

1 **Title: Neighborhood-based subjective environmental vulnerability index for community health**
2 **assessment: Development, validation and evaluation**

3
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16
17 **Abstract**

18 Neighborhood-based environmental vulnerability is significantly associated with long-term
19 community health impacts. Previous studies have quantified environmental vulnerability using
20 objective environmental datasets. However, environmental cognition among a population may
21 influence subjective feelings of environmental vulnerability, and this can be associated with
22 community health risk.

23 In this study, a mixed-methods approach was applied to estimate neighborhood-based
24 environmental vulnerability based on objective environmental measures and subjective
25 environmental understanding from a local population. The synergistic use of both qualitative and
26 quantitative data resulted in a subjective environmental vulnerability index which can demonstrate
27 environmental deprivation across Hong Kong. The resultant maps were compared with a mortality
28 dataset between 2007 and 2014, based on a case-series analysis. The case-series analysis indicated
29 that using a subjective environmental vulnerability index as an approach for neighborhood
30 mapping is able to estimate the community health risk across Hong Kong. In particular, the
31 following types of cause-specific mortality have significant association with the subjective
32 environmental vulnerability index: 1) mortality associated with mental and behavioral disorders, 2)
33 cardiovascular mortality, 3) respiratory mortality, and 4) mortality associated with diseases of the
34 digestive system.

35 In conclusion, the use of a subjective environmental vulnerability index can be implemented within
36 a community health planning program, especially to reduce long-term adverse impacts on
37 population with mental impairment.

38

39 **Keywords:** environmental vulnerability; environmental measures; environmental cognition;

40 community health; spatial analytics; deprivation index

41

42 **Introduction**

43 Neighborhood-based environmental impact is a major factor that can influence community
44 health risk across a city. Previous studies have demonstrated significant impacts from intra-urban
45 air pollution on morbidity and mortality (Ho et al., 2018a); as well as the influence of spatial
46 variations in extreme heat on short-term health risk (Beyer et al., 2014; Ho et al., 2017; Krstic et
47 al., 2017). In addition, the lack of greenspace and higher urban density are the community factors
48 that can modify the health risk of vulnerable populations (Wheeler et al., 2015; Wong et al., 2017).

49 In order to evaluate environmental impacts on local health, previous studies have commonly
50 applied a cross-sectional analysis or a case-series analysis along with objective environmental data
51 to estimate potential environmental influences on health risk (Hondula, et al., 2012; Woo et al.,
52 2017). The objective environmental data are mainly derived from spatial buffers of the
53 environmental dataset, without linkage to, or inclusion of human perception associated with the
54 environmental experiences. There are also several environmental vulnerability indices derived
55 based on objective environmental data for health risk estimation and prevention (Rugel et al.,
56 2017). These studies aim to develop a more comprehensive framework for identifying
57 neighborhoods with potentially higher health risk, as protocols for community planning.

58 The aforementioned studies have advocated the use of objective environmental data for
59 public health analyses. However, recent studies have indicated that subjective feelings about a
60 community by a person can play an important role in influencing his/her health status. For example,
61 subjective social scores can identify the neighborhood vulnerability (Wong et al., 2008), and such
62 scores have been found to have significant relationships to actual health risk. In addition, several
63 subjective neighborhood scores have been defined for measuring deprivation of a built

64 environment (Saelens et al., 2003), and some studies have found that these subjective scores were
65 useful in long-term health prediction.

66 Therefore, environmental cognition by a local population may alter their potential health risk.
67 Differences in environmental cognition of different aspects of geophysical environment (e.g. built
68 environment, air pollution and temperature) can also cause the variations of subjective
69 environmental statuses, and the negative feelings from the subjective environmental statuses can
70 further affect the level of environmental vulnerability that can further influence human health. We
71 hereby defined this environmental vulnerability from the subjective and negative feelings of the
72 environmental statuses as “subjective environmental vulnerability”.

73 The understanding of subjective environmental vulnerability is essential in a compact city with
74 high-density living, since variations in urban morphologies across neighborhoods may significantly
75 influence environmental cognitions among the local populations. In order to consider all factors to
76 better understand negative effects of environmental cognitions among local population, previous
77 studies have administered short-form questionnaires to map the subjective environmental
78 vulnerability across a city (Nichol & Wong, 2009; Faisal & Shaker, 2017), however such approaches
79 have not been validated with actual health data for the potential use of community health
80 applications.

81 Here we applied a systematic approach to analyze subjective environmental vulnerability
82 among urban population, and evaluated the potential use of a subjective environmental
83 vulnerability index for community health planning. The specific objectives of this study include to
84 1) collect information on subjective environmental vulnerability among an urban population based
85 on an online cohort; 2) evaluate the weight and importance of each subjective environmental

86 vulnerability item with factor analysis; 3) develop a subjective environmental vulnerability index
87 based on the results of factor analysis; 4) map the intra-urban subjective environmental
88 vulnerability based on the constructed index; and 5) evaluate the potential use of this spatial index
89 for analyzing long-term health impacts, with using a case-series analysis to estimate associations
90 between the index and cause-specific mortality. The results of this study can be used to improve
91 public health surveillance by locating high-risk areas for community planning. The identification of
92 high-risk areas can also be useful to improve the environmental dimension of sustainability
93 (Marques et al. 2015; Molinos-Senante et al., 2016).

94

95 **Data and Methods**

96 *Online Cohort Data*

97 An online cohort with 120 subjects from Hong Kong was examined in this study. This cohort
98 was approved by the Human Subjects Ethics Sub-committee of The Hong Kong Polytechnic
99 University (Reference Number: HSEARS20180124002). All data of this cohort were collected
100 between Feb 14, 2018 and Mar 13, 2018, including the demographic information about each
101 subject, and an 8-item survey for rating the subjective environmental statuses that can influence
102 environmental vulnerability. The followings are specific questions of the 8-item survey: 1) “do you
103 think traffic-related air pollution is a serious environmental problem?”, 2) “do you think regional-
104 based air pollution is a serious environmental problem?”, 3) “do you think light pollution is a serious
105 environmental problem?”, 4) “do you think lack of vegetation or greenspace is a serious
106 environmental problem?”, 5) “do you think high city/building density is a serious environmental
107 problem?”, 6) “do you think summer heat is a serious environmental problem?”, 7) “do you think

108 lack of open space or parks is a serious environmental problem?”, and 8) “do you think
109 anthropogenic heat is a serious environmental problem?”. Each subject ranked the questions in
110 five-scales, in which “1” indicates the “least serious” and “5” indicates the “most serious”. The
111 selection of these eight items was based on a literature search, given that all items were associated
112 with adverse health effects (Chepesiuk et al., 2009).

113

114 *Spatial Data*

115 Based on each environmental item in the online cohort, eight sets of environmental data
116 associated with the subjective vulnerability were further used in this study.

117 For the traffic-related air pollution, a very fine resolution map at 10 m illustrating the spatial
118 variation of black carbon (BC) across Hong Kong was used (Barrett et al., 2018; Lee et al., 2017).
119 This spatial variation of BC was estimated based on a land use regression, a technique commonly
120 used for air pollution mapping in public health studies (Krstic et al., 2017; Shi et al., 2018).

121 To demonstrate the regional air pollution within the city, a map of fine particulate matter
122 (PM_{2.5}) map at 500 m resolution, derived from 142 cloud-free Moderate Resolution Imaging
123 Spectroradiometer (MODIS) Aerosol Optical Depth (AOD) datasets between 2007 and 2009, was
124 used (Ho et al., 2018b). This PM_{2.5} map was estimated based on the algorithm from Bilal et al.
125 (2017), which was proven to be promising for local use over Hong Kong (R = 0.78).

126 Light pollution was demonstrated based on a radiance dataset retrieved from a 2015
127 nighttime Visible Infrared Imaging Radiometer Suite (VIIRS) with 750 m resolution. VIIRS image was
128 acquired from the National Oceanic and Atmospheric Administration (NOAA) and can represent
129 the typical nighttime light scenario across Hong Kong. The light pollution map and the regional air

130 pollution map were masked by the land boundary and non-water areas of Hong Kong for further
131 modelling.

132 The dataset of open space was derived from the 2012 land use map from the Hong Kong
133 Planning Department. Vegetation data were separately derived from land use and land cover
134 information from the Planning Department of Hong Kong and satellite images (Ho et al., 2018b).
135 These maps were converted from vector-based to a raster-based format (10 m resolution) for
136 further analysis.

137 We also derived a map of urban sky view factor (SVF) with 10 m resolution to represent urban
138 building density over Hong Kong (Yang et al., 2015). SVF denotes the proportion of the sky viewed
139 from the ground (Hodul et al., 2016). The SVF map was derived from airborne lidar data and a
140 building map of Hong Kong, following the high-accuracy method by Zakšek et al. (2011). In brief,
141 higher SVF indicates a higher sky view and lower building density in the surrounding environment,
142 and lower SVF means a lower sky view and high building density in the surroundings.

143 The spatial distribution of summer temperature was mapped based on a land use regression
144 (Shi et al., 2018b) with urban morphometric data and local weather information. Map of
145 anthropogenic heat was derived from two satellite-derived maps (Wong et al., 2015) to
146 demonstrate annually averaged daytime anthropogenic heat fluxes across Hong Kong.

147

148 *Data for Community Health Applications*

149 In order to examine the relationship between subjective environmental vulnerability and
150 adverse health impacts, mortality data between 2007 and 2014 from the Hong Kong Census and
151 Statistics Department were used in this study. This dataset includes the following information: 1)

152 date of death, 2) age, 2) gender, 3) type of employment, 4) location of residence, and 5) cause of
153 death based on the 10th version of International Statistical Classification of Diseases and Related
154 Health Problems (ICD-10). Location of residence was recorded based on the “Tertiary Planning Unit”
155 (TPU), which is the finest spatial scale for mortality datasets in Hong Kong, and is well-used in local
156 planning.

157 In order to minimize any potential bias in the modelling, daily information on temperature and air
158 pollution were retrieved and used in this study. Hourly temperature data were obtained from the
159 weather station located at headquarters of the Hong Kong Observatory and were averaged to a
160 daily basis. Air pollution data used in this study are as follows: particulate matters (PM₁₀), nitrogen
161 oxides (NO_x), ground-level ozone (O₃), and sulfur dioxide (SO₂). These air pollution data were the
162 average of daily air quality information from seven air monitoring stations (Central Western, Sham
163 Shui Po, Sha Tin, Tai Po, Tsuen Wan, Kwai Chung, and Tap Mun) operated by the Hong Kong
164 Environmental Protection Department. These stations covered both urban and rural areas of Hong
165 Kong.

166

167 *Development of Subjective Environmental Vulnerability Index*

168 We first applied a factor analysis to construct the empirical index of subjective environmental
169 vulnerability for community health estimation. A varimax rotation was applied to the first two
170 factors for estimation of factor loadings, and the sum of factor loadings for these two factors was
171 assigned to be the weight for each variable.

172 For mapping subjective environmental vulnerability across the city, all spatial datasets were
173 first resampled to a 10 m resolution for subsequent analysis. In addition, to standardize the data

174 for vulnerability mapping, data of nighttime light, regional air pollution, traffic-related air pollution,
175 anthropogenic heat, and summer heat were stretched between 0 to 100 based on the following
176 equation: $(\text{pixel value} - \text{min}) * 100 / (\text{max} - \text{min})$. The SVF was multiplied by 100 for data
177 standardization.

178 Since statistical bias from scaling effects of the Modifiable Areal Unit Problem (MAUP) on
179 community health analysis has been well documented (Cebrecos et al., 2018), a pixel-by-pixel
180 spatial averaging with focal statistics of ESRI ArcGIS was applied to retrieve the average values from
181 spatial buffers of 250 m, 500 m, 750 m, and 1000 m. This approach minimizes the potential issue
182 of MAUP caused by scaling and zoning in community health planning (Ho et al., 2015), while the
183 multiscale data can provide higher flexibility in the data analysis.

184 Based on the focal statistics, all datasets have turned into the following spatial parameters: 1)
185 average regional air pollution, 2) average traffic-related air pollution, 3) average light pollution, 4)
186 percentage of vegetation cover 5) average sky view factor, 6) average summer temperature, 7)
187 percentage of open space, and 8) average anthropogenic heat. This analysis determines the
188 adverse effect caused by environment, in which higher values should hypothetically be areas with
189 lower environment quality, while 4), 5) and 7) have high values as better environment. Therefore,
190 we inversed the values of these spatial layers of 4), 5) and 7) to match with the hypothesis based
191 on the following equation to: $100 - \text{pixel}$.

192 With the use of processed datasets for all corresponding spatial parameters, we then applied
193 the index to map four versions of subjective environmental vulnerability within the land boundary
194 of Hong Kong.

195

196 *Case-Series Analysis*

197 We applied a case-series analysis to evaluate the use of the subjective environmental
198 vulnerability index in estimating long-term health impacts. Case-series analysis is a common
199 epidemiological design to directly differentiate environmental effects between various groups of
200 health data. This design has been commonly used in environmental epidemiological studies to
201 analyze air pollution and temperature effects on community health (Hondula, et al., 2012).

202 In this study, we selected the following groups of decedents as “case”: 1) cardiovascular
203 mortality (ICD-10 I00-I99), 2) respiratory mortality (ICD-10 J00-J99), 3) mortality associated with
204 mental and behavioral disorders (ICD-10 F00-F99), 4) mortality associated with diseases of nervous
205 systems (ICD-10 G00-G99), 5) mortality associated with diseases of the genitourinary system (ICD-
206 10 N00-N99), 6) mortality associated with diseases of the digestive system (ICD-10 K00-K93), and
207 7) cancer-related mortality (ICD-10 C00-C97). Each “case” group was subjected to a logistic
208 regression individually, with accidental mortality (ICD-10 V01-X59) as the “control” group, to
209 determine whether the subjective environmental vulnerability index would be useful in community
210 health assessment.

211 Mortality data with missing location of residence and death date were excluded to reduce
212 potential statistical bias. Mortality data from the last two days of 2014 were also excluded in order
213 to prevent potential bias from delay in reporting. In addition, the decedents lived in a remote TPU
214 without information on subjective environmental vulnerability were excluded from the analytic
215 dataset.

216 The basic form of logistic regression is written as the following:

217

$$\begin{aligned}
218 \quad & \text{Case } (1,0) \sim \beta_0 + \beta_1(\text{Vulnerability}) + \beta_2\text{Unemployed } (1,0) + \beta_4(\text{Age}) \\
219 \quad & + \beta_5(\text{Gender } (1,0)) + \beta_6(\text{Hot Day } (1,0)) + \beta_7(\text{Cold Day } (1,0)) \\
220 \quad & + \beta_8(\text{High PM}_{10} \text{ Day } (1,0)) + \beta_9(\text{High NO}_x \text{ Day } (1,0)) \\
221 \quad & + \beta_{10}(\text{High O}_3 \text{ day } (1,0)) + \beta_{11}(\text{High SO}_2 \text{ day } (1,0)) + \beta_{12}(\text{DOW}) \\
222 \quad & + \beta_{13}(\text{Month})
\end{aligned}$$

223

224 where *Case (1,0)* is a binary variable indicating whether the decedents were died from a
225 corresponding specific cause-of-death; *Vulnerability* is the average value of the subjective
226 environmental vulnerability index of each TPU; *Age* is a continuing variable indicating the age of
227 death; *Gender (1,0)* is a binary variable indicating gender of a decedent, with male as “1” and
228 female as “0”; and *Unemployed (1,0)* is a binary variable indicating employment status, in which
229 decedents classified as “economically inactive” were “1” and others were “0”. In addition, *Hot Day*
230 *(1,0)* represents the date of death with temperature \geq 95th percentile between 2007 and 2014,
231 while *Cold Day (1,0)* indicates that date of death with temperature \leq 5th percentile. *High PM₁₀ Day*
232 *(1,0)*, *High NO_x Day (1,0)*, *High O₃ Day (1,0)*, and *High SO₂ Day (1,0)* were binary variables indicating
233 the date of death with PM₁₀, NO_x, O₃, or SO₂ \geq 95th percentile.

234 *Age*, *Gender (1,0)*, *Unemployed (1,0)*, *Hot Day (1,0)*, *Cold Day (1,0)*, *High PM₁₀ Day (1,0)*, *High*
235 *NO₂ Day (1,0)* and *High O₃ Day (1,0)* were the confounders of this study, together with a category
236 variable of *DOW* indicating the day of week for controlling the weekday/weekend effect and a
237 category variable of *Month* indicating seasonal effects.

238 In this study, we repeated the test for four versions of the subjective environmental
239 vulnerability index individually, in order to evaluate whether each version of the vulnerability index

240 can be used for predicting long-term health impacts. For each test, each “case” group was
241 compared with the “control” group, separately. The odds ratio (OR) and the 95% confidence
242 interval (CI) were reported from each model to determine the association between the subjective
243 environmental vulnerability index and each type of cause-specific mortality. Based on each
244 regression, the OR was used to evaluate the difference between two mortality groups in a 10-units
245 increment in the subjective environmental vulnerability index.

246

247 **Results**

248 *Data Summary*

249 In general, the online cohort had a balanced sample size and included subjects with a dynamic
250 demographic profile. Of the subjects, 40.8% were male and 59.2% were females; and 46.7% were
251 younger than 30 years old while 53.3% were aged 30 or above. In addition, 51.7% had lower income
252 (monthly income \leq HKD\$20,000), and 48.3% had higher income. Noted that the cutoff of
253 HKD\$20,000 is approximately to USD\$2,000. A total of 68.3% declared themselves as urban
254 residents, while 31.7% of them declared as sub-rural or rural residents. There were also 25.0% who
255 declared themselves as outdoor workers or manual labors and 75.0% as indoor workers.

256 Based on the raw score (1 to 5) retrieved from the online cohort (Table 1), traffic-related air
257 pollution was the factor that most of the subjects generally weighted the highest. The average
258 score for the question of “do you think traffic-related air pollution is a serious environmental
259 problem?” was 4.13 out of 5, with a standard deviation of 0.89. It was followed by the influence of
260 high building density. For the question of “do you think high city/building density is a serious
261 environmental problem”, the average score was 4.11 with a standard deviation of 1.00. In contrast,

262 subjects from the online cohort generally weighed vegetation amount and availability of open
263 space lower than other factors. The average score for the question “do you think lack of open space
264 or park is a serious environmental problem” was 3.53 out of 5, with a standard deviation of 1.00,
265 and the average score for “do you think lack of vegetation or greenspace is a serious environmental
266 problem” was 3.68 out of 5 with a standard deviation of 1.03. Since standard deviations of scores
267 retrieved from all factors were generally large, this suggested that simply using the average of raw
268 scores to determine the importance of each factor may not be appropriate.

269 To evaluate the use of the subjective environmental vulnerability index for neighborhood-
270 level health estimation, 259,514 decedents between 2007 and 2014 from the mortality dataset
271 were examined in this study. Of these, there were 60,004 decedents from cardiovascular mortality,
272 63,357 decedents from respiratory mortality, 5,521 decedents from mortality associated with
273 mental and behavioral disorders, 2,252 decedents from mortality associated with diseases of
274 nervous systems, 15,404 decedents from mortality associated with diseases of the genitourinary
275 system, 11,161 decedents from mortality associated with diseases of the digestive system, and
276 98,247 decedents from cancer-related mortality. There were also 3,568 accidental decedents used
277 as controls in the case-series analyses.

278

279 *Subjective Environmental Vulnerability Index*

280 Based on the first factor loadings after varimax rotation, anthropogenic heat, regional air
281 pollution and summer heat were the most severe factors that first engaged the environmental
282 concerns of the local population (Table 2). Higher building density and traffic-related air pollution
283 were also associated with the first insights of environmental vulnerability in the local population,

284 while lack of vegetation and open space appeared not to initially increase the environmental
285 concerns among the population.

286 However, although lack of vegetation and open space may not be the first environmental risk
287 factor to raise public concern, these were identified as hidden factors that reinforce peoples' sense
288 of environmental vulnerability after initial alert from the first factor, when we considered the
289 second factor loadings of varimax rotation (Figure 1). This indicated that these hidden factors
290 should not be omitted in determining the subjective environmental vulnerability, as they are
291 factors act synergistically with the first factors to induce adverse environmental cognitions in the
292 local population. Other than lack of vegetation and open space, higher building density is also a
293 potential second factor that can influence the subjective environmental vulnerability among local
294 population. In contrast, light pollution did not threaten the local population, as both the first and
295 second factor loadings of light pollution were low. Based on the sum of the first and second factor
296 loadings, the subjective environmental vulnerability index was constructed as follows:

$$\begin{aligned} 297 \quad Vulnerability = & 0.646 \times Traffic + 0.840 \times Regional + 0.432 \times Light + 0.919 \\ 298 \quad & \times LowVeg + 0.979 \times BuildingDensity + 0.836 \times SummerHeat \\ 299 \quad & + 1.018 \times LowOpenSpace + 0.907 \times AnthroHeat \end{aligned}$$

300 where *Vulnerability* is the final score of the subjective environmental vulnerability index;
301 *Traffic* is the normalized traffic-related air pollution scored from 0 to 100; *Regional* is the
302 normalized regional air pollution scored from 0 to 100; *Light* is the normalized light pollution scored
303 from 0 to 100; *LowVeg* is the inversed percentage of vegetation coverage scored from 0 to 100;
304 *BuildingDensity* is the inversed percentage of sky view scored from 0 to 100; *SummerHeat* is the
305 normalized values of summer air temperature scored from 0 to 100; *LowOpenSpace* is the inversed

306 percentage of open space scored from 0 to 100; and *AnthroHeat* is the normalized values of
307 anthropogenic heat scored from 0 to 100.

308 Comparing all versions of subjective environmental vulnerability maps, the range of index
309 values from the map generated by the 250 m spatial buffer was the greatest (Figure 2), with the
310 highest maximum value and the lowest minimum value. The index range fell when the radius of
311 the spatial buffer increased. However, although ranges of all the maps were different, the spatial
312 variabilities of subjective environmental vulnerability from all versions of maps were similar.

313 In general, subjective environmental vulnerability is generally higher across the urban areas.
314 Suburban areas (e.g. Tsuen Wan) and "New Towns" in Hong Kong (e.g. Tseung Kwan O, Tin Shui
315 Wai) also obtained relatively high subjective environmental vulnerability, while the vulnerability
316 decreases when moving outward from the town center into suburban areas. There is also a
317 considerable urban-rural difference in subjective environmental vulnerability across Hong Kong, in
318 which there is a sharp decrease to low vulnerability at the boundary of the rural areas.

319

320 *Validation with case-series analysis*

321 Our results indicate that the subjective environmental vulnerability index is useful to
322 determine neighborhood risk from four of the seven causes of mortality (Figures 3 – 6).

323 The most significant cause-specific mortality that can be estimated based on subjective
324 environmental vulnerability index is the mortality associated with mental and behavioral disorders.

325 Compared with accidental deaths, the decedents with mental and behavioral disorders generally

326 resided in neighborhoods with higher subjective environmental vulnerability. The results are

327 consistent with four versions of the subjective environmental vulnerability index. In 10-units

328 increase of subjective environmental vulnerability index, OR from the average of the 250 m map is
329 1.022 [1.011, 1.032], OR from the average of the 500 m map is 1.022 [1.011, 1.033], OR from the
330 average of the 750 m map is 1.022 [1.011, 1.034], and OR from the average of the 1000 m map is
331 1.022 [1.011, 1.034], while controlling for gender, age, short-term weather, short-term air pollution,
332 weekday/weekend and seasonal effects.

333 The second significant cause-specific mortality is cardiovascular mortality, and followed by
334 respiratory mortality as third. In 10-units increase of subjective environmental vulnerability index,
335 OR for the comparison between cardiovascular mortality and accidental deaths from the average
336 of the 250 m map is 1.011 [1.004, 1.019], controlling for gender, age, short-term weather, short-
337 term air pollution, weekday/weekend and seasonal effects. In addition, OR from the average of the
338 500 m map is 1.012 [1.005, 1.020], OR from the average of the 750 m map is 1.013 [1.005, 1.020],
339 and OR from the average of the 1000 m map is 1.013 [1.006, 1.021].

340 Based on the comparison between respiratory mortality and accidental deaths, in 10-units
341 increase of subjective environmental vulnerability index, the ORs from average of 250 m, 500 m,
342 750 m and 1000 m maps are 1.010 [1.002, 1.017], 1.010 [1.002, 1.018], 1.010 [1.002, 1.018], and
343 1.010 [1.002, 1.018], respectively.

344 In addition, we found a low but significant result showing that deaths from diseases of the
345 digestive system are 0.9% to 1.0% more likely to reside in a neighborhood with higher subjective
346 environmental vulnerability, when compared with accidental deaths. Based on the case-series
347 analysis, we did not find any significant spatial difference between the following decedents and
348 accidental deaths: cancer deaths, deaths from mortality associated with nervous diseases, and
349 deaths from mortality associated with genitourinary diseases.

350

351 **Discussion**

352 This study demonstrated on the environmental quality mapping technique using several
353 urban environmental factors to locate areas with higher or lower subjective environmental
354 vulnerability. The new subjective environmental vulnerability index was spatially integrated with
355 mortality data to evaluate its potential use in community health risk estimation. The results
356 indicated that the subjective environmental vulnerability index developed with spatial data was
357 appropriate to locate areas with higher non-accidental mortality, specifically, mortality associated
358 with mental and behavioral disorders, cardiovascular mortality, respiratory mortality, and mortality
359 associated with diseases of the digestive system.

360 These results suggest that subjective environmental vulnerability maps are suitable for
361 estimation of community health risk at the small-district-level (e.g. TPUs in this study). Considering
362 the scaling effects that can be caused by the MAUP, the demonstrated robustness of case-series
363 analyses from four versions of maps have demonstrated that even applying datasets derived from
364 different spatial buffers can be useful for the estimation of community health risk. This further
365 indicates that the small district is an appropriate neighborhood-level for community planning and
366 health monitoring, as the characteristics of the built environment are fully represented at such a
367 small district level.

368 In addition, our results indicate that subjective environmental vulnerability does not influence
369 all types of diseases, but has the highest association with mental impairment, and secondarily with
370 cardiorespiratory and digestive diseases. These results imply that subjective environmental
371 vulnerability is very likely to influence mental health issues, or to affect chronic diseases indirectly

372 controlled by the mental status of a person. Specifically, cardiovascular and respiratory diseases
373 are likely related to physical activities and walkability, while previous studies have demonstrated
374 that environmental conditions can influence attitudes and practices of physical activities and
375 walkability of a person (Handy et al., 2002). Therefore, a person with long-term exposure to an
376 environment causing negative cognitions can accumulate a significant adverse effect on their
377 cardiorespiratory health. There is also literature indicating the effects of low environmental quality
378 on the diet and metabolism of a person (Ghosh et al., 2018; Yang et al., 2017), in which adverse
379 environmental cognition may increase such negative effects on local population, resulting in
380 increased prevalence of digestive diseases. It is notable that approximately 99.0% of decedents
381 with mental and behavioral disorders in this analytic dataset were people who died from dementia.
382 This result implies environmental conditions can directly influence people with dementia through
383 cognitive functions, and can directly influence this population through the interaction between
384 adverse environmental cognitions, depression, frailty, physical activities, walkability, and other
385 chronic diseases. Indeed, recent literature has indicated that air pollution and environmental
386 changes can be factors contributing to dementia (Cioffi et al., 2007; Chen et al., 2017).

387 Based on our study, the following protocols are suggested to reduce adverse effects on
388 community health through using the subjective environmental vulnerability index: 1) locating
389 neighborhoods with higher subjective environmental vulnerability with the use of our index; 2)
390 establishment of more community support for the local population, especially for people with
391 chronic diseases associated with subjective environmental vulnerability, for the improvement of
392 their quality of life and health status; 3) to deliver health education to vulnerable people, in order
393 to improve their health status through enhancing their knowledge, attitude and practice for

394 mitigating environmental risk and 4) to improve the environmental quality of high-risk
395 neighborhoods through community planning (e.g. urban greenery) and environmental monitoring
396 (e.g. local warning system and air quality monitoring stations). These actions should be undertaken
397 immediately, and evaluated every three to five years, in order to maintain the effectiveness of
398 healthcare and risk mitigation.

399 Although there are advantages of using neighborhood-level subjective environmental
400 vulnerability index as a tool to estimate community health risk, some limitations of our study
401 should be noted. First, environmental cognition is highly influenced by local experience and
402 cultures, thus the results of this study may not be able to represent other cities. Therefore, our
403 study was focused on the effectiveness of measuring subjective environmental vulnerability as a
404 tool for community health assessment, instead of defining our results from mortality analyses as
405 global guidelines for community planning. Second, spatial datasets from this study were synoptic
406 datasets. These synoptic datasets hypothesized environmental vulnerability as a typical scenario,
407 but subjective environmental vulnerability may be a spatiotemporal component in reality. Time-
408 series of data may enhance the data modelling, but can also create a large statistical bias from
409 ecological fallacy, since the mobility of a person within a day cannot be included in a traditional
410 time-series dataset. In order to solve this problem, a future study using mobile apps for health
411 reporting is suggested here. This method can enhance the measurement of subjective
412 environment vulnerability, since the location-based service can help to identify the actual location
413 and time that influence the environmental cognition of a person. However, human ethics is the
414 greatest obstacle of such application. Based on the level of ethical approval that we could obtain,
415 the approach of using synoptic dataset as typical scenario is still appropriate.

416

Conclusions

417

A mixed-method approach was applied to develop a subjective environmental vulnerability

418

index. This index was used to map the neighborhood-based environmental vulnerability based on

419

the adverse environmental cognition of a local population, and was evaluated with mortality data.

420

The results indicate that this subjective environmental vulnerability index is significantly associated

421

with the mortality disparity of the local population, and can be used for health planning and

422

community risk management.

423

424

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425

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432

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514

515 **Captions of Figures**

516

517 **Figure 1** – Factor loadings based on varimax rotation from factor analysis

518

519 **Figure 2** – Environmental vulnerability across Hong Kong mapped based on subjective

520 environmental status of all subjects from the online cohort

521

522 **Figure 3** - Odds ratio (OR) and the 95% confidence interval (CI) reported from the results of 250-

523 m map to determine the association between the subjective environmental vulnerability index

524 and each type of cause-specific mortality. Based on each regression, the OR was used to evaluate

525 the difference between a cause-specific mortality and accidental mortality in a 10-units

526 increment in the subjective environmental vulnerability index.

527

528 **Figure 4** - Odds ratio (OR) and the 95% confidence interval (CI) reported from the results of 500-

529 m map to determine the association between the subjective environmental vulnerability index

530 and each type of cause-specific mortality. Based on each regression, the OR was used to evaluate

531 the difference between a cause-specific mortality and accidental mortality in a 10-units

532 increment in the subjective environmental vulnerability index.

533

534 **Figure 5** - Odds ratio (OR) and the 95% confidence interval (CI) reported from the results of 750-

535 m map to determine the association between the subjective environmental vulnerability index

536 and each type of cause-specific mortality. Based on each regression, the OR was used to evaluate

537 the difference between a cause-specific mortality and accidental mortality in a 10-units

538 increment in the subjective environmental vulnerability index.

539

540 **Figure 6** - Odds ratio (OR) and the 95% confidence interval (CI) reported from the results of 1000-

541 m map to determine the association between the subjective environmental vulnerability index

542 and each type of cause-specific mortality. Based on each regression, the OR was used to evaluate

543 the difference between a cause-specific mortality and accidental mortality in a 10-units

544 increment in the subjective environmental vulnerability index.

545

546

547

548 **Table 1 – Average score and standard deviation of each question ranked by all subjects of the**

549 **online cohort**

550

| Questions | Mean | SD |
|--|-------------|-------------|
| do you think traffic-related air pollution is a serious environmental problem? | 4.13 | 0.89 |
| do you think regional-influenced air pollution is a serious environmental problem? | 3.81 | 1.03 |
| do you think whether light pollution is a serious environmental problem? | 3.70 | 1.06 |
| do you think lack of vegetation or greenspace is a serious environmental problem? | 3.68 | 1.03 |
| do you think high city/building density is a serious environmental problem? | 4.11 | 1.00 |
| do you think summer heat is a serious environmental problem? | 3.73 | 1.20 |
| do you think lack of open space or park is a serious environmental problem? | 3.53 | 1.00 |
| do you think anthropogenic heat is a serious environmental problem? | 3.84 | 1.02 |

551

552 **Table 2 - Factor loadings after varimax rotation based on factor analysis**

553

| Questions | D1 | D2 |
|--|--------------|--------------|
| do you think traffic-related air pollution is a serious environmental problem? | 0.412 | 0.234 |
| do you think regional-influenced air pollution is a serious environmental problem? | 0.635 | 0.205 |
| do you think light pollution is a serious environmental problem? | 0.393 | 0.039 |
| do you think lack of vegetation or greenspace is a serious environmental problem? | 0.080 | 0.839 |
| do you think high city/building density is a serious environmental problem? | 0.462 | 0.517 |
| do you think summer heat is a serious environmental problem? | 0.594 | 0.242 |
| do you think lack of open space or park is a serious environmental problem? | 0.259 | 0.759 |
| do you think anthropogenic heat is a serious environmental problem? | 0.650 | 0.257 |

554