association between ambient air pollution and TB outcomes in China. Using data from seven cities in the Shandong province, our study provided evidence that short and long term exposure to outdoor air pollutants (SO₂, NO₂, O₃, CO, and PM_{2.5}) was significantly associated with

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535³⁰³ new infection TB, recurrent TB incidence, and mortality using multi-pollutants models. SO₂, and
536³⁰⁴ PM_{2.5} exhibited more consistently and strongly associations with TB risks among the 5 air pollutants.
537³⁰⁵ Moreover, the health effects implicated different lag structures for various pollutants in our study.
538³⁰⁶ Specifically, the positive effects of SO₂, NO₂, O₃ and PM_{2.5} for new infection TB and recurrent TB
539³⁰⁷ were observed at both short (≤ 30 days) and long term (with the length of lag from 31 to 365 days)
540³⁰⁸ exposure. The significant effects of CO for new infection TB were observed at within 30 days
541³⁰⁹ exposure only, and for recurrent TB were found at both short- and long-term exposure. Additionally,
542³¹⁰ the dominant positive effects of SO₂, CO, and PM_{2.5} for mortality was observed at short term (≤ 30
543³¹¹ days) exposures.
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547³¹² In the present study, we found a consistently positive correlation between SO₂ exposure and
548³¹³ increased incidence of new infection TB, recurrent TB, and mortality rate. Mixed findings were
549³¹⁴ reported in previous studies investigating the effects of SO₂ exposure on TB incidence. A recent
550³¹⁵ time-series study by Zhu et al. (2018) and a previous Korea study by Hwang et al. (2014) which both
551³¹⁶ explored short-term exposure effects, showed that SO₂ exposure led to an increased risk of TB
552³¹⁷ incidence. But the study by Hwang et al. (2014) only used single pollutant models, with no
553³¹⁸ adjustment made for possible confounding pollutants. Other 2 epidemiological studies suggested no
554³¹⁹ significant associations between TB and SO₂ level in Taiwan (Lai et al., 2016) and in northern
555³²⁰ California (Smith et al., 2016). Interestingly, a recent study conducted in Ningbo, China, suggested
556³²¹ short-term SO₂ exposure contributed to decreased risk of initial TB outpatient visits. China is one of
557³²² the few countries with highest SO₂ levels in the world (Su et al., 2011). Shandong and its
558³²³ surrounding Beijing-Tianjin-Yi areas have gathered electricity, iron and steel, chemical and other
559³²⁴ high-energy industries, with a large amount of coal and other energy consumption. Especially after
560³²⁵ the winter heating season, the emission of pollutants from coal burning increased, the emission of
561³²⁶ SO₂ increased nearly 50% and PM_{2.5} emission increased by 30%. For example, the mean SO₂
562³²⁷ concentrations in the seven cities in our study ranged from 23.2 $\mu\text{g}/\text{m}^3$ (Yantai) to 56.0 $\mu\text{g}/\text{m}^3$ (Jinan).
563³²⁸ In contrast, Sunyer et al. reported that the mean SO₂ levels in 7 European cities varied from 5 $\mu\text{g}/\text{m}^3$
564³²⁹ (Stockholm) to 21 $\mu\text{g}/\text{m}^3$ (London) (Sunyer et al., 2003b). SO₂ concentrations in Ningbo were also
565³³⁰ lower than most cities in China with a daily average of 25 $\mu\text{g}/\text{m}^3$, and the counter-intuitive protective
566³³¹ effects of SO₂ reported by Ge et al. (2017) is observed based on single city data with less than one
567³³² week lag time window. In contrast, our results are based on the recent 5 years data from seven cities
568³³³ that are broadly representative of the Northern China population and with one year observation time
569³³⁴ lag window. Moreover, we use multi-pollutants models to adjust for potential confounding effects of
570³³⁵ co-pollutants. After adjusting for PM_{2.5}, NO₂, CO and O₃, the current analysis suggests that short and
571³³⁶ long term SO₂ exposure was independently related with TB outcomes in the 7 cities in Shandong
572³³⁷ province. The heterogeneity of various findings may reflect differences in the characteristics of local
573³³⁸ air pollution or patterns of exposure among local residents.
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579³³⁹ In the present study, exposure to PM_{2.5} was found to be significantly associated with an increased
580³⁴⁰ risk of new infection and recurrent TB as well as mortality. Similarly, in previous research, PM_{2.5}
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594³⁴¹ was also shown to be more strongly and frequently associated with TB related outcomes than other
595³⁴² pollutants. Four of prior six studies analyzing PM_{2.5} and TB prevalence or mortality, reported
596³⁴³ statistically significant effects (Jassal et al., 2013; Peng et al., 2016; Smith et al., 2014; You et al.,
597³⁴⁴ 2016). Different lag structures of PM_{2.5} for TB incidence and mortality were observed in our analysis.
599³⁴⁵ Statistically significant effects on TB incidence (new infection TB and recurrent TB) were seen
600³⁴⁶ ranging from lag0 to one year, with the largest effect estimates of PM_{2.5} obtained at long-term
602³⁴⁷ cumulative time lags (365 days). In particular, it suggests that the adverse response to PM_{2.5} for
603³⁴⁸ increased TB risks persists to a year or even longer after exposure. Whereas, a shorter lagged effects
604³⁴⁹ were seen for mortality. The longer lagged effects for TB incidence may be explained by the latent
606³⁵⁰ period of TB which varied from several weeks to years. Moreover, previous evidence suggested
607³⁵¹ there were also several months delay in the diagnosis and notification of TB disease. The median
608³⁵² patient-related delay (from symptoms onset to first contact with health services) was between 34.5
610³⁵³ and 54 days and median health care-related delay (from first contacting with health services to
611³⁵⁴ initiate treatment) was 29.5 days. On the other hand, death for TB cases showed an acute response to
613³⁵⁵ trigger agents. The deleterious effects of PM_{2.5} on TB outcomes could be explained by several
614³⁵⁶ potential mechanisms. Firstly, increased PM_{2.5} exposure could modify or impair the immunology of
615³⁵⁷ the human respiratory system so as to increase host's susceptibility to TB. Existing studies showed
617³⁵⁸ that PM_{2.5} exposure could adversely impact lung immunology by inducing nitrosative stressors and
618³⁵⁹ oxidative (Kappos et al., 2004; Nel, 2005). It also has been documented that inhaled PM could
620³⁶⁰ weaken mucociliary clearance function and alveolar macrophage activity which are critical defense
621³⁶¹ mechanisms against *M. tb* (D'amato et al., 2010; Smith et al., 2010). Moreover, elevated PM_{2.5} level
622³⁶² was shown to be consisted of high levels of transition metals which may increase iron availability so
624³⁶³ as to aid in *M. tb* proliferation (Ghio, 2014; Zelikoff et al., 2002). Iron acquisition is needed for
625³⁶⁴ microbial growth, and exogenous iron accumulation in the host due to PM_{2.5} exposure creates a
626³⁶⁵ favourable environment for invading *M. tb* (Banerjee et al., 2011; Ratledge, 2004; Weinberg,
628³⁶⁶ 2009). Further investigations to explore the mechanisms of the deleterious effects of PM_{2.5} on TB are
629³⁶⁷ still required.

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632³⁶⁸ Our study showed NO₂ was also positively associated increased risk of new infection and
633³⁶⁹ recurrent TB incidence in both short and long term exposure time. Inconsistent results were reported
634³⁷⁰ in previous studies exploring exposure effects of NO₂. A long term exposure studies by Smith et al
635³⁷¹ (2016) showed significant associations with ambient NO₂ pulmonary TB risk in a case-control study
637³⁷² in California. In another time-series study (Zhu et al. 2018) which investigated short-term exposure
638³⁷³ effects, positive associations between NO₂ and the incidence of TB at lag 0-2 days were also
639³⁷⁴ observed in Chengdu, China (Zhu et al. 2018). The other three studies showed no significant effects
641³⁷⁵ of NO₂ (Lai et al. 2016; Hwang et al., 2014; Chen et al., 2016). NO₂ is primarily produced from
642³⁷⁶ combustion sources, such as electric generating units, and motor vehicle exhaust. There is skepticism
644³⁷⁷ on whether the adverse effects of NO₂ are reflecting other traffic pollutants effects such as PM with
645³⁷⁸ which NO₂ are highly correlated. However, the statistically significant effects of NO₂ for TB

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653379 outcomes observed in the present analysis after adjusting for PM_{2.5}, SO₂, and other pollutants
654380 suggesting an independent effect.
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656381 The present analysis suggested O₃ was contributed independently to increased TB risks in analyses
657382 that controlled for other pollutants. Previous studies exploring ozone exposure with TB incidence
658382 have been inconsistent. Most of previous studies reported no evidence of significant association
659383 between O₃ exposure and TB outcomes, but the findings were based on limited sample size.
660384 However, in the case-control study by Smith et al. (2016), an inverse correlation was seen between
661385 O₃ exposure and pulmonary TB, with O₃ exposures above the lowest quintile level resulted in
662385 decreased pulmonary TB risk. Evidences from prior large cohort studies demonstrated O₃ exposure
663386 was significantly associated with death from respiratory disease. And there is biologic plausibility for
664387 a respiratory effect of O₃. O₃ was shown to increase airway inflammation, worse pulmonary function
665388 and gas exchange in laboratory studies (Bell et al. 2014). However, the extent to which the biological
666388 mechanisms be relevant to TB infection is unknown. Given the relatively small sample size and
667389 mixed findings of prior studies, future more research is advocated to address the effect of ambient O₃
668390 on TB outcomes.
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674394 We observed between-city heterogeneity in the associations of ambient air pollution and TB
675394 outcomes in the seven cities. The heterogeneity may be related to differences in air pollution levels,
676395 components of pollutants especially PM_{2.5}, climate conditions, indoor air pollution, sensitivity of
677396 local residents to the environmental exposures (e.g., age, smoking, socioeconomic status).
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681398 Our study has several limitations. Firstly, a proportion of TB cases identified from Shandong CDC
682399 were diagnosed based on clinical and radiologic evidence without of pathogenic proof. Also the
683400 traditional methods (smear microscopy and bacterial culture) may cause underdiagnoses of TB-
684400 positive cases due to testing procedures. This might lead to diagnosis misclassification. Secondly, we
685401 did not investigate several covariates that could also have affected study outcomes, such as smoking
686402 history, body mass index (BMI), and indoor air pollutants exposure. Indoor air pollutants including
687403 PM_{2.5} as well as nitrogen oxides produced by incomplete combustion of solid fuels have been proved
688403 to be risk factors for both initial TB infection and TB progression. The lack of indoor pollutants'
689404 assessment might lead to estimation biases of the pollutants' effects.
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694407 In summary, our study suggests that ambient air pollution is significantly associated with
695408 increased risk of active TB development and mortality in 7 Chinese cities. SO₂ and PM_{2.5} exhibited
696409 more consistently and strongly associations with TB related outcomes. These findings support the
697409 Chinese government efforts in reducing high levels of air pollution, in order to reduce TB incidence
698410 and mortality.
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Declarations

Ethics approval and consent to participate: Since no personal data were collected, ethical approval and individual consent were not applicable.

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Authors' Contributions:

D He and H Li conceived and supervised this study. Y Liu and H Li collected the data. S Zhao processed the data and carried out the analyses in this study. Y Liu, S Zhao, D He and H Li discussed the results. Y Liu and S Zhao drafted the first manuscript. Y Liu and S Zhao revised the manuscript. All authors read the manuscript and gave final approval for publication.

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Table 1. Summary statistics of the trends of tuberculosis (TB), air pollutant concentrations and meteorological factors in the seven cities of Shandong Province of China, (2013–2017).

City	Dezhou	Jinan	Jining	Liaocheng	Linyi	Weifang	Yantai
Outcomes* (per 100,000 population)							
New infection	26.9; -12.1%	25.9; -4.7%	25.6; -9.4%	48.5; -2.4%	41.7; -8.4%	24.3; -8.6%	28.3; -10.0%
Recurrent	0.8; -61.5%	0.5; -10.1%	1.1; -26.3%	1.2; -14.8%	1.7; -16.6%	1.1; -4.4%	1.4; 1.3%
Death	0.2; 30.2%	0.2; 43.2%	0.3; 13.7%	0.3; 0.9%	0.6; -7.0%	0.3; 6.9%	0.5; -6.4%
Death (per 1000 infection)	10.0; 55.1%	8.8; 50.2%	11.9; 27.9%	6.2; 4.5%	14.1; 2.2%	13.8; 16.7%	15.7; 4.7%
No. of Air Monitors	6	17	7	5	8	10	11
Air pollution, $\mu\text{g}/\text{m}^3$; (mean \pm SD)							
SO ₂	44.3 \pm 34.3	56.0 \pm 46.8	55.8 \pm 38.1	41.3 \pm 35.9	42.8 \pm 34.3	48.1 \pm 41.2	23.2 \pm 16.4
NO ₂	46.0 \pm 19.7	51.3 \pm 21.1	44.3 \pm 17.3	45.9 \pm 19.7	50.0 \pm 22.7	40.6 \pm 17.4	34.6 \pm 15.2
CO	1.6 \pm 0.6	1.3 \pm 0.7	1.3 \pm 0.5	1.7 \pm 0.7	1.6 \pm 0.8	1.0 \pm 0.5	0.8 \pm 0.4
O ₃	120.1 \pm 61.5	123.1 \pm 63.1	126.8 \pm 61.5	107.8 \pm 60.9	106.9 \pm 54.2	129.3 \pm 57.2	113.8 \pm 42.6

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PM _{2.5}	96.3 ±67.2	85.3 ±53.9	85.3 ±59.3	97.7±64.9	80.4 ±60.1	74.6 ±48.1	44.2 ±32.7
Meteorologic measures (24-h average)							
Temperature (°C)	14.7 ±10.7	15.1 ±10.5	15.4 ±10.3	14.0 ±10.4	14.5 ± 10.1	14.0 ±10.7	13.1 ±10.0
Humidity (%)	60.6±17.5	56.0 ±19.4	64.0 ±16.1	71.2 ±16.1	66.6 ±16.3	63.5 ±16.3	64.2 ±16.8

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Table 2. Spearman's correlation between air pollutants in seven cities in Shandong, from 2013 to 2017.

	SO ₂	NO ₂	PM _{2.5}	O ₃	CO
SO ₂	-	0.717*	0.684*	-0.310	0.639*
NO ₂	-	-	0.706*	-0.373	0.699*
PM _{2.5}	-	-	-	-0.183	0.794*
O ₃	-	-	-	-	-0.351
CO	-	-	-	-	-

* P<0.05

Table 3. Percentage change (mean and 95% confidence intervals) of new infection TB, and re-refection TB associated with a 1 $\mu\text{g}/\text{m}^3$ increase of air pollutants along different lag days in the instantaneously and cumulative lag model in the seven cities of China, (2013–2017).

Pollutant	Lag 0 days	Lag 15 days	Lag 30 days	Lag 90 days	Lag 180 days	Lag 365 days	Lag 0-1 days	Lag 0-15 days	Lag 0-30 days	Lag 0-90 days	Lag 0-180 days	Lag 0-365 days
New infection												
SO ₂	0.28 (0.24-0.33)	0.30 (0.28, 0.32)	0.28 (0.25, 0.30)	0.01 (-0.01, 0.03)	0.05 (0.03, 0.07)	0.08 (0.05, 0.11)	0.68 (0.61, 0.74)	0.51 (0.48, 0.54)	0.32 (0.28, 0.35)	0.02 (-0.02, 0.05)	1.33 (1.29, 1.37)	0.01 (-0.04, 0.06)
NO ₂	0.02 (-0.05, 0.08)	-0.01 (-0.04, 0.03)	-0.01 (-0.05, 0.03)	-0.00 (-0.04, 0.04)	0.20 (0.16, 0.24)	-0.02 (-0.07, 0.03)	-0.08 (-0.16, -0.01)	0.04 (0.01, 0.08)	0.05 (0.01, 0.09)	1.58 (1.54, 1.62)	0.004 (-0.04, 0.04)	0.116 (0.065, 0.166)
O ₃	0.24 (0.19, 0.29)	0.30 (0.28, 0.33)	0.32 (0.29, 0.35)	-0.08 (-0.11, 0.05)	-0.31 (-0.33, 0.28)	0.11 (0.07, 0.14)	0.42 (0.34, 0.51)	0.72 (0.68, 0.76)	0.71 (0.66, 0.75)	-0.56 (-0.51, -0.52)	-0.95 (-0.99, -0.90)	0.35 (0.30, 0.41)
CO	-0.001 (-0.003, 0.000)	0.001 (-0.00, 0.002)	0.002 (0.001, 0.003)	-0.00 (-0.001, 0.001)	0.001 (-0.00, 0.002)	0.00 (-0.0001, 0.001)	-0.00 (-0.01, 0.004)	0.004 (0.001, 0.007)	0.007 (0.003, 0.01)	0.003 (-0.001, 0.01)	-0.01 (-0.02, -0.01)	-0.001 (-0.001, -0.004)
PM _{2.5}	0.03 (0.01, 0.04)	0.01 (0.00, 0.02)	0.01 (0.01, 0.001, 0.02)	0.16 (0.15, 0.17)	0.002 (-0.007, 0.012)	0.00 (-0.01, 0.01)	0.14 (0.04, 0.23)	0.14 (0.10, 0.18)	0.22 (0.17, 0.28)	-0.01 (-0.06, 0.04)	0.100 (0.05, 0.15)	3.04 (2.98, 3.11)
New infection												
SO ₂	0.20 (0.15, 0.26)	0.19 (0.17, 0.22)	0.16 (0.12, 0.19)	0.00 (-0.03, 0.03)	0.12 (0.09, 0.16)	0.17 (0.13, 0.21)	0.59 (0.50, 0.67)	0.29 (0.25, 0.33)	0.06 (0.01, 0.10)	-0.004 (-0.05, 0.04)	0.02 (-0.03, 0.07)	0.30 (0.24, 0.36)
NO ₂	0.01 (-0.09, 0.01)	0.00 (-0.06, 0.05)	-0.01 (-0.07, 0.05)	0.49 (0.43, 0.54)	0.02 (-0.03, 0.08)	0.02 (-0.06, 0.09)	0.17 (0.10, 0.32)	-0.11 (-0.18, -0.03)	0.05 (-0.04, 0.13)	0.23 (0.14, 0.32)	0.003 (-0.08, 0.09)	0.39 (0.28, 0.51)
O ₃	0.19 (0.11-0.27)	0.17 (0.14, 0.21)	0.15 (0.11, 0.20)	-0.11 (-0.15, -0.06)	-0.19 (-0.24, -0.15)	0.13 (0.08, 0.19)	0.30 (0.21, 0.38)	0.40 (0.36, 0.44)	0.44 (0.39, 0.49)	-0.23 (-0.27, -0.18)	-0.40 (-0.45, -0.36)	1.47 (1.41, 1.53)

Table 4. Sex, age, sputum smear status specific risk ratio (RR and 95%CI) in TB outcomes associated with a 1 µg/m³ increase in air pollutants in the seven cities of China, (2013–2017). Here, the *p*-values are from the Cochran's Q tests.

	SO ₂			NO ₂			CO			O ₃			PM _{2.5}		
	RR (95%CI)	p-Value	(Lag 0-180 days)	RR (95%CI)	p-Value	(Lag 0-90 days)	RR (95%CI)	p-Value	(Lag 0-15 days)	RR (95%CI)	p-Value	(Lag 0-30 days)	RR (95%CI)	p-Value	(Lag 0-365 days)
New infection															
Sex															
Male	1.38 (1.33-1.42)	<0.05		2.37 (2.31- 2.44)	<0.05		0.00		0.00			0.59			3.26 (3.17-3.35)
Female	1.62 (1.56-1.68)			1.82 (1.71-1.94)			0.00		0.00			0.69			1.46 (1.37-1.56)
Age															
4-64	1.51 (1.47-1.54)			1.43 (1.34-1.51)	<0.05		0.01		0.00, 0.01			0.75 (0.70, 0.80)			3.50 (3.38-3.62)
≥ 65	1.25 (1.16-1.35)	<0.05		2.99 (2.83-3.16)			0.00		0.00			0.60 (0.53, 0.66)			3.64 (3.51-3.78)
Sputum smear															
Positive	0.11 (0.00-0.23)			1.21 (1.00-1.42)			0.02		0.02			0.30 (0.22-0.37)			1.78 (1.64, 1.93)
Negative	0.00 (0.00-0.00)	0.06		0.00 (0.00-0.00)	<0.05		0.00		0.00			0.00 (0.00-0.00)			0.00 (0.00-0.00)
Re-infection															
Sex															
Male	0.02 (-0.02-0.06)	0.09		0.62 (0.58-0.66)	<0.05		0.01		0.01, 0.02			0.32 (0.28, 0.37)			0.38 (0.35, 0.41)
Female	1.82			-0.01			0.00		0.00			0.06			0.00

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Figure legends

Figure 1 The map of the seven cities, i.e., Dezhou, Jinan, Jining, Liaocheng, Linyi, Weifang and Yantai, in Shandong, China. The upper panel show the location of Shandong province in China. The lower panel highlights the locations of the seven cities in Shandong.

Figure 2. The percentage change estimation results of pollutants and TB new infection risks in different cities. Note that the lag term in the panel label is the cumulative lag, i.e., lag x means lag 0-x days. The dots are the point estimations and the bars are the 95%CIs.

Figure 3. The percentage change estimation results of pollutants and TB new infection risks in different cities. Note that the lag term in the panel label is the lag of instantaneously effect. The dots are the point estimations and the bars are the 95%CIs.





