

1 **FSDAF 2.0: Improving the performance of retrieving land cover** 2 **changes and preserving spatial details**

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8 **Abstract**

9 Spatiotemporal fusion is a feasible solution to resolve the tradeoff between the temporal
10 and spatial resolutions of remote sensing images. However, the development of
11 spatiotemporal fusion algorithms has not yet reached maturity, and existing methods still face
12 many challenges, e.g., accurately retrieving land cover changes and improving the robustness
13 of fusion algorithms. The Flexible Spatiotemporal DATA Fusion (FSDAF) method proposed
14 by Zhu et al. in 2016 solved the abovementioned problems to some extent. However, FSDAF
15 has two shortcomings that can be further improved: (1) FSDAF is prone to losing spatial
16 details and predicting a “blurrier” image due to the input of coarse pixels containing type
17 change information and a large amount of boundary information for unmixing calculation,
18 and (2) FSDAF does not optimize the areas of land cover change. In this paper, an improved
19 FSDAF method incorporating change detection technology and an optimized model for
20 changed-type areas (FSDAF 2.0) was proposed to improve the aforementioned problems.

21 Based on the existing FSDAF algorithm, FSDAF 2.0 excludes changed pixels and boundary
22 pixels for unmixing calculation, and establishes a model to optimize the changed pixels. Its
23 performance was compared with that of the Spatial and Temporal Adaptive Reflectance
24 Fusion Model (STARFM), the original FSDAF, and the enhanced FSDAF that incorporates
25 sub-pixel class fraction change information (SFSDAF). Two sites consisting of landscapes
26 with heterogeneous and large-scale abrupt land cover changes were employed for testing. The
27 results of the experiments demonstrate that FSDAF 2.0 effectively improves the shortcomings
28 of FSDAF, blends synthetic fine-resolution images with higher accuracy than that of the other
29 three methods at two different sites, and strengthens the robustness of the fusion algorithm.
30 More importantly, FSDAF 2.0 has a powerful ability to retrieve land cover changes and
31 provides a feasible way to improve the performance of retrieving land cover changes.
32 Consequently, FSDAF 2.0 has great potential for monitoring complex dynamic changes in the
33 Earth's surface.

34 **Keywords**

35 Spatiotemporal fusion; FSDAF; Change detection; Landsat; MODIS

36 **1. Introduction**

37 With the rapid development of remote sensing technology in the past decade, remote
38 sensing has played an increasingly important role in monitoring urbanization (Taubenböck et
39 al., 2012), ecological system dynamic changes (Shen et al., 2011; Zhu et al., 2019), natural
40 disasters (Rudorff et al., 2018; Zhang et al., 2014), crop yield estimation (Battude et al., 2016)
41 and other applications. Acquiring satellite images with high spatial and temporal resolution

42 means that high-precision monitoring of Earth systems by dense time-series can be achieved,
43 which greatly improves the value of remote sensing images in applications. However, limited
44 by relevant budget and satellite sensor technology, the spatial resolution of available satellite
45 images can only be improved at the expense of other performance (Zhang et al., 2015), for
46 instance, sacrifices of temporal and spectral resolution. Accordingly, existing remote sensing
47 satellites have difficulty obtaining images with both high temporal resolution and high spatial
48 resolution, which means that available satellite images cannot satisfy the needs for studying
49 high-frequency changes on the Earth's surface, especially in heterogeneous landscapes and in
50 areas of frequent change (Zhu et al., 2018). The lack of high spatiotemporal resolution images
51 greatly limits the application scenarios of remote sensing.

52 Moreover, a growing problem currently exists in the use of remote sensing data: the
53 remote sensing community has accumulated a large amount of historical data since the first
54 remote sensing satellite launched. However, due to the influence of thick cloud contamination
55 and other factors (e.g., SLC-off problem in Landsat 7 ETM+), limited remote sensing data can
56 be employed directly, which further increases the difficulty of obtaining dense time series
57 with high spatial resolution data.

58 To solve the abovementioned problems, launching more satellites or improving the
59 performance of sensors in a short period of time is impractical, while the spatiotemporal
60 fusion of multisource images from multiple satellites to obtain high spatial resolution and
61 dense time-series data is a feasible solution. Compared with traditional pansharpener fusion,
62 spatiotemporal fusion is a relatively new concept (Zhu et al., 2018) and can obtain high

63 spatial and temporal resolution images by blending high-frequency but low-spatial-resolution
64 images with high-spatial-resolution but low-frequency images. For convenience, images with
65 low-spatial-resolution but high-frequency are referred to as “coarse-resolution images”, and
66 the pixels in these images are referred to as “coarse pixels”. Correspondingly, the images with
67 high-spatial-resolution but low-frequency are called “fine-resolution images”, and their pixels
68 are referred to as “fine pixels”.

69 Due to a large number of satellite images being freely available to the public (e.g.,
70 Landsat, MODIS, and Sentinel) and the large demand for Earth monitoring with high spatial
71 resolution and dense time series, in the last two decades, there has been increasing interest in
72 spatiotemporal fusion. Recently, existing spatiotemporal fusion methods have been classified
73 into five groups based on the specific principle: weight function-based, unmixing-based,
74 Bayesian-based, learning-based, and hybrid methods. A comprehensive review of
75 spatiotemporal fusion methods in these five groups can be found in the literature (Zhu et al.,
76 2018).

77 The Spatial and Temporal Adaptive Reflectance Fusion Model (STARFM) is the weight
78 function-based method developed first (Gao et al., 2006). Most other weight function-based
79 methods are developed by the principle of STARFM and improve the corresponding defects;
80 for example, the Spatial Temporal Adaptive Algorithm for mapping Reflectance Change
81 (STAARCH) (Hilker et al., 2009) and the Enhanced Spatial and Temporal Adaptive
82 Reflectance Fusion Model (ESTARFM) (Zhu et al., 2010) were developed to improve the
83 performance in disturbed landscapes and heterogeneous landscapes, respectively.

84 ATPPK-STARFM increases the performance in abrupt changes and heterogeneous landscapes
85 (Wang et al., 2017), and the spatiotemporal fusion method by using a linear injection model
86 and local neighborhood information retrieves land cover changes effectively (Sun et al., 2018).
87 Among the unmixing-based methods, the Multisensor Multiresolution Technique (MMT) is
88 the original method (Zhukov et al., 1999). Other unmixing-based methods subsequently
89 developed, e.g., the Unmixing-Based Data Fusion (UBDF) (Zurita-milla et al., 2008), the
90 Spatial Temporal Data Fusion Approach (STDFA) (Wu et al., 2012), the Landsat-MERIS
91 fusion method (Amorós-lópez et al., 2013), and the Enhanced Spatial and Temporal Data
92 Fusion Model (ESTDFM) (Zhang et al., 2013) can be considered improved methods of MMT.
93 Bayesian-based methods consider spatiotemporal fusion to be a maximum a posterior (MAP)
94 problem, and several Bayesian-based methods have been proposed and have acquired high
95 accuracy; for example, the Bayesian Maximum Entropy method (BME) blends synthetic sea
96 surface temperature data effectively (Li et al., 2013). The NDVI-BSFM method performs
97 strength and robustness in providing NDVI datasets (Liao et al., 2016). Learning-based
98 methods have grown considerably in recent years (Tan et al., 2018). The
99 Sparse-representation-based Spatiotemporal reflectance Fusion Model (SPSTFM) is perhaps
100 the first dictionary-pair learning method in the spatiotemporal fusion field (Huang and Song,
101 2012). Moosavi et al. (2015) proposed the Wavelet-Artificial Intelligence Fusion Approach
102 (WAIFA) to blend land surface temperature data. Sun and Zhang (2019) proposed a two-stage
103 spatiotemporal fusion method to blend the Landsat and MODIS reflectance data. The hybrid
104 methods combine several technologies from the above categories of methods. One of the

105 typical hybrid methods is Flexible Spatiotemporal DATA Fusion (FSDAF) proposed by Zhu et
106 al. (2016). FSDAF integrates the unmixing method, weight function, and thin plate spline
107 (TPS) interpolation method into one framework. As a result, FSDAF requires minimum input
108 data and has satisfactory performance in most cases.

109 Consequently, spatiotemporal fusion technology has developed rapidly, but as a relatively
110 new research topic in the remote sensing field, existing fusion methods based on different
111 principles have their own strengths and weaknesses. The development of spatiotemporal
112 fusion algorithms has not yet reached maturity, and existing solutions still face many
113 challenges, such as the following typical problems.

114 (1) Difficulty in retrieving land cover changes

115 Retrieving land cover changes is a difficult problem for spatiotemporal fusion (Zhu et al.,
116 2018). Unlike phenological changes, land cover type changes are usually caused by natural
117 disasters or human activities, such as urbanization, deforestation, wildfires, floods and other
118 land cover transitions. However, most of the existing spatiotemporal fusion algorithms are
119 based on the assumption that the land cover type does not change during the fusion period.
120 Accordingly, most algorithms, for example, the STARFM, ESTARFM, and MMT, fail to
121 handle land cover changes. In particular, the overall accuracy and reliability of the fusion
122 results are greatly affected by large-scale land cover changes. Among the existing
123 spatiotemporal fusion methods, some learning-based methods, e.g., the SPSTFM,
124 error-bound-regularized sparse coding (EBSPTM) (Wu et al., 2015) and WAIFA, can capture
125 change information to some extent because of their specific principles. However, low

126 computing efficiency and time-consuming problem in learning step is a key factor that limits
127 the development and application of learning-based methods (Zhu et al., 2018). Moreover, the
128 accuracy of learning-based methods decreases when spatial heterogeneity is high and spectral
129 scale differences between coarse- and fine-resolution images are large (Zhu et al., 2016).

130 (2) Low robustness in different types of landscapes

131 Currently, existing spatiotemporal fusion algorithms have different advantages and
132 limitations in different landscapes due to their various principles. For example, faced with a
133 site with a heterogeneous landscape, a site with a homogeneous landscape, and a site with
134 large-scale abrupt land cover changes, the blending results of using various spatiotemporal
135 fusion algorithms are quite different; for example, STARFM has promising accuracy in
136 homogeneous landscapes, but it is ineffective in the face of heterogeneous landscapes (Zhu et
137 al., 2010). ESTARFM can produce a synthetic image more accurately in heterogeneous
138 landscapes, but is even worse than STARFM for predicting abrupt changes in land cover types
139 (Emelyanova et al., 2013). STAARCH has high accuracy in disturbed forest areas, but
140 STAARCH cannot detect nonforest disturbance events and is sensitive to surface
141 heterogeneity (Hilker et al., 2009). Fit-FC method can more effectively capture considerable
142 phenological changes than STARFM (Wang and Atkinson, 2018), but it performs worse than
143 FSDAF and STARFM in heterogeneous landscapes (Maolin Liu et al., 2019). Consequently,
144 the robustness and reliability of the algorithm still need to be improved. The ability to
145 guarantee accuracy and reliability in the prediction of images in all cases has become a
146 challenge.

147 (3) High demand of input data

148 Many existing spatiotemporal fusion methods, including ESTARFM, STAARCH,
149 STDFA, SPSTFM, etc., need more than one prior coarse- and fine-resolution image pair as
150 input data. For real-time processing, some fusion methods like ESTARFM and STAARCH
151 cannot be used because they need the image after the prediction time. For historical case, due
152 to the influence of thick cloud contamination and other factors, it is difficult to acquire one
153 more high-quality coarse- and fine-resolution image pair that has acceptable temporal distance
154 or does not experience large-scale land cover changes between the image pairs, only one pair
155 of prior images may be available in most cases (Zhu et al., 2016). In addition, finding another
156 pair of prior images is time consuming (Song and Huang, 2013). Therefore, for the future
157 proposed spatiotemporal fusion algorithm, guaranteeing the accuracy on the premise that only
158 one pair of prior images is needed is a challenge.

159 The FSDAF proposed by Zhu et al. (2016) has solved the abovementioned problems to
160 some extent. FSDAF is based on the spectral linear unmixing theory and thin-plate spline
161 (TPS) interpolation method, combining the traditional unmixing-based method and weight
162 function-based method. Compared with other blending methods, FSDAF requires minimum
163 input data: one pair of coarse- and fine-resolution images acquired at T_1 and one
164 coarse-resolution image at T_2 . In addition, the FSDAF algorithm can capture more
165 information of coarse-resolution image at T_2 by using TPS interpolation and obtain higher
166 fusion accuracy in various landscapes, especially in heterogeneous landscapes. Moreover,
167 FSDAF has the ability to predict both gradual change and land cover type change.

168 Accordingly, FSDAF is considered to be a potential fusion method that can efficiently handle
169 land cover change, as long as the change is detectable in coarse-resolution images (Zhu et al.,
170 2016).

171 Although FSDAF performs excellently in spatiotemporal fusion, it has two problems that
172 can be improved: (1) in the unmixing process of FSDAF, coarse pixels with change values
173 within the range of the 0.1–0.9 quantiles (or a narrower range, e.g., 0.2-0.8) are selected to
174 participate in the unmixing calculation to filter out the changed pixels. However, this strategy
175 is empirical and not strict. In addition, the coarse pixels containing a large amount of
176 boundary information are not excluded. These pixels would introduce the wrong spectral
177 information into the unmixing calculation once selected. As a result, FSDAF is prone to
178 reducing the contrast between different objects, losing spatial details and predicting a “blurrier”
179 image. This problem is particularly acute in the case of the large-scale type change occurring
180 during the fusion period. (2) FSDAF can capture part of the change information from the
181 coarse-resolution image at T_2 by using the TPS interpolation method (Zhu et al., 2018);
182 however, the FSDAF algorithm does not judge whether the land cover type has changed in a
183 fine-resolution image. Therefore, FSDAF does not have the capacity to determine the crisp
184 boundary of land cover type change and accurately estimate the values of the changed pixels.
185 The accuracy and reliability of the blending result of FSDAF would be affected if the fusion
186 processes do not include a land cover change detection module. Consequently, FSDAF needs
187 further improvement and optimization.

188 To address the above problems, an improved FSDAF method incorporating change

189 detection technology and an optimized model for changed-type areas (FSDAF 2.0) is
190 proposed in this paper. Its goal is to overcome the disadvantages of FSDAF and solve the
191 three typical problems mentioned above. Specifically, FSDAF 2.0 employs change detection
192 technology to find the changed-type pixels by detecting two coarse-resolution images of
193 different phases and excludes the coarse pixels containing changed-type areas and a large
194 amount of boundary information in the unmixing process. Furthermore, FSDAF 2.0
195 establishes an optimized model that performs targeted optimization on the prediction values
196 of changed-type pixels. To validate the effectiveness of the proposed method, we compared
197 the performance of FSDAF 2.0 with the STARFM, the original FSDAF, and the enhanced
198 FSDAF that incorporates sub-pixel class fraction change information (SFSDAF) (Li et al.,
199 2020) at two different sites, including a site with a heterogeneous landscape, and a site with
200 large-scale abrupt land cover change.

201 **2. Methodology**

202 FSDAF 2.0 only requires one pair of coarse- and fine-resolution images acquired at T_1
203 and one coarse-resolution image at T_2 . The flowchart of FSDAF 2.0 is shown in Fig. 1. The
204 main idea of FSDAF 2.0 is employing a change detection algorithm to find changed-type
205 areas and perform targeted optimization. These additional steps of FSDAF 2.0 are within
206 yellow boxes in Fig. 1. Other steps remain the same as those of the original FSDAF.

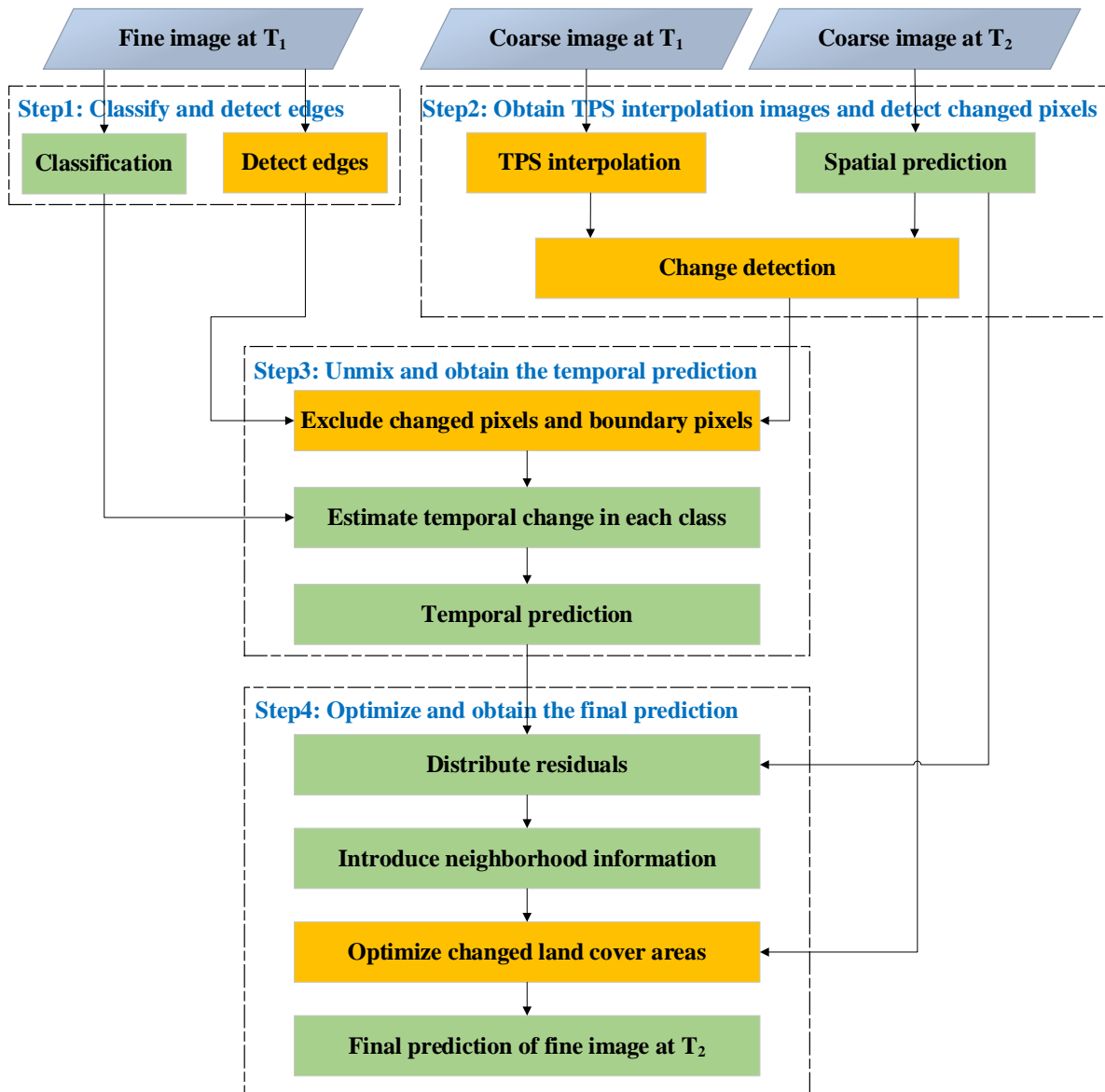


Fig. 1. Flowchart of FSDAF 2.0

207 FSDAF 2.0 includes four main steps: (1) classify and detect edges; (2) obtain thin plate
 208 spline (TPS) interpolation images and detect changed pixels; (3) unmix and obtain the
 209 temporal prediction; and (4) optimize and obtain the final prediction. The specific steps and
 210 theories of FSDAF 2.0 are as follows:

211 2.1. Classify and detect edges

212 This step involves acquiring the classification map and the edge image. The fraction of

213 each class within one coarse pixel, which needs to be used in the subsequent unmixing
214 process, can be obtained from the classification map. In this paper, the unsupervised classifier
215 ISODATA is used to classify the fine-resolution image at T_1 . The edge detection algorithm is
216 employed to extract the features of the object boundary, i.e., obtain the edge image of the
217 fine-resolution image at T_1 . For convenience, the edge of the surface is referred to as the
218 “boundary area”. The fine pixels inside the boundary area are called “boundary pixels”. The
219 boundary pixels can be found by using the threshold method in the edge image. The boundary
220 pixel is mixed with two or more types of features, generally due to being located at the edges
221 of different objects. Accordingly, its spectral features are quite different from the type to
222 which it belongs. Therefore, the prediction accuracy can be affected once coarse pixels
223 containing a large number of boundary pixels are employed to estimate the temporal change
224 of each class, specifically, increasing the error of the unmixing calculation and reducing the
225 contrast between different objects in the blending image. To avoid the above problems,
226 determining the boundary pixels is a key process. FSDAF 2.0 employs the Sobel operator to
227 obtain the edge image. The pixels in the edge image with values within the range of the
228 0.96-1.0 quantiles are defined as boundary pixels.

229 2.2. Obtain TPS interpolation images and detect changed pixels

230 Thin plate spline (TPS) interpolation is a kind of spatial interpolation method based on
231 spatial dependence, and is a tool for interpolating surfaces from scattered datasets. TPS
232 interpolation can produce a “smooth” interpolation image and capture the spatial patterns and

233 land cover type change signals. More information about TPS can be found in the literature
234 (Dubrule, 1984). The interpolation image of the coarse-resolution image at T_2 is defined as
235 “spatial prediction” based on the above features. Different from the original FSDAF that
236 downscales the coarse-resolution image of the prediction phase only, FSDAF 2.0 uses the TPS
237 interpolation method to downscale the coarse-resolution images of two phases, and the
238 interpolation images are used in the following process of change detection.

239 The ability of capturing change information in FSDAF mainly results from the TPS
240 interpolation of coarse-resolution image at T_2 (i.e., spatial prediction). However, FSDAF
241 distributes residuals on the assumption that errors depend mainly on the homogeneity of the
242 surface in step 3. In other words, the original FSDAF does not make targeted optimization to
243 changed-type areas. The lack of this process affects the accuracy and reliability of the
244 blending result when facing a site with land cover type changes. The key to settling this
245 problem is to find the changed-type pixels during the fusion period. Therefore, it is reasonable
246 to employ change detection technology to solve this problem. The selection of the change
247 detection algorithm depends on many factors, e.g., image size, resolution, scale of the type
248 changes, and calculation efficiency. For convenience, the fine pixels that have type changes
249 during the fusion period are referred to as “changed pixels”, while other pixels are called
250 “unchanged pixels”.

251 In this paper, two thresholding algorithms were employed: the thresholding method based
252 on the Gaussian distribution model and OTSU (OTSU, 1979). The thresholding method based
253 on the Gaussian model assumes difference values are in accordance with the Gaussian

254 distribution mathematical model and judges the probability of the change in pixel
 255 classification by the three-sigma rule. Specifically, when the difference value is larger than the
 256 sum of double standard deviations and the average change value or smaller than the difference
 257 between the average change value and double standard deviations, it has a 95.45% probability
 258 of classification change. It is reasonable to consider that these pixels have experienced type
 259 changes. The threshold values Q of the Gaussian model can be calculated as:

$$\begin{aligned}
 Q_{neg} &= \text{mean}(C_d) - 2 \times \text{stddev}(C_d) \text{ if } C_d < 0, \\
 Q_{pos} &= \text{mean}(C_d) + 2 \times \text{stddev}(C_d) \text{ if } C_d \geq 0,
 \end{aligned}
 \tag{1}$$

260 where C_1 and C_2 are the coarse-resolution images at T_1 and T_2 , respectively; $C_d =$
 261 $(C_2 - C_1)$; $\text{mean}(C_d)$ is the average value of C_d ; and $\text{stddev}(C_d)$ is the standard deviation
 262 of C_d . The thresholds are calculated separately for two cases.

263 In most cases, the difference values of remote sensing images in two phases
 264 approximately agree with the Gaussian distribution (Song et al., 2000), but it is false when
 265 large-scale change occurs on the land surface because large-scale change (e.g., floods and
 266 earthquakes) usually changes the boundaries of objects and has irregular spectral variations in
 267 the image. To address this limitation, OTSU was employed as a complementary algorithm.
 268 The OTSU algorithm is considered one of the most successful methods for image
 269 thresholding because of its simple calculation (Lai and Rosin, 2014). In the field of remote
 270 sensing change detection, OTSU is an adaptive thresholding method that is sensitive to
 271 spectral change. The threshold values of OTSU can be calculated as:

$$Q_{neg} = \max(\omega_0 \times (\mu_0 - \mu)^2 + \omega_1 \times (\mu_1 - \mu)^2) \text{ if } C_d < 0,
 \tag{2}$$

$$Q_{pos} = \max(\omega_0 \times (\mu_0 - \mu)^2 + \omega_1 \times (\mu_1 - \mu)^2) \text{ if } C_d \geq 0,$$

272 where ω_0 is the ratio of the unchanged pixels to the number of total pixels, ω_1 is the ratio
273 of the changed pixels to the number of total pixels, μ_0 is the average value of the unchanged
274 pixels, μ_1 is the average value of the changed pixels, and μ is the average value of the total
275 pixels. OTSU employs the traversing method to obtain the threshold values. The thresholds
276 are calculated separately for two cases.

277 Compared with the Gaussian distribution model, OTSU tends to mistakenly judge
278 phenological changes as classification changes, but it is suitable for detecting areas where the
279 land cover type changes on a large scale. Consequently, FSDAF 2.0 chooses the change
280 detection algorithm according to whether the difference values of TPS interpolation result in
281 two phases in accordance with the Gaussian distribution model. There are many methods that
282 can judge whether the difference values agree with the Gaussian distribution model, such as
283 the Shapiro-Wilk test (ROYSTON, 2000), Kolmogorov-Smirnov test (Lilliefors, 2012) and
284 histogram judgment method.

285 In the above threshold calculation, coarse-resolution images are used instead of TPS
286 interpolation images. We found that there is negligible difference between the threshold
287 values obtained by using interpolation images and coarse-resolution images as input. This
288 strategy effectively reduces the calculation amount. After thresholds are obtained, the
289 difference image of two interpolation images is employed to make the change detection
290 binary image. Specifically, the values of pixels in the range of the thresholds are selected as
291 changed pixels.

292 The specific steps of change detection include (1) acquiring a difference image by
293 subtracting two coarse-resolution images; (2) judging whether the difference values are
294 accordance with the Gaussian distribution model; (3) calculating thresholds; and (4)
295 determining the changed pixels in the difference image of interpolation images. In this paper,
296 the thresholds of each band are obtained, which need to be used to limit the results of the
297 unmixing calculation in step 3. In the change detection process, the shortwave infrared band
298 (e.g., SWIR1 or SWIR2 is chosen in Landsat 7 ETM+) is employed to calculate difference
299 values only. The shortwave infrared band is often employed to distinguish rock (Y. Yamaguchi
300 and Naito, 2010), soil water content (Sadeghi et al., 2015) and different types of vegetation
301 (Panigrahy and Panigrahy, 2009), and the image of this band has a high contrast. Moreover,
302 using the shortwave infrared band can effectively detect the changes caused by geological
303 disasters such as floods and landslides.

304 2.3. Unmix and obtain the temporal prediction

305 This step employs linear unmixing technology to estimate the change value between two
306 phases and then calculate the temporal prediction. In the classification process, the class
307 fractions within a coarse pixel $f_c(x_i, y_i)$ are acquired. According to the spectral linear
308 unmixing theory, and assuming no type change occurs during the blending period, the
309 temporal change of a coarse pixel is the weighted sum of the temporal change of all classes
310 within it:

$$\Delta C(x_i, y_i, b) = \sum_{c=1}^n f_c(x_i, y_i) \times \Delta F(c, b), \quad (3)$$

311 where n means the number of classes and $\Delta F(c, b)$ indicates the change value of class c in
 312 band b . Assuming the temporal change in each class is the same, theoretically, we can choose
 313 m ($m > n$) coarse pixels to construct the following matrix Eq. (3), and solve it by using the
 314 least square method.

$$\begin{bmatrix} \Delta C(x_1, y_1, b) \\ \vdots \\ \Delta C(x_i, y_i, b) \\ \vdots \\ \Delta C(x_m, y_m, b) \end{bmatrix} = \begin{bmatrix} f_1(x_1, y_1) & f_2(x_1, y_1) & \dots & f_n(x_1, y_1) \\ \vdots & \vdots & & \vdots \\ f_1(x_i, y_i) & f_2(x_i, y_i) & \dots & f_n(x_i, y_i) \\ \vdots & \vdots & & \vdots \\ f_1(x_m, y_m) & f_2(x_m, y_m) & \dots & f_n(x_m, y_m) \end{bmatrix} \times \begin{bmatrix} \Delta F(1, b) \\ \vdots \\ \Delta F(c, b) \\ \vdots \\ \Delta F(n, b) \end{bmatrix}, \quad (4)$$

$$\text{with s. t. } Q_{neg} \leq \Delta F \leq Q_{pos},$$

315 Considering that the changed pixels involved in the inversion calculation can affect the
 316 accuracy, the original FSDAF excludes the pixels with ΔC outside of the range of the 0.1-0.9
 317 quantiles. This strategy is empirical and has no theoretical basis. Instead, FSDAF 2.0 excludes
 318 the changed pixels according to the result of change detection in step 2 and limits the change
 319 value of class ΔF in the closed interval $[Q_{neg}, Q_{pos}]$. Furthermore, FSDAF 2.0 takes into
 320 account the effect of boundary pixels, and coarse pixels containing more than 10% of
 321 boundary pixels are also excluded. The process of filtering pixels is shown in Fig. 2.

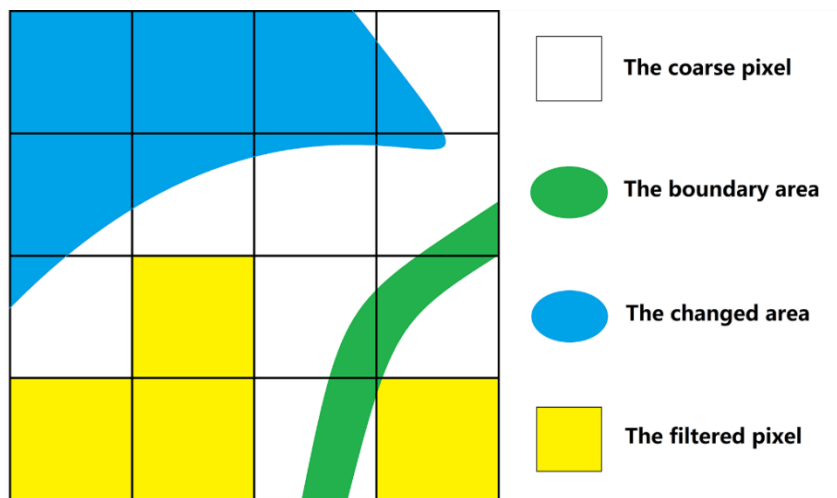


Fig. 2. The process of filtering pixels for unmixing calculation in FSDAF 2.0

322 After the calculation of temporal change is completed, and the change value of each class
323 is assigned to fine pixels in the T_1 phase of the corresponding class, and the temporal
324 prediction can be obtained.

325 2.4.Optimize and obtain the final prediction

326 Similar to FSDAF, FSDAF 2.0 distributes residuals on the assumption that errors depend
327 mainly on the homogeneity of the surface. Consequently, the change value of each class is
328 corrected. However, the above calculation is on a pixel-by-pixel basis; thus, the neighborhood
329 information is employed to reduce the block effect and obtain a more robust prediction F_c .
330 The specific processes of distributing residuals and using neighborhood information to obtain
331 robust prediction can be found in the literature (Zhu et al., 2016).

332 Theoretically, the TPS interpolation result of the coarse-resolution image at T_2 preserves
333 most of the actual information of the fine-resolution image at T_2 in homogeneous areas. In that
334 case, using the TPS interpolation result to replace the changed pixels is a reasonable
335 optimization method. The TPS interpolation result, however, only uses the spatial dependence
336 of the coarse pixels, which means it produces a “smooth” result. Compared with the real
337 fine-resolution image at T_2 , the TPS interpolation result loses many spatial details, and may
338 lead to many spectral and structural errors. In addition, the spectral differences between two
339 sensor platforms should also be considered. Therefore, replacing the changed pixels directly
340 by TPS interpolation results is not rigorous.

341 To address this problem, FSDAF 2.0 proposes the TPS reliability coefficient (TRC) to
 342 describe the reliability degree to which the changed pixels are replaced by the TPS
 343 interpolation result. The similarity, homogeneity and consistency index between two images
 344 in different phases are used to calculate the TPS reliability coefficient.

345 The similarity index (SI) describes the spectral similarity between the TPS interpolation
 346 image and the real fine-resolution image. Specifically, the similarity index not only describes
 347 the difference in pattern between the fine-resolution image and TPS interpolation image but
 348 also reflects the spectral difference of different sensor platforms. Theoretically, more
 349 similarity between the real image and TPS interpolation image leads to more reliable
 350 employment of the TPS interpolation result at T_2 to correct the changed pixels. Logically, the
 351 values of SI in the T_2 phase need be obtained. However, the fine-resolution image at T_2 is
 352 unknown; instead, the images at T_1 are employed to calculate the SI . Before calculating the
 353 similarity index, the difference values of the TPS interpolation image and fine-resolution
 354 image at T_1 need to be obtained, as shown in the following equation:

$$F_d(x_{ij}, y_{ij}, b) = F_1^{TPS}(x_{ij}, y_{ij}, b) - F_1(x_{ij}, y_{ij}, b), \quad (5)$$

355 where (x_{ij}, y_{ij}, b) is the coordinate index of the j th fine pixel within the coarse pixel at
 356 location (x_i, y_i) in band b , $F_1^{TPS}(x_{ij}, y_{ij}, b)$ is the value of the TPS interpolation result at
 357 T_1 , and $F_1(x_{ij}, y_{ij}, b)$ is the value of the fine-resolution image in T_1 phase.

358 To simplify the calculation, we assume that the difference values $F_d(x_{ij}, y_{ij}, b)$ are in
 359 accordance with the Gaussian distribution model and consider that there is no spectral
 360 similarity between the TPS interpolation image and the real image when the difference value

361 is beyond the triple standard deviations of the average difference. In that case, the similarity
362 index is 0. For other changed pixels, the calculation process is as follows:

$$SI(x_{ij}, y_{ij}, b) = 1 - |F_d(x_{ij}, y_{ij}, b) - \text{mean}(F_d)| / (3 \times \text{stddev}(F_d)), \quad (6)$$

363 where $\text{mean}(F_d)$ is the average difference value and $\text{stddev}(F_d)$ is the standard deviation
364 of the difference value. The SI ranges from 0 to 1, and larger values indicate more spectral
365 similarity between the TPS interpolation image and the real image.

366 The homogeneity index reflects the complexity of the land surface. Logically, the higher
367 the homogeneity of the image is, the less spatial details the surface has; thus, less information
368 can be lost by TPS interpolation. In that case, it is more suitable to use the TPS interpolation
369 result to modify the value of the changed pixel. FSDAF 2.0 uses a modified version of the
370 homogeneity index in the original FSDAF to describe the homogeneity of the fine-resolution
371 image in the T_1 phase:

$$MHI(x_{ij}, y_{ij}) = \sin \left[\left(\frac{1}{k} \sum_{p=1}^k I_p \right) \times \pi / 2 \right], \quad (7)$$

372 where $I_p = 1$ means the p th fine pixel within a moving window with the same land cover
373 type as the central pixel (x_{ij}, y_{ij}) ; otherwise, $I_p = 0$. k is the number of fine pixels within
374 one coarse pixel; MHI ranges from 0 to 1, and larger values indicate a more homogenous
375 landscape (Zhu et al., 2016); \sin is the sine function; and π is PI. Using the above
376 empirical formula to determine the weight parameter may not be the most accurate solution.
377 However, this strategy can simplify the calculation and achieve satisfactory results,
378 reasonably balancing the calculation efficiency and fusion performance.

379 Because the similarity index and homogeneity index at the prediction phase cannot be

380 calculated without the fine-resolution image of the T_2 phase, instead, the similarity index and
381 homogeneity index mentioned above are the values of the T_1 phase. These indexes of the two
382 phases are different mainly because of the land cover changes. The reliability of the blending
383 result cannot be guaranteed by using the calculated value of the T_1 phase to correct the values
384 of the changed pixels. To solve this problem, the consistency index (CI) of the two
385 coarse-resolution images is proposed to reflect the consistency of the spatial information and
386 structural relations in different phases. A larger consistency index indicates a smaller change
387 in the internal spatial relation between two phases; furthermore, a larger index means that the
388 similarity index and homogeneity index of the two phases are closer. The calculation formula
389 of CI is as follows:

$$CI(b) = 1 - |stddev(C_2) - stddev(C_1)| / (stddev(C_2) + stddev(C_1)), \quad (8)$$

390 where $stddev(C_1)$ is the standard deviation of the coarse-resolution image value at T_1 and
391 $stddev(C_2)$ is the standard deviation of the values of the coarse-resolution image at T_2 . The
392 standard deviation can describe the internal relationship characteristics of the image data.
393 Theoretically, CI can reflect the changes in the internal characteristics of the two phases.

394 The TPS reliability coefficient TRC is the product of the similarity index, homogeneity
395 index and consistency index, as follows:

$$TRC(x_{ij}, y_{ij}, b) = SI(x_{ij}, y_{ij}, b) \times MHI(x_{ij}, y_{ij}, b) \times CI(b). \quad (9)$$

396 The list optimization model for changed pixels is as follows:

$$F_{op}(x_{ij}, y_{ij}, b) = [1 - TRC(x_{ij}, y_{ij}, b)] \times F_c(x_{ij}, y_{ij}, b) + TRC(x_{ij}, y_{ij}, b) \times \quad (10)$$

$$F_2^{TPS}(x_{ij}, y_{ij}, b), \text{ if } (x_{ij}, y_{ij}) \text{ belongs to changed pixel,}$$

397 where (x_{ij}, y_{ij}, b) is the index of the changed pixel, $F_c(x_{ij}, y_{ij}, b)$ is the value of the
398 changed pixel in the robust prediction, and $F_2^{TPS}(x_{ij}, y_{ij}, b)$ is the TPS interpolation result in
399 the coarse-resolution image at T_2 , i.e., the spatial prediction. After optimizing each changed
400 pixel, the final synthetic image is obtained.

401 **3. Testing experiment**

402 3.1. Study area and data

403 FSDAF 2.0 was tested by two challenging landscapes that were intercepted from Irina V.
404 Emelyanova's open spatiotemporal fusion experimental data (Emelyanova et al., 2013),
405 including a site with a heterogeneous landscape, and a site with large-scale abrupt land cover
406 change.

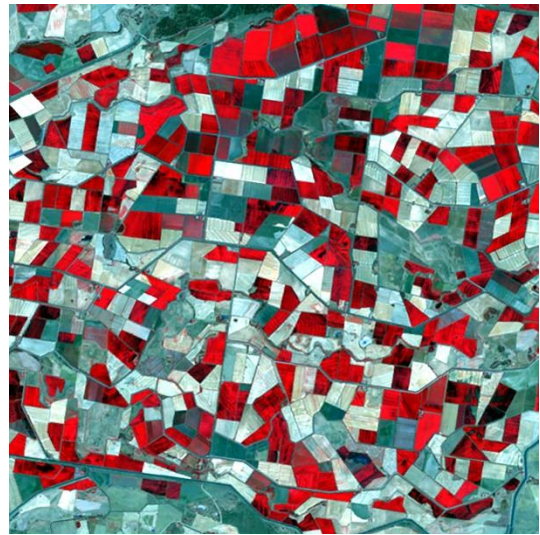
407 The heterogeneous site is located in the southern part of New South Wales, Australia
408 (145.0675° E, 34.0034° S), as shown in Fig. 3. This site has many small patches of farmland
409 with apparent spectral differences. These images (600×600 pixels, $15 \text{ km} \times 15 \text{ km}$,
410 resampling resolution is 25 m) were acquired by Landsat 7 ETM+ on 04 December 2001 (T_1)
411 and 12 January 2002 (T_2). Farmland is the main type in this study area; its terrain is flat and
412 the soil is fertile, suggesting that it is conducive to crop growth. After the crops went through
413 the summer growing season in the Southern Hemisphere, the land surface had rapid
414 phenological changes. The MOD09GA images were employed as coarse-resolution images
415 and were oversampled to 25 m resolution to match the Landsat data resolution.

416 The site with large-scale abrupt land cover changes is located in the northern part of New
417 South Wales, Australia (149.2818° E, 29.0855° S), as shown in Fig. 4. Two Landsat 5 TM
418 images (2400 × 2400 pixels, 60 km × 60 km, resampling resolution is 25 m) on 26
419 November 2004 (T₁) and 12 December 2004 (T₂) were employed. In mid-December 2004, a
420 flood occurred in the farmland, which resulted in a large-scale type change in the land surface,
421 and more than half of the land surface was affected by floods. Different from those of the first
422 site, the MODIS images employed for spatiotemporal fusion were derived from Landsat
423 resampling instead of MOD09GA data. Some information on land cover change was
424 inconsistent between Landsat image and MODIS image in the T₂ phase (Shi et al., 2019).
425 Using simulated MODIS-like images as input data can eliminate the influence of this problem
426 on visual contrast and make the study focus on spatiotemporal fusion itself (Zhu et al., 2016).
427 However, considering the need to further test the performance of FSDAF 2.0 to fuse real data,
428 MOD09GA data were also used for fusion as an additional experiment, and only quantitative
429 analysis was conducted.

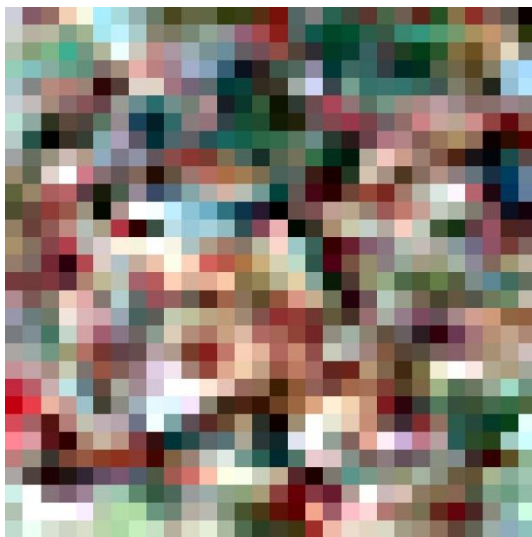
430 There were four images from each landscape: two pairs of Landsat and MODIS images at
431 T₁ and T₂. The Landsat image at T₂ was employed to compare the experimental results and
432 calculate the blending accuracy. All experimental images were pre-processed for radiation
433 calibration and atmospheric correction before experimentation.



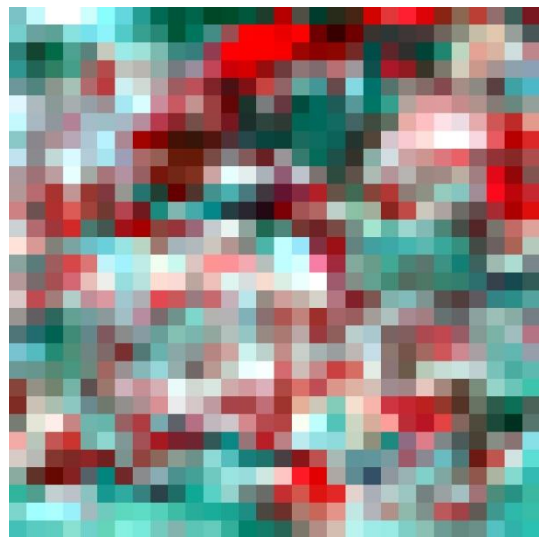
(a)



(b)



(c)



(d)

Fig. 3. Experimental data in a heterogeneous landscape: Landsat images (600×600 pixels) were acquired on (a) 04 December 2001 and (b) 12 January 2002; (c) and (d) are MOD09GA images. All images use NIR-red-green as RGB, and MOD09GA images are resampled to have the same size as the Landsat images.

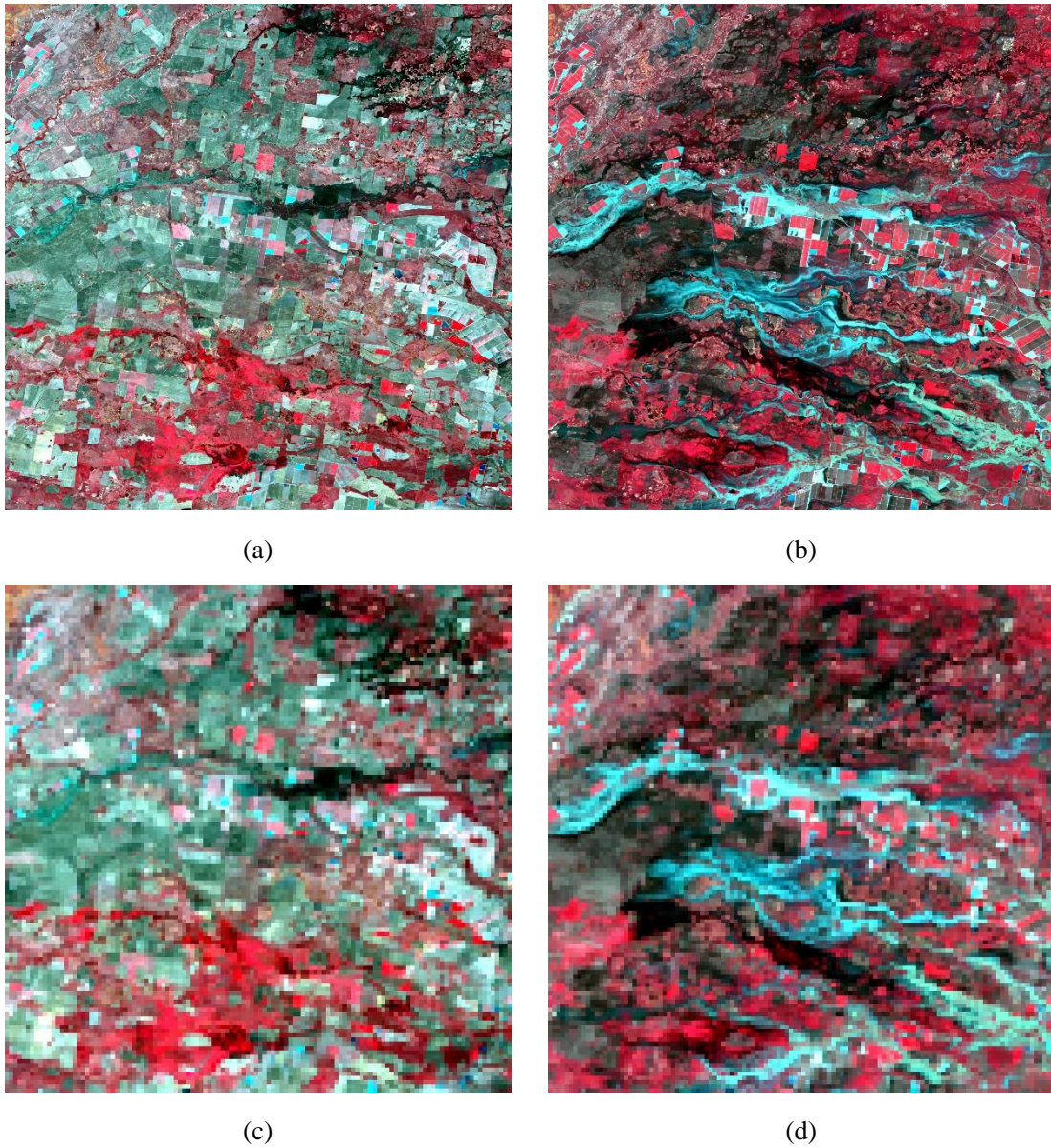


Fig. 4. Experimental data in a large-scale abrupt land cover change landscape: Landsat images (2400 × 2400 pixels) were acquired on (a) 26 November 2004 and (b) 12 December 2004, (c) and (d) are MODIS-like images aggregated from (a) and (b). All images use NIR-red-green as RGB, and MODIS-like images are resampled to have the same size as the Landsat images.

435 3.2. Comparison and evaluation

436 The performance of FSDAF 2.0 was compared with that of the STARFM, FSDAF and

437 SFSDAF algorithms. Each method requires the same input data: one pair of coarse- and
438 fine-resolution images and one coarse-resolution image in the prediction phase. Blended
439 images predicted by the four methods were compared with the real image in the T_2 phase
440 visually and quantitatively in this section. It should be noted that SFSDAF only participated
441 in the comparison of inputting simulated MODIS-like images in experiment 2. Because
442 SFSDAF requires the input MODIS pixel should be the original pixel, while the process of
443 resampling MOD09GA images to 25 m may incorrectly determine the range of the MODIS
444 pixel. This registration error could affect the final result of SFSDAF.

445 Visual analysis of the fusion results was used to judge the similarity between the
446 synthetic image and the real image in the spectrum and structure of objects by visual
447 comparison, with the purpose of comprehensively evaluating the advantages of the improved
448 algorithm.

449 To achieve quantitative analysis, three precision indexes were proposed to reflect
450 different aspects of accuracy. The root mean square error (RMSE) was used to gauge the
451 difference between the predicted reflectance and the actual reflectance and describe the
452 overall errors in the spectrum. The visual assessment index structure similarity (SSIM) was
453 used to evaluate the similarity of the overall structure between the real and blended images. In
454 addition, the correlation coefficient (r) was used to show the linear relationship between the
455 predicted and actual reflectance. Theoretically, a smaller value of RMSE and larger values of
456 SSIM and r indicate a more accurate blending result.

457 **4. Results**

458 4.1. Blending results and evaluation in a heterogeneous landscape

459 In this experiment, there was no distinct type change in the heterogeneous images but
460 rapid phenological changes between two time periods. Therefore, the experimental
461 comparison of blended heterogeneous images focused on the observation of the ecosystem
462 dynamics and overall improvement of FSDAF 2.0 over the original FSDAF. In addition, this
463 experiment also tested the robustness of FSDAF 2.0 and its performance in blending real
464 MODIS images.

465 The blending results are shown in Fig. 5. It is apparent that the predicted image of
466 STARFM has problems of boundary ambiguity and spectral anomalies, and the predicted
467 images of FSDAF and FSDAF 2.0 are more similar to the original Landsat image. Due to
468 there was no distinct type change in the heterogeneous images, the improvement of FSDAF
469 2.0 mainly comes from excluding the coarse pixels that contain a large amount of boundary
470 information in unmixing calculation. As a result, the predicted image of FSDAF 2.0 has
471 higher accuracy in overall spectrum than that of original FSDAF.

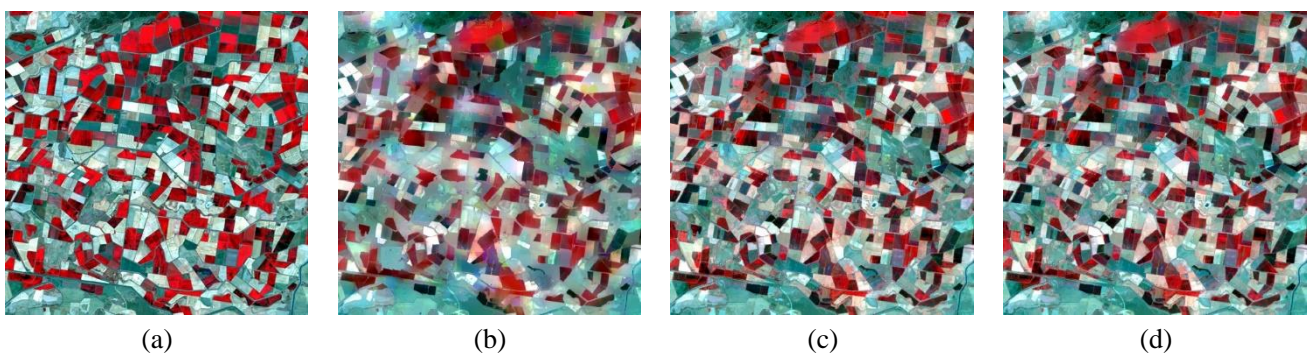


Fig. 5. Original Landsat image of 12 January 2002 (a), and the predicted images by STARFM (b), FSDAF (c), and FSDAF 2.0 (d).

473 The quantitative evaluation data of the experimental results are shown in Table 1. The
 474 blended image predicted by FSDAF 2.0 has the smallest RMSE and highest SSIM of six
 475 bands compared with those of STARFM and FSDAF. Among all bands, the NIR band, which
 476 changes rapidly with vegetation growth cycles, has the largest difference in accuracy between
 477 FSDAF 2.0 and other two methods, suggesting that FSDAF 2.0 has higher accuracy in
 478 capturing ecosystem dynamics. Consequently, FSDAF 2.0 has higher accuracy than FSDAF
 479 in blending heterogeneous images, and shows satisfactory stability in blending real MODIS
 480 images.

481 **Table 1**

482 Accuracy assessment of STARFM, FSDAF and FSDAF 2.0 in a heterogeneous landscape. The units are
 483 reflectance (RMSE = root mean square error, SSIM = structural similarity, r = correlation coefficient).

	STARFM			FSDAF			FSDAF 2.0		
	RMSE	SSIM	r	RMSE	SSIM	r	RMSE	SSIM	r
Blue	0.0193	0.9077	0.8166	0.0167	0.9309	0.8611	0.0163	0.9348	0.8690
Green	0.0268	0.9294	0.8380	0.0234	0.9425	0.8668	0.0229	0.9457	0.8735
Red	0.0427	0.8678	0.8697	0.0360	0.8953	0.9009	0.0353	0.9019	0.9054
NIR	0.0609	0.8387	0.5218	0.0606	0.8432	0.5785	0.0592	0.8487	0.5946
SWIR1	0.0535	0.8776	0.8603	0.0475	0.8835	0.8894	0.0472	0.8873	0.8911
SWIR2	0.0423	0.8830	0.8542	0.0368	0.8984	0.8984	0.0362	0.9055	0.8949

484 4.2. Blending results and evaluation of a landscape with large-scale abrupt land cover change

485 Fig. 6(b), (c), (d) and (e) present blended images of STARFM, FSDAF, SFSDAF and
 486 FSDAF 2.0, respectively. Fig. 6(d) is the binary image of the change detection result in
 487 FSDAF 2.0. It can be found that the change detection algorithm is extremely sensitive in
 488 detecting areas affected by flooding.

489 Fig. 7 presents the enlarged areas (the areas inside the yellow bounding box in Fig. 6(a))
490 of the synthetic images. The predicted image of FSDAF 2.0 is the most accurate visually.
491 Specifically, in subarea A, which is unaffected by flooding, FSDAF 2.0 preserves more spatial
492 details than those of the other three methods. For example, only the predicted image of
493 FSDAF 2.0 can distinguish the river indicated by the yellow arrow in Fig. 7(e). In subarea B,
494 which was impacted by small-scale floods, it can be found that there are many spectral errors
495 in the predicted image of FSDAF, e.g., the areas highlighted in yellow circles in Fig. 7(h).
496 This problem is most likely caused by employing coarse pixels that contain a large number of
497 changed pixels for the unmixing calculation. Compared with the other three methods, FSDAF
498 2.0 retains the most spatial details. Subarea C presents a widespread flood, and the predicted
499 image of STARFM has obvious errors in the spectrum. This problem also occurs in the
500 flooding areas of subarea D and subarea E. The predicted image of FSDAF has distinct
501 boundaries between the flood area at T_2 and the flood area at T_1 , see the example highlighted
502 in the yellow circle in Fig. 7(m), while SFSDAF and FSDAF 2.0 effectively solve this
503 problem. In subarea D, FSDAF misjudges the boundary of the flood, e.g., the area highlighted
504 in a yellow circle in Fig. 7(r), while both SFSDAF and FSDAF 2.0 correctly judged the flood
505 boundary. In subarea E, the predicted image of FSDAF is “blurrier”, and it is difficult to
506 distinguish the boundary of flood effects, as shown in Fig. 7(w). Both SFSDAF and FSDAF
507 2.0 have corrected this error. In addition, the predicted images of FSDAF and SFSDAF have
508 many “spots” on the surface of flowing water, which means that the spectral properties are not
509 uniform. It is apparent that the flowing water in predicted image of FSDAF 2.0 seems to be

510 smoother and closer to the actual flowing water in the real image. Consequently, all four
511 algorithms have the ability to retrieve land cover changes, among which STARFM is the
512 weakest and prone to error in the areas with drastic changes. FSDAF is likely to misjudge the
513 retrieval of type change boundaries, and it is prone to produce errors such as speckle noise in
514 the changed-type areas. Although its ability to retain spatial details is stronger than that of
515 STARFM, it is not satisfactory. SFSDAF has a more powerful performance in retrieving land
516 cover changes than that of STARFM and FSDAF, but its ability to retain spatial details has no
517 advantage in this experiment. As a result, FSDAF 2.0 is better than the other three algorithms
518 in terms of restoring changed features and preserving spatial details.

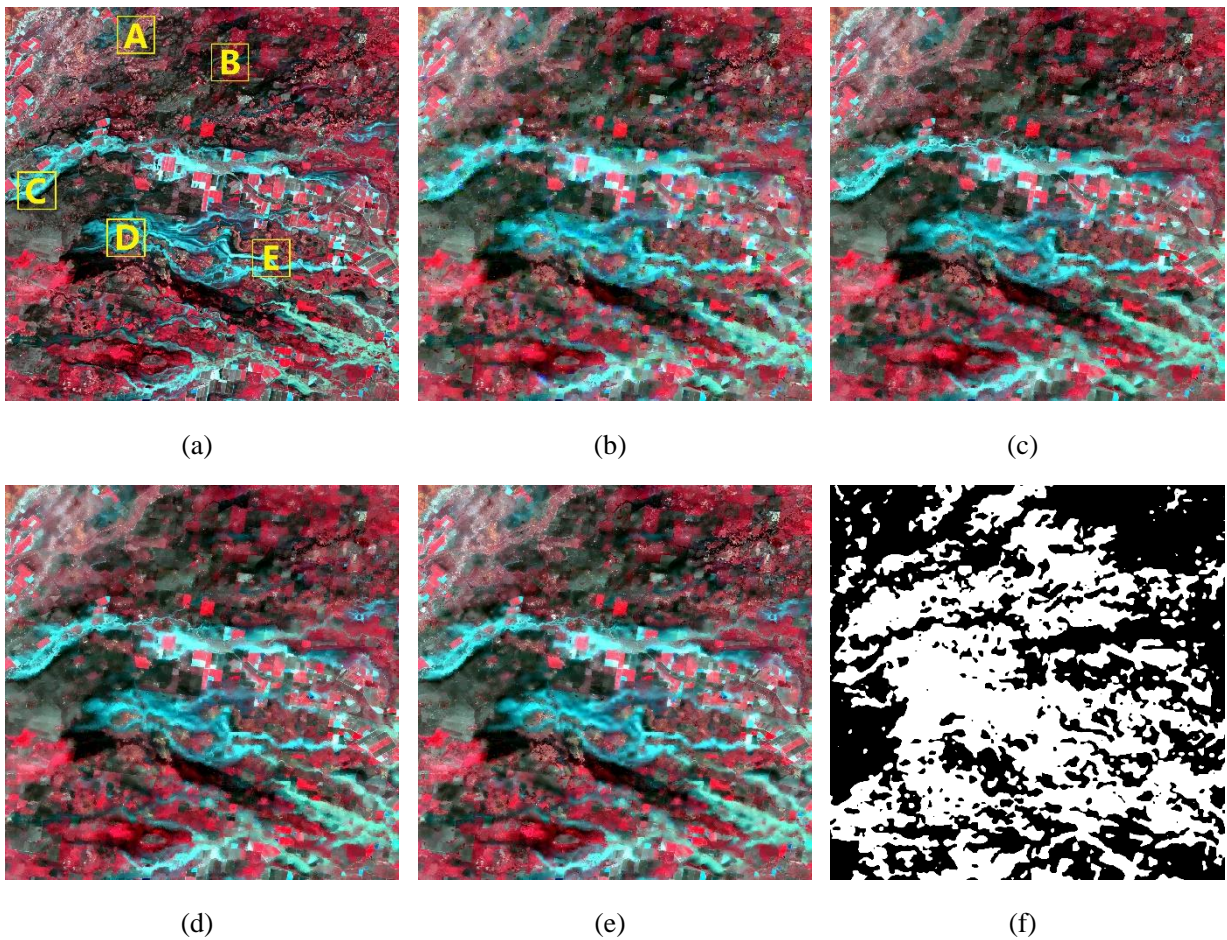


Fig. 6. Original Landsat image of 12 December,2004 (a), its predicted images by STARFM (b), FSDAF (c), SFSDAF (d), FSDAF 2.0 (e), and the binary image of change detection result in FSDAF 2.0 (f).

519

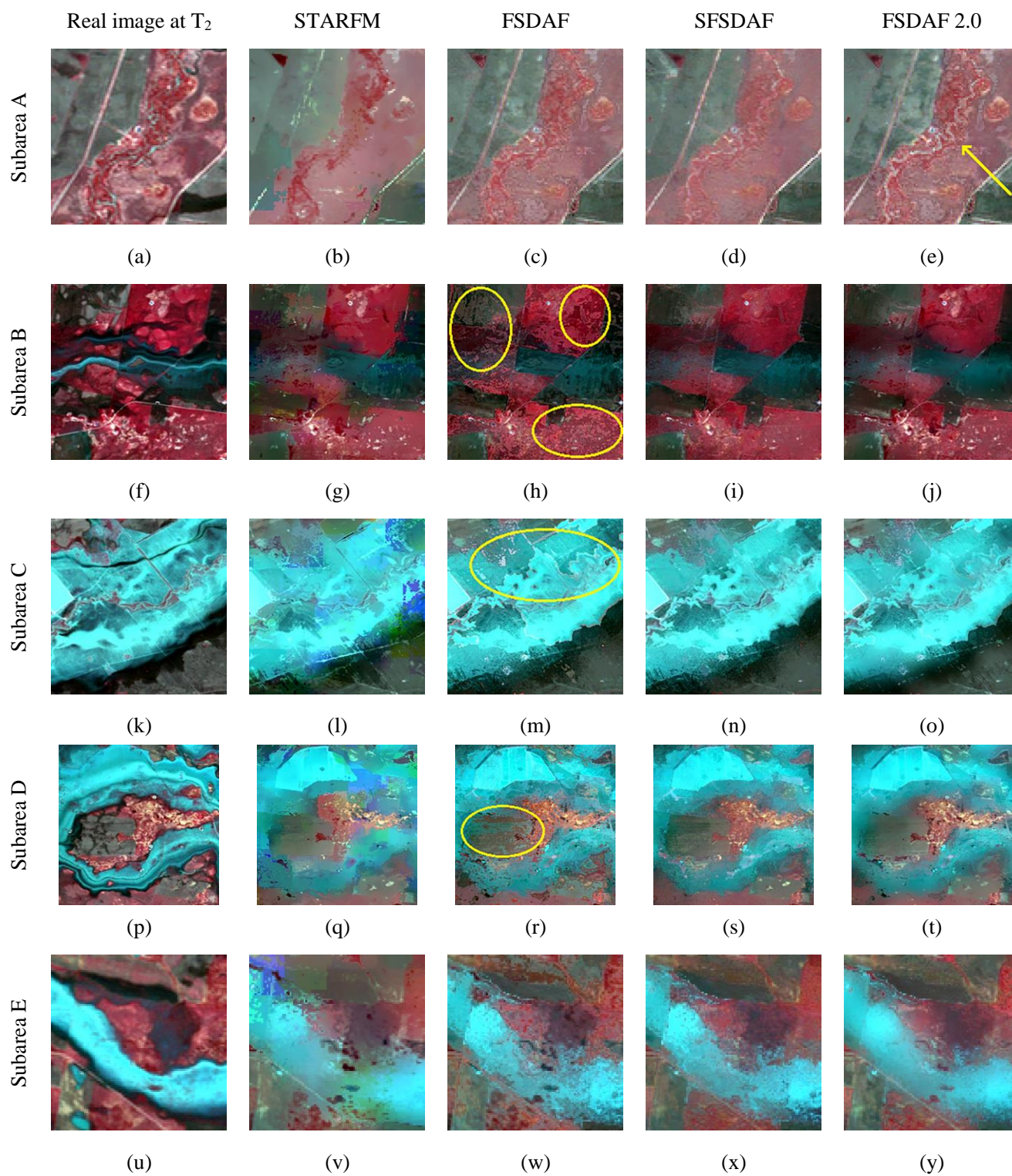


Fig. 7. The subarea images marked in Fig. 6(a).

520 The quantitative evaluation data of the experimental results are shown in Table 2. For all
521 six bands, the predicted image of FSDAF 2.0 has the smaller RMSE, higher SSIM and r
522 compared with those of STARFM and FSDAF. This suggests that FSDAF 2.0 is more
523 powerful for retrieving spectral and structural information of the surface. Furthermore,
524 FSDAF 2.0 has better performance than SFSDAF except in the NIR band. Taking the blue
525 band as an example, FSDAF 2.0 has a 6.0% improvement over FSDAF and a 4.1%
526 improvement over SFSDAF according to RMSE. Scatterplots of the blue band shown in Fig.
527 8 also suggest that the values predicted by FSDAF 2.0 are closer to the actual values than
528 those predicted by the other three methods (e.g., the area indicated by the blue arrow in the
529 scatter plot of FSDAF 2.0). Moreover, to further test the robustness of FSDAF 2.0 in the face
530 of real data, MOD09GA images were also used for fusion as an additional experiment.
531 Similar to the experiment in heterogeneous landscape, the SFSDAF was not used to
532 participate in this comparison. The experimental results are shown in Table 3. Apparently,
533 FSDAF 2.0 provided the most accurate prediction, and the progress is obvious in the SWIR1
534 band and SWIR2 band, which had the most change when flooded. Consequently, FSDAF 2.0
535 can better retrieve pixels that have undergone large-scale land cover type-change events.

536 **Table 2**

537 Accuracy assessment of STARFM, FSDAF, SFSDAF and FSDAF 2.0 in a landscape with large-scale change
538 in land cover type by inputting simulated MODIS data as coarse-resolution images. The units are reflectance
539 (RMSE = root mean square error, SSIM = structural similarity, r = correlation coefficient).

Band	STARFM			FSDAF			SFSDAF			FSDAF 2.0		
	RMSE	SSIM	r	RMSE	SSIM	r	RMSE	SSIM	r	RMSE	SSIM	r
Blue	0.0106	0.9781	0.8454	0.0100	0.9783	0.8617	0.0098	0.9794	0.8696	0.0094	0.9812	0.8792
Green	0.0153	0.9694	0.8486	0.0146	0.9689	0.8642	0.0142	0.9710	0.8721	0.0138	0.9728	0.8791
Red	0.0190	0.9589	0.8515	0.0180	0.9589	0.8680	0.0174	0.9623	0.8774	0.0171	0.9639	0.8815
NIR	0.0340	0.9111	0.8476	0.0296	0.9190	0.8868	0.0284	0.9288	0.8964	0.0290	0.9250	0.8925
SWIR1	0.0472	0.8055	0.8138	0.0452	0.7994	0.8311	0.0435	0.8178	0.8459	0.0429	0.8171	0.8494
SWIR2	0.0338	0.8467	0.8113	0.0319	0.8508	0.8352	0.0311	0.8601	0.8444	0.0305	0.8606	0.8501

540 **Table 3**

541 Accuracy assessment of STARFM, FSDAF and FSDAF 2.0 in a landscape with large-scale change in land

542 cover type by inputting MOD09GA data as coarse-resolution images. The units are reflectance (RMSE = root

543 mean square error, SSIM = structural similarity, r = correlation coefficient).

Band	STARFM			FSDAF			FSDAF 2.0		
	RMSE	SSIM	r	RMSE	SSIM	r	RMSE	SSIM	r
Blue	0.0160	0.9577	0.6791	0.0157	0.9577	0.6923	0.0151	0.9608	0.6955
Green	0.0224	0.9507	0.6801	0.0218	0.9512	0.6934	0.0217	0.9526	0.6968
Red	0.0280	0.9360	0.6819	0.0269	0.9391	0.6963	0.0269	0.9403	0.6988
NIR	0.0416	0.8994	0.7810	0.0401	0.9027	0.8022	0.0399	0.9061	0.8010
SWIR1	0.0612	0.7400	0.6952	0.0628	0.7396	0.6988	0.0598	0.7530	0.7128
SWIR2	0.0470	0.7568	0.6801	0.0493	0.7565	0.6746	0.0461	0.7790	0.6957

544

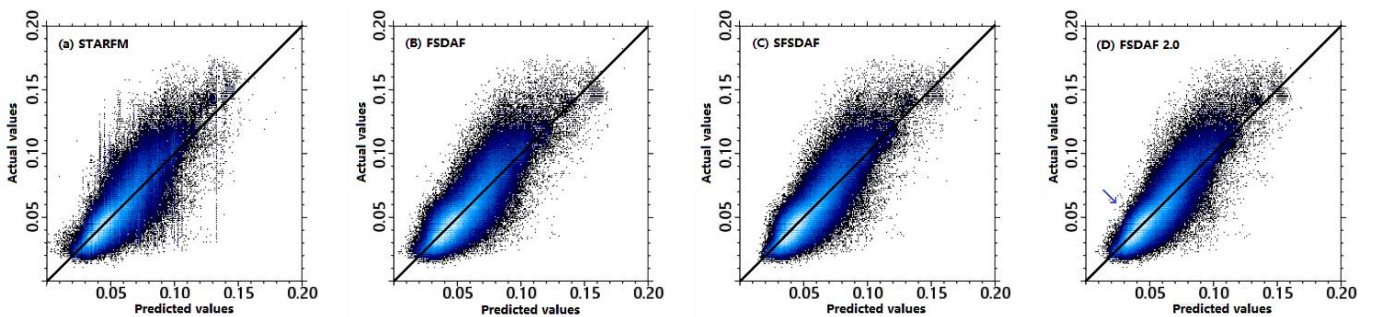


Fig. 8. Scatterplots of the actual and predicted values for the blue band (brighter color indicates a higher density of points, the line is 1:1 line).

545 **5. Discussion**

546 The proposed spatiotemporal data fusion model FSDAF 2.0 shows satisfactory
547 performance in two experiments. In particular, compared with the original FSDAF, FSDAF
548 2.0 can more accurately capture the ecosystem dynamics and changing type boundaries of
549 objects and retain more details. In this section, a theoretical comparison of the rationale
550 behind the key steps in retrieving land cover changes between FSDAF and FSDAF 2.0 and
551 how FSDAF 2.0 outperforms FSDAF are discussed. Moreover, a comparative experiment of
552 the various steps in FSDAF and FSDAF 2.0 was added. In addition, the efficiency of the
553 algorithm should be considered in the application; thus, a computation time comparison
554 among the four methods was discussed. Finally, we discussed the further improvement of
555 FSDAF 2.0.

556 5.1.Comparison of the processes of FSDAF and FSDAF 2.0 in retrieving land cover changes

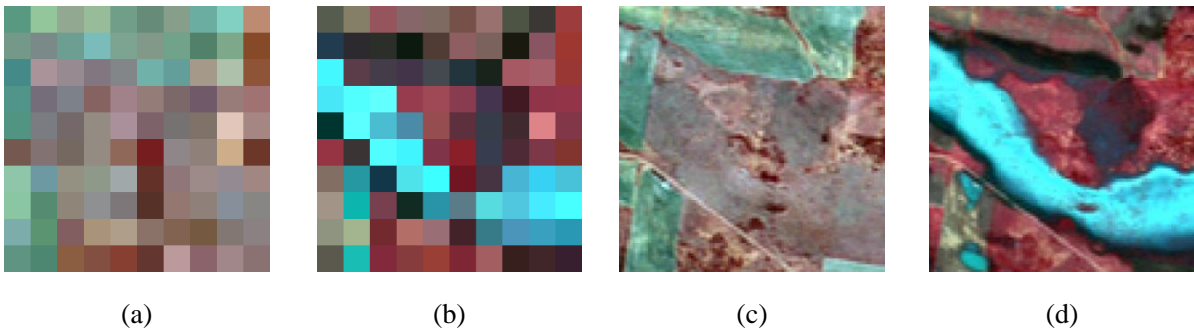
557 The results of experiment 2 in section 4 demonstrate that both FSDAF and FSDAF 2.0
558 have the ability to retrieve land cover changes. The ability of FSDAF to retrieve changed
559 pixels is mainly come from the spatial prediction. Theoretically, spatial prediction describes
560 the information of the real surface in the T_2 phase, which can maintain the signals of land
561 cover type change and local variability in the fusion result (Zhu et al., 2016). In the following
562 process, however, FSDAF distributes residuals on the assumption that errors depend mainly
563 on the homogeneity of the surface. This strategy guarantees that FSDAF can preserve more
564 detailed information but limits its ability to retrieve land cover changes.

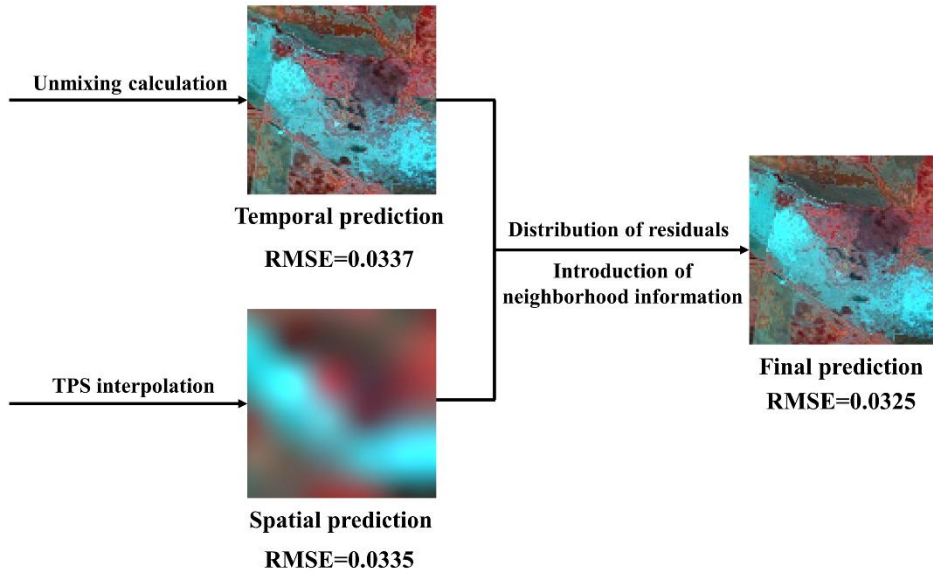
565 The reconversion capability of FSDAF 2.0 comes from the spatial prediction and the

566 optimization model for the changed pixels. FSDAF 2.0 employs edge detection technology
567 and change detection technology to exclude the coarse pixels that contain changed pixels or
568 more than 10% of the boundary pixels. As a result, FSDAF 2.0 obtains more accurate
569 predicted values in the area where the types of objects are unchanged. These differences are
570 the main reason why FSDAF 2.0 obtains more details and more accurate spectral information
571 than the original FSDAF. In addition, FSDAF 2.0 establishes an optimization model for
572 changed areas in the final step to offset the error caused by unreasonable assumptions in the
573 residual distribution process. Theoretically, FSDAF 2.0 can achieve higher accuracy.

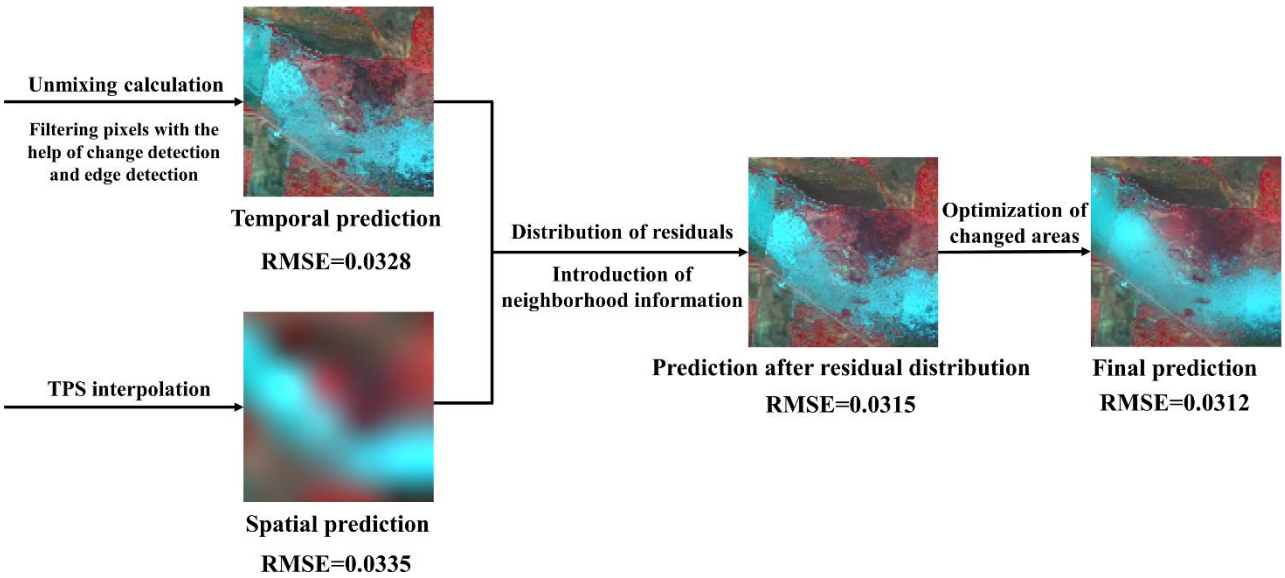
574 To demonstrate the above points explicitly, a comparison of various steps in FSDAF and
575 FSDAF 2.0 to retrieve land cover changes was added. As shown in Fig. 9, the experimental
576 data (2.5 km × 2.5 km, 100 × 100 pixels) is the subarea E in experiment 2. Fig. 9(a) and (b)
577 show the coarse-resolution images at T₁ and T₂. Fig. 9(c) and (d) show the fine-resolution
578 images at T₁ and T₂. Apparently, this area experienced flooding and phenological changes
579 during fusion period. Fig. 9(e) and Fig. 9(f) show the processes of FSDAF and FSDAF 2.0,
580 respectively, in retrieving land cover changes. Compared with the temporal predictions of the
581 two methods, it is obvious that the temporal prediction of FSDAF is “blurrier”, it is difficult
582 to distinguish the boundary of the flood effects and has significant spectral errors around the
583 flood. In addition, these problems also exist in the final prediction. While the temporal
584 prediction of FSDAF 2.0 is closer to that of the real image than that of FSDAF, not only in
585 spatial details but also in spectrum, quantitative analysis also confirmed this conclusion
586 (average RMSE of 0.0337 vs. 0.0328). Furthermore, the accuracies of the predictions are

587 improved after the following residual distribution process and optimization process, as shown
588 in Fig. 9(f). The flowing water in the final prediction of FSDAF 2.0 seems to be smoother,
589 closer to the actual flowing water in Fig. 9(d), and the newly added flood areas are more
590 visible and easier to distinguish. Quantitative analysis also confirmed that the overall
591 accuracy is gradually improved after residual distribution and optimization, and the average
592 RMSE values are 0.0328, 0.0315, and 0.0312. The average RMSE values of the temporal
593 prediction and final prediction of FSDAF are 0.0337 and 0.0325, respectively, which is worse
594 than that of FSDAF 2.0. Consequently, the processes of improving the temporal prediction
595 and increasing targeted optimization for changed areas in FSDAF 2.0 make the final
596 prediction more accurate.





(e)



(f)

Fig. 9. The comparison of the key steps in retrieving land cover changes between FSDAF and FSDAF 2.0: the coarse-resolution images of subarea E in experiment 2 at T_1 (a) and T_2 (b), the fine-resolution images of subarea E in experiment 2 at T_1 (c) and T_2 (d), the processes of FSDAF (e) and FSDAF 2.0 (f) in retrieving land cover changes.

597 5.2.Comparison of computation time

598 The computation times of the four methods in section 4 are shown in Table 4. The

599 calculation platform used in two experiments is i7-6700HQ (2.60 GHz) and 16 G RAW. The
600 results show that FSDAF 2.0 consumes more time due to more steps than in the original
601 FSDAF, but not too much time; it has comparable efficiency with STARFM and SFSDAF
602 because effective but less computation algorithms were employed in the additional steps.
603 Considering the advantages of FSDAF 2.0 over the other three algorithms, its efficiency is
604 acceptable.

605 **Table 4**

606 The computation time of STARFM, FSDAF, SFSDAF and FSDAF 2.0 in two experiments.

	Experiment 1	Experiment 2
STARFM	228 s	4574 s
FSDAF	189 s	3757 s
SFSDAF	/	4553 s
FSDAF 2.0	245 s	4629 s

607 5.3.Further improvement of FSDAF 2.0

608 The results of the experiments in section 4 demonstrate that FSDAF 2.0 can obtain
609 satisfactory overall accuracy in two challenging landscapes: heterogeneous and large-scale
610 abrupt land cover changes. The blending results of the improved method have higher overall
611 spectral accuracy, more similar structure and closer correlation to real images, especially in
612 areas where the types of land cover changed. These improvements are due to overcoming the
613 shortcomings of the original FSDAF. Although FSDAF 2.0 has satisfactory performance, it
614 still has the potential to improve.

615 First, the improvement of FSDAF 2.0 can mainly be achieved through improved temporal
616 prediction and increased targeted optimization in the final step, but the step of obtaining

617 spatial prediction is consistent with that of the original FSDAF; for example, the result of TPS
618 interpolation is used as the spatial prediction. However, the TPS interpolation image is
619 “smooth” and loses many spatial details; if the spatial prediction could be replaced by a better
620 scale-down algorithm without consuming too much time, FSDAF could theoretically retain
621 more image details.

622 Second, similar to FSDAF, FSDAF 2.0 still distributes residuals on the assumption that
623 errors depend mainly on the homogeneity of the surface. This strategy is very empirical and
624 has no theoretical basis. It may not be an optimal way to distribute residuals for different
625 scenarios (Meng Liu et al., 2019). Theoretically, a more rigorous method of weight
626 assignment can improve this problem.

627 Third, on account of the lack of fine-resolution image in the T₂ phase, FSDAF 2.0
628 employs the TPS interpolation images of coarse-resolution images in two phases to detect
629 changed areas. Therefore, it is difficult to capture tiny land cover changes. Theoretically,
630 fine-resolution images acquired from other satellites can be employed to solve this problem in
631 the future research process. In addition, long time-series observations or the use of more
632 flexible change detection algorithms can also improve the performance and robustness of
633 FSDAF 2.0.

634 **6. Conclusions**

635 This study described the theoretical basis, implementation process and performance of an
636 improved flexible spatiotemporal data fusion method incorporating change detection
637 technology and an optimized model for changed-type areas. Landsat and MODIS images of

638 two different sites were employed to test the performance of the improved method. All results
639 demonstrate that FSDAF 2.0 improves the shortcomings of FSDAF, blends synthetic
640 fine-resolution images with higher accuracy in different landscapes, and strengthens the
641 robustness of the algorithm and the ability of retrieving land cover changes compared with
642 those of the original FSDAF algorithm. In addition, FSDAF 2.0 has acceptable efficiency
643 even though it has more steps than the original FSDAF, because effective but fewer
644 computation algorithms were employed in the additional steps.

645 The key idea of FSDAF 2.0 is using the change detection technology to label the changed
646 pixels. This is a precondition for the subsequent improvement of the unmixing step and
647 targeted optimization, which effectively helps improve the fusion accuracy in changed-type
648 areas. In the spatiotemporal fusion field, retrieving land cover changes is a challenge, and
649 FSDAF 2.0 provides a feasible way to overcome this problem. Moreover, this field has great
650 potential for improvement, such as improving the accuracy of change detection through long
651 time-series observations or using other satellite data to assist in change detection.

652 Similar to FSDAF and other spatiotemporal methods, FSDAF 2.0 can also be used to
653 blend other products that are derived from reflectance data, e.g., normalized difference
654 vegetation index (NDVI), surface temperature and leaf area index. FSDAF has been shown to
655 have high accuracy in fusing other products (Meng Liu et al., 2019; Alves et al., 2018).
656 FSDAF 2.0 retains the advantages of FSDAF and improves fusion performance. Theoretically,
657 FSDAF 2.0 can achieve higher accuracy in fusing other products compared with that of
658 FSDAF.

659 In conclusion, the FSDAF 2.0 algorithm improves the capability for blending
660 fine-resolution remote sensing images, especially for areas of land cover changes. This
661 improvement is beneficial for monitoring the land surface and dynamics of our Earth systems.

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List of Figure Captions

Figure number	Figure caption
Fig. 1.	Flowchart of FSDAF 2.0
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Fig. 3.	Experimental data in a heterogeneous landscape: Landsat images (600×600 pixels) were acquired on (a) 04 December 2001 and (b) 12 January 2002; (c) and (d) are MOD09GA images. All images use NIR-red-green as RGB, and MOD09GA images are resampled to have the same size as the Landsat images.
Fig. 4.	Experimental data in a large-scale abrupt land cover change landscape: Landsat images (2400 × 2400 pixels) were acquired on (a) 26 November 2004 and (b) 12 December 2004, (c) and (d) are MODIS-like images aggregated from (a) and (b). All images use NIR-red-green as RGB, and MODIS-like images are resampled to have the same size as the Landsat images.
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Fig. 7.	The subarea images marked in Fig. 6(a).
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