

Article

# Modeling Constraints for the On-Site Assembly Process of Prefabrication Housing Production: A Social Network Analysis

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**Abstract:** Although prefabrication housing production (PHP) has been widely advocated with advantages like ensured good quality and secured workplaces, its poor interoperability and fragmentation still causes various constraints, limiting the progress of projects. This paper aims to model the constraints and their relationships in task executions of different trades during the on-site assembly process of PHP. It was conducted through a typical PHP case study in Hong Kong to identify the critical trade associated constraints and their links during the on-site assembly process. Original data were collected by semi-structured interview, Delphi survey and questionnaire with representative professionals from the case. Social Network Analysis (SNA) was used to model the constraints and their interrelationships. The results indicated that seven trade-associated constraints were worth more attention. Three significant challenges were determined, indicating that the on-site team should focus on the availability of labor resources, optimal installation planning and effectiveness of communication mechanism. Smart work packaging (SWP)-enabled system for achieving automatic constraint identification and dynamic constraint relationship mapping under different constraint scenarios was suggested. This paper offers practical insights for scholars to conduct a trade-associated constraint identification study in the network manner and is expected to benefit practitioners on using the results for decision-making.

**Keywords:** prefabrication housing production (PHP); constraint modeling; social network analysis (SNA); on-site assembly process

## 1. Introduction

Prefabrication housing production (PHP) is an innovative solution where the material, component, module and unit are manufactured efficiently at one location and then be converged at the site for installation [1]. It has strong potential to improve the industry-wide performance such as ensured good quality [2], secured workplaces [3], reduced construction time and waste [4] due to working in a controlled environment. As a high-density city, Hong Kong has widely advocated the use of PHP to the development of all the public rental housing (PRH), in order to mitigate the unbalanced housing supply and demand. The six-day cycle has been continually adopted in the assembly process of PHP [5]. Each typical floor is completed within six days; otherwise, the overall schedule performance will be influenced. Nevertheless, the constraints (e.g., limited space and buffers) among different trades in the assembly process impose significant pressure on the construction progress. As a result, the pathological schedule delay frequently occurs, resulting in the difficulties to meet the high housing

demand. According to the Hong Kong Housing Authority (HKHA), about 153,300 general applications are on the waiting lists of PRH at the end of March 2018 and the average waiting time is 5.1 years. Previous studies have been conducted to identify the risks within the supply chain of PHP, for achieving the phase schedule control from a macro aspect [1]. However, the status of prefabricated products changes over time during the on-site assembly process, daily detailed schedule control and planning to issue executable work plans are thus vital and urgent. Therefore, this paper aims to model the constraints and their interrelationships in task executions of different trades during the on-site assembly process of PHP, in order to control the critical constraints. Constraint modeling is the first but critical functional strategy of constraint management, which allows crews to have a thorough understanding of interconnections among tasks or activities. To be more specific, three objectives are achieved: (1) To identify the constraints and their relations in and among different trades during the on-site assembly process of PHP; (2) To explore the critical constraints and the interrelationships that significantly affect the schedule of PHP; (3) To explore corresponding strategies for addressing the challenges encountered in the constraint modelling of PHP. The findings are supposed to help scholars conduct a trade-associated constraint identification study in the network manner and benefit practitioners by making them aware of the schedule controlling for further decision-making.

## 2. Research Background

### 2.1. Prefabrication Housing Production (PHP) in Hong Kong

PHP is commonly referred to as off-site construction, precast construction, modular construction, industrialized building system and pre-assembly construction [6]. The PHP in Hong Kong uses the principles of industrialization in the multi-stages of construction projects, including design, manufacturing, logistics and on-site assembly. The product-oriented on-site assembly process of PHP is presented in Figure 1, which shows the trade (human resources) involvements in the different status of prefabricated products. First, the expeditor delivers the daily order to the manufacturing factory. When the prefabricated products (1) are fabricated and prepared well, they are delivered to the construction site with the on-site quality check by the quality inspector. Second, the prefabricated products (2) are arranged by the buffer foreman before being lifted by tower crane. The tower crane will be maintained regularly by tower foreman to guarantee the safe operations of crane. During the on-site assembly process, the crane banksman and the prefabricated products installer are vital to provide accurate information in the lifting and placing process. General workers will help to handle some temporary work on site. Third, the assembled prefabricated products (3) will then be checked by the quality inspector again. The unqualified products will be returned to the factory for rework. The site superintendent and the safety supervisor should conduct the general planning and control and supervise safety issues on site.

Although several benefits of PHP have been demonstrated, the schedule delay still happens [5]. The scheduling problem is amplified in Hong Kong since the manufacturing work of PHP has been completely shifted offshore, resulting in various uncertainties and constraints. Some studies have investigated the stakeholder-associated uncertainties within the supply chain of PHP [1,7]. They concluded that the schedule delay was mainly caused by the design information gap between designers and manufacturers, the low interoperability between different enterprises and the delivery delay of prefabricated products. To improve the visibility and traceability of prefabricated products, the internet of things (IoT)-enabled Building Information Modeling (BIM) platform was suggested for achieving just-in-time (JIT) coordination [8–10]. These studies are helpful to mitigate the uncertainties within phase schedule. However, there are still massive constraints have not been improved in a more detailed schedule. For example, the detailed tasks still beset some missing or incomplete prerequisites including design (drawings and models), prefabricated products, space, buffer, labor, equipment, permits, specifications, prerequisite work, which prevent the reliability of PHP workflow [11].

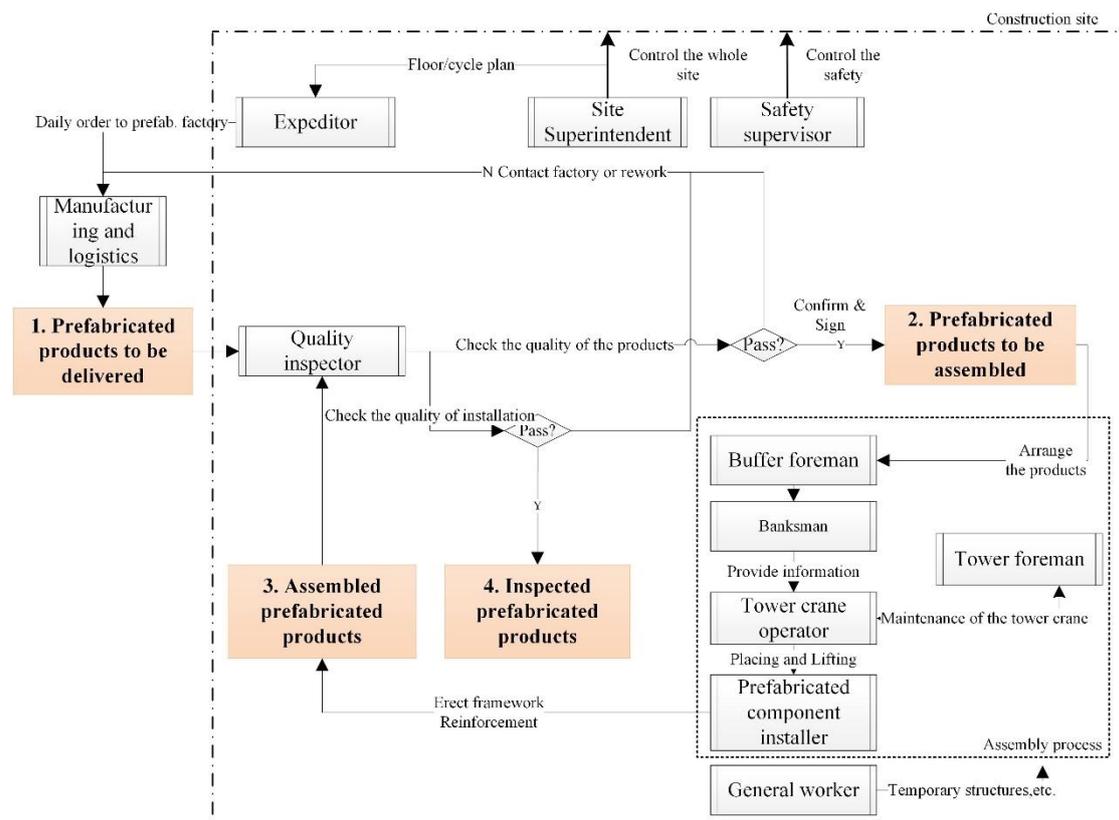


Figure 1. On-site assembly process of prefabrication housing production (PHP).

## 2.2. Constraint Identification in the Assembly Process of PHP

Constraints in a construction process are defined as any condition, such as technical sequencing, temporal/spatial limitations and safety/quality concerns, which restrain work plans assigned to construction crews being successfully executed [12]. Research undertaken previously have identified a series of bottlenecks that affect the whole processes of PHP. For example, Blismas, Pendlebury [13] identified 20 constraints related to PHP, showing that constraints existing in the process, value, supply chain and knowledge were significant. Gibb and Isack [14] examined the limiting factors in terms of cost, time and quality. Zhang, Lee [15] proposed that the most significant hindrances for PHP were the inflexible design change, the lack of storage space, long lead-in and design time and high cost. Leu and Hwang [16] pointed out that limited resources (e.g., cranes and skilled labor) should be addressed. Blackmon, Saxena [12] examined 17 constraints related to field operations, showing that effective management of these constraints would be a key element to ensure a constraint-free work plan. In addition, previous studies usually investigated constraints and trades as two independent systems and seldom considered the links between them. Table 1 shows the constraints influencing the on-site assembly process of PHP identified by previous studies. To avoid any missed information and to explore the situations specifically to the PHP of Hong Kong, these constraints identified are used as references for further validation through semi-structured interviews.



### 3. Methodology

Three distinct steps are carried out to meet the objectives of the paper: identification of the trade associated constraints, determination of constraint links, network visualization and quantitation. Figure 2 shows the methodology adopted in this paper.

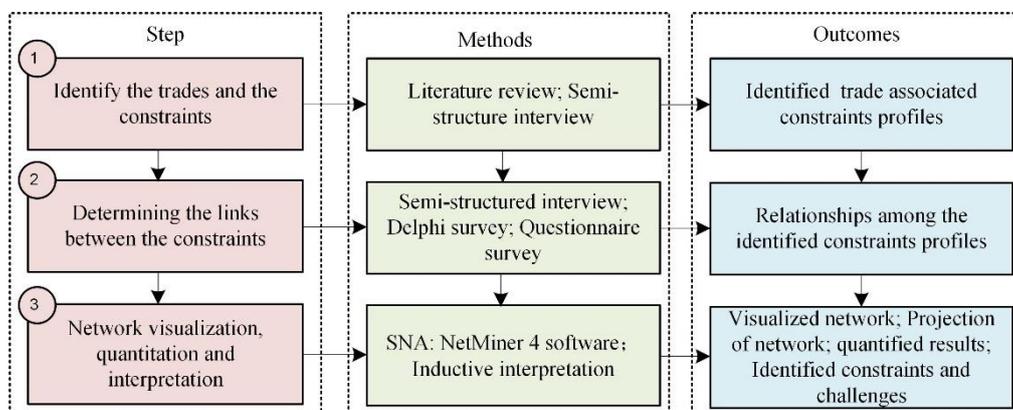


Figure 2. Framework of methodology.

#### 3.1. Identification of the Trade Associated Constraints

The first step is to identify the trades and their constraints during the on-site assembly process of PHP. Literature review and semi-structured interview were adopted as the input data resources. The chain-referral sampling method was adopted in this step. Three representatives from the contractors of a typical PHP in Hong Kong were selected as they had direct involvement in the assembly process of the project, and they were all above senior management level. A tentative trade list for assembling the precast component (which was compiled based on literature review) was used as a reference. The Construction Industry Council (CIC) in Hong Kong has listed 139 building construction trades and those related to the on-site assembly process of prefabrication were selected in the tentative trade list. These three nominated parties were invited to select the closely-related trades and their associated constraints in the lists and to locate any impacted groups which were still absent. For example, one of the interviewees pointed out that “The work of a tower crane operator is often affected the lack of blind zone operation procedure/instruction, the unavailable lifting and placing location and the lack of communication mechanism. These constraints will have significant influences on the schedule control of the PHP projects”. After a series of interviews, 10 trades ( $T_i$ ) were finally confirmed, namely, (1) site superintendent, (2) expeditor, (3) quality inspector, (4) tower crane operator, (5) tower foreman, (6) crane banksman, (7) buffer foreman, (8) prefabricated components Installer, (9) general laborer, (10) safety supervisor. Apart from 21 constraints identified by literature review in Table 1, other 10 constraints (C7, C10, C13, C14, C15, C16, C19, C24, C25, C30) were examined through the semi-structured interviews (Table 2). The transcripts of the three interviews were sent again to the representatives of the identified 10 trades for feedbacks. The outcomes of the first step are identified trade-associated constraints profiles. Therefore, a total of 31 constraints were identified, with 10 respective trades generating 65 nodes. These constraints are grouped into two broad categories (information and physical) and several subcategories according to their characteristics, namely quality, schedule, safety, resource, prerequisite work and environment. These nodes were coded as  $T_iC_j$ , where  $i$  represents a specific trade and  $j$  indicates the related constraint factor.

**Table 2.** Identified trades and associated constraints.

ID	Trades	Constraints	Category
T1C1 T8C1	T1: Site superintendent T8: Prefabricated products Installer	C1: Incomplete shop drawings and BIM models	Informational quality
T1C2 T3C2 T5C2 T8C2	T1: Site superintendent T3: Quality inspector T5: Tower foreman T8: Prefabricated products Installer	C2: Incomplete specifications	Informational quality
T1C3	T1: Site superintendent	C3: Lack of permits	Informational quality
T1C4 T5C4	T1: Site superintendent T5: Tower foreman	C4: Unavailable and unassigned labor resources	Physical resource
T1C5 T2C5 T4C5 T6C5	T1: Site superintendent T2: Expeditor T4: Tower crane operator T6: Crane banksman	C5: Bad weather conditions	Physical environment
T2C6	T2: Expeditor	C6: Unavailable production and transportation schedule	Informational schedule
T2C7	T2: Expeditor	C7: Lack of traceable status of prefabricated products	Informational schedule
T2C8	T2: Expeditor	C8: Bad conditions of transportation vehicle and route	Physical environment
T3C9	T3: Quality inspector	C9: Unavailable quality control hold-points	Physical prerequisite work
T3C10 T8C10	T3: Quality inspector T8: Prefabricated products Installer	C10: Unavailable connection points for handling	Physical prerequisite work
T3C11	T3: Quality inspector	C11: Lack of testing instructions	Informational quality
T4C12 T6C12 T7C12	T4: Tower crane operator T6: Crane banksman T7: Buffer foreman	C12: Incomplete identification of installation products	Physical prerequisite work
T4C13 T6C13	T4: Tower crane operator T6: Crane banksman	C13: Lack of lifting load capacity	Physical resource
T4C14 T6C14	T4: Tower crane operator T6: Crane banksman	C14: Lack of collision-free path planning	Informational safety
T4C15 T6C15	T4: Tower crane operator T6: Crane banksman	C15: Unavailable lifting and placing location in the assembly process	Informational schedule
T5C16	T5: Tower foreman	C16: Incomplete plan for the movement and location of the crane	Informational schedule
T3C17 T5C17 T8C17 T10C17	T3: Quality inspector T5: Tower foreman T8: Prefabricated products Installer T10: Safety supervisor	C17: Unavailable equipment and devices	Physical resource
T5C18	T5: Tower foreman	C18: Lack of crane maintenance plan	Informational safety
T4C19 T6C19	T4: Tower crane operator T6: Crane banksman	C19: Lack of blind zone operation procedure/instruction	Informational schedule
T2C20 T4C20 T6C20 T7C20 T8C20	T2: Expeditor T4: Tower crane operator T6: Crane banksman T7: Buffer foreman T8: Prefabricated products Installer	C20: Lack of visible and audible communication mechanism	Informational schedule
T7C21	T7: Buffer foreman	C21: Inadequate buffer space	Physical resource
T7C22	T7: Buffer foreman	C22: Lack of optimal buffer layout	Informational schedule
T4C23 T6C23 T7C23	T4: Tower crane operator T6: Crane banksman T7: Buffer foreman	C23: Lack of optimal installation sequence	Informational schedule
T2C24 T3C24 T4C24 T6C24 T7C24 T8C24	T2: Expeditor T3: Quality inspector T4: Tower crane operator T6: Crane banksman T7: Buffer foreman T8: Prefabricated products Installer	C24: Unavailable prefabricated elements	Physical resource

Table 2. Cont.

ID	Trades	Constraints	Category
T4C25 T6C25 T7C25	T4: Tower crane operator T6: Crane banksman T7: Buffer foreman	C25: Unavailable necessary rigging	Physical resource
T8C26	T8: Prefabricated products Installer	C26: Inadequate workforce/work space	Physical resource
T8C27	T8: Prefabricated products Installer	C27: Unavailable installation instructions	Informational quality
T8C28 T9C28 T10C28	T8: Prefabricated products Installer T9: General laborer T10: Safety supervisor	C28: Unavailable temporary structures	Physical resource
T10C29	T10: Safety supervisor	C29: Inadequate safety training and hazards identification	Informational safety
T5C30 T10C30	T5: Tower foreman T10: Safety supervisor	C30: Unavailable safety check points	Physical prerequisite work
T10C31	T10: Safety supervisor	C31: Incomplete special personal protection equipment (PPE) instructions	Informational safety

### 3.2. Determination of Constraint Links

The second step determines links between the identified constraints. Links indicate the influences of one constraint over another, which can be defined in the tightness of collaboration, information sharing potential or communication in a network. A questionnaire survey (by email or face-to-face) was conducted with the interviewees from the 10 trades who had participated in the node identification. They were asked to decide whether a link exists between every two constraints and the direction of links. Then the interviewees were asked to quantify the identified links between two nodes in two aspects: (1) the intensity of impact and (2) the likeliness of this impact to happen. For example, one tower crane operator (T4) pointed out “the unavailable lift and place location in assembly process (C15) will be significantly affected by the availability of the collision-free path planning (C14). The intensity of impact is 5 (very important) and the likeliness of this impact to happen is 90% (highly possible)”. Then the link (T4 C14→T4 C15) determined by this interviewee was 4.5. After integrating all the questionnaires, the Delphi method was conducted to minimize bias from dominant participants. The influence level can be examined by multiplying the intensity of impact and the likeliness. The influent level is zero if no impact exists between two nodes.

### 3.3. Visualization and Quantitation of the Critical Constraints and Interrelationships

The third step is to achieve the visualization and quantitation, in order to examine the critical constraints and their interrelationships. SNA, a method to quantify the relations (links) between different elements (nodes) of a system by integrating mathematical applications [21], was used in this paper for network visualization and quantitation. NetMiner 4 [22], a software for conducting SNA, was selected in this paper. Compared with other methods (e.g., conventional regression analysis), SNA investigates the relationships within or among all the participants and reveals the overall network structure [23]. Six SNA indicators, including the network density and five node/link indicators (degree centrality, closeness centrality, node/link betweenness centrality, status centrality and eigenvector centrality) were selected to identify the critical trades associated constraints. The network density indicates the proportion of potential links in a network and it reflects the complexity of the overall system [21]. The concept of centrality is an outstanding criterion for evaluating the importance of node/link [24]. It determines the individual’s roles within a network and highlights the most important actors of the network [25]. The degree, closeness and betweenness centrality have been widely advocated in SNA studies. Degree centrality is an indicator that counts the neighbors a node has, presenting the fundamental importance of nodes [26]. Closeness centrality reveals the capacity of a node to be reached, which is defined as the sum of the length of the shortest paths between the node and others [27]. Betweenness centrality is a measure of centrality in a graph based on shortest

paths, showing the power of nodes [24]. In addition to the above mentioned three indicators, the status and eigenvector centrality were also used to show the comparisons. Status centrality estimates the prominence of nodes since it takes every connection between each node into account [22]. Eigenvector centrality is a more sophisticated method as it measures the influence of a node with different amounts of power [28]. Nodes with higher centrality are worth more attention. Corresponding strategies are then explored to mitigate the constraints for addressing the real-world schedule problems in PHP. The effectiveness of the strategies was further validated by professionals of whole processes of other PHPs in Hong Kong.

#### 4. SNA-Based Constraint Analyses

##### 4.1. Results at the Network Level

The links in the network are further defined to show the influence between two nodes. The network composes of 65 trade associated constraints connected by 659 links (Figure 3). In the network graph, nodes represent trades associated constraints and the node colors and shapes indicate the constraint types and trade categories, respectively. The arrows illustrate the influence of a constraint to another. Constraints with more links showing their central position compared with others. The network density is 0.158 and the average distance of nodes is 2.495 walks, indicating the network is dense enough [21].

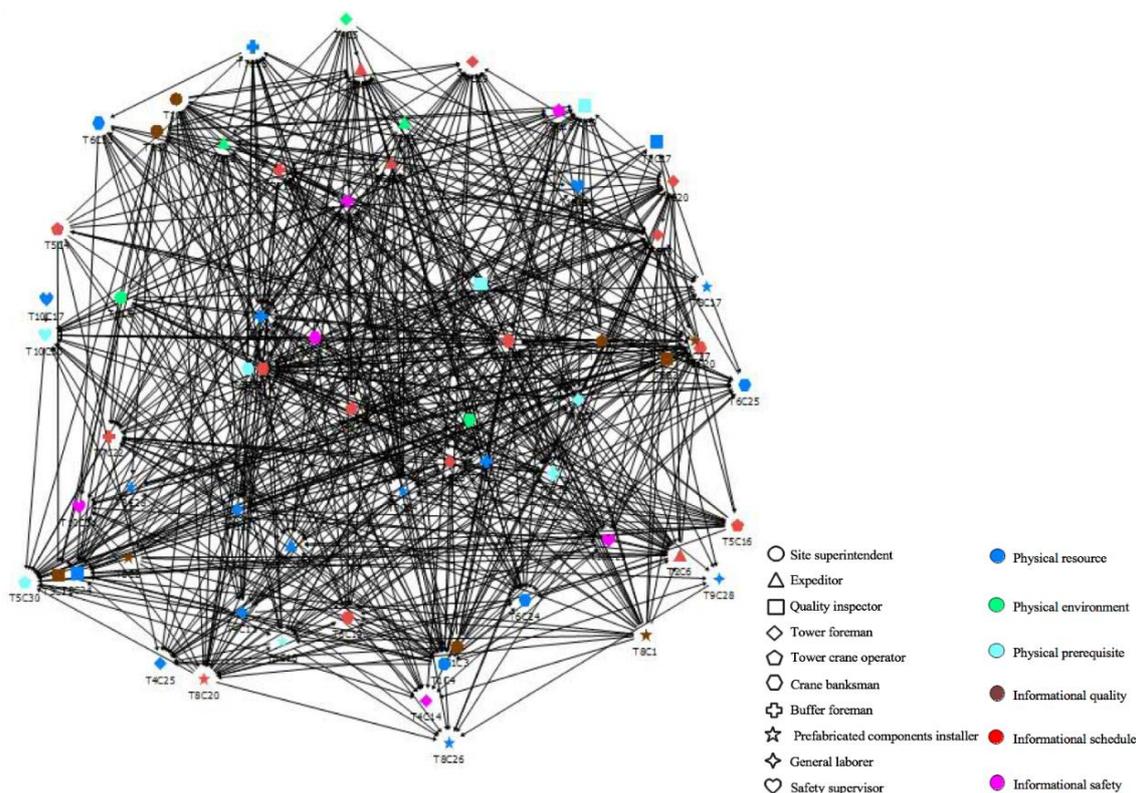


Figure 3. Trades associated schedule constraint network.

##### 4.2. Results at the Node Level

The influences of nodes and their properties were explored by computing the node level indicators. The first fifteen rankings in each of the five indicators were presented in Table 3 and nodes ranked at the top three are highlighted in bold. The higher values of the indicators are worth more attention and they are considered as the critical constraints influencing the schedule. For example, “T1C4” (“Unavailable and unassigned labor resources” sourced from the site superintendent) has a higher

out-degree centrality (0.8984) and out-status centrality (1.6879), indicating it exerts stronger influences on its neighbors and the entire network since both the direct and indirect influence via its immediate neighbors are calculated. “T1C4” also has the highest out-closeness centrality (0.5833), showing a higher degree to easily reach others (shortest distance). The importance of labor resources has also been demonstrated by several studies. For example, Blismas and Wakefield [17] pointed out that the leading driver of PHP is undoubtedly the increasing shortage of skilled labor, especially the machine-oriented skills labor. This is mainly caused by the fact that prefabrication is by nature different from in-situ cast concrete construction [29]. The unavailable and unassigned labor resources not only impact on the neighbored nodes (e.g., unavailable temporary structures for a general laborer (T9C28) but the entire network as skilled labors are needed for all the processes. Compared with the three indicators which show the influence of one node to other nodes, the node betweenness centrality evaluates the impact of one node to the connections. “T4C23” (“Lack of optimal installation sequence” sourced from the tower crane operator) has a higher node betweenness centrality compared with others (0.0921), showing its high occurrence to be an intermediary role to connect different parts of a network. According to Pryke [30], ignoring the nodes with high betweenness centrality may lead to disintegration. Compared with degree centrality, eigenvector centrality considered the different weight of high or low-scoring nodes. The eigenvector centrality of “T4C23” is also the highest (0.2621), showing it is connected to many nodes which themselves have high scores. The importance of the optimal installation sequence has also been highlighted by Hwang, Shan [19] and Li, Hong [1]. For example, the lack of optimal installation sequence and the lack of collision-free path planning can lead to numerous reworks in the horizontal and vertical transportation of the prefabricated products. Similarly, another five essential constraints are also selected and addressed in this paper to better facilitate the schedule control of PHP, namely T6C23, T2C20, T4C15, T7C22 and T1C5 (See Table 3). Most of the constraints have also been highlights in previous studies, whereas the T4C15 (“Unavailable lift and place location in assembly process” sourced from the tower crane operator) has not been identified before. It determines how to locate the prefabricated products at points of lifting and placing in a fast manner.

A list of fifteen critical trade-associated relationships sourced from or targeted to the critical nodes is summarized in Table 4. Among the 659 links, 212 relationships source from or target to the identified constraints. A greater betweenness centrality means a more influencing tie connected with an interaction [30]. It presented that the relationship of “T4C15→T6C14” has the most influencing tie compared with others, followed by relations of “T7C23→T7C22”. The available lifting and placing location in the assembly process will directly influence the collision-free path planning for crane banksman. Without addressing this constraint, the probability of safety issues may happen. Although previous studies have not proposed this links before, it was highlighted by the interviewers. For the link “T7C23→T7C22”, the lack of optimal installation sequence may lead to the lack of optimal buffer layout. Without efficient arrangement of the prefabricated products at buffer, more rework and searching time is needed. Although most studies have demonstrated the vital role of the installation sequence for schedule control of PHP [19], few of them have explored its interaction with other constraints.

**Table 3.** Top trade associated constraints based on the node-level results.

Rank	Node	Out-Degree Centrality	Node	Out-Status Centrality	Node	Node betweenness Centrality	Node	Out-Closeness Centrality	Node	Eigenvector Centrality
1	T1C4	0.8984	T1C4	1.6879	T4C23	0.0921	T1C4	0.5833	T4C23	0.2621
2	T6C23	0.7500	T6C23	1.3250	T1C4	0.0857	T2C20	0.5234	T6C23	0.2523
3	T4C23	0.6656	T2C20	1.2452	T4C15	0.0681	T1C5	0.5026	T4C15	0.2455
4	T2C20	0.6469	T10C29	1.1973	T10C30	0.0562	T8C1	0.4977	T7C22	0.2109
5	T8C20	0.6313	T4C20	1.1589	T2C20	0.0537	T2C6	0.4851	T7C23	0.1973
6	T4C20	0.6297	T6C19	1.1428	T2C7	0.0533	T1C1	0.4851	T8C24	0.1944
7	T7C20	0.6156	T4C23	1.1304	T4C19	0.0526	T1C2	0.4804	T7C21	0.1893
8	T6C20	0.5766	T7C20	1.1238	T10C29	0.0475	T1C3	0.4804	T1C4	0.1873
9	T2C8	0.5688	T8C20	1.1141	T6C23	0.0434	T8C2	0.4757	T7C24	0.1836
10	T10C29	0.5297	T2C6	1.0822	T7C12	0.0347	T4C20	0.4667	T4C20	0.1723
11	T7C22	0.5250	T6C20	1.0721	T8C10	0.0321	T8C20	0.4667	T6C15	0.1709
12	T6C19	0.4766	T4C19	1.0448	T8C20	0.0301	T5C2	0.4657	T7C12	0.1676
13	T2C6	0.4734	T1C5	1.0079	T3C2	0.0301	T3C2	0.4623	T7C20	0.1666
14	T8C27	0.4625	T8C27	0.9522	T7C22	0.0297	T2C5	0.4615	T6C20	0.1628
15	T4C19	0.4516	T7C22	0.8939	T3C10	0.0295	T7C20	0.4579	T2C20	0.1615

**Table 4.** Critical interactions based on link betweenness centrality.

Rank	Link ID	Link betweenness Centrality	Link Description
1	<b>T4C15</b> → <b>T6C14</b>	109.8	Unavailable lifting and placing location in the assembly process for a tower crane operator leads to the lack of collision-free path planning for a crane banksman
2	<b>T7C23</b> → <b>T7C22</b>	79.41	Lack of optimal installation sequence leads to the lack of optimal buffer layout for a buffer foreman
3	<b>T2C20</b> → <b>T2C6</b>	65.92	Lack of visible and audible communication mechanism leads to the unavailable production and transportation schedule for an expeditor
4	<b>T2C20</b> → <b>T6C14</b>	47.71	Lack of visible and audible communication mechanism for an expeditor leads to the lack of collision-free path planning for a crane banksman
3	<b>T4C13</b> → <b>T4C23</b>	45.86	Lack of lifting load capacity leads to the lack of optimal installation sequence for a tower crane operator
4	<b>T6C13</b> → <b>T6C23</b>	42.55	Lack of lifting load capacity leads to the lack of optimal installation sequence for a crane banksman
5	<b>T1C4</b> → <b>T8C28</b>	36.13	Unavailable and unassigned labor resources for a site superintendent leads to the unavailable temporary structures for a prefabricated products installer
6	<b>T1C4</b> → <b>T9C28</b>	35.63	Unavailable and unassigned labor resources for a site superintendent leads to the unavailable temporary structures for a general laborer
7	<b>T1C4</b> → <b>T10C28</b>	35.63	Unavailable and unassigned labor resources for a site superintendent leads to the unavailable temporary structures for a safety supervisor
8	<b>T4C23</b> → <b>T7C21</b>	33.21	Lack of optimal installation sequence for a tower crane operator leads to the inadequate buffer space for a buffer foreman
9	<b>T7C12</b> → <b>T6C23</b>	30.07	Incomplete identification of installation products for a buffer foreman leads to the lack of optimal installation sequence for a crane banksman
10	<b>T1C4</b> → <b>T5C18</b>	27.4	Unavailable and unassigned labor resources for a site superintendent leads to the lack of crane maintenance plan and instruction for a tower foreman
11	<b>T2C20</b> → <b>T5C18</b>	26.06	Lack of visible and audible communication mechanism for an expeditor leads to the lack of crane maintenance plan and instruction for a tower foreman
12	<b>T7C12</b> → <b>T4C23</b>	21.96	Incomplete identification of installation items for a buffer foreman leads to the lack of optimal installation sequence for a tower crane operator
13	<b>T4C25</b> → <b>T4C15</b>	21.25	Unavailable necessary rigging leads to the unavailable lifting and placing location in the assembly process for a tower crane operator
14	<b>T6C12</b> → <b>T6C23</b>	21.13	Incomplete identification of installation items for leads to the Lack of optimal installation sequence for a crane banksman
15	<b>T4C12</b> → <b>T4C23</b>	20.36	Incomplete identification of installation items leads to lack of optimal installation sequence for a tower crane operator

## 5. Discussion

### 5.1. Identification of Critical Challenges within the Assembly Process of PHP

Based on the results, the significant challenges were identified by categorizing the constraints and their relationships (Table 5). For example, four relationships (including “**T4C13**→**T4C23**”, **T7C12**→**T4C23**, **T4C12**→**T4C23** and “**T4C23**→**T7C21**”) described issues about the lack of optimal installation sequence. “**T4C15**→**T6C14**” and “**T4C25**→**T4C15**” revealed the drivers of unavailable lifting and placing location in the assembly process. “**T7C23**→**T7C22**” shed lights on the lack of optimal buffer layout may influence the schedule of PHP. These three links were put under one category in

which major challenges in the schedule of PHP were determined: “Lack of optimal location planning”. Following the same principle, three significant challenges are determined: (1) Communication barriers among different trades; (2) Lack of optimal installation planning; and (3) Unavailable labor and equipment resources.

**Table 5.** Critical trades-associated constraints.

Challenges in the Schedule of PHP	Critical Constraint Code	Constraints	Trade	Associated Critical Links
1. Communication barriers among different trades	T2C20	Lack of visible and audible communication mechanism	Expeditor	T2C20→T2C6 T2C20→T8C17 T2C20→T5C18
2. Lack of optimal installation planning	T4C15	Unavailable lifting and placing location in the assembly process	Tower crane operator	T4C15→T6C14 T4C25→T4C15
	T4C23	Lack of optimal installation sequence	Tower crane operator	T4C13→T4C23 T7C12→T4C23 T4C12→T4C23 T4C23→T7C21
	T6C23	Lack of optimal installation sequence	Crane banksman	T6C13→T6C23 T7C12→T6C23 T6C12→T6C23
3. Unavailable labor and space resources	T1C4	Unavailable and unassigned labor resources	Site superintendent	T1C4→T8C28 T1C4→T9C28 T1C4→T10C28 T1C4→T5C18
	T7C22	Lack of optimal buffer layout	Buffer foreman	T7C23→T7C22

The communication barriers have long been highlighted by previous studies when conducting the PHP [1,31]. The frequent communication and effective coordination can ensure the logistics arrive on time and reduce the number of defects during the assembly process. Li, Shen [7] and Li, Wu [32] pointed out that advanced technologies (e.g., BIM and smart construction objects (SCOs)) can better enhance the information communication and real-time tracking of PHP, which helps to control schedule. Similar studies have been conducted by Isaac, Curreli [33] and Niu, Lu [34]. Mostafa, Kim [35] also emphasized that BIM can effectively minimize the communication-specific issues for prefabrication practice. If the lack of installation planning cannot be timely addressed, they will prevent the crane efficiency from transporting the prefabricated products (from lift point to the place point) in a JIT manner. The labor and equipment resource limitations also lead to a resource-constrained project scheduling problem [11]. It shows the demand for retraining the labors both on-site and off-site and the need to shift the labor-intensive construction industry to a knowledge-based industry.

The identified constraints were further validated by 14 professionals of whole processes of PHP in Hong Kong (See the background of the interviewees and their comments in Appendix A). All industry professionals had more than 10 years of experience in the development, operation and management of PHP projects and related technologies. It is expected that they can provide an unbiased assessment.

## 5.2. SWP-Enabled Strategies for Automatic Constraint Modeling of PHP

The identified trade-associated constraints and their relationships shown the key points for schedule control of PHP. However, this identification process is relative static and can be executed once, whereas the statuses of constraints change over time. How to achieve automatic constraints identification and dynamic constraint relationship mapping under different constraint scenarios is thus vital for better constraint management. Since the latest constraint information is essential for the superintendent to check progress and to improve constraints timely. Therefore, a smart work

packaging (SWP) system based on the established work packaging and smart construction objects (SCOs) is suggested in this paper. SWP can be defined as the PHP workflows (e.g., technical process) decomposed in accordance with production breakdown structure (PBS) of building systems that are made smart by augmenting with the capacities of visualizing, tracking, sensing, processing, networking, reasoning so that they can be executed autonomously. An example of SWP-enabled system for achieving better constraint modelling is illustrated in the following:

- An SWP within prefabricated products related functional and physical information, generated from BIM platform based on the building systems and PBS of PHP, is assigned to a site buffer operator for managing related constraints so that efficient on-site assembly process and Just-in-time prefabricated products delivery can be achieved. When the first batch of prefabricated products arrived, the SWP starts to assist site buffer operator in executing constraint management. The SNA module will be embedded as a sub-service to identify the critical constraints such as the availability of workforce and work face in the assembly position point, the quality of arrived prefabricated products, the availability of space and workforce in site buffer and their interrelationships such as composition, interface and dependency. Workers from buffer operator will register and log-in through SNA sub-service of their own SWP and abstract constraint template. The constraint relationships will then be scored and evaluated. When the critical constraints (e.g., space constraint in the buffer limits the stockpiles of prefabricated products) have been identified, the SWP can assign a task to the buffer foreman with optimal solutions. For example, the autonomous crane tower near the buffer can pick up the task to transport the prefabricated products from trailer to the buffer in an optimal path (e.g., safety, short duration).

To realize scenarios as the one given, two steps are designed for SNA sub-service module: First, automatic constraint identification which requires agilely detecting all critical constraints is conducted. The SWP can enhance this step in a passive autonomy manner by pre-programming the templated constraint classification with an open-data integration approach for constraint instantiation. Although PHP projects are unique, they share some similar types of constraints at the operational level and this observation motivated SWP to develop a templated database for organizing the potentially significant amount of constraints. Once the template is applied to the SWP, it instantiates a set of pre-defined constraints and networking each constraint in this SWP or among other SWPs to identify critical constraints in the near future tasks. Second, dynamic constraint relationship mapping is conducted. In real PHP project situation, constraints are not independent and have dynamic interrelationships. SWP can embed the constraint awareness into the physical environment and informational process to sense and simulate the interrelationships by push or pull interaction modes.

## 6. Conclusions

This paper examined the critical trade associated constraints and modeled their interrelationships in task executions during the on-site assembly process of PHP. Six indicators of SNA method, namely network density, degree centrality, closeness centrality, betweenness centrality, status centrality and eigenvector centrality were calculated using the NetMiner 4 software. Three SWP-enabled strategies were suggested to address the challenges within the schedule control of PHP.

The paper concludes that seven critical trade-associated constraints are worth higher attention for schedule control of PHP. These constraints were further analysed and it indicated that the on-site team should focus more attention on the availability of the labor resources, the optimal installation planning and the effectiveness of the communication mechanism. Retraining the labors becomes quite urgent as the increasing shortage of machine-oriented skills labor. The on-site team members (especially the crane banksman and tower crane operator) should pay more attention to the optimal installation sequence and collision-free path planning, which can dramatically reduce the reworks in the horizontal and vertical transportation of the prefabricated products. Advanced technologies were also encouraged (e.g., RFID chips, BIM interface, GPS) to enhance the frequent communication

and effective coordination among different trades. The paper contributes theoretically to identify a complete boundary of trades associated constraints and their relationships existing in and among PHP from a network perspective. The findings are also expected to benefit practitioners on what significant challenges exist in the schedule management and proposes a new perspective of using SWP-enabled strategies to mitigate schedule problems.

Although the constraint identification process by using SNA is static, it is the first and essential step to conduct a smarter constraint management of PHP. To explore automated constraint identification and dynamic constraint relationship mapping under different constraint scenarios, the SWP-enabled strategies were put forward in this paper. An example for achieving better constraint modelling was provided for better illustrating the proposed strategies. Two steps of the SNA sub-service module were also suggested. The exploration of the SWP-enabled strategies helps to better facilitate the use of SNA technique to identify the critical constraints and their dynamic relationships automatically considering different scenarios and more resilient process.

Though the limited single case was adopted in this paper, the challenges proposed can still show practical insights into prefabricated buildings in Hong Kong. Future empirical studies could be undertaken to compare the findings and to develop more strategies through multi-case study approach. Additionally, further studies should be explored to achieve the dynamically, timely and efficiently improvement and continual prediction of the constraints after identification process. Therefore, constraint optimization and monitoring are suggested. For example, advanced optimization algorithms can be conducted for efficient layout design considering both optimal buffer utilization and hoisting sequencing (e.g., first-in-first-assembly). Different action strategies according to the checking results can be performed and the historical variation can be used to train and predict the next variation in a robustness manner.

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### Appendix A. The Background of the 14 Interviewees and Their Contributions

No.	Organization	Expertise	Years of Experience	Comments
1	HKHA (Client)	Construction Management	20+	<ol style="list-style-type: none"> <li>1. The typhoon and extremely hot weather in Hong Kong influence the assembly process.</li> <li>2. On-site assembly process needs more skilled workers.</li> <li>3. The lifting load capacity should be satisfied before the start of PHP.</li> </ol>
2		Supply Chain Management	20+	<ol style="list-style-type: none"> <li>1. Communicating with logistics efficiently ensures the JIT delivery of products, which is very important for schedule control.</li> <li>2. Traceability of prefabricated products reduce the uncertainty. The RFID chips are useful.</li> <li>3. The site superintendent needs to make cycle plan to control the whole schedule.</li> </ol>
3	Housing Society (Client)	Lean Construction	20+	<ol style="list-style-type: none"> <li>1. To achieve the JIT delivery is important for PHP compared with traditional construction.</li> <li>2. There should be completed plans for the assembly cycle, location of crane, the placing location of the products, the procedure for installation, the collision-free path, etc. The unvalued steps should be recognized to reduce the waiting time.</li> </ol>
4		Production Management	20+	<ol style="list-style-type: none"> <li>1. How to identify the critical constraints automatically using SWP is important. The templated constraints classification through integrating the open-data should be established initially.</li> <li>2. For example, When the prefabricated products arrived at the construction site, SWP starts to identify the constraints, e.g., the availability of workforce in the assembly location, the quality of arrived prefabricated products, the availability of space and workforce in site and their interrelationships by networking with other SWPs.</li> </ol>

No.	Organization	Expertise	Years of Experience	Comments
5	Gammon Construction (Contractor)	Construction Management	15+	<ol style="list-style-type: none"> <li>Labor and equipment resource limitations should be further addressed. More skilled workers are needed.</li> <li>The optimal buffer layout reduces the searching time during the assembly process.</li> <li>The installation sequence instruction reduces the non-value time to achieve the schedule control.</li> </ol>
6		BIM	10+	<ol style="list-style-type: none"> <li>Completed BIM model improves the constructability of PHP and reduces the rework.</li> <li>More advance technologies are encouraged.</li> <li>The whole assembly process of PHP should be more automatic, integrated, intelligent and traceable.</li> <li>How to address the current communication barriers is vital, especially during the delivery and lifting process.</li> </ol>
7	Aggressive (Contractor)	Construction Management	15+	<ol style="list-style-type: none"> <li>Communication mechanism needs to be enhanced.</li> <li>Delivering products on time reduces the waiting time and helps to control schedule.</li> <li>Optimal installation planning reduces the reworks in the horizontal and vertical transportation of the prefabricated products.</li> </ol>
8		BIM	10+	<ol style="list-style-type: none"> <li>The SWP system can automatically simulate and to analyse the effect on project performance under different constraints scenarios.</li> <li>For example, the SWP can be assigned to a site buffer foreman for managing related constraints so that efficient on-site assembly process and JIT prefabricated products delivery can be achieve.</li> </ol>

No.	Organization	Expertise	Years of Experience	Comments
9		Prefabrication Production	10+	<ol style="list-style-type: none"> <li>1. The Production Breakdown System (PBS) can be established based on the system of prefabrication.</li> <li>2. Skilled labors are needed.</li> <li>3. Effective communication between different stakeholders helps to reduce the misunderstanding.</li> </ol>
10	WHS (Manufacturer)	Process Operations	10+	<ol style="list-style-type: none"> <li>1. How to optimize the constraints is a succeeding functional strategy of constraints identification, which ensures all the constraints to be timely improved. The detailed timeline with alternative network paths should be pre-planned.</li> <li>2. For example, when the space constraint in the buffer limits the stockpiles of prefabricated product, the SWP can assign a task to the buffer foreman with optimal solutions. Then crane tower near the buffer can pick up the task to transport the prefabricated products from trailer to the buffer in an optimal path.</li> </ol>
11	MDM (Logistics)	Supply Chain Management	15+	<ol style="list-style-type: none"> <li>1. Technique (e.g., GPS) for recording the traceable status of prefabricated products can help to reduce the uncertainty of deliveries.</li> <li>2. The JIT delivery relies heavily on the instruction of on-site work team and the availability of the prefabricated elements.</li> <li>3. The height and weight limit on the road for the vehicle, the traffic congestion, the condition of the vehicle, the quantities of the prefabricated elements all have influences on the delivery time.</li> </ol>
12		Logistics and Positioning Technologies	10+	<ol style="list-style-type: none"> <li>1. To achieve constraints traceability is important. For example, the RFID and IOT system can be used for identification purposes. When project suffers delay, the real-time status of constraints can also be used as references for decision-making.</li> <li>2. The status should be updated timely to better facilitate decision making.</li> </ol>

No.	Organization	Expertise	Years of Experience	Comments
13	CIC (Consultancy)	Lean Construction	20+	1. Retraining labors is important and CIC has been conducting a number of part-time training courses for meeting special requests from the construction.
14	TSL (IT Consultancy)	IoTs Solutions	15+	1. To continually track, update and predict the status of the critical constraints enable more resilience to the surroundings. 2. Apart from the constraint identification process, constraints under different scenarios should also be studied.

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