Dielectrophoresis-actuated liquid lenses with dual air/liquid interfaces tuned from biconcave to biconvex

Qingming Chen^{ab}, Tenghao Li^a, Zhaohui Li^c, Chao Lu^{*b} and Xuming Zhang^{*a} 4 5 6 *Corresponding Author: E-mail: enluchao@polyu.edu.hk; apzhang@polyu.edu.hk 7 8 ^aDepartment of Applied Physics, The Hong Kong Polytechnic University, Hong Kong, China 9 ^bDepartment of Electronic and Information Engineering, The Hong Kong Polytechnic University, Hong Kong, China 10 11 ^cSchool of Electronics and Information Technology, Sun Yat-Sen University, Guangzhou 12 510275, China 13 14 Abstract: This paper reports an electrically reconfigurable optofluidic lens with two air-liquid (silicone oil) interfaces actuated by the dielectrophoretic (DEP) force. Initially, a symmetric 15 16 biconcave air-liquid lens is formed by the surface tension in a microfluidic chip. Then, the 17 DEP force deforms the air-liquid interfaces from biconcave to biconvex, tuning the focal length from -0.5 mm to infinite to +0.5 mm. The wide tunability of the focal length is resulted 18 19 from the large refractive index difference (~0.4 at air-liquid interface), which is only 0.1 in 20 the previous liquid-liquid lenses. In the experiment, it achieves an f number of 0.91 while 21 consumes only 6.7 nJ/circle. Some asymmetric working states, such as concave-convex and

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27 **1. Introduction**

for light manipulation in microfluidic networks.

Optofluidics combines optics and microfluids together to make use of the interaction between light and liquid samples in microscale.¹⁻⁷ Due to its compact size and flexible tunability, optofluidics has unprecedented advantages over the conventional counterparts, such as small volume sample handling,⁸⁻¹³ precise manipulation,¹⁴⁻¹⁸ wide tunability¹⁹⁻²¹ and lab-on-a-chip integration.²²⁻²⁵ As the key element in conventional optics, optical lens has

plano-convex lenses have also been demonstrated. Compared with the continuous liquid flow

sustained lenses, this stationary liquid lens promises better compatibility and higher

scalability. The wide tunability, low power consumption and easy operation make it suitable

attracted intense attention in optofluidics.²⁶⁻²⁸ Several types of adaptive liquid lenses have been successfully developed for different applications, such as miniature camera,²⁹⁻³¹ particle manipulation³² and flow cytometers,³³⁻³⁴⁻ etc. Among them, the in-plane liquid lens that deals with the beams propagating along the substrate, has been widely used in the light manipulation in microfluidic networks.³⁵⁻³⁷

6 The previous in-plane liquid lenses are based on refractive index (RI) gradient using solution diffusion³⁷⁻³⁹ or interfaces between immiscible liquid flows^{26,40}. The fluidic interfaces 7 can be manipulated by several types of microfluidic methods, such as hydrodynamic flow,⁴⁰ 8 pressure control⁴¹ and electrostatic force,⁴² etc. Among them, the hydrodynamic flow has been 9 10 widely used to control the in-plane optofluidic lenses. The optical smoothness of the fluidic 11 interface makes it suitable for light manipulation without significant scattering loss. Generally, 12 the lens is formed by the immiscible flowing streams with different RIs, where the liquid with higher RI as the core and the one with lower RI as the cladding. The lens shape (or focal 13 length) can be continuously tuned by changing the flow rate, providing a flexible way to 14 manipulate light in microfluidic networks. By injecting flow streams into chambers with 15 rectangle or circular shapes, several reconfigurable lenses have been demonstrated.⁴³⁻⁴⁶ Seow 16 17 et al. proposed a reconfigurable liquid lens by tuning flow rates of three laminar streams in a rectangle expansion chamber, which obtained different curvatures of liquid/liquid interfaces.⁴⁴ 18 19 To get a perfect curvature, a circular chamber was used in a liquid-core/liquid-cladding lens. 20 The shape can be tuned from the radius of the chamber itself to infinite via the flow rate control.⁴⁵ Fang et al. reported a reconfigurable optofluidic lens, which was hydrodynamically 21 tuned from biconvex to biconcave.⁴⁶ It utilized a rectangle chamber with two semicircular 22 23 terminals that connected to two inlets and two outlets. By adjusting the flow rates of four 24 streams, the beam was continuously tuned from focusing to collimation and then to divergence. The above designs have demonstrated flexible manipulation of light in 25

microfluidic chips. But there are still some constraints in the previous optofluidic systems. For
instance, they are sustained by continuous liquid supply or flow circulation,⁴⁷ which reduces
the scalability of the lenses. In addition, the focal length tunability is limited by the small RI
difference (~0.1) between liquids.³⁵ Thus, more practical designs of in-plane optofluidic lens
are still in need.

6 This paper presents a tunable in-plane liquid lens using the DEP force, which drives the 7 liquid (silicone oil, $\varepsilon = 2.5$, n = 1.405) with higher permittivity into the air region with lower 8 permittivity.⁴⁸⁻⁴⁹ It can be continuously tuned from biconcave to biconvex. The large RI 9 difference (~0.4) at the air-liquid interface leads to a wider tunable range of the focal length 10 from -0.5 mm to infinite and then to +0.5 mm. Some asymmetric working states, such as 11 plano-convex and concave-convex lenses can also be achieved. In addition, it does not require 12 continuous liquid flow, making it highly scalable in the microfluidic networks.

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14 **2. Working principle**

15 The schematic design of the liquid lens is shown in Fig. 1. Two parallel MgF₂ glasses are bonded together to form the microfluidic platform, where the NOA 81 (Norland Optical 16 17 Adhesive 81) works as the spacers and defines two open channels (along x direction). At the 18 center of the chip, there is a lens section ($w_0 \times l_0 \times d_0 = 550 \times 1000 \times 60 \ \mu m^3$) between the four 19 spacers. The top glass is patterned with ITO electrodes, including an ITO line (in x direction) 20 between the two channels and a pair of ITO patterns (in y direction). The ITO line is used to 21 apply a DEP force (voltage: V_0) to guide the liquid droplets from the two inlets to merge at 22 the lens section, resulting in a biconcave air-liquid lens (as shown in the inset of Fig. 1). And 23 the ITO pair ($V_1 \& V_2$) are used to exert DEP forces at the air-liquid interfaces to deform the lens shape (see the blue liquid). V_1 and V_2 pull the air-liquid interfaces in the -y and +y 24 directions, respectively. The lens shape can be continuously tuned from biconcave to 25

biconvex by the DEP force. To observe the lensing effect, A collimated beam ($\lambda = 532$ nm, waist diameter = 400 µm) is coupled into the chip using a pigtailed aspheric fiber collimator (CFS2-532-FC, beam divergence 1.75 mrad, Thorlabs). And a chamber (not included in the schematic) is fabricated behind the lens section for experimental raytracing. The details of the device fabrication can be found in the supplementary information.

6 Initially, the shape of the liquid droplet is determined by the surface tension. The 7 pressure drop at the air-liquid interface can be described by the Laplace law ⁵⁰:

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$$\Delta P_0 = 2\gamma \kappa = \gamma \left(\frac{1}{R_{10}} + \frac{1}{R_{20}}\right)$$
(1)

9 where ΔP_0 is the pressure drop, γ is the surface tension between air and the liquid ($\gamma_{silicone oil} =$ 10 20 mN·m⁻¹), and κ is the mean curvature. R_{10} and R_{20} are the curvature radii in the horizontal 11 and vertical directions, respectively. As the device is symmetric along the *x* & *y* axes, the two 12 air-liquid interfaces have the same physical properties. When a voltage is applied to the ITO 13 pair, it exerts a net force at the air-liquid interfaces to deform the shape of the droplet. The 14 DEP force at one air-liquid interface is:

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$$F_e = \frac{\varepsilon_0 \left(\varepsilon_L - 1\right) w_1}{2d_0} V^2$$
(2)

16 where $\varepsilon_0 = 8.8542 \times 10^{-12} \text{ F} \cdot \text{m}^{-1}$ is the permittivity of vacuum and ε_L ($\varepsilon_{\text{silicone oil}} = 2.5$) is the 17 relative permittivity of the liquid, w_1 is the width of the top electrode and d_0 is the height of 18 the channel. *V* represents the applied voltage. The external force breaks the balance state of 19 the interface, resulting in a different pressure drop and curvature:

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$$\Delta P_{1} - \Delta P_{0} = \gamma \left(\frac{1}{R_{11}} + \frac{1}{R_{20}}\right) - \gamma \left(\frac{1}{R_{10}} + \frac{1}{R_{20}}\right) = \gamma \left(\frac{1}{R_{11}} - \frac{1}{R_{10}}\right)$$
(3)

21 here R_{10} and R_{11} are the horizontal curvature radii of the initial and new states, respectively.

1 And $\triangle P_1$ is the pressure drop of the new static state. As the surface tension of the top and 2 bottom glasses keep unchanged, the vertical curvature radius R_{20} is constant at the static 3 states. And the DEP force is balanced by the surface tension:

$$F = \left(\Delta \mathbf{P}_1 - \Delta \mathbf{P}_0\right) w_0 d_0 = F_e \tag{4}$$

5 where w_0 is the distance between the terminals of the two channels. Thus $S = w_0 d_0$ is the y-6 direction projection of an air-liquid interface. The horizontal radii of the new interfaces are 7 determined by Equations (1) – (4). If $V_1 = V_2$, the liquid lens is at symmetric state, which 8 means that the two air-air interfaces have the same curvature radius in magnitude. Since the 9 width of the lens is not negligible in comparison with the curvature radius, it cannot be 10 regarded as a thin lens. The optical properties of the liquid lens follow the Snell law. Thus, the 11 lens can be considered as two separated air-liquid interfaces, where the rays bend according to 12 the Snell law. The rays propagate along the straight lines inside and outside of the lens. Fig. 2 displays the optical model of the biconcave and biconvex liquid lenses in this paper. 13

In Fig. 2a, the parallel rays diverge after passing the biconcave lens, which seem to be from a back focal point F'_0 . While in the biconvex lens, the parallel rays are focused into a point F_0 , see Fig. 2b. The two red lines (H₁ & H₂) in Fig. 2 indicate the two principal planes of the lens. And the effective focal length *f* can be expressed as

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$$\frac{1}{f} = (n-1) \left[\frac{1}{R_1} - \frac{1}{R_2} + \frac{(n-1)d}{nR_1R_2} \right]$$
(5)

19 where *n* is the RI of the liquid, R_1 and R_2 are the radii of the first and second interfaces, 20 respectively. And *d* is the thickness of the lens. The positions of the two principal planes are 21 determined by:

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$$h_1 = -\frac{f(n-1)d}{nR_2}$$
 (7)

$$h_2 = -\frac{f\left(n-1\right)d}{nR}.$$
(8)

2 **3. Simulated and experimental results**

The lens has been verified by both theoretical calculations and experimental results. At first, some theoretical calculations have been conducted to optimize the design of the liquid lens. Then it is demonstrated by the experiments.

6 **3.1. Measurement of air-liquid interfaces**

7 At first, some experiments have been conducted to measure the air-liquid interfaces 8 under different voltages. Initially, a biconcave lens is formed in the microfluidic chip, as 9 shown in Fig. 3a. The two air-liquid interfaces have the same curvature radius (0 V, $|\mathbf{R}| = 485$ 10 μ m) due to the symmetric design. Then a voltage is applied to modify the curvature of the 11 liquid lens. At first, the magnitude of the radius increases with an increasing voltage, see Fig. 12 3b (100 V, $|\mathbf{R}| = 718 \ \mu \text{m}$). When the voltage is at 175 V, a planar lens appears (Fig. 3c). Further increase the voltage turns it into a biconvex shape, as shown in Fig. 3d (240 V, $|\mathbf{R}|$ = 13 14 556 µm). In the focusing states, the magnitude of the radius reduces as increasing the voltage. For comparison, the calculated spherical curves (red dashed curves) are put together with the 15 16 corresponding experimental results in Fig. 3a-d. It is noticed that the experimental interfaces 17 closely match the theoretical curves. For easy visualization, the raytracing simulation of the liquid lens at 240 V is shown in Fig. 3e, which demonstrates the focusing effect of the liquid 18 19 lens.

20 **3.2 Experimental raytracing**

In order to clearly trace the beam, a chamber is fabricated behind the lens. It is filled with the mixer of NOA 81 and Rhodamine B for fluorescent imaging. At first, the liquid lens has a biconcave shape resulted from the surface tension. As shown in Fig. 4a, the beam becomes divergent after passing the biconcave lens. When the voltage gradually increases from 0 V, the lens is deformed by the DEP force. At 175 V, it becomes a planar lens, which does not have focusing effect. As shown in Fig. 4b, the parallel beam keeps unchanged. Further increase the voltage, the lens turns into a biconvex one. Thus, the focusing appears as shown in Fig. 4c (210 V, f = 1.6 mm). The focal length of about 0.5 mm is obtained at 250 V (see Fig. 4d). The raytracing results demonstrate great focusing performance of the DEP lens.

6 **3.3. Tunability of liquid lens**

7 The tunability of the DEP lens is verified by the calculations as well. The curvature of 8 the liquid-air interfaces is deduced by the Laplace law and the DEP force at the interfaces. 9 Then the thick lens model is used to calculate the focal length. Fig. 5 plots both the calculated 10 and experimental results. The curves are the theoretical prediction and the data points (each 11 represents the average of five measurements) are the experimental results. At first, the focal length decreases from -0.5 mm to infinite while the voltage is increased from 0 V to 175 V, 12 13 see the blue curve in Fig. 5. With further increase of the voltage, the focal length is gradually 14 reduced from infinite to +0.5 mm (250 V). For easy visualization, the micrographs of four 15 working states are inserted into Fig. 5.

16 **3.4 Asymmetric working states**

17 This DEP lens can not only work at symmetric states, but also at asymmetric states that 18 the two air-liquid interfaces have different curvatures. The asymmetric tuning enables 19 independent manipulation of lens' interfaces, providing a new freedom of tunability. Fig. 6a 20 shows the planar lens when both voltages are 175 V, which has no lens effect. While one 21 interface is under 0 V and the other is at 175 V, a concave-convex is obtained. As the concave 22 and convex curves share the same magnitude of radius (i.e., $|\mathbf{R}| = 626 \ \mu m$), the probe beam 23 keeps collimated after passing the lens, see Fig. 6b. A plano-convex lens is achieved by 24 applying 225 V and 262 V at the left and right ($R = 416 \mu m$) interfaces, respectively. It has a 1 focal length of about 1.2 mm, see Fig. 6c.

2 **3.5** Power consumption, repeatability, *f* number and response time

3 The silicone oil is chosen as the optical medium because of its unique properties, such as high transparence,⁵¹ low evaporation rate, low viscosity and high electrical insulation. The 4 5 optically smooth interface and the low absorption lead to a low optical loss. And the low 6 evaporation rate of the liquid makes the lens well repeatable for one month. Since the silicone 7 oil layer has a very high electrical resistance (over 500 M Ω), the device can be regarded as a 8 capacitor (0.55 mm \times 1.05 mm \times 0.06 mm). The energy consumption is as low as 6.7 nJ per 9 switching circle. The proposed liquid lens also has a large f number, which is define as f/D10 (the aperture is 0.55 mm). At 250 V, the smallest focal length is about 0.5 mm, resulting in an 11 f number of 0.91. But the response speed of the lens is slow due to the edge pinning effect, 12 which is related with the size of the device and the viscosity of the liquid. It takes about 6 s to 13 switch from -0.5 mm to +0.5 mm. While the response speed can be improved by reducing the 14 size of the lens or choosing a liquid with lower viscosity.

15 4 Conclusion

16 In conclusion, a new DEP-actuated in-plane lens has been presented. It demonstrates the 17 continuous modulation of focal length from -0.5 mm to infinite and then to +0.5 mm when the 18 lens is changed from biconcave to planar and then to biconvex state. By using the asymmetric 19 driving, concave-convex and plano-convex lenses have also been achieved. It is superior to 20 the previous in-plane liquid lenses in various aspects, such as electrical actuation, stationary 21 liquid and wide tunability. In addition, it obtains an *f*-number of 0.91 and consumes only 6.7 Its flexible tunability and high scalability result in better 22 nJ per switching circle. 23 compatibility with other microfluidic elements. This new design will find potential 24 applications in lab-on-a-chip systems.

1	Conflicts of interest
2	There are no conflicts to declare.
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1 Figures



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Fig. 1. Schematic design of the DEP lens. Two parallel glasses are bonded firmly by NOA 81 adhesive strips, forming two open microchannels. There is a disconnect part in the middle section, where the fluidic lens (the blue liquid) locates. V_0 is used to guide the capillary flows from the two inlets to merge at the center, forming a biconcave liquid lens (see the inset). Then, V_1 and V_2 deform the two liquid-air interfaces. A collimated beam is coupled into the chip by an input fiber.



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Fig. 3. Measured air-liquid interfaces under different applied voltages: (a) 0 V; (b) 100 V; (c)
175 V and (d) 240 V. The red dashed circle lines are the calculated results. And the white
dashed lines in (d) represent the experimental curves. (e) Raytracing of the liquid lens of (d).



2 Fig. 4. Symmetric tuning. (a) Divergent state of the biconcave lens at 0 V. (b) Planar state at

3 175 V. (c) Focusing state of the biconvex lens at 210 V. (d) Tight focusing state at 250 V. (see

4 ESI1)



Fig. 5. The tunability of the focal length f. At first, when the voltage increases from 0 to 175 V, the focal length decreases from about -0.5 mm to infinite, see the blue line and the data points. Further increase the voltage, it tuns into a convergent lens. And focal length can be tuned from infinite to around +0.5 mm, see the black line and the data points. Here the lines are the theoretical predictions and the data points are the experimental results. Some lenses are inserted for easy visualization.



3 Fig. 6. Asymmetric tuning. (a) Planar lens at 175 V. (b) Concave-convex lens at 0 V-175 V

- 4 and (c) Plano-convex lens at 225 V 262 V. (see ESI2)