1

Clinical Evaluation of a 3-D Automatic Annotation Method 1 for Breast Ultrasound Imaging 2 3 Wei-wei Jiang¹, Cheng Li^{2, 3}, An-hua Li² and Yong-ping Zheng^{1*} 4 ¹ Interdisciplinary Division of Biomedical Engineering, The Hong Kong Polytechnic University, 5 6 Kowloon, Hong Kong, China ² Department of Ultrasound, State Key Laboratory of Oncology in Southern China, Sun Yat-Sen 7 University Cancer Center, Guangzhou, China 8 ³ Department of Ultrasound, Hospital of Traditional Chinese Medicine of Zhongshan, Zhongshan, 9 10 China 11 Running Title: 12 **3-D** Annotation for Breast Ultrasound 13 ^{*}Corresponding author: 14 15 Prof. Yongping Zheng, PhD Address: Interdisciplinary Division of Biomedical Engineering, 16 17 The Hong Kong Polytechnic University, Hung Hom, Kowloon, Hong Kong SAR, China 18 19 Tel: +852 27667664 Fax: +852 23624365 20 Email: ypzheng@ieee.org 21

23 Abstract

The routine clinical breast ultrasound annotation method has the limitations of 24 25 time-consuming, inconsistent, inaccurate and incomplete notation. A novel 3-D automatic annotation method for breast ultrasound imaging has been recently 26 developed, which used a spatial sensor to track and record conventional B-mode 27 scanning so as to provide more objective annotation. The aim of this study is to test 28 the feasibility of the automatic annotation method in clinical breast ultrasound 29 30 scanning. An ultrasound scanning procedure using the new method was established. A 31 comparison between the new method and the conventional manual annotation method was performed with 46 breast cancer patients (49 \pm 12 years). The time used for 32 33 scanning a patient was recorded and compared for the two methods. The intra-observer and inter-observer experiments were performed and the intraclass 34 correlation coefficients (ICCs) were calculated to analyze the system reproducibility. 35 36 The results demonstrated that the average scanning time of using the new annotation 37 method was reduced by 36 s or 42.9% in comparison with the conventional method. 38 There were high correlations between the results of the two annotation methods (r = r)0.933, P < 0.0001 for distance, r = 0.995, P < 0.0001 for radial angle). Intra-observer 39 and inter-observer reproducibility were excellent, with all ICCs larger than 0.92. The 40 41 results showed that the 3-D automatic annotation method is reliable for clinical breast 42 ultrasound scanning and it can greatly reduce the scanning time. Although large-scale clinical studies are still needed, this work verified that the new annotation method has 43 44 potential to be a valuable tool to help breast ultrasound examination.

Key words: Breast ultrasound, Breast imaging, Annotation, 3-D ultrasound,
Breast cancer, Clinical study

48 Introduction

Breast ultrasound is a common diagnosis method for patients with breast cancer in clinics because it is non-invasive, real-time and less expensive (Dixon 2008; Huang et al. 2008; Jackson 1990). It has good sensitivity in dense breasts and, thus, is employed as a complementary method to mammography for breast screening (Chen et al. 2004; Svensson 1997). In clinics, breast ultrasound can also be used to differentiate benign from malignant lesions, which can help to reduce the number of biopsies (Cho et al. 2006; Hong et al. 2005).

Figure 1(a) is a typical ultrasound display during breast examination, which 56 57 consists of an ultrasound image and a corresponding image annotation. The annotation is used to register the image location with respect to the breast (American 58 College of Radiology 2011). Because the follow-up diagnosis, evaluation and 59 treatment are performed based on the stored annotation, it is crucial to provide 60 61 accurate and complete annotations. The stored annotation is also very important for surgery. The women with large tumors, such as a malignant lesion located across 62 different quadrants, are usually recommended to have the mastectomy (American 63 64 Cancer Society). As shown in Figure 1(a), there are two parts on the annotation, 65 graphic pictogram and textual sequence. In the graphic pictogram, the circle represents the breast region. The irregular part next to the circle means the arm, which 66 is used to indicate the laterality (left or right) of the breast. The arrow is the probe 67 68 icon, which represents the probe location. The arrow direction means the probe direction and the movable arrow can be manipulated by the operator to reflect the 69 current location of the ultrasound image. Most commonly, a trackball on the 70 ultrasound machine is employed to manipulate the position of the probe icon relative 71 to the breast marker region. There are three types of breast marker, as shown in 72 73 Figure 1(b). The operator can choose a suitable pictogram to annotate the image 74 according to the image location. The spatial information is also indicated in the textual sequence. In the Figure 1(a), L means left breast, 3 represents 3 clock radial
direction and 4 indicates 4 cm to the nipple.

77 During breast examination, when one image is useful for diagnosis, a series of complex hand motions need to be performed to annotate the image (Jackson and 78 79 Chenal 2006; Kuzara and Brown 2006). The sonography operator firstly freezes the ultrasound image using the freeze button on the ultrasound machine. Then the 80 81 sonography operator changes the probe icon position according to the estimation. Finally, the textual sequence is typed using the keyboard. In hospital, patient care and 82 productivity are the main concerns. However, this manual annotation method causes a 83 84 variety of issues.

85 One issue arises from the complex manual annotation procedure. The above 86 actions are repeated for every ultrasound image, which are time-consuming (Entrekin 2010). Compared with the breast scanning procedure, the annotation even takes more 87 88 time in the whole breast ultrasound examination, especially for new staff with little 89 experience. In China, the clinical practice guideline recommends that two operators are needed in breast ultrasound examination (Chinese Medical Association 2004). 90 One manipulates the ultrasound machine to scan the breast and the other records the 91 92 image and annotates it. This method can effectively decrease the examination time. 93 But it is obviously a waste of human resources in healthcare institutions. In addition, the highly repetitive annotation procedure is also fatigue causing for the operator. 94

95 Another problem caused by the manual annotation method is subjective registration of the image location, which is set according to the estimation of the 96 97 probe location relative to the breast by the sonography operator. The manual estimation depends on the sonography operator's training and experience, and may 98 99 lead to inaccurate results or even errors. Furthermore, different operators may 100 annotate images in their own way, which can cause inconsistency in image recording (Brandli 2007). For example, as shown in Figure 1(a), some operators may type the 101 textual sequence near to the breast marker region while others may type it on the 102

103 ultrasound image. The inconsistent ultrasound images can cause difficulties to the 104 follow-up image processing or statistical analysis (Cupples et al. 2004). In addition, as 105 shown in **Figure 1(a)**, the 2-D annotation cannot display all spatial information in 3-D 106 space, such as the probe tilt angle. This can also cause problems to the downstream 107 evaluation and treatment. According to the incomplete spatial information, different 108 clinicians may have different judgements on the image location in 3-D space.

109 Compared with conventional B-mode ultrasound (2-D), 3-D ultrasound can overcome limitations of 2-D viewing and measuring of 3-D anatomy (Chang et al. 110 2015; Fenster et al. 2011; Huang et al. 2005; Huang et al. 2015). The applications of 111 112 3-D breast ultrasound have been reported in the literature including the image guidance of biopsy and surgery (Albrecht et al. 2006; Fenster et al. 2004; Tamaki et al. 113 2002; Weismann et al. 2000), breast cancer diagnosis (Kelly et al. 2010; Shipley et al. 114 2005; Kotsianos-Hermle et al. 2009; Kuo et al. 2008; Lin et al. 2012), image 115 evaluation of intraductal cancer spread (Sato et al. 1998), and mammary ducts 116 117 imaging in lactating women (Gooding et al. 2010). Compared with the above studies at the stage of lab research, two 3-D breast ultrasound products have begun to be 118 applied in clinical diagnosis. One is Automated Breast Ultrasound (ABUS) of the GE 119 Healthcare and the other is the Automated Breast Volume Scanner (ABVS) of 120 121 Siemens. It was reported that the above automated 3-D breast ultrasound systems had obvious advantages, including the higher diagnosis accuracy, less operator 122 dependency, and better lesion size prediction, compared with the conventional 123 hand-held 2D ultrasound (Chang et al. 2015a; Chang et al. 2015b; Lin et al. 2012). 124 However, for the above two systems, specially designed probes are required, which 125 are expensive and bulky for the clinical routine use. In addition, the sonography 126 operator cannot move the probe to the desired position freely because the moving 127 manner of the probe is predefined. Therefore, though 3-D breast ultrasound is a 128 129 promising imaging method, there is still a long way to go before it can be widely used 130 in routine clinical breast examination. 2-D ultrasound imaging is still the dominant scanning mode for breast ultrasound examination, considering the equipment and 131

training costs of using 3-D system, the sophisticated experiences gained by cliniciansusing 2-D ultrasound.

134 As the routine clinical examination tool, the existing breast ultrasound annotation system has the problems of time-consuming, inconsistent, inaccurate and incomplete. 135 To overcome the problems of the existing manual annotation, a 3-D automatic 136 annotation system was developed (Jiang et al. 2014). A 2-D ultrasound scanner was 137 138 utilized in this system to capture the ultrasound images. An electromagnetic spatial sensor was mounted to the ultrasound probe to obtain the positional information of the 139 images. The 3-D virtual models of breast and probe were employed to display the 140 image locations. The technical details including the system components, the 141 142 calibration method, the software development, the annotation parameters calculation, the selection of reference points, and the annotation display method were reported in 143 the previous study (Jiang et al. 2014). The aim of this study is to evaluate the 144 feasibility of the newly developed 3-D automatic annotation system in clinical breast 145 146 ultrasound scanning on patients with breast tumors. The scanning procedure using the automatic annotation method was established. The comparison between the new 147 method and the manual annotation method was performed. The reproducibility of the 148 method was also investigated. 149

150 Methods

151 Patients

This study was approved by the human subject ethics committee of the institution and all patients gave the informed consent in this study. The experiments were conducted in the Sun Yat-Sen University Cancer Center (Guangzhou, China). In December 2013, 46 patients (all female patients; mean age, 49 years ± 12 (standard deviation); range, 24-65 years) who met the following criteria were prospectively recruited. The inclusion criterion was presence of breast lesions in breast ultrasound examination. 19 patients had benign lesions and 27 patients had malignant tumors (2

patients with ductal carcinoma in situ (DCIS), 20 patients with invasive ductal 159 carcinoma (IDC) and 5 patients with invasive lobular carcinoma (ILC)). Patients were 160 excluded if they had mastectomy before and one or two of their breasts were cut off. 161 This clinical study included two parts, which were the comparison study of manual 162 annotation method and the 3-D automatic annotation technique, and the 163 reproducibility test of this new annotation method. 21 of recruited patients 164 participated in the first part, and 25 of them participated in the second part of this 165 166 study.

167 The 3-D automatic annotation method

168 The novel annotation technique has been developed to provide 3-D, automatic and continuous annotation for breast ultrasound imaging. Jiang et al. (2014) 169 170 previously reported the development of this method, and some key features were described here. The new annotation system consisted of an ultrasound machine (DC-8, 171 172 Mindray, Shenzhen, China) with a linear 2-D probe (L12-3E, Mindray, Shenzhen, 173 China, 3.0-13.0 MHz), an electromagnetic spatial equipment (medSAFE, Ascension Technology, Burlington, VT, USA) and a computer installed with a video capture 174 card (NI-IMAQ PCI/PXI-1411, National Instruments, Austin, TX, USA) and a 175 176 customized program, as shown in Figure 2. The spatial equipment was comprised of a spatial sensor, a control box and a transmitter. The spatial sensor was fixed on the 177 ultrasound probe using a custom-designed mounting kit, also shown in Figure 2. 178 179 Real-time spatial information (three positions (x, y, z) and three orientations (azimuth, elevation, roll)) of probe were captured by this sensor and sent to the computer 180 through the control box. During scanning, ultrasound images from the ultrasound 181 scanner were also sent to the computer through a video capture card. 182

The ultrasound images and the corresponding spatial data were acquired by a custom-developed program, with its interface shown in **Figure 3**, which contained the tool bar and display window. The display window was divided into two parts, annotation window (left part of the display window) and image window (right part of 187 the display window). During the breast scanning, ultrasound images were displayed on the image window. Meanwhile, the corresponding annotations were shown on the 188 annotation window in real time. In this annotation method, four types of display 189 modes were used, including the 2-D annotation pictogram, textual annotation 190 191 sequence, 3-D annotation models and annotation value, as shown in Figure 3. The first two annotation modes were used in conventional annotation method, which were 192 familiar to clinicians. The 3-D models including breast model and probe model were 193 194 adopted in this system to intuitively annotate the image location. By changing the position of probe model on the breast model, the image location could be displayed. 195 The 3-D annotation models can display all spatial information such as the probe tilt 196 angle which cannot be indicated in conventional annotation method. The accurate 197 198 values of image distance to nipple and radial angle were displayed by the mode of annotation value. All these spatial indicators including the arrow of 2-D pictogram, 199 textual sequence, 3-D probe model and the annotation values were changed 200 automatically according to the spatial data from spatial sensor when ultrasound probe 201 202 moved across the breast.

In this system, the positional data were acquired by the spatial sensor. A 203 cross-wire phantom was used to establish the offsets between the spatial sensor and 204 205 the ultrasound probe (Barry et al. 1997). Based on the spatial data of the sensor and the calibration matrix, the positional information in 3-D space of the ultrasound image 206 could be calculated. Then the positional information was displayed using the above 207 four types of display modes. However, in this annotation method, the sizes of 3-D 208 209 breast model and the 2-D pictogram were established in advance. For different patients, the sizes of breasts are different. To resize the actual breast to the breast 210 model, four reference points, including the nipple points of left and right breasts, and 211 border points of left and right breasts, were used in this method. Before each scanning, 212 213 the operator held the probe and puts it on the nipple point and border point of each 214 breast. The spatial data of the four points were recorded by the system. Then the radius and height of the actual breasts were calculated and scale parameters between 215

the actual breast and breast model were obtained. According to the scale parametersand the calibration matrix, the spatial data acquired using the spatial sensor was thenautomatically displayed on the annotation model.

219 Development of a clinical procedure using the 3-D automatic annotation method

220 In clinical breast ultrasound scanning, a foot switch was connected to the 221 computer of this system to achieve functions of computer mouse so it can control the action of the software such as the acquisition of ultrasound image. This design could 222 223 help the operator to release hand from the computer mouse during scanning the breast. 224 During the scanning, the patient lay on the bed in supine position with her arms 225 abducted and hands under the head to flatten the breast. To reduce the influence of patient motions, the patient was asked to keep still during the scanning procedure and 226 227 lighten her breath as possibly as she could. At the beginning of the scanning, the software prompted the physician to record the four reference points. The physician 228 229 put the probe on the corresponding point of the breast, stepped on the foot switch, and 230 the spatial data of this point was recorded by the software. The software then reminded the operator to record the next reference point until four reference points 231 were captured. After that, the ultrasound image from the probe and the corresponding 232 233 annotation were displayed on the interface of the software (Figure 3) and the physician could begin the breast scanning. In this study, the physician held the 234 ultrasound probe to scan across the breast in orthogonal antiradial scanning pattern 235 (Stavros 2004), which is one of the most common scanning patterns in clinics. During 236 scanning, ultrasound images and their annotations changed in real time. If an 237 ultrasound image contained the information of lesion, the physician stepped on the 238 foot switch and this image together with its annotation was saved. This action took 239 less than one second and there was almost no influence to the whole scanning 240 241 procedure. The scanning continued until both of the two breasts were covered and all 242 images of lesions were saved. The scanning procedure is shown in Figure 4. During scanning, if the patient has any motion which breaks the continuous scanning, it is 243 necessary to define the reference points again before continuing the scanning. 244

245 Comparison study between manual annotation and 3-D automatic annotation

To investigate the performance of the new annotation method, the comparison between the 3-D annotation method and the clinical manual annotation was performed. 21 patients (all female patients; mean age, 49 years ± 12 (standard deviation); range, 25-65 years) were contained in this comparison test. A physician who has 3 years' experience in breast ultrasound was involved in this study. The annotation time and results using the two methods were compared. The ultrasound machine and probe were the same in the two annotation methods.

253 Ultrasound examination

In this comparative experiment, results of two annotation methods on the same 254 255 lesion were compared. To ensure that the ultrasound probe was put on the same 256 position in two scanning procedures, the patient was asked to keep stationary until two annotations were completed. In addition, before the comparative scanning, an 257 258 extra ultrasound scanning was performed so that the physician could investigate the lesion and found a suitable position of the probe on the breast to record the ultrasound 259 image. In the following comparative scanning, the operator would put the probe on 260 261 the same location and annotate the ultrasound image.

The patient lay on the bed and the physician held the probe to scan two breasts in orthogonal antiradial scanning pattern. After two breasts were covered, the physician swept the probe to the lesion region to investigate the ultrasound images of this lesion. The operator adjusted the probe to get the suitable image which could clearly display the lesion. The probe location and ultrasound image were remembered in the mind by the operator as the reference of the following scanning.

268 *3-D automatic annotation*

After the ultrasound examination, a scanning procedure using the developed 3-D automatic annotation method was performed by the physician. The scanning and 271 annotation procedure was described in the previous section. In this procedure, the program interface (Figure 3) was displayed on the computer. When the suitable 272 ultrasound image was found, the operator acquired this image together with its 273 annotation by stepping on the foot switch. This action was repeated if another lesion 274 was found until two breasts were scanned. The time of the whole scanning procedure 275 including the definition of four reference points was recorded. The recorded scanning 276 time did not include that spent on the preparation work of the computer setup, spatial 277 278 equipment initiation, and the ultrasound machine setup. The whole preparation work took about 2 min. The setup would only be performed once and could be used for all 279 patients until the system was shut down. 280

281 Manual annotation

282 Following the 3-D automatic annotation procedure, manual annotation was performed by the same physician. In this procedure, the physician used the ultrasound 283 284 machine interface. The orthogonal antiradial scanning pattern was also adopted in 285 manual annotation scanning. After two breasts were covered, the physician moved the probe to the lesion region to find the suitable ultrasound image. When such an image 286 was found, the operator froze the ultrasound image to annotate it. The physician 287 288 firstly chose a suitable graphic pictogram from the three types of graphic pictograms (Figure 1(b)). According to the laterality of the lesion, one graphic pictogram was 289 chosen using the tracking ball of ultrasound machine. The physician then changed the 290 291 arrow position and orientation on the graphic pictogram according to her estimation on actual probe location. Finally, the physician typed the textual sequence using the 292 keyboard of the ultrasound machine. When the above three steps were finished, this 293 ultrasound image was completely annotated and saved. If there were more than one 294 295 lesion, this annotation procedure was repeated for each lesion.

296 Reproducibility test of the 3-D automatic annotation method

To further study the performance of this new annotation method, the 297 reproducibility test in clinical settings was performed. In total, 25 patients (all female 298 patients; mean age, 49 years ±12 (standard deviation); range, 24-65 years) involved in 299 this test. Besides the first physician involved in the above comparison test, the second 300 physician who also has 3 years' experience in breast ultrasound attended this test. 301 Before the reproducibility assessment, an extra ultrasound examination similar to the 302 above comparison test was conducted. In this examination, both of the two physicians 303 304 scanned the breasts and found the same suitable position for each breast lesion as the reference of the later reproducibility test. 305

306 The reproducibility test included intra-observer and inter-observer repeatability 307 assessment. The patient was scanned and annotated by the first physician using the 3-D automatic annotation method twice with a 15 minutes interval for the 308 intra-observer repeatability. During the scanning, the patient lightened her breath as 309 possibly as she could. During the interval, the patient could breathe normally but keep 310 311 still. For the inter-observer repeatability assessment, the second physician repeated the scanning using the same annotation system. The other data for inter-observer 312 repeatability analysis was from the first data set of the intra-observer repeatability 313 314 assessment.

315 Data analysis

In the manual annotation method, only parameters of the radial angle and the 316 distance to nipple were annotated with numerical value. Values of the two parameters 317 were compared in the two annotation methods. The Pearson correlation coefficient r 318 was calculated to assess the correlation between the manual and 3-D automatic 319 annotation methods. The scanning times on different patients using the two methods 320 321 were compared. To assess the system reproducibility, the intraclass correlation 322 coefficient (ICC) for intra-observer test and inter-observer test was used. A P value of less than 0.05 was considered to indicate a significant difference. All statistical 323

evaluations were performed using the statistical software (SPSS for Windows, version
17.0; SPSS, Chicago, IL, USA).

326 **Results**

327 Scanning time

Among 21 patients that were scanned in the comparative study, 18 of them had 328 329 one lesion, two patients had two lesions and one patient had three lesions. The scanning times were summarized in Table 1. Student's t-test was used to analyze the 330 scanning time and a significant difference (P < 0.001) was demonstrated between the 331 332 two annotation methods (84s for manual method, 48s for automatic method). For patients with one lesion, the average difference was 36 s, which took 42.9% of the 333 whole manual scanning time (84 s). For the two methods, the scanning procedures 334 were almost the same so the time difference was mainly caused by the annotation 335 336 procedure. This automatic annotation could obviously save scanning time. Moreover, as shown in Table 1, when there was more than one lesion, the time difference 337 became larger. That was because the manual annotation procedure was repeated for 338 each lesion which spent much time in the whole scanning procedure. While in the 339 340 new automatic method, the annotation procedure took little time in the whole scanning examination. Therefore, the increased breast lesion had little influence on 341 the whole scanning time. 342

343 Annotation results comparison between the manual and automatic methods

Figure 5 shows the typical annotation results of the manual method and the automatic method for the same breast lesion. In the manual annotation, the quantitative values were given by the textual sequence. The distance to the nipple was indicated by the last number in the sequence in centimeters, as shown in Figure 5(a). The radial angles were expressed in clock format and they were changed to values in degree. For the automatic method, the quantitative values of the two parameters were displayed on the interface, as shown in Figure 5(a). Table 2 is the Person correlation coefficient of the two annotation methods (r = 0.933, P < 0.0001 for distance, r = 0.995, P < 0.0001 for radial angle), which demonstrated excellent positive correlations with respect to the two analyzed parameters. The correlation plots and the Bland-Altman plots are shown in **Figure 6**.

355 Repeatability of automatic annotation method

As shown in **Table 3**, for the measurement of distance, the intra-observer ICC was 0.989 (95% confidence interval: 0.976, 0.995) and inter-observer ICC was 0.927 (95% confidence interval: 0.840, 0.968). For the parameter of radial angle, values of ICC were both 0.998 (95% confidence interval: 0.995, 0.999). Excellent repeatability was indicated by these results.

361 **Discussion**

362 In this clinical experimental study, we were able to demonstrate that the new 363 annotation method can provide 3-D automatic annotation for breast ultrasound examination. The 3-D automatic annotation method helped save scanning time 364 compared with the existed manual annotation method, especially for patients with 365 366 more than one lesion. The annotation results showed excellent correlation with the 367 current clinical annotation method. In addition to the spatial data used in conventional method, this developed method could provide probe tilt angle, which helped record 368 the complete spatial information of the ultrasound image in 3-D space. All the spatial 369 data could be stored and displayed using the developed software in the follow-up 370 examination. Quantitative measurements in intra-observer and inter-observer 371 assessment were reproducible. 372

In the light of potential future clinical application of the 3-D automatic annotation method, there were several limitations in this study. One limitation is that the detection range of the electromagnetic spatial sensor is 46cm (medSAFE Manual, Ascension Technology). In this study, to ensure that the patient was in the detection range, the transmitter was fixed on the scanning bed and the patient was asked to lie

as near as possible to the transmitter. However, it is inconvenient for the scanning 378 because the breast is close to the transmitter. In addition, the obese patients may be 379 out of the detection range. To solve this problem, in the future study, a planar 380 transmitter with larger detection range such as the Aurora sensor of Northern Digital 381 (Hummel et al. 2008) can be used. In this study, before scanning, the patients had to 382 remove all metallic wears and electronics goods to avoid the influence to the magnetic 383 field. Another limitation is that the experimental results were obtained based on the 384 385 condition that patients kept stationary and lightened their breath as possibly as she could. The involuntary movements or breath may cause errors to our annotation 386 because these motions change positions of reference points while the new spatial data 387 cannot be updated. Motion compensation has been studied in different fields such as 388 389 surface modeling in reconstructive surgery and ultrasound imaging for residual limb 390 assessment (Patete et al. 2009). One useful method is to place markers on the surface and use cameras to track these marks to further measure the movements (Patete et al. 391 392 2009; Sanders and Lee 2008). Another solution reported is the motion compensation 393 methods based on image features (Douglas et al. 2002; Xue et al. 1997; Zheng et al. 2001). In this automatic annotation system, instead of increasing cameras, another 394 spatial senor can be used to track the motion. This sensor can be attached on the body 395 of patient and the data from this sensor are acquired in real time. The initial positional 396 397 data is recorded. When there is movement, the difference can be obtained so the spatial data of reference points can be modified according to this difference. Another 398 399 limitation of this new annotation method is the deformation of the soft breast tissue. 400 During clinical breast ultrasound scanning, a certain force is applied on the breast 401 when the probe sweeps across the breast. This force can cause the breast deformation, 402 which may affect results of the annotation. Different methods have been proposed to solve the problem of soft tissue deformation (Crum et al. 2004; Gee et al. 2003; Guo 403 et al. 2006; Khallaghi et al. 2012; Krucker et al. 2002; Leung et al. 2009; Lu et al. 404 405 2009a; Lu et al. 2009b; Treece et al. 2002; Xiao et al. 2002). One approach is the use of non-rigid registration methods (Crum et al. 2004; Guo et al. 2006; Leung et al. 406 2009). It was demonstrated that the registration method could help to correct the 407 15 408 deformations over 85% in validation experiments (Krucker et al. 2002). Another 409 study reported that the registration error was less than 0.19 mm when this method was used to register breast deformations on ultrasound images (Xiao et al. 2002). A 410 feature-based registration algorithm was reported and the experiments demonstrated 411 its potential for recovering non-rigid deformations (Khallaghi et al. 2012). The 412 combination of non-rigid registration method and the optical sensing device has also 413 been proposed to assess the deformations in 3-D ultrasound scanning (Gee et al. 2003; 414 415 Treece et al. 2002). On the basis of these studies, non-rigid registration method will be developed for our annotation system to correct the breast deformation during the 416 clinical scanning. Compared with conventional manual annotation method, 417 time-saving is an obvious advantage of this developed annotation method, especially 418 419 for patients with more than one lesion. However, in this study, only three patients with multiple lesions were analyzed. Additional studies are needed to specially study 420 cases with multiple lesions to further demonstrate the value of the 3-D automatic 421 annotation method. Considering the clinical applications, the use of a spatial sensor 422 423 makes the scanning system more complicated. In addition, as previously described, the preparation work, including the computer setup and the spatial equipment 424 initiation, also increases the setup time. In future study, the spatial sensor can be 425 integrated to the ultrasound probe and the software can be combined into the interface 426 427 of the ultrasound machine to facilitate the clinical use.

Despite these restrictions of this study, our results suggest that the developed 428 annotation method has the potential to overcome limitations of the current manual 429 430 annotation method. The use of quantitative spatial data from the spatial sensor instead of operator subjective estimation to annotate the ultrasound image is an important step 431 toward more accurate diagnosis results. Another improvement is that automatic 432 annotation procedure, which can help physician avoid high repetitive work and save 433 434 examination time. This advancement has opened up the opportunity to provide breast 435 ultrasound to more patients with current clinical conditions. The implementation of this developed annotation method is another milestone. Unlike the current 3-D 436

437 ultrasound scanners (Kelly et al. 2010; Lin et al. 2012; Nelson and Pretorius 1998; 438 Porter and Seck 2009; Rao and Varghese 2008; Shung 2002; Tozaki et al. 2010), which 439 need a specially designed ultrasound machine, this method is based on the routine 2-D 440 scanner and only a spatial sensor is attached on the probe. In addition, with the 441 development of ultrasound technique, the electromagnetic spatial sensor has been 442 integrated to the ultrasound scanner system (Thiele and Chang 2003). Based on such 443 equipment, the 3-D automatic annotation method is easily to be implemented.

In conclusion, the present study indicated that the 3-D automatic annotation method was feasible in clinical breast ultrasound examination and had advantages compared with current manual annotation method. With the further improvement of this method and large-scale clinical tests, this new annotation method is expected to facilitate clinical breast ultrasound examination.

449 Acknowledgements

This project was partially supported by the Hong Kong Polytechnic University (G-YL74) and the Research Grant Council of Hong Kong (PolyU 152220/14E).

453 **References**

- 454 Albrecht H, Stroszczynski C, Felix R, Hunerbein M. Real time 3D (4D) ultrasound-guided
- 455 percutaneous biopsy of solid tumors. Ultrasschall Med 2006; 27:324-328.
- 456 American Cancer Society. Breast Cancer. 2014. P. 52-55.
- 457 American College of Radiology. ACR practice guideline for the performance of a breast458 ultrasound examination. Reston, VA: Author, 2011.
- 459 Barry CD, Allott CP, John NW, Mellor PM, Arundel PA, Thomson DS, Waterton JC.
- 460 Three-dimensional freehand ultrasound: Image reconstruction and volume analysis.
 461 Ultrasound Med Biol 1997;23: 1209–1224.
- 462 Brandli L. Benefits of protocol-driven ultrasound exams. Radiology management 2007.
- 463 Chang HL, Chen ZP, Huang QH, Shi J, Li XL. Graph-based learning for segmentation of 3D
 464 ultrasound images. Neurocomputing 2015; 151: 632-644.
- 465 Chang JM, Cha JH, Park JS, Kim SJ, Moon WK. Automated breast ultrasound system
 466 (ABUS): reproducibility of mass localization, size measurement, and characterization in
 467 serial examinations. Acta Radiol 2015a; 56: 1163-1170.
- 468 Chang RF, Hou YL, Lo CM, Huang CS, Chen JH, Kim WH, Chang JM, Bae MS, Moon WK.
- 469 Quantitative analysis of breast echotexture patterns in automated breast ultrasound
 470 images. Med Phys 2015b; 42: 4566-4578.
- 471 Chen SC, Cheung YC, Su CH, Chen MF, Hwang TL, Hsueh S. Analysis of sonographic
- 472 features for the differentiation of benign and malignant breast tumors of different sizes.
- 473 Ultrasound Obst Gyn 2004; 23:188-193.
- 474 Chinese Medical Association. Clinical practice guidelines for ultrasound examination (China).
 475 Beijing: People's Military Medical Press, 2004.
- 476 Cho N, Moon WK, Cha JH, Kim SM, Han BK, Kim EK, Kim MH, Chung SY, Choi HY, Im
- JG. Differentiating benign from malignant solid breast masses: comparison of
 two-dimensional and three-dimensional US. Radiology 2006; 240:26-32.
- 479 Crum WR, Hartkens T, Hill DLG. Non-rigid image registration: theory and practice, Brit J
 480 Radiol 2004; 77:S140-S153.

- 481 Cupples TE, Chin D, Maroc KD. Method and system for recording probe position during
 482 breast ultrasound scan. No. 6,675,038 B2 2004. US Patent.
- 483 Dixon AM. Breast Ultrasound: How, Why and When. Edinburgh: Churchill Livingstone,
 484 2008, pp. 94 99.
- 485 Douglas TS, Solomonidis S, Sandham W, Spence W. Ultrasound imaging in lower limb
 486 prosthetics. IEEE T Neur Sys Reh 2002; 10:11-21.
- 487 Entrekin RR. Breast ultrasound annotation user interface. No. WO/2010/10052598A1 2010.
 488 International Patent.
- Fenster A, Parraga G, Bax J. Three-dimensional ultrasound scanning. Interface Focus 2011; 1:
 503-519.
- 491 Fenster A, Surry K, Smith W, Downey DB. The use of three-dimensional ultrasound imaging
 492 in breast biopsy and prostate therapy. Measurement 2004; 36: 245-256.
- Gee AH, Treece GM, Prager RW, Cash CJC, Berman L. Rapid registration for wide field of
 view freehand three-dimensional ultrasound, IEEE T Med Imaging 2003; 22:1344-1357.
- Gooding MJ, Finlay J, Shipley JA, Halliwell M, Duck FA. Three-dimensional ultrasound
 imaging of mammary ducts in lactating women. J Ultras Med 2010; 29: 95-103,.
- 497 Guo YJ, Sivaramakrishna R, Lu CC, Suri JS, Laxminarayan S. Breast image registration
 498 techniques: a survey, Med Biol Eng Comput 2006; 44:15-26.
- Hong AS, Rosen EL, Soo MS, Baker JA. BI-RADS for sonography: Positive and negative
 predictive values of sonographic features. Am J Roentgenol 2005; 184:1260-1265.
- Huang QH, Huang YP, Hu W, Li XL. Bezier Interpolation for 3-D Freehand Ultrasound.
 IEEE T Hum-mach Syst 2015; 45: 385-392.
- Huang QH, Zheng YP, Li R, Lu MH. 3-D measurement of body tissues based on ultrasound
 images with 3-D spatial information. Ultrasound Med Biol 2005; 31: 1607-1615.
- 505 Huang YL, Chen DR, Jiang YR, Kuo SJ, Wu HK, Moon WK. Computer-aided diagnosis
- using morphological features for classifying breast lesions on ultrasound. Ultrasound
 Obst Gyn 2008; 32:565-572.
- Hummel J, Figl M, Bax M, Bergmann H, Birkfellner W. 2D/3D registration of endoscopic
 ultrasound to CT volume data. Phy Med Biol 2008; 53: 4303-4316.

- Jackson P, Chenal C. Ultrasonic imaging system with body marker annotations. No.
 WO/2006/038182A1 2006. International Patent.
- 512 Jackson VP. The role of US in breast imaging. Radiology 1990; 177:305-311.
- 513 Jiang WW, Li AH, Zheng YP, A semi-automated 3-D annotation method for breast
- ultrasound imaging: system development and feasibility study on phantoms, Ultrasound
 Med Biol 2014; 40:434-446.
- Kelly KM, Dean J, Comulada WS, Lee SJ. Breast cancer detection using automated whole
 breast ultrasound and mammography in radiographically dense breasts. Eur Radiol 2010;
 20:734-742.
- 519 Khallaghi S, Leung CGM, Hastrudi-Zaad K, Foroughi P, Nguan C, Abolmaesumi P.
 520 Experimental validation of an intrasubject elastic registration algorithm for dynamic-3D
 521 ultrasound images, Med Phys 2012; 39:5488-5497.
- Kotsianos-Hermle D, Hiltawsky KM, Wirth S, Fischer T, Friese K, Reiser M. Analysis of 107
 breast lesions with automated 3D ultrasound and comparison with mammography and
 manual ultrasound. Eur J Radiol 2009; 71: 109-115.
- 525 Krucker JF, LeCarpentier GL, Fowlkes JB, Carsen PL. Rapid elastic image registration for
 526 3-D ultrasound, IEEE T Med Imaging 2002; 21:1384-1394.
- 527 Kuo SJ, Hsiao YH, Huang YL, Chen DR. Classification of benign and malignant breast
 528 tumors using neural networks and three-dimensional power Doppler ultrasound.
 529 Ultrasound Obst Gyn 2008; 32: 97-102.
- 530 Kuzara DJ, Brown C. System and method for annotating an ultrasound image. No.
 531 WO2004109495A1 2006. International Patent.
- Leung C, Hashtrudi-Zaad K, Foroughi P, Abolmaesumi P. A real-time intrasubject elastic
 registration algorithm for dynamic 2-D ultrasound images, Ultrasound Med Biol 2009;
 35:1159-1176.
- Lin X, Wang JW, Han F, Fu JH, Li AH. Analysis of eighty-one cases with breast lesions
 using automated breast volume scanner and comparison with handheld ultrasound. Eur J
 Radiol 2012; 81:873-878.

- Lu MH, Zheng YP, Huang QH, Ling C, Wang Q, Bridal L, Qin L, Mak A. Noncontact
 evaluation of articular cartilage degeneration using a novel ultrasound water jet
 indentation system. Ann Biomed Eng 2009a; 37:164-175.
- Lu MH, Zheng YP, Lu HB, Huang QH, Qin L. Evaluation of bonetendon junction healing
 using water jet ultrasound indentation method. Ultrasound Med Biol 2009b;
 35:1783-1793.
- Patete P, Riboldi M, Spadea MF, Catanuro G, Spano A, Nava M, Baroni G. Motion
 compensation in hand-held laser scanning for surface modeling in plastic and
 reconstructive surgery. Ann Biomed Eng 2009; 37:1877-1885.
- 547 Sanders J E, Lee GS. A means to accommodate residual limb movement during optical
 548 scanning: A technical note. IEEE T Neur Sys Reh 2008; 16:505-509.
- Sato Y, Nakamoto M, Tamaki Y, Sasama T, Sakita I, Nakajima Y, Monden M, Tamura S.
 Image guidance of breast cancer surgery using 3-D ultrasound images and augmented re
 ality visualization. IEEE T Med Imaging 1998; 17: 681-693.
- Shipley JA, Duck FA, Goddard DA, Hillman MR, Halliwell M, Jones MG, Thomas BT.
 Automated quantitative volumetric breast ultrasound data-acquisition system. Ultrasound
 Med Biol 2005; 31: 905-917.
- Stavros AT. Breast Ultrasound. Philadelphia: Lippincott Williams & Wilkins, 2004, pp.
 46-47.
- 557 Svensson WE. A review of the current status of breast ultrasound. Ultrasound Med Biol 1997;
 558 6:77-101.
- Tamaki Y, Akashi-Tanaka S, Ishida T, Uematsu T, Kusama M, Sawai Y, Nakamura S,
 Hisamatsu K, Tanji Y, Sato Y, Matsuura N. 3D imaging of intraductal spread of breast
 cancer and its clinical application for navigation surgery. Breast Cancer 2002; 9:
 289-295.
- Thiele KE, Chang SH. Transducer with spatial sensor. US patent, US6517491B1, February 11
 2003.
- Treece GM, Prager RW, Gee AH, Berman L. Correction of probe pressure artifacts in
 freehand 3D ultrasound, Med Image Anal 2002; 6:199-214.

| 567 | Weismann CF, | , Forstner R, | Prokop E, | Rettenbacher T | Three-dimensional | targeting: A new |
|-----|--------------|---------------|-----------|----------------|-------------------|------------------|
| | | | | | | |

- three-dimensional ultrasound technqiue to evaluate needle position during breast biopsy.
 Ultrasound Obst Gyn 2000; 16:359-364.
- 570 Xiao GF, Brady JM, Noble JA, Burcher M, English R. Nonrigid registration of 3-D free-hand
 571 ultrasound images of the breast, IEEE T Med Imaging 2002; 21:405-412.
- 572 Xue KF, He P, Wang YW. A motion compensated ultrasound spatial compounding algorithm.
- 573 International Conference of the IEEE Engineering in Medicine and Biology Society,574 Chicago, Illinois, November, 2, 1997.
- 575Zheng YP, Mak AFT, Leung AKL. State-of-the-art methods for geometric and biomechanical

assessments of residual limbs: A review. J Rehabil Res Dev 2001; 38:487-504.

Tables

Table 1. Scanning time comparison results of manual annotation method and the 3-D

| 580 | automatic anno | tation method |
|-----|----------------|---------------|
|-----|----------------|---------------|

| lesions (s) | |
|--|--|
| Average Range Average Range One patient | |
| Manual84.061.0-121.0132.0131.0-133.0189.0 | |
| annotation | |
| Automatic48.027.0-79.065.562.0-69.076.0 | |
| annotation | |
| Difference 36.0 28.0-47.0 66.5 64.0-69.0 113.0 | |

Table 2. Correlation results of distance to nipple and radial angle with 3-D automatic

| Parameter | P Value | Pearson r |
|--------------------|----------|-----------|
| Distance to nipple | < 0.0001 | 0.933 |
| Radial angle | < 0.0001 | 0.995 |

annotation method in comparison with manual annotation method

584

Table 3. Repeatability results of the developed 3-D automatic annotation method in

| | Intra-observer ICC | Inter-observer ICC |
|--------------------|--------------------|--------------------|
| Distance to nipple | 0.989 | 0.927 |
| Radial angle | 0.998 | 0.998 |

587 intra-observer and inter-observer assessment

588

590 Figure Captions

- 591 Figure 1. A typical ultrasound display in breast examination. (a): the typical
- 592 annotation components including the graphical pictogram and the textual sequence.
- 593 (b): three common types of graphic pictogram.
- Figure 2. The components and modules used for the 3-D automatic annotation system.
- 595 Figure 3. The software interface of the 3-D automatic annotation system.
- Figure 4. The flow chart of the scanning procedure using the new 3-D automaticannotation method.
- Figure 5. A typical annotation results of the manual and automatic methods for the
 same breast lesion. (a): the manual annotation result. (b): the automatic annotation
 result.
- Figure 6. The scatter plots (left) and Bland-Altman plots (right) of the analyzed
 parameters for the two annotation methods. Excellent correlations were found
 between the two annotation methods. SD = standard deviation.
- 604

(a)



(b)



Figure 3 Click here to download high resolution image







