

# An optofluidic planar microreactor for photocatalytic reduction of CO<sub>2</sub> in alkaline environment

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## Abstract

The development of highly efficient photocatalytic reactor is of importance to improve the performance of the photocatalytic reduction of CO<sub>2</sub>. In this work, an optofluidic planar microreactor is designed and fabricated for the photocatalytic reduction of CO<sub>2</sub> with liquid water in alkaline environment. Such design offers several advantages of large surface-area-to-volume ratio, enhanced mass and photon transfer and more uniform light distribution. The performance of the developed planar microreactor is evaluated by measuring the methanol concentration to estimate the methanol yield under various operating parameters, including the liquid flow rate, light intensity, catalyst loading and NaOH concentration. It is shown that increasing the liquid flow rate firstly improves and then decreases the methanol concentration while the methanol yield continuously increases as the liquid flow rate increases. The

29 increase of the light intensity and NaOH concentration increases both the methanol  
30 concentration and yield. Increasing the catalyst loading firstly improves the  
31 performance and then results in the reduction of the performance. A maximum  
32 methanol yield of 454.6  $\mu\text{mole/g-cat}\cdot\text{h}$  is achieved under a liquid flow rate of 50  
33  $\mu\text{L}/\text{min}$ , 0.2 M NaOH, and the light intensity of 8  $\text{mW}/\text{cm}^2$ .

34

35 **Keywords:** Optofluidic planar microreactor; photocatalytic reduction of  $\text{CO}_2$ ;  
36 methanol concentration; methanol yield

37

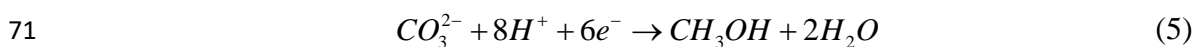
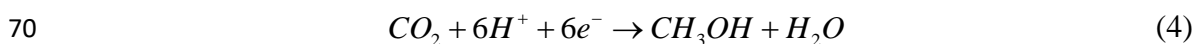
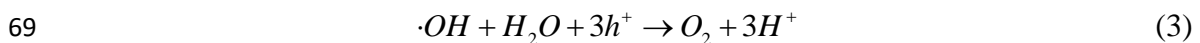
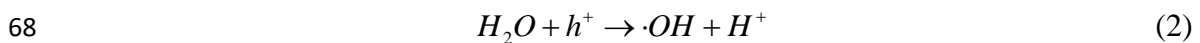
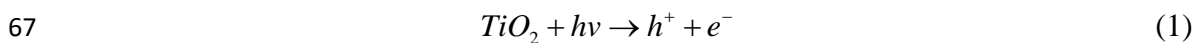
## 38 **1. Introduction**

39 Rapid depletion of fossil fuels not only causes the problem of national energy security  
40 but also generates a great amount of  $\text{CO}_2$ , which is a primary greenhouse gas and  
41 main contribution to global warming. It is estimated that the atmospheric  $\text{CO}_2$   
42 concentration has increased more than 39% from the pre-industrial, leading to the  
43 global temperature increase of about  $0.8^\circ\text{C}$  [1, 2]. According to the Intergovernmental  
44 Panel on Climate Change (IPCC) 5th Assessment Report (AR5), the global  
45 temperature increasing is critically associated with the increase of greenhouse gas  
46 emission [3]. For this reason, extensive efforts have been paid to reduce  $\text{CO}_2$  emission  
47 [4-9]. Among them, carbon capture and storage (CCS) is the most popular technology  
48 for capturing  $\text{CO}_2$  but it usually requires an additional fuel input of 25 to 80 % and  
49 takes several steps in the whole process including separation, purification,  
50 compression, transportation and storage [10]. A promising way with increasing

51 attention is the reduction of CO<sub>2</sub> by photocatalysis, which not only captures CO<sub>2</sub> but  
 52 also simultaneously generates solar fuels. In the CO<sub>2</sub> photoreduction technology, the  
 53 abundant and low-cost raw materials are usually required, such as semiconductors or  
 54 transition-metal complexes. Besides, no additional energy input is required except for  
 55 the solar irradiation. Moreover, the photocatalytic reaction can take place under mild  
 56 conditions. Therefore, the photocatalytic reduction of CO<sub>2</sub> possesses the economical  
 57 and environmentally-friendly character in the CO<sub>2</sub> capture [11-13].

58

59 At present, in terms of the state of supplied water, the CO<sub>2</sub> photoreduction can be  
 60 divided into two types: vapor-fed water and liquid-fed water. For the latter, the  
 61 reactants are usually CO<sub>2</sub>-saturated deionized water or CO<sub>2</sub>-bubbled alkaline (like  
 62 NaOH, NaCO<sub>3</sub>, NaHCO<sub>3</sub>) solution [14], because OH<sup>-</sup> ions are good holes scavengers.  
 63 For the CO<sub>2</sub> photoreduction in liquid-fed water, various organic products can be  
 64 obtained, including CH<sub>4</sub>, CH<sub>3</sub>OH, HCHO, HCOOH [15-17]. Taking CH<sub>3</sub>OH as a  
 65 product example, the reactions of the photocatalytic reduction of CO<sub>2</sub> to methanol in  
 66 the alkaline environment can be described as follows [18],



72 The electron-hole pairs are generated upon illumination. At the valance band, the

73 holes react with water to generate oxygen and protons, as indicated by Eqs. (1-3). If  
74  $\text{CO}_2$  is in the form of the molecules,  $\text{CO}_2$  reacts with protons and photo-generated  
75 electrons to produce methanol and water (see Eq. (4)). When it is in the form of  
76  $\text{CO}_3^{2-}$ , carbonate ions in the solution react with protons and photo-initiated electrons  
77 to produce methanol through a multi-electron transfer process (see Eq. (5)). By this  
78 way,  $\text{CO}_2$  can then be converted into solar fuels via the photocatalytic reduction.  
79 However, current yields of solar fuels are still rather poor. One of the limitations  
80 comes from the vast majority of photocatalysts that do not exhibit good photoresponse  
81 to visible light [12]. Hence, much attempt has been made to the development of  
82 highly active photocatalysts with visible light response [19-22], like  $\text{TiO}_2$  based  
83 catalysts [23, 24],  $\text{MnCo}_2\text{O}_4$  [25],  $\text{Bi}_2\text{WO}_6$  [26],  $\text{NiO/InTaO}_4$  [27].

84

85 In addition to the photocatalysts, another limiting factor is the photoreactor design,  
86 which affects the mass transfer of  $\text{CO}_2$ , the light distribution and the specific surface  
87 area and thereby the performance of the photocatalytic  $\text{CO}_2$  reduction. Main existing  
88 photoreactors for the  $\text{CO}_2$  photoreduction have been summarized by Tahir and Amin  
89 [28], Das and Daud [11], Ola and Maroto-Valer [29], including slurry reactors, optical  
90 fiber reactors, monolith reactors, etc. Slurry reactors are the most common  
91 photoreactors, but suffer from the mass transfer resistance at the gas/liquid interface,  
92 non-uniform light distribution. Besides, the separation of catalysts also limits its  
93 efficiency and economy. Although these drawbacks can be overcome in optical fiber  
94 reactors, the fragility of the optical fibers and the durability of the catalysts on the

95 optical fiber still exist. In addition, optical fiber reactors have the problems of  
96 comparatively low surface area and low reactor volume for the photocatalysis process  
97 [30]. Monolith reactors containing parallel straight channels are considered to be more  
98 efficient for photocatalytic applications due to its high specific surface area, low  
99 pressure drop, ease in scale-up, etc. Nevertheless, due to the opacity of the  
100 honeycomb substrate, the light cannot efficiently penetrate through the channels so  
101 that the length of the monolith reactor is limited. Even though Liou *et al.* [31]  
102 developed the optical fiber monolith photoreactor to strengthen the light utilization,  
103 the light was still guided only by the optical fiber inserted into the apertures such that  
104 the monolith channels should be designed bigger, leading to inefficient distribution  
105 over the catalyst surface. In summary, the most existing photoreactors for the CO<sub>2</sub>  
106 photoreduction still suffer from the issues of low specific surface area, non-uniform  
107 light distribution and poor photon transfer.

108

109 Recently, a new interdisciplinary area of optofluidics that is synergy of microfluidics  
110 and optics has emerged. Such combination provides the advantages of fine flow  
111 control, large surface-area-to-volume ratio and enhanced mass transfer [32]. Besides,  
112 high spatial illumination homogeneity and better light penetration are also ensured.  
113 On the other hand, the photoreactors share the same feature with optofluidics, in  
114 which the fluids, light and their interaction are also included. In this case, the  
115 incorporation of optofluidics into the photoreactor design can greatly reduce the  
116 requirements for time, sample volume and equipment. Therefore, optofluidics has

117 become an ideal platform for the photocatalytic reaction systems. In a recent review  
118 by Wang *et al.* [32], it has been summarized that existing microreactors can be  
119 divided into several types, including micro-capillary, single-microchannel,  
120 multi-microchannel and planar microreactors. For the former three types of  
121 microreactors, the cross-section areas are usually small, limiting the throughputs.  
122 Moreover, the photon receiving areas are also small, leading to the inefficient  
123 utilization of the external irradiation light. However, for the planar microreactors, the  
124 photon receiving area is large. These characters show significant benefits for the  
125 photocatalytic system in many aspects including the throughput, the photon utilization,  
126 the fabrication of photocatalysts and the scalability to large-scale reactors. Because of  
127 these merits, the optofluidic planar microreactor has been adopted by various  
128 photocatalytic processes such as water splitting [33], water purification [34] and  
129 photocatalytic fuel cell [35]. Besides, Tahir *et al.* [30, 36] employed the microchannel  
130 monolith photoreactor for the CO<sub>2</sub>-photoreduction with gaseous phase reactants and  
131 high performances were achieved. More recently, an optofluidic membrane  
132 microreactor has been developed for the photocatalytic reduction of CO<sub>2</sub> and also  
133 shown satisfactory performances [37]. However, although the superiority of the planar  
134 microreactor has been demonstrated, there is no open literature for the optofluidic  
135 planar microreactor towards the photocatalytic reduction of CO<sub>2</sub> with liquid water.  
136 Therefore, the objective of this study is to develop an optofluidic planar microreactor  
137 for the photocatalytic CO<sub>2</sub> reduction with liquid water in an alkaline environment.  
138 The feasibility and superiority of the developed optofluidic planar microreactor were

139 then assessed by measuring the methanol concentration at the outlet to estimate the  
140 methanol yield under different operating conditions, including the liquid flow rate,  
141 light intensity, catalyst loading and NaOH concentration.

142

## 143 **2. Materials and methods**

### 144 **2.1 Design and fabrication of optofluidic planar microreactor**

145 In this work, an optofluidic planar microreactor was designed, as sketched in Fig. 1a.

146 The microreactor was comprised of one transparent rectangular reaction chamber as  
147 the top cover and the porous TiO<sub>2</sub> film coated glass as the bottom substrate. The  
148 porous TiO<sub>2</sub> film with 2 cm × 1 cm = 2 cm<sup>2</sup> was located in the center of the reaction  
149 chamber with the dimension of 3 cm × 1.5 cm. The area of the reaction chamber was  
150 much bigger than that of the TiO<sub>2</sub> film, which ensured that the TiO<sub>2</sub> film was fully  
151 covered by the reaction chamber. Two syringe needles were connected to the inlet and  
152 outlet for the reactants supply and product collection. The tree-branch shaped  
153 microchannels at the inlet/outlet were adopted to ensure a uniform filling.

154

155 The reaction chamber was made by poly-(dimethylsiloxane) (PDMS) since it was  
156 highly transparent. The fabrication process of the reaction chamber included two steps.  
157 The first step was the master mold processing by standard UV lithography [38]. A  
158 negative photoresist (SU-8, MicroChem) was spin-coated onto a silicon wafer cleaned  
159 by plasma cleaner (Mycro, HPC) and prebaked at 70, 80 and 90 °C with 10 minutes,  
160 respectively. The silicon wafer with the SU-8 photoresist was then exposed to 365 nm

161 UV light through a printed mask with the desired patterns. After the soft-bake at  
162 respective 70, 80 and 90 °C with 10 minutes, the unexposed photoresist was removed  
163 with a developer solvent to form the desired master mold and then post baked for 30  
164 minutes. The second step was the PDMS chamber moulding. To do this, PDMS  
165 polymer base (Sylgard184, Dow Corning) and curing agent were mixed at a ratio of  
166 10:1 and degassed. Then, the mixture was poured onto the patterned silicon wafer and  
167 baked at 95 °C for 0.5 h. After that, PDMS was uncovered from the patterned wafer  
168 substrate and cut by a knife into a reaction chamber chip. Finally, the developed  
169 PDMS chamber was bonded to a glass slide coated with the porous TiO<sub>2</sub> film to form  
170 an optofluidic planar microreactor, as shown in Fig. 1b.

171

## 172 **2.2 Preparation of the porous TiO<sub>2</sub> film**

173 The porous TiO<sub>2</sub> film on the glass slide was formed by the wet spray method. Before  
174 the spraying, the TiO<sub>2</sub> colloid was prepared by the sol-gel method [39]. First of all, 12  
175 g TiO<sub>2</sub> (Degussa P25) powders, 120 mL distilled water and 0.4 mL acetylacetone  
176 (Sigma-Aldrich, USA) were mixed together with magnetic stirring. 0.2 mL Triton  
177 X-100 (Sigma-Aldrich, USA) and 2.4 g polyethylene glycol (Chengdu Kelong, China)  
178 were then added into the solution followed by continuous stirring for 12 h to form the  
179 TiO<sub>2</sub> colloid. With the prepared TiO<sub>2</sub> colloid, the wet spray could then be done. First,  
180 the glass slide cleaned by standard clean process was covered by a paper mask with a  
181 2 cm × 1 cm hole. The prepared colloid was then sprayed onto the exposed region of  
182 the glass slide. After removing the mask, the TiO<sub>2</sub> coated glass was dried at room



183 temperature and then calcined in air at 550 °C for 2 h. A porous TiO<sub>2</sub> film with the  
184 active surface area of 2 cm × 1 cm = 2 cm<sup>2</sup> coated onto the glass slide was formed. The  
185 catalyst loading was determined by the weight difference between the mass of the  
186 glass slide before spraying and the mass of catalyst coated glass slide after  
187 calcinations over by the active surface area of 2 cm<sup>2</sup>. Because some ingredients like  
188 acetylacetone, polyethylene glycol in the TiO<sub>2</sub> colloid can be decomposed or  
189 volatilized during the calcination, a little more TiO<sub>2</sub> colloid than the desired loading  
190 was usually sprayed. As such, the final catalyst loading on the glass slide after  
191 calcination could approach the desired value with small error. Moreover, several  
192 catalyst coated glass slides for each catalyst loading were prepared. Only those  
193 catalyst coated glass slides with the desired loading, i.e., the errors smaller than 0.1  
194 mg/cm<sup>2</sup>, were used to fabricate the optofluidic planar microreactors for the  
195 performance evaluation. Fig. 2 depicts the microstructure of the porous TiO<sub>2</sub> film by  
196 the field-emission scanning electron microscope (FE-SEM, Hitachi S4800). It can be  
197 observed that the TiO<sub>2</sub> film had good porous microstructure, which provided  
198 sufficient path for the transport of reactants and photons.

199

### 200 **2.3 Experimental setup**

201 The experimental system for the photocatalytic reduction of CO<sub>2</sub> with liquid water is  
202 illustrated in Fig. 3. The microreactor was irradiated by a 100 W LED (Lightwells,  
203 Shenzhen, China) at the wavelength of 365 nm with the light intensity controlled by  
204 adjusting the distance between the microreactor and LED. In this work, the UV light

205 intensity was measured by a UV radiometer (UV-A, Photoelectric Instrument Factory  
206 of Beijing Normal University, China). During the operation, 99.99% CO<sub>2</sub> was  
207 continuously supplied to NaOH solution for 1 h to remove dissolved oxygen and  
208 saturate with CO<sub>2</sub>. The addition of NaOH to create the alkaline environment is  
209 because OH<sup>-</sup> ions are strong hole-scavengers that can hinder recombination of  
210 electron-hole pair. On the other hand, more CO<sub>2</sub> could be dissolved in the solution  
211 [40]. CO<sub>2</sub>-saturated aqueous solution was then pumped into the microreactor by a  
212 syringe pump (Pump 33, Harvard). The products at the outlet were then collected for  
213 the analysis. It should be pointed out that in the process of the photocatalytic  
214 reduction of CO<sub>2</sub>, several kinds of organics can be produced, such as methane,  
215 methanol, formic acid, and formaldehyde. Methanol is one of the basic and main  
216 products, which can be used as the fuel for direct methanol fuel cells [41]. In this  
217 work, hence, only the methanol concentration was analyzed by a GC (GC-2010 plus,  
218 Shimadzu) equipped with FID detector using a 30-m Wax capillary column. To  
219 determine the methanol concentration, we purchased the chromatographic grade  
220 methanol (Aladdin, China) to prepare the standard CH<sub>3</sub>OH solutions (5.0 mmol/L, 1.0  
221 mmol/L, 0.8 mmol/L, 0.4 mmol/L, 0.2 mmol/L, 0.1 mmol/L) for calibration. The  
222 chromatographic peak corresponding to methanol for a typical case could be read  
223 from the GC outputs (see Fig. 4), based on which the calibration curve for  
224 determining the methanol concentration could be obtained and the methanol peak in  
225 the real sample testing could be judged. With the measured methanol concentration,  
226 the methanol yield could be calculated to evaluate the performance of the

227 microreactor. Blank tests were conducted and no methanol was detected. All  
228 experiments were repeated at least three times at room temperature of about 25 °C.

229

### 230 **3. Results and discussion**

231 For such a photocatalytic system, the performance of the developed microreactor for  
232 the photocatalytic reduction of CO<sub>2</sub> is influenced by the operating and design  
233 parameters. Therefore, to access the performance of the developed microreactor, the  
234 effects of the liquid flow rate, light intensity, catalyst loading and NaOH  
235 concentration on the methanol yield were performed. Detailed results are presented in  
236 the following subsections.

237

#### 238 **3.1 Effect of the liquid flow rate**

239 Figure 5 shows the variations of the methanol concentration and methanol yield with  
240 the liquid flow rate. In this work, the light intensity was maintained at 8 mW/cm<sup>2</sup>,  
241 NaOH concentration was 0.2 M and the catalyst loading was about 2.5 mg/cm<sup>2</sup>, while  
242 the liquid flow rate ranged from 12.5 μL/min to 100 μL/min. It is found that the  
243 methanol concentration at the outlet firstly increased and then decreased with the  
244 increase of the liquid flow rate. As known, when the liquid flow rate was rather low,  
245 although a large residence time could be achieved, which benefited for CO<sub>2</sub> to be  
246 involved in the photocatalytic reaction, less CO<sub>2</sub> and OH<sup>-</sup> were supplied into the  
247 microreactor at the same time. Under such a circumstance, the inefficient supply of  
248 CO<sub>2</sub> may lead to the lowered methanol concentration. Meanwhile, less supply of OH<sup>-</sup>

249 caused less holes to be captured, which also slowed down the photocatalytic reaction  
250 rate. On the other hand, too low liquid flow rate resulted in the generated methanol  
251 not to be efficiently washed away. As a result, the re-oxidation of methanol may take  
252 place [15], lowering the methanol concentration. However, as the liquid flow rate  
253 increased, the above mentioned problems could be overcome. Moreover, the increased  
254 liquid flow rate can not only greatly enhance the mass transport of CO<sub>2</sub> and OH<sup>-</sup> to  
255 the catalyst layer to take part in the photocatalytic reaction but also benefit for the  
256 products removal to avoid the methanol re-oxidation. Therefore, the methanol  
257 concentration at the outlet increased as the liquid flow rate increased. However, once  
258 the liquid flow rate was too high, despite more reactants could be supplied and  
259 products could be more efficiently removed due to the enhanced mass transport, the  
260 residence time was greatly reduced, leading to less time for CO<sub>2</sub> in contact with the  
261 photocatalysts. Moreover, the generated methanol was also easily diluted. Therefore,  
262 the methanol concentration decreased with further increasing liquid flow rate. In this  
263 case, there existed an optimal liquid flow rate yielding maximal methanol  
264 concentration. According to the measured methanol concentrations under different  
265 liquid flow rates, the methanol yield  $M$  (μmole/g-cat·h), i.e., the amount of generated  
266 methanol per the catalyst mass and operation time, can be estimated by the following  
267 equation,

$$268 \quad M = \frac{c \times 10^3 \times f \times 10^{-6} \times 60}{m \times 10^3} = \frac{c \times f \times 10^{-6} \times 60}{m} \quad (6)$$

269 where  $c$  (mmol/L) is the methanol concentration,  $f$  (μL/min) is the liquid flow rate  
270 and  $m$  (mg) is the total amount of the catalyst determined by the catalyst loading

271 (mg/cm<sup>2</sup>) and active surface area (cm<sup>2</sup>).

272

273 As shown in Fig. 4, unlike the variation of the methanol concentration with the liquid  
274 flow rate, the methanol yield was always increased with the increase of the liquid  
275 flow rate in the testing range. A maximum methanol yield of 289.3  $\mu\text{mole/g-cat}\cdot\text{h}$  was  
276 obtained at a liquid flow rate of 100  $\mu\text{L}/\text{min}$ . When the liquid flow rate was increased  
277 from 12.5  $\mu\text{L}/\text{min}$  to 25  $\mu\text{L}/\text{min}$ , the methanol concentration was increased. The  
278 reason can be referred to Eq. (6). The increase of the methanol concentration and  
279 liquid flow rate could lead to an increase in the methanol yield. However, when the  
280 liquid flow rate was further increased, although the methanol concentration decreased,  
281 the liquid flow rate was increased. Since the variation of the liquid flow rate was more  
282 significant than that of the methanol concentration, the methanol yield was still  
283 increased with the liquid flow rate. The above results indicate that although there  
284 existed a liquid flow rate leading to the maximum methanol concentration and the  
285 methanol yield always increased with the liquid flow rate in the testing range.

286

### 287 **3.2 Effect of the light intensity**

288 The photocatalytic reduction of CO<sub>2</sub> is excited by incident light so that the light  
289 intensity plays an important role in the methanol generation. Therefore, the effect of  
290 the light intensity on the performance of the developed optofluidic planar  
291 microreactor was also explored. In this testing, the flow rate of was maintained at 50  
292  $\mu\text{L}/\text{min}$ . NaOH concentration was 0.2 M and the catalyst loading was about 2.5

293 mg/cm<sup>2</sup>. The light intensity ranged from 2 mW/cm<sup>2</sup> to 8 mW/cm<sup>2</sup>. It should be noted  
294 that the UV LED lamp used in this study was a cold light source so that the  
295 temperature of the microreactor almost remained unchanged in all cases. In this  
296 situation, the temperature effect can be neglected in this work. As shown in Fig. 6,  
297 increasing the light intensity led to an increase in both the methanol concentration and  
298 methanol yield. It is easy to understand that the increased light intensity can generate  
299 more electron-hole pairs for the photocatalytic CO<sub>2</sub> reduction reactions. Therefore,  
300 increasing the light intensity improved the methanol concentration and methanol yield  
301 simultaneously.

302

### 303 **3.3 Effect of the NaOH concentration**

304 As known, the NaOH concentration influences not only the dissolved amount of CO<sub>2</sub>  
305 but also the utilization of the photo-initiated holes because OH<sup>-</sup> is a strong  
306 hole-scavenger. Hence, the effect of the NaOH concentration was investigated in this  
307 work. Here, the NaOH concentration ranged from 0.05 M, 0.1 M, 0.2 M to 0.4 M. The  
308 liquid flow rate was maintained at 50 μL/min, the light intensity was 8 mW/cm<sup>2</sup> and  
309 the catalyst loading was about 2.5 mg/cm<sup>2</sup>. The experimental results are shown in Fig.  
310 7. It is seen that in the testing range, both the methanol concentration and yield  
311 linearly increased with increasing the NaOH concentration. This is because the more  
312 OH<sup>-</sup> ions existed, the more holes could be scavenged to form hydroxyl radicals to  
313 reduce the recombination of hole-electron pairs. On the other hand, higher NaOH  
314 concentration allowed more CO<sub>2</sub> to be dissolved in the solution. In this case, more

315 CO<sub>2</sub> can be photo-reduced to generate methanol. As a consequence, both the  
316 methanol concentration and yield increased with the NaOH concentration.

317

### 318 **3.4 Effect of the catalyst loading**

319 Because the catalytic layer thickness that depends on the catalyst loading can affect  
320 not only transport of CO<sub>2</sub>, OH<sup>-</sup> and photon inside this layer but also the active surface  
321 area for the photocatalytic reaction of CO<sub>2</sub>, the effect of the catalyst loading on the  
322 CO<sub>2</sub> photoreduction performance was also studied. To do this, the catalyst loadings  
323 were about 0.6 mg/cm<sup>2</sup>, 1.7 mg/cm<sup>2</sup>, 2.5 mg/cm<sup>2</sup>, 3.5 mg/cm<sup>2</sup> with the errors smaller  
324 than 0.1 mg/cm<sup>2</sup>. The liquid flow rate was 50 μL/min, while the light intensity and  
325 NaOH concentration were 8 mW/cm<sup>2</sup> and 0.2 M, respectively. Fig. 8 shows the  
326 variations of the methanol concentration and yield with the catalyst loading. As seen,  
327 both the methanol concentration and yield increased when the catalyst loading was  
328 increased from 0.6 mg/cm<sup>2</sup> to 1.7 mg/cm<sup>2</sup>. The reason is that when the catalyst  
329 loading was too low, the thickness of the catalytic layer was also rather small.  
330 Although the transport resistances of the CO<sub>2</sub>, OH<sup>-</sup> and photon in association with the  
331 catalytic layer were reduced, the active surface area was small and less electron-hole  
332 pairs were generated because of low catalyst loading. In this case, the photocatalytic  
333 reaction rate became small, resulting in low methanol concentration and yield.  
334 Increasing the catalyst loading led to the increase of the active surface area and  
335 generated electron-hole pairs. Although the mass transfer resistances were increased,  
336 the contribution of the above positive effects was more significant. Consequently, the

337 methanol concentration and yield simultaneously improved with increasing the  
338 catalyst loading. However, when the catalyst loading was further increased from 1.7  
339 mg/cm<sup>2</sup> to 3.5 mg/cm<sup>2</sup>, both the methanol concentration and yield were declined. This  
340 is because the thickness of the catalyst layer became rather large when the catalyst  
341 loading was further increased, greatly increasing the transfer resistance. Hence, not  
342 only the photocatalysts inside of the entire catalytic layer could not be efficiently  
343 utilized but also the mass transfer of the CO<sub>2</sub> and OH<sup>-</sup> was resisted seriously. In this  
344 case, less methanol could be generated, leading to the reduction of the methanol  
345 concentration and yield. As a result, there existed an optimal catalyst loading leading  
346 to a maximum performance of the photocatalytic reduction of CO<sub>2</sub> with the developed  
347 microreactor.

348

349 All the above experimental results have demonstrated the superior performance of the  
350 developed optofluidic planar microreactor. Moreover, the microreactor in this work is  
351 a continuous flow reactor, which is promising in real applications. We have also  
352 compared our experimental data with the open literatures. It is found that our  
353 methanol yields with pure TiO<sub>2</sub> catalyst were better than some works using doping  
354 catalysts such as Rh/TiO<sub>2</sub> [42] and Cu/TiO<sub>2</sub> [16], and visible-light responsive  
355 catalysts such as NiO/InTaO<sub>4</sub> [31] and Cu/GO [43]. Although our methanol yield was  
356 lower than the Yang's data of 627 μmole/g-cat·h [40] and the Nasution's data of over  
357 800 μmole/g-cat·h [17], Yang et al. utilized mesoporous TiO<sub>2</sub>/SBA-15 as the catalyst,  
358 which had a relatively high specific surface area to promote the diffusion and



359 adsorption of reactants and Nasution et al. adopted CuO/TiO<sub>2</sub> catalyst which was  
360 more selective and beneficial for the methanol production. This fact proves that the  
361 optofluidic planar microreactor is one of the ideal approaches to improve the CO<sub>2</sub>  
362 photocatalytic reduction performance owing to enhanced mass transport and large  
363 specific surface area of the microreactor and more uniform light distribution.

364

#### 365 **4. Conclusions**

366 The photocatalytic reduction of CO<sub>2</sub> shares the feature with optofluidics, i.e., the  
367 synergy of fluids, light and their interaction. In this study, therefore, an optofluidic  
368 planar microreactor was developed by incorporating optofluidics into the  
369 photocatalytic reduction of CO<sub>2</sub>. Such incorporation enables fine flow control, high  
370 specific surface area, enhanced mass and photon transport and uniform light  
371 distribution. To evaluate the performance of the developed microreactor, the methanol  
372 concentration at the outlet was measured to estimate the methanol yield. The  
373 influences of the liquid flow rate, light intensity, NaOH concentration and catalyst  
374 loading on the photocatalytic reduction of CO<sub>2</sub> were investigated. Main conclusions  
375 are as follows.

376

377 (i) Increasing the liquid flow rate firstly improved and then decreased the methanol  
378 concentration because of the competition between the reactants supply and residence  
379 time and dilution effect. However, the methanol yield continuously increased as the  
380 liquid flow rate increased.

381 (ii) An increase in the light intensity led to the increase of the methanol  
382 concentration and yield because of more electron-hole pairs to be excited.

383 (iii) Increasing the NaOH concentration was able to improve both the methanol  
384 concentration and yield because of more CO<sub>2</sub> to be dissolved and more scavenger to  
385 be supplied for boosting the photocatalytic reaction.

386 (iv) The increase of the catalyst loading firstly improved the performance because  
387 of increased active surface area and more excited electron-hole pairs and then resulted  
388 in the reduction of the performance mainly because of large transfer resistances.

389

390 The experimental results have demonstrated that the optofluidic planar microreactor is  
391 able to yield pretty good performance, and it is a promising platform for the  
392 photocatalytic reduction of CO<sub>2</sub>. It should be pointed out that although the optofluidic  
393 planar microreactors have some advantages, there exists a severe problem of the  
394 limited throughput for practical application. To boost the throughput, one of the  
395 strategies can be directed to the integration of multiple microreactors to form a  
396 module and then selection of the modules to improve the throughput based on the  
397 demand. Hence, further investigation is needed to commercialize this technology for  
398 the CO<sub>2</sub> photoreduction.

399

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#### Nomenclature

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<i>c</i>	methanol concentration	mmol/L
<i>f</i>	liquid flow rate	$\mu\text{L}/\text{min}$
<i>m</i>	catalyst loading	mg
<i>M</i>	methanol yield	$\mu\text{mole}/\text{g}\cdot\text{cat}\cdot\text{h}$

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524



525 **Figure captions**

526 **Figure 1** (a) Design and (b) image of the optofluidic planar microreactor.

527 **Figure 2** FE-SEM of the top-surface microstructure of the TiO<sub>2</sub> film.

528 **Figure 3** The experimental system.

529 **Figure 4** The chromatographic peak corresponding to methanol.

530 **Figure 5** Effect of the liquid flow rate on the methanol concentration and yield. Light  
531 intensity: 8 mW/cm<sup>2</sup>, NaOH concentration: 0.2 M, catalyst loading, 2.5 mg/cm<sup>2</sup>.

532 **Figure 6** Effect of the light intensity on the methanol concentration and yield. Liquid  
533 water flow rate: 50 μL/min, NaOH concentration: 0.2 M, catalyst loading: 2.5  
534 mg/cm<sup>2</sup>.

535 **Figure 7** Effect of the NaOH concentration on the methanol concentration and yield.  
536 Liquid flow rate: 50 μL/min, light intensity: 8 mW/cm<sup>2</sup>, catalyst loading: 2.5 mg/cm<sup>2</sup>.

537 **Figure 8** Effect of the catalyst loading on the methanol concentration and yield.  
538 Liquid flow rate: 50 μL/min, light intensity: 8 mW/cm<sup>2</sup>.

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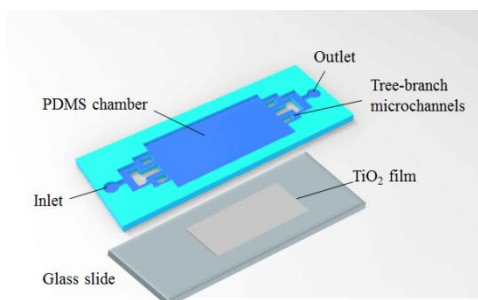
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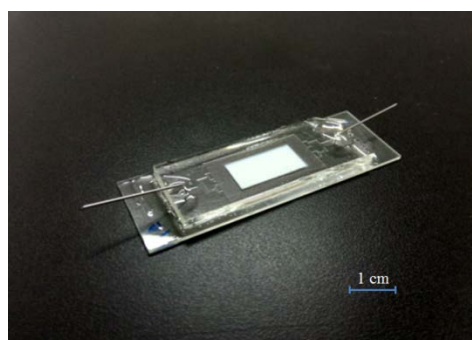
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(a)



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(b)

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**Fig. 1** (a) Design and (b) image of the optofluidic planar microreactor.

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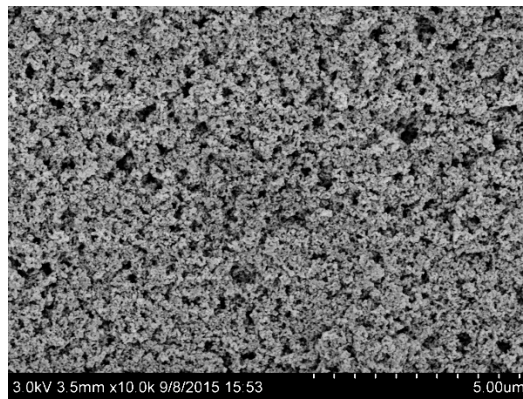
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559 **Fig. 2** FE-SEM of the top-surface microstructure of the TiO<sub>2</sub> film.

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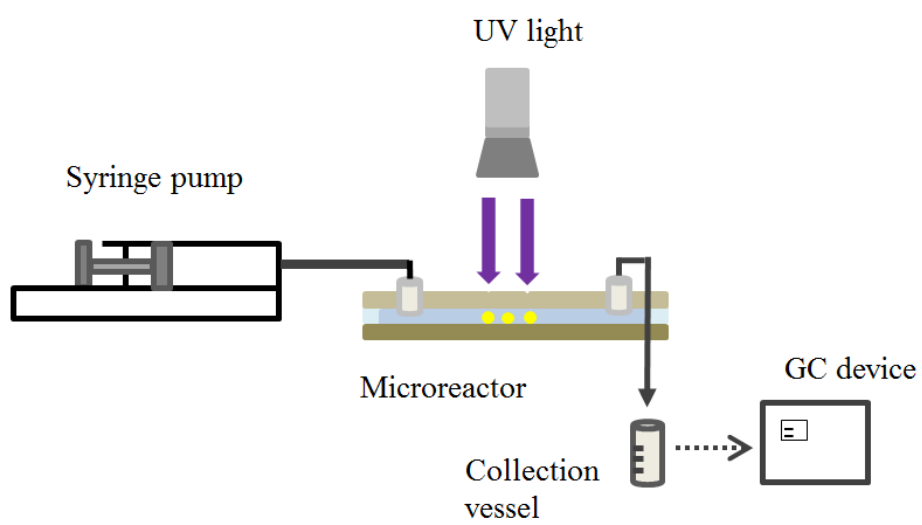
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**Fig. 3** The experimental system.

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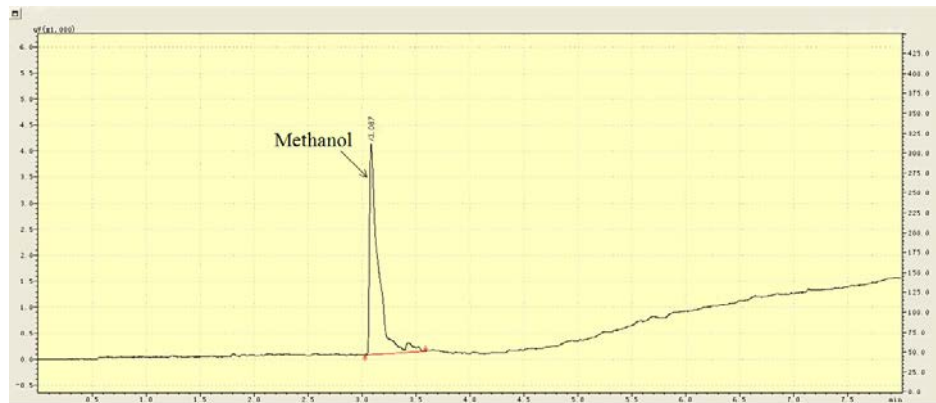
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**Fig. 4** The chromatographic peak corresponding to methanol.

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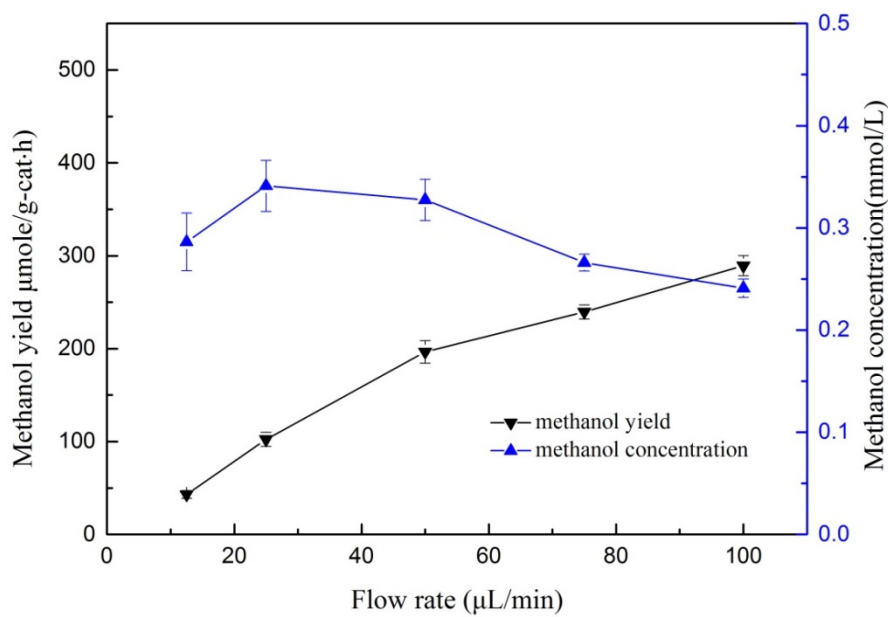
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592 **Fig. 5** Effect of the liquid flow rate on the methanol concentration and yield. Light  
593 intensity: 8 mW/cm<sup>2</sup>, NaOH concentration: 0.2 M, catalyst loading, 2.5 mg/cm<sup>2</sup>.

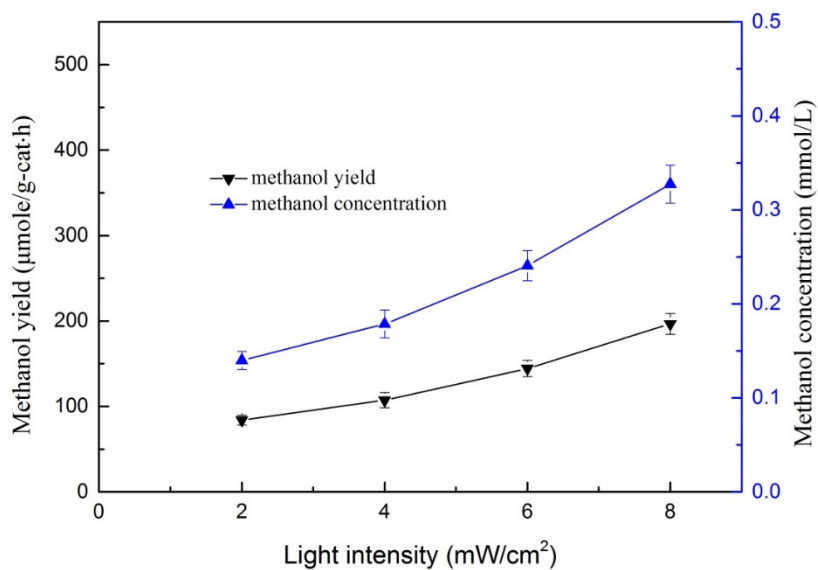
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600 **Fig. 6** Effect of the light intensity on the methanol concentration and yield. Liquid  
601 water flow rate: 50 µL/min, NaOH concentration: 0.2 M, catalyst loading: 2.5  
602 mg/cm<sup>2</sup>.

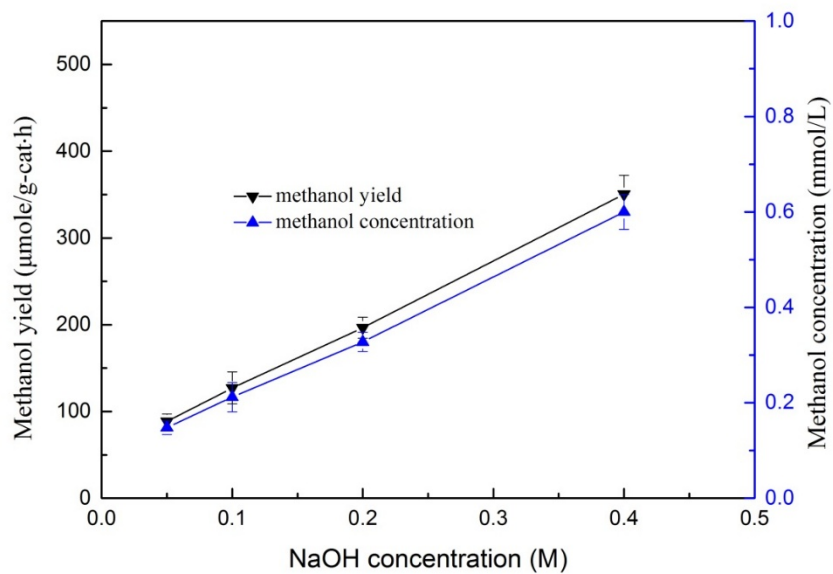
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609 **Fig. 7** Effect of the NaOH concentration on the methanol concentration and yield.

610 Liquid flow rate: 50 μL/min, light intensity: 8 mW/cm<sup>2</sup>, catalyst loading: 2.5 mg/cm<sup>2</sup>.

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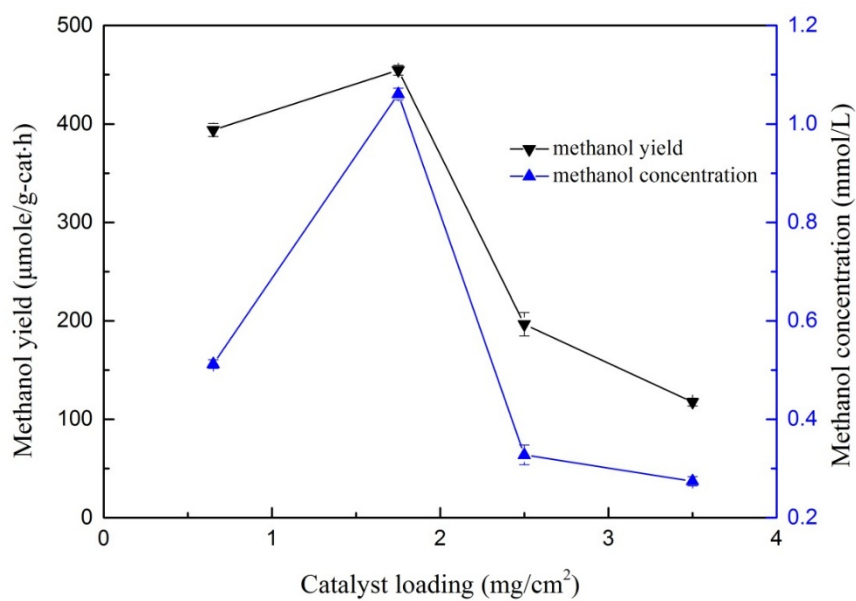
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620 **Fig. 8** Effect of the catalyst loading on the methanol concentration and yield.

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Liquid flow rate: 50 μL/min, light intensity: 8 mW/cm<sup>2</sup>.

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623