Modelling C<sub>1</sub>-C<sub>4</sub> alkyl nitrate photochemistry and their impacts on O<sub>3</sub>
 production in urban and suburban environments of Hong Kong

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14 Keywords: organic nitrate, odd nitrogen, ozone, photochemical smog, MCM

Abstract: As intermediate products of photochemical reactions, alkyl nitrates (RONO<sub>2</sub>) regulate 15 ozone  $(O_3)$  formation. In this study, a photochemical box model (PBM) incorporating master 16 chemical mechanism (MCM) well reproduced the observed RONO<sub>2</sub> at an urban and a 17 18 mountainous site, with index of agreement (IOA) all higher than 0.65. Although levels of the parent hydrocarbons and nitric oxide (NO) were significantly higher at the urban site than the 19 mountainous site, the production of C<sub>2</sub>-C<sub>3</sub> RONO<sub>2</sub> was comparable to or even lower than at the 20 mountainous site, due to the lower photochemical reactivity in the urban environment. Based on 21 22 the profiles of air pollutants at the mountainous site, the formation of  $C_2$ - $C_4$  RONO<sub>2</sub> was limited by NO<sub>x</sub> (VOCs) when TVOCs/NO<sub>x</sub> was higher (lower) than 3.3/1 ppbv/ppbv. However, the 23 24 threshold of this ratio increased to 8.1/1 ppbv/ppbv at the urban site. For the formation of C<sub>1</sub> RONO<sub>2</sub>, the NO<sub>x</sub> limited regime extended the ratio of TVOCs/NO<sub>x</sub> to as low as 1.8/1 and 3.0/125 ppbv/ppbv at the mountainous and urban site, respectively. RONO<sub>2</sub> formation led to a decrease 26 of simulated O<sub>3</sub>, with reduction efficiencies (O<sub>3</sub> reduction/RONO<sub>2</sub> production) of 4-5 pptv/pptv 27

This is the peer reviewed version of the following article: Lyu, X. P., Guo, H., Wang, N., Simpson, I. J., Cheng, H. R., Zeng, L. W., ... Blake, D. R. (2017). Modeling C1–C4 alkyl nitrate photochemistry and their impacts on O3 production in urban and suburban environments of Hong Kong. Journal of Geophysical Research: Atmospheres, 122, 10,539–10,556, which has been published in final form at https://doi.org/10.1002/2017JD027315. This article may be used for non-commercial purposes in accordance with Wiley Terms and Conditions for Use of Self-Archived Versions. This article may not be enhanced, enriched or otherwise transformed into a derivative work, without express permission from Wiley or by statutory rights under applicable legislation. Copyright notices must not be removed, obscured or modified. The article must be linked to Wiley's version of record on Wiley Online Library and any embedding, framing or otherwise making available the article or pages thereof by third parties from platforms, services and websites other than Wiley Online Library must be prohibited.

at the mountainous site, and 3-4 pptv/pptv at the urban site. On the other hand, the variations of simulated  $O_3$  induced by RONO<sub>2</sub> degradation depended upon the regimes controlling  $O_3$ formation and the relative abundances of TVOCs and NO<sub>x</sub>.

## 31 **1 Introduction**

32 Alkyl nitrates (RONO<sub>2</sub>) are a group of organic nitrates in which the nitrogen is stabilized in the molecular structure of R-O-NO<sub>2</sub>. As an important constituent of reactive odd nitrogen  $(NO_v)$ , 33 34 particularly in areas far from urban sources (Roberts and Fajer, 1989; Buhr et al., 1990), RONO<sub>2</sub> participate in nitrogen cycling in their role as a temporary nitrogen reservoir due to their long 35 atmospheric lifetimes (Clemitshaw et al., 1997). Generally, oceanic emission (Atlas et al., 1993), 36 37 photochemical formation (Bertman et al., 1995; Arey et al., 2001), and to a lesser extent biomass 38 burning (Simpson et al., 2002) are the main sources of RONO<sub>2</sub>. The photochemically formed RONO<sub>2</sub> are actually byproducts in the process of O<sub>3</sub> formation (Reactions 2 and 3), and are 39 formed through the reactions between volatile organic compounds (VOCs) and nitrogen oxides 40  $(NO_x)$  (Reaction 1 and 4). These combined formation pathways, as a result, generally lead to the 41 tight association between RONO<sub>2</sub> and O<sub>3</sub> (Muthuramu et al., 1994; Rosen et al., 2004). 42

Many formation mechanisms have been proposed to explain observed RONO<sub>2</sub> levels in urban 43 settings, including RO<sub>2</sub> reacting with NO (Darnall et al., 1976; Carter and Atkinson, 1989) and 44 RO reacting with NO<sub>2</sub> (Atkinson et al., 1982a), which well documented and play dominant roles 45 46 in RONO<sub>2</sub> formation, particularly in daytime hours. For the reactions of  $RO_2$ +NO, two branches exist, leading to the formation of  $RONO_2$  (Reaction 1) and  $O_3$  (Reaction 2), respectively 47 (Atkinson, 1990). The branching ratio ( $\alpha$ ) is a quantitative measure of RONO<sub>2</sub> yield from RO<sub>2</sub> 48 reacting with NO. It is defined as the ratio of  $k_1/(k_1+k_2)$  (Atkinson, 1990), where k is the reaction 49 50 rate constant. Generally, the branching ratio increases with increasing pressure and decreasing temperature (Atkinson et al., 1987). In addition, more complicated molecular structures of RO<sub>2</sub> 51 52 tend to have higher branching ratios. For example, the branching ratios for *n*-alkanes increase 53 from  $\leq 1\%$  for ethane to  $\sim 33\%$  for *n*-octane, with an upper limit of  $\sim 35\%$  for larger *n*-alkanes (Atkinson et al., 1982a). This theory was repeatedly confirmed by kinetic calculations and model 54 simulations (Bertman et al., 1995; Simpson et al., 2006). In combination with laboratory studies, 55 56 Carter and Atkinson (1985) put forward Formulas 1-3 for calculating branching ratios under the atmospheric conditions in troposphere. However, in urban settings the smaller-chain RONO<sub>2</sub>, 57

particularly methyl (CH<sub>3</sub>ONO<sub>2</sub>) and ethyl nitrate (C<sub>2</sub>H<sub>5</sub>ONO<sub>2</sub>), often have mixing ratios higher 58 than what can be explained by the reaction of RO<sub>2</sub> with NO (Flocke et al., 1998a). Depending on 59 the location, direct marine emissions could be one reason (Atlas et al., 1993). In addition, the 60 exact branching ratios for these RONO<sub>2</sub> remain uncertain. For example, Lightfoot et al. (1992) 61 proposed an upper limit of 0.005 for CH<sub>3</sub>ONO<sub>2</sub> in the lower troposphere. Through RONO<sub>2</sub> 62 observations in the lower stratosphere, Flocke et al. (1998b) found a much lower CH<sub>3</sub>ONO<sub>2</sub> 63 branching ratio of  $5-10 \times 10^{-5}$ , meaning it could only reach a maximum of 0.0003 even under 64 surface conditions, when applying the adjusted factor of 3 to the branching ratio in lower 65 stratosphere. Simpson et al. (2002) accepted the upper limit of 0.0003, and indicated that RO 66 reacting with NO<sub>2</sub> was the main pathway of CH<sub>3</sub>ONO<sub>2</sub> in highly polluted environments. 67 However, according to the formulas proposed by Carter and Atkinson (1985), the branching ratio 68 for CH<sub>3</sub>ONO<sub>2</sub> was approximately 0.001, which was also adopted by the master chemical 69 mechanism (MCM, accessible at http://mcm.leeds.ac.uk/MCM/). Overall, the branching ratios 70 for RONO<sub>2</sub> formation remain to be further examined. 71

- 72  $RO_2 + NO \rightarrow RONO_2$  (Reaction 1, k<sub>1</sub>)
- 73  $RO_2 + NO \rightarrow RO + NO_2$  (Reaction 2, k<sub>2</sub>)
- 74  $NO_2 + O_2 \rightarrow NO + O_3$  (Reaction 3, k<sub>3</sub>)
- 75  $RO + NO_2 \rightarrow RONO_2$  (Reaction 4, k<sub>4</sub>)

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$$\alpha = \left[\frac{Y_0^{300}[M]\left(\frac{T}{300}\right)^{-m_0}}{1 + \frac{Y_0^{300}[M]\left(\frac{T}{300}\right)^{-m_0}}{Y_\infty^{300}\left(\frac{T}{300}\right)^{-m_0}}}\right]F^z \quad \text{(Formula 1)}$$

77 
$$z = \{1 + [\log \frac{Y_0^{300}[M](\frac{T}{300})^{-m_0}}{Y_\infty^{300}(\frac{T}{300})^{-m_\infty}}\}]^{-1}$$
 (Formula 2)

78  $Y_0^{300} = \beta e^{\gamma n}$  (Formula 3)

where T is the temperature (K); M represents the number of molecules (molecules/cm<sup>3</sup>) and n is the carbon number in RO<sub>2</sub>. The values of the constants  $\beta$  (1.95 × 10<sup>-22</sup> cm<sup>3</sup>/molecule),  $\gamma$  (0.947),  $Y_{\infty}^{300}$  (0.435),  $m_0$  (2.99),  $m_{\infty}$  (4.69) and *F* (0.556) are all from Carter and Atkinson (1985). On the basis of the calculated results, the branching ratios for the primary and tertiary RO<sub>2</sub> radicals are calibrated by a factor of 0.4 and 0.25, respectively. For  $C_1$  RONO<sub>2</sub>, another adjusted factor of 3 should be further applied to formula 1.

It is well known that  $O_3$  formation is closely related to the relative abundances of VOCs and  $NO_x$ , 85 mainly due to the dual role of  $NO_x$  in  $O_3$  production (*i.e.*, fueling and suppressing  $O_3$  formation 86 87 in low and high NO<sub>x</sub> regimes, respectively) (Sillman and He, 2002; Shao et al., 2009). As byproducts of O<sub>3</sub> formation, the production of RONO<sub>2</sub> is also expected to be influenced by the 88 abundances of VOCs and NO<sub>x</sub>. However, there is no evidence that NO<sub>x</sub> directly reacts with 89 RONO<sub>2</sub> in the same way as the titration of NO to O<sub>3</sub>. Instead, NO reacts with the hydroperoxyl 90 radical (HO<sub>2</sub>) and RO<sub>2</sub>, and NO<sub>2</sub> combines with hydroxyl radical (OH), to regulate the 91 92 equilibrium budgets of oxidative radicals including RO<sub>2</sub> and RO (Thornton et al., 2002). As such, the RONO<sub>2</sub> production will be influenced by NO<sub>x</sub>. It was found that RONO<sub>2</sub> levels increased 93 with increasing NO<sub>x</sub> in London (Aruffo et al., 2014), but decreased with increasing NO<sub>x</sub> in 94 Houston (Rosen et al., 2004), suggesting a different balance in  $HO_x$ -NO<sub>x</sub> reaction cycles. 95 96 Therefore, the relationship between RONO<sub>2</sub> production and NO<sub>x</sub> needs further study.

97 Due to the associated formation pathways of  $RONO_2$  and  $O_3$ , good correlations are often found between single or total RONO<sub>2</sub> ( $\Sigma$ ANs) and O<sub>3</sub> or O<sub>x</sub> (O<sub>x</sub>=O<sub>3</sub>+NO<sub>2</sub>) (Rosen et al., 2004; Perring 98 et al., 2010). A roughly quantitative relationship can be established between  $O_x$  and  $\sum ANs$ , *i.e.*, 99  $O_x/\Sigma ANs=2(1-\alpha)/\alpha$  (Day et al., 2003), where  $\alpha$  represents the overall branching ratio for the total 100 RONO<sub>2</sub>. More importantly, RONO<sub>2</sub> photochemistry, including their formation and degradation 101 102 (photolysis and OH initiated oxidation) also influences  $O_3$  formation. Based on correlations between  $O_x$  and  $\Sigma ANs$ , Aruffo et al. (2014) stated that RONO<sub>2</sub> played important roles in  $O_3$ 103 formation in both urban and suburban London. Perring et al. (2010) indicated that the peak O<sub>3</sub> 104 production in the near-field of Mexico City (source region of RONO<sub>2</sub>) was reduced by as much 105 106 as 40% due to the formation of total RONO<sub>2</sub>. Farmer et al. (2011) even claimed that VOC 107 reductions might cause an  $O_3$  increment because the branching ratios of RONO<sub>2</sub> formation decreased when fuels containing low boiling point VOCs products were used. This view was 108 109 also confirmed by Perring et al. (2013), in which a 20% reduction of VOCs led to an 8%  $O_3$ increment due to the unexpected reduction of the average branching ratio for total RONO2 from 110 111 8% to 4%. Overall, in urban areas RONO<sub>2</sub> serve as a reservoir for nitrogen and reactive radicals,

- releasing them in remote areas and fueling  $O_3$  formation. Therefore, RONO<sub>2</sub> photochemistry regulates  $O_3$  formation in both source and receptor regions.
- Despite numerous studies, RONO<sub>2</sub> modelling is still rather difficult (Williams et al., 2014; Khan 114 et al., 2015), resulting in an insufficient understanding of RONO<sub>2</sub> formation mechanisms and 115 116 impacts on O<sub>3</sub> production. Furthermore, previous studies are generally confined to specific RONO<sub>2</sub> species, like CH<sub>3</sub>ONO<sub>2</sub> in marine outflow (Flocke et al., 1998b; Moore and Blough, 117 2002) and isoprene nitrates in the forest areas (Chen et al., 1998; Giacopelli et al., 2005). To fill 118 in these research gaps, an observation-based model was constructed to explicitly simulate  $C_1$ - $C_4$ 119 120 RONO<sub>2</sub> in Hong Kong and address the following terms: (1) the most appropriate branching ratios for the formation of C1-C4 RONO2 in Hong Kong; (2) the pathway contributions of 121  $RO_2$ +NO and  $RO_2$  to  $RONO_2$ ; (3) the relationship between  $RONO_2$  production and  $NO_x$ ; (4) 122 the impacts of RONO<sub>2</sub> formation and degradation on O<sub>3</sub> production; and (5) the importance of 123 RONO<sub>2</sub> formed from parent hydrocarbons with high O<sub>3</sub> formation potentials. 124

### 125 **2. Methodology**

### 126 **2.1 Site description and sampling**

From September 6 to November 29, 2010, an intensive sampling campaign was carried out 127 128 concurrently at a mountainous site and an urban site in Hong Kong (Figure 1). The mountainous site (22.41 N°, 114.12 E°, 640 m a.g.l.) was located on the mountainside of Hong Kong's highest 129 130 mountain (Mount. Tai Mo Shan), referred to as TMS hereafter. The dominant winds at TMS were from the north which transported air pollutants from the inland Pearl River Delta (PRD) of 131 132 southern China. In addition, mesoscale circulation (e.g., mountain-valley breezes) caused interaction of mountainous air with urban plumes at the foot of the mountain. The urban site 133 134 (22.37 N°, 114.11 E°, 10 m a.g.l.) is located in a newly developed town (Tsuen Wan, referred to TW hereafter), and is an air quality monitoring station of Hong Kong Environmental Protection 135 136 Department (HKEPD, accessible at http://www.aqhi.gov.hk/en/monitoring-network/air-qualitymonitoring-stations228e.html?stationid=77). The prevailing winds at TW were from the 137 southeast. Detailed information about the two sites is given in Guo et al. (2013) and Ling et al. 138 139 (2014, 2016).

140 Real time measurements of trace gases including SO<sub>2</sub>, CO, NO, NO<sub>2</sub> and O<sub>3</sub> at TMS were achieved with the instruments listed in Table S1 of the Supplement, which also shows the 141 142 analytical techniques, detection limits and precision. Trace gas data at TW were downloaded from the HKEPD website (http://epic.epd.gov.hk/EPICDI/air/station/). VOC samples at these 143 two sites were collected using 2-L electro-polished stainless steel canisters. Prior to sampling, 144 the canisters were treated with 10 Torr of degassed, distilled water to quench the active surface 145 sites of the inner walls, then were cleaned and evacuated. A valve was connected to the inlet of 146 the canisters to maintain a sampling time of about 1 hour. A total of 384 samples were 147 simultaneously collected during daytime hours of 10 O<sub>3</sub> episode days (October 23-24, 29-31, and 148 November 1-3, 9, 19, 2010) and 10 non-O<sub>3</sub> episode days (September 28, October 2, 8, 14, 18-19, 149 27-28 and November 20-21, 2010) at TMS and TW, except those samples not collected at TW 150 on October 23. In this study, days with at least one hourly O<sub>3</sub> mixing ratio exceeding 100 ppbv 151 were defined as  $O_3$  episode days (China's Grade II standards, accessible at 152 http://210.72.1.216:8080/gzagi/Document/gjzlbz.pdf). The  $O_3$  values at TMS were used to 153 define the O<sub>3</sub> episodes and non-episodes, because freshly-emitted NO from vehicular exhaust 154 155 strongly titrated O<sub>3</sub> at TW (Guo et al., 2013).





Figure 1 Geographic locations (left panel) and topographies (right panel) of the sampling sites (TMS and TW). Regional transport and mesoscale circulation at TMS are presented according to Guo et al. (2013). The boundary between mainland China and Hong Kong is highlighted in yellow.

### 162 **2.2 Chemical analysis of RONO**<sub>2</sub>

The collected VOC samples were delivered to the Rowland/Blake group at the University of California, Irvine (UCI) for chemical analyses. Detailed descriptions about the analytical system, analysis techniques, precision, accuracy, and quality control protocols can be found in Colman et al. (2001) and Simpson et al. (2003).

167 Specifically, for the quantification of  $C_1$ - $C_4$  RONO<sub>2</sub>, *i.e.*, methyl nitrate (CH<sub>3</sub>ONO<sub>2</sub> or  $C_1$ 168 RONO<sub>2</sub>), ethyl nitrate (C<sub>2</sub>H<sub>5</sub>ONO<sub>2</sub> or C<sub>2</sub> RONO<sub>2</sub>), *i*-propyl nitrate (2-C<sub>3</sub>H<sub>7</sub>ONO<sub>2</sub> or 2-C<sub>3</sub> 169 RONO<sub>2</sub>), *n*-propyl nitrate (1-C<sub>3</sub>H<sub>7</sub>ONO<sub>2</sub> or 1-C<sub>3</sub> RONO<sub>2</sub>) and *sec*-butyl nitrate (2-C<sub>4</sub>H<sub>9</sub>ONO<sub>2</sub> or 2-C<sub>4</sub> RONO<sub>2</sub>), a gas chromatography (GC)-electron capture detector (ECD) system was used. 170 171 Two whole air working standards were analyzed every four samples to calibrate the RONO<sub>2</sub> 172 measurements. The precision was 2% for mixing ratios above 5 pptv and 10% for mixing ratios below 5 pptv. The accuracy was 10-20% and the detection limit for  $C_1$ - $C_4$  RONO<sub>2</sub> was 0.01 pptv. 173 It is worth noting that the RONO<sub>2</sub> calibration scale changed in 2008 (Simpson et al., 2011) and 174 all measurements are reported on the new calibration scale. 175

## 176 2.3 Construction of PBM-MCM model

A PBM-MCM model was developed to simulate RONO<sub>2</sub>. Master Chemical Mechanism (MCM) 177 178 is an explicit chemical mechanism, which has been successfully used in photochemical simulation in Hong Kong and many other regions of the world (Saunders et al., 2003; Lam et al., 179 2013; Ling et al., 2014). The latest version of MCM (MCM v3.3) includes 17,242 reactions and 180 5,836 species. With regards to RONO<sub>2</sub>, the main formation pathways that are considered are 181 182 RO<sub>2</sub>+NO and RO+NO<sub>2</sub>, while RONO<sub>2</sub> degradation is presented as photolysis (reaction 5) and OH initiated oxidation (reaction 6). Details about the reaction pathways and reaction coefficients 183 184 are given in Section S1 of the Supplement.

- 185 RONO<sub>2</sub> +  $hv \rightarrow$  RO + NO<sub>2</sub> (reaction 5)
- 186  $RONO_2 + OH \rightarrow RO + NO_2 + products (reaction 6)$

187 The branching ratios for the reactions of  $RO_2$ +NO were acquired from previous studies 188 (Lightfoot et al., 1992; Flocke et al., 1998b) or calculated according to the formulas 189 recommended by Carter and Atkinson (1985). For C<sub>1</sub> RONO<sub>2</sub>, branching ratios of 0.00015,

- 190 0.0003, 0.001, 0.003, 0.0041 and 0.005 were examined and considered. However, since 191 branching ratio data for  $C_2$ - $C_4$  RONO<sub>2</sub> were rather limited, the values calculated using formulas 192 1-3 were used as the branching ratios, which were 0.0094, 0.048, 0.019 and 0.085 for  $C_2$ , 2- $C_3$ , 193 1- $C_3$  and 2- $C_4$  RONO<sub>2</sub>, respectively. Bearing in mind model uncertainty, the branching ratios 194 were accepted only when IOAs between the simulated and observed RONO<sub>2</sub> were higher than 195 0.65 (see section 3.1 for details).
- In addition to the chemical reactions, many modules were compiled in the PBM-MCM model. 196 For example, the photolysis rate module enables us to calibrate the photolysis rates of many air 197 pollutants. The Tropospheric Ultraviolet and Visible Radiation (TUVv5) model, which considers 198 199 actual location and modelling time periods, is used to calibrate the photolysis rates (Madronich and Flocke, 1997). Moreover, the concentrations of air pollutants can be specified to initiate the 200 model in the initial concentration module. This is important, because the background RONO<sub>2</sub> 201 existed prior to photochemical reactions are generally non-negligible due to their long lifetimes. 202 203 In this study, RONO<sub>2</sub> mixing ratios observed at 07:00 (local time, LT) were treated as the initial 204 conditions. The dry deposition module considers the dry deposition of air pollutants, which are 205 parameterized as an average deposition rate within the height of the mixed layer (HMIX). Zhang et al. (2002) indicated that the dry deposition velocity for organic nitrates ranged from 0.03 to 206 207 0.56/HMIX cm/s. Within this range, deposition rates of 0.03, 0.13, 0.23, 0.33, 0.43 and 0.53/HMIX cm/s were examined for  $C_1$ - $C_4$  RONO<sub>2</sub> in this study (step=0.1/HMIX cm/s). 208
- Overall, based on the observed mixing ratios of air pollutants, including RONO<sub>2</sub> precursors, the PBM-MCM model simulated RONO<sub>2</sub> in different scenarios with changes of branching ratios and dry deposition rates, and consideration of initial conditions. The model uncertainty was discussed and roughly estimated with the mean root square error method (Willmott, 1982) in Section S2 of the Supplement.
- 214 **3 Results and discussion**

# 215 **3.1 Modelling of C<sub>1</sub>-C<sub>4</sub> RONO<sub>2</sub>**

The abundance, day-to-day variation, chemical evolution and sources of  $C_1$ - $C_4$  RONO<sub>2</sub> at TMS and TW were discussed in Ling et al. (2016). One of their key findings was that secondary formation (or photochemical formation) was the main contributor of  $RONO_2$  at both sites, but the formation mechanisms remained unexplained, which were studied in this section.

Figure 2(a) shows the average photochemical production of CH<sub>3</sub>ONO<sub>2</sub> at TMS as a function of 220 the branching ratio (Section 2.3), without consideration of initial concentrations and dry 221 222 deposition. Noticeably, the CH<sub>3</sub>ONO<sub>2</sub> production linearly increases with increasing branching ratio (CH<sub>3</sub>ONO<sub>2</sub> mixing ratio in pptv =  $(4400 \times \text{branching ratio}) + 1.4$ ). A branching ratio of 223 approximately 0.0023 was determined to match the observed CH<sub>3</sub>ONO<sub>2</sub> (11.3 pptv). This 224 branching ratio was within the range of 0.00015 to 0.005 as reported earlier (Carter and Atkinson, 225 1985; Lightfoot et al., 1992; Flocke et al., 1998b). However, the initial mixing ratio (8.8 pptv) 226 227 was not considered in Figure 2(a), which should also be a part of the observed  $CH_3ONO_2$  even though it was subject to degradation and dry deposition. Even taking into account the 228 229 degradation (OH initiated oxidation and photolysis) and dry deposition (rate = 0.13/HMIX cm/s), the average residual of the initial CH<sub>3</sub>ONO<sub>2</sub> was still 7.5 pptv. Based on this value, 230 231 photochemically formed CH<sub>3</sub>ONO<sub>2</sub> was about 3.8 pptv, which corresponded to a branching ratio of 0.00055, also within the range of 0.00015-0.005. However, this value was calculated based on 232 233 model simulation rather than laboratory experiment and has never been reported in previous studies. Therefore, we adopted a branching ratio of 0.0003, reported by Flocke et al. (1998b) and 234 235 adopted by Simpson et al. (2002), which was the closest to 0.00055 among the examined values. Furthermore, by considering dry deposition, Figure 2(b) presents the modelled CH<sub>3</sub>ONO<sub>2</sub> with 236 237 the branching ratio of 0.0003 and dry deposition velocities of 0.03/HMIX, 0.13/HMIX, 0.23/HMIX, 0.33/HMIX, 0.43/HMIX and 0.53/HMIX cm/s. The modelled CH<sub>3</sub>ONO<sub>2</sub> decreased 238 239 linearly with increasing dry deposition velocity (CH<sub>3</sub>ONO<sub>2</sub> mixing ratio in pptv = -4.5  $\times$ deposition rate + 11.6). A dry deposition velocity of 0.07/HMIX was determined to best 240 reproduce the observed CH<sub>3</sub>ONO<sub>2</sub>. As such, the branching ratio of 0.0003 and dry deposition 241 velocity of 0.07/HMIX cm/s were treated as the most appropriate settings for CH<sub>3</sub>ONO<sub>2</sub> 242 simulation. 243

With these settings, the factors influencing the simulated  $CH_3ONO_2$  were sequentially considered. Figure 3 shows the  $CH_3ONO_2$  simulated in different scenarios at TMS, *i.e.*, (*i*) "reaction", (*ii*) "reaction + initial" and (*iii*) "reaction + initial + dry deposition". Scenario (*i*) only considered the formation and degradation reactions of  $CH_3ONO_2$ , while the initial concentrations 248 and dry deposition were progressively considered in scenarios (*ii*) and (*iii*). The modelled CH<sub>3</sub>ONO<sub>2</sub>O in scenario (i) was typically bell-shaped on a diurnal basis, coincident with the 249 250 characteristics of photochemical reactions. However, the mean modelled CH<sub>3</sub>ONO<sub>2</sub> (2.6  $\pm$  0.3 pptv) was much lower than the observed average (11.3  $\pm$  0.3 pptv). By introducing the initial 251 conditions, the modelled CH<sub>3</sub>ONO<sub>2</sub> in scenario (*ii*) increased to a comparable level (11.7  $\pm$  0.3 252 pptv) to the measurements, in line with the finding that background initial concentrations are an 253 important constituent of the observed RONO<sub>2</sub> (Ling et al., 2016). Further consideration of dry 254 deposition in scenario (iii) resulted in a slight decrease of the modelled CH<sub>3</sub>ONO<sub>2</sub> to 11.0±0.3 255 pptv, which best agreed with the observed CH<sub>3</sub>ONO<sub>2</sub>. By subtracting the modelled CH<sub>3</sub>ONO<sub>2</sub> in 256 scenario (i) from scenario (ii) and that in scenario (ii) from scenario (iii), the respective 257 contributions of the processes, including reaction, initial conditions and dry deposition to the 258 total modelled CH<sub>3</sub>ONO<sub>2</sub>, were determined to be 21.5  $\pm$  1.8%, 85.1  $\pm$  2.0% and -6.6 $\pm$ 0.3% 259 (negative contribution means removal of CH<sub>3</sub>ONO<sub>2</sub>). 260



Figure 2 Modelled average  $CH_3ONO_2$  as a function of (a) branching ratio (no initial or dry deposition) and (b) deposition rate (branching ratio = 0.0003, and the initial  $CH_3ONO_2$  was set as the values measured at 07:00 (LT) at TMS for each day.



Figure 3 Comparisons between the measured and modelled CH<sub>3</sub>ONO<sub>2</sub> in different scenarios at TMS.

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Similarly, the  $C_2$ - $C_4$  RONO<sub>2</sub> were also simulated using the above approach. Table 1 lists the 269 model settings that best reproduced the magnitudes and patterns of the observed RONO<sub>2</sub> at TMS 270 and TW (shown in Figures S1-S2 in the Supplement). Overall, the simulated RONO<sub>2</sub> agreed well 271 with the measurements (Index of Agreement is discussed below). However, the morning peaks 272 273 of RONO<sub>2</sub> (e.g., September 28, October 8 and 23, and November 1 and 2) were not well 274 reproduced by the model. Since in situ photochemical formation could not be the main source of RONO<sub>2</sub> in the morning when solar radiation was weak, the discrepancies between modelling and 275 observation were most likely to be caused by direct emissions and/or regional transport, which 276 were not considered in the model. In addition, the modelled RONO<sub>2</sub> levels were generally  $\sim$ 50% 277 lower than the observations on O<sub>3</sub> episode days (October 23-24, 29-31, and November 1-3, 9, 278 19). Methyl chloride (CH<sub>3</sub>Cl) levels at both TMS (episode: 1100  $\pm$  33 pptv; non-episode: 926  $\pm$ 279 27 pptv) and TW (episode: 1116  $\pm$  32 pptv; non-episode: 1031  $\pm$  45 pptv) increased noticeably 280 (p<0.05) during O<sub>3</sub> episodes, so did levoglucosan in fine particles (84.8±27.8 and 31.6±18.5 281 ng/m<sup>3</sup> during episode and non-episode at TMS, respectively). These suggested emissions of 282  $RONO_2$  from biomass burning. Furthermore, the frequency of northerly winds was higher during 283 O<sub>3</sub> episodes (78% at TMS and 29% at TW) than during non-O<sub>3</sub> episodes (51% at TMS and 21% 284 at TW). In view of severe photochemical pollution in the adjacent inland PRD cities and 285 increased transport of secondary pollutants from the inland PRD to Hong Kong during O<sub>3</sub> 286

287 episodes (Lam et al., 2005; Guo et al., 2009), regional transport might also contribute to the higher observed RONO<sub>2</sub> on episode days. An exception was CH<sub>3</sub>ONO<sub>2</sub> at TW on November 19 288 289 when the modelled CH<sub>3</sub>ONO<sub>2</sub> remarkably exceeded the measured values (Figure S2). This 290 overestimation was believed to be caused by the abnormally high aromatic levels on that day  $(30.2 \pm 23.4 \text{ ppbv}, \text{ compared to the average of } 4.9 \pm 0.6 \text{ ppbv over the whole sampling period}$ 291 excluding that day). Briefly, the photochemical degradation of aromatics generated CH<sub>3</sub>O<sub>2</sub> and 292 293 CH<sub>3</sub>O in the model. Without consideration of diffusion, these precursors of CH<sub>3</sub>ONO<sub>2</sub> were more significantly overestimated than those in normal periods, leading to overestimation of 294 CH<sub>3</sub>ONO<sub>2</sub>. 295

To quantitatively evaluate the simulations, IOA between the simulated and observed  $RONO_2$ was calculated (Table 1). IOA is a statistical parameter commonly used to evaluate model performance, as calculated using Formula 4 (Hurley et al., 2001). Ranging from 0-1, higher IOAs represent better agreement between the simulated and observed values. We accepted the simulation results when the IOA was above 0.65.

301 IOA = 
$$1 - \frac{\sum_{i=1}^{n} (O_i - S_i)^2}{\sum_{i=1}^{n} (|O_i - \bar{O}| + |S_i - \bar{O}|)^2}$$
 (Formula 4)

where  $O_i$  and  $S_i$  are the observed and simulated values, and  $\overline{O}$  is the average observed value of *n* samples.

Table 1 Model settings for the simulations of  $C_1$ - $C_4$  RONO<sub>2</sub> as well as IOAs between the simulated and measured RONO<sub>2</sub> at TMS and TW.

	CH <sub>3</sub> ONO <sub>2</sub>	C <sub>2</sub> H <sub>5</sub> ONO <sub>2</sub>	$1-C_3H_7ONO_2$	2-C <sub>3</sub> H <sub>7</sub> ONO <sub>2</sub>	2-C <sub>4</sub> H <sub>9</sub> ONO <sub>2</sub>
Branching ratio	0.0003	0.0094	0.019	0.048	0.085
Dry deposition	0.07/HMIX	0.07/HMIX	0.07/HMIX	0.07/HMIX	0.07/HMIX
IOA at TMS	0.67	0.72	0.72	0.72	0.72
IOA at TW	0.66	0.70	0.69	0.67	0.73

306 HMIX is the time-dependent mixed layer height, as shown in Figure S3.

307

The IOA ranged from 0.67 to 0.72 and 0.66 to 0.73 for  $RONO_2$  simulations at TMS and TW,

309 respectively. Given that other sources (*e.g.*, biomass burning and oceanic emission) and regional

transport impact were not considered in the model, the IOAs indicated that the simulations wereacceptable.

# 312 **3.2 Secondary RONO<sub>2</sub> formation**

Based on the above settings, the secondary (photochemical) production of RONO<sub>2</sub> (referred to as 313 secondary RONO<sub>2</sub> hereafter) was simulated without consideration of initial conditions and dry 314 315 deposition, as summarized in Table 2. Also shown are the concentrations of parent hydrocarbons, 316 corresponding RO<sub>2</sub> radicals, NO and NO<sub>2</sub>. The measured mixing ratios of parent hydrocarbons 317 and NO<sub>x</sub> (both NO and NO<sub>2</sub>) were significantly higher at TW than at TMS (p < 0.05). Likewise simulated  $C_1$  and 2- $C_4$  RONO<sub>2</sub> levels at TW were significantly higher than at TMS (p<0.05), 318 319 while the simulated C<sub>2</sub> and C<sub>3</sub> RONO<sub>2</sub> levels at TW were comparable to or even lower than 320 those at TMS. To explore the reasons for these differences, the relative contributions of RO<sub>2</sub>+NO 321 and  $RO+NO_2$  were quantified (Table 3), using the method described by Lyu et al. (2015). Briefly, the two pathways were switched off in turn. The simulated RONO<sub>2</sub> was subtracted from that 322 simulated in base scenario with both pathways switched on. In this way, RONO<sub>2</sub> produced by the 323 each pathway was obtained. The pathway of RO<sub>2</sub>+NO dominated the formation of C<sub>2</sub>-C<sub>4</sub> RONO<sub>2</sub> 324 at both sites, consistent with our previous study at Tai O, a background site in southwestern 325 Hong Kong (Lyu et al., 2015). In contrast, the reaction of RO+NO<sub>2</sub> made considerable 326 contributions to CH<sub>3</sub>ONO<sub>2</sub> (mean ±95% confidence interval (C.I.): 2.7±0.3 pptv or 41.9±5.9% at 327 TMS and  $4.8\pm1.0$  pptv or  $76.2\pm15.7\%$  at TW). In addition to higher CH<sub>4</sub> levels, the more 328 329 abundant secondary CH<sub>3</sub>ONO<sub>2</sub> at TW was likely because that NO<sub>2</sub> at TW (31.6±3.1 ppbv) was significantly higher than that at TMS ( $8.7\pm0.8$  ppbv) (p<0.05). Indeed, following suggestions 330 that RO+NO<sub>2</sub> could be an important pathway for CH<sub>3</sub>ONO<sub>2</sub> formation in polluted environments 331 (Flocke et al., 1998a; Simpson et al., 2006), Archibald et al. (2007) confirmed that this pathway 332 becomes important at about 10 ppb of NO<sub>2</sub>, and dominant at about 35 ppb, based on MCM 333 simulations for European conditions. For C2-C3 RONO2, although the measured parent 334 hydrocarbons were less abundant at TMS than at TW, the simulated concentrations of RO<sub>2</sub> 335 radicals were all remarkably higher under low NO<sub>x</sub> conditions (as discussed below), leading to 336 comparable (for C<sub>2</sub>H<sub>5</sub>ONO<sub>2</sub> and 2-C<sub>3</sub>H<sub>7</sub>ONO<sub>2</sub> ) or even higher (for 1-C<sub>3</sub>H<sub>7</sub>ONO<sub>2</sub>) mixing ratios 337 338 of RONO<sub>2</sub> at TMS. However, for C<sub>4</sub> RONO<sub>2</sub>, the higher concentrations of its parent hydrocarbon  $n-C_4H_{10}$  (4131±361 pptv) and NO (26.9 ± 2.9 ppbv) at TW resulted in higher levels of secondary 339

2-C<sub>4</sub>H<sub>9</sub>ONO<sub>2</sub> (17.6±2.4 pptv) because the concentration of 2-C<sub>4</sub>H<sub>9</sub>O<sub>2</sub> at TW was lower than TMS by a factor of 4.2, compared to 6.7, 6.6 and 13.3 for C<sub>2</sub>H<sub>5</sub>O<sub>2</sub>, 2-C<sub>3</sub>H<sub>7</sub>O<sub>2</sub> and 1-C<sub>3</sub>H<sub>7</sub>O<sub>2</sub>, respectively.

The difference in NO<sub>x</sub> levels was considered to be the main cause of the anti-correlation between 343 the parent hydrocarbons and related RO<sub>2</sub> radicals. As O<sub>3</sub> formation is generally limited by VOCs 344 at both sites (Guo et al., 2013; Ling et al., 2014), the reaction chains of O<sub>3</sub> formation were 345 terminated by NO<sub>x</sub> reacting with reactive radicals. Figure S4 shows that the simulated OH and 346 HO<sub>2</sub> levels were much lower at TW than at TMS. This is because the higher NO<sub>x</sub> at TW 347 consumed more oxidative substances (e.g., O<sub>3</sub>) and radicals (OH and HO<sub>2</sub>). Consequently, 348 reactions including the oxidation of parent hydrocarbons at TW were more suppressed, leading 349 to lower production efficiency of RO<sub>2</sub> radicals. 350

Table 2 Average mixing ratios of parent hydrocarbons,  $NO_x$  and secondary  $RONO_2$  at TMS and

352 TW. Error bars represent 95% C.I.s.

	TMS	TW
CH <sub>4</sub> (ppbv)	1950±7	1970±7
C <sub>2</sub> H <sub>6</sub> (pptv)	1848±76	2144±81 *
C <sub>3</sub> H <sub>8</sub> (pptv)	1123±71	3343±331 *
<i>n</i> -C <sub>4</sub> H <sub>10</sub> (pptv)	887±84	4131±361 <sup>*</sup>
NO (ppbv)	3.5±0.1	26.9±2.9 *
NO <sub>2</sub> (ppbv)	8.7±0.8	31.6±3.1 *
CH <sub>3</sub> O <sub>2</sub> (molecules/cm <sup>3</sup> )	(3.1±0.4)×10 <sup>7</sup> *	$(0.6\pm0.3)\times10^7$
C <sub>2</sub> H <sub>5</sub> O <sub>2</sub> (molecules/cm <sup>3</sup> )	$(2.0\pm0.2)\times10^{6}$ *	$(0.3\pm0.1)\times10^{6}$
$2-C_3H_7O_2$ (molecules/cm <sup>3</sup> )	(4.6±0.5)×10 <sup>5</sup> *	$(0.7\pm0.2)\times10^5$
$1-C_3H_7O_2$ (molecules/cm <sup>3</sup> )	(4.0±0.6)×10 <sup>5</sup> *	$(0.3\pm0.1)\times10^5$
$2-C_4H_9O_2$ (molecules/cm <sup>3</sup> )	(7.1±0.8)×10 <sup>5</sup> *	$(1.7 \pm 0.5) \times 10^5$
Secondary CH <sub>3</sub> ONO <sub>2</sub> (pptv)	2.7±0.3	4.8±1.0 <sup>*</sup>
Secondary C <sub>2</sub> H <sub>5</sub> ONO <sub>2</sub> (pptv)	4.0±0.4	3.6±0.7
Secondary 2-C <sub>3</sub> H <sub>7</sub> ONO <sub>2</sub> (pptv)	5.2±0.5	4.5±0.7

Secondary 1-C <sub>3</sub> H <sub>7</sub> ONO <sub>2</sub> (pptv)	1.1±0.1 *	0.7±0.1
Secondary 2-C <sub>4</sub> H <sub>9</sub> ONO <sub>2</sub> (pptv)	13.5±1.4	17.6±2.4 *

\* Significant difference between the two sites (p < 0.05). Bolded are species with observed values, and the rest are simulated values.

Table 3 Relative contributions (%) of the  $RO_2$ +NO and RO+NO<sub>2</sub> pathways to  $RONO_2$  at TMS

RONO <sub>2</sub>	TMS		TW	
	RO <sub>2</sub> +NO	RO+NO <sub>2</sub>	RO <sub>2</sub> +NO	RO+NO <sub>2</sub>
CH <sub>3</sub> ONO <sub>2</sub>	58.1±6.8	41.9±5.9	23.8±4.8	76.2±15.7
C <sub>2</sub> H <sub>5</sub> ONO <sub>2</sub>	99.0±13.4	1.0±0.2	95.8±24.2	4.2±1.2
$2-C_3H_7ONO_2$	99.6±12.7	0.4±0.1	98.9±19.4	1.1±0.2
$1-C_3H_7ONO_2$	99.5±12.4	0.5±0.1	$98.1 \pm 17.8$	1.9±0.4
$2-C_4H_9ONO_2$	99.9±14.1	0.10±0.02	99.7±18.4	0.3±0.1

and TW. Error bars represent 95% C.I.s.

357

To further investigate RONO<sub>2</sub> formation in different environments, a total of 225 scenarios were 358 designed for model simulations. Briefly, RONO<sub>2</sub> production was simulated with a matrix of total 359 VOCs (TVOCs) and NO<sub>x</sub> ranging from 4-720 ppbv and 0.5-90 ppbv, respectively (TVOCs = 4, 8, 360 16, 32, 48, 64, 80, 160, 240, 320, 400, 480, 560, 640 and 720 ppbv; NO<sub>x</sub> = 0.5, 1, 2, 4, 6, 8, 10, 361 362 20, 30, 40, 50, 60, 70, 80 and 90 ppby). The rationale for concentration setting is to include the observed TVOCs and NO<sub>x</sub> in the middle of the sequence. Apart from their mixing ratios, the 363 composition of TVOCs and NO<sub>x</sub> might also influence the production of RONO<sub>2</sub>. Therefore, the 364 ratios between VOC species and NO and NO<sub>2</sub> (referred to as air pollutant profiles hereafter) at 365 TMS and TW were used to distribute VOCs species in TVOCs, and NO and NO<sub>2</sub> in NO<sub>x</sub>. Figure 366 4 shows the isopleths of CH<sub>3</sub>ONO<sub>2</sub> and C<sub>2</sub>H<sub>5</sub>ONO<sub>2</sub> production with the changes of TVOCs and 367 368 NO<sub>x</sub> based on the air pollutant profiles at TMS (panels (a) and (b)) and TW (panels (c) and (d)). 369 The isopleth of  $C_2H_5ONO_2$  production was selected as an example of  $C_2$ - $C_4$  RONO<sub>2</sub>, which had the same pattern variations in response to the changes of TVOCs and  $NO_x$  (see Figure S5). It is 370 noteworthy that both formation pathways of RO2+NO and RO+NO2 were considered for C1-C4 371 372 RONO<sub>2</sub>. Based on Figure 4, the NO<sub>x</sub> limited and VOC limited regimes in RONO<sub>2</sub> formation were identified. Briefly, the points with the lowest TVOCs on each isopleth line (red dots in the 373

374 figure panels) were linked in a straight line (ridge line), and RONO<sub>2</sub> formation in the area below and above the line was limited by  $NO_x$  and VOCs, respectively. Please note, the red dots 375 376 associated with NO<sub>x</sub> of 90 ppbv (the largest scale for the y-axis) were excluded, because they might not be the turning points from a NO<sub>x</sub>-limited to VOC-limited regime given that the 377 isopleths for NO<sub>x</sub> levels higher than 90 ppbv were not simulated. Consequently, the ridge lines 378 ended in the middle of the plots. Linear regressions were carried out for these ridge linesand a 379 TVOC/NO<sub>x</sub> ratio of approximately 3.3/1 ppbv/ppbv ( $R^2=0.96$ ) was obtained for the simulated 380 production of C<sub>2</sub>-C<sub>4</sub> RONO<sub>2</sub> based on the air pollutant profiles at TMS. In other words, when the 381 ratio of TVOCs/NO<sub>x</sub> was higher (lower) than 3.3/1 ppbv/ppbv, the C<sub>2</sub>-C<sub>4</sub> RONO<sub>2</sub> formation was 382 limited by NO<sub>x</sub> (VOCs). However, this ratio was much higher based on the air pollutant profiles 383 at TW (TVOCs/NO<sub>x</sub>=8.1/1 ppbv/ppbv, R<sup>2</sup>=0.99). This might be due to much lower levels of 384 oxidative radicals (see Figure S4) and the more important role of  $NO_x$  in consuming oxidative 385 radicals at this urban site (Ling et al., 2014), causing significant reduction of oxidative radicals 386 even at a relatively high ratio of TVOCs/NOx. Analysis of the relationship between RONO2 387 production and the TVOC/NO<sub>x</sub> ratio found that in the NO<sub>x</sub> limited regime, increasing NO<sub>x</sub> 388 stimulated the production of RONO<sub>2</sub> (RO<sub>2</sub>+NO $\rightarrow$ RONO<sub>2</sub>). However, increasing NO<sub>x</sub> led to a 389 direct or indirect reduction of OH (OH+NO<sub>2</sub> $\rightarrow$ HNO<sub>3</sub> and NO+O<sub>3</sub> $\rightarrow$ NO<sub>2</sub>+O<sub>2</sub>) and subsequent 390 reductions of HO<sub>2</sub>, RO<sub>2</sub> and RO in the VOC limited regime. Conversely, an increase of TVOCs 391 elevated the production of these radicals. Therefore, in the VOC limited regime, an increase of 392 393 TVOCs (NO<sub>x</sub>) resulted in an increase (decrease) of RO<sub>2</sub>, subsequently stimulating (suppressing) RONO<sub>2</sub> formation. 394



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Figure 4 Isopleths of photochemical production of (a) CH<sub>3</sub>ONO<sub>2</sub> and (b) C<sub>2</sub>H<sub>5</sub>ONO<sub>2</sub> (as an 397 example of C<sub>2</sub>-C<sub>4</sub> RONO<sub>2</sub>) based on the air pollutant profiles at TMS; (c) CH<sub>3</sub>ONO<sub>2</sub> and (d) 398  $C_2H_5ONO_2$  (as an example of  $C_2$ - $C_4$  RONO<sub>2</sub>) based on the air pollutant profiles at TW. The line 399 400 with the red dots separates NO<sub>x</sub> limited regime from VOCs limited regime. The blue and red blocks in the figure represent the average observed TVOCs, NOx and simulated RONO2 at TMS 401 402 (blue) and TW (red), respectively.

404 Note that the threshold ratios of TVOC/NO<sub>x</sub> turning to VOC-limited were lower for CH<sub>3</sub>ONO<sub>2</sub> formation than for  $C_2$ - $C_4$  RONO<sub>2</sub> at both TMS and TW, which were around 1.8/1 ppbv/ppbv 405 (R<sup>2</sup>=0.98) and 3.0/1 ppbv/ppbv (R<sup>2</sup>=0.99) based on the air pollutant profiles at TMS and TW, 406 respectively. In contrast to  $C_2$ - $C_4$  RONO<sub>2</sub>, which were mainly generated from RO<sub>2</sub> reacting with 407 NO, CH<sub>3</sub>ONO<sub>2</sub> had two pivotal formation pathways, *i.e.*, RO<sub>2</sub>+NO and RO+NO<sub>2</sub> (Table 3). 408 Figure 5 (a) and (b) show the respective isopleths of  $CH_3ONO_2$  produced by the pathways of 409 CH<sub>3</sub>O<sub>2</sub>+NO and CH<sub>3</sub>O+NO<sub>2</sub> based on the air pollutant profiles at TMS (the isopleths at TW are 410 presented in Figure 5 (c) and (d)). The CH<sub>3</sub>ONO<sub>2</sub> generated by CH<sub>3</sub>O<sub>2</sub>+NO (panels (a) and (c)) 411 followed the same patterns as C<sub>2</sub>-C<sub>4</sub> RONO<sub>2</sub> (TVOCs/NO<sub>x</sub> ratio of 3.3/1 and 8.1/1 ppbv/ppbv as 412 the threshold between the VOC- and NO<sub>x</sub>-limited regimes at TMS and TW, respectively). 413 However, the ridge line separating the VOC- and NO<sub>x</sub>-limited regimes was not determined at 414 TMS for  $CH_3O+NO_2$  (Figure 5 (b)), because the formation of  $CH_3ONO_2$  was all limited by  $NO_x$ . 415 Namely, based on the air pollutant profiles at TMS, the formation of CH<sub>3</sub>ONO<sub>2</sub> from the 416  $CH_3O+NO_2$  always increased with increasing  $NO_x$ , implying a continuous  $NO_x$  limited regime. 417 Even based on the air pollutant profiles at TW, the simulation results indicated that NO<sub>x</sub> did not 418 facilitate CH<sub>3</sub>ONO<sub>2</sub> formation until the ratio of TVOC/NO<sub>x</sub> was lower than 2.9/1 ppbv/ppbv for 419 the TVOC range of 4-160 ppbv. Moreover, for the scenarios with TVOCs  $\geq$  240 ppbv, 420  $CH_3ONO_2$  generated from  $CH_3O + NO_2$  continuously increased with increasing  $NO_x$  (continuous 421 NO<sub>x</sub> limited regime). The continuous stimulation effect of NO<sub>x</sub> on CH<sub>3</sub>ONO<sub>2</sub> formation at low 422 423 ratios of TVOC/NO<sub>x</sub> (high NO<sub>x</sub>) was also identified by Archibald et al. (2007), which might be caused by the competitiveness of NO<sub>2</sub> associating with CH<sub>3</sub>O relative to the oxidation of CH<sub>3</sub>O 424 425  $(CH_3O+O_2 \rightarrow HCHO+HO_2)$  increasing under high NO<sub>x</sub>.

In summary, Table 4 lists the ranges of TVOC/NO<sub>x</sub> ratios corresponding to the NO<sub>x</sub> limited and VOCs limited regimes in RONO<sub>2</sub> formation, which were simulated on the basis of the air pollutant profiles at TMS and TW. Please note: these values were the slopes derived from linear regressions. The uncertainty of model simulation was roughly estimated by root mean square of the accuracies of input parameters, which was ~13%.



Figure 5 Isopleths of  $CH_3ONO_2$  production from the pathway of (a)  $CH_3O_2$ +NO and (b) CH<sub>3</sub>O+NO<sub>2</sub> based on the air pollutant profiles at TMS; (c)  $CH_3O_2$ +NO and (d)  $CH_3O$ +NO<sub>2</sub> based on the air pollutant profiles at TW.

TVOCs/NO <sub>x</sub>	Profiles of air pollutants at TMS		Profiles of air pollutants at TW	
(ppbv/ppbv)	NO <sub>x</sub> limited	VOC limited	NO <sub>x</sub> limited	VOCs limited
<sup>a</sup> CH <sub>3</sub> ONO <sub>2</sub>	>1.8/1	<1.8/1	>3.0/1	<3.0/1
<sup>b</sup> CH <sub>3</sub> ONO <sub>2</sub>	>3.3/1	<3.3/1	>8.1/1	<8.1/1
<sup>c</sup> CH <sub>3</sub> ONO <sub>2</sub>	All ratios	None	>2.9/1	<2.9/1
C <sub>2</sub> -C <sub>4</sub> RONO <sub>2</sub>	>3.3/1	<3.3/1	>8.1/1	<8.1/1

441 Table 4 Ranges of TVOC/NO<sub>x</sub> ratios corresponding to regimes controlling RONO<sub>2</sub> formation 442 based on the air pollutant profiles at TMS and TW.

<sup>a</sup> Total CH<sub>3</sub>ONO<sub>2</sub> produced by CH<sub>3</sub>O<sub>2</sub>+NO and CH<sub>3</sub>O+NO<sub>2</sub>; <sup>b</sup> CH<sub>3</sub>ONO<sub>2</sub> produced by CH<sub>3</sub>O<sub>2</sub>+NO; <sup>c</sup> CH<sub>3</sub>ONO<sub>2</sub> produced by CH<sub>3</sub>O+NO<sub>2</sub>.

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### 446 **3.3 Impacts on O<sub>3</sub> production**

## 447 **3.3.1 During RONO<sub>2</sub> formation**

To investigate the impacts of RONO<sub>2</sub> formation on O<sub>3</sub> production, two categories of scenarios, 448 *i.e.*, a base case and five constrained cases were tested in this study. Briefly, in the base case all 449 reaction pathways were switched on in the model, while the formation pathways (RO<sub>2</sub>+NO and 450 RO+NO<sub>2</sub>) of each individual RONO<sub>2</sub> were switched off in each corresponding constrained case. 451 452 The five constrained cases corresponded to the five RONO<sub>2</sub>. All other settings were identical between the base and constrained cases. The base case simulated the secondary production of 453 454 RONO<sub>2</sub>. The O<sub>3</sub> variations ( $\Delta$ O<sub>3</sub>) induced by RONO<sub>2</sub> formation were obtained by subtracting O<sub>3</sub> in the constrained cases from that in the base case, as were the variations of NO, NO<sub>2</sub>, OH and 455 456 HO<sub>2</sub>. Figure 6 shows the relationship between  $\Delta O_3$  and secondary RONO<sub>2</sub> production at TMS and TW. Overall, as secondary RONO<sub>2</sub> production increased,  $O_3$  levels decreased. The formation 457 458 of CH<sub>3</sub>ONO<sub>2</sub>, C<sub>2</sub>H<sub>5</sub>ONO<sub>2</sub>, 2-C<sub>3</sub>H<sub>7</sub>ONO<sub>2</sub>, 1-C<sub>3</sub>H<sub>7</sub>ONO<sub>2</sub> and 2-C<sub>4</sub>H<sub>9</sub>ONO<sub>2</sub> caused an average O<sub>3</sub> 459 reduction (mean  $\pm 95\%$  C.I.) of 9.7  $\pm 1.1$ , 14.7  $\pm 1.6$ , 18.4  $\pm 1.9$ , 6.9  $\pm 0.6$  and 60.2  $\pm 6.8$  pptv at TMS, and  $10.5 \pm 3.2$ ,  $7.1 \pm 2.0$ ,  $8.3 \pm 2.1$ ,  $2.0 \pm 0.5$  and  $40.0 \pm 9.8$  pptv at TW, respectively. 460 Furthermore, the O<sub>3</sub> reduction was linearly correlated with the production of secondary RONO<sub>2</sub> 461 (0.72 <R<sup>2</sup> <0.95 at TMS, 0.77 <R<sup>2</sup> <0.84 at TW) at TW for CH<sub>3</sub>ONO<sub>2</sub>, C<sub>2</sub>H<sub>5</sub>ONO<sub>2</sub>, 2-462 C<sub>3</sub>H<sub>7</sub>ONO<sub>2</sub>, 1-C<sub>3</sub>H<sub>7</sub>ONO<sub>2</sub> and 2-C<sub>4</sub>H<sub>9</sub>ONO<sub>2</sub>, respectively. Notably, the O<sub>3</sub> reduction efficiencies 463

( $\Delta O_3$ /secondary RONO<sub>2</sub>) were significantly lower at TW than at TMS (p<0.05), meaning that 464  $RONO_2$  production caused less  $O_3$  reduction at TW than at TMS. Since  $O_3$  formation was 465 generally limited by VOCs at TMS and TW (Ling et al., 2014), the variations of reactive radicals 466 (RO, HO<sub>2</sub> and OH) during the RONO<sub>2</sub> formation were expected to be the main cause of  $O_3$ 467 reduction. This assumption was confirmed by the correlations of daily average  $O_3$  reduction with 468 daily average variations in simulated NO, NO<sub>2</sub>, OH and HO<sub>2</sub> (hourly average values between 469 07:00 and 19:00), as shown in Figures S6-S7. The O<sub>3</sub> reduction correlated well with the 470 reduction of OH ( $R^2$ = 0.83 and 0.71 at TMS and TW, respectively) and of HO<sub>2</sub> ( $R^2$ = 0.84 and 471 0.98 at TMS and TW, respectively), while poor correlations were found between O<sub>3</sub> reduction 472 and the variation of NO or NO<sub>2</sub>. As discussed earlier, OH and HO<sub>2</sub> at TW were much lower than 473 at TMS (see Figure S4). Therefore, the lower O<sub>3</sub> reduction efficiencies at TW mainly resulted 474 from the lower photochemical reactivity, which was unfavorable to the chain propagation of  $O_3$ 475 formation reactions. 476



478 Figure 6 Relationship between O<sub>3</sub> reductions and the simulated secondary RONO<sub>2</sub> productions at
479 (a) TMS and (b) TW.

## 480 **3.3.2 During RONO<sub>2</sub> degradation**

The oxidative radicals and  $NO_x$  stabilized within RONO<sub>2</sub> molecules can be released and fuel O<sub>3</sub> formation as the RONO<sub>2</sub> degrades. OH oxidation and photolysis are the main degradation pathways of RONO<sub>2</sub>. Figure S8 shows the average simulated OH oxidation and photolysis rates for C<sub>1</sub>-C<sub>4</sub> RONO<sub>2</sub> at TMS and TW. As expected based on the literature (*e.g.*, Clemitshaw et al., 1997), the photolysis of CH<sub>3</sub>ONO<sub>2</sub> was stronger than its OH oxidation at both sites. As the 486  $RONO_2$  carbon number increases the importance of photolysis decreases (Atkinson et al., 1982b; 487 Bertman et al., 1995; Clemitshaw et al., 1997). Here the OH oxidation rates were comparable to 488 photolysis rates for C<sub>2</sub>H<sub>5</sub>ONO<sub>2</sub> and 2-C<sub>3</sub>H<sub>7</sub>ONO<sub>2</sub>, and exceeded photolysis rates for 1-C<sub>3</sub>H<sub>7</sub>ONO<sub>2</sub> and 2-C<sub>4</sub>H<sub>9</sub>ONO<sub>2</sub> at TMS. However, at TW, the OH oxidation was still weaker than 489 photolysis for C<sub>2</sub>H<sub>5</sub>ONO<sub>2</sub> and 2-C<sub>3</sub>H<sub>7</sub>ONO<sub>2</sub>, and comparable to photolysis for 1-C<sub>3</sub>H<sub>7</sub>ONO<sub>2</sub> and 490 2-C<sub>4</sub>H<sub>9</sub>ONO<sub>2</sub>. The weaker response of the OH oxidation rate to increasing carbon number at TW 491 was ascribed to the lower OH concentration at TW (1.1  $\pm 0.2 \times 10^6$  molecules/cm<sup>3</sup>) compared to 492 TMS  $(4.3 \pm 0.5 \times 10^6 \text{ molecules/cm}^3)$ . 493

494 The impacts of RONO<sub>2</sub> degradation on O<sub>3</sub> production were studied with two simulation 495 scenarios, *i.e.*, a base scenario with all the reaction pathways switched on and a constrained scenario in which OH oxidation and photolysis for all five C<sub>1</sub>-C<sub>4</sub> RONO<sub>2</sub> were switched off. The 496 differences of model output between the two scenarios reflected the impact of RONO<sub>2</sub> 497 degradation. Note that this impact was studied in the form of total C<sub>1</sub>-C<sub>4</sub> RONO<sub>2</sub> rather than 498 individual species, because the highest resolution of the model output was  $1 \times 10^8$  molecules/cm<sup>3</sup> 499 (~4 pptv), and the small  $O_3$  variation induced by individual RONO<sub>2</sub> could not be accurately 500 501 simulated. During RONO<sub>2</sub> degradation NO<sub>2</sub> is released, which decomposes and generates NO and O, allowing O<sub>3</sub> to be formed from O<sub>2</sub> and O. On the other hand, the released NO<sub>2</sub> also reacts 502 503 with OH, leading to the decreases of OH and HO<sub>2</sub>, and reduced O<sub>3</sub> production. The RO released from RONO<sub>2</sub> degradation fuels O<sub>3</sub> formation. Therefore, the net impact of RONO<sub>2</sub> degradation 504 505 on O<sub>3</sub> production involves the combined effect and relative strengths of NO<sub>2</sub> stimulating, NO<sub>2</sub> suppressing, and RO stimulating processes, which exist simultaneously in RONO<sub>2</sub> degradation. 506

Figure 7 shows the simulated daily average variations of O<sub>3</sub>, NO, NO<sub>2</sub>, OH and HO<sub>2</sub> induced by 507 degradation of the C<sub>1</sub>-C<sub>4</sub> RONO<sub>2</sub>. The daily average O<sub>3</sub> variations ranged from -7.4 pptv to 2.3 508 509 pptv at TMS, but increased at TW throughout the sampling campaign (average increase of 2.9  $\pm$ 0.8 pptv). At TMS, the O<sub>3</sub> variation correlated well with the OH and HO<sub>2</sub> variations ( $R^2$  of 0.86 510 and 0.85, respectively), but negatively with the variations of NO and NO<sub>2</sub> ( $R^2$  of 0.89 and 0.76, 511 respectively). This implied that  $O_3$  formation at TMS was generally VOC-limited (NO<sub>x</sub>-512 suppressed). When NO and NO<sub>2</sub> levels increased at TMS, O<sub>3</sub>, OH and HO<sub>2</sub> decreased. This 513 514 might be due to the consumption of OH by NO<sub>2</sub> and/or NO titration with O<sub>3</sub>. Since NO increases resulted from the decomposition of NO<sub>2</sub>, we defined this impact of RONO<sub>2</sub> degradation on O<sub>3</sub> 515









Figure 7 Simulated variations of (a)  $O_3$ , (b) NO, (c) NO<sub>2</sub>, (d) OH and (e) HO<sub>2</sub> at TMS and TW induced by C<sub>1</sub>-C<sub>4</sub> RONO<sub>2</sub> degradation.

To extend the impact of RONO<sub>2</sub> degradation on  $O_3$  production to different environments,  $O_3$ 541 542 production in a total of 225 base scenarios and 225 constrained scenarios were simulated. The 543 240, 320, 400, 480, 560, 640 and 720 ppbv) and 15 gradients of  $NO_x$  ( $NO_x = 0.5, 1, 2, 4, 6, 8, 10$ , 544 20, 30, 40, 50, 60, 70, 80 and 90 ppbv). Similar to the simulations of RONO<sub>2</sub> formation, these 545 546 simulations were based on the air pollutant profiles at TMS and TW, respectively. The degradation reactions of C<sub>1</sub>-C<sub>4</sub> RONO<sub>2</sub> were switched off in all the constrained scenarios. Figure 547 8 and Figure 9 show the average differences of O<sub>3</sub>, NO, NO<sub>2</sub>, OH, HO<sub>2</sub> and total C<sub>1</sub>-C<sub>4</sub> RONO<sub>2</sub> 548 between each base scenario and its corresponding constrained scenario, based on the air pollutant 549 profiles at TMS and TW, respectively. The negative values to the right of the y-axis in panel (f) 550 551 indicates the degradation amounts of total  $C_1$ - $C_4$  RONO<sub>2</sub> (the difference of simulated RONO<sub>2</sub>) between the base and constrained scenarios), and panels (a)-(e) reflect the impact of the RONO<sub>2</sub> 552

- degradation on the production of these compounds or radicals. To help understand the variations of these species or radicals, the NO<sub>x</sub>-limited and VOC-limited regimes in O<sub>3</sub> formation at TMS and TW are shown in Figure S9. Notably, the variation patterns of O<sub>3</sub> with the changes of TVOCs and NO<sub>x</sub> were highly consistent with those of C<sub>2</sub>-C<sub>4</sub> RONO<sub>2</sub>. Namely, 3.3/1 and 8.1/1 ppbv/ppbv were the threshold TVOC/NO<sub>x</sub> ratios separating the NO<sub>x</sub> and VOC limited regimes. This is reasonable because C<sub>2</sub>-C<sub>4</sub> RONO<sub>2</sub> and O<sub>3</sub> share the same formation pathways.
- According to Figure 8 and Figure 9, with the degradation of  $C_1$ - $C_4$  RONO<sub>2</sub>,  $O_3$  generally 559 increased in the  $NO_x$  limited regime, regardless of the site. The increase of  $O_3$  was always 560 accompanied by increased NO and NO<sub>2</sub> (panels (b) and (c)). However, the oxidative radicals 561 562 (OH and HO<sub>2</sub>) could either increase or decrease with RONO<sub>2</sub> degradation in the NO<sub>x</sub> limited regime. For the convenience of discussion, the areas with OH or HO<sub>2</sub> increases (decreases) were 563 defined as "R1" ("R2") in panels (d) and (e). The OH and HO<sub>2</sub> increases might be caused by the 564 increase of O<sub>3</sub> following by the photolysis. The added RO from RONO<sub>2</sub> degradation also 565 566 facilitated the production of these oxidative radicals. However, in areas "R2" where more RONO<sub>2</sub> was degraded and more NO<sub>2</sub> was released (see panels (c) and (f)), OH and HO<sub>2</sub> 567 568 decreased, possibly from the higher consumption of OH by NO<sub>2</sub> that was released from RONO<sub>2</sub> degradation and/or consumption of OH by RONO<sub>2</sub> itself (RONO<sub>2</sub>+OH→RO+NO<sub>2</sub>+products). 569 570 Since  $O_3$  formation was limited by  $NO_x$  in this regime, the  $O_3$  increase was most likely related to stimulation of O<sub>3</sub> formation by NO<sub>2</sub> released from RONO<sub>2</sub> degradation. Note that this NO<sub>2</sub> 571 572 stimulating effect on  $O_3$  production was not observed at TMS and TW, where  $O_3$  formation was generally VOC limited. In contrast, O<sub>3</sub> either decreased or increased with RONO<sub>2</sub> degradation in 573 574 the VOC limited regime.
- In the areas close to the NO<sub>x</sub> limited regime (defined as area "R3"),  $O_3$  generally decreased. 575 576 Consistently, both OH and HO<sub>2</sub> decreased in this area due to RONO<sub>2</sub> degradation, while NO and NO<sub>2</sub> increased. It is widely known that VOCs and NO<sub>x</sub> favor and inhibit O<sub>3</sub> formation in the 577 VOC limited regime, respectively. Since RONO<sub>2</sub> degradation released RO and NO<sub>2</sub>, the decrease 578 of  $O_3$  implied that the effect of  $NO_2$  suppression overrode the effect of RO stimulation on  $O_3$ 579 formation. In other words, the net impact of RONO<sub>2</sub> degradation on O<sub>3</sub> production was NO<sub>2</sub> 580 581 suppression in this area "R3". However, RONO<sub>2</sub> degradation led to a slight O<sub>3</sub> increase in another area of the VOC limited regimes (defined as area "R4"), where OH and HO<sub>2</sub> also 582

583 increased. The average variations of O<sub>3</sub>, NO, NO<sub>2</sub>, OH and HO<sub>2</sub> are annotated in area "R4" for 584 panels (a)-(e) in Figures 8 and 9. Note that these variations were minor and difficult to 585 distinguish; the O<sub>3</sub> variations in this area are separately shown in Figure S10. In view of the VOC limited regime controlling O<sub>3</sub> formation and the synchronous increases of O<sub>3</sub>, OH and HO<sub>2</sub>, 586 the O<sub>3</sub> increase induced by RONO<sub>2</sub> degradation was attributable to the addition of RO and its 587 stimulating effect on O<sub>3</sub> formation. Namely, RO stimulation overrode NO<sub>2</sub> suppression in area 588 589 "R4". Since area "R4" had lower TVOCs and higher NO<sub>x</sub> than "R3", the change from NO<sub>2</sub> suppression in "R3" to RO stimulation in "R4" might be driven by the lower ratio of TVOC/NO<sub>x</sub>, 590 as discussed earlier. 591

- 592 Moreover, in accordance with the simulations at TMS and TW (see Figure 7), the  $O_3$  variations
- induced by the degradation of  $C_1$ - $C_4$  RONO<sub>2</sub> with changing TVOCs and NO<sub>x</sub> (panel (a)) also
- revealed that O<sub>3</sub> production decreased (at a relatively higher ratio of TVOC/NO<sub>x</sub>, point "TMS1"
- in Figure 8) or increased (at a relatively lower ratio of TVOC/NO<sub>x</sub>, point "TMS2" in Figure 8) at
- 596 TMS, and was consistently elevated at TW (point "TW" in Figure 9).







Figure 8 Variations of (a) O<sub>3</sub> (b) NO (c) NO<sub>2</sub> (d) OH (e) HO<sub>2</sub> and (f) total C<sub>1</sub>-C<sub>4</sub> RONO<sub>2</sub> 600 induced by the degradation of C<sub>1</sub>-C<sub>4</sub> RONO<sub>2</sub> following changes of TVOCs and NO<sub>x</sub>, simulated 601 based on air pollutant profiles at TMS. The white squares "TMS1" and "TMS2" show the 602 average simulated variations based on the measured TVOCs and NO<sub>x</sub> at TMS in the cases of O<sub>3</sub> 603 decrease and increase, respectively. Area "R1" (or "R2") shows the increases (or decreases) of 604 OH/HO<sub>2</sub> in the NO<sub>x</sub> limited regime. "R3" (or "R4") are areas with O<sub>3</sub> decrease (or increase) in 605 the VOC limited regime. Numbers in the brackets of "R4" present the average variation of each 606 607 species.





Figure 9 Variations of (a)  $O_3$  (b) NO (c)  $NO_2$  (d) OH (e)  $HO_2$  and (f) total  $C_1$ - $C_4$  RONO<sub>2</sub> induced by the degradation of  $C_1$ - $C_4$  RONO<sub>2</sub> following changes of TVOCs and  $NO_x$ , simulated based on air pollutant profiles at TW. The white square "TW" shows the average simulated variations based on the measured TVOCs and  $NO_x$  at TW. Area "R1" (or "R2") shows the increases (or decreases) of OH/HO<sub>2</sub> in the NO<sub>x</sub> limited regime. "R3" (or "R4") are areas with O<sub>3</sub> decrease (or increase) in the VOC limited regime. Numbers in the brackets of "R4" present the average variation of each species.

## 618 4 Conclusions

619 A PBM-MCM model was developed to simulate gas-phase RONO<sub>2</sub> measured at an urban and a 620 mountainous site in Hong Kong. The magnitudes and variations of the observed C<sub>1</sub>-C<sub>4</sub> RONO<sub>2</sub> at 621 both sites were well reproduced by the model. The modeling results indicated that RONO<sub>2</sub> formation depended upon not only the abundances of precursors but also the photochemical 622 reactivity, which was closely related to the levels of VOCs and NO<sub>x</sub>. Although the precursors of 623  $RONO_2$  at the mountainous site were less abundant than at the urban site, the higher 624 photochemical reactivity led to higher production of RO<sub>2</sub> radicals, resulting in comparable or 625 even higher RONO<sub>2</sub>. The regimes in which the formation of C<sub>2</sub>-C<sub>4</sub> RONO<sub>2</sub> was NO<sub>x</sub>-limited and 626 VOC-limited were identified namely when the ratio of TVOC/NO<sub>x</sub> was higher and lower than 627 3.3/1 ppbv/ppbv, respectively, based on the air pollutant profiles at mountainous site. Since the 628 NO<sub>x</sub> concentration was higher at the urban site, the formation of C<sub>2</sub>-C<sub>4</sub> RONO<sub>2</sub> was limited by 629 NO<sub>x</sub> when the ratio of TVOC/NO<sub>x</sub> was higher than 8.1/1. However, these simulated thresholds 630 decreased to 1.8/1 and 3.0/1 ppbv/ppbv for the formation of CH<sub>3</sub>ONO<sub>2</sub> at the mountainous and 631 urban site, respectively. This was mainly because  $CH_3ONO_2$  produced from  $CH_3O + NO_2$ 632 continued to increase with increasing NO<sub>x</sub> when the ratios of TVOC/NO<sub>x</sub> were relatively low 633 634 (high NO<sub>x</sub>). Since O<sub>3</sub> formation was generally VOC limited at both sites, and RONO<sub>2</sub> formation 635 initially stabilized RO radicals in RONO<sub>2</sub> molecules, O<sub>3</sub> production was reduced by RONO<sub>2</sub> formation. On the other hand, the mechanisms of RONO<sub>2</sub> degradation influencing O<sub>3</sub> production 636 included NO<sub>2</sub> stimulating, NO<sub>2</sub> suppressing, and RO stimulating processes. At the mountainous 637 site, the impact of RONO<sub>2</sub> degradation on O<sub>3</sub> production was dominated by NO<sub>2</sub> suppression 638 639 under the condition of relatively high ratios of  $TVOC/NO_x$ , leading to the decrease of  $O_3$ , while

- 640 RO stimulation occurred at relatively low ratios of TVOC/NO<sub>x</sub>, resulting in the increase of  $O_3$ .
- 641 However, the O<sub>3</sub> production always increased due to RO stimulation at the urban site.

Acknowledgements: This study was supported by the Research Grants Council of the Hong Kong Special Administrative Region via grants PolyU5154/13E, PolyU152052/14E, CRF/C5004-15E and CRF/C5022-14G, and the Hong Kong Polytechnic University PhD scholarships (project #RTUP). This study is partly supported by the Hong Kong PolyU internal grant (G-YBHT, 1-BBW4 and 4-ZZFW) and the National Natural Science Foundation of China (No. 41275122). The data are accessible at <u>https://drive.google.com/file/d/0B0r5QxfKPoyU</u>

648 <u>YTNyNUctcWNaeTg/view?usp=sharing</u>.

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