

# 1 Directionality of linguistic synesthesia in Mandarin: 2 A corpus-based study

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13

## 14 Abstract

15 This paper examines the mapping directionality tendencies of linguistic synesthesia in  
16 Mandarin using a corpus-based approach. Based on this set of less-studied data, we find that  
17 Mandarin synesthesia does not share the same directionality tendencies with linguistic  
18 synesthesia in Indo-European languages, which challenges the assumed cross-linguistic  
19 universality of these transfer patterns. Based on the corpus data, we demonstrate that there are  
20 three types of directional tendencies for Mandarin synesthesia: unidirectional, biased-  
21 directional, and bidirectional. Unidirectional synesthesia is rule-based, while synesthesia that  
22 is biased in one direction is frequency-based. In contrast, bidirectional synesthesia shows no  
23 directional preference. Thus, the directionality of linguistic synesthesia cannot be interpreted  
24 as rule-based or frequency-based exclusively. In addition, this study finds that linguistic  
25 synesthesia shows language-specific variations for directionality tendencies grounded in both

26 embodiment and neural mechanisms, which challenges the theory that linguistic synesthesia is  
27 a bio-neurologically based linguistic realization. Lastly, the fact that linguistic synesthesia  
28 involves both rule-based and frequency-based transfer directionalities suggests that the  
29 relationship between linguistic synesthesia and metaphor merits further exploration.

30

31 **Keywords:**

32 Linguistic synesthesia, transfer directionality, variations, Mandarin Chinese, sensory lexicon

33

34 **1. Introduction**

35 Linguistic synesthesia is employed across different genres, time periods, and language families  
36 (Ullmann, 1957,1963/1966; Williams, 1976; Shen, 1997; Strik Lievers, 2015, 2017; Zhao,  
37 2018) and describes one sensory modality in terms of another. For example, the English  
38 expression “*loud color*” uses an auditory concept to describe a concept that is viewed visually,  
39 and the Mandarin phrase 脆響 *cùi xiǎng* “*crisp sound*” employs a tactile adjective to describe  
40 a type of auditory perception.

41 The transfer patterns of linguistic synesthesia have been mainly analyzed in Indo-  
42 European languages, as noted by Zhao et al. (2018). Studies include synchronic research, such  
43 as Ullmann (1957) for poetic English, French, and Hungarian, and Strik Lievers (2015) for  
44 non-poetic English and Italian, and diachronic research, such as Williams (1976) for non-poetic  
45 English, and Strik Lievers and De Felice (2019) for non-poetic Italian. In general, these studies  
46 examine either type or token frequencies of lexical items involved in linguistic synesthesia  
47 within a pair of sensory modalities to determine the directional tendencies of synesthetic  
48 mappings. These directional tendencies are the general transfer patterns found in instances of  
49 linguistic synesthesia. There are two basic models that have been generalized for linguistic  
50 synesthesia in Indo-European languages in the literature, in which a directionality tendency for

51 linguistic synesthesia is attested. For example, Ullmann's (1957) model in Figure 1 describes  
 52 a simple linear model for linguistic synesthesia.

53

Touch → Taste → Smell → Hearing → Vision

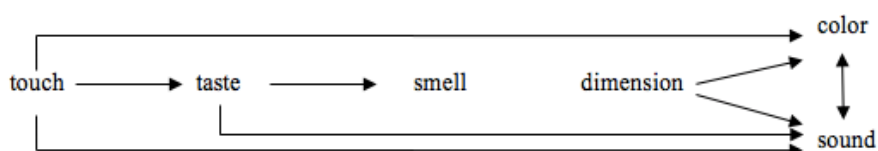
54

55 **Figure 1:** A linear model for linguistic synesthesia (summarized based on Ullmann, 1957)

56

57 Another example is Williams' (1976) model in Figure 2, which shows a combined linear-  
 58 hierarchical model.<sup>1</sup>

59



60

61 **Figure 2:** A transfer hierarchy for linguistic synesthesia (Williams, 1976: 463)

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<sup>1</sup> VISION is divided into color and dimension in Williams' (1976) model, as shown in Figure 2. Although undefined, the color category includes English adjectives describing visual brightness of light (e.g., "bright" and "dark"), and the dimension category includes adjectives conceptualizing three-dimensional properties of objects, such as size (e.g., "big" and "small"), height (e.g., "high" and "low"), shape ("acute" and "flat"), and so forth (Williams, 1976: 476).

63           The arrangement of the five senses (i.e., TOUCH, TASTE, SMELL, HEARING, and VISION)  
64 in the two directionality models of linguistic synesthesia are relatively similar.<sup>2,3</sup> In addition to  
65 his model, Williams (1976) also proposed a cross-linguistic universality claim for transfer  
66 tendencies of linguistic synesthesia in human languages.

67           This proposal was supported by Shen and colleagues' work (Shen, 1997; Shen and Cohen,  
68 1998; Shen and Eisenman, 2008; Shen and Gil, 2008), which found that linguistic synesthesia  
69 in Hebrew and Indonesian also followed the linear transfer model for linguistic synesthesia in  
70 Indo-European languages (i.e., Figure 1). Nevertheless, the studies are generally based on small  
71 data samples, such as Shen's (1997) work on 130 synesthetic instances of poetic Hebrew and  
72 Shen and Gil's (2008) research on 125 synesthetic examples in non-poetic Indonesian. Zhao et  
73 al. (2018) employed a corpus-based approach to investigate linguistic synesthesia of gustatory  
74 adjectives in Mandarin Chinese from the Sinica Corpus and in English from BNC. The study  
75 found that linguistic synesthesia of Mandarin gustatory adjectives did not share the same  
76 transfer tendencies with that of English gustatory adjectives, thus posing a challenge to the  
77 cross-linguistic universality of the transfer patterns of linguistic synesthesia proposed by  
78 Williams (1976).

79           Another issue in the debate concerning the transfer tendencies of linguistic synesthesia is  
80 the interpretation of directionality. Williams (1976) argued for a rule-based interpretation of

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<sup>2</sup> For a discussion about the similarities and differences between the two models for linguistic synesthesia in Indo-European languages, please see Zhao et al. (2018). In addition, it should be noted that these two models are not contradictory (Zhao et al., 2018), but instead that the linear model (i.e., Figure 1) could be included in the hierarchy model (i.e., Figure 2), as the hierarchy model makes "much stronger" and "more falsifiable" predictions (Winter, 2016a: 144).

<sup>3</sup> Note that the use of small capitals is meant to indicate that we consider these sensory domains to be conceptual domains, in that they are coherently organized domains of human experiences.

81 the directional tendencies of linguistic synesthesia. He claimed that the transfer hierarchy of  
82 linguistic synesthesia in Figure 2 was “a description of a rule-governed semantic change” that  
83 “qualifies for lawhood” (Williams, 1976: 473). In contrast, Strik Lievers (2015: 83), following  
84 Ullmann (1957), suggested that the directionality of linguistic synesthesia should be interpreted  
85 as a “frequency-based” tendency, rather than a “unidirectional” rule. This assumes that while  
86 most transfers of linguistic synesthesia between two sensory domains would show a frequency-  
87 based preference on a certain transfer direction, transfers in both directions could be possible.

88

## 89 **2. Research questions**

90 The literature review shows that there are two research debates on directionality of linguistic  
91 synesthesia. One is whether linguistic synesthesia obeys cross-linguistic universal  
92 directionality tendencies, and the other is whether directionality of linguistic synesthesia is  
93 rule-based or frequency-based. Mandarin Chinese as a Sino-Tibetan language is a good  
94 candidate to answer these questions by testing whether linguistic synesthesia in a non-Indo-  
95 European language follows a similar pattern to linguistic synesthesia in Indo-European  
96 languages, and whether linguistic synesthesia in Mandarin Chinese shows a rule-based or  
97 frequency-based directionality. However, most of the previous studies on Mandarin  
98 synesthesia only explored either specific synesthetic uses (e.g., Qian, 1985; Li, 1996; Wang  
99 and Xu, 2002; Yu, 2003; Yang and Zhang, 2007; Peng and Bai, 2008; Wang, 2008; Xiong and  
100 Huang, 2015; Huang and Xiong, 2019) or synesthetic usages for specific sensory modalities  
101 (e.g., Zhao and Huang, 2015; Zhao et al., 2015; Zhao et al., 2018). Though Zhao and Huang  
102 (2018) figured out the general transfer pattern for Mandarin synesthesia, the study was mainly  
103 based on limited data, i.e., synesthetic uses from a dictionary. In contrast with Zhao and Huang  
104 (2018), Zhao (2018) employed more comprehensive data to examine the general tendencies of  
105 Mandarin synesthesia from a corpus-based approach. However, the study did not focus on

106 systematic comparisons on the directionality between Mandarin synesthesia and linguistic  
107 synesthesia of Indo-European languages.

108 Thus, the current study will follow Zhao (2018) by examining the general tendencies of  
109 Mandarin synesthesia using the Sinica Corpus (Chen et al, 1996).<sup>4</sup> However, we will focus on  
110 the similarities or differences of Mandarin synesthesia with attested patterns of linguistic  
111 synesthesia in Indo-European languages. In addition, we will adopt a corpus-based procedure  
112 for identification of linguistic synesthesia proposed by Zhao et al. (2019b) to collect data in  
113 this study. Furthermore, instead of focusing exclusively on the types of synesthetic transfers  
114 like Zhao and Huang (2018) or on synesthetic tokens like Strik Lievers (2015), we will consider  
115 both the type and the token (i.e., the frequency) of synesthetic transfers between sensory  
116 modalities for Mandarin synesthesia.

117 It is important to note that there is no uniformly agreed upon model of sensory  
118 classification (Miller and Johnson-Laird, 1976; Cacciari, 2008; Zhao et al., 2018). In this study,  
119 we decide, following several similar studies in linguistic synesthesia (e.g., Shen, 1997; Strik  
120 Lievers, 2015; Zhao, 2018; Zhao et al., 2018), to adopt the classical five sense modalities model.  
121 In this widely-adopted classification, VISION is characterized by the eyes, HEARING by the ears,  
122 TASTE by the tongue, SMELL by the nose, and TOUCH by the hand, the skin, and the muscle.  
123 However, there are several other important models available for consideration. For instance,  
124 Purves et al. (2000/2001) classified human senses into five categories: (1) somatic sensation,  
125 which includes perceptions experienced from mechanical stimuli (e.g., light touch, pressure,  
126 cutaneous tension), painful stimuli, and temperature; (2) vision; (3) audition; (4) vestibular  
127 sensation; and (5) chemical sensation, which is associated with the nose and mouth. In addition,

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<sup>4</sup> The Sinica Corpus (Academia Sinica Balanced Corpus of Modern Chinese, 4th edition), is a well-established annotated corpus for Mandarin Chinese with about ten million word tokens, which can be accessed at <http://lingcorpus.iis.sinica.edu.tw/modern/>.

128 many linguistic studies treat either TOUCH (temperature, textual, pain, etc.) or VISION (shape,  
129 size, color, distance, etc.) as a cover term for multiple sense modalities. Thus, it is worth  
130 investigating which alternative model best predicts synesthetic mappings and directionality  
131 constraints.

132 Second, neurological and psychological findings on multisensory integrations should be  
133 considered as another possible source of linguistic synesthesia. For example, Spence (2016)  
134 has found that not only chemical senses (i.e., TASTE and SMELL), but also non-chemical senses  
135 (e.g., VISION and HEARING) play a role in flavor perception. Winter (2016b) demonstrated that  
136 the integrations of TASTE with emotion and SMELL with emotion found in the brain and  
137 behavior could also be attested by linguistic data. For instance, gustatory and olfactory words  
138 are found to be more frequent for “emotionally valenced nouns” (e.g., “*fragrant kiss*”) than  
139 visual words (Winter, 2016b: 975). We believe that our work based on the classical five sense  
140 modalities model would build a solid foundation for further studies of linguistic synesthesia  
141 based on these sophisticated models of sensory modalities.

142 In short, there are three research issues this study will address:

143 (1) Do mappings of linguistic synesthesia show general transfer patterns in Mandarin, as  
144 found in Indo-European languages?

145 (2) If yes, is the directionality of Mandarin synesthesia rule-based or frequency-based?

146 (3) Does Mandarin synesthesia demonstrate similar directional tendencies as linguistic  
147 synesthesia in Indo-European languages?

148 In what follows, the methodology for data collection and analysis will be presented in  
149 Section 3. We will answer questions (1) and (2) in Section 4 by figuring out the general patterns  
150 of Mandarin synesthesia, and question (3) in Section 5 by conducting systematic comparisons  
151 between the tendencies of Mandarin synesthesia and the patterns of linguistic synesthesia in

152 Indo-European languages. In the last section, we will conclude with a discussion of our findings  
153 and the implications for future research.

154

### 155 **3. Methodology**

#### 156 **3.1. A corpus-based approach for data collection**

157 This study adopts the linguistic synesthesia identification procedure designed by Zhao et al.  
158 (2019b) to collect data for Mandarin synesthesia.<sup>5</sup> We only focus on sensory adjectives in the  
159 study, as linguistic synesthesia was found to be involved overwhelmingly in sensory adjective  
160 usages in both Indo-European languages such as English and Italian (see Strik Lievers, 2015;  
161 Winter, 2019a) and non-Indo-European languages such as Mandarin (see Zhao, 2018).  
162 Therefore, we presume that the synesthetic tendencies of sensory adjectives in a specific  
163 language would be approximate to general patterns of linguistic synesthesia. Specifically, we  
164 take the following steps to collect synesthetic usages of Mandarin sensory adjectives in the  
165 Sinica Corpus.

166 1. Extracting Mandarin sensory adjectives:

167 Mandarin sensory adjectives are extracted from two comprehensive electronic Chinese  
168 lexical thesauri, i.e., 哈工大信息检索研究中心同义词词林扩展版 HIT-CIR  
169 Tongyici Cilin (Extended) (Che et al., 2010) and 知网 HowNet (Dong and Dong, 2003),  
170 similar to Strik Lievers et al.'s (2013) and Strik Lievers and Huang's (2016) methods  
171 for automatic extraction of perception-related items in English, Italian, and Mandarin  
172 Chinese. Specifically, we extracted all adjectives in categories with perception-related  
173 labels (i.e., hardness, taste, odor, color, sound quality, etc.) from the above two thesauri.

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<sup>5</sup> The methodology reported in this section was supported by the Hong Kong Polytechnic University CRG grant (No. YBGM).



174 2. The extracted Mandarin sensory adjectives are classified in accordance with the original  
 175 sensory meanings of these adjectives, where the original sensory meanings are determined in  
 176 two ways:

177 (i) First, the etymology of the adjectives is considered, by examining the etymological  
 178 origins of the adjectives paraphrased in the well-established Chinese etymology  
 179 dictionaries including 說文解字 *Shuōwén Jiězì* “Explaining Graphs and Analyzing  
 180 Characters” (Xu, 1963), 說文解字注 *Shuōwén Jiězì Zhù* “Annotation on Shuowen Jiezi”  
 181 (Duan, 2007), and 漢語大字典 *Hànyǔ Dà Zìdiǎn* “Great Compendium of Chinese  
 182 Characters” (Xu, 1986/2010). In addition, we refer to the earlier usages of the adjectives  
 183 in Classic Chinese texts (particularly in pre-Qin texts), and the orthographical  
 184 composition of the Chinese characters of these adjectives for their original meanings  
 185 (see Wang, 1996; Huang and Hsieh, 2015 for the conceptual convention of radicals of  
 186 Chinese characters).

187 For example, the adjective 臭 *chòu* was paraphrased as “Dogs can trace the  
 188 birds which left through smelling.” in 說文解字 *Shuōwén Jiězì*, with the usage in the  
 189 pre-Qin text such as 鼻慾綦臭 *bí yù qí chòu* “the nose with the desire to smell” in 荀  
 190 子 *Xúnzǐ* (book) (around the 3rd century BC). With respect to the orthographical  
 191 composition of the adjective 臭 *chòu*, it is composed of 自 and 犬, where the former  
 192 glyph conceptualizes the olfactory organ (i.e., nose) and the latter means “dog” (Xu,  
 193 1963). Therefore, the paraphrase, the earlier usage, and the orthography of the adjective  
 194 demonstrate that the olfactory meaning is the original sensory meaning for the adjective  
 195 臭 *chòu*.

196 (ii) Second, a comparative analysis is utilized for the adjectives without the explicit  
 197 philological evidence showing the original sensory meanings. For example, the

198 adjective 肥 *fēi* with the paraphrase as “much fat” in 說文解字 *Shuōwén Jiězì*  
 199 demonstrates a close relation with the adjective 胖 *pàng* both in terms of its meaning  
 200 in Mandarin (i.e., near synonymy) and the orthography (i.e., with the radical 月  
 201 conceptualizing meat, see Xu, 1963). As 胖 *pàng* is paraphrased in 說文解字 *Shuōwén*  
 202 *Jiězì* as “half of animals’ meat for sacrifice” which is related to the visual size, the  
 203 visual meaning is also the most likely to be the original sensory meaning for the  
 204 adjective 肥 *fēi* describing a big size of humans’ figure and other objects in Mandarin.<sup>6</sup>

205 3. Extracting the usages of Mandarin sensory adjectives from the Sinica Corpus and  
 206 manually checking whether these adjectives were used for sensory modalities other than their  
 207 original sensory domains:<sup>7</sup>

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<sup>6</sup> As found by Wang et al. (2019), constituents of compounds and internal morpho-lexical structures between constituents play a role in the lexical semantics of Mandarin compounds. In line with this finding, Zhao (2018) and Zhao and Huang (2018) have observed that there are differences in synesthetic usages between Mandarin compound adjectives composed of morphemes from the same original sensory domains (e.g., 明朗 *mínglǎng* “bright” with both morphemes originally from VISION) and adjectives compounded by morphemes from different original sensory domains (e.g., 鮮亮 *xiānliàng* “bright” with the first morpheme originally from TASTE and the second from VISION). However, as the adjectives composed of morphemes from different original sensory domains are in a small number and do not affect the general transfer patterns of Mandarin synesthesia (see Zhao, 2018; Zhao and Huang, 2018), this paper leaves these adjectives for future research. For more information about tendencies and psychological reality of linguistic synesthesia of Mandarin compound adjectives composed of morphemes from different senses, please see Zhao (2018), Zhao and Huang (2018), and Chen et al (2019).

<sup>7</sup> It is possible that some sensory adjectives should be considered as multimodal, as suggested by a reviewer. For such cases, we can rely on modality exclusivity norms, such as Lynott and Connell (2009) and Lynott and Connell (2013) for English, and Chen et al.’s (2019) for Chinese. For example, Mandarin adjective 麻 *má* “numbing” was given the rating scores of 4.77 and 4.75 for TOUCH and TASTE respectively on the range from 0 to 5 by native

208 If yes, the usages are marked as linguistic synesthesia. For example, the tactile adjective  
 209 輕柔 *qīngróu* “greatly soft” consisting of the tactile morphemes 輕 *qīng* “light (in  
 210 weight)” and 柔 *róu* “soft”, is considered a synesthetic use in the expression 輕柔歌聲  
 211 *qīngróu gēshēng* “*the soft singing*”, since the adjective was employed to describe an  
 212 auditory perception instead of the tactile perception.

213 4. To ensure that correct and valid data of Mandarin synesthesia are identified, we follow  
 214 Praggeljaz Group (2007) to add a discussion step to Zhao et al.’s (2019b) linguistic synesthesia  
 215 identification procedure. That is, each of the three steps mentioned above is checked by no less  
 216 than two annotators, and controversial synesthetic instances are discussed to reach consensus  
 217 among different annotators.

218

### 219 3.2. Overview of collected data

220 Table 1 shows the distribution of the collected synesthetic usages of Mandarin sensory  
 221 adjectives. That is, 199 Mandarin sensory adjectives are identified with 8,082 synesthetic  
 222 instances in the Sinica Corpus. The appendix shows the top ten adjectives with the most  
 223 synesthetic tokens from visual, tactile, and gustatory domains, and all adjectives with  
 224 synesthetic usages from auditory and olfactory senses.

225

226 **Table 1.** The distribution of synesthetic data for Mandarin sensory adjectives

Source domains	Lexical types	Lexical tokens	Examples
VISION	99 (49.7%)	3,034 (37.5%)	雜音 <i>zá yīn</i> “ <i>the varicolored sound (noise)</i> ”

Mandarin speakers (Chen et al., 2019). In addition, Zhao et al. (2019a) have found that synesthetic adjectives are more multimodal than non-synesthetic adjectives.

TOUCH	73 (36.7%)	2,695 (33.3%)	暖色 <i>nuǎn sè</i> “the <u>warm</u> color”
TASTE	21 (10.6%)	2,291 (28.4%)	甜香 <i>tián xiāng</i> “the <u>sweet</u> fragrance”
HEARING	4 (2.0%)	30 (0.4%)	喧鬧的色彩 <i>xuānnào de</i> <i>sècǎi</i> “the <u>loud</u> color”
SMELL	2 (1.0%)	32 (0.4%)	臭臉 <i>chòu liǎn</i> “the <u>smelly</u> <i>face</i> (the unpleasant facial expression)”
TOTAL	199 (100%)	8,082 (100%)	-

227

228 The data sample is much larger than those provided in previous work. For instance, it is  
229 about twice as large as that used by Zhao and Huang (2018) for the general tendencies of  
230 Mandarin synesthesia with respect to lexical types, and 16 times larger than those utilized by  
231 Strik Lievers (2015) for the general patterns of linguistic synesthesia in English and Italian in  
232 terms of lexical tokens.

233 Among the extracted data for Mandarin synesthesia, visual and tactile adjectives are the  
234 top two relating to both lexical types and lexical tokens, as demonstrated in Table 1. This  
235 finding is in line with the fact that VISION and TOUCH are the sensory domains with the most  
236 lexicalized adjectives in Mandarin as found in 哈工大信息檢索研究中心同義詞詞林擴展  
237 版 HIT-CIR Tongyici Cilin (Extended) and 知網 HowNet (Zhao, 2018). Though Zhao and  
238 Huang (2018) have also attested that visual and tactile adjectives are the top two with  
239 synesthetic usages in terms of lexical types, their study only identified 42 and 27 adjectives for  
240 VISION and TOUCH respectively. In addition, Zhao and Huang (2018) did not find Mandarin

241 olfactory adjectives with synesthetic usages, while the current study attests to two olfactory  
 242 adjectives used in linguistic synesthesia, as shown in Table 1. In Strik Lievers' (2015) study,  
 243 moreover, there are only about 500 synesthetic tokens collected for English and Italian  
 244 respectively. In addition, TOUCH and TASTE were found to be the top two linguistic synesthetic  
 245 usages for both languages in terms of lexical tokens, in contrast with this study, which finds  
 246 VISION and TOUCH to be the top two linguistic synesthetic usages in terms of lexical tokens.  
 247 This current study, therefore, employs a more comprehensive set of data for Mandarin  
 248 synesthesia as compared with both Zhao and Huang (2018) and Strik Lievers (2015), and thus  
 249 allows for a finer-grained examination of linguistic synesthesia.

250

## 251 **4. Directionality of Mandarin synesthesia**

### 252 **4.1. Unidirectional, biased-directional, and bidirectional transfers**

253 Based on the collected synesthetic data from the Sinica Corpus, this study finds that there are  
 254 15 transfer types between sensory modalities in Mandarin synesthesia, such as the transfers  
 255 from TOUCH to TASTE and from TOUCH to SMELL, as shown in Table 2, rather than all possible  
 256 20 transfer types among any two of five senses can be found.

257

258 **Table 2.** Transfers between senses of Mandarin synesthesia

Source domains	Target domains				
	TOUCH	TASTE	SMELL	VISION	HEARING
TOUCH		✓	✓	✓	✓
TASTE	✓		✓	✓	✓
SMELL	✗ <sup>8</sup>	✓		✓	✗

<sup>8</sup>The cross "✗" represents no instances of synesthetic transfers found.

VISION	✓	✓	✓		✓
HEARING	✗	✗	✗	✓	

259

260 We follow Zhao et al. (2018) to calculate the synesthetic transferability of lexical types as  
 261 the number of words in a sense showing a specific transfer divided by the whole number of  
 262 words in the sense identified with synesthetic transfers. For example, among the identified 199  
 263 adjectives with synesthetic transfers in the Sinica Corpus, there are 73 Mandarin tactile  
 264 adjectives identified with synesthetic usages (cf. Table 1), of which 41 adjectives are found to  
 265 show the mapping from TOUCH to HEARING.<sup>9</sup> Hence, the mapping from TOUCH to HEARING  
 266 has a synesthetic transferability of 56.2% (41/73). In addition, the frequency of lexical tokens  
 267 is the number of instances identified to show a specific synesthetic transfer per million in the  
 268 Sinica Corpus. For instance, there are 818 expressions attested with the synesthetic transfers  
 269 from TOUCH to HEARING in the 10 million word Sinica Corpus. Thus, the frequency of lexical  
 270 tokens of Mandarin synesthesia from TOUCH to HEARING is 81.8 per million based on the  
 271 corpus size.

272 Based on the transferability and frequency of lexical types and tokens of Mandarin  
 273 synesthesia data, we find that some mapping directions of linguistic synesthesia in Mandarin  
 274 Chinese are indeed preferred (e.g., mapping from TOUCH to HEARING, but not from HEARING  
 275 to TOUCH), analogous to Indo-European, Hebrew, and Indonesian languages (Williams, 1976;  
 276 Shen, 1997; Shen and Cohen, 1998; Shen and Eisenman, 2008; Shen and Gil, 2008; Strik

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<sup>9</sup> Please note that multiple transfers may occur in one adjective. For example, the tactile adjective 輕 *qīng* “light (in weight)” was found with transfers to TASTE, SMELL, VISION, and HEARING (see Appendix). Thus, the sum of numbers of tactile adjectives used for TASTE (i.e., seven), for SMELL (i.e., 15), for VISION (i.e., 62), and for HEARING (i.e., 41) is not equal to the whole number of tactile adjectives with synesthetic usages (i.e., 73). This also holds true for gustatory and visual adjectives in Mandarin.

277 Lievers, 2015). However, as to whether these directionalities are ruled-based (e.g. Williams  
278 1976) or tendency-based (Strik Lievers 2015), we found mixed results that allow both types as  
279 well as a mixed type, which has not been reported by previous work. Specifically, the  
280 directionalities of linguistic synesthesia are as follows: (1) **Unidirectional**: synesthetic  
281 transfers occurring exclusively in one direction between two senses but not in reverse direction  
282 (e.g., mappings from TASTE to HEARING, but not from HEARING to TASTE). This is the type of  
283 directionality assumed by Williams (1976). (2) **Biased-directional**: synesthetic transfers are  
284 attested in both directions between the pair of senses but with a clearly dominant tendency (e.g.,  
285 mappings from TOUCH to VISION have a much higher frequency than mappings from VISION  
286 to TOUCH). This is the type of transfer described by Strik Lievers (2015). (3) **Bidirectional**:  
287 transfers occurring in both directions for a pair of sense modalities without a clearly dominant  
288 direction (e.g., mappings from TOUCH to TASTE, and from TASTE to TOUCH). In addition, this  
289 tripartite classification supports our hypothesis that directionality of linguistic synesthesia is  
290 the result of competing tendencies: unidirectionality is the result of following a single rule,  
291 biased-directionality is the result of one (or more) frequency-based tendencies with the same  
292 direction, and bidirectionality is the result of several tendencies reaching rough equilibrium.

293

#### 294 **4.1.1. Unidirectional transfers**

295 Table 3 presents the unidirectional transfers found for Mandarin synesthesia. These synesthetic  
296 transfers between two sensory modalities obey a rule-based unidirectionality, with no transfers  
297 in the reverse direction. As shown in Table 3, the synesthetic mappings from TOUCH to  
298 HEARING, from TOUCH to SMELL, and from TASTE to HEARING exhibit unidirectional transfers  
299 in Mandarin synesthesia, while the respective reverse transfer directions (i.e., from HEARING  
300 to TOUCH, from SMELL to TOUCH, and from HEARING to TASTE) are absent from the corpus.

301

302

**Table 3.** Unidirectional transfers of Mandarin synesthesia

<b>Transfer</b>	<b>Transferability</b>	<b>Frequency</b>	<b>Examples</b>
<b>Types</b>	<b>of lexical types</b>	<b>of lexical tokens</b>	
TOUCH→HEARING	56.2%	81.8	<u>尖锐</u> 的笛音 <i>jiānrùi de</i> <i>díyīn</i> “the <u>sharp</u> sound of flute”
	(41/73)	(per million)	
TOUCH→SMELL	20.5%	3	<u>乾燥</u> 的香味 <i>gānzào de</i> <i>xiāngwèi</i> “the <u>dry</u> fragrance”
	(15/73)	(per million)	
TASTE→HEARING	52.4%	14.2	聲音 <u>甜美</u> <i>shēngyīn</i> <i>tiánměi</i> “The voice is <u>sweet</u> .”
	(11/21)	(per million)	

303

#### 304 4.1.2. Biased-directional transfers

305 Table 4 shows the second type of transfer directionality of Mandarin synesthesia (i.e., a biased-  
306 directionality), which covers the most synesthetic transfers in Mandarin with respect to both  
307 lexical types and lexical tokens. As demonstrated in Table 4, biased-directional transfers are  
308 different from the unidirectional transfers, as biased-directional transfers have more than one  
309 direction attested. Moreover, the synesthetic transfers in two directions between senses  
310 presented in Table 4 are not equally possible, but rather show directional preferences. These  
311 preferences also differentiate biased-directional tendencies from bidirectional tendencies  
312 (discussed in 4.1.3 below) for Mandarin synesthesia. For instance, the transferability of lexical  
313 types for the mapping from TOUCH to VISION (i.e., 84.9% [62/73]) is about five times larger  
314 than the mapping from VISION to TOUCH (i.e., 18.2% [18/99]), and the frequency of lexical  
315 tokens for the mapping from TOUCH to VISION (i.e., 172.2 tokens per million) is approximately  
316 three times higher than that for the reversed direction mapping (i.e., 67.3 tokens per million).



317 Therefore, a biased-directionality can be attested for the transfer from TOUCH to VISION in  
 318 Mandarin synesthesia. The synesthetic transfers from TASTE to VISION and from TASTE to  
 319 SMELL are analogous to the transfer from TOUCH to VISION in Mandarin synesthesia. That is,  
 320 transferabilities of lexical types and frequencies of lexical tokens for the mappings from TASTE  
 321 to VISION and from TASTE to SMELL are both much larger than those of the mappings in the  
 322 reverse directions (i.e., from VISION to TASTE and from SMELL to TASTE respectively). Hence,  
 323 the synesthetic transfers between TASTE and VISION as well as between TASTE and SMELL also  
 324 show a biased-directional tendency.

325

326

**Table 4.** Biased-directional transfers of Mandarin synesthesia

<b>Transfer Types</b>	<b>Transferability of lexical types</b>	<b>Frequency of lexical tokens</b>	<b>Examples</b>
TOUCH→VISION	84.9% (62/73)	172.2 (per million)	柔綠 <i>róu lǜ</i> “ <i>soft green</i> ”
VISION→TOUCH	18.2% (18/99)	67.3 (per million)	肉質細 <i>ròuzhì xì</i> “ <i>The meat is <u>thin</u> (The meat is tender).</i> ”
<b>TOUCH -- → VISION</b>			
TASTE→VISION	57.1% (12/21)	193.1 (per million)	顏色鮮美 <i>yánsè xiānměi</i> “ <i>The color is <u>tasty</u> (The color is bright and beautiful).</i> ”
VISION→TASTE	10.1% (10/99)	6.4 (per million)	厚味 <i>hòu wèi</i> “ <i><u>thick</u> taste (strong taste)</i> ”
<b>TASTE -- → VISION</b>			

TASTE→SMELL	76.2%	11.4	淡香 <i>dàn xiāng</i> “ <i>fragrance with a <u>mild taste</u> (light fragrance)</i> ”
	(16/21)	(per million)	
SMELL→TASTE	50%	2.2	香[...]滋味 <i>xiāng [...]zīwèi</i>
	(1/2)	(per million)	“ <i><u>fragrant</u> taste</i> ”
<b>TASTE - - → SMELL</b>			
VISION→SMELL	13.1%	6.3	清香 <i>qīng xiāng</i> “ <i><u>limpid</u> fragrance (delicate fragrance)</i> ”
	(13/99)	(per million)	
SMELL→VISION	50%	1	臭臉 <i>chòu liǎn</i> “ <i>the <u>smell</u> face (the unpleasant facial expression)</i> ”
	(1/2)	(per million)	
<b>VISION - - → SMELL</b>			
VISION→HEARING	87.9%	<b>223.4</b>	聲音不大 <i>shēngyīn bú dà</i> “ <i>The sound is not <u>big</u> (The sound is not loud).</i> ”
	(87/99)	(per million)	
HEARING→VISION	100%	3	色彩和諧 <i>sècǎi héxié</i>
	(4/4)	(per million)	“ <i>The color is <u>harmonious</u>.</i> ”
<b>VISION - - → HEARING</b>			

327

328 As elaborated above, the transferability of lexical types and the frequency of lexical tokens

329 show consistent preferences in one direction for the transfers between TOUCH and VISION,

330 between TASTE and VISION, and between TASTE and SMELL in Mandarin synesthesia. There is

331 also a second type of biased-directional transfer, where lexical types and token frequencies

332 show different biases. For example, as shown in Table 4, the transferability of lexical types

333 from VISION to SMELL (i.e., 13.1% [13/99]) is lower than the transferability of lexical types

334 from SMELL to VISION (i.e., 50% [1/2]). In contrast, the transferability of lexical tokens is

335 higher from SMELL to VISION (with 6.3 tokens per million) than from VISION to SMELL (with

336 1 token per million).<sup>10</sup> Another example involves the transferability of lexical types for the  
 337 mapping from VISION to HEARING (i.e., 87.9% [87/99]), which is smaller than that the  
 338 transferability of lexical types from HEARING to VISION (i.e., 100% [4/4]). At the same time,  
 339 the frequency of lexical tokens from VISION to HEARING is much higher (i.e., 223.4 tokens per  
 340 million) than that from HEARING to VISION (i.e., three tokens per million).

341

### 342 **4.1.3. Bidirectional transfers**

343 Possible bidirectionality in synesthetic transfers can also be observed in Mandarin Chinese.  
 344 That is, some pairs of sensory domains show no clear preference in terms of directions of  
 345 synesthetic transfers. The most salient case involves TOUCH and TASTE. Although previous  
 346 analyses predict that the mapping from TOUCH to TASTE will be preferred (see Figures 1 and 2  
 347 above), the prediction is not borne out in Mandarin data.

348 As demonstrated in Table 5, the synesthetic transferability of lexical types from TOUCH  
 349 to TASTE (i.e., 9.6% [7/73]) is lower than that from TASTE to TOUCH (i.e., 23.8% [5/21]),  
 350 contradicting predictions based on embodiment. On the other hand, the frequency of lexical  
 351 tokens from TOUCH to TASTE is higher (i.e., 12.5 tokens per million) than that from TASTE to  
 352 TOUCH (i.e., 10.4 tokens per million), which follow predictions based on embodiment. In  
 353 contrast to the biased-directional transfers discussed above, in bidirectional transfers neither  
 354 the type nor the token mapping preference is dominant, which contradicts previous predictions  
 355 and is evidence against unidirectionality hypothesis. To confirm this bidirectionality we also  
 356 check the mean lexical type frequency of mapping from TOUCH to TASTE and find that it is  
 357 similar in both directions. TOUCH to TASTE is 1.8 [12.5/7] tokens per million and TASTE to  
 358 TOUCH is 2.1 [10.4/5] tokens per million. Thus, in addition to type and token frequencies, the

---

<sup>10</sup> It is also important to note that SMELL is found to seldom map to other sensory domains in Mandarin synesthesia, with only two adjectives identified with synesthetic usages in the Sinica Corpus (cf. Table 1).

359 average token frequency per type of mapping is similar in both directions. Since neither  
 360 direction can be shown to be dominant by any measurement, we conclude that the Mandarin  
 361 synesthetic mapping between TOUCH and TASTE is bidirectional.

362

363

**Table 5.** Bidirectional transfers of Mandarin synesthesia

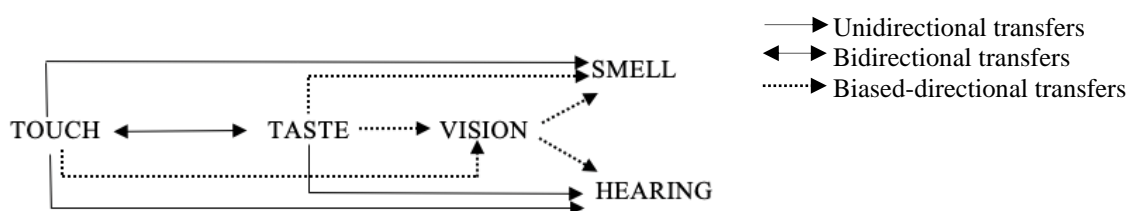
Transfer Types	Transferability of lexical types	Frequency of lexical tokens	Examples
TOUCH→TASTE	9.6% (7/73)	12.5 (per million)	烈酒 <i>liè jiǔ</i> “ <u>scorching</u> wine (strong wine)”
TASTE→TOUCH	23.8% (5/21)	10.4 (per million)	腰酸 <i>yāo suān</i> “The waist is <u>sour</u> (It feels sore in the waist)”
<b>TOUCH↔TASTE</b>			

364

#### 365 4.1.4 Summary

366 To summarize, Mandarin synesthesia exhibits three types of transfer directionality, including  
 367 unidirectionality, biased-directionality, and bidirectionality. Except for the transfers between  
 368 TOUCH and TASTE which show bidirectionality, all other mappings of Mandarin synesthesia  
 369 are found to show a preference for transfer directions with the transfers from TOUCH to  
 370 HEARING, TOUCH to SMELL, and TASTE to HEARING obeying a rule-based unidirectionality,  
 371 and all other transfers following a frequency-based biased-directionality. The general transfer  
 372 directionality of Mandarin synesthesia can thus be diagrammed as in Figure 3.

373



374

375

**Figure 3:** Transfer directionality of Mandarin synesthesia

376 We will return to the comparison of directionality between Mandarin synesthesia and  
377 linguistic synesthesia in Indo-European languages in Section 5, after the underlying  
378 mechanisms of Mandarin synesthesia are discussed.

379

#### 380 **4.2. Mechanisms underlying transfers of Mandarin synesthesia**

381 Previous studies proposed two kinds of mechanisms to account for mapping of linguistic  
382 synesthesia. On one hand, Shen (1997), Popova (2003, 2005), and Yu (2003) argued that  
383 linguistic synesthesia is grounded in our bodily experiences, where perceived similarity on the  
384 intensity and subjective evaluation provides the cognitive basis for transfers between senses.  
385 On the other hand, Williams (1976) and Rakova (2003) assumed that neural connections in the  
386 physiological ground of synesthetic mappings. Although these two hypotheses seem to be  
387 similar, they differ crucially in that the embodiment-grounded approach is soft-wired, relying  
388 on (linguistic) conceptualization, while the neurologically-grounded approach is hard-wired,  
389 relying on the physiological composition of the brain. Hence strong universality without  
390 exception is predicted by the neurological hypothesis, while some language-specific variations  
391 are allowed by the embodiment hypothesis. Yet, both hypotheses assume a fixed hierarchy  
392 among the five sensory domains. Interestingly, Shibuya and Nozawa (2003: 406) and Shibuya  
393 et al. (2007) proposed a “Physiological = Psychological Model”, which identifies both  
394 constraints on sensory experiences (including emotional experiences) and on brain structures  
395 underlying linguistic synesthesia across a variety of languages. In addition, one recent  
396 empirical study, Zhao et al. (2018), found that linguistic synesthesia of gustatory adjectives in  
397 Mandarin and English required both the embodiment and the neural basis to account for the  
398 full range of data. Our corpus-based analysis herein has allowed us to observe that both the  
399 embodiment and the neural bases are needed to explain and predict the transfer tendencies of  
400 Mandarin synesthesia. However, what still remains to be explicated is how embodiment and

401 neural mechanisms ground specific synesthetic transfers. In fact, in addition to similarities of  
 402 perceptual intensity and subjective evaluation as embodied mechanisms attested by Zhao et al.  
 403 (2018), we also discover that sensory integration can be another sub-type of embodiment  
 404 mechanism to underpin Mandarin synesthesia in our current data set. In what follows, we will  
 405 present four examples that demonstrate the specific embodied and neural mechanisms  
 406 underlying transfers of Mandarin synesthesia: examples (1) through (3) can be predicted by the  
 407 embodiment hypothesis, while example (4) can be predicted by the neurologically-grounded  
 408 hypothesis.

409 In example (1), we note that 強 *qiáng* “strong” has an original meaning of “with strong  
 410 strength” and 弱 *ruò* “weak” has an original meaning of “with weak strength.” Thus, both are  
 411 adjectives conceptualizing the intensities of tactile perceptions in Mandarin. The two adjectives  
 412 are also used for HEARING based on the Sinica Corpus, as shown in (1), where 強 *qiáng* “strong”  
 413 describes the auditory perception with a strong intensity, while 弱 *ruò* “weak” conceptualizes  
 414 a weak intensity in HEARING.

415

416 (1) 蟬聲[...]時強<sub>[TOUCH→HEARING]</sub>時弱<sub>[TOUCH→HEARING]</sub>

417 “The sound of cicadas [...] is **strong** at times and **weak** at other times.”

418

419 Thus, the perceived similarity on the intensity can be observed for the two adjectives when  
 420 used for TOUCH and HEARING, demonstrating the use of an embodied mechanism as suggested  
 421 by Zhao et al. (2018).

422 In (2), 美 *měi* “tasty” and 甜 *tián* “sweet” originally denoted pleasant tastes, while 膩 *nì*  
 423 “cloying” originally denoted an unpleasant taste. However, in the examples provided in (2a)  
 424 and (2b) below, the sensory domain involves VISION, with 美 *měi* “tasty” and 甜 *tián* “sweet”  
 425 utilized to provide a positive reading, while 膩 *nì* “cloying” used to provide a negative reading.

426 (2)a. 景色真美<sub>[TASTE→VISION]</sub>

427 “The scenery is **tasty** (The scenery is beautiful).”

428 b. 女裝[...]甜<sub>[TASTE→VISION]</sub>而不膩<sub>[TASTE→VISION]</sub>

429 “This dress [...] is **sweet** but not **cloying** (This dress [...] is attractive with good taste).”

430

431 These three synesthetic expressions preserve the affective evaluation of the gustatory  
432 adjectives when used for VISION. Thus, they demonstrate the embodiment mechanism of  
433 perceived similarity on subjective evaluation underlying transfers of Mandarin synesthesia.

434 Mandarin adjectives denoting unpleasant tastes (i.e., 苦 *kǔ* “bitter” and 酸 *suān* “sour”)  
435 can be utilized for the pleasant odor (i.e., 香 *xiāng* “fragrance”), as shown in (3). Thus, the  
436 affective evaluation is not retained in these two synesthetic expressions, which were assumed  
437 to be inconsistent with the embodied mechanism of perceived similarity by Zhao et al. (2018).

438

439 (3)a. 微苦<sub>[TASTE→SMELL]</sub>氣香

440 “the slightly **pungent** fragrance of air (in the coffee or tea context)”

441 b. 酸<sub>[TASTE→SMELL]</sub>香撲鼻

442 “The **acidic** fragrance is strong (in the vinegar context).”

443

444 Zhao et al. (2018) suggested that the linguistic expressions in (3) were triggered by  
445 specific contexts. A closer look at the synesthetic usages, however, would indicate that the  
446 contextually-triggered olfactory uses of the two gustatory adjectives are in line with the sensory  
447 integration between TASTE and SMELL experienced by humans. As argued by Winter (2016a,  
448 2016b, 2019a), people generally rely on both TASTE and SMELL to determine the flavor of food.  
449 Thus, the bitter taste as an intrinsic perceptual property of coffee as well as tea and the sour  
450 taste as an intrinsic perceptual property of vinegar are integrated with the olfactory perceptions

451 of these objects for human beings, which thus motivates the conceptualization of the olfactory  
 452 perceptions of coffee, tea, and vinegar in terms of the concepts of the gustatory perceptions of  
 453 these objects. In other words, shared collocating sensory experiences can also lead to sensory  
 454 integration and linguistic synesthesia, which are embodied as well.

455 Example (4) shows the neural mechanism underlying Mandarin synesthesia, where the  
 456 adjective 麻 *ma2* “numbing” was utilized for a spicy taste.

457

458 (4) 乾煸牛肉絲麻<sub>[TOUCH→TASTE]</sub>而不辣

459 *“The dry-fried sliced beef is **numbing**, but not spicy.”*

460

461 The usage exhibits a consistency with the physiological finding that the sensation induced  
 462 on the tongue and lips by Szechuan pepper shares the same RA1 channel with mechanical  
 463 vibration (Hagura et al., 2013). Thus, in addition to the gustatory usage of the English adjective  
 464 “hot” and the tactile usage of the Mandarin gustatory adjective 辣 *là* “hot [in TASTE]” (see  
 465 Rakova, 2003; Zhao et al., 2018), the Mandarin adjective 麻 *ma2* “numbing” used for TASTE  
 466 is also in line with neuro-biological connectedness in human brains, suggesting the neural  
 467 mechanism underlying transfers of linguistic synesthesia.

468

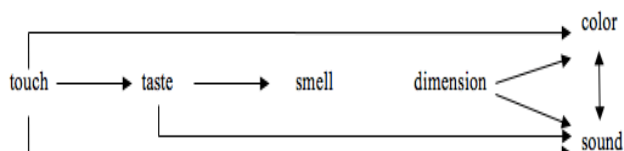
## 469 **5. Directionality of linguistic synesthesia revisited**

470 Based on Figure 3 for the directionality of Mandarin synesthesia and Figures 1 and 2 for the  
 471 directionality of linguistic synesthesia in Indo-European languages, it can be observed that  
 472 Mandarin synesthesia does not share the same directional tendencies with linguistic synesthesia  
 473 of Indo-European languages. As noted by Winter (2016a) and Zhao et al. (2018), the  
 474 directionality model in Figure 2 can include that in Figure 1, with more precise and finer-  
 475 grained predictions for the directional tendencies of linguistic synesthesia in Indo-European

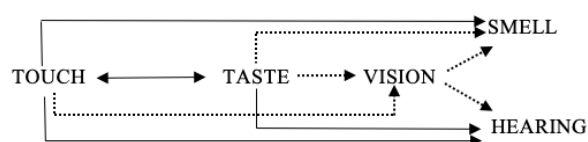


476 languages. Thus, we take the model in Figure 2 as the general directional patterns of linguistic  
 477 synesthesia of Indo-European languages, and compare it with the directionality of Mandarin  
 478 synesthesia.<sup>11</sup> A comparison between Figure 2 (re-named as Figure A) and Figure 3 (re-named  
 479 as Figure B) is diagrammed as Figure 4 below.

480



**Figure A:** Transfer directionality of linguistic  
 synesthesia in Indo-European languages



**Figure B:** Transfer directionality of Mandarin  
 synesthesia

481

482

**Figure 4:** Contrasting directionality of linguistic synesthesia

483

484 It can be observed that there are similarities between Mandarin synesthesia and linguistic  
 485 synesthesia in Indo-European languages, as shown in Figure 4: (1) linguistic synesthesia in  
 486 both Mandarin and Indo-European languages follows directional tendencies, rather than  
 487 showing random transfers between senses; (2) TOUCH is the most frequent sensory domain in  
 488 the hierarchies generally as the source of transfers for linguistic synesthesia in both Mandarin  
 489 and Indo-European languages; and (3) SMELL occurs most frequently as the target domain  
 490 instead of the source domain for linguistic synesthesia in both Mandarin and Indo-European  
 491 languages. Crucially, Mandarin synesthesia, however, shows differences with linguistic  
 492 synesthesia in Indo-European languages: (1) the transfers between TOUCH and TASTE is  
 493 bidirectional in Mandarin synesthesia, but unidirectional in linguistic synesthesia of Indo-

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<sup>11</sup> Please note that VISION is divided into color and dimension in Figure 2. We consider transfers both related to color and dimension as linguistic synesthesia of the visual sense for comparison with the directionality of Mandarin synesthesia.

494 European languages; and (2) there are transfers found in Mandarin synesthesia, but not in  
 495 linguistic synesthesia of Indo-European languages, including the transfers from TOUCH to  
 496 VISION, TASTE to VISION, and VISION to SMELL. Thus, the universal directionality patterns of  
 497 linguistic synesthesia suggested by Williams (1976) cannot be supported.

498 The differences of directionalities between Mandarin synesthesia and linguistic  
 499 synesthesia in Indo-European languages may be the result of the following two reasons. Firstly,  
 500 linguistic synesthesia is grounded in embodiment, which includes both perceived similarity  
 501 and sensory integration between experiences from different senses as demonstrated in the last  
 502 section. The embodiment, however, is culturally bounded, which is widely recognized to result  
 503 in language-specific variations of metaphors (see Lakoff and Johnson, 1980, 1999; Johnson,  
 504 1987; Ahrens and Huang, 2002; Gibbs, 2005; Lu and Ahrens, 2008; Hsiao and Su, 2010; de  
 505 Prado Salas, 2016; Wen and Yang, 2016; Jing-Schmidt and Peng, 2017). For instance, Zhou  
 506 and Zhang (2017) found that metaphorical usages of 面子 *miànzi* and 臉 *liǎn* in spoken Chinese  
 507 are different, although they are near synonyms with the meaning of “face,” with 面子 *miànzi*  
 508 being more positive (e.g., 有面子 *yǒu miànzi* “[being shown] due respect to” ), and 臉 *liǎn*  
 509 being more negative (e.g., 丟臉 *diū liǎn* “lose face/shameful”). They suggested that the  
 510 difference resulted from a unique system of value-constructs operating in Chinese culture (i.e.,  
 511 face), where 面子 *miànzi* is “other-oriented as a social self”, while 臉 *liǎn* “self-oriented as a  
 512 personal self” (Zhou and Zhang, 2017: 152). However, similar metaphors have not been  
 513 reported in Western culture. Therefore, the variation on the directionality of linguistic  
 514 synesthesia across languages should not be seen as unusual.

515 It is also relevant to note that Xiong and Huang (2015, 2016) find that TASTE is quite  
 516 versatile for linguistic synesthesia in non-poetic Mandarin and Chinese translations for  
 517 Buddhist texts. For instance, 味 *wèi* “taste” can be used for all other four senses, including  
 518 TOUCH, SMELL, VISION, and HEARING in Chinese Buddhist texts (Xiong and Huang, 2016). In

519 line with the findings of Xiong and Huang (2015, 2016), TASTE is more versatile in Mandarin  
520 (i.e., with transfers to TOUCH) than in Indo-European languages found by this study. These  
521 may suggest that the gustatory experience is predominant in Chinese culture as suggested by  
522 Zhao and Huang (2018). Thus, it would make sense that TASTE is found to be used more  
523 frequently as the source domain for synesthetic mappings in Mandarin than Indo-European  
524 languages.

525         The other reason for the differences of directionalities between Mandarin synesthesia and  
526 linguistic synesthesia in Indo-European languages may lie in that our study is based on much  
527 larger data sample than those used for linguistic synesthesia in Indo-European languages (cf.  
528 Table 1).

529         With respect to the debate on whether the directionality of linguistic synesthesia is rule-  
530 based or frequency-based, this study finds that Mandarin synesthesia shows three different  
531 types of directionalities: unidirectionality, biased-directionality, and bidirectionality. The  
532 unidirectionality of Mandarin synesthesia is rule-based, while biased-directionality is  
533 frequency-based. Thus, the directionality of linguistic synesthesia cannot be interpreted as rule-  
534 based or frequency-based exclusively. Rather, the transfers of linguistic synesthesia are  
535 complex and involve different types, some of which may be rule-based and others frequency-  
536 based.

537

## 538 **6. Conclusion**

539 This study employs a corpus-based approach to examine the transfer tendencies of linguistic  
540 synesthesia in Mandarin Chinese. We find that Mandarin synesthesia does not share the same  
541 transfer patterns with linguistic synesthesia in Indo-European languages. Thus, the cross-  
542 linguistic universality of transfer tendencies of linguistic synesthesia proposed by Williams  
543 (1976) cannot be supported. In addition, this study attests that Mandarin synesthesia shows

544 three different types of directionalities, i.e., unidirectionality, biased-directionality, and  
545 bidirectionality. The unidirectionality of Mandarin synesthesia is rule-based, as transfers in  
546 reverse directions cannot be found. In contrast, the biased-directionality of Mandarin  
547 synesthesia is frequency-based, where transfers between senses in two directions can be  
548 attested, but exhibit preferences in one direction. Therefore, directionality of linguistic  
549 synesthesia is neither rule-based nor frequency-based exclusively. Rather, both are at work and  
550 either complement or compete with each other to establish directionality in linguistic  
551 synesthesia.

552         The directionality of linguistic synesthesia in Mandarin Chinese reported in this study  
553 may also shed light on the nature of linguistic synesthesia. At least three different accounts  
554 have been given in the past literature on the nature of linguistic synesthesia. That is, linguistic  
555 synaesthesia is considered to be: either (1) metaphorical (e.g., Shen, 1997; Strik Lievers, 2017);  
556 or (2) neurological (e.g., Rakova, 2003; Ronga et al., 2012); or (3) literal (e.g., Winter, 2019a,  
557 2019b). Our current study shows that linguistic synesthesia allows language-specific variations  
558 in the directionality, which is inconsistent with the neurological hypothesis based on the hard-  
559 wiring of the human brain that predicts universality.

560         On the other hand, the literal account of linguistic synesthesia focuses on the degree and  
561 evaluative interpretation of linguistic synesthesia, such as “*big voice*” and “*sweet smell*”,  
562 arguing that degree/evaluative readings are among the literal senses of these words (Winter,  
563 2019a, 2019b). However, since similar meaning extensions are also attested in metaphor, this  
564 account does not rule out a metaphor account. Furthermore, linguistic synesthesia has also been  
565 attested to involve rule-based directional transfers, hence showing similarity with metaphor  
566 (Lakoff and Johnson, 1980; Johnson, 1987; Gibbs, 2005). In addition, it is also consistent with  
567 the observation that linguistic synesthesia and metaphor are both used in “interpersonal”  
568 communication activities grounded in perceptual and social bases (Gahrn-Andersen, 2019;

569 Steffensen, 2008: 677; Ursini and Acquaviva, 2019). However, one challenge to the  
570 metaphorical account is the fact that current theories of metaphor do not account for frequency-  
571 based directionality tendencies typical of linguistic synesthesia. Thus, an intriguing issue to be  
572 explored further is the relationship between linguistic synesthesia and metaphor. Whether  
573 linguistic synesthesia is a special sub-type of metaphor or a complex linguistic device  
574 incorporating metaphorical mapping mechanisms (Ahrens, 2010) are two possibilities.

575 An additional area to be explored involves modality exclusivity norms. Linguistic  
576 synesthesia involves mainly lexemes based on the sensory lexicon. Recently released modality  
577 exclusivity norms of sensory lexicon (Lynott and Connell 2009, 2013; Chen et al., 2019) in  
578 both English and Chinese show that a sensory word can express range widely in terms of  
579 modality exclusivity, which has to do with when a sensory word may occur almost exclusively  
580 in a single sense modality or in two or more modalities with varying degrees of exclusivity. It  
581 is also important to note that the most dominant modality, the modality with the highest  
582 exclusivity, does not necessarily entail the original sense modality of that word. The three types  
583 of directionality from unidirectional to biased-directional to bidirectional discussed herein  
584 match well with the wide range of variability of exclusivity of sensory modalities. As Chen et  
585 al.'s (2019) study already showed a degree of correlation between modality exclusivity and  
586 linguistic synesthesia, this current study provides additional data for future studies of possible  
587 relations between modality exclusivity and mapping directionality of linguistic synesthesia.

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756 Appendix. Distributions of the top ten visual, tactile, and gustatory adjectives and all auditory and  
 757 olfactory adjectives with synesthetic tokens

758

Source domains	Target domains				
	TOUCH	TASTE	SMELL	HEARING	Total
VISION					
大 <i>dà</i>	0	9	1	1083	1084
“big”					
緊 <i>jǐn</i>	409	0	0	2	411
“tense (in VISION)”					
高 <i>gāo</i>	0	0	0	197	197
“high”					
低 <i>dī</i>	0	0	0	182	182
“low”					
清 <i>qīng</i>	21	26	38	31	116
“limpid”					
鬆 <i>sōng</i>	116	0	0	0	116
“shaggy”					
小 <i>xiǎo</i>	0	0	0	84	84
“small”					
長 <i>cháng</i>	0	0	0	77	77
“long”					
沈 <i>chén</i>	6	0	1	67	74
“deep”					

清楚 <i>qīngchǔ</i>	0	0	1	62	63
“clear”					
TOUCH	TASTE	SMELL	VISION	HEARING	Total
乾 <i>gān</i>	0	0	459	9	468
“dry”					
輕 <i>qīng</i> “light (in weight)”	2	2	105	244	353
尖 <i>jiān</i>	0	0	122	117	239
“sharp”					
冷 <i>lěng</i>	0	1	49	117	167
“cold”					
粗 <i>cū</i>	0	0	133	7	140
“rough”					
爛 <i>làn</i>	0	0	117	0	117
“tender”					
熱烈 <i>rèliè</i>	0	0	30	64	94
“scorching”					
烈 <i>liè</i>	78	0	13	0	91
“scorching”					
重 <i>zhòng</i>	17	7	21	40	85
“heavy”					
溫柔 <i>wēnróu</i>	0	0	42	41	83
“soft”					
TASTE	TOUCH	SMELL	VISION	HEARING	Total
美 <i>měi</i>	0	1	1222	23	1246

“tasty”					
淡 <i>dàn</i>	0	27	250	71	348
“of mild taste”					
濃 <i>nóng</i>	0	33	167	5	205
“of intense taste”					
酸 <i>suān</i>	90	10	0	1	101
“sour”					
鮮 <i>xiān</i>	0	0	83	0	83
“tasty”					
辣 <i>là</i>	2	0	78	0	80
“hot (in TASTE)”					
苦 <i>kǔ</i>	1	1	66	1	69
“bitter”					
甜美 <i>tiánměi</i>	0	1	30	26	57
“tasty”					
甜 <i>tián</i>	0	13	15	8	36
“sweet”					
甜蜜 <i>tiánmì</i>	0	3	10	3	16
“sweet”					
HEARING	TOUCH	TASTE	SMELL	VISION	Total
和諧 <i>héxié</i>	0	0	0	26	26
“harmonious”					
喧鬧 <i>xuānnào</i>	0	0	0	2	2
“noisy”					
吵 <i>chǎo</i>	0	0	0	1	1

“loud”					
喧嘩 <i>xuānhuá</i>	0	0	0	1	1
“noisy”					
SMELL	TOUCH	TASTE	VISION	HEARING	Total
香 <i>xiāng</i>	0	22	0	0	22
“fragrant”					
臭 <i>chòu</i>	0	0	10	0	10
“smelly”					