1	Unmanned Aerial Vehicle Based Low Carbon Monitoring Planning
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12	Abstract: Instead of physically visiting all locations of concern by manpower,
13	unmanned aerial vehicles (UAVs) equipped with cameras are a low-cost low-carbon
14	alternative to carry out monitoring tasks. When a UAV flies to conduct monitoring tasks,
15	it does not have to fly at a fixed speed; instead, it should fly at lower speeds over objects
16	of higher concerns and vice versa. This paper addresses the UAV planning problem
10	of ingher concerns and vice versus time paper addresses the erry planning proceen
17	with a focus on optimizing the speed profile. We propose an infinite-dimensional
18	optimization model for the problem and transform the model into an elegant linear
19	programming formulation based on characteristics of the problem. Finally, we conduct
20	a case study to demonstrate the effectiveness of the proposed model and the efficiency
21	of the proposed solution method
- 1	of the proposed solution method.
22	Keywords: unmanned aerial vehicle; low-carbon logistics; scheduling; infinite-
23	dimensional optimization

#### 25 1 INTRODUCTION

Traditionally, there are two methods to monitor an area. The first one is monitoring 26 by patrol agents. This method is very flexible and easy to implement. However, it has 27 28 two significant drawbacks. The first drawback is that some areas are difficult to access 29 or dangerous, limiting the applicability of monitoring by patrol agents. Another 30 problem is the high manpower costs of safety specialists, especially in developed 31 countries. The second method to monitor is to use video cameras, e.g., at the entrance 32 of residential buildings and at metro stations. Using video cameras can reduce the 33 manpower costs, as a person in a central control room can monitor the scenes in several cameras at the same time. Moreover, video cameras can conduct monitoring tasks on a 34 35 24/7 basis. A shortcoming of using video cameras is that the locations of video cameras 36 are fixed. Even though some video cameras can rotate and shoot in many directions, 37 they can still only monitor a limited area of a construction site. It is practically 38 impossible to install so many cameras that all corners of a construction site are 39 monitored. By contrast, patrol agents can monitor a much larger area, though not on a 40 24/7 basis. Another drawback of using video cameras is that they can effectively work 41 only with sufficient light in the monitored area.

In recent years, using unmanned aerial vehicles (UAVs) that carry video cameras to carry out monitoring tasks integrates the advantages of the above two approaches (Otto et al., 2018). UAVs equipped with cameras can provide a bird-view of locations and acquire image data efficiently, and thus are able to monitor a large area with low

46	manpower costs. Due to these advantages, UAVs, as a low-cost low-carbon alternative
47	to carry out monitoring tasks, have been used in a number of applications. When a
48	natural disaster occurs, UAVs can be used to monitor the affected area and obtain data
49	on the extent of damage (Pi et al., 2020). UAVs can patrol land borders and shorelines
50	between two countries (Kim and Lim, 2018). In agriculture, UAVs can inspect farm
51	conditions for soil and yield analysis (Puri et al., 2017). In build environment, UAVs
52	equipped with infrared imaging are used to monitor the heat transfer of building blocks
53	(Rakha, and Gorodetsky, 2018). In this study, we will develop models to plan a UAV
54	for carrying out monitoring tasks.

#### 56 1.1 Literature review

A building block in UAV routing is obtaining the flying time between two points. Li et al. (2018a) examined a three-dimensional UAV path planning problem in which a UAV travels from one point to another point in an indoor environment while keeping a certain distance from obstacles. They developed A\*-based algorithms to identify the shortest path and the path whose height above the floor and stairs is minimized.

Some researchers have concentrated on optimizing UAV routes for monitoring a set of nodes, arcs, or an area. In the category on node monitoring, Kim and Lim (2018) proposed a UAV border monitoring concept in which electrification line systems to wirelessly charge drones are deployed. Drones must visit a sequence of nodes considering battery capacity constraints. A mixed-integer linear programming model is 67 developed to determine the locations to install the electrification line systems. Zhen et al. (2019) investigated a routing problem in which UAVs monitor a set of nodes with 68 69 different accuracy requirements, and in which the height at which a UAV visits each 70 node is optimized as it affects the accuracy level of monitoring. A tabu search 71 metaheuristic approach is developed for the problem. Xia et al. (2019) examined the 72 routing of a fleet of UAVs for monitoring air emissions from a set of vessels (nodes). 73 Different from many routing studies, the vessels are moving rather than standing still. 74 A space-time network model is developed to formulate the problem, which is solved by 75 a Lagrangian relaxation-based method.

76 In some situation UAVs monitor not nodes, but arcs, such as road segments, power transmission lines, and territorial borders. Chow (2016) and Li et al. (2018b) have 77 78 studied the routing of a fleet of UAVs to monitor vehicle traffic on a set of road 79 segments (arcs) over multiple periods. The problem is formulated as a mixed-integer 80 linear program and solved by approximate dynamic programming in Chow (2016) and 81 a local branching algorithm in Li et al. (2018b). Campbell et al. (2018) pointed out that 82 an arc can be monitored by more than one UAV because UAVs can travel directly 83 between any two points.

Some studies have examined the routing of UAVs to monitor an area. Yang et al. (2018) studied the design of a UAV route to monitor a target area with the aim of minimizing the total flying distance. They divided the area into discrete squares, whose side length is small enough to ensure a UAV can monitor a whole square when it flies 88 along its center line. A modified ant colony optimization algorithm is developed to design the UAV route that passes all the discrete squares. Wang et al. (2018) examined 89 90 the routing of UAVs to monitor disjoint areas over an extended time horizon, in which 91 each area is divided into a number of cells and must be revisited within a time period. 92 The problem is solved by a multiobjective evolutionary algorithm.

93 UAV monitoring planning is also related to the locations of airbases. Vural et al. 94 (2019) considered the problem of determining the locations of airbases of UAVs that 95 are used for surveillance. The functioning of the airbases depends on the weather 96 conditions, which are random by nature. They developed a two-stage stochastic integer 97 linear program to determine the locations of airbases considering uncertainty.

98 Given that UAVs have very limited flying time and distance, vehicles are used to 99 transport and launch UAVs, improving the overall efficiency. Carlsson and Song (2018) 100 examined the coordination between a truck and a UAV. Hu et al. (2019) proposed a 101 vehicle-assisted multiple-drone routing problem and designed a heuristic solution approach.

102

103 In the above studies, the flying speed of the UAVs is assumed known and constant.

We complement these studies by focusing on optimizing the speed of a UAV. 104

105

#### 106 **Objectives and contributions** 1.2

107 The objective of this research is to propose a model for planning the speed of a UAV to ensure effective monitoring. We consider a UAV that flies along a fixed path 108

and optimize the flying speed of the UAV. The flying speed of the UAV is optimized to ensure that the UAV spends the most time monitoring important segments on the path, subject to constraints that the UAV completes the path without depleting its battery. The contribution of the paper is that we propose an infinite-dimensional optimization model for the problem and transform the model into an elegant linear programming formulation based on characteristics of the problem. The effectiveness of the model is evaluated by numerical experiments.

The remainder of the paper is organized as follows: Section 2 describes the problem and formulates an infinite-dimensional optimization model. Section 3 proposes a tailored solution method. Section 4 reports the results of a case study. Conclusions are presented in Section 5.

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## 121 2 PROBLEM DESCRIPTION AND OPTIMIZATION MODEL

122 A UAV flies along a fixed path to monitor an area of interest. We use Figure 1 to 123 illustrate an area of a construction site and use Figure 2 to illustrate the fixed path. The 124 length of the path is L (m), where the starting and ending points are both the depot of 125 the UAV.

126





138  $V^{\max}$  (m/s). The battery of the UAV has an energy capacity of Q (kWh) and the energy 139 consumption per meter (kWh/m) when the UAV flies at the speed v (m/s) is denoted 140 by F(v),  $V^{\min} \le v \le V^{\max}$ . Table 1 shows the flying duration and flying distance of a 141 type of UAV named "DJI P4 PRO" at different speeds. It can be seen that F(v) is 142 smaller when v is larger.

143

Table 1 Information on the UAV	DJI P4 PRO (Steiner, 20	017)
Flying speed (km/h)	Flying duration (min)	Flying distance (km)
5	28	2.3
10	27.5	4.6
15	27	6.8
20	25.5	8.5
25	24	10.0
30	23	11.5
35	22	12.8
40	20	13.3

145

146

147	We denote by $y$ the location on the path that is $y$ (m) away from the origin of the
148	path. Therefore, the UAV flies from the location $y = 0$ to the location $y = L$ . The UAV
149	can monitor an area with the radius of $r$ (m). That is, when the UAV is at location $y$ ,
150	$0 \le y \le L$ , it can monitor the area from location $y - r$ to location $y + r$ . Note that in
151	reality $r \ll L$ and hence we do not need to worry about cases when $y - r < 0$ or $y + $
152	r > L.
152	A location wig monitored when the UAV flice from location we to location we

153 A location y is monitored when the UAV flies from location y - r to location y +154 r. Some locations require long duration of surveillance, for example, locations where 155 workers are conducting dangerous tasks in a construction site, and some locations

require minimum surveillance, for example, site offices. Therefore, we define g(y) as 156 157 the minimum percentage of time in the T seconds during which location y must be monitored,  $0 \le y \le L$ . g(y) is specified by site managers and the value of g(y) at 158 location y is determined by the flying speed of the UAV from y - r to y + r. 159 Denote by function v(y) (m/s) the speed function of the UAV that is to be 160 161 determined. Represent by h(y) the percentage of time location y is monitored; h(y) = $\frac{1}{T}\int_{y-r}^{y+r}\frac{1}{v(x)}dx$ ,  $0 \le y \le L$ . It is required that  $h(y) \ge g(y)$ . We maximize 162  $\int_0^L g(x)(h(x) - g(x))dx$ . In plain words, we maximize the extra surveillance effect 163 beyond the minimum requirement, that is, h(x) - g(x), weighted by the importance of 164 the locations, that is, g(x),  $0 \le x \le L$ . 165

166 The UAV monitoring planning problem with decision functions 
$$v(y)$$
 and  $h(y)$   
167 can be formulated as follows:

168 [P1] 
$$\max \int_0^L g(x)(h(x) - g(x))dx$$
 (1)

169 subject to

170 
$$h(y) = \frac{1}{T} \int_{y-r}^{y+r} \frac{1}{v(x)} dx, 0 \le y \le L$$
(2)

171 
$$h(y) \ge g(y), 0 \le y \le L$$
 (3)

$$172 \qquad \int_0^L \frac{1}{v(x)} dx \le T \tag{4}$$

173 
$$\int_0^L F(v(x))dx \le Q \tag{5}$$

174 
$$V^{\min} \le v(y) \le V^{\max}, 0 \le y \le L.$$
 (6)

The objective function (1) maximizes the extra monitoring effect beyond the minimum requirement weighted by the importance of the locations. Constraint (2) 177 calculates the percentage of time each location is monitored. Constraint (3) enforces the 178 minimum percentage of monitoring time for each location. Constraint (4) requires the 179 UAV to complete the path in time T. Constraint (5) mandates that the energy 180 consumption for the UAV to complete the path is at most Q. Constraint (6) specifies 181 the lower and upper bounds of the flying speeds on the path.

182

183 **3 SOLUTION METHOD** 

Model [P1] is challenging to solve because its decisions are not scalars or vectors but functions. In other words, model [P1] is an infinite-dimensional optimization problem. Moreover, there are integration operations in the objective function (1) and constraints (2), (4), and (5), which all add to the complexity of the problem. To address the challenges, we examine the properties of the problem and develop a tailored solution method based on these properties.

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## 191 **3.1 Reformulation**

192 First, the speed decision v(y) appears in the denominator in constraints (2) and (4),

posing difficulty for the problem. We therefore define  $t(y) \coloneqq \frac{1}{v(y)}$  as the new decision function in place of v(y), meaning the flying time (s) per meter at location  $y, 0 \le y \le$ 

195 L. We further define  $f(t(y)) \coloneqq F(1/t(y))$  as the energy consumption per meter

196 (kWh/m) of the UAV when flying at the speed 1/t(y). Then, constraints (2), (4), (5),

197 and (6) are replaced by the following ones, respectively:

198 
$$h(y) = \frac{1}{T} \int_{y-r}^{y+r} t(x) dx, 0 \le y \le L$$
(7)

$$199 \qquad \int_0^L t(x)dx \le T \tag{8}$$

$$200 \qquad \int_0^L f(t(x))dx \le Q \tag{9}$$

201 
$$\frac{1}{v^{\max}} \le t(y) \le \frac{1}{v^{\min}}, 0 \le y \le L.$$
 (10)

202 Second, since  $r \ll L$  and a UAV cannot suddenly dramatically change its speed, 203 t(x) will not change much over  $y - r \le x \le y + r$ . Therefore, constraint (7) can be 204 approximated by

205 
$$h(y) \approx \frac{1}{T} \int_{y-r}^{y+r} t(y) dx = \frac{2r}{T} t(y), 0 \le y \le L.$$
(11)

206 Embedding Eq. (11) into constraint (3), we have

207 
$$t(y) \ge \frac{T}{2r}g(y), 0 \le y \le L.$$
 (12)

## 208 Combining constraints (10) and (12), we have

209 
$$\max\{\frac{1}{v^{\max}}, \frac{T}{2r}g(y)\} \le t(y) \le \frac{1}{v^{\min}}, 0 \le y \le L.$$
 (13)

210 We embed Eq. (11) into the objective function (1) and obtain a new objective function

211 with decision function t(y):

212 [P2] 
$$\max \int_0^L g(x) [\frac{2r}{T} t(x) - g(x)] dx$$
 (14)

- 213 subject to constraints (8), (9), and (13).
- 214 Model [P2] looks nicer than model [P1] (He, 2016; Tan et al., 2019); however, [P2]
- 215 is still an infinite-dimensional optimization problem.

## 216 **3.2 Discretization**

In reality, the function g(y) should be a piecewise constant function. For instance, when the UAV flies from the origin to Building II in Figure 2, the function g(y) should be the same constant value; when the UAV flies within the area of Building II, the function g(y) should be another constant value (we can, of course, divide Building II into different parts and allow g(y) to have different values for different parts of Building II). Therefore, we rewrite g(y) as the following form:

223 
$$g(y) = g_k, l_{k-1} \le y \le l_k, k = 1, ..., K$$
 (15)

where *K* is the number of segments that the path is divided into,  $l_k$  is a given parameter,  $k = 0, 1, ..., K, l_0 = 0$  and  $l_K = L$ . For example, the path in Figure 2 is divided into K = 0







231 Once the path is divided into K segments, a natural question is: is the optimal speed 232 (equivalently, the optimal t(y)) on each segment a constant value or not? To answer 233 this question, we examine the flying data DJI P4 PRO shown in Table 1. Because we 234 are concerned with the relation between t(y) (the time required to fly for 1 m) and f(t(y)) (the amount of energy used to fly for 1 m at the speed 1/t(y)), we plot the 235 236 relation in Figure 4 based on the data in Table 1. Note that in Figure 4, the vertical axis is the f(t(y))/Q, that is, the proportion of the total energy capacity of the battery used 237 238 to fly for 1 m at the speed 1/t(y). In Figure 4, when t(y) = 0.12, that is, the speed is 239 8.33 m/s, or equivalently, 30 km/h, f(t(y))/Q = 0.00073. Figure 4 evidently shows 240 that

- 241 **Property 1**: f(t(y)) is a convex function of t(y).
- 242 Based on Property 1, we immediately have
- **Theorem 1**: The optimal t(y), denoted by  $t^*(y)$ , is a piecewise constant function and
- can be represented by

245 
$$t^*(y) = t_k^*, l_{k-1} \le y \le l_k, k = 1, \dots, K.$$
 (16)





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246

Based on Theorem 1, model [P2] is equivalent to the following discretized model

250 with decision variables  $t_k$ , k = 1, ..., K:

251 [P3] max 
$$\sum_{k=1}^{K} g_k (l_k - l_{k-1}) (\frac{2r}{T} t_k - g_k)$$
 (17)

252 subject to

253 
$$\sum_{k=1}^{K} (l_k - l_{k-1}) t_k \le T$$
(18)

254 
$$\sum_{k=1}^{K} (l_k - l_{k-1}) f(t_k) \le Q$$
 (19)

255 
$$\max\{\frac{1}{V^{\max}}, \frac{T}{2r}g_k\} \le t_k \le \frac{1}{V^{\min}}, k = 1, \dots, K.$$
 (20)

Model [P3] is no longer an infinite-dimensional optimization problem. It has only Kdecision variables. A challenge of solving model [P3] is that constraint (19) is nonlinear as the function f(t(y)) is generally nonlinear.

259

# 260 **3.3** Linearization

As mentioned in Property 1, f(t(y)) is a convex function of t(y). The functional form for f(t(y)) cannot be derived analytically but has to be estimated numerically. We use a piecewise linear function to estimate f(t(y)) by connecting all the available data, as shown in Figure 4. Mathematically, denote by  $(t^{\theta}, f^{\theta})$  the set of data available,  $\theta = 1, ..., \theta$ . We then estimate f(t(y)) as

266 
$$f(t(y)) = \max_{\theta=1,...,\theta-1} \left[ \frac{f^{\theta+1} - f^{\theta}}{t^{\theta+1} - t^{\theta}} (t(y) - t^{\theta}) + f^{\theta} \right].$$
(21)

Since f(t(y)) is estimated as a piecewise linear convex function, we can linearize constraint (19) by introducing decision variables  $u_k$ , k = 1, ..., K, and replace the nonlinear constraint (19) by the following three groups of linear constraints:

270 
$$\sum_{k=1}^{K} (l_k - l_{k-1}) u_k \le Q$$
 (22)

271 
$$u_k \ge \frac{f^{\theta+1} - f^{\theta}}{t^{\theta+1} - t^{\theta}} (t_k - t^{\theta}) + f^{\theta}, \theta = 1, \dots, \Theta - 1, k = 1, \dots, K$$
(23)

272 
$$u_k \ge 0, k = 1, \dots, K.$$
 (24)

where  $u_k$  is the energy consumption per meter (kWh/m) when the UAV flies on segment k = 1, ..., K.

We thus have a linear programming model [P4] with objective function (17) and constraints (18), (20), (22), (23), and (24). Model [P4] can be solved by off-the-shelf solvers (Yan et al., 2011; He et al., 2020).

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## 279 4 COMPUTATIONAL EXPERIMENTS

We carry out a case study to demonstrate the applicability of the proposed model and algorithm. The layout of the construction site is shown in Figure 1, the path of the UAV is shown in Figure 2, and the path is divided into 11 segments, as shown in Figure 3. The lengths of the 11 segments are shown in Table 2. Segments 2, 4, 6, 8, and 10 correspond to Building II, rebar bending yard, material storage area, carpentry workshop, and Building I, respectively. Therefore, these five segments require

- surveillance by UAV and their minimum percentage of time to be monitored  $g_k$  is also
- shown in Table 2.

Note	$g_k$	Length	Segment
	0.00	100	1
Building II	0.01	520	2
_	0.00	30	3
Rebar bending	0.05	20	4
_	0.00	10	5
Storage	0.01	10	6
	0.00	40	7
Carpentry	0.04	20	8
	0.00	20	9
Building I	0.01	325	10
	0.00	140	11



The UAV is a DJI P4 PRO whose flying parameters are shown in Table 1 and Figure 4. The other parameters of the UAV are r = 10,  $V^{\min} = 1$ , and  $V^{\max} = 40$ . The UAV needs to complete the path in T = 180 seconds. The linear programming model [P4] is solved using CPLEX 12.6.3 on a PC equipped with 3.60GHz of Intel Core i7 CPU and 16GB of RAM.

The case is solved to optimality in 0.01s. The optimal objective value is 0.6475. In the optimal solution, the total flying time (i.e., the left-hand side of constraint (18)) is exactly 180s.

- 300 3 that the solution has a clear structure. Since segment 4 has the largest value of  $g_k$  (i.e.,
- 301 segment 4 is the most important), the flying speed on it is the lowest (1 km/h). Then,

<sup>290</sup> 

<sup>299</sup> The optimal solutions of  $t_k$  and  $u_k$  are shown in Table 3. We can see from Table

- 302 segment 8 is the second most important and the UAV also flies at a low speed on it.
- 303 The UAV flies at the highest speed on the other segments.
- 304

305	Table 3	Optimal	solution	

	Segment	Optimal $t_k$ (s)	Optimal flying speed (km/h)	Optimal $u_k$
_	1	0.09	40	0.0001
	2	0.09	40	0.0001
	3	0.09	40	0.0001
	4	3.26	1	0.0019
	5	0.09	40	0.0001
	6	0.09	40	0.0001
	7	0.09	40	0.0001
	8	0.36	10	0.0002
	9	0.09	40	0.0001
	10	0.09	40	0.0001
	11	0.09	40	0.0001

<sup>306</sup> 

308 We further plot the flying-time-flying-distance curve in Figure 5. It can be seen 309 that the UAV spends long time on segment 4. The slopes of the curve, which correspond

to the flying speeds, are equal except those on segment 4 and segment 8.





Fig. 5 Relation between cumulative flying time and cumulative flying distance

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<sup>307</sup> 

The flying-time-energy-consumption curve is plotted in Figure 6. It can be seen that the slopes of the curve, which correspond to the power consumption rates (i.e., energy consumption per unit time), are equal except those on segment 4 and segment 8. Note that although the power consumptions per meter on segment 4 and segment 8 are higher than those on the other segments because of the lower speeds on segment 4 and segment 8 are lower than those on the other segments, as shown in Figure 6.

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**Fig. 6** Relation between cumulative flying time and cumulative energy consumption

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#### 328 **5** CONCLUSIONS

This study has proposed a UAV monitoring planning problem in which a UAV flies on a fixed path. The flying speed of the UAV is optimized to ensure that the UAV spends the most time monitoring important segments of the path while ensuring that the UAV completes the path within a certain time and without depleting its battery. We propose an infinite-dimensional optimization model for the problem and transform the model into an elegant linear programming formulation based on characteristics of the
problem. A case study is carried out to demonstrate the applicability of the proposed
UAV scheduling model. In general, the UAV flies at low speeds on important segments
of the path and at its highest speeds on less-important segments.

338

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