

Combat biofouling with microscopic ridge-like surface morphology: A bioinspired study

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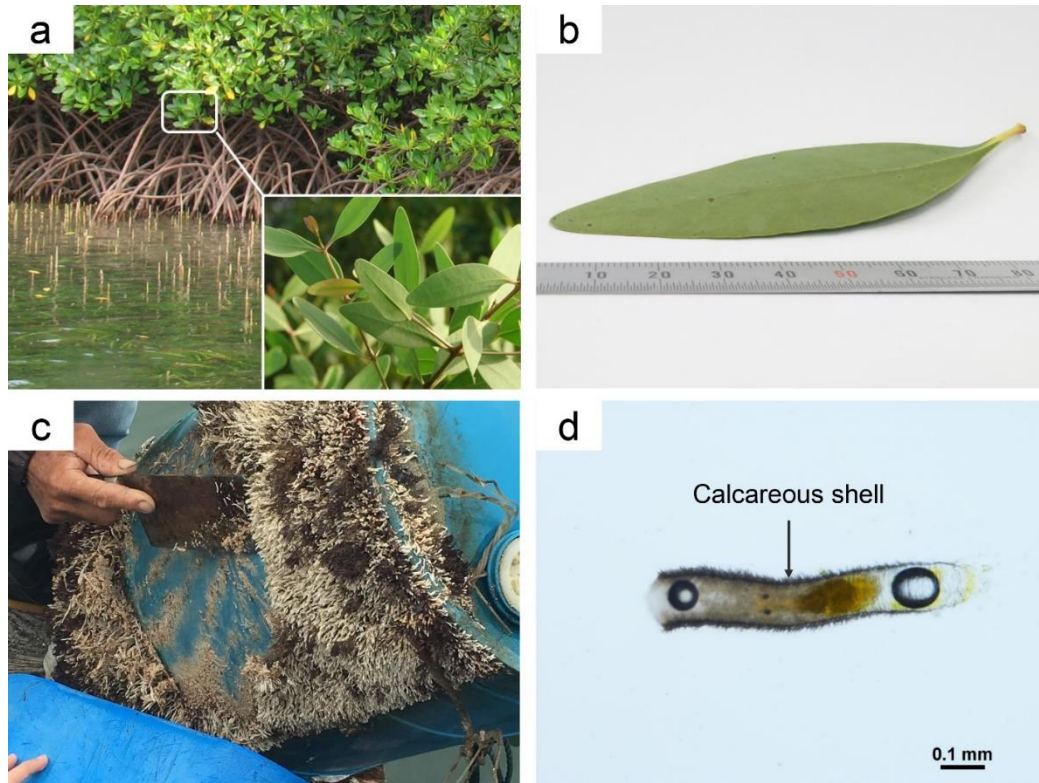
Abstract:

Biofouling refers to the unfavorable attachment and accumulation of marine sessile organisms (*e.g.*, barnacles, mussels, and tubeworms) on the solid surfaces immersed in ocean. The enormous economic loss caused by biofouling in combination with the severe environmental impacts induced by the current antifouling approaches entails the development of novel antifouling strategies with least environmental impact. Inspired by the superior antifouling performance of the leaves of mangrove tree *Sonneratia apetala*, here we propose to combat biofouling by using surface with microscopic ridge-like morphology. Settlement tests with tubeworm larvae on polymeric replicas of *S. apetala* leaves confirm that the microscopic ridge-like surface morphology can effectively prevent biofouling. A contact mechanics-based model is then established to quantify the dependence of tubeworm settlement on the structural features of the microscopic ridge-like morphology, giving rise to theoretical guidelines to optimize the morphology for better antifouling performance. Under the direction of the obtained guidelines, a synthetic surface with microscopic ridge-like morphology is developed, exhibiting antifouling performance comparable to that of the *S. apetala* replica. Our results not only reveal the underlying mechanism accounting for the superior antifouling property of the *S. apetala* leaves, but also provide applicable guidance for the development of synthetic antifouling surfaces.

Keywords: Surface morphology, Antifouling, Textured surface, Surface topography, Bio-adhesion

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37 1. Introduction



38
39 **Figure 1. The antifouling leaves of *S. apetala* and tubeworm *H. elegans*.** (a) *S. apetala* living in
40 intertidal zone. (b) a leaf of *S. apetala*. (c) adult tubeworms *H. elegans* accumulated on a plastic
41 bucket. (d) a larva of *H. elegans* with calcareous shell.

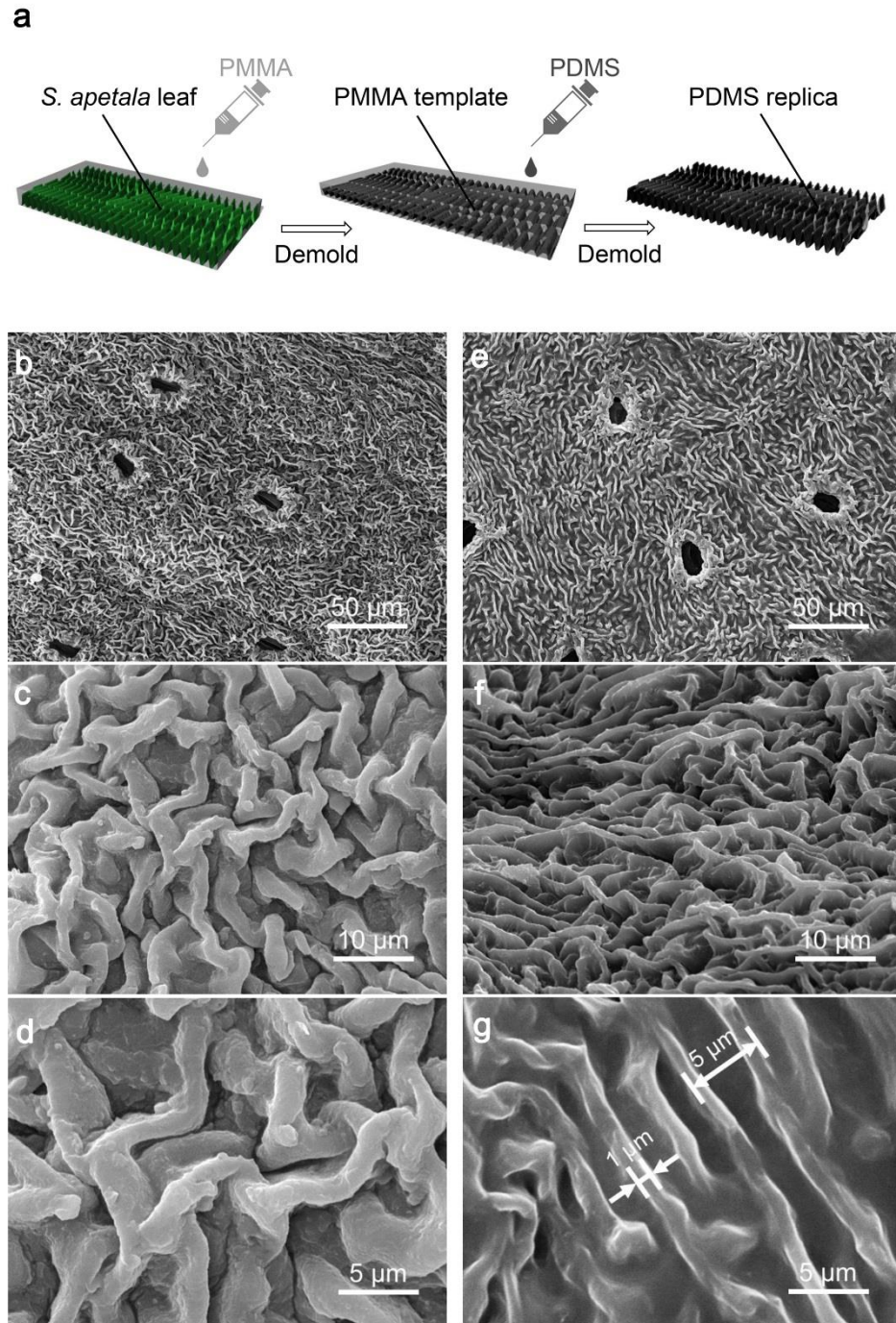
42 Marine biofouling refers to the accumulation of biomolecules and organisms on surfaces of
43 submerged structures in ocean [1-4]. It not only affects the appearance of the structures but also
44 causes a range of substantial impairments to marine industry including increasing frictional drag of
45 ships [2], smothering oceanographic equipment [5], and accelerating structural deterioration [6].
46 Traditional approaches to tackling marine biofouling mainly applied paints incorporated with
47 inorganic and organometallic biocides (*e.g.*, copper compounds and tributyltin (TBT) compounds)
48 [7]. However, many biocides have severe negative impacts on ecology and environment. For
49 example, TBT compounds were found to cause defective growth of mollusk shells and debilitation of
50 immunological defense in fishes [8, 9], therefore the use of TBT in antifouling paints has been
51 banned since the early stage of 21st century. As to the copper-based paints, even though their toxicity
52 was claimed less than those of the TBT-based ones, there are still doubts concerning the effects of

53 high copper concentration on certain marine organisms [10]. To lower the impact of antifouling
54 paints on environment, organic biocides were adopted whose toxicity are still under scrutiny [11].
55 Developing antifouling strategies with least environmental impact is still in need.

56 In nature, many animals and plants have evolved surfaces with excellent antifouling competence.
57 Inspired by these natural antifouling surfaces [12, 13], researchers have devoted themselves to the
58 development of biomimetic chemical antifoulants such as enzymes [14] and metabolites isolated
59 from marine microorganisms[15]. However, there are still challenges for the application of these
60 biomimetic antifoulants including high cost, short-term efficacy, and specificity. In addition to
61 chemistry, structural traits of materials such as surface morphology were also found to play an
62 important role in preventing biofouling [16-20]. For instance, surfaces with micropattern mimicking
63 sharkskin were found effective in prohibiting the settlement of zoospores and cyprids [20]. A
64 polymer coating with surface topography mimicking that of the skin of pilot whale *Globicephala*
65 *melas* was found capable of reducing the settlement strength of zoospores *Ulva* [21]. Apart from
66 animals, plants can also serve as paradigms for developing biomimetic antifouling surfaces. For
67 instance, a replica of *Trifolium* leaf was proven to inhibit settlement of microalgae and facilitate cell
68 release [22]. Recently, mangrove tree of *S. apetala* (see figure 1a) attracted much attention for its
69 unique leaves. As an intertidal plant, *S. apetala* is subject to marine fouling. Interestingly, the leaves
70 of *S. apetala*, compared to its twigs and barks, are almost immune to biofouling. Proposed
71 mechanisms accounting for such excellent antifouling property include low surface wettability,
72 antifoulant of oleanolic acid and post-settlement detachment [23]. However, these may not be the
73 most dominant mechanism because leaves of other mangrove species also share these mechanisms
74 but exhibit much worse antifouling performance [23]. Here, we propose surface morphology as the
75 dominant mechanism for the extraordinary antifouling competence of *S. apetala* leaves. To verify
76 this hypothesis, we firstly prepare a polymeric replica that duplicates the surface morphology of a *S.*
77 *apetala* leaf [24-26]. The antifouling performance of the replica is verified by attachment test with
78 tubeworm larvae [27, 28]. To gain deeper insights into the effects of surface morphology on
79 antifouling performance, theoretical modeling is carried out, giving rise to guidelines for achieving
80 better antifouling performance through morphology optimization. Finally, a biomimetic surface with

81 microscopic ridge-like morphology is synthesized, showing comparable antifouling performance to
82 that of the *S. apetala* leaves.

83 **2. PDMS replica of *S. apetala* leaves**



84
85 **Figure 2.** (a) Schematic of the molding process for preparing PDMS replica of *S. apetala* leaves.
86 (b-d) SEM images of a leaf surface of *S. apetala* compared to (e-g) those of its replica.

87 To investigate the effect of surface morphology on antifouling performance and meanwhile

mask other possible factors such as bioactive compounds, PDMS replicas of *S. apetala* leaves are prepared by a molding process as illustrated by figure 2a (see Materials and Methodologies for detailed description). Figure 2b-g show the surface morphology of a PDMS replica in comparison to that of the *S. apetala* leaf used for duplication. Clearly, the PDMS replica faithfully duplicates the microscopic ridge-like morphology of the *S. apetala* leaf, of which the height and thickness of the ridges are around 5 μm and 1 μm while the inter-ridge spacing is around 5 μm . Moreover, PDMS blocks with similar size but flat surfaces are also prepared as the control specimens for antifouling performance test.

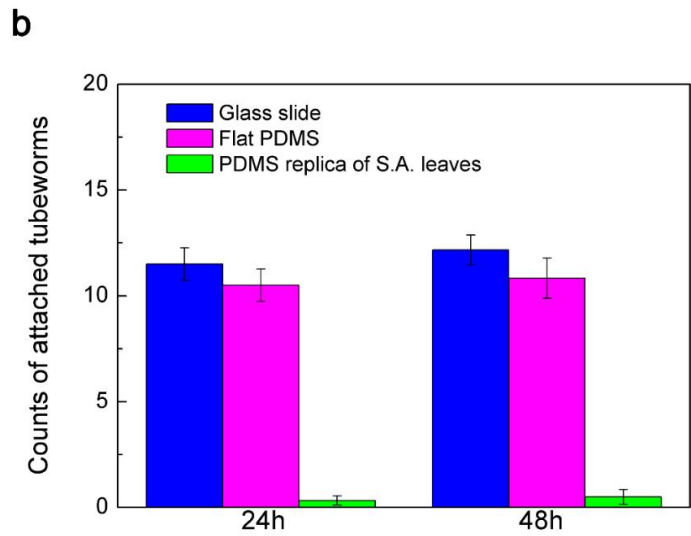
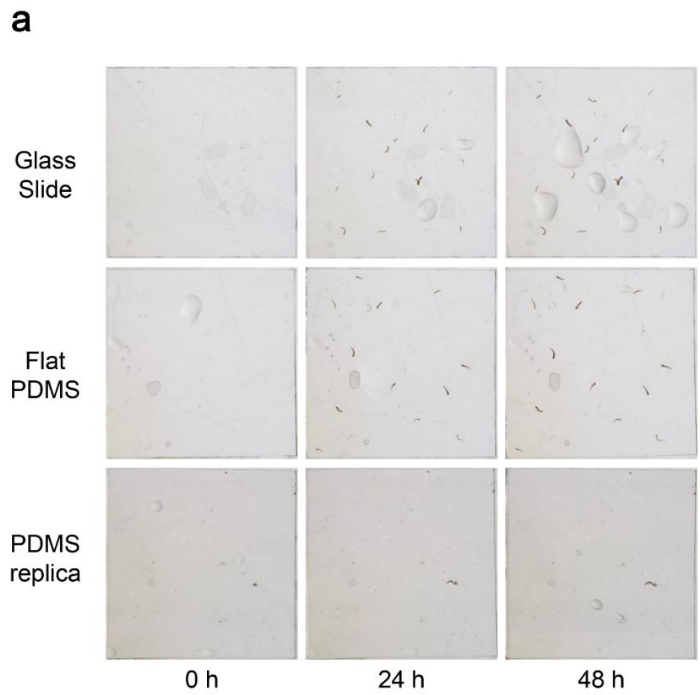


Figure 3. Antifouling performance of the PDMS replica of a *S. apetala* leaf. (a) Attachment of tubeworm larvae on glass slide, flat PDMS surface and PDMS replica of a *S. apetala* leaf after 0 h, 24 h and 48 h immersion. (b) Means \pm SE ($n = 6$) of total counts of tubeworms attached on various surfaces after 24 h and 48 h.

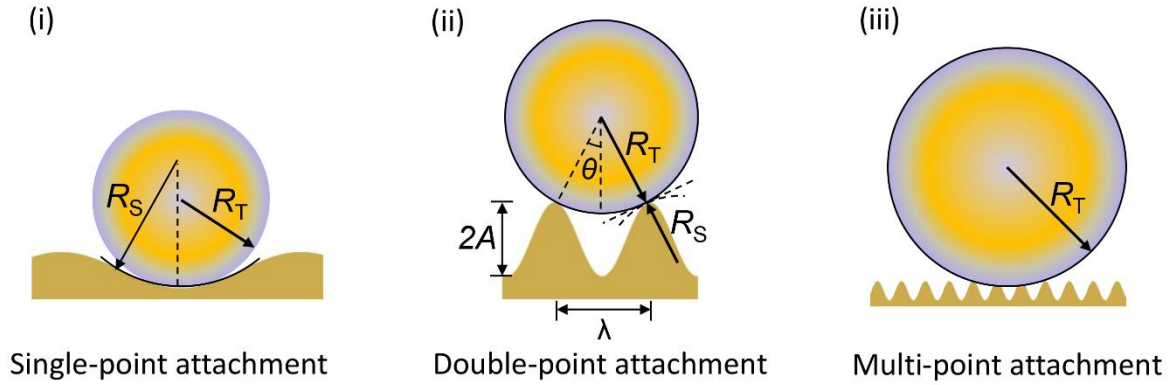
To examine the antifouling performance of the PDMS replicas of the *S. apetala* leaves, settlement tests of tubeworms are carried out with flat PDMS samples and glass slides used as the controls (see Materials and Methodologies for details). Figure 3 shows the numbers of settled tubeworms on different surfaces after 24 h and 48 h immersion. It can be seen that little change happens in the number of settled tubeworms during the period from 24 h to 48 h, implying that the settlement of tubeworms mainly takes place within the first 24 h after immersion. This is most probably because the tubeworms that are unable to attach on the solid surfaces within 24 h will not survive for long. The number of tubeworms settled on the PDMS replicas after 24 h immersion is less than 5% of those on the flat PDMS surfaces and glass slides. Moreover, no significant difference in the numbers of attached tubeworms is observed between the flat PDMS surfaces and glass slides, implying that surface morphology rather than material chemistry plays the dominant role in determining the settlement of tubeworms. This finding evokes an earlier similar study on the attachment of various fouling species on surfaces with microgrooves of different sizes [29]. It was concluded that the settlement of tubeworms on a textured surface is sensitive to the characteristic length scale of the texture. For a given type of texture, there exists an optimal characteristic length that can prohibit the settlement of tubeworms to the best extent. For the [microscopic](#) ridge-like surface morphology of *S. apetala* leaves, does the settlement of the tubeworms depend on its characteristic sizes? Are there any optimal characteristic lengths leading to better antifouling performance? To answer these questions, theoretical analysis is carried out to investigate the adhesion between a tubeworm and a surface with ridge-like morphology.

3. Theoretical modeling

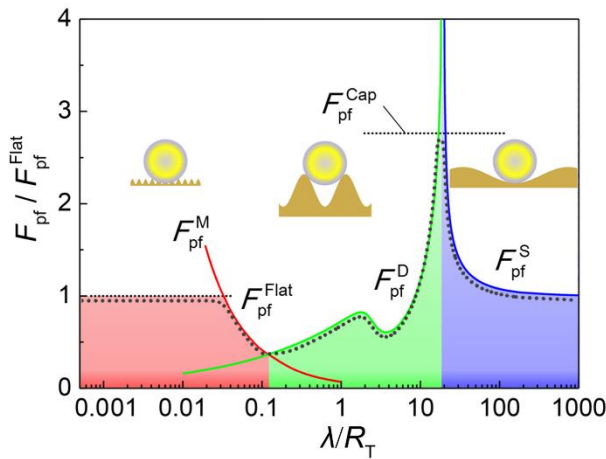
The effect of surface morphology on biofouling has long been recognized and studied [29-33]. One of the earliest theoretical attempts might be the attachment point theory, which indicated that textures with optimal characteristic sizes could prohibit the settlement of foulers. However, as an empirical description of the attachment of foulers on textured surfaces, the attachment point theory

lacks physical basis, much less the quantitative estimation and prediction competence. To shed light on the size dependence of the antifouling performance of the ridge-like morphology, a quantitative model with physical basis, rigorous formulation and prediction competence is in need.

a



b



c

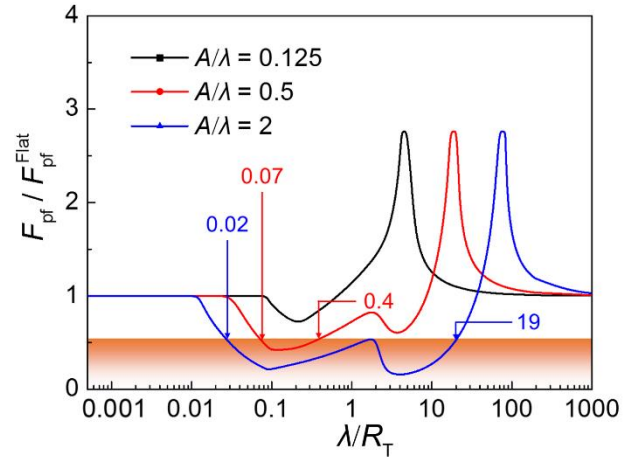


Figure 4. (a) Schematic of three possible configurations of an elastic cylinder in adhesive contact with a wavy substrate. (b) Variation of the normalized pull-off force with λ/R_T for $A/\lambda = 0.5$. (c) Effect of A/λ on pull-off force.

For a tubeworm larva, we assume that the success rate of attachment on a textured surface depends on the maximum adhesion force that can be achieved between them. To quantify the adhesive force between a tubeworm and a textured surface shown in figure 2b, a mechanics model is established. Given the cylindrical shape of tubeworms and the microscopic ridge-like surface morphology of the *S. apetala* leaves, here we neglect the longitudinal dimension of the microscopic ridges and the disorder of their distribution and consider an adhesive contact problem between a 2D (plane strain) cylinder and a substrate with wavy profile (see figure 4a). Even though the fouling

attachment involves complex chemical and biological processes, this simplified model is believed capable of capturing the mechanical essentials of fouling attachment.

Prior to solving the posed problem, it is worthwhile to introduce a useful result concerning the adhesion between an elastic cylinder and a flat substrate. Earlier studies indicated that the pull-off force between a cylinder (plane strain) and a flat substrate, which refers to the force required to separate them, is given by [34]

$$F_{\text{pf}}^{\text{Flat}} = 3 \left(\frac{\pi E^* W^2 R_T}{16} \right)^{1/3} \quad \text{with} \quad E^* = 1 / \left[(1 - \nu_T^2) / E_T + (1 - \nu_S^2) / E_S \right], \quad (1)$$

where E_T , E_S , ν_T , ν_S denote the elastic moduli and Poisson's ratios of the cylinder and the substrate respectively, R_T stands for the cross-sectional radius of the cylinder, and W represents the adhesion energy between the cylinder and substrate.

For a substrate with wavy profile, we assume that the profile is periodic and can be described by a trigonometric function $y = -A \cos\left(\frac{2\pi x}{\lambda}\right)$, where λ and A denote the wavelength and amplitude, two characteristic length scales of the profile, respectively. For simplicity, we assume $A = 0.5\lambda$ for the moment. Cases with other amplitudes will be discussed later. Consider a tubeworm, which is modeled as a cylinder, in contact with such a wavy substrate. Three types of stable configurations may occur, depending on the relative sizes between the tubeworm and the wavy profile. If the wave length of the profile λ is much larger than the tubeworm's radius R_T , a stable configuration for the tubeworm is to rest on the trough of the groove, as shown in figure 4a(i). This configuration is called as single-point attachment. Under this circumstance, the pull-off force is given by (see Supplementary materials for details)

$$F_{\text{pf}}^S = \left(\frac{R_S}{R_S + R_T} \right)^{1/3} F_{\text{pf}}^{\text{Flat}}, \quad (2)$$

where R_S represents the curvature radius at the trough of the substrate. Clearly, R_S as a function of

λ can be derived from the profile function given above. Considering the concave profile at the trough of the profile, one has $R_s \leq -R_T < 0$ which implies that $F_{\text{pf}}^S > F_{\text{pf}}^{\text{Flat}}$. It can be noticed that the pull-off force given in equation (2) goes to infinity when $R_s = -R_T$. Such unrealistic singularity is essentially attributed to the conventional parabolic approximation for circular profile in contact mechanics [34]. A reasonable cap of the pull-off force under the circumstance with $R_s = -R_T$ is estimated to be (see Supplementary materials for details)

$$F_{\text{pf}}^{\text{Cap}} = 1.19 \left(\frac{\pi E^* R_T}{W} \right)^{1/6} F_{\text{pf}}^{\text{Flat}} \quad (3)$$

For the profile with intermediate wave length λ , the spacing between two adjacent ridges is too narrow to accommodate a tubeworm at the trough. For stable attachment, a tubeworm has to straddle over a groove between two adjacent ridges, forming a configuration called double-point attachment (see figure 4a(ii)). In this circumstance, the pull-off force is given by (See Supplementary materials for details)

$$F_{\text{pf}}^{\text{D}} = 2 \cos \theta \cdot \left(\frac{R_s}{R_s + R_T} \right)^{1/3} F_{\text{pf}}^{\text{Flat}} \quad (4)$$

where R_s represents the curvature radius of the substrate at the contact points, and θ is the contact angle designated in figure 4a(ii). Basic geometrical relations indicate that R_s and θ are both functions of R_T and λ , so does F_{pf}^{D} .

If the characteristic size of the profile λ is much smaller than the size of the tubeworm, more than two contact points will form between the tubeworm and substrate, giving rise to a multi-point attachment configuration, as shown in figure 4a (iii). In that case, an approximate solution to the pull-off force is given by (See Supplementary materials for details)

$$F_{\text{pf}}^{\text{M}} = \left(\frac{2}{\pi}\right)^{10/9} \left(\frac{W}{2\pi E^* R_T}\right)^{4/9} \left(\frac{\lambda}{A}\right)^{5/9} \left(\frac{\lambda}{R_T}\right)^{-7/9} \cdot F_{\text{pf}}^{\text{Flat}}. \quad (5)$$

Nevertheless, equation (5) is applicable only to λ in a limited range since F_{pf}^{M} will go to infinity as λ approaches zero. Such unrealistic singularity is attributed to the neglect of the interaction between different contact points in our estimation (See Supplementary materials). Clearly, the wavy substrate will become flat and smooth as λ approaches zero. That is, F_{pf}^{M} should asymptotically approach to $F_{\text{pf}}^{\text{Flat}}$ as λ approaches zero.

Equation (2-5) comprise the whole picture of the variation of pull-off force with the characteristic length scale of surface profile, λ , as depicted by figure 4b. It can be seen that the pull-off force between a tubeworm and a rough surface could be either higher or lower than that on a flat surface, depending on the characteristic length scale of surface roughness. For a surface with sinusoidal profile as we assumed, the maximum pull-off force occurs when the wave length λ is around 10 times of the tubeworm's diameter. The magnitude of the maximum pull-off force is proportional to $(W / \pi E^* R_T)^{1/6}$. The minimum pull-off force, which takes place when $\lambda / R_T = 0.1$ is less than 45% of $F_{\text{pf}}^{\text{Flat}}$, implying the best antifouling performance of a profile with $\lambda = 0.1 R_T$.

The analysis carried out so far is for a given ratio of $A/\lambda = 0.5$. To investigate the effects of profile amplitude A on the pull-off force, similar analysis is performed by taking $A/\lambda = 0.125$ and 2.0 respectively. Figure 4c compares the variations of the pull-off force as a function of λ/R_T for these three cases. When $A/\lambda = 0.125$, there is only a small range of λ/R_T giving pull-off force less than $F_{\text{pf}}^{\text{Flat}}$ with minimum value around $0.7 F_{\text{pf}}^{\text{Flat}}$. With increase of A/λ , the range of λ/R_T that gives rise to relatively lower pull-off force expands. When $A/\lambda = 0.5$, the range of λ/R_T in which the pull-off force is less than $0.5 F_{\text{pf}}^{\text{Flat}}$ is 0.07-0.4. Such range extends to 0.02-19 when $A/\lambda = 2$. Considering the diversity of tubeworms in size, above results imply that high ridges can tackle the fouling of tubeworms of different sizes better. For *S. apetala* leaves and their PDMS replicas, the inter-ridge spacing is around 5 μm which is around 10% of the radius of a tubeworm larva ($\sim 50 \mu\text{m}$). In the

light of figures 4b and c, such ratio of λ/R_T should give rise to a lower pull-off force and therefore better antifouling performance as confirmed by the attachment tests above. Additionally, figures 4b and c also imply practical guidelines for the design of antifouling surface with ridge-like morphology. That is, high ridges, and proper inter-ridge spacing should be adopted.

4. Synthetic antifouling surface with microscopic ridge-like morphology

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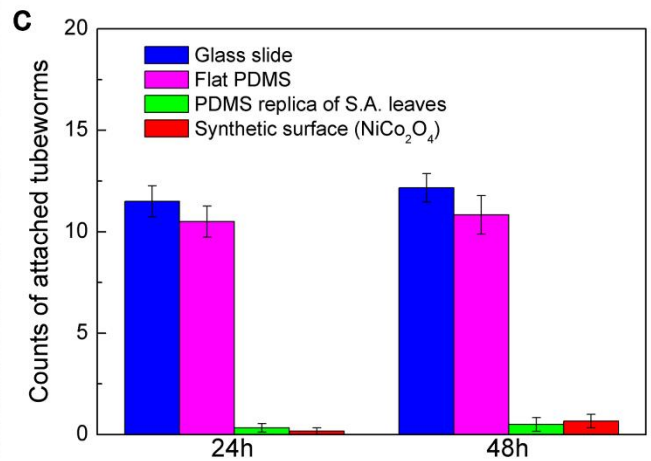
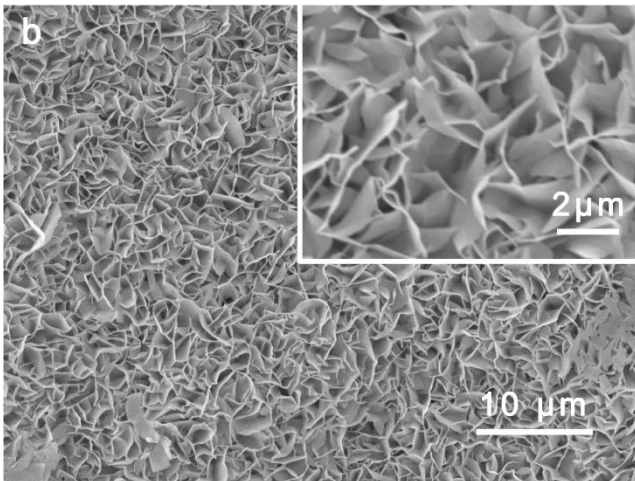
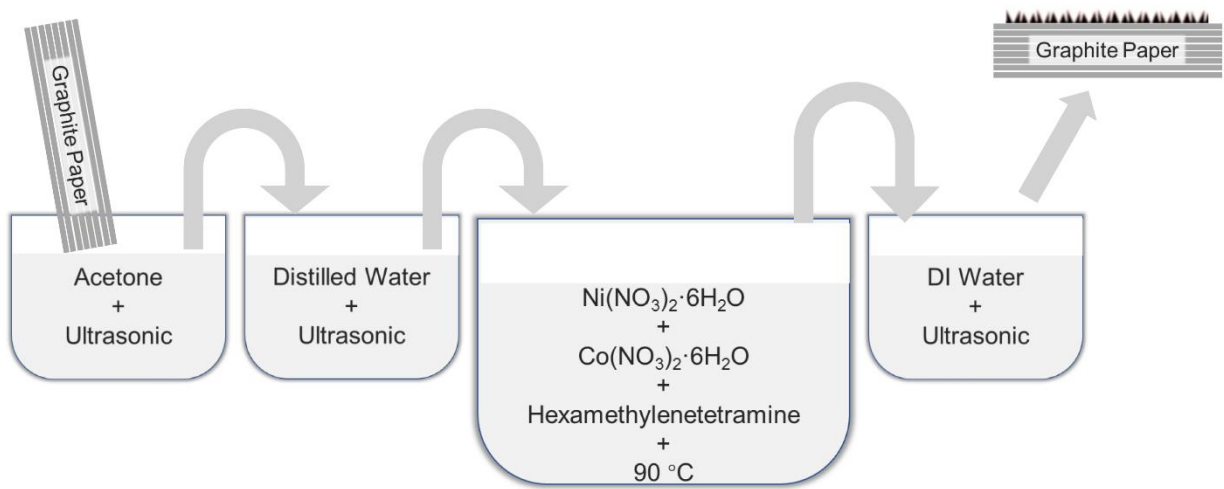


Figure 5. (a) Schematic of the synthesis process of antifouling surface. (b) SEM images of a synthetic surface with microscopic ridge-like morphology. Scale bar in the figure and inset are 10 and 2 μm respectively (c) Means $\pm\text{SE}$ ($n = 6$) of the total counts of tubeworms attached on the synthetic surfaces with microscopic ridge-like morphology.

Above theoretical modeling indicates that high ridges with appropriate inter-ridge spacing are crucial for better antifouling performance. To further verify this finding and overcome the size

limitation of replica of the natural antifouling surfaces, a synthetic surface with microscopic ridge-like morphology is prepared by water bath method with graphite paper in aqueous solution containing Nickel and Cobalt nitrates (see figure 5a and Materials and Methodologies). Figure 5 shows the Scanning Electronic Microscopy images of the obtained surface which is covered with nanoflakes vertically situated on the underlying graphite substrate, giving rise to microscopic ridge-like morphology similar to that of the *S. apetala* leaves. The nanoflakes, which are identified as NiCo_2O_4 , are around tens of nanometers in thickness and a few couple of microns in lateral dimensions. The inter-ridge spacing ranges from several hundred nanometers to several microns. The ratios of λ/R_T and A/λ are estimated to be in the ranges of 0.01-0.1 and 2-20 respectively which, according to figures 4b and c, implies great antifouling potential. Settlement test with tubeworm larvae is also carried out for such synthetic ridge-like surfaces. The numbers of the settled tubeworms after 24 h and 48 h immersion are less than 10% of those on the glass slides and flat PDMS surfaces, as shown in figure 5c. Such outstanding antifouling performance is comparable to that of the PDMS replica of the *S. apetala* leaves. Certainly, for a practical antifouling application, such NiCo_2O_4 nanoflake coating is still at the embryo stage. Its mechanical robustness and drag force in flow fields would be the topics of interest for further investigation.

5. Conclusion

Inspired by the superior antifouling performance of the *S. apetala* leaves, in this work we investigated the effect of surface morphology on antifouling performance. It was demonstrated that the excellent antifouling performance of the *S. apetala* leaves can be attributed to their microscopic ridge-like surface morphology. Theoretical modeling further indicated that high ridge and proper inter-ridge spacing would reduce the attachment probability of foulers and therefore facilitate antifouling. According to this guidance, a biomimetic surface with microscopic ridge-like morphology was synthesized. The follow-up attachment tests reconfirmed the feasibility of using ridge-like surface morphology to control biofouling. As a preliminary study, our present work mainly focused on the size effect of surface morphology, while the disorder effect of the microscopic ridge-like pattern was neglected for the moment. Such idealization allowed us to investigate the size

effect of the morphology analytically. It is evident that the pull-off force between the fouler and substrate also depends on the disorder degree of the pattern, mechanical properties and adhesion energy of the substrate. The quantitative effects of these factors on the antifouling performance are still unclear and expected for in-depth investigations. Moreover, in our current study we applied tubeworms to test the antifouling performance. The applicability of the current microscopic ridge-like morphology to the other fouling species remains unclear and deserves further study.

Materials and Methodologies

Preparation of PDMS replicas of *S. apetala* leaves

Firstly, the *S. apetala* leaves were cleaned using acetone and dried in air. Then, the polymethyl methacrylate (PMMA) solution (acetone as solvent) was poured directly onto a treated leaf's surface. After curing of the PMMA, the leaf was peeled off from the PMMA, giving rise to a negative PMMA mold duplicating the morphology of the leaf. Then, PDMS base and crosslinker was mixed in a volume ratio of 10:1. The obtained mixture was poured onto the negative PMMA mold in a glass dish. The whole set was placed in a desiccator for low-pressure degassing for 1 hour. After curing at room temperature for 48 h, the PDMS replica was obtained after demolding from the PMMA mold.

Attachment test with tubeworm larvae

Prior to test, all surface specimens to be tested were immersed into sterile deionized water for sufficient wetting. Then the wetted specimens were placed into a petri dish, which contains 20 ml seawater and ~ 100 tubeworm larvae (~100 μm in width, ~300 μm in length, see Supplementary materials for the culturing details). To ensure the repeatability of the results to be obtained, six replicas were prepared in parallel. All the test groups were kept in an ambient environment for 48 h in a 12:12 h light-dark cycle. During the test process, the settled tubeworms were counted carefully with the aid of an optical microscope at 24 h and 48 h. All the specimens are dip-rinsed for three times in filtered seawater to remove any unsettled tubeworms if available.

Synthesis of biomimetic antifouling surface

A piece of graphite paper (GP) with size of $20 \times 40 \times 0.5$ mm (width \times length \times thickness) was

rinsed with acetone and distilled (DI) water, and then dried in the vacuum oven overnight at 80 °C. The treated GP was then immersed into a solution made by dissolving 0.5 mmol Ni(NO₃)₂·6H₂O, 1 mmol Co(NO₃)₂·6H₂O and 2.2 mmol hexamethylenetetramine into 20 mL DI water and 10 mL ethanol. The solution together with GP was transferred to a Teflon-lined stainless-steel autoclave and kept at 90 °C for 6 h. After cooling down at room temperature, the GP covered with NiCo₂O₄ nanoflakes was obtained, which was ready for antifouling test after thorough rinsing with DI water and ethanol followed by drying at 60 °C for 12 h.

Data accessibility. The datasets supporting this article are included in the main paper and have been uploaded as the electronic supplementary materials. Additional data related to this paper may be requested from the authors.

Competing interests. We declare that we have no competing interests.

Author's contributions. H.Y. conceived the idea. J.F., H.Z., Z.G., D.-Q.F. and V.T. carried out the experiments. H.Y. and J.F. performed the theoretical modeling. J.F. and H.Y. wrote the manuscript.

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