

# Effect of Uniaxial Strain on Low Frequency Raman Modes in Few Layers

## Molybdenum Disulfide

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The effect of uniaxial tensile strain on the shear mode (C mode) and layer breathing mode (LB mode) of few layers MoS<sub>2</sub> were studied. The C mode is doubly degenerated into two sub-peaks as applied strain increases. No measurable Raman shift for the LB mode is observed, while its Raman intensity decreases gradually as the strain increases. In addition, the C and LB modes exhibit distinguished thickness dependence. The C mode shows a clear blue shift as the strain increases, while the LB mode is softened in the process. Moreover, the evolution of C mode with thickness follows an enhanced linear-chain model plus a linear component very well. It implies that the C mode is a better thickness navigator as compared to the L mode.

Molybdenum disulfide (MoS<sub>2</sub>) is a kind of typical transition metal dichalcogenide semiconductor with an indirect bandgap of 1.29 eV.<sup>[1]</sup> In recent years, atomically thick MoS<sub>2</sub> layers have been fabricated by direct mechanical exfoliation<sup>[2]</sup> and chemical vapor deposition<sup>[3]</sup>. Few layers MoS<sub>2</sub> films have attracted lots of attention because of its intriguing electronic and optical properties. For instance, the room temperature current on/off ratio of monolayer MoS<sub>2</sub> transistor can reach 10<sup>8</sup>, which is much larger than devices based on graphene.<sup>[4]</sup> In addition, excellent photoluminescence is revealed in MoS<sub>2</sub> layers resulting from *d*-orbital related interaction.<sup>[5]</sup> Monolayer MoS<sub>2</sub> serving as an inverter in a logical circuit with a larger gain factor than conventional semiconductors.<sup>[6, 7]</sup> These fantastic properties of MoS<sub>2</sub> are results from its intrinsic band structure. Bulk MoS<sub>2</sub> is composed of weakly interacting layers by van der Waals' (vdWs) force with a monolayer thickness of 0.65 nm.<sup>[8]</sup> In each layer, S-Mo-S covalent bonds

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28 constitute a stable unit, which forms two hexagonal planes of S atoms. An intermediate hexagonal plane  
29 of Mo atoms is coordinated through ionic-covalent interaction with the S atoms in a trigonal prismatic  
30 arrangement as shown in Figure 1a. As the thickness decreases, MoS<sub>2</sub> undergoes a transition from  
31 indirect to a direct bandgap of 1.9 eV in monolayer.<sup>[1, 5, 9]</sup> Moreover, the bandgap of few layers MoS<sub>2</sub>  
32 not only depends on its intrinsic thickness but also the external conditions, such as strain. Strain  
33 engineering is a powerful tool to modify the properties of materials, especially for ultrathin materials,  
34 which can be used to increase carrier mobility and enhance emission efficiency. Graphene under about  
35 1% tensile strain possesses a band gap opening of 300 meV.<sup>[10]</sup> Since the few layers MoS<sub>2</sub> is highly  
36 elastic and robust, it can easily be strained to modulate its properties. For example, the tensile strain can  
37 lead to a transition from direct to indirect bandgap in atomically thick MoS<sub>2</sub> when the strain is up to 1%,  
38 while the compressive strain will widen the direct bandgap.<sup>[11-13]</sup> Particularly, the mechanism of the  
39 strain induced properties results from the distortion of the crystal lattice of 2D materials. Since lots of  
40 works have studied the effect of phonon vibration in high frequency in few layer MoS<sub>2</sub> by Raman  
41 spectroscopy,<sup>[14-18]</sup> the strain dependence of phonon vibration modes in low frequency range has seldom  
42 been studied as the Rayleigh line is strong and broad to hinder the fine Raman modes in low frequency.  
43 The high frequency modes normally are corresponding to the vibration in intralayer chemical bonds and  
44 are stronger than the low frequency ones. In contrast, the low frequency modes result from the vdWs  
45 force induced weak layer-layer vibrations with each layer as a whole. Therefore, the low frequency  
46 modes are more sensitive to the interlayer coupling and thickness, which has been studied in graphene  
47 widely.<sup>[19]</sup> Herein, we study the evolution of low frequency Raman modes, i.e. the shear (C mode) and  
48 layer breathing modes (LB modes) in few layers MoS<sub>2</sub> under controllable uniaxial strain. It is found that  
49 the C mode can split into two sub-peaks as the uniaxial strain rises up like the behavior of the high  
50 frequency  $E_{2g}^1$  mode. Most importantly, the strain induced two sub-peaks show opposite Raman shifts.

51 In addition, the C and LB modes are more sensitive than the high frequency modes implying the low  
52 frequency modes are better to act as a pointer to the layer number in MoS<sub>2</sub>.

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## 54 **Experimental**

55 ***Sample fabrication-*** Few layers MoS<sub>2</sub> flakes were mechanical exfoliated onto clean silicon wafer  
56 (285 nm SiO<sub>2</sub>) by Scotch tape from bulk MoS<sub>2</sub> crystal (SPI Supplies). After identification, the MoS<sub>2</sub>  
57 flakes were transferred onto a PET substrate (121  $\mu\text{m}$  thick) with an area of 24 mm  $\times$  20 mm using  
58 Polydimethylsiloxane (PDMS) film (from Gel-Pak).<sup>[20]</sup> The flexible PET substrate was able to provide  
59 controllable uniaxial strain if bended with various curvatures.<sup>[21]</sup> Before the transfer of few layers MoS<sub>2</sub>  
60 flakes, a layer of SU-8 photoresist ( $\sim$ 400 nm) was pre-coated on the PET substrate to raise the visibility  
61 of the MoS<sub>2</sub> and reducing the surface roughness of the substrate, which can ensure a good adhesion of  
62 the MoS<sub>2</sub> flakes on the PET substrate.<sup>[22]</sup> The MoS<sub>2</sub> flakes were deposited at the center of the PET  
63 substrate as far as possible. As the size of the MoS<sub>2</sub> flakes were much smaller than the thickness of the  
64 substrate, the induced strain to the MoS<sub>2</sub> can be assumed to be the same as the strain applied to the PET  
65 substrate.<sup>[22]</sup> Finally, a  $\sim$ 100 nm thick poly(methyl methacrylate) (PMMA) layer was spin coated onto  
66 the MoS<sub>2</sub> flake to prevent the slippage between the sample and the substrate.<sup>[23-25]</sup>

67 ***Atomic force microscope-*** Veeco Multimode 8 was used to determine the thickness of the MoS<sub>2</sub>  
68 flakes on silicon wafer in tapping mode. The scanning area was  $\sim$ 10  $\mu\text{m}^2$  to ensure the AFM image  
69 resolution.

70 ***Ultralow frequency Raman spectroscopy-*** Raman spectroscopy measurement was conducted on Horiba  
71 HR800 Raman spectroscopy system. An external diode pumped solid state laser of 488 nm was utilized  
72 to excite the sample in the confocal Raman system. A neutral filter was used to attenuate the laser power  
73 down to 15  $\mu\text{W}$  on the MoS<sub>2</sub> flakes in order to avoid sample heating. As the effect from Rayleigh line,  
74 the ultralow frequency module was employed in the Raman system in order to obtain the modes with

frequency lower than  $10\text{ cm}^{-1}$ , including three BraggGrate notch filters. We used a 100X objective to make the laser spot size of  $1\text{ }\mu\text{m}$ . A 1800 grooves/mm grating was chosen to enable a spectral resolution of  $\sim 0.6\text{ cm}^{-1}$  at 488 nm.

## Results and discussion

There are four first-order Raman active modes in bulk 2H-MoS<sub>2</sub> because of the  $D_{6h}$  space group,  $E_{2g}^1$  mode ( $383\text{ cm}^{-1}$ ),  $A_{1g}$  mode ( $408\text{ cm}^{-1}$ ),  $E_{2g}^2$  mode ( $32\text{ cm}^{-1}$ ) and  $E_{1g}$  mode ( $286\text{ cm}^{-1}$ ).<sup>[26, 27]</sup> As shown in Figure 1b, the  $E_{2g}^1$  mode is in-plane optical opposite vibration of sulfur atoms and molybdenum atoms against each layer. The  $A_{1g}$  mode is assigned as the out of plane optical vibration of sulfur atoms in opposite directions. The  $E_{2g}^2$  mode is also known as the shear mode deriving from the rigid in-plane atomic vibration against neighbor layers. The wavenumber of  $E_{2g}^2$  mode is often lower than  $50\text{ cm}^{-1}$  covered by the strong Rayleigh line, which can be rejected under ultralow frequency measurement set up. As for the  $E_{1g}$  mode, it is associated with the in-plane opposite vibration of sulfur atoms. However, under backscattering configuration,  $E_{1g}$  mode is negligible due to the forbidden selection rule based on the lattice symmetry.<sup>[27]</sup>

The evolution of normal and ultralow frequency Raman modes dependent on the thickness in various MoS<sub>2</sub> flakes are shown in Figure 2a and 2b respectively. For samples from 3-layer to bulk, a clear red shift ( $\sim 0.7\text{ cm}^{-1}$ ) of  $E_{2g}^1$  mode is observed, but the  $A_{1g}$  mode gives an apparent blue shift from  $405.7\text{ cm}^{-1}$  in the 3-layer flake to  $408.2\text{ cm}^{-1}$  in the bulk. Normally, as the layer increases, the internal interaction resulting from the vdWs force will become strong and lead to the phonon mode hardening.<sup>[28]</sup> In  $A_{1g}$  mode, the phonon vibrates along the out of plane direction so that strong vdWs interaction results in the stiffness of lattice vibration, which agrees with the previous studies.<sup>[29]</sup> However, the opposite trend of  $E_{2g}^1$  mode can attribute to the influence of stacking induced lattice deformation or long range Coulombic interlayer interaction.<sup>[30]</sup> The C mode is directly relative to the thickness of MoS<sub>2</sub> flake because they are

directly related to the rigid displacement of the layer themselves. Similarly, the C mode also exhibits thickness dependence. As the layer number (N) increases, the C mode reveals a clear blue shift from 22.6 cm<sup>-1</sup> in the 3-layer sample to 32.4 cm<sup>-1</sup> in the bulk as shown in Figure 2b. It illustrates that the internal layer interaction make the  $E_{2g}^2$  mode stiffen gradually, which implies that the C mode can be utilized to monitor the thickness of MoS<sub>2</sub>. In addition, a broad flat peak centered at 38.3 cm<sup>-1</sup> is observed in the 3-layer MoS<sub>2</sub> flake and it gradually shifts toward the low wavenumber as the layer increases as shown in Figure 2b (guided by the red dot arrow lines). Moreover, the peak cannot be observed in bulk. Based on the evolution dependent on the thickness of the MoS<sub>2</sub>, this broad flat peak can be assigned as the layer breath mode (LB mode).<sup>[31-33]</sup> The LB mode represents the rigid layer vibrates perpendicular to the atomic planes, which results from the weakness of the interlayer bonding. Considering the C mode is doubly degenerated, the intensity of C mode should be twice stronger than that of the LB mode meaning that the LB mode is much weaker than the C mode, which has been evident from our results as shown in Figure 2b.

The peak position shifts of the two high frequency Raman modes as a function of 1/N are plotted in Figure 2c. The variations of Raman shift for  $A_{1g}$  mode is 2.5 cm<sup>-1</sup> is much larger than that of  $E_{2g}^1$  mode meaning that  $A_{1g}$  mode is more sensitive to the N. The difference of Raman shifts ( $\Delta\omega$ ) for the two modes is often used as a pointer to the sample thickness. The  $\Delta\omega$  as a function of 1/N is fitted by a linear function with a slope of -9.69 cm<sup>-1</sup>/N<sup>-1</sup> as shown in the inset of Figure 2c.

The rigid layer modes exist in layered crystal where strong and weak forces coexist. They are directly related to the intralayer vdWs forces. The relationship between the C mode and N in graphene has been illustrated by a linear- chain model,<sup>[19]</sup>

$$\omega = \frac{1}{\sqrt{2}\pi c} \sqrt{\frac{\alpha}{\mu}} \sqrt{1 + \cos\left(\frac{\pi}{N}\right)} \quad (1)$$

121 where  $c$  is the speed of light ( $3.0 \times 10^{10} \text{ cm/s}$ ),  $\mu$  is the unit layer mass per unit area, and  $\alpha$  is the  
122 interlayer force constant per unit area. Here, the relationship is also used to illustrate the evolution of C  
123 mode in few layers MoS<sub>2</sub>. As shown in Figure 2d, the peak position of C mode is extracted from the  
124 flakes as a function of the reciprocal of  $N$ . The solid dot points for a given thickness derive from the  
125 experiment results from different MoS<sub>2</sub> samples. The red dash dot line is the fitting curve using equation  
126 (1). The value of  $\frac{\alpha}{\mu}$  is  $1.69 \times 10^6 \text{ s}^{-2}$ . However, the fitting is not satisfactory as lots of experimental  
127 points deviate from the fitting line. In Ref. 21, graphene is free-suspended on 2~5  $\mu\text{m}$  holes so that no  
128 interaction between the bottom and the substrate is considered into the fitting. However, our few layers  
129 MoS<sub>2</sub> flakes were deposited onto PET substrate. Weak vdWs interaction must exist between the MoS<sub>2</sub>  
130 and PET substrate. As the hardening of C mode is due to an increase of the overall restoring force, the  
131 weak vdWs force between MoS<sub>2</sub> and substrate also should be taken into consideration which acts as an  
132 additional spring constant in the linear chain model. In order to fit the experimental data better, a  
133 modified linear chain model is used by adding a linear component,  $k \times \frac{1}{N}$ , as follows,

$$134 \quad \omega = \frac{1}{\sqrt{2}\pi c} \sqrt{\frac{\alpha}{\mu}} \sqrt{1 + \cos\left(\frac{\pi}{N}\right)} + k \frac{1}{N} \quad (2)$$

135 The fitting curve is given by the blue dash dot line as shown in Figure 2d. It is found that the fitting  
136 using equation 2 is much better than that of equation 1. The fitting parameters  $\frac{\alpha}{\mu}$  and  $k$  are  $1.75 \times 10^6$   
137 and  $-13.4 \text{ cm}^{-1}$  respectively, which are similar to the values obtained by equation 1. It implies that the  
138 linear-chain model part is almost the same and that the additional linear component is ascribed to the  
139 weak vdWs interaction between the MoS<sub>2</sub> flake and the PET substrate.

140 Figure 3a shows the optical image of the MoS<sub>2</sub> flakes deposited on the PET substrate. The laser spot  
141 is mainly focused on the area highlighted by the red dashed circle. After all the experiments, the  
142 thickness of this flake was determined as 8.71 nm by atomic force microscope as shown in the inset of  
143 Figure 3b. The morphology of the MoS<sub>2</sub> flake is quite flat with clear edges. The strain was applied to

144 the MoS<sub>2</sub> flake by bending the substrate (Figure 1c).<sup>[14, 34-36]</sup> The uniaxial strain ( $\epsilon$ ) is applied along the  
145 bending direction as indicated by the green arrows. The strain was calculated based on the formula,  
146  $\epsilon = \frac{d}{2r}$  where  $d$  is the thickness of the substrate and  $r$  is the radius of curvature of the bended substrate as  
147 shown in the inset of Figure 1c.

148 The  $E_{2g}^1$  and  $A_{1g}$  modes of the unstrained MoS<sub>2</sub> are centered at 383.3 cm<sup>-1</sup> and 406.7 cm<sup>-1</sup>  
149 respectively. As the strain rises up gradually, the  $A_{1g}$  mode maintains its original position as shown in  
150 Figure 4a. Though the strain breaks the crystal symmetry, the atomic displacement of  $A_{1g}$  mode is  
151 perpendicular to the atomic plane and the strain has little effect on the  $A_{1g}$  mode. On the contrary, the  
152  $E_{2g}^1$  mode splits into two sub-peaks, the  $E_{2g}^{1+}$  and  $E_{2g}^{1-}$  as described in literature.<sup>[16, 37]</sup> The integrated  
153 Raman intensity of  $A_{1g}$  mode remains unchanged. The total intensity of  $E_{2g}^1$  mode now splits between  
154  $E_{2g}^{1+}$  and  $E_{2g}^{1-}$  modes. Moreover, these two sub-peaks show obvious red shifts as the strain increases  
155 illustrating that the strain-induced symmetry break leads to phonon softening. The peak positions of the  
156  $E_{2g}^{1+}$  and  $E_{2g}^{1-}$  modes as a function of strain is shown in Figure 4c. The Raman shift rates are obtained by  
157 linear fitting. The Raman shift rates of the two sub-peaks are different. The  $E_{2g}^{1+}$  mode shifts by  $-1.01 \pm$   
158  $0.46 \text{ cm}^{-1}/\%$  strain, while the  $E_{2g}^{1-}$  mode shift by a larger rate of  $-6.3 \pm 0.74 \text{ cm}^{-1}/\%$  strain. There  
159 is no obvious hysteresis between the strain loading and unloading processes proving that no slippage  
160 between the MoS<sub>2</sub> and the PET substrate.

161 Figure 4b shows the evolution of C mode on strain. The C mode is relatively less sensitive to strain as  
162 compared to the  $E_{2g}^1$  mode. More importantly, a peak splitting (guided by the two black dash dot arrow  
163 lines) of the C mode can also be observed. As the strain increases to 0.25%, a small splitting can be  
164 observed and the splitting becomes more and more intense when the strain rises up to 0.81%. However,  
165 no measurable Raman shift for the LB mode centered at 21.4 cm<sup>-1</sup> is observed except the gradually  
166 reduced integrated Raman intensity as guided by the red dash dot arrow line as shown in Figure 4b.

167 Unlike the high frequency  $E_{2g}^1$  mode, the two sub-peaks  $C^+$  and  $C^-$  modes show opposite variation  
 168 trends on strain. The  $C^-$  mode red shifts before the strain rises up to 0.25% then almost remains at the  
 169 same position regardless of further increase in strain. In contrast, the  $C^+$  mode shows a gradual blue  
 170 shift as the strain increases. The peak positions of the  $C^+$  and  $C^-$  modes as a function of strain is shown  
 171 in Figure 4d. The  $C^+$  mode blue shifts for  $0.75\text{ cm}^{-1}$  as the applied strain increased to 0.81%, while the  
 172  $C^-$  mode redshifts by  $1.8\text{ cm}^{-1}$ . In the strain range of 0.25-0.81%, the  $C^-$  mode almost remains at its  
 173 original position. This doubly degenerated behavior for C mode may results from the symmetry of lattice  
 174 vibration. Referring to the  $E_{2g}^1$  mode, the  $E_{2g}^1$  mode involves opposite vibration of two sulfur atoms with  
 175 respect to the molybdenum atom in plane and the adjacent vibration directions of these atoms are just  
 176 opposite with each other. Analogously, the  $E_{2g}^2$  mode also consists of opposite vibration between  
 177 neighboring layers. The two modes belong to the same symmetry.<sup>[27]</sup> Therefore, the C mode also splits  
 178 into two sub-peaks under strain. Though the strain induced split of C mode is not as strong as that in the  
 179 high frequency  $E_{2g}^1$  mode, the small split demonstrates that strain can distort the lattice symmetry in 2D  
 180 materials and similar behavior can be observed in various phonon vibration modes with the same  
 181 symmetry. As the mechanism of opposite Raman shifts for  $C^+$  and  $C^-$  modes, it may be attribute to the  
 182 properties difference between the even and odd layer number in the multilayer  $\text{MoS}_2$ .<sup>[32]</sup> Further  
 183 experiments and theoretical calculations are needed to understand the splitting mechanism of the low  
 184 frequency modes.

185

## 186 **Conclusions**

187 In summary, the effect of strain on the shear mode (C mode) and layer breathing mode (LB mode) of  
 188 few layers  $\text{MoS}_2$  was studied comprehensively. Notably, the C mode is also doubly degenerated similar  
 189 to the  $E_{2g}^1$  mode with respect to the applied strain. Moreover, the two sub-peaks,  $C^+$  and  $C^-$  shift  
 190 oppositely as the strain increases. However, the frequency of LB mode is immune to the uniaxial strain



except its integrated Raman intensity. As the strain increases, the intensity of the LB mode weakens gradually. In addition, the C and LB modes are highly sensitive to the layer number of few layer MoS<sub>2</sub>. The evolution of the C mode as a function of layer number can be fitted by a linear-chain model with an additional linear component very well. Our findings pave a path to study the mechanism of low-frequency lattice vibration of various two dimensional layered crystals.

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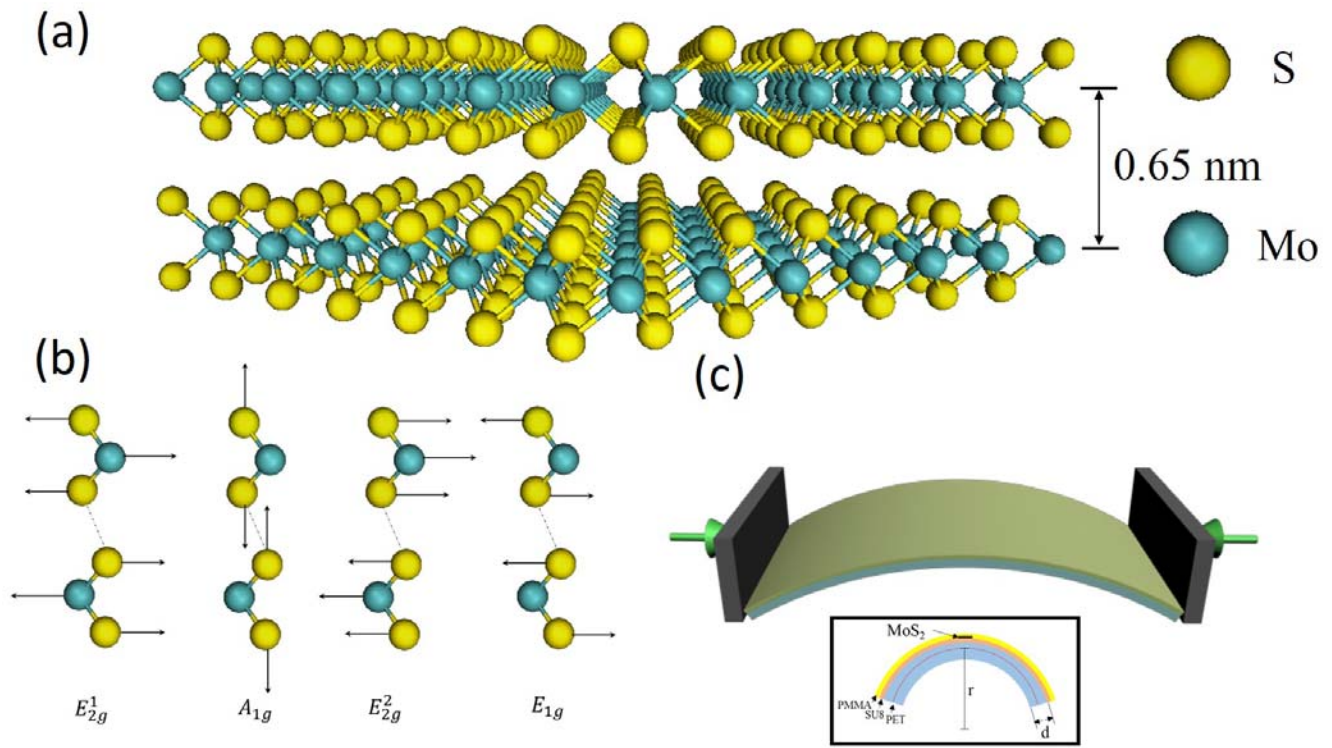


Figure.1 Molecular structures of MoS<sub>2</sub> and the experiment setup. (a) Three dimensional schematic model of the a two-layer MoS<sub>2</sub> structure. The interlayer distance is 0.65 nm. (b) Atomic displacements of the four Raman modes,  $E_{2g}^1$ ,  $A_{1g}$ ,  $E_{2g}^2$  and  $E_{1g}$ . (c) Schematic diagram (not to scale) of the experimental setup. Two points bending method is utilized to apply tunable uniaxial tensile strain. The inset shows the cross-sectional view of the PMMA encapsulated few layers MoS<sub>2</sub> on the PET susbtrate. The MoS<sub>2</sub> flake are sandwiched by the bottom SU-8 photoresist layer and the top PMMA layer.

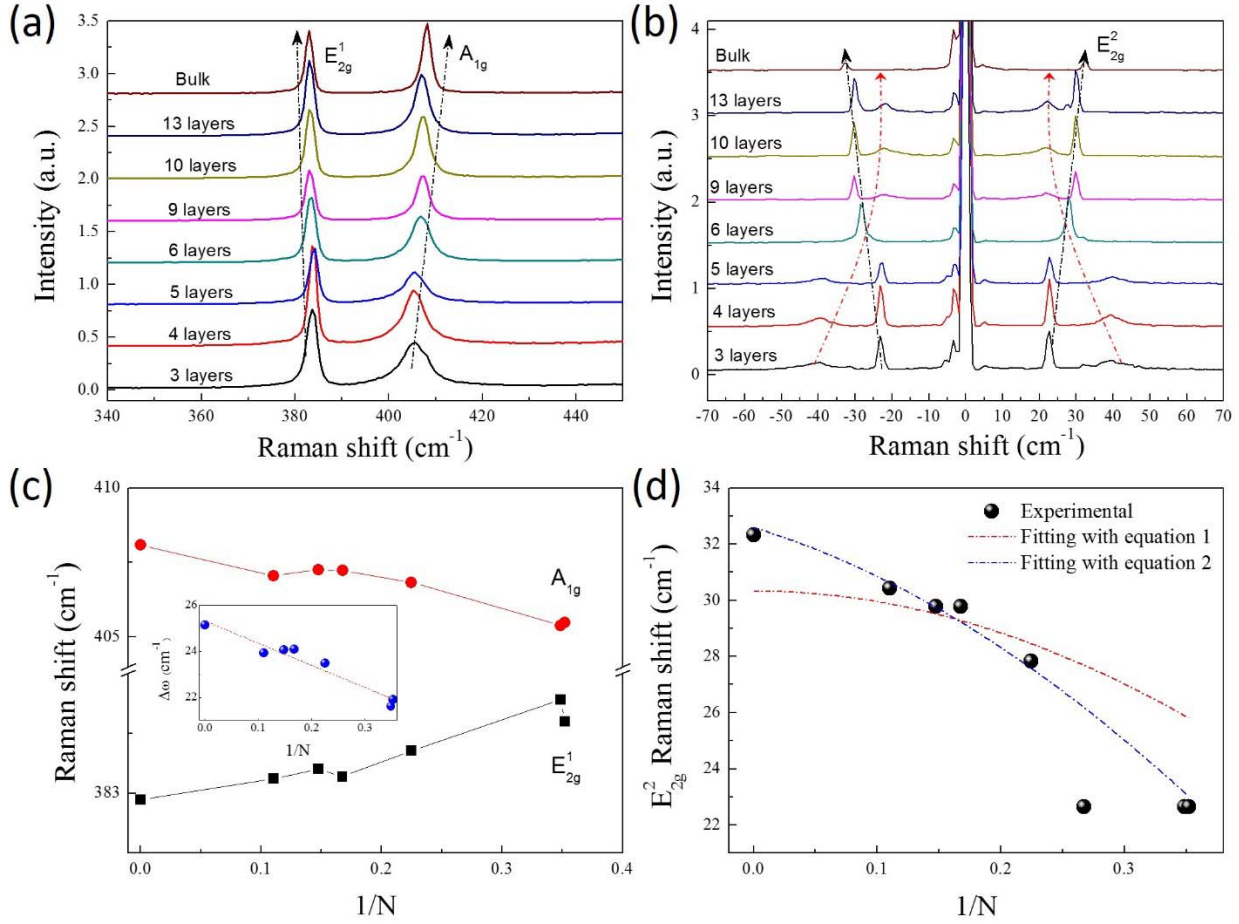


Figure.2 Raman shifts of few layers MoS<sub>2</sub>. (a) The evolution of the Raman spectra of the MoS<sub>2</sub> with different layers. The black dash dot arrow lines are the guide of the peak positions. (b) Raman spectra of the C and LB modes as a function of the sample thickness. The black and red dash dot arrow lines represent the C mode and LB mode respectively. (c) The peak positions of the A<sub>1g</sub> and E<sub>2g</sub><sup>1</sup> modes as a function of the 1/N. The inset gives the trend of the frequency difference of the two modes as a function of 1/N. (d) Thickness dependence of the Raman shift for the C mode. The black solid dots are experimental values. The red dash dot line is the fitting with the normal linear-chain model. And the blue dash dot line is the fitting with a modified model.

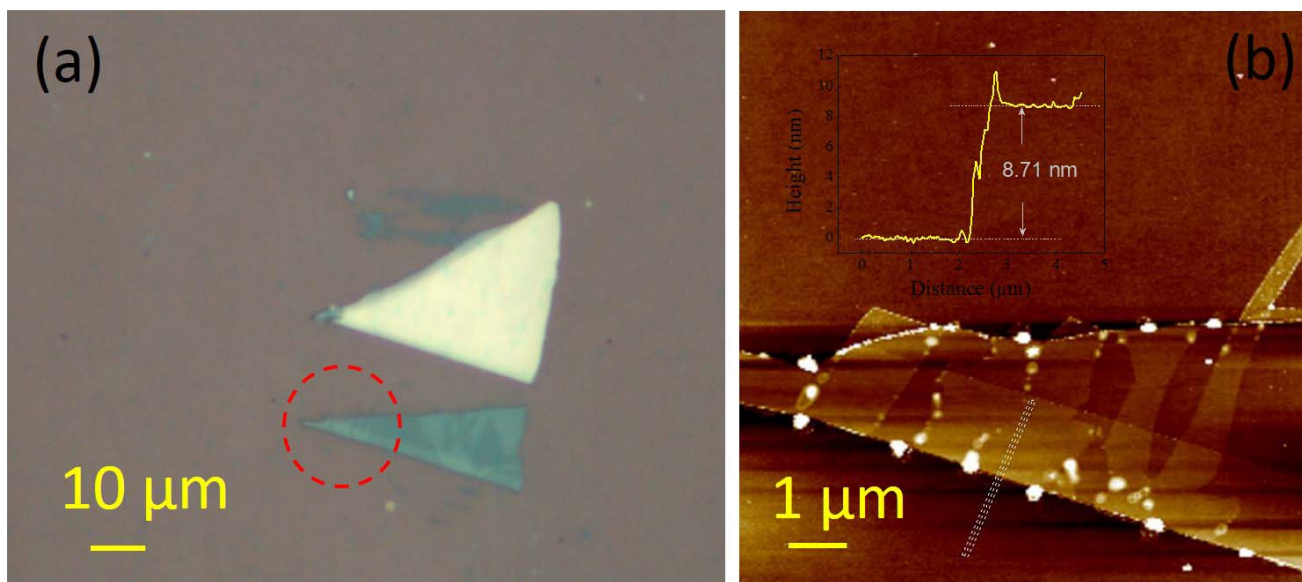
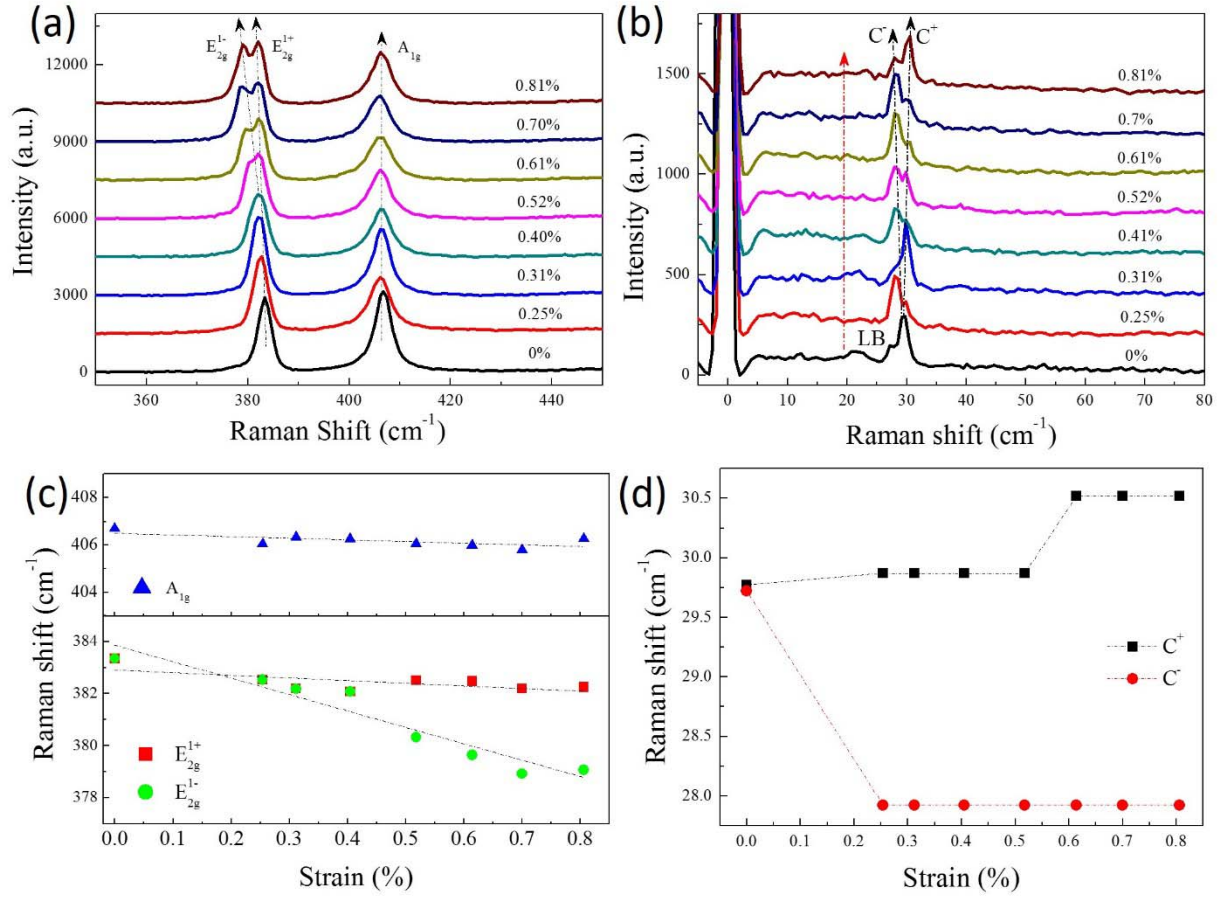


Figure.3 (a) Optical image of the few layers MoS<sub>2</sub> deposited onto the flexible PET substrate. The laser spot is positioned at the red dashed circle. (b) AFM image of the MoS<sub>2</sub> flake. The inset shows the thickness of the sample (8.71 nm).



335

336 Figure.4 (a) Evolution of the Raman spectra for the  $E_{2g}^{1+}$  and  $A_{1g}$  modes as a function of strain. The  
 337 variation trends of the peak positions are guided by the black dash dot arrow lines. (b) Evolution of the  
 338 C and LB modes as a function of strain. The black and red dash dot arrow lines represent C mode and  
 339 LB mode, respectively. (c) Strain dependence of the peak positons of  $E_{2g}^{1+}$ ,  $E_{2g}^{1-}$  (lower panel) and  $A_{1g}$   
 340 modes (upper panel). The black dash dot lines are linear fitting. (d) The peak positions of the divisive  
 341  $C^+$  and  $C^-$  modes as a function of strain.