

# 1 Segment-based view synthesis optimization scheme in 3D-HEVC

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## 10 Abstract

11 The 3D extension of high efficiency video coding (3D-HEVC) adopts a view synthesis  
12 optimization (VSO) technique to improve the quality of synthesized views for depth map coding.  
13 The exact synthesized view distortion change (SVDC) is calculated in VSO which in turn brings  
14 huge coding complexity to the 3D-HEVC encoder due to the real view synthesis process. This work  
15 presents a scheme aimed at reducing coding complexity of the SVDC calculating process in the  
16 3D-HEVC encoder. It skips line segments of pixels with variable lengths based on information  
17 from both of the textures and depth maps in the SVDC calculation. Experimental results  
18 demonstrate that the proposed scheme can reduce the coding complexity without any significant  
19 loss in rate distortion performance for the synthesized views.

## 20 Keywords

21 3D-HEVC; 3D video coding; depth coding; view synthesis optimization (VSO); synthesized view  
22 distortion change (SVDC); early skip mode

## 23 1 Introduction

24 With the rapid development of multimedia information technology, 3D video applications have  
25 broad development prospects in both film and home entertainment industries. Using a special

1 display which re-projects 3D scenes from slightly different directions to left and right eyes,  
2 audiences can realize depth perception by the brain. It results in greatly improving the immersive  
3 feelings in comparison to 2D video [1]. The most widely used 3D display adopts the stereoscopic  
4 video that provides two views of videos for audiences. However, a multi-view display such as the  
5 auto-stereoscopic display and the free-view television has attracted much more attentions recently  
6 due to its comfortable and free viewing experience [2]. Nevertheless, the multi-view display  
7 requires more than two views of videos referred to as multi-view video (MVV) [3]. However, the  
8 bitrate of MVV is linearly proportional to the number of transmitted views. Although the multi-  
9 view coding (MVC) [3] technology in H.264/AVC can significantly compress these videos by  
10 exploiting the correlation between different views, the achievable compression is not sufficient to  
11 overcome constraints on channel bandwidth and in turn places a heavy burden on transmission and  
12 storage because of the huge amount of video data [4, 5]. Therefore, an efficient 3D video coding  
13 scheme is desired to solve this problem.

14 Owing to limitations in the production environment and bandwidth constraints, only limited  
15 views (for example, two to three views) and their corresponding depth maps are captured,  
16 compressed and transmitted for 3D video applications [6, 7], and this 3D video format is called  
17 multi-view video plus depth (MVD) [8, 9]. By means of depth information, it can synthesize an  
18 arbitrary number of intermediate views from these limited views via the depth-image-based  
19 rendering (DIBR) technology [10, 11] at decoder side based on the camera parameters and the  
20 original views' positions. This new MVD format can decouple content creation from display so  
21 that it supports any type of displays and adapts to specific technical conditions and user preferences.  
22 Based on this property, the advanced MVD format can achieve efficient free viewpoint video  
23 services without transmitting all desired views, and requires less data burden compared to the MVV  
24 format. MVD has been adopted by the state-of-the-art High Efficiency Video Coding (HEVC)  
25 standard [12]. Recently, the Joint Collaborative Team on 3D Video Coding Extension (JCT-3V),  
26 formed by the Moving Picture Experts Group (MPEG) and the Joint Collaborative Team on 3D

1 Video Coding (JCT-3V), has been developing a new generation of an international 3D video coding  
2 standard, namely 3D-HEVC [1]. Similar to the MVC extension of H.264/AVC, 3D-HEVC takes  
3 advantage of the inter-view prediction [13] to exploit the correlations among multiple views. An  
4 extended technique to inter-view prediction is to design efficient coding tools for jointly coding  
5 texture videos and depth maps.

6 Depth maps have distinctive characteristics compared to texture videos. For instance, the depth  
7 maps have mostly smooth regions delimited by sharp edges [9], and the distortion of sharp edges  
8 induces ringing artifacts at object boundaries in synthesized views [14]. To account for these  
9 characteristics of depth maps, specific edge preserving coding tools such as depth modeling modes  
10 [15], segment-wise DC coding [16], etc. have been adopted in depth map coding of 3D-HEVC. In  
11 addition, depth maps are transmitted for view synthesis instead of directly viewed by audiences. If  
12 the conventional distortion metrics such as the sum of squared differences (SSD) or sum of absolute  
13 differences (SAD) is employed, the reconstructed depth map can be obtained in terms of its own  
14 image quality. However, the quality of the reconstructed depth map cannot guarantee the  
15 synthesized view quality. Consequently, 3D-HEVC replaces the original rate distortion  
16 optimization (RDO) for the mode decision process in depth map coding by the synthesized view  
17 distortion [15]. It is referred to as view synthesis optimization (VSO), which takes both of the  
18 synthesized view and depth map quality into consideration. The distortion measure [8, 17],  $D_{VSO}$ ,  
19 is composed of the distortions in the synthesized view,  $D_s$ , and depth map,  $D_d$ , expressed as

$$20 \quad D_{VSO} = (w_s \times D_s + w_d \times D_d) / (w_d + w_s) \quad (1)$$

21 where  $w_s$  and  $w_d$  are the associated weighting factors of  $D_s$  and  $D_d$ , respectively.  $D_d$  is calculated  
22 by the sum of squared difference (SSD) or sum of absolute Hadamard transform difference (SATD).  
23 The calculation of  $D_s$  includes the most complex view synthesis process in 3D-HEVC in order to  
24 measure the synthesized view distortion for determining the best modes and coding partition.  
25 Adopting VSO in 3D-HEVC can guarantee the best coding performance in synthesized views, but  
26 it imposes a heavy computational burden to the encoder.

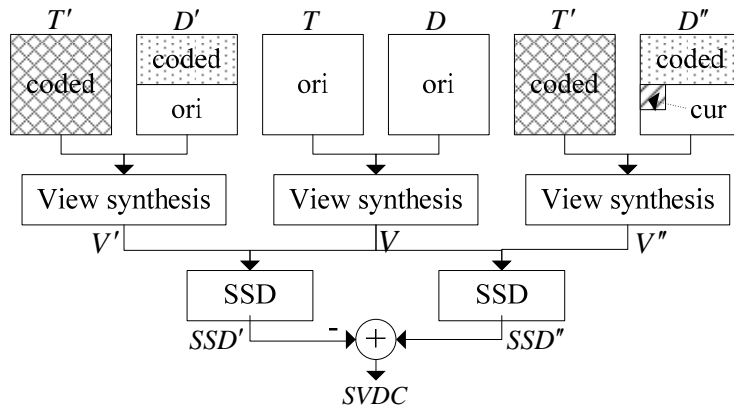
1 Many researchers have been devoted their efforts on reducing the complexity of VSO [18-27].  
2 Since distortions in synthesized views are caused by the depth map distortions due to the encoding  
3 process, some algorithms were proposed by deriving relationships between distortions in the coded  
4 depth map and rendered virtual view [18-21]. The well-known technique is called as view synthesis  
5 distortion (VSD) [19], which is accepted as part of the 3D-HEVC reference software HTM-16.0  
6 [17]. It analyzes the relationship between depth quality and rendering quality, and weights the depth  
7 distortion with the sum of absolute horizontal gradients of the co-located texture. VSD estimates  
8 synthesized views without actual view synthesis in order to save time. Based on the fact that  
9 different depth distortion values may be projected to the same geometrical distortion in the  
10 synthesized virtual view, the scheme in [22] proposed an allowable depth distortion model with  
11 piecewise function to establish a new rate distortion model for mode decision and motion/disparity  
12 estimation by minimizing the view synthesis distortion at given bitrates. The work in [23] considers  
13 video-coding-induced distortion, depth-quantization-induced distortion and inherent geometry  
14 distortion together to characterize the view synthesis quality. In order to provide a better trade-off  
15 between compression efficiency and coding complexity, the algorithm proposed in [24] classifies  
16 the pixels in depth map into occluded pixels, interpolated pixels, and disoccluded pixels by the  
17 view synthesis process. Various models are then applied to compute the distortions adaptively.  
18 However, these algorithms [18-24] estimate the distortion in synthesized views using mathematical  
19 models or metrics. Their results are approximate values and may not represent the accurate  
20 synthesized view distortion. In order to calculate the exact synthesized view distortion, the  
21 synthesized view distortion change (SVDC) method was proposed to measure the change of the  
22 distortion in a synthesized view based on the change of the depth data [15, 25] and is also adopted  
23 in the HTM-16.0. Since SVDC needs to bring the rendering functionalities into the encoder process,  
24 the complexity and encoding time increases significantly. To reduce the complexity, a number of  
25 fast algorithms were proposed to skip some pixels' rendering process for the SVDC method based  
26 on the measure of zero-synthesized view difference [26-27]. However, it still remains room for

1 further encoding complexity reduction. This paper proposes an adaptive segment-based skipping  
 2 (ASS) algorithm for VSO in order to deal with some small line segments of pixels which will not  
 3 cause distortion of the synthesized view during the SVDC process. With the proposed ASS  
 4 algorithm, the VSO processing time can be further reduced.

5 The remainder of this paper is organized as follows. Section 2 briefly reviews the SVDC  
 6 calculation and the early skip mode. Section 3 particularly presents our proposed adaptive segment-  
 7 based skipping method for VSO in 3D-HEVC. Experimental results and analyses are provided in  
 8 Section 4, and conclusion is drawn in Section 5.

## 9 2 SVDC calculation and early skip mode

10 To acquire a precise distortion measure for VSO, SVDC, defined as the change in distortion  
 11 between two synthesized textures, is computed at the encoder as depicted in Fig. 1.



12  
13

Fig.1 SVDC calculation.

14 In Fig. 1,  $V$  is the synthesized reference frame rendered by the original texture frame  $T$  and the  
 15 original depth frame  $D$ .  $V'$  and  $V''$  are two synthesized frames rendered from the reconstructed  
 16 texture frame  $T'$  and the two partially encoded depth frames,  $D'$  and  $D''$ , respectively. The  
 17 difference between the two depth frames is that  $D''$  of the current block contains the distorted depth  
 18 data due to the actual mode under test. SVDC is finally measured by the difference between the

1 two sum of squared distortions (SSD) between  $V'$  and  $V''$ , denoted by  $SSD'$  and  $SSD''$ , and is  
2 computed as

$$3 \quad SVDC = SSD'' - SSD' = \sum [V'' - V]^2 - \sum [V' - V]^2 \quad (2)$$

4 The detailed process of the SVDC calculation is described as follows. Prior to encoding the  
5 current frame, the original texture frame  $T$  and the original depth frame  $D$  are used to render the  
6 synthesized frame  $V$  as the reference.  $D'$  is formed by the reconstructed depth of the already  
7 encoded blocks, the original depth for the current depth block and the original depth for the  
8 remaining depth blocks, as shown in Fig. 1, and is used to render  $V'$  with  $T'$ . When a block is  
9 under evaluation, all possible coding modes use their corresponding distorted depth data in the  
10 block to get different  $D''$ . Each  $D''$  is then used to render  $V''$  with  $T'$ . Finally, SVDC can be  
11 calculated by  $V$ ,  $V'$  and  $V''$ , according to Eq. (2). The best coding mode will be determined after  
12 the SVDC calculation. This view rendering process is performed recursively during the encoding  
13 process and in turn brings large computing complexity for the encoder.

14 For view synthesis, it is understood that distortions in depth maps may not always induce  
15 geometry changes in synthesized views. From this observation, the current implementation of the  
16 HTM-16.0 adopts block-based and line-based early skip (ES) decisions to reduce the processing  
17 time of the SVDC calculation. They are based on the principle of zero disparity distortion in which  
18 the original and distorted depth pixels are mapped to the same disparity vector. If this is the case,  
19 the distortion  $D_s$  of this pixel in Eq. (1) is also equal to zero and the SVDC calculation can be  
20 skipped. The block-based condition for ES mode decision is checked according to the disparity  
21 distortion for the entire block. If all the pixels in the block satisfy the zero disparity distortion, the  
22 SVDC calculation of the whole block is skipped. If the block is not skipped, each line of the block  
23 is checked whether all the pixels within the line satisfy the zero disparity distortion. In this situation,  
24 the SVDC calculation is skipped for those lines that fulfill the line-based early skip condition.

### 1 3 The proposed Adaptive segment-based skipping (ASS) algorithm

2 When computing SVDC for the current block in the original VSO process, every pixel in this  
3 block is warped, interpolated and blended to render a synthesized view. By doing so, large amounts  
4 of pixels need to be rendered because of the high resolution of 3D video. This pixel by pixel  
5 rendering process brings huge computational complexity. The aforementioned ES methods can skip  
6 some unnecessary rendering process. Nevertheless, the coding complexity is still tremendous  
7 especially for calculating  $SSD''$  in Eq. (2) since various modes under evaluation lead to different  
8 prediction and reconstruction signals. While the complexity reduction of ES is important, alone it  
9 is not sufficient to have an efficient 3D-HEVC implementation. Table 1 lists out the line skip rate  
10 of the ES mode for calculating  $SSD''$ . Noted that if the whole block is skipped, the skip rate in  
11 Table 1 considers that all lines in this block are skipped. 100 frames were tested for all sequences  
12 (“Balloons”, “Kendo”, “GTFly”, “PoznanStreet”, “PoznanHall2” and “Shark”) with 4 depth  
13 quantization parameters (QPs) set to {34, 39, 42, 45}. From Table 1, it can be seen that as QP value  
14 increases, the line skip rates of all sequences drop down due to the decrease in the quality. For  
15 sequences with many unsmooth regions (such as “Balloons” and “Kendo”) or fast motion contents  
16 (such as “PoznanStreet”) in depth maps, the skip rates of ES are lower than those of other sequences.

17 Table 1 Line skip rates for calculating  $SSD''$ .

QP	Balloons	Kendo	GTFly	PoznanStreet	PoznanHall2	Shark
34	25.13%	28.26%	42.02%	17.44%	32.76%	31.23%
39	23.86%	26.72%	35.81%	16.29%	28.33%	29.27%
42	21.19%	23.30%	29.91%	13.29%	22.69%	25.96%
45	19.09%	20.78%	26.09%	12.15%	17.36%	21.98%
Average	22.32%	24.77%	33.46%	14.79%	25.29%	27.11%

18  
19 In HEVC, it supports larger coding blocks to achieve better compression for high-resolution  
20 videos. However, larger coding blocks have lower possibility to meet the conditions of block-based  
21 and line-based ES modes than smaller coding blocks since the zero disparity distortion for all pixels  
22 in the line/block is less likely in the large coding block. It is expected that ES modes for large

1 coding blocks may not as efficient as small coding blocks. To verify this observation, we fixed the  
2 CU size to  $64 \times 64$ ,  $32 \times 32$  and  $16 \times 16$ , and measured the line skip rates for CUs with different  
3 sizes, as shown in Table 2. It can be found that for all the tested sequences using different QPs, the  
4 line skip rate for CU with the size of  $16 \times 16$  is larger than that for CU with the size of  $32 \times 32$ , and  
5 the line skip rate for CU with the size of  $32 \times 32$  is also larger than that for CU size of  $64 \times 64$ . It  
6 can be concluded that the ES utilization decreases as CU size increases. This is reasonable since less  
7 number of entire lines inside a small CU are likely to have zero disparity distortion. It indicates that  
8 ES is not very useful for large coding blocks.

9 Table 2 Line skip rates with different CU size for calculating  $SSD''$ .

Sequence	Width of CU	QP				Average
		34	39	42	45	
Balloons	64	14.31%	13.81%	12.32%	11.38%	12.96%
	32	27.83%	27.05%	25.15%	23.74%	25.94%
	16	37.46%	32.89%	30.47%	23.72%	31.14%
Kendo	64	17.15%	17.11%	15.35%	14.41%	16.01%
	32	31.83%	30.65%	28.04%	26.90%	29.36%
	16	39.46%	34.87%	32.85%	32.46%	34.91%
GTFly	64	34.19%	30.66%	22.47%	18.86%	26.55%
	32	40.98%	35.11%	30.94%	28.08%	33.78%
	16	47.00%	40.97%	37.43%	35.67%	40.27%
PoznanStreet	64	7.53%	7.67%	5.88%	4.59%	6.42%
	32	16.08%	14.36%	13.25%	11.63%	13.83%
	16	24.33%	20.96%	19.53%	19.41%	21.06%
PoznanHall2	64	20.03%	16.65%	14.04%	12.46%	15.80%
	32	31.55%	27.17%	24.25%	20.69%	25.91%
	16	34.31%	29.44%	25.93%	23.20%	28.22%
Shark	64	18.81%	17.61%	15.07%	13.97%	16.37%
	32	33.99%	30.64%	28.02%	24.93%	29.39%
	16	43.19%	36.35%	32.97%	30.16%	35.67%

10

11 To tackle the low ES utilization for large CUs, it is not necessary to restrict the zero disparity  
12 distortion to an entire line. To make the skipping more flexible, our proposed adaptive segment-



1 based skipping (ASS) algorithm is to study the undistorted depth data for a line segment. If a short  
 2 line segment that may not cause distortion in a synthesized view can be identified and skipped, the  
 3 computational complexity of the SVDC calculation can be further reduced. Based on this idea, the  
 4 proposed ASS picks out the segment of pixels based on the distortions due to texture coding and  
 5 depth map coding in which both of them give impact on the synthesized view quality. In ASS,  
 6 except the depth distortion considered by early skip mode, the criterion used to determine the line  
 7 segments also takes texture distortion into consideration.

### 8 **3.1 depth distortion criterion**

9 During the view synthesis process, data from depth maps are converted into disparity vectors  
 10 with the help of camera parameters. These disparity vectors are used to warp pixels from the  
 11 original view to the synthesized view. The disparity vector  $d$  can be derived from the real depth  
 12 value,  $z$ , as follows:

$$13 \quad d = \frac{f \cdot B}{z} \quad (3)$$

14 where  $f$  and  $B$  denote the focal length of the camera, and the baseline distance between two views,  
 15 respectively. The actual distance of the object in the 3D space,  $z$ , can be expressed as

$$16 \quad z = 1 / \left[ \frac{I}{255} \cdot \left( \frac{1}{z_{near}} - \frac{1}{z_{far}} \right) + \frac{1}{z_{far}} \right] \quad (4)$$

17 where  $z_{near}$  and  $z_{far}$  are the nearest and the farthest depth values, respectively, which are recorded in  
 18 the camera configure files of the test sequences recommended by the ISO/IEC and ITU-T JCT-3V  
 19 group.  $I$  is a depth value quantized with 8-bit per sample, which gives a range between 0 and 255.

20 With Eq. (3) and Eq. (4), the disparity vector can be obtained from the depth value as follows:

$$21 \quad d = \frac{f \cdot B \cdot I}{255} \cdot \left( \frac{1}{z_{near}} - \frac{1}{z_{far}} \right) + \frac{f \cdot B}{z_{far}} \quad (5)$$

1 Since a depth value  $I$  is only used to calculate a disparity vector  $d$ , distortion in  $I$  only leads to a  
2 position error in synthesized views.  $d$  is rounded to  $\frac{1}{4}$  sub-pixel position due to the adoption of  
3 quarter-accuracy interpolation in the HTM-16.0. In Eq. (5), several  $I$  values may map to the same  
4 sub-pixel disparity vector in the view synthesis process such that no position error exists in the  
5 synthesized view. If a segment of distorted and rounded disparity vectors derived by the encoded  
6 depth values remain the same as the disparity vectors derived by the original depth values, the  
7 distortion of this depth segment can be neglected and the SVDC calculation process can be skipped.  
8 We then define  $S_D$  to be the depth segment with zero disparity distortion in which all distorted  
9 disparity vectors,  $d'_i$ , are equal to the original disparity vector,  $d_i$ , as

$$10 \quad d_i = d'_i, i \in S_D \quad (6)$$

11 where  $i$  denotes a pixel position in  $S_D$ .

### 12 **3.2 Texture smoothness criterion**

13 Even though distortion in depth value will induce to a pixel being warped to an incorrect position  
14 in the synthesized view resulting in position or geometry errors, it is not the necessary condition  
15 for the appearance of distortion in the synthesized view. Fig. 2 illustrates two examples of a position  
16 error due to depth distortion. In this figure,  $u$  and  $v$  are two pixels from the base view. The solid  
17 arrows are the correct disparity vectors calculated from the original depth value and the dotted  
18 arrows are the distorted disparity vectors calculated from the distorted depth value after depth map  
19 coding.  $u_1$  and  $v_1$  are correct rendering positions, while  $u_2$  and  $v_2$  are distorted rendering positions.  
20 Since  $u$  in the example of Fig. 2 is located at a smooth area, the distorted pixel position  $u_2$  in the  
21 synthesized view has the similar pixel value as  $u_1$ . On the contrary, since  $v$  is located at a complex  
22 texture area, the distorted disparity will cause enormous rendering error. That is to say, if a texture  
23 segment belongs to a texture smooth area, the position error may not cause distortion in the  
24 synthesized view, and the SVDC calculation process can be avoided.

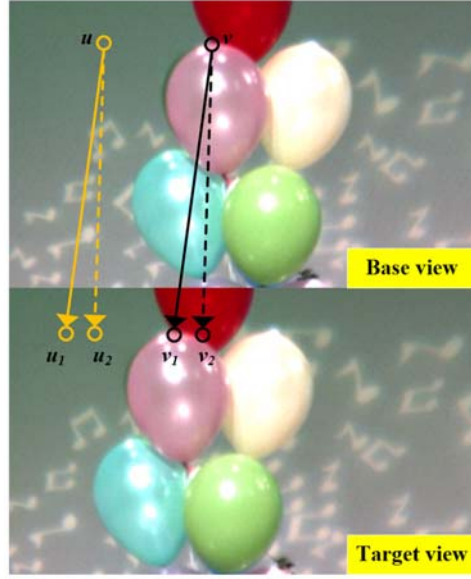


Fig. 2 Warping process during rendering.

Based on this observation, let us define the texture smoothness criterion of a pixel pair along the horizontal line,  $p_i$  and  $p_{i+1}$ . It checks the pixel luminance difference between two neighboring pixels from the corresponding reconstructed texture frame as

$$|p_i - p_{i+1}| \leq Th \quad (7)$$

In Eq. (7), the threshold,  $Th$ , is used to judge the texture smoothness. If the pixel pair in a texture region satisfies the above criterion, the two pixels in the pixel pair belong to a texture smooth region. A segment of pixels is then defined as the texture smooth segment,  $S_T$ , in which all pixel pairs fulfill the criterion in Eq. (7).

In order to precisely find the texture smooth segment,  $Th$  should be determined carefully. In 3D-HEVC, it utilizes an intra prediction tool to exploit the spatial correlation within each frame. Therefore, intra prediction can accurately characterize the texture regularity of a frame by using prediction modes. An Intra DC mode uses an average value of reference samples for intra prediction [28]. In other words, if a block chooses an Intra DC mode as its best mode, it is reasonable to consider it as a smooth block. In addition, it is noted that the texture video in 3D-HEVC is encoded before the depth video. In the determination of the texture smoothness, the threshold  $Th$  is thus set

1 according to the image characteristics of these already coded smooth blocks in the texture frame.  
 2 Therefore, the threshold  $Th$  of the texture smoothness criterion can be derived after the coding of  
 3 the texture frame and before the coding of the depth frame, and the process is described in the  
 4 following steps:

5 **Step 1:** All blocks of  $N_w \times N_h$  size in the texture frame using the Intra DC mode as their best mode  
 6 are collected.

7 **Step 2:** The luminance difference of the reconstructed texture frame at pixel location  $(i, j)$  in the  
 8 block between two horizontal neighboring pixels,  $p_{i,j}$  and  $p_{i+1,j}$ , is calculated. For  $j^{th}$  line, the sum of  
 9 absolute line difference ( $SALD_j$ ) is computed as follows

$$10 \quad SALD_j = \frac{\sum_{i=0}^{N_w-1} |p_{i,j} - p_{i+1,j}|}{N_w - 1} \quad (8)$$

11 **Step 3:** By taking the average of ( $SALD_j$ ) for all lines in the block coded using the Intra DC mode,  
 12 the average horizontal luminance difference of the block,  $SALD_{DC}$ , is calculated as

$$13 \quad SALD_{DC} = \frac{\sum_{j=0}^{N_h} SALD_j}{N_h} \quad (9)$$

14 **Step 4:** The threshold  $Th$  for the texture smoothness is then computed by averaging all  $SALD_{DC}$   
 15 in the texture frame as

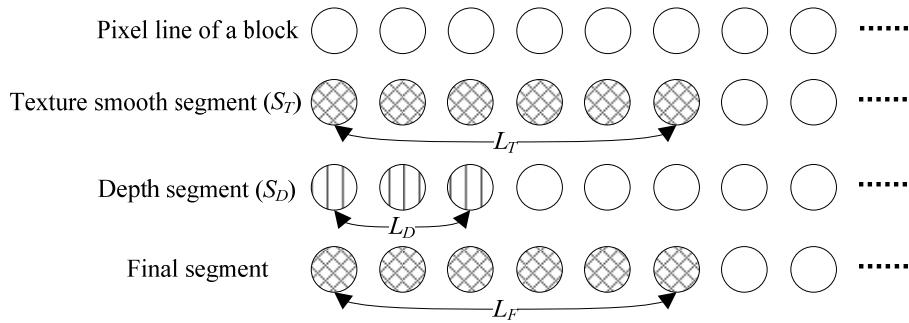
$$16 \quad Th = \frac{\sum DALD_{DC}}{IntraDCBlockNum} \quad (10)$$

17 where  $IntraDCBlockNum$  denotes the number of blocks coded using the Intra DC mode in the  
 18 texture frame. Noted that  $Th$  is updated for each I-frame in the texture video. Therefore, depth  
 19 frames from the same intra period share the same  $Th$  as their threshold for the texture smoothness  
 20 criterion.

21

### 1 3.3 Final Segment determination

2 Based on the above texture smoothness and depth distortion criteria, the proposed ASS skips  
 3 eligible segments which may not cause distortions in synthesized views to reduce the computational  
 4 burden for SVDC in VSO. The procedure of eligible segment determination in ASS is shown in  
 5 Fig. 3. For a line in the current block being coded, the texture smoothness criterion is used to locate  
 6  $S_T$  which has small variation of pixel values, and the length of  $S_T$  is recorded as  $L_T$ , as shown in Fig.  
 7 3. Simultaneously, the depth distortion criterion is employed to find another line segment  $S_D$  where  
 8 all the encoded depth data will not cause a position error in the synthesized view, and the length of  
 9  $S_D$  is  $L_D$ , as depicted in Fig. 3. Finally, the line segment that has the longer length is selected as the  
 10 final skipped segment in ASS, and its length is defined as  $L_F$  in Fig. 3. After then, it moves to the  
 11 pixel next to the skipped segment to recur the above procedure until the current processing pixel is  
 12 not eligible in the proposed ASS algorithm.



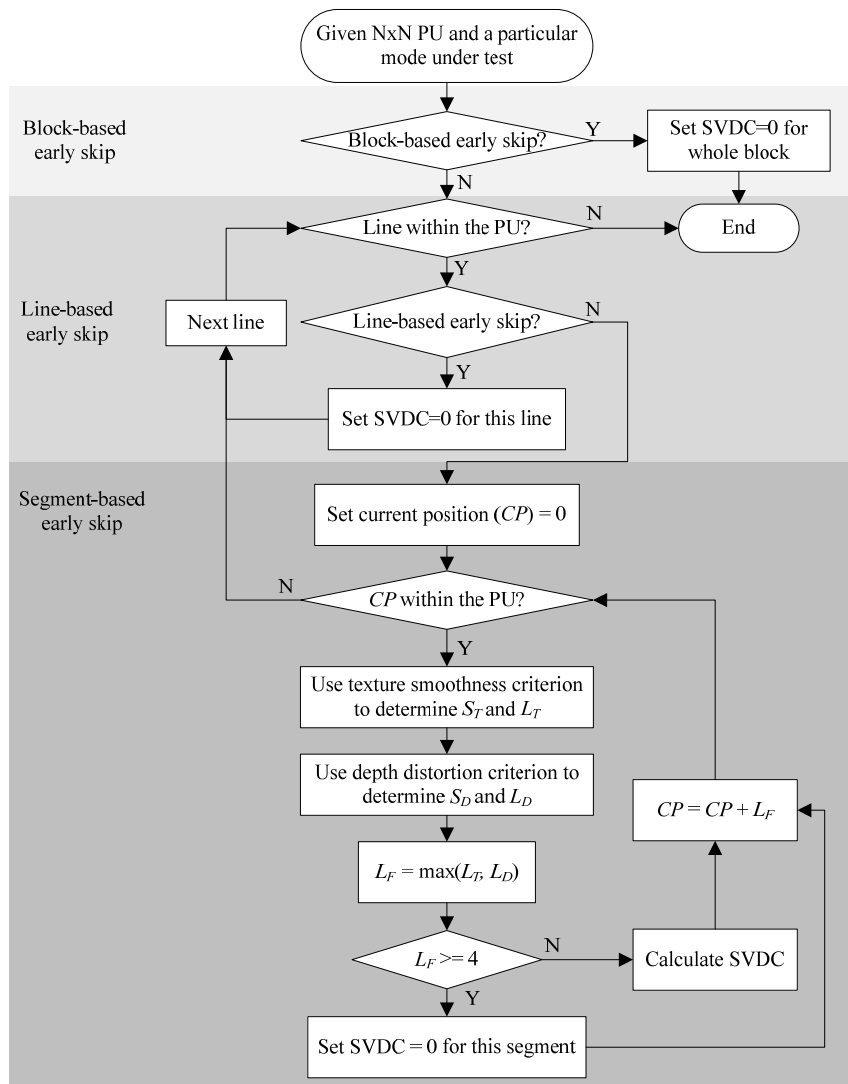
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14 Fig. 3 Segment determination in ASS.

### 15 3.4 Flowchart of the proposed ASS

16 The flow chart of the proposed ASS algorithm is illustrated in Fig. 4. Our proposed ASS is  
 17 integrated into both of the block-based and line-based ES techniques. Given a PU with the size of  
 18  $N \times N$ , and a tested mode, the block-based and line-based ES decisions are checked first. If the PU  
 19 in this mode is satisfied with the condition of block-based ES, the SVDC calculation for whole  
 20 block is skipped. Otherwise, the line-based ES decides whether the SVDC calculation for each line

1 is necessary or not. If a line of pixels is not early skipped, the two criteria described in Sub-sections  
 2 3.1 and 3.3 are applied to determine the line segments,  $S_T$  and  $S_D$ , with the length of  $L_T$  and  $L_D$   
 3 which may not cause distortions in the synthesized view.  $L_F$  is then determined by maximizing  $L_T$   
 4 and  $L_D$ . The rendering processes of those line segments are skipped to reduce the SVDC calculation  
 5 time during depth map coding. In order to keep the efficiency of the proposed ASS, the line  
 6 segments are skipped only if the length of the segment is larger or equal to 4. For those ineligible  
 7 pixels that cannot satisfy with both block-based, line-based, and segment-based ES conditions, the  
 8 conventional SVDC is used to calculate the distortions.



9

10

Fig. 4 Flowchart of the ASS algorithm.

## 1 4 Experimental results

2 To evaluate the performance, the proposed ASS has been built on the reference software HTM-  
3 16.0 of the 3D-HEVC standard. The proposed algorithm was compared with the HTM-16.0 which  
4 adopts the techniques in [25] and [26]. The format of three views plus depth was used in this  
5 experiment, and several sequences with two resolutions of  $1920 \times 1088$  and  $1024 \times 768$   
6 recommended by the JCT-3V group were encoded. They include “Balloons” ( $1024 \times 768$ ), “Kendo”  
7 ( $1024 \times 768$ ), “GTFly” ( $1920 \times 1088$ ), “PoznanSteet” ( $1920 \times 1088$ ), “PoznanHall2” ( $1920 \times 1088$ )  
8 and “Shark” ( $1920 \times 1088$ ). The quantization parameters (QPs) were set to  $\{(25, 34), (30, 39), (35,$   
9  $42)$  and  $(40, 45)\}$  for coding texture videos and depth maps, respectively. Full-length frames were  
10 tested for each sequence under the common test conditions (CTC) specified in [29]. The GOP  
11 length was set to 8 and the intra period was set to 24. Three views,  $V_0, V_1,$  and  $V_2,$  for each sequence  
12 were coded and the step size of the synthesized view was set to 0.25 resulting in generating six  
13 synthesized views,  $V_{0.25}, V_{0.5}, V_{0.75}, V_{1.25}, V_{1.5}$  and  $V_{1.75},$  rendered at decoder side. The objective  
14 evaluation of synthesized view quality is calculated by  $\Delta$ BDBR, which comes from Bjontegaard  
15 metrics [30] measuring the rate distortion performance of the synthesis view quality and the total  
16 bitrate including texture and depth videos.  $\Delta T$  (%) represents depth coding time saving in  
17 percentage in comparison with the algorithm in HTM-16.0+[25]+[26]. Positive and negative values  
18 denote increments and decrements, respectively. The simulations were conducted on a 64-bit MS  
19 Windows 10 OS running on an Intel(R) Core(TM) i7-4790 CPU of 3.60 GHz and 32.0GB RAM.

20 Table 3 tabulates the skip rate of pixels of ASS for all QPs. In measuring this skip rate, the lines  
21 that fulfill block-based and line-based ES are excluded. It can be seen that the average skip rate of  
22 all test sequences is 73.22%, which significantly increases ES utilization. Table 3 also shows  
23 percentage of the skip rate that is affected by the depth distortion criterion ( $L_D > L_T$ ), the texture  
24 smoothness criterion ( $L_T > L_D$ ) and both criteria ( $L_D = L_T$ ). For all sequences, the texture smoothness  
25 criterion shows greater impact than the depth distortion criterion. As QP increases, the influence

1 on skip rate of the depth distortion criterion decreases and that of the texture smoothness criterion  
2 increases for all the testing sequences. This is because for lower QP, the depth coding result is more  
3 accurate and causes less depth distortion. Thus the influence of the depth distortion criterion in  
4 lower QP is more than that in higher QP situation. Moreover, since the reconstructed textures are  
5 smoother in larger QP, the influence of the texture smoothness criterion increases as the QP value  
6 increases.

7 Table 3 Skip rate of pixels in the proposed ASS.

Sequence	QP	Skip rate of pixels	$L_D > L_T$	$L_D < L_T$	$L_D = L_T$
Balloons	34	69.39%	15.67%	82.60%	1.73%
	39	70.81%	13.04%	85.78%	1.18%
	42	71.50%	10.36%	88.78%	0.86%
	45	70.45%	8.50%	90.82%	0.68%
Kendo	34	72.70%	10.82%	87.49%	1.69%
	39	74.31%	8.80%	90.12%	1.08%
	42	76.31%	6.82%	92.47%	0.71%
	45	76.46%	5.77%	93.70%	0.53%
GT_Fly	34	61.52%	16.87%	81.31%	1.83%
	39	74.26%	8.32%	90.53%	1.15%
	42	82.59%	4.63%	94.66%	0.72%
	45	83.22%	3.31%	96.23%	0.45%
Poznan_Hall2	34	61.04%	27.76%	71.19%	1.05%
	39	65.46%	20.99%	78.05%	0.96%
	42	70.11%	14.89%	84.31%	0.80%
	45	71.16%	10.70%	88.50%	0.80%
Poznan_Street	34	68.85%	19.58%	78.84%	1.57%
	39	67.62%	17.25%	81.66%	1.09%
	42	79.23%	8.89%	90.48%	0.63%
	45	76.67%	7.71%	91.77%	0.52%
Shark	34	73.96%	13.02%	84.84%	2.15%
	39	77.98%	8.87%	89.60%	1.54%
	42	79.26%	6.62%	92.36%	1.03%
	45	82.51%	4.27%	95.05%	0.67%
average		73.22%	11.39%	87.55%	1.06%

8

9 Table 4 then gives the comparison of depth coding time and  $\Delta T$  of the proposed ASS. From Table  
10 4, it can be found that ASS can achieve about 28.87% complexity reduction in average, which gets



1 the benefit of the flexible segment in the SVDC calculation. It means the proposed ASS can  
 2 successfully reduce the computational complexity of HTM-16.0+[25]+[26]. Basically, the depth  
 3 coding time saving increases as the QP value becomes larger. It can be explained by using Table 3.  
 4 In this Table 3, it can be seen that the skip rate affected by the texture smoothness criterion is  
 5 generally greater than that affected by the depth distortion criterion. Since the texture smoothness  
 6 criterion dominates and its influence on the skip rate becomes greater for larger QP, time saving is  
 7 more remarkable as QP value increases.

8 Table 4 Depth coding time comparison of the proposed ASS compared with HTM-16.0+[25]+[26].

Sequence	QP	Depth coding time (s)		$\Delta T$
		HTM-16.0+[25]+[26]	ASS	
Balloons	34	7310.141	5471.476	25.15%
	39	6066.451	4216.774	30.49%
	42	5414.575	3791.106	29.98%
	45	5147.194	3871.25	24.79%
Kendo	34	8539.806	5975.63	30.03%
	39	6636.737	4635.884	30.15%
	42	5919.974	4028.396	31.95%
	45	5430.704	3620.909	33.33%
GTFly	34	16895.93	14356.27	15.03%
	39	13102.01	10063.24	23.19%
	42	12107.7	8089.824	33.18%
	45	10991.04	6829.133	37.87%
PoznanHall2	34	8426.171	7047.016	16.37%
	39	7302.423	5519.947	24.41%
	42	7214.7	4997.733	30.73%
	45	7120.829	4807.676	32.48%
PoznanStreet	34	13805.97	10393.54	24.72%
	39	10908.72	8480.488	22.26%
	42	10620.13	7362.732	30.67%
	45	10537.48	7029.297	33.29%
Shark	34	21023.55	15752.19	25.07%
	39	18535.16	11489.53	38.01%
	42	13454.02	9350.175	30.50%
	45	12931.27	7863.787	39.19%
average				28.87%

9

1 Table 5 presents the BDBR performance of the proposed ASS compared to HTM-16.0+[25]+[26].  
 2 It shows the  $\Delta$ BDBR of the base view (Base), the synthesized view (Syn.), and both of the base  
 3 and synthesized view (All) for the proposed ASS. From Table 5, it can be seen that 2.2%  $\Delta$ BDBR  
 4 increase of the synthesized views and 1.5%  $\Delta$ BDBR increase of both of the base and synthesized  
 5 views for all the testing sequences in average are resulted from the proposed ASS compared to the  
 6 HTM-16.0+[25]+[26]. The proposed ASS can achieve the better  $\Delta$ BDBR for “Balloons” and  
 7 “PoznanStreet” with 1.5%  $\Delta$ BDBR increase in the synthesized views. Therefore, for all the testing  
 8 sequences, the proposed ASS shows no significant  $\Delta$ BDBR loss.

9 Table 5  $\Delta$ BDBR comparison of the proposed ASS compared with HTM-16.0+[25]+[26].

Sequence	ASS compared to HTM-16.0+[25]+[26] (%)		
	Base	Syn.	All
Balloons	0.0	1.5	0.9
Kendo	0.0	2.5	1.6
GTFly	0.0	2.0	1.4
PoznanHall2	0.0	3.0	2.2
PoznanStreet	0.0	1.5	1.0
Shark	0.0	2.5	1.7
Average	0.0	2.2	1.5

10

## 11 **5 Conclusion**

12 In this paper, an adaptive segment-based skipping algorithm for view synthesis optimization in  
 13 3D-HEVC has been proposed to reduce the computational complexity of depth map coding. The  
 14 proposed algorithm releases the constraints of the zero disparity and texture smoothness in the line-  
 15 based ES by identifying small line segments of depth pixels which may not cause distortions in  
 16 synthesized views. Those skipped small segments are obtained based on both texture and depth  
 17 information. The experimental results show that the proposed algorithm can further reduce the  
 18 SVDC processing time while keeping the comparable  $\Delta$ BDBR performance.

19

20

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