

1 **Effects of mechanical measures and urban morphology on pollutant**
2 **dispersion in urban areas: A review**

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22 **ABSTRACT:**

23 Cities are facing significant challenges due to low outdoor air quality. Hence, a growing
24 number of studies have been conducted to develop strategies for improving air quality.

25 This paper provides a comprehensive and systematic review of the mechanisms and
26 effects of various mechanical measures and urban morphology on pollutant dispersion
27 in an urban context. Moreover, a detailed quantification of the reduction potential of
28 the mechanical measures and urban morphology is provided, followed by the
29 identification of the critical urban factors for the practical urban optimizing strategies.

30 Additionally, the two fundamental processes (mean flow and turbulence) affecting the
31 pollutant dispersion are clarified to understand the dilution mechanism of pollutants.

32 For these purposes, the reviewed papers are categorized into two groups:

33 (i) Utilizing mechanical measures

1 (ii) Designing appropriate urban morphology

2 Generally, this study is useful for urban planners and architects who are responsible for
3 decision-making.

4 **Keywords:** Air quality; Pollutant mitigations; Mechanical factors; Urban morphology;
5 Local mitigation strategies;

6 **1. Introduction**

7 Ongoing global urbanization and high traffic emissions have resulted in numerous
8 climatic and environmental problems [1,2], hence significantly affecting public health
9 (e.g., respiratory and lung diseases) [3] and even causing great economic loss [4].
10 Annually, nearly 3 million people have died prematurely due to increasingly
11 deteriorated air quality worldwide [5]. These deaths have been attributed to the
12 significant increase in the demand for private motor vehicles because of substantial
13 population growth [6], causing very high concentrations of air pollutants [7]. More
14 importantly, in cities experiencing rapid development with intensive infrastructure
15 construction, pollutant dispersion as a significant mitigation measure for airborne
16 pollution is not commonly considered [7]. Accordingly, hasty and irrational
17 construction strategies led to a lack of ventilation, preventing pollutant dispersion [8].
18 Therefore, it is essential to obtain an in-depth understanding of the pollutant dispersion
19 mechanism in urban areas.

20 Deteriorating outdoor air quality worldwide has caused an increasing interest of
21 researchers. There has been a growing body of air pollution mitigation methods that
22 substantially improve outdoor air quality in different urban contexts. Generally, the
23 improvement in outdoor air quality can be divided into two levels: (i) the utilization of
24 mechanical factors for pollutant mitigation, including forced convection by ambient
25 wind, natural convection by solar radiation, and traffic-induced convection due to
26 traffic movement; (ii) the correlation between complex urban features and pollutant
27 dispersion based on the sound design of the urban landscape and building geometries.
28 This aspect focuses on improving the capacity to reduce air pollution by modifying the

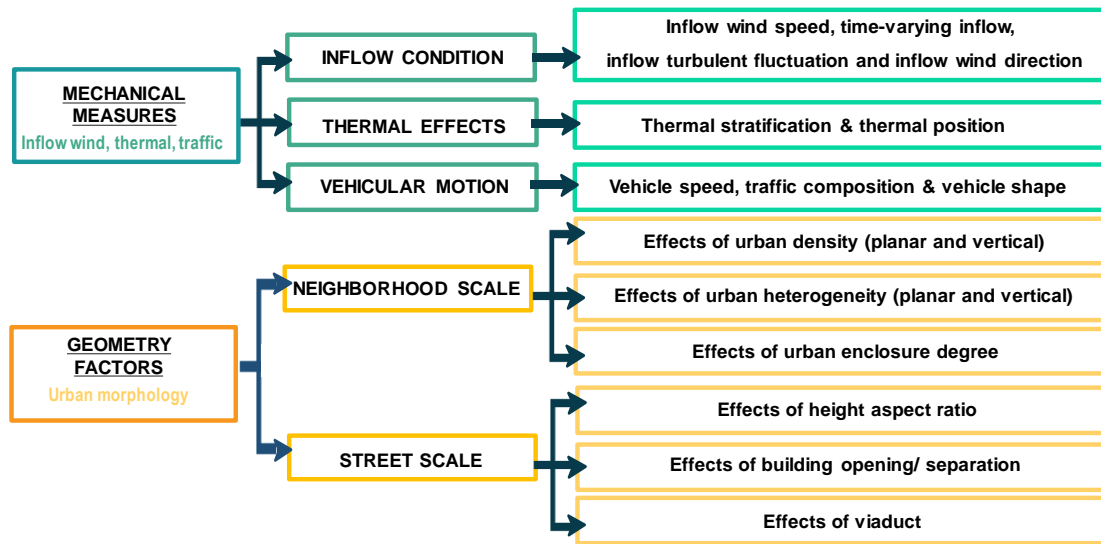
1 urban morphology, including the urban density, heterogeneity, and degree of urban
2 enclosure. Accordingly, it is crucial for urban planners to design the urban morphology
3 appropriately and utilize mechanical factors to reduce the pollutant concentration.

4 Several state-of-the-art reviews have been published in related areas (mitigation
5 of air pollution) in the past decade, focusing on the influence of trees [9,10], solid and
6 porous barriers [11], urban planning strategies [12], and reactive pollutants [13].
7 Summaries of computational fluid dynamics (CFD) studies were also conducted [14–
8 16]. Moreover, Peng et al. [17] summarized the main ventilation indices used to
9 evaluate urban outdoor ventilation. Zhao et al. [18] conducted an in-depth review on
10 theoretical, experimental, and numerical research on the isothermal and non-isothermal
11 flow in street canyons. He et al. [19] analyzed urban surface structures for a systematic
12 study of local ventilation performance. Going beyond the scope of existing reviews on
13 this topic, three problems are worth noting. First, a complete summary of the
14 fundamental mechanism of pollutant dispersion is lacking, including the influence of
15 mean flow and turbulence. Second, most of the previous reviews only provided
16 qualitative conclusions. Therefore, there is a need for a comprehensive survey of the
17 quantitative reduction of pollutant concentrations for different influential factors.
18 However, it is still difficult to ascertain which factors are most significant for
19 determining air pollutant dispersion.

20 Therefore, the objectives of this review are to (i) describe the individual and
21 combined effects of the mean flow and turbulence on pollutant dispersion, (ii) provide
22 a detailed quantification of the reduction potential of each mechanical measure and
23 urban morphology, and (iii) compare different mechanical measures and urban
24 morphology to identify the critical urban factors for the development of practical urban
25 optimizing strategies.

26 The review is conducted by searching for papers using the Web of Science, Science
27 Direct, and Google scholar in addition to publications known to the authors. The
28 literature search was performed in late 2020, and articles published until early 2020

1 were included. The keywords included “urban”, “pollutant dispersion”, “ventilation”,
 2 “outdoor”, and all the factors (all subtitles) mentioned in Sections 3 and 4. We combined
 3 all four keywords and each factor one by one for each search of the database to ensure
 4 the inclusion of studies dealing with pollutant dispersion mechanisms in urban areas.
 5 Only publications in English language journals were included.



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Fig. 1 Structure of the review

8 This paper provides a comprehensive and systematic review of the effectiveness
 9 of various pollutant dispersion mechanisms in the urban context (Fig. 1). Section 1
 10 covers a brief overview of the two levels of influence on pollutant dispersion. Then,
 11 two basic pollutant dispersion processes (affected by mean flow and turbulence) are
 12 identified in Section 2. Sections 3-4 focus on the quantification of the dilution potential
 13 of different mechanical measures and urban morphology and the dominant dispersion
 14 processes. It is described how to utilize mechanical measures (effects of inflow
 15 conditions, thermal effects, and vehicular motion) and create a sound design of urban
 16 morphology (neighborhood scale: effects of urban density, heterogeneity, and enclosure
 17 degree; street scale: effects of height to aspect ratio, building opening/ separation, and
 18 viaduct). Finally, the conclusion is drawn in Section 5 to summarize the effectiveness
 19 of the two levels of influence on pollutant dispersion.

2. General characteristics of pollutant dispersion processes

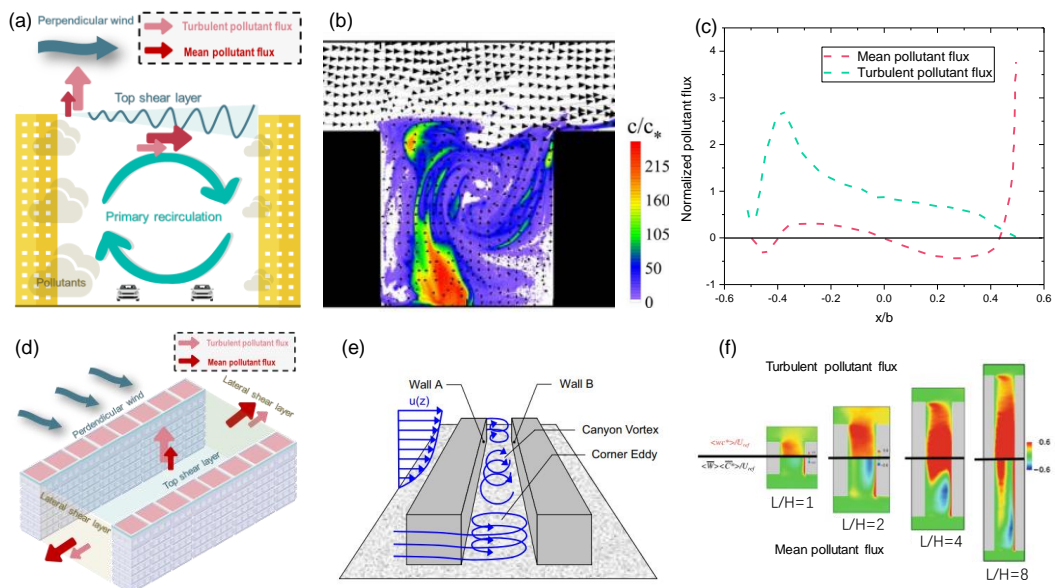
A clarification of the basic processing governing pollution dispersion is required to understand the effect of mechanical factors and urban morphology on the dispersion and distribution of pollutants. The fluid flow around buildings is typically categorized as the mean flow and deviations from the mean (turbulence) [20–22]. There is a consensus among researchers that mean flow and turbulence play significant roles in the dispersion of pollutants [23,24].

We begin by describing the skimming flow in a two-dimensional (2D) infinite regular street canyon (which is the case in most studies in the literature, where the building height (H) / street width (W) = 1) (Fig. 2 (a)) to understand the influence of the mean flow and turbulence. In the presence of perpendicular ambient wind, a strong shear layer with a large velocity gradient is developed at the roof level of street canyons due to the flow separation at the leeward edge of the building [25]. Furthermore, clockwise recirculation occurs in the entire street canyon because of the entrained momentum from the top shear layer [26]. Once the pollutant is emitted from street-level vehicles, most pollutants will follow the primary recirculation (mean flow), causing a higher concentration at the leeward side of the street canyons [27] (Fig. 2 (b)). Then, the upward mass will transfer with the external flow at the roof level. However, a significant fraction of pollutants does not flow upwardly by vertical mean flux [28] because the vertical velocity is low [26]. Instead, the primary recirculation causes most pollutants to re-enter the street canyon by horizontal advection at the roof level [29]. In contrast, the pollutants escape from the street canyons mainly by the turbulent pollutant flux along the roof-top level [30]. Consequently, turbulent transport plays a dominant role in the wash-out process of pollutants through the top shear layer [29,31] (Fig. 2 (c)).

The three-dimensional (3D) street canyon flow is more complex than the 2D incanyon flow [18]. As illustrated in Fig. 2(e) (a finitely long regular street canyon), the canyon vortex in the middle part of the street canyon (driven by the shear flow from

1 building roofs) interacts with the corner eddies at the ends of the street canyon (induced
 2 by the inflow from the sides of the upwind buildings) [32]. Different interactions of the
 3 canyon vortex and corner eddies caused by different street lengths will further influence
 4 the pollutant dispersion within the street canyon [33]. For instance, Michioka et al. [34]
 5 observed different pollutant fluxes at the roof level. As shown in Fig. 2(f), the turbulent
 6 pollutant fluxes for shorter canyons were larger than the mean pollutant fluxes, but
 7 these two fluxes were almost the same for longer canyons. The turbulent motion
 8 dominated pollutant removal for shorter canyons, and both the turbulence and the mean
 9 flow affected pollutant dilution for the longer canyons. Therefore, the contribution of
 10 the lateral shear layer in a 3D street canyon should also be considered [35,36],
 11 especially for a short distance [34], as illustrated in Fig. 2 (d).

12 In general, both mean flow and turbulence significantly affect the pollutant
 13 removal from the side or top of street canyons. Further, the mean flow and turbulence
 14 are affected by mechanical factors, such as the inflow velocity and turbulence intensity,
 15 the mean flow due to solar radiation, and traffic-induced turbulence sources at the
 16 ground level. Besides, a change in urban morphology will also significantly influence
 17 the mean and turbulent pollutant fluxes to different extents, which, in turn, determines
 18 the dispersion and distribution of pollutants in the urban context. All these influences
 19 will be discussed in Sections 3-4.



1 Fig. 2 (a) Side view of flow characteristic and pollutant dispersion processes in an
2 infinitely long regular street canyon ($H/W = 1$), (b) instantaneous velocity (vectors)
3 and concentration (colors) fields for the infinitely long regular street canyon[27], (c)
4 profiles of mean pollutant flux and turbulent pollutant flux along the roof of street
5 canyons (modified from [29]), (d) perspective view of pollutant dispersion processes
6 in the top and lateral shear layers of a finite 3D street canyon, (e) flow field in and
7 around a 3D street canyon [32], and (f) spatial variation of turbulent and mean
8 pollutant fluxes at the roof level [34]. The pink and red arrows represent the turbulent
9 and mean pollutant fluxes, respectively. The size of the arrow denotes the relative
10 contribution of pollutant flux.

11 **3. Effects of mechanical measures**

12 **3.1 Effects of inflow conditions**

13 The inflow wind characteristics directly affect pollutant removal. With a growing
14 focus on pollutant dispersion, an increasing number of research projects have explored
15 the correlation between the inflow characteristics and the pollutant dispersion in a street
16 canyon. The inflow wind components can be separated into three components as
17 follows [25,37],

$$18 \quad u = U + \tilde{u} + u' \quad (1)$$

19 where U is the spatially averaged mean wind speed, obtained by averaging the
20 measurements over space and time; \tilde{u} is the spatial fluctuation (low-frequency
21 fluctuations), which is time-varying; u' is the turbulent fluctuation (high-frequency
22 fluctuations) [38], which fluctuates randomly in time and space.

23 Therefore, studies on the inflow wind characteristics can be categorized into three
24 groups: studies on the inflow wind speed, those on time-varying inflows, and those on
25 inflow turbulent fluctuation. The influence of the inflow wind direction is also
26 summarized.

27 **3.1.1 Inflow wind speed**

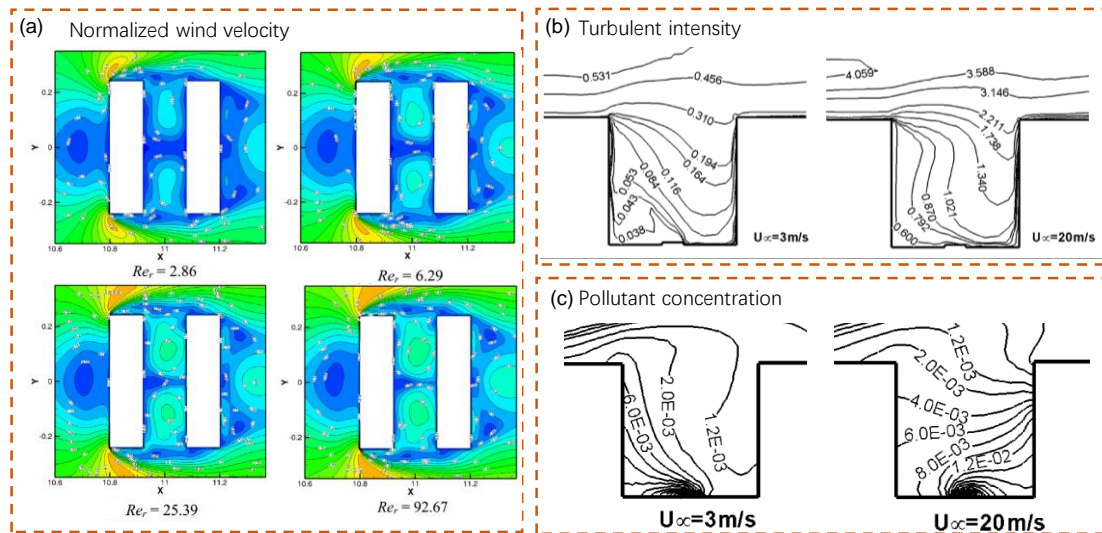
28 It is evident that the mean flow and turbulence level in canyons are both

1 influenced by the inflow wind speed. Usually, poor ventilation is directly related to low
2 wind speed.

3 The mean flow patterns are sensitive to ambient wind speed [39]. DePaul and
4 Sheih [40] conducted a field experiment in a street canyon with $H/W \approx 1.5$ and found
5 that when the ambient wind exceeded a threshold value (nearly 2 m/s), the street canyon
6 generated a stable rotating vortex driven by momentum transfer at the roof height.
7 Above this critical value, the flow structure remained the same, regardless of the inflow
8 wind speed, which also could be justified by the “Reynolds number (Re) independence”
9 hypothesis[41]. $Re = U_{ref} H/\nu$, where U_{ref} is a reference wind velocity, and ν is the
10 kinematic viscosity of the fluid. As long as the Re exceeds a critical value, the flow
11 structure around the buildings remains invariant with an increase in Re [42,43] (Fig.
12 3(a)). Kang et al. [44] reported that the critical Re for a street canyon with $H/W = 1$ is
13 about 11,650. Moreover, it was found that the critical Re was between 57,000 and
14 87,000 in a deep street canyon ($H/W = 2$) [41]. Furthermore, Nakamura and Oke [45]
15 conducted field observations of wind in the street canyons in Kyoto (Japan). The results
16 suggested a relationship between the ambient wind speed and the in-canyon wind speed,
17 i.e., $U_{canyon} = p_v \times U_{roof}$, where U_{canyon} denoted the in-canyon wind speed, and U_{roof}
18 represented the ambient wind at the roof level. p_v was a diminution factor (<1) that
19 depended on the street canyon H/W and the measurement levels (at a small H/W , p_v
20 approached unity). In a regular street canyon ($H/W = 1$), the canyon and roof wind were
21 $0.06 H$ and $1.2 H$, $p_v \approx 2/3$. On the other hand, the in-canyon turbulence level was
22 enhanced by increasing inflow wind speed. Nazridoust and Ahmadi [46] found that the
23 turbulence intensity in the street canyon increased with an increase in ambient wind
24 speed. When the inflow wind speed increased from 3 to 20 m/s, the turbulence intensity
25 increased by nearly 16 times near the ground level and 7 times at the roof height (Fig.
26 3(b)). The reason is that at high wind speeds, the circulating flow is stronger, leading to
27 higher turbulence fluctuation energy.

28 Since the mean flow and turbulence increase with increasing wind speed, the air

1 quality is expected to improve. Berkowicz et al. [47] confirmed the dependence of the
 2 normalized pollutant concentration on the ambient wind speed at the pedestrian level,
 3 i.e., $C^* = 0.18 \times U_{\text{roof}}^{-0.46}$, where C^* denoted the normalized passive gaseous pollutant
 4 concentration (carbon monoxide (CO)) at the pedestrian level. Based on the ambient
 5 wind speed, the normalized concentration decreased by nearly 65.5% when the wind
 6 speed increased from 0.3 m/s to 3 m/s. Similarly, a 44.2% drop in the maximum passive
 7 gaseous pollutant concentration near the ground level (wind speed increased from 3 m/s
 8 to 20 m/s) [46] (Fig. 3(c)) and a 40.1% reduction in the maximum passive gaseous
 9 pollutant concentration (nitrogen dioxide (NO₂)) close to the leeward building surfaces
 10 (wind speed increased from 3 to 7 m/s) [48] were reported.



11
 12 Fig. 3 (a) Changes in the normalized velocity contours at the pedestrian level for
 13 different Re_r [43]; (b) turbulence intensity (%) contours for different wind velocities
 14 [46] and (c) CO₂ mass fraction contours for different wind velocities [46]

15 Table 1 Overview of studies on the effect of inflow wind speed

Ref.	Focus	Urban configuration	Study approach	Remarks
[40]	a	S ($H/W \approx 1.5$)	FM	When the ambient wind exceeds a threshold value (nearly 2 m/s), the street canyon ($H/W=1.5$) generates a stable rotating vortex
[43]	a	S ($H/W \approx 1$)	CFD (V)	As long as the Re exceeds a critical value, the flow structure around the buildings remains invariant with an increase in Re
[45]	a	S ($H/W \approx 1$)	FM	There was a relationship between ambient wind speed and in-canyon wind speed, i.e., $U_{\text{canyon}} = p_v \times U_{\text{roof}}$
[46]	a, b, c	S ($H/W \approx 1$)	CFD (V)	The turbulence intensity in the street canyon increased with an increase in ambient wind speed; there was a 44.2% drop in the maximum pedestrian-level pollutant concentration (wind speed increased from 3 m/s to 20 m/s)

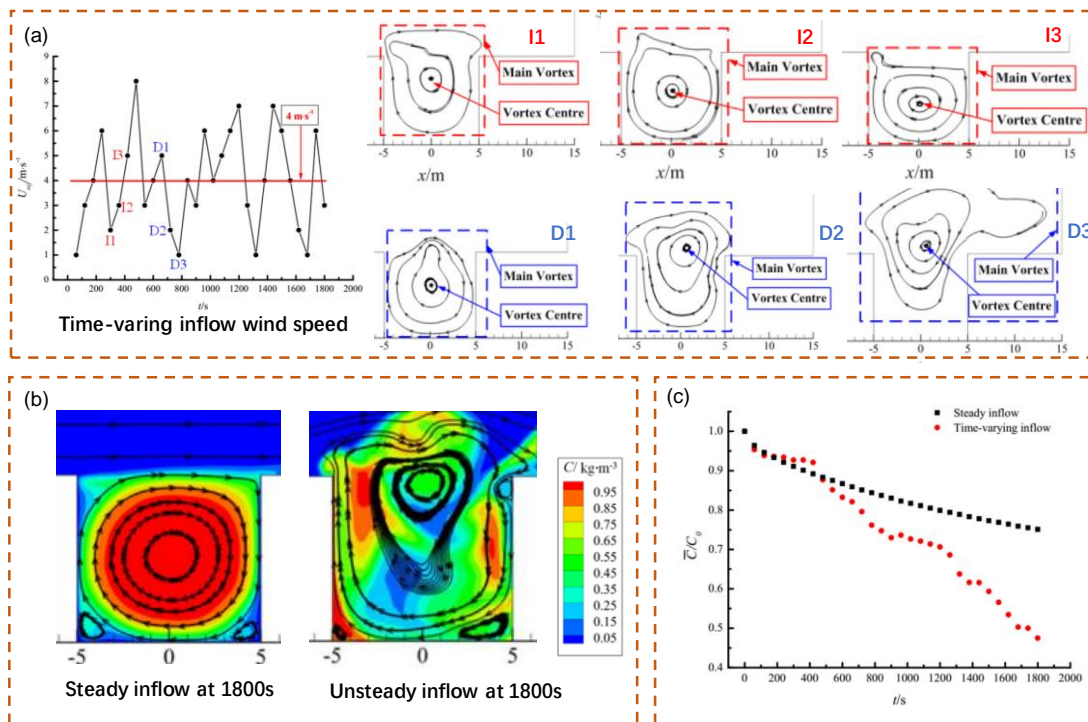
16 **Focus:** a = Mean flow, b = Turbulence, and c = Pollutant; **Urban configuration:** C = City, S= Street canyon, B = Building arrays, $\lambda_F =$

1 Frontal area density, H/W = Height aspect ratio (building height/ street width); **Study approach:** WT = Wind tunnel measurements, FM =
2 Field measurements, CFD (V) = CFD with validation, and CFD (NO) = CFD without validation.

3 **3.1.2 Time-varying inflow**

4 Most previous studies assumed steady wind conditions and did not consider
5 changes in the ambient wind velocity and direction [49]. However, field measurement
6 data indicated that these two parameters always changed over time [38,50], referred to
7 as time-varying inflows [51]. Unlike steady wind conditions, the time-varying inflows
8 can significantly impact the flow structure downstream and the corresponding mass
9 transport [52–54]. Li et al. [55] observed a downdraft in the peak period of gusty winds
10 (a type of time-varying inflow) and an updraft in the valley period. Duan et al. [56]
11 observed that incorporating time-periodic perturbations into the inflow boundary
12 caused a small but statistically significant response to inflow perturbations in the
13 turbulent flow in a street canyon. Similarly, Zhang et al. [57] revealed that the variations
14 of the inflow conditions caused intermittent features in a street canyon, i.e., the
15 expansion or compression of the air mass in the street canyon. Thus, the shear layer at
16 the roof level was flapped with the time-varying inflows. Furthermore, Li et al. [58]
17 pointed out that a gradual decrease in the inflow wind speed induced the upward
18 movement of the main vortex, hence enlarging it, and vice versa (Fig. 4(a)). The time-
19 varying inflows destroyed the strong shear layer at the rooftop level, thus significantly
20 enhancing the vertical pollutant turbulence transport flux. Zhang et al. [57] observed
21 that the pollutant flux under time-varying inflows was one order of magnitude higher
22 than the steady inflow counterparts. Accordingly, Li et al. [58] reported that the time-
23 varying inflow had a lower concentration than the steady inflow in the street canyon,
24 as shown in Fig. 4(b). In an unsteady simulation, the average passive gaseous pollutant
25 concentration in the entire street canyon was 36.7% lower than that under a steady flow
26 half an hour after the pollutant release (Fig. 4(c)). The concentration at the pedestrian
27 level decreased by nearly 42% after half an hour when the steady-state airflow field
28 was the initial field in the time-varying inflow simulation. Therefore, Zhang et al.
29 [59,60] concluded that the influence of time-varying inflows was significant and should

1 not be ignored.



2

3 Fig. 4 (a) The movement of the main vortex corresponding to a change in the inflow
 4 [58]; (b) the change in the pollutant concentration and streamlines with time-varying
 5 inflows [58]; (c) the change in the normalized average pollutant concentration in the
 6 entire street canyon over time under steady inflow and time-varying inflow [58]

7

Table 2 Overview of studies on the effect of time-varying inflows

Ref.	Focus	Urban configuration	Study approach	Remarks
[56]	a	S ($H/W \approx 1$)	CFD (V)	Incorporating time-periodic perturbations into the inflow boundary caused a small but statistically significant response to inflow perturbations in the turbulent flow
[58]	a, c	S ($H/W \approx 1$)	CFD (V)	A gradual decrease in the inflow wind speed induced the upward movement of the main vortex, enlarging it, and vice versa; the average pollutant concentration in the entire street canyon was 36.7% lower than under steady flow half an hour after the pollutant release
[57]	a, c	S ($H/W \approx 1$)	CFD (V)	The pollutant flux under time-varying inflows was one order of magnitude higher than the steady inflow counterparts

8

Focus: a = Mean flow, b = Turbulence, and c = Pollutant; **Urban configuration:** C = City, S = Street canyon, B = Building arrays, λ_F =

9

Frontal area density, H/W = Height aspect ratio (building height/ street width); **Study approach:** WT = Wind tunnel measurements, FM =

10

Field measurements, CFD (V) = CFD with validation, and CFD (NO) = CFD without validation.

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3.1.3 Inflow turbulent fluctuation

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Inflow turbulent fluctuations can be interpreted as three-dimensional eddies with

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different length scales that constantly interact with each other [61]. It is well-known

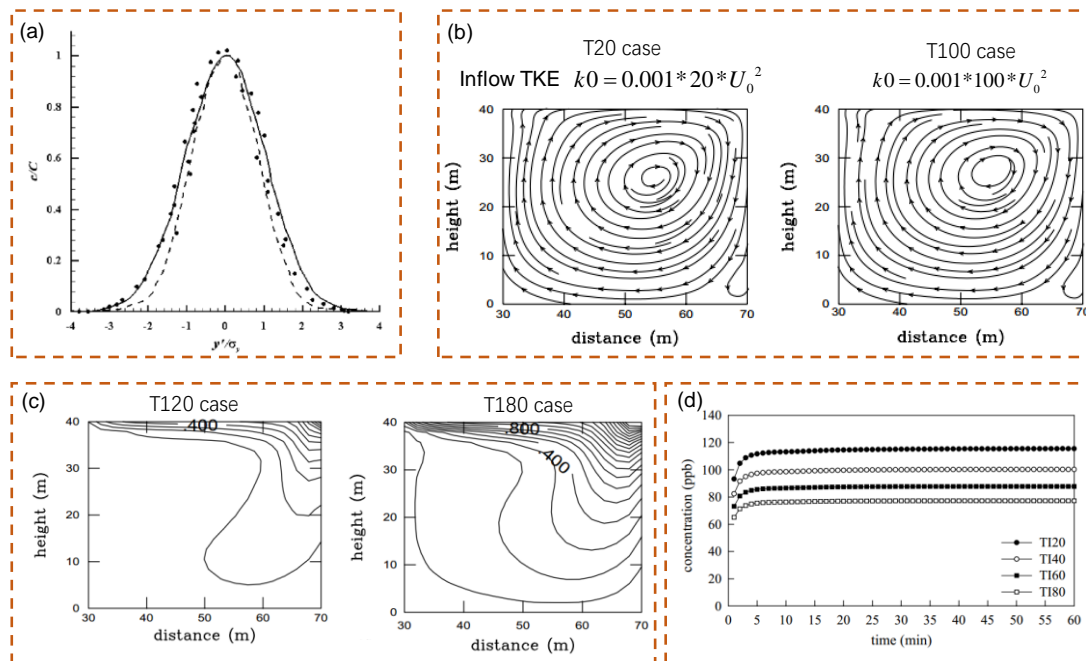
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that the inflow turbulence properties significantly affect the flow field in urban areas

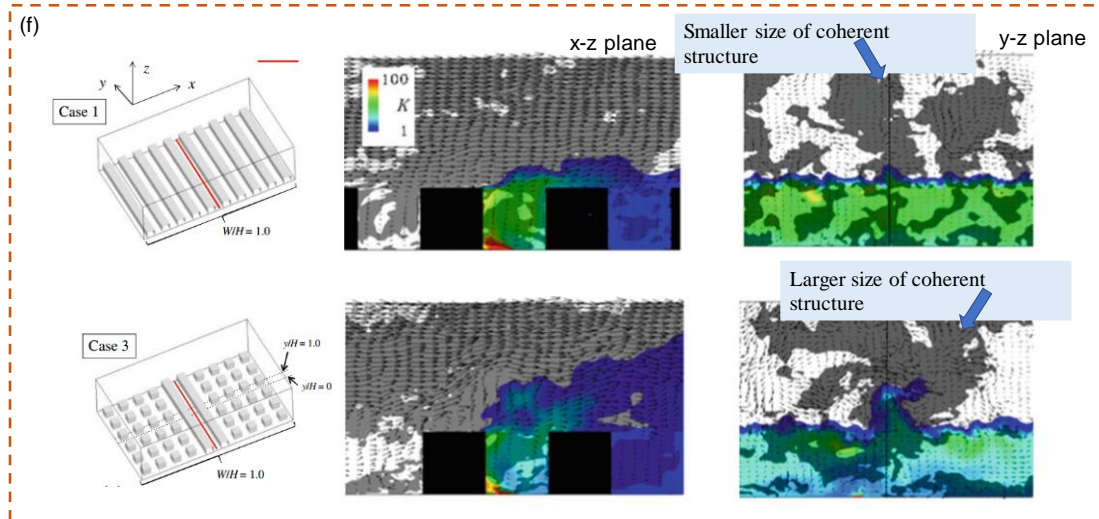
1 [62–64]. Numerous studies used CFD simulations of inflow turbulence generation to
2 improve the prediction of the turbulence structure around buildings [63,65,66].
3 Moreover, the inflow turbulent fluctuation is also an essential parameter influencing
4 the pollutant dispersion in urban areas. Kim and Baik [67] reported that the downward
5 momentum transfer of the ambient flow into the street canyon was larger in an
6 experiment with inflow turbulence than in the control experiment with no appreciable
7 inflow turbulence. The authors found that part of the inflow turbulent eddies interacted
8 with the horizontal flow at the roof-top level. The turbulent eddies intruded into the
9 street canyon, enhancing the intensity of the canyon vortex. Further, Shi et al. [68]
10 demonstrated that the pollutant concentration around buildings was increased if the
11 inlet turbulence fluctuations were not included, as shown in Fig. 5(a).

12 Furthermore, Michioka et al. [34] investigated the influence of inflow turbulence
13 intensity on the airflow and pollutant dispersion around buildings for different upstream
14 urban geometries. The results suggested that different turbulence intensities of the
15 external flow resulted in different pollutant removal efficiencies. The influence of
16 inflow turbulence intensity on pollutant dispersion was primarily attributed to a change
17 in the turbulent kinetic energy (TKE) rather than changes in the flow field. For instance,
18 An et al. [69] reported that a significant change (50%) in the inflow turbulence intensity
19 yielded less than a 15% change in the wind speed in the same urban area. As confirmed
20 by Kim and Baik [70], even if there was a noticeable deviation (500%) in the inflow
21 turbulence intensity, the average in-canyon wind speed and flow structure barely
22 changed (Fig. 5(b)). Nonetheless, a higher level of inflow turbulence resulted in a
23 smoother momentum distribution and a decrease in the peak of the wind speed.
24 However, the in-canyon TKE was notably enhanced by the increasing inflow turbulence
25 (Fig. 5(c)), directly affecting the pollutant removal potential. A ten-fold increase in the
26 inflow turbulence led to an almost three-fold increase in the TKE in the street canyons,
27 significantly reducing the pedestrian-level passive gaseous pollutant concentration by
28 approximately 50% (Fig. 5(d)).

1 Besides, the incoming turbulent structure of the flow is another key parameter
 2 influencing the pollutant removal from urban areas. Michioka et al. [71] pointed out
 3 that the inflow turbulent motions, composed of coherent structures of low-momentum
 4 fluid and generated close to the roof level of the upstream, strongly affect the
 5 downstream pollutant removal. Michioka and Sato [72] studied three turbulent inflows
 6 with different coherent structures generated by three different upstream block
 7 configurations. The results showed that the size of the coherent structure was directly
 8 related to the amount of pollutant removal. The larger the size of the coherent structure,
 9 the greater the quantity of pollutants removed from the canyon was (Fig. 5(f)). A 44%
 10 change in the in-canyon spatially averaged passive gaseous pollutant concentration was
 11 observed with a change in the inflow turbulent structure.



12



1
 2 Fig. 5 (a) Concentration profile behind the fifth row; solid line: computation with inlet
 3 fluctuations; dashed line: computation without inlet fluctuations; dots: experimental
 4 measurements [68]; (b) streamline fields for different inflow turbulent intensities [70];
 5 (c) turbulent kinetic energy fields for different inflow turbulent intensities [70]; (d)
 6 time series of pollutant concentration at $z=2$ m of the street canyon center [70]; (f)
 7 instantaneous images of the low-momentum fluid (grey: $u < 0$), instantaneous velocity
 8 vector, and concentration of tracer gas (colored contours) in the $x-z$ and $y-z$ planes
 9 [72].

10 Table 3 Overview of studies on the effect of inflow turbulent fluctuation

Ref.	Focus	Urban configuration	Study approach	Remarks
[68]	b, c	B	CFD (V)	A higher pollutant concentration was observed around the buildings if the inlet turbulent fluctuations were not included.
[69]	a, b, c	C	CFD (V)	A significant change (50%) in the inflow turbulence intensity yielded less than a 15% change in the wind speed in the same urban area.
[70]	a, c	S ($H/W = 1$)	CFD (V)	Even if there was a noticeable deviation (500%) in the inflow turbulence intensity, the average in-canyon wind speed and flow structure barely changed; a ten-fold increase in the inflow turbulence led to an almost three-fold increase in the TKE in the street canyons, significantly reducing the pedestrian-level concentration by approximately 50%.
[72]	a, b, c	S ($H/W = 1$)	CFD (V)	The size of the coherent structure was directly related to the amount of pollutant removal; a larger size of the coherent structure caused a greater quantity of pollutant removed from the canyon

11 **Focus:** a = Mean flow, b = Turbulence, and c = Pollutant; **Urban configuration:** C = City, S= Street canyon, B = Building arrays, λ_F =
 12 Frontal area density, H/W = Height aspect ratio (building height/ street width); **Study approach:** WT = Wind tunnel measurements, FM =
 13 Field measurements, CFD (V) = CFD with validation, and CFD (NO) = CFD without validation.

14 3.1.4 Inflow wind direction

15 The inflow wind direction is another critical parameter of the flow structure (the
 16 number and shape of vortices) in the canyons, thereby determining the in-canyon

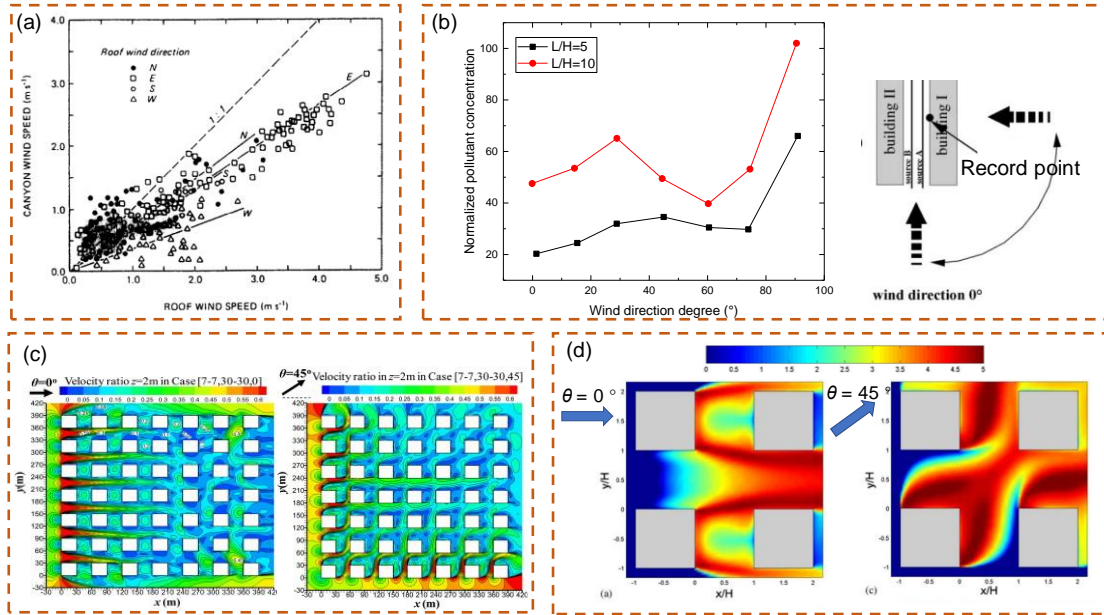
1 pollutant concentration [13]. Wise et al. [73] reported that a small change in the wind
2 direction resulted in a substantial change in the flow characteristics of urban areas. For
3 instance, the authors found that a 15° change in the wind direction led to a maximum
4 7% change in the space-averaged wind velocity at 20 m elevation.

5 Herein, the incident wind angle θ is defined as the angle between the wind
6 direction and the street axis. Thus, $\theta = 0^\circ$ denotes that the wind direction is parallel to
7 the direction of the street axis, whereas $\theta = 90^\circ$ represents the perpendicular direction.
8 It is noteworthy that the effects of the wind direction on the flow characteristics differ
9 for different types of urban geometries, especially for the street length (a long street
10 canyon and a short street canyon). The dependencies of the pollutant concentration on
11 the external wind direction and street length are somewhat contradictory [74]. Thus, the
12 influence of the wind direction will be discussed for long street canyons (street length
13 (L) /building height (H) > 7) and short street canyons ($L/H < 3$), based on the
14 classification of Vardoulakis et al. [75].

15 Nakamura and Oke [45] concluded that a long street canyon generated a stable
16 vortex for perpendicular wind, whereas a channeling wind was observed for parallel
17 wind. However, oblique wind-induced a spiral vortex along the length of the canyon (a
18 “cork-screw” action). Accordingly, the in-canyon velocity was affected by different
19 flow patterns. Buller [76] obtained measurements of the inflow wind direction in
20 residential areas in towns in England. The results showed that the general relation
21 between the ambient and in-canyon wind speed was almost linear (i.e., $U_{\text{canyon}} = p \times U_{\text{roof}}$,
22 where the value of p is related to the wind direction). The value of p increased gradually
23 as the wind direction changed from perpendicular to parallel; these results were
24 confirmed by Nakamura and Oke [45]. The value of p was between 0.37 and 0.75 [45],
25 as shown in Fig. 6(a). Thus, it was deduced that the lowest in-canyon wind speed
26 occurred during perpendicular wind conditions. Schatzmann and Leitl [77] and
27 Kastner-Klein and Plate [78] revealed that perpendicular wind yielded the maximum
28 pollutant concentration, whereas parallel wind resulted in the minimum concentration.

1 In their research, a nearly 67% reduction in the passive gaseous pollutant concentration
2 (SF_6) at the pedestrian level was observed when the wind direction changed from
3 perpendicular wind to parallel wind (Fig. 6 (b)). In light of this situation, most previous
4 studies on pollutant dispersion focused on the worst pollution scenarios under
5 perpendicular wind conditions [79]. Interestingly, Blackman et al. [80] argued that
6 when the street length was sufficiently long (i.e., $L/W=12$, where L is the length of the
7 street canyon), the pedestrian-level pollutant concentrations of parallel wind and
8 perpendicular wind conditions were comparable.

9 Regarding studies on short street canyons, Kim and Baik [81] investigated the
10 effects of the wind direction ($45^\circ < \theta < 90^\circ$) on the flow and concentration of passive
11 gaseous pollutants in a 4×4 matrix of buildings with a frontal area density (FAD) of λ_F
12 $= 0.25$ (λ_F is the ratio of the frontal area to the total surface area of the building).
13 Interestingly, worse air quality was found when $\theta = 45^\circ$, which is different from the
14 cases of a long street (Fig. 6 (d)). When θ increased from 45° to 90° , the total resident
15 time of pollutants (the ratio of the total amount of pollutants remaining around the
16 building arrays) decreased by about 34.3%. Similarly, Lin et al. [82] reported that better
17 ventilation efficiency was observed for $\theta = 90^\circ$ than for oblique wind directions for a
18 7×7 matrix of buildings (Fig. 6 (c)). The reason is that oblique wind has considerably
19 more flow resistance. Also, Ramponi et al. [83] stated that the most favorable dispersion
20 conditions were attributed to perpendicular wind due to a stronger channeling effect.
21 As θ decreased from 45° to 0° , the maximum passive gaseous pollutant retention time
22 decreased from 1.8 to 0.8.



1
 2 Fig. 6 (a) The relationship between the wind speed 3.6 m above the roof and 1.0 m
 3 above the center of the canyon floor for different wind directions [45]; (b) the
 4 influence of the wind direction on the pollutant concentration at the level $z/H=0.083$
 5 in long street canyons [78]; (c) the influence of the wind direction on the velocity
 6 ratio at $z = 2$ m for building arrays [82]; (d) the influence of the wind direction on the
 7 normalized pollutant concentration at $z/H=0.17$ for building arrays [81]

8 Table 4 Overview of studies on the effect of inflow wind direction

Ref.	Focus	Urban configuration	Study approach	Remarks
[73]	a	C	CFD (V)	In urban areas, a 15° change in the wind direction leads to a maximum 7% change in the space-averaged wind velocity.
[45]	a	S ($H/W \approx 1$)	FM	In a long canyon, perpendicular/parallel/oblique wind causes a stable vortex/channeling wind/spiral vortex.
[76]	a	S	FM	In a long canyon, $U_{ped} = p \times U_{roof}$ (p increases as the wind direction changes from the perpendicular direction to the parallel one)
[78]	a, c	S ($H/W = 1$)	WT	In a long canyon, nearly 67% reduction in the concentration is observed when the wind direction changes from perpendicular wind to parallel wind.
[82]	a	B ($\lambda_F = 0.25$)	CFD (V)	For a building array with short canyons, better ventilation efficiency is observed for $\theta = 90^\circ$ than for oblique wind directions.
[81]	a, c	B ($\lambda_F = 0.25$)	CFD (V)	For a building array with short canyons, the total resident time of the pollutant decreases by about 34.3% as θ increases from 45° (oblique) to 90° (perpendicular).

9 **Focus:** a = Mean flow, b = Turbulence, and c = Pollutant; **Urban configuration:** C = City, S = Street canyon, B = Building arrays, λ_F =
 10 Frontal area density, H/W = Height aspect ratio (building height/ street width); **Study approach:** WT = Wind tunnel measurements, FM =
 11 Field measurements, CFD (V) = CFD with validation, and CFD (NO) = CFD without validation.

12 3.2 Thermal effects

13 When the wind is relatively weak in an urban area, thermal effects have a
 14 profound impact on the flow structure [84]. As reported by Liu et al. [85], the airflow

1 in canyons could be entirely driven by buoyant force when the external flow was calm.
2 Accordingly, the thermal-induced flow should be considered as a fundamental driving
3 force for pollutant dispersion in street canyons [86]. Most recently, studies on thermal
4 effects have been performed. The primary concerns regarding the thermal effects can
5 be categorized into those related to thermal stratification (neutral, unstable, and stable)
6 and those concerning the thermal position (different surface heating configurations). In
7 addition, the influence of wind is considered in the discussion of the thermal effects in
8 this section.

9 **3.2.1 Thermal stratification**

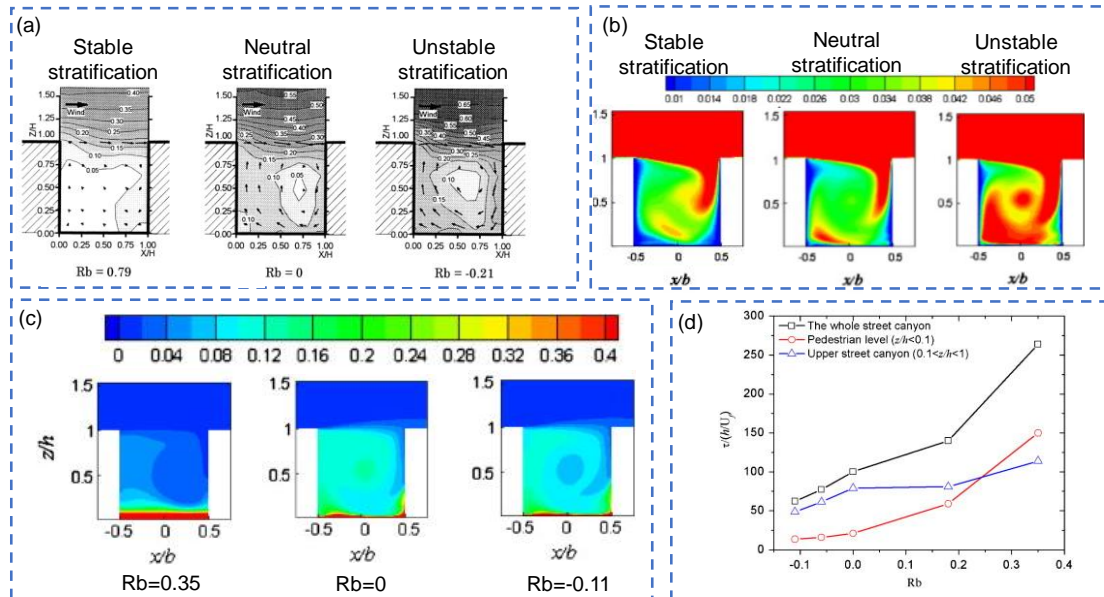
10 Thermal stratification has non-negligible effects on the dispersion process in street
11 canyons [87]. Usually, unstable stratification occurs in the daytime because substantial
12 solar radiation received by building facades or road pavement results in large
13 temperature differences between urban surfaces and the air (exceeding 10 °C [88]).
14 Unstable stratification is also observed at night due to the urban heat island
15 phenomenon [45]. Apart from this situation, the nocturnal flow remains thermally
16 stable [89]. The bulk Richardson number Ri (or R_b) was used to characterize thermal
17 stratification quantitatively [90]. $Ri = gH(T_H - T_0) / \{(T + 273)(U_H)^2\}$, where T is the mean
18 air temperature (°C), T_H is the temperature at the top of the street canyon (°C), and T_0
19 is the ground temperature (°C). A negative Ri indicates unstable stratification (the urban
20 surface is heated) [91], and a positive Ri indicates stable stratification (the surface is
21 cooled) [92]. In general, thermal stratification affects pollutant dispersion by altering
22 the mean flows and turbulence levels [93].

23 For mean flows, the primary circulation (canyon vortex) in the street canyon is
24 enhanced in unstable conditions, whereas the in-canyon flow tends to be significantly
25 slowed down in stable conditions. For example, Uehara et al. [90] conducted wind
26 tunnel experiments to investigate the effects of different thermal stratifications ($-$
27 $0.21 < Ri < 0.79$) on the flow in the canyons. The reverse flow speed during unstable
28 stratification at the bottom of the street canyon increased by almost 60% compared to

1 the neutral case. Contrarily, this flow speed decreased to zero for a stable stratification
2 (Fig. 7(a)). Also, a similar phenomenon was observed by Li et al. [89,94] and Cheng et
3 al. [95]. Further, Cheng et al. [95] and Shen et al. [96] observed a stagnant region near
4 the ground ($z/h < 0.15/0.1$) under stable stratification, which complicated the dispersion
5 of traffic emissions to the upper layers (Fig. 7(c)). As stated by Li et al. [89], this
6 stagnation region resulted in the accumulation of approximately half of the pollutant
7 amount in the lower part of the canyon. Cheng et al. [95] reported that a decrease in Ri
8 from 0.35 to -0.11 reduced passive gaseous pollutant retention time by nearly 90% at
9 the pedestrian level (Fig. 7(c) and (d)). Moreover, Li et al. [97] investigated the thermal
10 effects in different street canyons with aspect ratios of 1, 2, and 0.5 for the same ground-
11 heating intensity. Significant changes were observed in the flow patterns in the street
12 canyon with $H/W = 2$ and 0.5, whereas the street canyon with the aspect ratio of 1 did
13 not show any significant change in the flow field. Only the flow regime of the canyon
14 with $H/W = 0.5$ was changed by ground heating (from wake interference flow to
15 skimming flow).

16 Regarding the distribution of in-canyon turbulence, Li et al. [97,98] found that
17 unstable stratification significantly enhanced the turbulence level inside the canyons.
18 The possible reason is that turbulent transport was higher due to stronger primary
19 recirculation when $Ri < 0$ compared to the neutral condition [99]. On the other hand,
20 Park et al. [100] pointed out that the turbulence at the roof level was the result of
21 changes in the streamwise horizontal velocity in the neutral condition. However, the
22 buoyant flow increased the ratio of vertical velocity variance to turbulence at the roof
23 level in an unstable condition. Rises in turbulence were observed at the rooftop, further
24 enhancing the in-canyon turbulence level. Jiang et al. [101] showed that the TKE in
25 urban canopies increased gradually with a decline in Ri , which was confirmed by Cheng
26 et al. [99] (Fig. 7(b)). Also, Cheng et al. [99] reported that a slower canyon vortex in
27 stable conditions resulted in a reduction in turbulent transport in the canyons. Thus, the
28 turbulence level was reduced under stable stratification conditions, especially in the

1 lower part of the canyons. Furthermore, Jiang et al. [101] observed an inversely
 2 proportional relationship between the turbulence level and the mean pollutant
 3 concentration in the canyons, indicating that unstable stratification improved the
 4 turbulent pollutant flux, but stable stratification reduced it. Likewise, Li et al. [30]
 5 found that there was a substantial difference in the vertical turbulent transport for
 6 different levels of thermal stratification. Unstable stratification improved the vertical
 7 turbulent transport along the leeward surface, whereas neutral and stable stratification
 8 suppressed this transport process, especially during stable stratification. Thus, the
 9 efficiency of turbulent transport decreases with increasing Ri .



10
 11 Fig. 7 (a) Normalized wind velocity in a street canyon ($H/W = 1$) for different thermal
 12 stratifications [90]; (b) contours of $\langle u''u'' \rangle^{0.5} / U_{ref}$ in a street canyon ($H/W = 1$) for
 13 different thermal stratifications [99]; (c) contours of normalized pollutant concentration
 14 for different thermal stratifications [99]; and (d) pollutant retention time (τ) versus
 15 Rb [99].

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 20

Table 5 Overview of studies on the effect of thermal stratification

Ref.	Focus	Urban configuration	Study approach	Remarks
[90]	a, b	S ($H/W=1$)	WT	The ground-level velocity of unstable stratification ($Ri<0$) was nearly 40%-60% higher than that when $Ri=0$ (neutral), but it was weak (about zero) for stable stratification ($Ri>0$).
[96]	a, c	B ($\lambda_F=0.25$)	CFD (V)	There was a stagnant region near the ground ($z/h<0.15/0.1$) under stable stratification, which complicated the dispersion of traffic emissions to the upper layers.
[98]	a, b, c	S ($H/W=1$)	CFD (V)	Unstable stratification significantly enhanced the turbulence level inside the canyons.
[95]	a, b, c	S ($H/W=1$)	CFD (V)	A decrease in Ri from 0.35 to -0.11 reduced pollutant retention time by nearly 90% at the pedestrian level

1 **Focus:** a = Mean flow, b = Turbulence, and c = Pollutant; **Urban configuration:** C = City, S= Street canyon, B = Building arrays, λ_F =
2 Frontal area density, H/W = Height aspect ratio (building height/ street width); **Study approach:** WT = Wind tunnel measurements, FM =
3 Field measurements, CFD (V) = CFD with validation, and CFD (NO) = CFD without validation.

4 **3.2.2 Thermal position**

5 During the daytime, different urban surfaces are heated by solar radiation at
6 different angles (zenith angle) [102,103], as seen in Fig. 8(a). Solar radiation received
7 by different surfaces heats the nearby air, increasing the buoyancy near the surfaces
8 [104]. The thermal position has a profound impact on airflow and pollutant dispersion
9 [105]. Usually, four heating scenarios are adopted to represent different times, i.e.,
10 ground heating (noon), leeward heating (morning or afternoon), windward heating
11 (morning or afternoon), and all-wall heating (nighttime) [106]. As reported by Cai
12 [107,108], different thermally induced flows combined with mechanically induced
13 flows affect the flow field and the distribution of pollutants in two different ways: 1)
14 *assisting*, the buoyancy takes effect in the same direction as the primary recirculation,
15 2) *opposing*, the buoyancy has the opposite direction as the mechanically induced flow.

16 Studies were conducted on mean flows caused by different thermal positions. For
17 example, Allegrini et al. [109] conducted a wind tunnel experiment to study the flow
18 characteristics in an infinitely long street canyon ($H/W = 1$) with different heated
19 surfaces. As shown in Fig. 8(b), the results showed that the ground, leeward, and all-
20 surface heating scenarios hardly altered the flow structure compared with the neutral
21 case; thus, there only existed primary recirculation in the center of the canyons.
22 However, the in-canyon wind velocity was improved by the three heating scenarios,
23 and the effect was especially pronounced for the ground heating scenario. In contrast,
24 a secondary counter-rotation vortex appeared on the windward side in the windward

1 heating scenario. These results were confirmed by Kim and Baik [110] and Park and
2 Baik [100]. In addition, Kim and Baik [110] pointed out that the upper-layer vortex was
3 driven by mechanically induced flow, whereas the lower-layer vortex was thermally
4 induced by the buoyant force when the windward surface was heated. In this heating
5 scenario, the buoyancy had the opposite direction as the mechanically induced flow on
6 the windward side, reducing the windward wind velocity to nearly zero. Therefore, the
7 passive gaseous pollutant residence time in the canyon was nearly three times higher
8 than those in the other heating scenarios. Similarly, Hang et al. [106] found that the
9 maximum passive gaseous pollutant concentration (CO) near ground level could be
10 reduced by nearly 80% when the heating scenario changed from windward heating to
11 neutral. However, Lin et al. [111] obtained different results when the ambient wind
12 speed changed. At a low wind speed, an updraft flow rather than the primary vortex
13 existed in all heating scenarios. However, at high wind speed, a primary vortex formed
14 only when the ground was heated, and the updraft flow dominated the canyons in the
15 other heating scenarios. A possible explanation for this difference is the difference in
16 thermal intensities and canyon geometries [112]. Regarding turbulence levels caused
17 by different thermal positions, Park and Baik [100] found that ground heating resulted
18 in a higher in-canyon turbulence level since the canyon flow was more intermittent and
19 fluctuating compared with the windward heating scenario. Furthermore, Allegrini et al.
20 [109] found that the ground, windward, and all-surface heating scenarios resulted in
21 high levels of turbulence due to the combination of thermal-induced flow and
22 mechanically induced flow (Fig. 8(c)). The level of turbulence was highest in the all-
23 surface heating scenario.

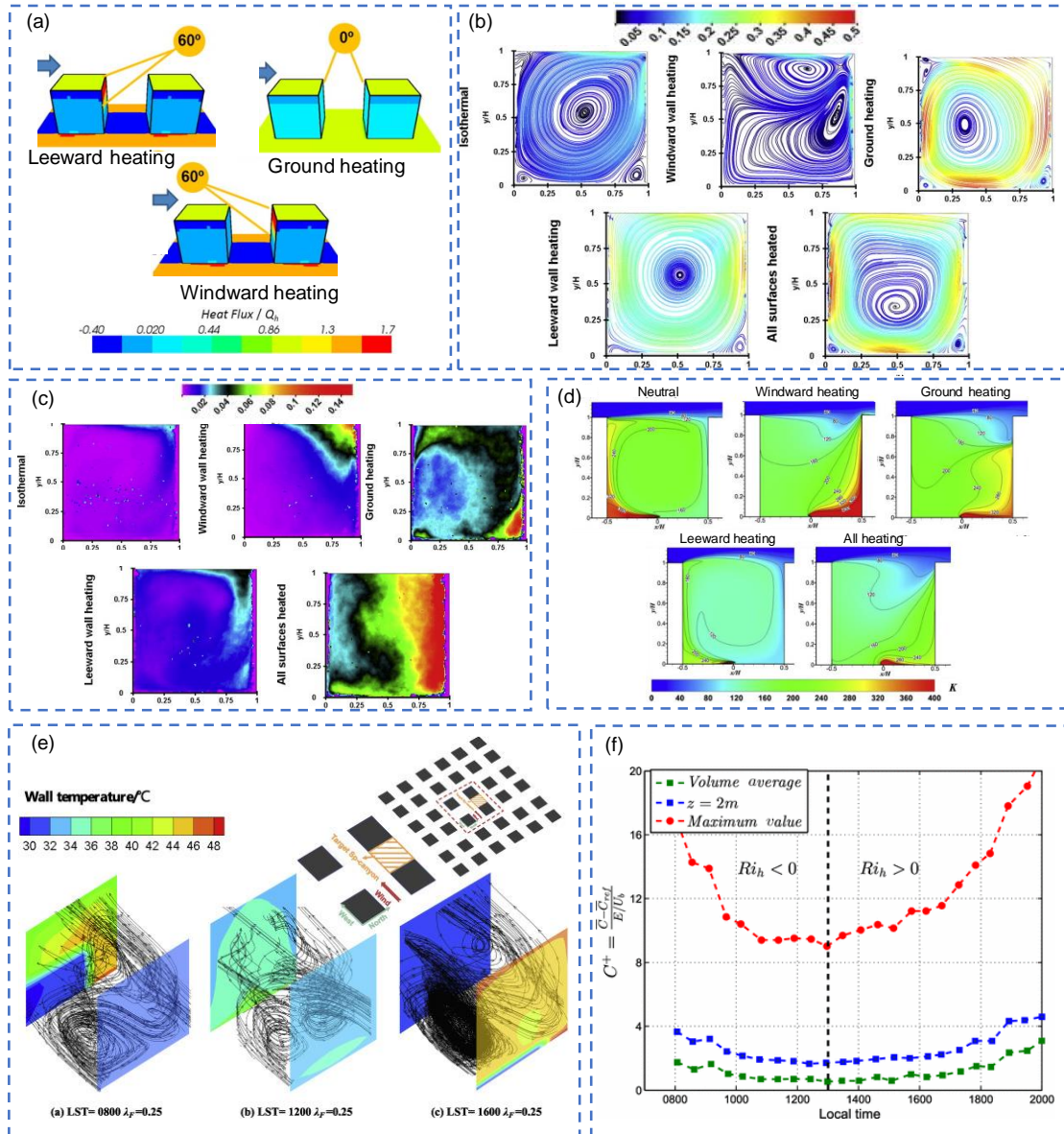
24 It is noteworthy that the reviewed studies were mainly based on the assumption of
25 uniform wall temperature. In effect, as stated by Li et al. [113,114], solar heating causes
26 a non-uniform wall temperature, as shown in Fig. 8 (e). Thus, the influence of the
27 thermal position on the flow structure should be different when solar heating is
28 considered [115–120]. For instance, Nazarian et al. [121] observed a main vortex in the

1 canyons in all heating scenarios, and the ground and windward heating scenarios had
 2 the lowest and highest reverse velocity near the ground level, respectively. Furthermore,
 3 Nazarian et al. [119,120] reported that the volume-averaged pollutant concentration
 4 under an assumption of 3D non-uniform heating in the canyons first decreased until
 5 1300 local time (*LT*) and then increased, resulting in a parabolic diurnal variation.
 6 Besides, ground heating still resulted in the lowest pollutant concentration, and the
 7 concentration in the windward heating scenario was still higher than that of the leeward
 8 heating scenario. From 0800 to 1300 *LT* or 1800 to 1300 *LT*, the passive gaseous
 9 pollutant concentration decreased by nearly 40% (Fig. 8 (f)).

10 Table 6 Overview of studies on the effect of thermal position

Ref.	Focus	Urban configuration	Study approach	Remarks
[109]	a, b	S ($H/W = 1$)	WT	Only primary recirculation occurs in the center of the canyons during ground, leeward, and all-surface heating, whereas a secondary counter-rotation vortex occurs in windward heating; ground heating causes the strongest ground-level wind velocity; ground, windward, and all-surface heating scenarios yield high levels of turbulence.
[106]	a, c	S ($H/W = 0.5$ to 3)	CFD (V)	The maximum pedestrian-level pollutant concentration could be reduced by nearly 80% when the heating scenario changed from windward heating to neutral
[113]	a, c	B ($\lambda_F = 0.125$ to 1.25)	CFD (V)	Realistic solar heating causes a non-uniform wall temperature
[116, 117]	a, b, c	B ($\lambda_F = 0.25$)	CFD (V)	Volume-averaged pollutant concentration under an assumption of 3D non-uniform heating in the canyons first decreased until 1300 local time and then increased, resulting in a parabolic diurnal variation

11 **Focus:** a = Mean flow, b = Turbulence, and c = Pollutant; **Urban configuration:** C = City, S= Street canyon, B = Building arrays, λ_F =
 12 Frontal area density, H/W = Height aspect ratio (building height/ street width); **Study approach:** WT = Wind tunnel measurements, FM =
 13 Field measurements, CFD (V) = CFD with validation, and CFD (NO) = CFD without validation.



1

2 Fig. 8 (a) Surface sensible heat flux distribution normalized by the total heat flux above
 3 the canopy corresponding to different thermal positions [103]; (b) contour plots of the
 4 normalized velocities for various configurations of heated surfaces [109]; (c) contour
 5 plots of the normalized TKEs for various configurations of heated surfaces [109]; (d)
 6 contour plots of the normalized concentration for various configurations of heated
 7 surfaces [106]; (e) predicted wall temperature and 3D streamlines at different solar
 8 times [113]; and (f) changes in the normalized concentration over time [119,120]

9 **3.3 Effects of vehicular motion**

10 The findings of previous studies show that vehicular motion contributes
 11 significantly to pollutant dispersion in urban areas, especially at low wind speeds

1 [122,123]. The pollutant concentration in a street canyon may be underestimated when
2 vehicular traffic is not considered [124,125]. The reason is the strong airflow at the
3 bottom of street canyons due to ambient wind and the interactions with the movement
4 of vehicles [126]. Jicha et al. [127] reported that if the vehicular motion was not
5 considered in studies on pollutant dispersion, one of the most important factors
6 influencing the mixing processes of pollutants in the canopy layer was ignored. Thus,
7 the study of airflow and turbulence induced by vehicular motion is crucial to understand
8 the dispersion process of pollutants in urban areas [128]. To date, some studies
9 conducted field measurements, wind tunnel experiments, and numerical simulations to
10 provide detailed descriptions of significant flow mechanisms affected by vehicle
11 dynamics, i.e., traffic-produced turbulence (TPT) and traffic-produced flow (TPF).

12 Enhanced turbulence (TPT) near the target road was observed in most studies. For
13 instance, Shi et al. [129] reported that moving vehicles generated a continuous
14 turbulence source at the bottom of the canyons, which is in line with other studies [130–
15 133]. Further, Qin and Kot [122] found that TPT significantly influenced the
16 distribution of turbulence up to a height of approximately 7 m. Even at 30 m
17 downstream of the traffic flow, Sedefians et al. [134] observed that the contribution of
18 TPT to the total turbulence was as high as 50%. Accordingly, the reduction in the
19 pollutant concentration in the traffic region is attributed to higher TKE near the ground
20 level [129]. Besides, both Kondo and Tomizuka [133] and Woodward et al. [135]
21 reported that the maximum pollutant concentration was reduced, and the peak was
22 smoothed out in the direction of traffic flow due to TPT.

23 In addition to causing an increase in TKE by TPT, the vehicular motion also
24 enhances the advection by entraining masses of air in the moving direction [127,136].
25 Therefore, TPF is also a crucial factor affecting pollutant dispersion in the close vicinity
26 of moving traffic. For instance, Kondo and Tomizuka [133] observed a substantial
27 difference in the flow fields of cases with and without TPF in two-way traffic. When
28 the traffic movement was included in the model, two prevailing airflows with opposite

1 directions were observed in the streets. Furthermore, the authors compared the
2 contribution of TPT and TPF to the reduction in pollutant concentration. TPF led to a
3 reduction in pollutant concentration of as much as 40% at a monitoring post. In contrast,
4 the influence of TPT on pollutant dispersion was greater than that of TPF (concentration
5 declined by almost 50%).

6 In effect, the influence of vehicular motion (both TPT and TPF) is strongly
7 correlated to the vehicle speed, vehicle shape, traffic volume, and traffic composition.
8 These factors will be discussed below.

9 **3.3.1 Vehicle speed**

10 Wang et al. [137] studied vehicle-induced turbulence using dynamic mesh
11 technology. The moving vehicles were assumed to have the same geometric shape (4 m
12 length, 1.6 m width, and 1.4 m height), representing a small passenger car in China. The
13 results indicated that the maximum TKE increased from 0.15 to 0.85 m^2/s^2 near
14 roadways when the vehicle speed increased from 18 to 54 km/h (Fig. 9 (a)). The TKE
15 rose to 10 m^2/s^2 , with an increase in vehicle speed to 140 km/h [138]. Kastner-Klein et
16 al. [131] found that the passive gaseous pollutant concentration at a reference point at
17 ground level was reduced by almost 42% with a three-fold increase in vehicle (car)
18 speed (Fig. 9 (b)). Similarly, Li et al. [123] found that in regions behind the moving
19 vehicles (passenger car), the passive gaseous pollutant concentration significantly
20 decreased because of the increasing vehicle speed.

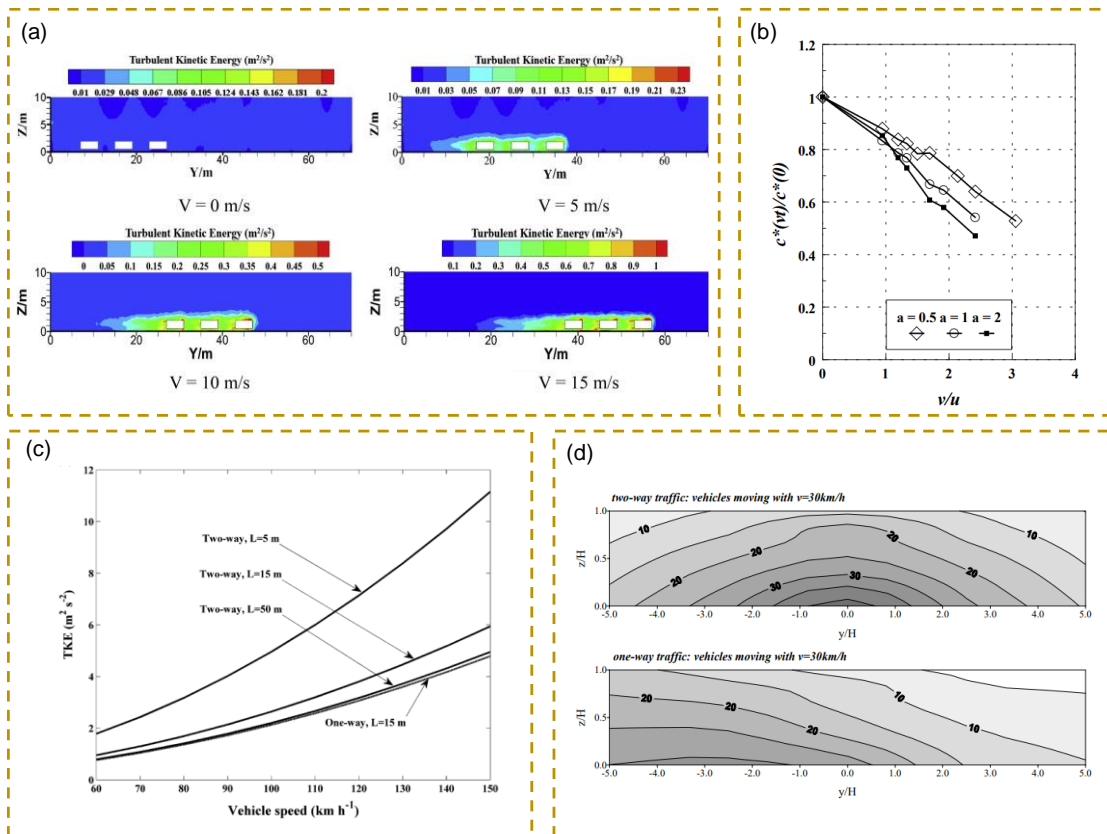
21 **3.3.2 Traffic composition**

22 The traffic composition changes the distribution of TPF and TPT [139], and the
23 effect is more pronounced for TPF. Pospisil and Jicha [139] assessed the pollutant
24 dispersion of one-way and two-way traffic (car). The results showed that the passive
25 gaseous pollutant concentration of two-way traffic at the pedestrian level was almost
26 44% higher than that of one-way traffic. These results were confirmed by Kastner-Klein
27 et al. [131] (Fig. 9 (d)). In contrast, He and Dhaniyala [138] found that the TKE of two-
28 way traffic (passenger cars) was approximately two times higher than that of one-way

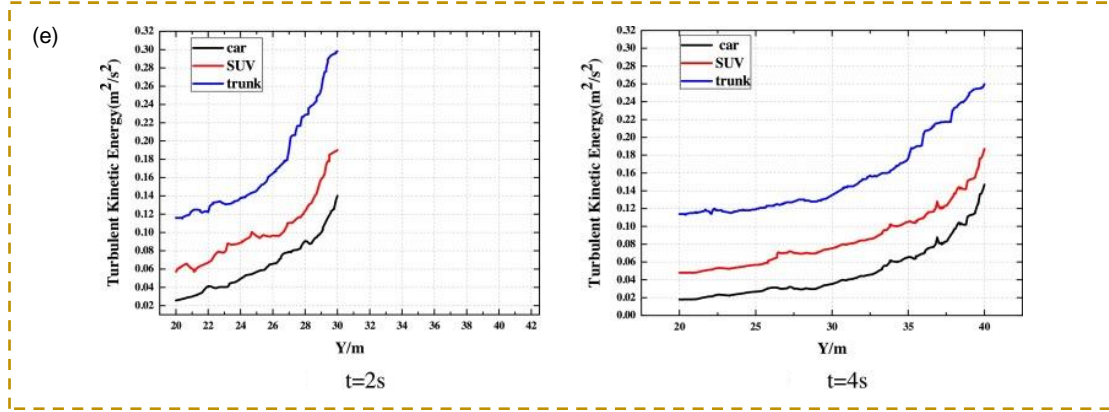
1 traffic (Fig. 9 (c)). A possible explanation was proposed by Kastner-Klein et al. [131],
 2 who found that one-way traffic caused a more significant flow in the street direction,
 3 with mean velocities of $0.15 U_{ref}$ (U_{ref} is the ambient wind speed). Thus, TPF caused by
 4 one-way traffic results in the transport of pollutants in the direction of the canyon,
 5 leading to a reduction in the pollutant concentration.

6 3.3.3 Vehicle shape

7 Cai et al. [140] investigated the relationship between TPT and vehicle shape. The
 8 results indicated that a truck produced more TKE than a car or SUV in the canyons (Fig.
 9 9 (f)). Furthermore, a high turbulence level can contribute to the mixing of pollutants
 10 and creates a more uniform pollutant distribution at the pedestrian level.



11



1
2 Fig. 9 (a) TKE contours across the centerline of vehicles at different velocities [137];
3 (b) normalized concentration at a reference point (leeward canyon wall, ground level)
4 as a function of the velocity ratio v (vehicle moving speed) $/u$ (reference wind speed);
5 the traffic density is expressed by the scaling factor a [131]; (c) TKE profiles at different
6 vehicle speeds and different distances to the opposing roadway ($L=5, 15, 50$ m) [138];
7 (d) concentration patterns at the leeward canyon wall for different traffic conditions
8 [131]; (f) TKE distribution at the center height of the vehicle for different vehicle shapes
9 [140].

10 Table 7 Overview of studies on the effect of vehicular motion

Ref.	Focus	Urban configuration	Study approach	Remarks
[129]	a, b, c	S ($H/W = 1$)	CFD (V)	Moving vehicles generate a continuous turbulence source at the bottom of the canyons
[127]	a, b, c	S ($H/W \approx 0.8$)	CFD (NO)	Vehicular motion enhances the advection by entraining masses of air in the moving direction
[133]	a, b, c	C	CFD (V)	Maximum pollutant concentration is reduced and the peak is smoothed out in the direction of traffic flow due to TPT
[137]	a, b, c	S ($H/W = 1$)	CFD (V)	The maximum TKE increased from 0.15 to 0.85 m^2/s^2 near roadways when the vehicle speed increased from 18 to 54 km/h
[131]	a, b, c	S ($H/W = 1$)	CFD (V)	Pollutant concentration at a reference point at ground level was reduced by almost 42% with a three-fold increase in vehicle speed
[139]	a, b, c	S ($H/W = 0.8$)	CFD (NO)	The traffic composition changes the distribution of TPF and TPT, and the effect is more pronounced for TPF; the passive gaseous pollutant concentration of two-way traffic at the pedestrian level was almost 44% higher than that of one-way traffic
[140]	a, b, c	S ($H/W = 1$)	CFD (V)	A truck produced more TKE than a car or SUV in the canyons

11 **Focus:** a = Mean flow, b = Turbulence, and c = Pollutant; **Urban configuration:** C = City, S= Street canyon, B = Building arrays, λ_F =
12 Frontal area density, H/W = Height aspect ratio (building height/ street width); **Study approach:** WT = Wind tunnel measurements, FM =
13 Field measurements, CFD (V) = CFD with validation, and CFD (NO) = CFD without validation.

14 3.4 Interactions between the three mechanical factors

15 3.4.1 Interactions between inflow conditions and thermal effects

16 Usually, the Richardson number, Ri ($\approx Gr/Re^2$) is used to compare the mechanical

1 driving force with the buoyant driving force, where Gr is the Grashof number, which is
 2 proportional to the buoyancy force, and Re is the Reynolds number, which is
 3 proportional to the mechanical force [112]. In most studies, Ri is 1. For instance, Offerle
 4 et al. [141] and Louka et al. [88] used field measurement and reported that the Ri was -
 5 0.59 and -4.42, respectively. Likewise, $|Ri|$ ranged from 0 to 10 in most studies that
 6 used wind tunnel experiments [90,109,111] or CFD simulations [99,115,142,143]. The
 7 absolute value of Ri represented the stable and unstable stratification simultaneously.
 8 Therefore, we can expect that the mechanical driving force and buoyant driving force
 9 both influence pollutant dispersion in most studies. Xie et al. [144] investigated the air
 10 exchange rate (AER) for various Re values and heating intensities of the ground in a
 11 street canyon. It was found that at the same Re value, an increase in the heating intensity
 12 increased the AER by 2-4 times. In contrast, at the same heating intensity, a decrease in
 13 Re increased the AER from 67% to 201%. Essentially, altering either the inflow wind
 14 speed or thermal effect resulted in pollutant dispersion. Furthermore, similar results
 15 were observed for different wind directions [117] and even different thermal positions
 16 [109,111,143].

17 **3.4.2 Interactions between inflow conditions and vehicular motion**

18 As mentioned in Section 3.3, vehicular motion causes more significant changes in
 19 the turbulence level than the mean wind velocity. Furthermore, traffic-induced
 20 turbulence σ_{wt} and wind-driven turbulence σ_{ww} both play essential roles in determining
 21 the total turbulence level σ_w [127]. For instance, Solazzo et al. [145] found a strong
 22 correlation between σ_w , the ambient wind speed U_{ref} , and σ_{wt} , which was expressed as
 23 follows:

$$24 \quad \sigma_w = (\sigma_{ww}^2 + \sigma_{wt}^2)^{1/2} = [(C_a \times U_{ref})^2 + \sigma_{wt}^2]^{1/2}, \quad (1)$$

25 where C_a is typically 0.1. Zhang et al. [146] determined that the ratio of σ_{wt} and U_{ref}
 26 (i.e., σ_{wt}/U_{ref}) described the relative effect of TPT and wind-driven turbulence on
 27 pollutant dispersion in the urban context. Furthermore, Rastetter [147] reported that the
 28 effects of moving vehicles and wind speed impacted the pollutant concentration equally.

1 At a specific traffic density, the same ratio of V/U_{ref} provided the same concentration
 2 values, where V denotes the average speed of the vehicles. Similarly, Kastner-Klein et
 3 al. [131] pointed out that the in-canyon concentration changed linearly with $a^{1/3} V/U_{\text{ref}}$,
 4 where a represents the traffic density.

5 Consequently, it can be deduced that the influences of the inflow conditions (e.g.,
 6 wind speed), thermal effects (e.g., thermal intensity), and moving vehicles are of the
 7 same order.

8 3.5 Summary of mechanical measures

9 Table 8 (summarized from Tables 1-7) compares the influences of all mechanical
 10 measures on the pollutant concentration for the same urban configuration, emission
 11 type, and measurement position. Interestingly, all three mechanical measures (inflow
 12 wind conditions, thermal effects, and vehicular motions) significantly impact pollutant
 13 dispersion in the urban context, and their influences on the pollutant concentration are
 14 of the same order. This is also in line with the analysis of section 3.4. Generally, the
 15 influence of all three mechanical measures is significant and should not be ignored by
 16 urban planners and architects.

17 Table 8 Comparison of the influences of different mechanical measures on the
 18 pollutant concentration

Ref.	Sensitivity analysis	Urban configuration	Emission type	Position of measurement	Variation of concentration
[46]	a1	S ($H/W = 1$)	Passive gaseous pollutant	Ped. Level	44%
[58]	a2	S ($H/W \approx 1$)	Passive gaseous pollutant	Ped. Level	42%
[70]	a3	S ($H/W = 1$)	Passive gaseous pollutant	Ped. Level	50%
[78]	a4	S ($H/W = 1$)	Passive gaseous pollutant	Ped. Level	86%
[95]	b1	S ($H/W = 1$)	Passive gaseous pollutant	Ped. Level	90%
[106]	b2	S ($H/W = 1$)	Passive gaseous pollutant	Ped. Level	80%
[131]	c1	S ($H/W = 1$)	Passive gaseous pollutant	Ped. Level	42%
[139]	c2	S ($H/W = 0.8$)	Passive gaseous pollutant	Ped. Level	44%

19 **Sensitivity analysis:** a1= Inflow wind speed, a2= Time-varying inflow, a3= Inflow turbulent fluctuation, a4= Inflow wind direction, b1 =
 20 Thermal stratification, b2 = Thermal position, c1 = Vehicle speed, c2 = Traffic composition, c3= Vehicle shape. **Urban configuration:** S=
 21 Street canyon, H/W = Height aspect ratio (building height/ street width); **Position:** Ped. Level = Pedestrian level

22 4. Effects of urban morphology

23 A literature review indicates that the urban wind environment has high spatial
 24 variation and strongly depends on the characteristics of the urban texture features

1 [19,148–153]. Once traffic emissions are discharged into the atmosphere, the
2 distribution of air pollution is considerably affected by the urban morphology [154–
3 158]. Accordingly, it is essential to identify which urban morphology characteristics
4 have a prominent influence on the ventilation and corresponding pollutant dispersion
5 [159].

6 According to different geometries, the study regions can be divided into four
7 lengths or scales: the regional scale (up to 100 or 200 km), city-scale (up to 10 or 20
8 km), neighborhood scale (up to 1 or 2 km), and street scale (less than 100–200 m)
9 [160,161]. It is noteworthy that health impacts are evident on a local scale, although the
10 average data on a city scale may meet the regulatory standards [162]. The reason is that
11 average values at the urban or regional scales cannot be used for the control of local air
12 quality due to a lack of information on the microenvironment (e.g., on-site meteorology,
13 thermal environment, and traffic flows) and a low resolution for the calculation of urban
14 morphology features [163]. Therefore, this section focuses on understanding the
15 influence of urban morphology at the neighborhood and street scales. At the
16 neighborhood scale, we identify two levels of urban morphology based on the
17 classification of Yang and Fu [164]. The first level is the urban density. The second
18 level represents the urban spatial characteristics, including urban heterogeneity and the
19 degree of enclosure. At the street scale, a review is conducted of studies on the height
20 aspect ratio, building opening/separation, and the influence of viaducts.

21 **4.1 Neighborhood scale**

22 **4.1.1 Effects of urban density**

23 First, we determine the correlation between urban density and pollutant dispersion.
24 Urban density is more than just a ratio that affects the resource efficiency or liveability
25 of cities. It also considerably impacts the pollutant distribution in the urban context.
26 Thus, there are apparent conflicts between land use and outdoor air quality. In this
27 section, we assess the potential link between urban planar density (the proportion of
28 land area that can be utilized for development) and frontal area density (FAD) on the

1 one hand (the impact of the vertical surface) and urban outdoor air quality on the other
2 hand.

3 **4.1.1.2 Planar urban density**

4 Typically, planar urban density at the neighborhood scale is described by the
5 building coverage ratio (BCR) (also known as planar area density λ_p [165]), which is
6 the ratio of the buildings' footprint area to the total area under consideration [166] (Fig.
7 10 (a)). Kubota et al. [167] found a negative linear correlation between BCR (%) and
8 R_u (the average pedestrian-level wind speed ratio, which is equal to the ratio of
9 local/reference wind speed) with $R^2=0.87$: $R_u = -0.01 \cdot \text{BCR} + 0.8$ (or 0.56) (Fig. 10 (b)).
10 With an increase in BCR (10%-35%), the R_u decreased by about 35.7%. This result may
11 be attributable to a reduction in the pressure difference between buildings, which limits
12 the ventilation potential in areas of high urban density [168–170]. Therefore, it follows
13 that there is a positive relationship between the mean age of air (Age, which is defined
14 as the time required for rural air to reach a given location after entering an urban area;
15 a larger Age represents poorer air quality) and BCR: $\text{Age} = 411.92 \cdot \text{BCR} + 83.08$ [170].
16 Likewise, Di Sabatino et al. [171] found that the in-canyon maximum CO concentration
17 increased from 700 to 5000 $\mu\text{g}/\text{m}^3$ when the BCR increased from 6.25% to 44%.
18 Similarly, Buccolieri et al. [168] reported that the maximum normalized Age increased
19 from 6 to 21 as the BCR increased from 25% to 56% (Fig. 10 (c)). Interestingly, an
20 increase in the BCR results in increased turbulence at the rooftop [148]. However, an
21 increase in the turbulence level did not offset the significant reduction in ventilation
22 potential. Accordingly, this result provides convincing evidence that pollutant
23 dispersion is mainly affected by the mean flow, which changes with a change in the
24 BCR.

25 **4.1.1.2 Vertical urban density**

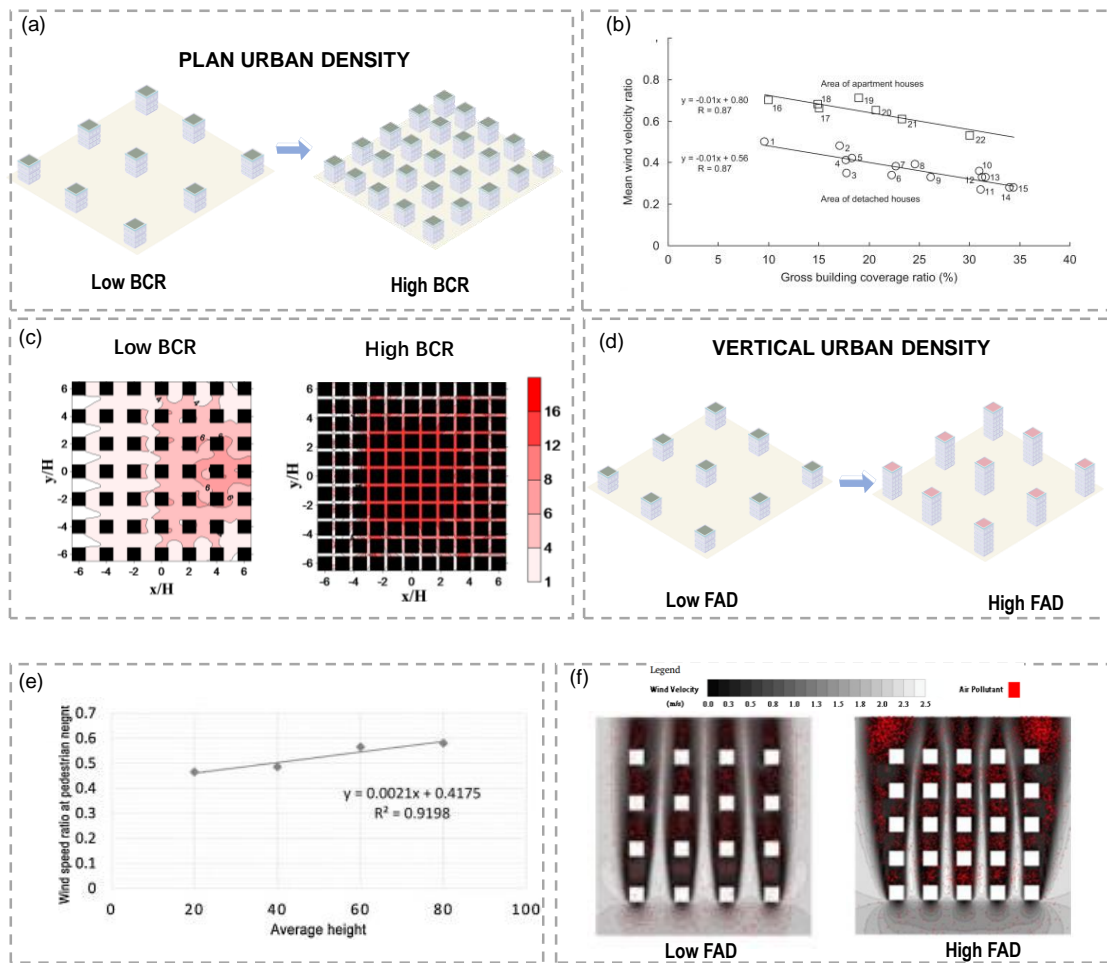
26 Srebric et al. [172] pointed out that it is more practical to classify urban
27 neighborhoods using vertical urban density for the most densely populated cities, e.g.,
28 Singapore, Hong Kong, and Manhattan in New York City. The reason is that the vertical

1 urban density reflects the height blockage in dense areas.

2 Usually, the FAD is used to describe the vertical urban density at the neighborhood
3 scale (Fig. 10 (d)), since it is the ratio of the frontal area of buildings exposed to the
4 wind to the total area under consideration [173,174]. Yang et al. [169] reported that the
5 wind velocity increased with an increase in the FAD in the main street canyons
6 (streamwise canyons) due to a strong “Venturi effect”, but it decreased gradually in the
7 secondary street canyons (spanwise canyons). Thus, as illustrated in Fig. 10 (e), the
8 average wind speed ratio at the pedestrian level decreased with an increase in the FAD,
9 causing the accumulation of pollutants. A similar phenomenon was also observed by Li
10 et al. [175] and Bentham and Britter [176]. Accordingly, there is a positive correlation
11 between the FAD and pollutant concentration for urban arrays, as shown in Fig. 10 (f).
12 A nearly four-fold increase in the FAD resulted in an approximately 50% increase in
13 Age (worse air quality) at the pedestrian level [169]. Moreover, Mei et al. [177]
14 investigated wind-driven natural ventilation in a set of urban arrays with a range of the
15 FAD from 10% to 80%. The results indicated that a change in the FAD significantly
16 altered the flow patterns, particularly in secondary canyons. With an increase in the
17 FAD, the axis of the secondary-canyon vortex changed from horizontal to vertical,
18 causing a weaker air exchange at the rooftop. Hence, the maximum Age in the center
19 area increased by two times with increasing FAD. Interestingly, once the FAD exceeded
20 0.4, the pollutant removal potential was quite weak. Likewise, Shi et al. [178] found
21 that an increase in the FAD resulted in the reduction of the horizontal permeability of
22 urban ventilation, further impeding the dispersion of airborne pollution.

23 In effect, the FAD might not be uniform in the vertical direction. As noted by Ng
24 et al. [179], the FAD in the lower layers of urban areas in Hong Kong was marginally
25 higher than in higher layers due to the existence of a podium. Thus, the FAD was
26 divided into three layers according to the height (podium layer (0-15 m), building layer
27 (15-60 m), and urban canopy layer (0-60 m)). Shi et al. [7] used this classification and
28 conducted a four-month monitoring campaign in Hong Kong; it was found that the FAD

1 of the podium layer had the most significant positive correlation with the PM2.5
 2 concentration.



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5 Fig. 10 (a) Illustrations of planar urban density; (b) relationship between planar
 6 building density and mean wind velocity ratio [167]; (c) normalized mean age of air
 7 at the pedestrian level for different planar building densities [168]; (d) illustrations of
 8 vertical urban density; (e) correlation of wind speed ratio and average height [169]; (f)
 9 simulation results of the wind environment and air pollution flow for different FAD
 10 values [169].

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Table 9 Overview of studies on the effect of urban density

Ref.	Focus	Sensitivity analysis	Study approach	Remarks
[169]	a, c	1, 2	CFD (V)	A negative linear correlation was observed between BCR (%) and mean wind speed; a nearly four-fold increase in the FAD resulted in an approximately 50% increase in Age (worse air quality) at the pedestrian level
[168]	a, c	1	CFD (V)	The maximum normalized Age increased from 6 to 21 as the BCR increased from 25% to 56%
[177]	a	2	CFD (V)	With an increase in the FAD, the axis of the secondary-canyon vortex changed from horizontal to vertical, causing a weaker air exchange at the rooftop
[7]	a, c	2	FM	The FAD of the podium layer had the most significant positive correlation with the PM _{2.5} concentration

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Focus: a = Mean flow, b = Turbulence, and c = Pollutant; **Sensitivity analysis:** 1= Planar urban density, and 2= vertical urban density;

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Study approach: WT = Wind tunnel measurements, FM = Field measurements, CFD (V) = CFD with validation, and CFD (NO) = CFD

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without validation.

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4.1.2 Effects of urban heterogeneity

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In urban arrays, the building height and the layout of buildings are rarely uniform [157], as shown in Fig. 11 (a) and (d). Furthermore, the irregular building geometry and non-uniform building spacing, height, and layout cause complex flow and turbulent characteristics. For instance, the variations in the building height result in a substantial velocity fluctuation at the top shear layer, promoting the dilution of pollutants from the lower areas of the street canyons. Therefore, it is crucial to obtain an in-depth understanding of the influence of urban heterogeneity and utilize the heterogeneity to improve pollutant dispersion.

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4.1.2.1 Planar urban heterogeneity

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The staggered layout of buildings is a typical non-uniform urban configuration, resulting in planar heterogeneity [180]. In contrast to the investigation of the flow characteristics of regularly aligned arrays of buildings, the flow characteristics of a staggered layout have not been considered in detail in the literature.

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Bady et al. [181] observed that the flow structures of aligned and staggered layouts were fundamentally different. Under perpendicular wind, the staggered arrays diverted airflow to downstream obstacles, whereas the aligned arrays caused a channeling flow. Accordingly, higher passive gaseous pollutant concentration was found in the staggered arrays due to their poorer ability to remove pollutants under this wind direction. However, for an oblique wind ($\theta = 45^\circ$), the staggered array yielded

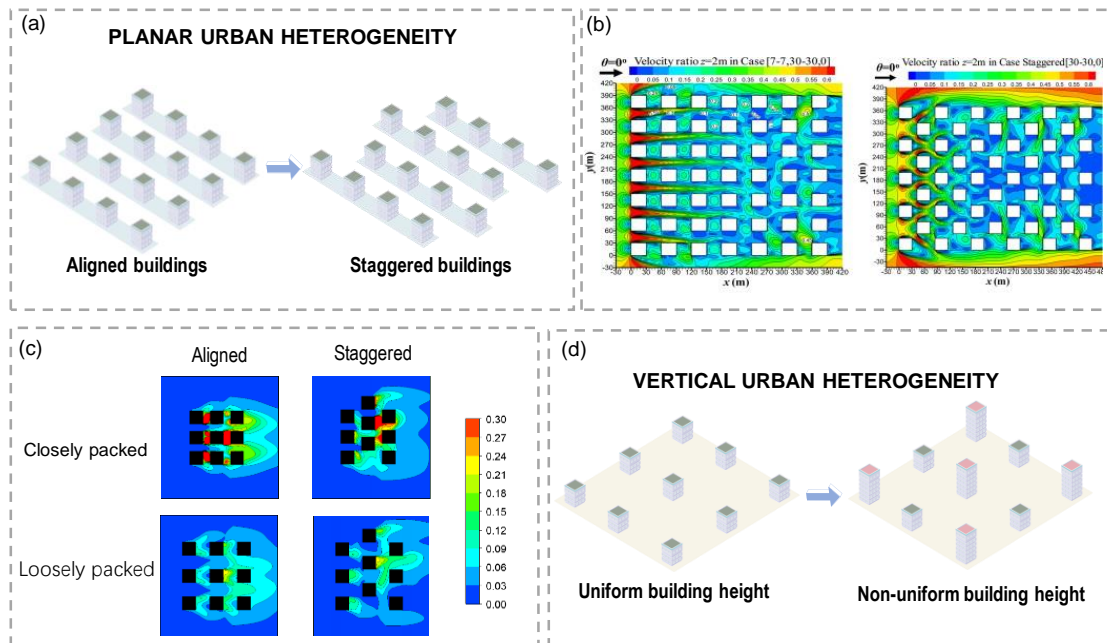
1 better ventilation potential because the aligned blocks produced more circular vortices
2 in this wind direction. Differently, Lin et al. [82] stated that the staggered arrays always
3 yielded a lower ventilation efficiency than the aligned arrays under any wind direction
4 ($45^\circ < \theta < 90^\circ$) (Fig. 11(b)). The possible explanation might be the different distances
5 between two rows of building arrays (different planar building density). In other words,
6 an improvement in the ventilation provided by the staggered layout was strongly
7 spatially dependent [182]. Also, Cheung and Liu [183] tested the sensitivity of urban
8 ventilation to different degrees of building shifts in staggered layouts. With a suitable
9 building shift, the staggered layout could improve the ventilation rate two-fold
10 compared with the aligned one. Meanwhile, it was found that the influence of the
11 staggered layout could be weakened by a larger building separation. Yazid et al. [184]
12 confirmed this result. The interference effect of the building shift was investigated for
13 a group of buildings with different planar urban densities. As shown in Fig. 11 (c), the
14 reduction in the passive gaseous pollutant (CO) concentration was more significant for
15 closely packed buildings for aligned buildings and staggered layout buildings.

16 **4.1.2.2 Vertical urban heterogeneity**

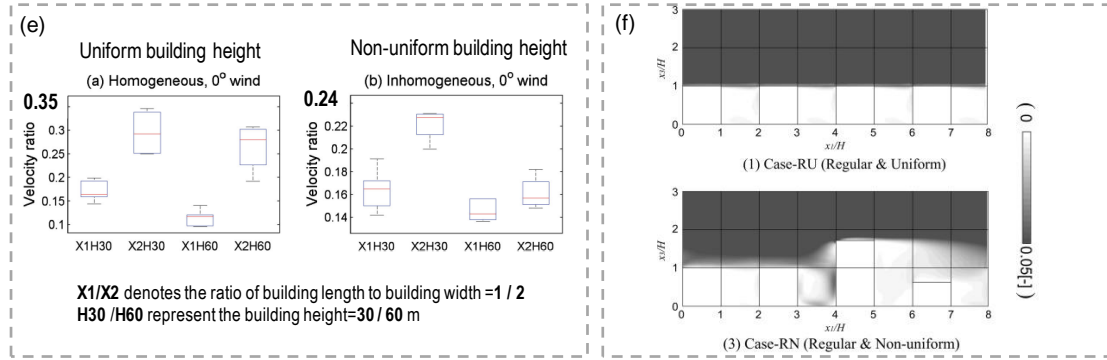
17 Vertical urban heterogeneity is the result of differences in building heights. Cheng
18 and Castro [185] demonstrated that the non-uniformity of the building height notably
19 enhanced the vertical momentum transport compared with the uniform height model.
20 Similarly, Hang and Li [186] pointed out that the ventilation of secondary streets
21 benefited from a variation in the building height due to the stronger vertical mean flow
22 at the rooftop. Likewise, Antoniou et al. [187] investigated outdoor urban ventilation in
23 a real complex urban area. The results revealed that an increase in the building height
24 in the area improved the breathability level at the pedestrian level. Accordingly, Hang
25 et al. [188] concluded that suitable building height configurations improved the
26 breathability level in high-rise urban areas.

27 Interestingly, Ishida et al. [189] argued that non-uniform building height resulted
28 in improved overall dissipation of total energy, hence deteriorating the ventilation

1 performance, although an increase in the TKE was observed (Fig. 11(f)). Moreover, Lin
 2 et al. [82] observed that building height differentials weakened horizontal flows along
 3 the street, although the vertical air exchange was improved. Therefore, it is difficult to
 4 conclude whether a non-uniform building height improves urban ventilation or not.
 5 This conclusion is in line with the results of Chen et al. [190], Wang et al. [191,192].
 6 Chen et al. [190] analyzed the ventilation potential in a group of urban arrays with six
 7 standard deviations of the height. The non-uniformity in the building height caused an
 8 approximately 40% decrease in the vertical air exchange but increased the horizontal
 9 air exchange by up to 40%. Thus, similar to the influences of urban planar heterogeneity,
 10 the effects of the building height are also strongly spatially dependent. Wang et al.
 11 [191,192] investigated the combined effects of urban vertical heterogeneity and planar
 12 density on ventilation performance. As shown in Fig. 11 (e) for the case of $H=30$ m as
 13 an example, the median wind velocity ratio of buildings with a non-uniform height was
 14 nearly 0.1 higher than their uniform height counterpart when the planar urban density
 15 was low (X1). However, when the planar urban density was high (X2), the median value
 16 was lower for buildings with a non-uniform height.



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2 Fig. 11 (a) Illustrations of planar urban heterogeneity; (b) contours of the velocity ratio
3 for $z = 2$ m for different planar urban heterogeneities [82]; (c) contours of the
4 concentration near the ground for different planar urban heterogeneities [184]; (d)
5 illustrations of vertical urban heterogeneity; (e) ventilation performance associated with
6 the building aspect ratio and vertical urban heterogeneity [192]; (f) vertical distributions
7 of the dimensionless mean TKE [189]

8 Table 10 Overview of studies on the effect of urban heterogeneity

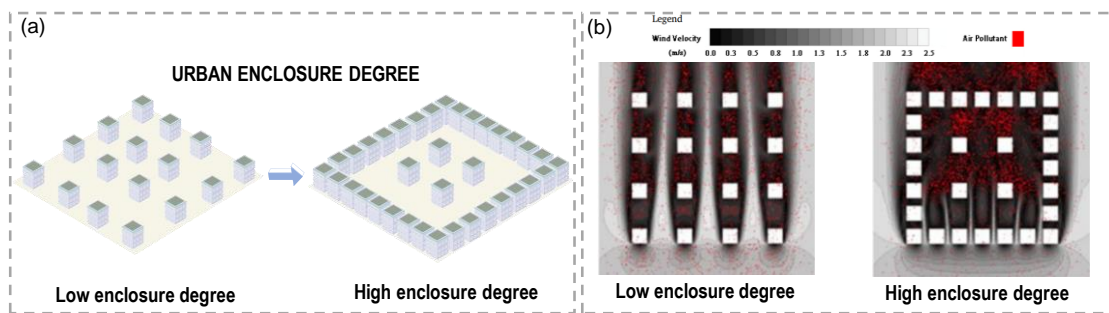
Ref.	Focus	Sensitivity analysis	Study approach	Remarks
[82]	a, c	1, 2	CFD (V)	The staggered arrays always yielded a lower ventilation efficiency than the aligned arrays one for any wind direction ($45^\circ < \theta < 90^\circ$); building height differentials weakened horizontal flows along the street, although the vertical air exchange was improved.
[183]	a	1	CFD (V)	With a suitable building shift, the staggered layout improved the ventilation rate two-fold compared with the aligned one.
[184]	a, c	1	CFD (V)	The reduction in the pollutant concentration was more significant for closely packed buildings than for aligned buildings and staggered layout buildings.
[185]	a	2	WT	Non-uniformity of the building height notably enhanced the vertical momentum transport compared with the uniform height model
[190]	a, c	2	CFD (V)	The non-uniformity in the building height caused an approximately 40% decrease in the vertical air exchange but increased the horizontal air exchange by up to 40%.

9 **Focus:** a = Mean flow, b = Turbulence, and c = Pollutant; **Sensitivity analysis:** 1= Planar urban heterogeneity, and 2= vertical urban
10 heterogeneity; **Study approach:** WT = Wind tunnel measurements, FM = Field measurements, CFD (V) = CFD with validation, and CFD
11 (NO) = CFD without validation.

12 4.1.3 Effects of urban enclosure degree

13 As stated by Yang and Fu [164], the degree of the urban enclosure is a crucial
14 parameter affecting the openness of the urban architectural space (Fig. 12 (a)). A
15 smaller degree of the enclosure is related to greater openness of this space, and vice
16 versa. Cui et al. [193] pointed out that a larger degree of enclosure provided more
17 private space but also caused some weak winds. Yang et al. [169] defined the planar

1 ED as the ratio of the sum of the side length of all the outer buildings along the roads
 2 to the boundary length of the buildings. The results indicated a strong negative
 3 correlation between the ED and the pedestrian-level wind velocity ratio R_u : $R_u =$
 4 $-1.065 \times ED + 0.987$. As the ED increased from 0.4 to 0.7, the R_u decreased by nearly
 5 54%. The reason is that it is more difficult for ambient wind to reach the inside of the
 6 area with an increase in the ED. Therefore, the passive gaseous pollutant concentration
 7 increased with increasing ED (Fig. 12(b)). Iqbal and Chan [194] investigated the wind
 8 circulation in four building configurations with different planar enclosure degrees
 9 (square, U-, L-, and I- shape). The results revealed that the building configuration with
 10 a lower ED (L- and I- shape) had a higher ventilation potential. Similarly, Cui et al.
 11 [195] compared the pedestrian level wind environment (PLWE) of semi-closed U-type
 12 street canyons (higher ED) with that of regular parallel canyons (lower ED). The results
 13 suggested that the U-type canyons provided a worse PLWE both inside and near the
 14 canyons, particularly under parallel wind. Thus, a suitable ED of long street canyons is
 15 essential [33]. On the other hand, Yang et al. [148] quantified the “degree of planar
 16 enclosure” using the sky view factor (SVF). The results showed that an increase in the
 17 SVF by 10% (increased openness) caused a 7-8% decrease in the pedestrian-level wind
 18 speed.



20 Fig. 12 (a) Illustrations of the urban enclosure degree; (b) simulation results of the
 21 wind environment and air pollution flow for different urban enclosure degrees [169].

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Table 11 Overview of studies on the effect of urban enclosure

Ref.	Focus	Study approach	Remarks
[193]	a	CFD (V)	A larger degree of enclosure provided more private space but also caused some weak winds
[148]	a	FM	The “degree of planar enclosure” was assessed using the sky view factor (SVF); an increase in the SVF of 10% (increased openness) caused a 7-8% decrease in the pedestrian-level wind speed.
[169]	a, c	CFD (V)	As the ED increased from 0.4 to 0.7, the wind velocity ratio decreased by nearly 54%, and the pollutant concentration increased.

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Focus: a = Mean flow, b = Turbulence, and c = Pollutant; **Study approach:** WT = Wind tunnel measurements, FM = Field measurements,

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CFD (V) = CFD with validation, and CFD (NO) = CFD without validation.

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4.2 Street scale

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4.2.1 Effects of the height aspect ratio

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The height aspect ratio (H/W , the ratio of the building height to the street width)

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was used to parameterize the canyon geometry and predict the ventilation at the street

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scale [196] (Fig. 13(a)). Oke [197] found that when the ambient wind was perpendicular

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to the street axis, three distinct flow regimes were observed depending on the aspect

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ratio: isolated flow ($H/W < 0.3$), wake interference flow ($0.3 < H/W < 0.7$), and

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skimming flow ($H/W > 0.7$) (Fig. 13(b)). Xie et al. [198] observed two counter-rotating

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vortices in the canyon with $H/W = 2$. The ventilation was worse in deeper canyons (H/W

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= 3-5), even when 3-5 vertically aligned vortices occurred [82]. Wen et al. [199], Li et

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al. [200] and Zhang et al. [201] found that the wind speed in the lower space of the

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canyons decreased substantially with increasing H/W (Fig. 13(c)). Also, Zhang et al.

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[202] determined that the pedestrian-level wind speed dropped by 1-2 orders as the H/W

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increased from 1 to 5. Due to the worse ventilation, the spatial personal intake fraction

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(P_{IF}) of passive pollutants (CO) increased by 1-2 orders [202]. Likewise, the mean

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concentration of reactive pollutants (NO_x) increased by nearly three times [201] (Fig.

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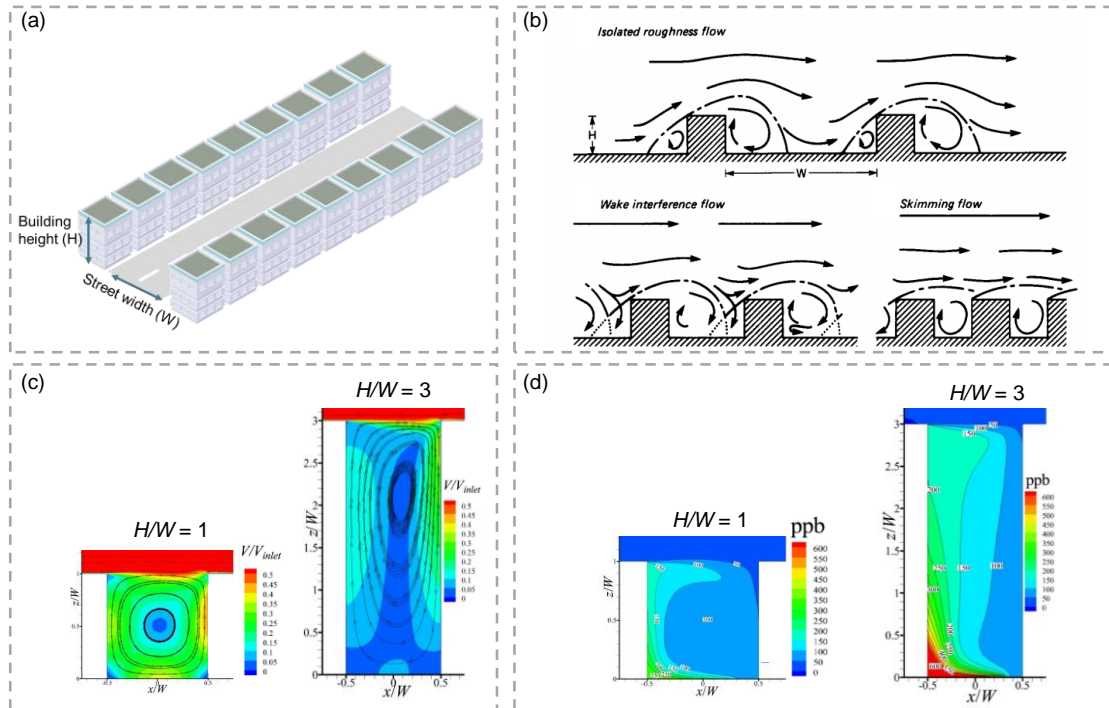
13(d)). Interestingly, Liu et al. [203] found that the air quality in shallow canyons with

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$H/W = 0.2-0.5$ was relatively poor compared to that in deeper canyons ($H/W = 2.5$). The

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possible reason could be the re-entrainment of air pollutants through the top shear layer.



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2 Fig. 13 (a) Illustrations of the height aspect ratio of the street canyon; (b) the flow
3 regimes associated with airflow over building arrays with increasing H/W [197]; (c)
4 streamline and normalized velocity for different H/W [201]; (d) C_{NO} (ppb) for
5 different H/W [201]

6 Table 12 Overview of studies on the effect of height aspect ratio

Ref.	Focus	Study approach	Remarks
[192]	a, c	CFD (V)	The wind speed in the lower part of the canyons decreased substantially with increasing H/W The pedestrian-level wind speed dropped by 1-2 orders of magnitude as the H/W increased
[202]	a, c	CFD (V)	from 1 to 5; the spatial personal intake fraction (P_IF) of passive pollutants increased by 1-2 orders of magnitude
[201]	a, c	CFD (V)	The mean concentration of the reactive pollutants increased by nearly three times

7 **Focus:** a = Mean flow, b = Turbulence, and c = Pollutant; **Study approach:** WT = Wind tunnel measurements, FM = Field measurements,
8 CFD (V) = CFD with validation, and CFD (NO) = CFD without validation.

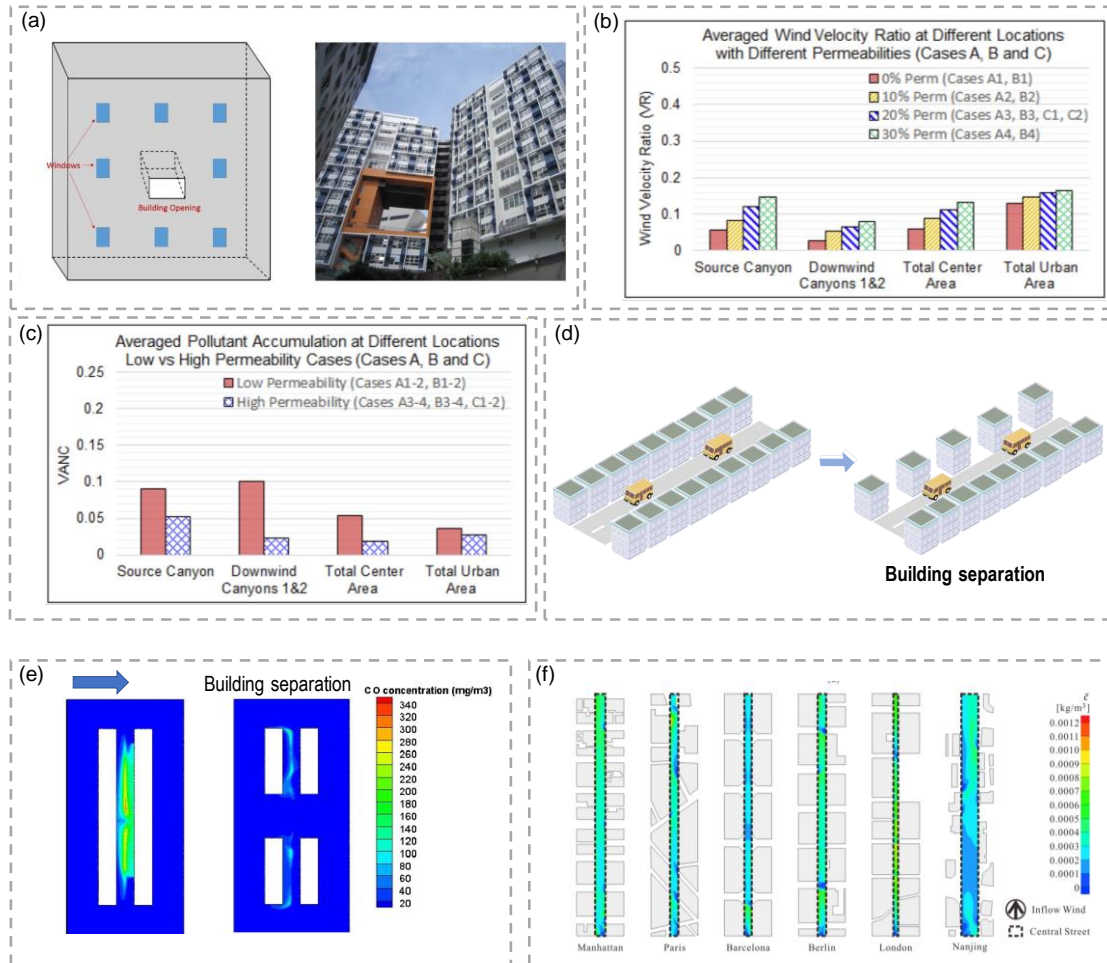
9 4.2.2 Effects of building opening/ separation

10 Changing the building opening on the building surface is an effective solution to
11 improve the PLWE without losing land [204]. As explained by Du and Mak [205], this
12 building opening resulted in a significant increase in the permeability of a single
13 building (Fig. 14(a)). An et al. [206] pointed out that the degree of building opening
14 should be at least 20% to maintain good ventilation for all canyons (Fig. 14(b)).
15 Permeabilities of 10% to 15% only reduced the passive gaseous pollutant concentration

1 in street canyons immediately downwind, but more pollutants were accumulated in
2 canyons further downwind (Fig. 14(c)). Moreover, Yang et al. [207] investigated the
3 influence of the window-opening percentage (WOP) on in-canyon ventilation. The
4 results indicated that an increase in the WOP from 0% to 10% resulted in a 27%
5 decrease in the passive gaseous pollutant concentration. Also, the effects of the WOP
6 weaken with an increase in the H/W .

7 On the other hand, the Building Department in Hong Kong identified building
8 separation as one of the key urban design elements to achieve better air ventilation in
9 urban areas [208]. Building separation was adopted to create an intervening space and
10 separate the long street canyon into a series of short canyons to allow more fresh air
11 into the canyon (Fig. 14(d)). The degree of building separation is defined as the ratio of
12 the length of the building separation S to the length of the street L [209]. Fan et al. [210]
13 examined the influence of six types of building separation on the ventilation potential.
14 The results revealed that a 10% value for building separation was sufficient to increase
15 the pedestrian-level wind speed. Ng and Chau [209] highlighted the significant
16 relationship between building separation and in-canyon ventilation. It was
17 demonstrated that the ventilation potential was substantially improved by introducing
18 building separations, which yielded a more than 80% decrease in the mean passive
19 gaseous pollutant (CO) exposure in a canyon with $H/W = 4$ under perpendicular wind
20 (Fig. 14(e)). Interestingly, a significant reduction in the pollutant concentration
21 occurred by increasing the degree of building separation from 0% to 10%, whereas the
22 concentration changed only slightly by changing the degree of building separation from
23 10% to 35%. Conversely, under parallel wind, building separation increased the
24 pollutant concentration. Similarly, Shen et al. [211] confirmed that, under parallel
25 approaching wind, higher values of street continuity and a spatial closure ratio caused
26 a stronger channeling flow, improving the local air quality (Fig. 14(f)).

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Fig. 14 (a) Schematic diagram of a building opening and photo of a building with an opening [205]; (b) wind velocity ratio for different permeabilities in different focus areas [206]; (c) comparison of volume-averaged normalized concentration between cases with low permeability and high permeability [206]; (d) schematic diagram of building separation; (e) comparison of the CO concentration between cases with building separation and without separation under perpendicular wind [209]; (f) comparison of the CO concentration of different realistic street canyons under parallel wind [211]

1 Table 13 Overview of studies on the effects of building opening/ separation

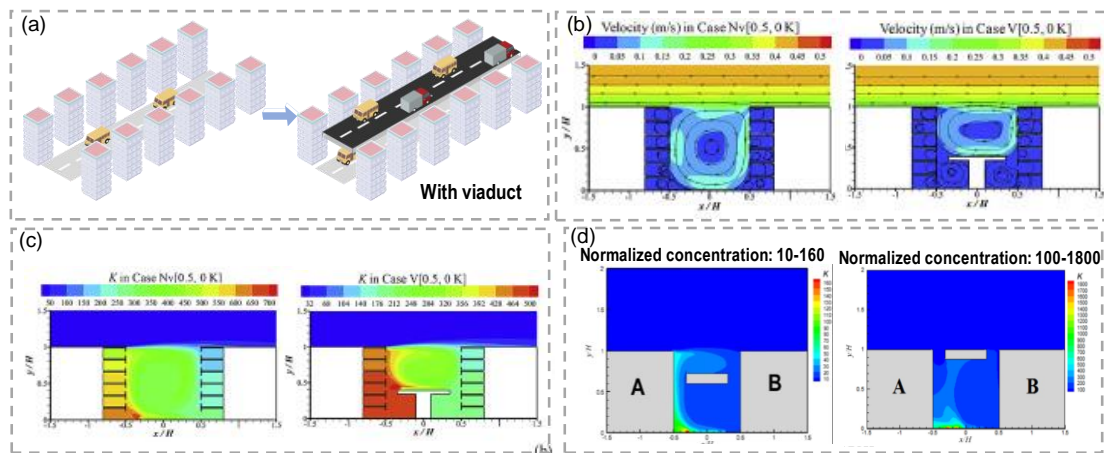
Ref.	Focus	Study approach	Remarks
[206]	a, c	CFD (V)	The degree of building opening should be at least 20% to maintain good ventilation for all canyons.
[207]	a, c	CFD (V)	An increase in the WOP from 0% to 10% resulted in a 27% decrease in the pollutant concentration.
[209]	a, c	CFD (V)	Ventilation potential was substantially improved by introducing building separation, which yielded a more than 80% decrease in the mean pollutant exposure in a canyon with $H/W=4$ under perpendicular wind.
[211]	a, c	CFD (V)	Under parallel approaching wind, higher values of street continuity and a spatial closure ratio caused a stronger channeling flow, improving the local air quality

2 **Focus:** a = Mean flow, b = Turbulence, and c = Pollutant; **Study approach:** WT = Wind tunnel measurements, FM = Field measurements,
 3 CFD (V) = CFD with validation, and CFD (NO) = CFD without validation.

4 4.2.3 Effects of viaducts

5 The existence of a viaduct in the canyon reduces the ventilation (Fig. 15(a)),
 6 although it helps to alleviate traffic congestion in peak periods [212]. The reduction in
 7 ventilation occurs because viaducts markedly alter the in-canyon flow characteristics.
 8 Besides, the influence of the viaduct was strongly dependent on its geometric features
 9 (e.g., the height and width of the viaduct and the installation of noise barriers) and that
 10 of the street canyons (e.g., H/W). Hang et al. [213] found that in a canyon with $H/W=1$,
 11 the principal main vortex could be divided into several vortices above and beneath the
 12 viaduct (Fig. 15(b)). Thus, Zhi et al. [214] reported that this viaduct weakened the
 13 recirculation of the main vortex, the in-canyon ventilation deteriorated, and the average
 14 mass concentration of pollutants (PM10, PM2.5, and PM1) increased by up to 15%,
 15 which was confirmed by Hang et al. [213] (Fig. 15(c)). Duan et al. [215] observed that
 16 the mean flow decelerated below elevated walkways and increased the concentration
 17 by up to 20%, although the level of TKE around the elevated walkways was improved.
 18 Furthermore, Zhang et al. [202] investigated the influence of viaducts on a series of
 19 canyons with different values of H/W . The viaduct, which had a fixed height, resulted
 20 in a nearly three-fold increase in the volumetric $\langle P_{IF} \rangle$ in a deep canyon ($H/W=5$)
 21 but only slightly influenced the $\langle P_{IF} \rangle$ in a relatively low canyon ($H/W=1$ and 3).
 22 The reason is that the blockage effect of the viaduct significantly affects the pollutant
 23 concentration below the viaduct when the advection effect is weak. Huang and Zhou
 24 [216] investigated the influence of the height of the viaduct. It was found that the in-

1 canyon wind speed was the lowest when the viaduct was as high as the building height;
 2 this configuration resulted in the highest pollutant concentration. As further explained
 3 by Ding et al. [217], a viaduct with the same height as the building produced a series
 4 of small vortices in the location of the main vortex near the leeward side. The viaduct
 5 acted as a “cap” to prevent ventilation in the canyons (Fig. 15(d)). Accordingly, the
 6 maximum passive gaseous pollutant concentration increased by approximately one
 7 order. However, the ventilation returned to the initial flow condition when the viaduct
 8 height was more than 1.2 times the building height. Interestingly, if only the elevated
 9 pollutant source above the viaduct was considered instead of the ground-level source,
 10 Hang et al. [218] and He et al. [219] found that the viaducts reduced the overall indoor
 11 pollutant exposure. The possible reason is that the weaker circulation above the viaduct
 12 caused the accumulation and deposition of pollutants onto the viaduct surface.



13
 14 Fig. 15 (a) Schematic diagram of a street canyon with a viaduct; (b) streamlines and
 15 velocity magnitude in street canyons [213]; (c) contours of the pollutant concentration
 16 in street canyons [213]; (d) contours of the pollutant concentration in street canyons
 17 with viaducts of different heights [217].

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1

Table 14 Overview of studies on effects of the viaduct

Ref.	Focus	Study approach	Remarks
[213]	a, c	CFD (V)	In a canyon with $H/W=1$, the principal main vortex could be divided into several vortices above and beneath the viaduct
[214]	a, c	CFD (V)	The viaduct, which had a fixed height, resulted in a nearly three-fold increase in the volumetric $\langle P_{IF} \rangle$ in a deep canyon ($H/W=5$) but only slightly influenced the $\langle P_{IF} \rangle$ in a relatively low canyon ($H/W=1$ and 3)
[215]	a, b	CFD (V)	The level of TKE around the elevated walkways was improved
[218]	a, c	CFD (V)	If only the elevated pollutant source above the viaduct was considered instead of the ground-level source, the viaducts reduced the overall indoor pollutant exposure

2

Focus: a = Mean flow, b = Turbulence, and c = Pollutant; **Study approach:** WT = Wind tunnel measurements, FM = Field measurements,

3

CFD (V) = CFD with validation, and CFD (NO) = CFD without validation.

4

4.4 Summary of urban morphology

5

In summary, as shown in Tables 9-14, the effects of urban morphology on pollutant dispersion can be divided into two scales (neighborhood and street scale) and are dependent on three key parameters at each scale, i.e., the urban density, urban heterogeneity, and urban enclosure degree at the neighborhood scale, and the height aspect ratio, building opening/separation, and viaduct at the street scale.

10

At the neighborhood scale, higher planar and vertical urban densities decrease the air recirculation in the urban canopy, causing higher pollutant concentrations in urban areas, except in extreme situations. This result was expected. Notably, a decrease in the planar and vertical urban densities reduced the pollutant concentrations by 50-86%. However, the effects of urban heterogeneity in the planar direction (staggered layout versus aligned layout) or vertical direction (building height variations) are strongly spatially dependent. It is difficult to conclude whether urban heterogeneity improves the overall urban ventilation or not. Nonetheless, an optimal heterogeneity to achieve good air quality for specific spatial characteristics was reported. The pollutant concentration was reduced by nearly 83% and 75% with changes in the planar and vertical heterogeneities, respectively, by using an appropriate design. Although no quantitative results were reported for the effect of the urban enclosure degree on pollutant reduction, we can infer changes in the pollutant concentration from changes in the ventilation. An increase in the urban enclosure degree can cause an almost 54% decrease in the local wind speed.

24

1 At the street scale, the spatial pollutant concentration increased by 1-2 orders of
2 magnitude as the height aspect ratio increased from 1 to 5. Thus, the air quality of deep
3 street canyons has to be considered. The degree of building opening should be at least
4 20% to maintain good ventilation in urban areas. Similarly, the degree of building
5 separation should not be less than 10%. On the other hand, in some cases, the existence
6 of a viaduct caused a nearly 15% increase in pollutant concentration. The air quality
7 was worse when the viaduct was as high as the buildings.

8 **5. Conclusion**

9 Deteriorating outdoor air quality significantly affects public health and may cause
10 substantial economical loss. Therefore, it is essential to improve outdoor air quality.
11 This paper evaluated the effects of influential factors on pollutant dispersion and
12 discussed their basic underlying mechanisms (affected by mean flow and turbulence).
13 Generally, the reviewed papers were categorized into two groups: (i) the utilization of
14 mechanical factors, including forced convection by ambient wind, natural convection
15 by solar radiation, and traffic-induced convection due to traffic movement; (ii) the
16 improvement of the capacity to reduce air pollution by modifying the urban morphology,
17 including the urban density, urban heterogeneity, and urban enclosure degree at the
18 neighborhood scale, and the height aspect ratio, building opening, building separation,
19 and viaducts at the street scale. The following conclusions can be drawn from the
20 literature review:

21 (1) The three mechanical measures (inflow wind condition, thermal effects, and
22 vehicular motions) have similar levels of influence on the dispersion and distribution
23 of pollutants within the urban context. For similar urban configurations, emission types,
24 and measurement positions, the mechanical measures caused a significant reduction in
25 the pollutant concentration (a reduction of 42% to 90%). The influence of the three
26 factors is significant and should not be ignored by urban planners and architects.

27 (2) Similarly, the six morphological parameters (urban density, heterogeneity, and
28 enclosure degree at the neighborhood scale, and the height aspect ratio, building

1 opening, building separation, and viaducts at the street scale) played significant roles
2 in determining the dispersion of air pollutants. At the neighborhood scale, the frontal
3 and planar urban density and enclosure degree should be as small as possible. By
4 decreasing the two densities and the enclosure degree, the pollutant concentration was
5 reduced by up to 86%. It is difficult to conclude whether urban heterogeneity in the
6 planar direction (staggered layout versus aligned layout) or vertical direction (building
7 height variations) had adverse or positive effects on urban ventilation since planar and
8 vertical heterogeneity are strongly spatially dependent. For urban areas with a high
9 planar density, an appropriate staggered urban layout or non-uniform building height
10 should be considered to improve the local wind environment. At the street scale, the
11 pollutant concentration increased by 1-2 orders of magnitude as the height aspect ratio
12 increased from 1 to 5. Thus, the air quality of the deep street canyon needs to be
13 considered. The degree of building opening should be at least 20% to maintain good
14 ventilation in urban areas. Similarly, the degree of building separation should not be
15 less than 10%. Also, the existence of a viaduct caused a nearly 15% increase in pollutant
16 concentration. The air quality worsened when the viaduct was as high as the buildings.

17 In summary, this paper provided a comprehensive and systematic review of the
18 effects of different influential factors on pollutant dispersion. Detailed quantifications
19 of the reduction potential of different factors were reported. Accordingly, this study is
20 beneficial for urban planners and architects who are responsible for decision-making.

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