

Title: Different Whole-Body Vibration Intensities in Stroke: Randomized Controlled Trial

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ABSTRACT

Purpose: Whole body vibration (WBV) has become an increasingly common adjunct treatment in rehabilitation of people with chronic neurological conditions. No study, however, has compared the effects of different WBV training intensities in people with stroke. A single-blinded randomized controlled study was conducted to investigate the effects of different WBV intensities on body functions/structures, activity and participation in individuals with stroke. **Methods:** 84 people with chronic stroke (mean age: 61.2 years, SD: 9.2) were randomly assigned to the low-intensity WBV, high-intensity WBV, or control groups. Outcome measurements included knee muscle strength (isokinetic dynamometry), spasticity at the knee and ankle joints (Modified Ashworth Scale, MAS), balance (Mini Balance Evaluation Systems Test, Mini-BESTest), mobility (Timed-Up-and-Go test, TUG), endurance (6-Minute Walk Test, 6MWT), balance self-efficacy (Activities specific Balance Confidence scale, ABC), participation in daily activities (Frenchay Activity Index, FAI), perceived environmental barriers (Craig Hospital Inventory of Environmental Factors, CHIEF), and quality of life (Short-Form 12 Health Survey, SF-12). The assessments were performed at baseline, and immediately after the 10-week intervention period. **Results:** Intention-to-treat analysis revealed a significant time effect for muscle strength, TUG, distance and oxygen consumption rate achieved during 6MWT, Mini-BESTest, ABC, and the SF-12 physical composite score domain in all three groups after the 10-week treatment period ($P < 0.05$). However, the time by group interactions effects were not significant for any of the outcome measures ($P > 0.05$). **Conclusions:** The addition of

the 10-week WBV paradigm to the leg exercise protocol was no more effective in enhancing body functions/structures, activity and participation than leg exercises alone in community-dwelling people with stroke who sustained mild to moderate motor impairments.

Key Words: cerebrovascular accident; whole body vibration; rehabilitation; exercise; hemiparesis

1 INTRODUCTION

2 **Paragraph Number 1** Stroke is one of the most common leading causes of
3 long-term disability and is a major public health issue (9). Stroke can result in problems
4 across multiple functional domains, such as body functions/structures (e.g., muscle
5 weakness, spasticity, balance deficit, etc.), activity (e.g., walking, transfers), and
6 participation (engagement in community activities) according to the International
7 Classification of Functioning, Disability and Health (ICF) (40). Therefore,
8 considerable research efforts have been made to identify effective intervention
9 strategies to counteract the deleterious health consequences after stroke.

10 **Paragraph Number 2** Whole-body vibration (WBV) therapy, in which
11 vibratory signals are delivered to the human body through a vibration platform, has
12 gained increasing attention in neurorehabilitation. WBV has been consistently shown
13 to augment muscle activity during exercise in healthy adults (1). There is also some
14 evidence that it could improve muscle strength and certain balance and mobility
15 functions in older adults (17,18). Considering that people with neurological conditions
16 have similar problems with physical functioning, it has been postulated that WBV
17 could also benefit people with neurological disorders. Indeed, some preliminary studies
18 have investigated the potential therapeutic value of WBV in people with various
19 neurological conditions, including spinal cord injury, multiple sclerosis, Parkinson's
20 disease, and cerebral palsy (6). Recent studies have demonstrated that adding WBV can
21 increase the level of muscle activation during exercise in individuals with stroke, as
22 measured by surface electromyography (EMG) (21,23). A number of research studies

23 have examined the efficacy of WBV in people with stroke, with mixed results
24 (5,19,26,31,35). A recent systematic review of randomized controlled trials (RCTs)
25 suggested that there was limited evidence to support or refute the notion that adding
26 WBV to exercise confers additional benefits, mainly due to the limited number of
27 studies (nine trials only) and their methodological weaknesses (only two of the trials
28 provided level 1 evidence) (20). Moreover, the studies used diverse WBV protocols,
29 and none of them compared the effects of the different WBV protocols, making it
30 difficult to make meaningful comparisons across studies. For example, it remains
31 uncertain which WBV intensities are more effective in improving various health
32 outcomes post-stroke (17,18). Another major limitation of the previous WBV trials is
33 the relative lack of activity and participation outcomes. As mentioned, the health
34 consequences of stroke are multi-dimensional, which should be taken into
35 consideration when selecting outcome measures (36). Therefore, the framework of this
36 study was constructed based on the ICF model (40) by incorporating outcomes at the
37 body functions/structures, activity and social participation levels so as to provide a
38 more comprehensive picture of the therapeutic value of the experimental intervention.

39 ***Paragraph Number 3*** The objective of this RCT was to investigate the effects
40 of different WBV intensities on body functions/structures, activity and social
41 participation in community-dwelling individuals with chronic stroke. It was
42 hypothesized that 1) adding WBV to exercise training would lead to significantly
43 greater improvements in body functions/structures, activity and perceived participation
44 compared with the same exercise training without WBV; and 2) the high-intensity

45 protocol would induce significantly more gain in the same outcomes compared with the
46 low-intensity protocol.

47

48 **METHODS**

49 **Design**

50 *Paragraph Number 4* The present investigation was a single-blinded RCT, in
51 which the assessor was blinded. The study was registered at ClinicalTrials.gov
52 (NCT01822704). The reporting of this WBV clinical trial is in accordance with the
53 recommendations of the International Society of Musculoskeletal and Neuronal
54 Interactions (34).

55

56 **Participants and sample size**

57 *Paragraph Number 5* The study was conducted at a research laboratory at
58 the University. The inclusion criteria were: diagnosis of hemispheric stroke with
59 onset more than 6 months at the time of enrolment, age ≥ 18 years,
60 community-dwelling, a score of 6 or above on the Abbreviated Mental Test (AMT)
61 (2), and the ability to stand with or without aid for more than 90 seconds. Patients
62 were excluded if any of the following conditions were present: brainstem or
63 cerebellar stroke; other neurological disorders (e.g., spinal cord injury), neoplasms,
64 severe cardiovascular diseases (e.g., a pacemaker, uncontrolled hypertension), pain
65 that affected the ability to participate in physical activities, pregnancy, vestibular

66 conditions, recent fractures or metal implants in the lower limbs, or other serious
67 medical problems.

68 ***Paragraph Number 6*** The sample size was estimated based on evidence
69 from previous WBV studies that investigated the leg extensor EMG activity during
70 WBV in individuals with stroke (21), using G Power 3.1 software (Universitat
71 Dusseldorf, Germany). Liao et al. (21) demonstrated that WBV training induced
72 significantly higher levels of muscle activity in the paretic leg, with effect sizes (f)
73 of 0.46-0.93 (i.e., large effect sizes). To be more conservative, a medium effect size
74 was assumed (convention: $f=0.25$). Based on a 2×3 analysis of variance (ANOVA)
75 model with repeated measures, with an alpha value of 1% and power of 80%, the
76 minimum sample size required to detect a significant group by time interaction
77 effect would be 21 subjects in each group (total of 63 participants). We used a more
78 stringent alpha value because of the inflated probability of making a type I errors
79 due to multiple testing. To account for a 15% attrition rate, we aimed to recruit a
80 minimum of 75 participants (25 subjects per group).

81 ***Paragraph Number 7*** Written informed consent was obtained from all
82 subjects. The principles of the Declaration of Helsinki were followed, and the study
83 was approved by the Human Research Ethics Review Subcommittee of the Hong
84 Kong Polytechnic University.

85

86 **Recruitment and randomization**

87 **Paragraph Number 8** The recruitment of participants took place from
88 February 2013 to February 2014 in the Hong Kong Stroke Association. After the
89 eligibility was confirmed, the participants were then randomized into the
90 low-intensity WBV group (LWBV), high-intensity WBV group (HWBV), or control
91 group (CON) using a 1:1:1 allocation ratio (Figure 1). To ensure concealed
92 allocation, the subjects were randomly assigned to the groups using sealed opaque
93 envelopes distributed by an ‘off-site’ researcher who was not involved in the
94 recruitment of participants, provision of exercise training, or measurement of
95 outcomes. The last participant completed the post-intervention assessment on May
96 20, 2014.

97

98 **Interventions**

99 **Paragraph Number 9** All participants were given WBV exercise training 3
100 times a week for 10 weeks (i.e., 30 sessions). A minimum one-day rest period was
101 scheduled between training sessions. Extra sessions for missed appointments were
102 arranged to ensure that all participants completed all 30 sessions. All exercise training
103 took place in the same research laboratory of the University. The exercise sessions for
104 the 3 groups took place at different times of the day, so that the participants from one
105 treatment group could not observe what the other groups were engaging in. Each
106 exercise session began with 10 minutes of warm-up exercises and ended with 10
107 minutes of cool-down exercises (general stretching exercises in a sitting position and
108 exercise using a cycle ergometer).

109 **Paragraph Number 10** Participants in the LWBV group (n = 28) and HWBV
110 group (n = 28) received their exercise training on a WBV platform that delivered
111 synchronous WBV (Gymna Fitvibe Medical System, Gymna Uniphy Pasweg, Bilzen,
112 Belgium). The choice of WBV and exercise protocols (Table 1) was adapted from a
113 previous study that examined muscle activity during WBV exposure among individuals
114 with stroke (21). In that study, WBV intensities similar to our LWBV protocol induced
115 significantly higher leg muscle activity compared with the control condition (21). The
116 highest level of muscle activity was attained during deep-squat, semi-squat, forward
117 and backward weight-shift exercises in the leg muscles (21). Therefore, these exercises
118 were chosen in this study to optimize the activation of major leg muscle groups in our
119 participants (Table 1). A combination of dynamic and static exercises was included in
120 the training protocol (Table 1), as daily activities involve both static (isometric) and
121 dynamic muscle work. Similar exercises have been used in previous WBV studies in
122 people with stroke or other patient populations (19,31,35,38).

123 **Paragraph Number 11** The dynamic exercises (Exercises 1-3 in Table 1) were
124 performed in cycles of 3 seconds with 20 repetitions per minute. A metronome was
125 used to pace the participants in performing the exercises at the desired rhythm. A
126 rhythm of 20 repetitions per minute was selected based on our pilot study, which
127 demonstrated that most individuals were able to perform the exercises at this pace for
128 1.5 minutes without experiencing excessive fatigue, while finding it sufficiently
129 challenging. For the static exercise (Exercise 4 in Table 1), the participants were asked
130 to sustain the semi-squat position for 1.5 minutes in each repetition. The training

131 protocol was a progressive design with a gradual increase in the duration of exercise
132 (from 12 to 18 minutes per session) over the course of the treatment period (10 weeks)
133 as the exercise tolerance increased. The WBV settings were validated by a tri-axial
134 accelerometer (Model 7523A5; Dytran Instruments Inc., Chatsworth, CA). The
135 frequency of the WBV signals used was 20 Hz and 30 Hz, as a number of WBV trials in
136 older adults have demonstrated that therapeutic effects on neuromuscular performance
137 could be induced using these frequencies (10). Frequencies higher than 30 Hz and
138 amplitudes higher than 1 mm were not used in this study, due to the very high peak
139 acceleration values generated (15). It has also been shown that signal distortion is more
140 severe with high-amplitude vibration signals (15). WBV frequencies below 20 Hz were
141 not used because they may induce a considerable resonance effect, resulting in
142 amplification of the vibration signals and possible adverse effects (12). Our pilot work
143 also showed that the high-intensity protocol demanded substantial exercise effort from
144 the stroke participants without causing excessive fatigue.

145 *Paragraph Number 12* The CON group completed the same movements while
146 standing on the same WBV platform, but no WBV was delivered (i.e., WBV device
147 was turned off). The treatment sessions for all three groups were supervised by a
148 researcher (researcher: participant ratio = 1:2). The participants performed four
149 exercises while standing on the WBV platform (Table 1). The training instructions and
150 exercise progression pattern were the same for all three groups.

151

152 **Outcome measures**

153 **Paragraph Number 13** Outcome measurements were performed between
154 February 2013 and May 2014. Demographics and other relevant information (i.e.,
155 medications, medical history) were collected at the baseline assessment. The level of
156 impairment of the leg and foot was evaluated using the Chedoke McMaster Stroke
157 Assessment (11). The rating for each body part was based on a seven-point ordinal
158 scale, with higher scores indicating better motor recovery. The ratings for the leg and
159 foot were summed to yield an overall CMSA motor score for the paretic lower limb.
160 The Functional Ambulation Category (score range: 0-5; 0=non-ambulatory, 5:
161 independent) was used to indicate walking ability (14). The following outcomes were
162 measured at baseline (within one week before the commencement of the exercise
163 training), and post-intervention (within one week after the termination of the 10-week
164 intervention) by the same blinded assessor.

165

166 **Primary outcome**

167 ***Muscle strength***

168 **Paragraph Number 14** The knee extension and flexion muscle strength of both
169 the paretic and the non-paretic leg were measured by a dynamometer (NUMAC®
170 NORMTM Testing & Rehabilitation System, Computer Sports Medicine, Inc.,
171 Stoughton, MA). Isometric, isokinetic concentric and eccentric muscle strength were
172 tested. After a practice trial, each participant performed a maximal voluntary isometric
173 contraction of knee flexion and extension at two knee joint angles, 30° and 70° of knee
174 flexion, respectively. The peak torque value (in Nm) was registered. Isokinetic knee

175 concentric and eccentric flexion/extension contractions through a range of movement
176 between 70° and 10° of knee flexion at a fixed angular velocity of 60°/s were also
177 measured. An angular speed of 60°/s was chosen, as it has commonly been used in
178 previous stroke studies on patients with stroke (3,5,19,31,35). It is also known that a
179 good proportion of stroke survivors could not perform at higher angular velocities, due
180 to factors such as spasticity (3). The peak power value (Watts, W) was recorded. For all
181 test conditions, three trials were performed with a 2-minute rest period between trials.
182 The data were then averaged and normalized by the participant's body weight to yield
183 the mean isometric strength (Nm/kg), and concentric and eccentric strength (W/kg) of
184 knee flexion and extension in each leg. Muscle strength measurements using isokinetic
185 dynamometry have been shown to be highly reliable in individuals with chronic stroke
186 (5,19,31).

187

188 **Secondary outcomes**

189 *Spasticity*

190 *Paragraph Number 15* Spasticity in the knee extensors and ankle

191 plantarflexors was assessed using the 6-point Modified Ashworth scale (MAS) (0 = no
192 spasticity, 4 = affected part rigid). The MAS is a widely used tool to evaluate muscle
193 tone in stroke research and has acceptable reliability (Kendall's tau correlation = 0.847)
194 (29).

195

196 *Balance*

197 **Paragraph Number 16** The 14-item Mini Balance Evaluation Systems Test
198 (Mini-BESTest) was used to evaluate participant's balance performance in everyday
199 functional activities (8). The total score on this test ranges from 0 to 28, with higher
200 scores indicating better balance ability. The Mini-BESTest has good psychometric
201 properties when used in individuals with stroke, with excellent internal consistency
202 (Cronbach's alpha = 0.89-0.94), intra-rater reliability (intraclass correlation coefficient
203 (ICC) = 0.97), and inter-rater reliability (ICC = 0.96) (39).

204

205 *Walking endurance*

206 **Paragraph Number 17** The 6-Minute Walk Test (6MWT) was administered
207 while oxygen consumption (VO_2) was continuously recorded using the FitMate™
208 metabolic system (Cosmed, Rome, Italy) (27). At the beginning of each testing
209 session, the system was calibrated according to the manufacturer's guidelines. The
210 total distance covered (in meters) and the mean VO_2 rate (ml/kg/min) during the last
211 30 seconds of the 6MWT (a measure that is moderately associated with peak VO_2 in
212 stroke) were used for subsequent analysis (30). Both the VO_2 measured during the
213 6MWT and the distance covered have shown high test-retest reliability in individuals
214 with stroke (ICC >0.95) (7).

215

216 *Functional mobility*

217 **Paragraph Number 18** Functional mobility was measured with the
218 Timed-Up-and-Go (TUG) test (32). The TUG test was carried out twice, with an

219 interspersed 1-minute rest period. The time taken to perform the test was averaged to
220 obtain the mean value (in seconds).

221

222 *Balance self-efficacy*

223 *Paragraph Number 19* Balance self-efficacy was evaluated using the
224 Activities-specific Balance Confidence (ABC) scale (25). The participants were
225 instructed to rate their level of confidence in performing each activity without losing
226 their balance using a numerical rating scale from 0 to100, with higher scores denoting
227 better balance confidence. The scores for each item were summed and then averaged to
228 obtain the total ABC score. The ABC scale has been demonstrated to be a reliable and
229 valid tool for evaluating self-perceived balance confidence in individuals with stroke
230 (4).

231

232 *Participation in daily activities*

233 *Paragraph Number 20* The Frenchay Activity Index (FAI) was used as a
234 measure of participation (13). The FAI records the frequency of participating in social
235 activities and performing more complex activities of daily living (e.g., domestic chores,
236 outdoor mobility, leisure). Each of the 15 items was rated on a scale from 0 to 3,
237 yielding a total score of 15 to 60 (15-29: inactive or restricted participation; 30-44:
238 active; 45-60: highly active) (13). The construct validity and reliability of the FAI have
239 been established (ICC= 0.87) (13).

240

241 *Perceived environmental barriers*

242 *Paragraph Number 21* The participants also rated their perception of
243 environmental barriers using the 25-item Craig Hospital Inventory of Environmental
244 Factors (CHIEF) (22). The score for each of the 25 items was calculated by multiplying
245 the magnitude score (small problem, 1; big problem, 2) by the frequency score (range:
246 daily, 4; never, 0) to yield a product or overall “impact” score. Items relating to work or
247 school, when the respondent was neither working nor in school, were considered “not
248 applicable” and were not scored (Craig Hospital Research Department, 2001). The total
249 CHIEF score is the mean of up to 25 overall impact scores. Liao et al. (22)
250 demonstrated that CHIEF is a reliable and valid tool for evaluating the perceived
251 environmental barriers experienced by individuals with chronic stroke.

252

253 *Quality of life*

254 *Paragraph Number 22* Quality of life (QOL) was assessed using the
255 Short-Form 12 Health Survey, version 2 (SF-12, Chinese version) (16). A mental
256 health composite score (MCS) and a physical composite score (PCS) were generated
257 (range: 0-100), with higher scores denoting better health-related QOL.

258

259 **Statistical analysis**

260 **Paragraph Number 23** All statistical analyses were performed using IBM
261 SPSS software (version 20.0, IBM, Armonk, NY, USA). A more stringent
262 significance level at $P < 0.01$ was set due to the many outcomes involved.

263 **Paragraph Number 24** Descriptive statistics (e.g., mean and standard deviation)
264 were used to indicate the central tendencies and variability of the data. One-way
265 analysis of variance (ANOVA) (for continuous variables), Chi-square tests (for
266 nominal variables), and Kruskal-Wallis tests (for ordinal variables) were used to
267 compare the baseline characteristics of the three groups. Intention-to-treat analysis was
268 performed. For those who dropped out from the study, the results of the baseline
269 assessment were carried over to the subsequent assessments using the last observation
270 carried forward (LOCF) method (33). The Kolmogorov-Smirnov test was used to
271 check the normality of the data. Analysis of variance (ANOVA) (mixed design;
272 between-subject factor: group; within-subject factor: time) was used to compare the
273 outcome variables across the two time points (i.e. baseline and post-intervention).
274 Contrast analysis was performed within each group post-hoc when appropriate (28). As
275 the MAS was an ordinal variable, between-group comparisons of the post-intervention
276 scores were made using the Kruskal-Wallis test, followed by post-hoc Mann-Whitney
277 tests as indicated. The above analyses based on the intention-to-treat (ITT) principle
278 were repeated after excluding the drop-outs (i.e., on-protocol analysis).

279 **Paragraph Number 25** Secondary analysis was done to explore the factors
280 that may be related to better treatment outcomes after WBV training. The change
281 scores (post-intervention score minus the pre-intervention score) of the LWBV and

282 HWBV groups for each outcome were correlated with the corresponding baseline
283 scores, and relevant characteristics of the participants (e.g. age, CMSA motor
284 recovery score, time taken to finish the 30 sessions of exercise training, baseline
285 outcome measure scores, etc.) using either Spearman's rho or Pearson's correlation,
286 depending on whether the assumptions for parametric analysis were fulfilled.

287

288 **RESULTS**

289 *Paragraph Number 26* One hundred and thirteen individuals with stroke were
290 screened for eligibility, and 84 of them fulfilled all selection criteria (see the
291 CONSORT flow diagram in Figure 1). Twenty-eight participants were randomly
292 allocated to each of the LWBV (8 women), HWBV (10 women) and CON (4 women)
293 groups, respectively. One participant from the HWBV group dropped out after the
294 initial (baseline) assessment due to other engagements, and another nine participants
295 (five from the LWBV group, three from the HWBV group, and one from the CON
296 group) dropped out during the period of the study, yielding an attrition rate of 11.9%. A
297 total of 74 participants completed the training programs and post-intervention
298 assessments (Figure 1).

299

300 **Demographics**

301 *Paragraph Number 27* The demographic information is summarized in Table 2.
302 All of the participants were ambulatory; 75 of them did not require any walking aid
303 indoors. The overall CMSA motor score for the paretic lower limb (median =9,

304 interquartile range = 7-11.8) revealed that the stroke motor impairment level was mild
305 to moderate. There was no significant between-group difference in any of the
306 demographic (Table 2) or outcome variables at baseline ($P > 0.05$) (Table 3 & 4). The
307 on-protocol analysis with the removal of dropouts yielded similar results.

308

309 **Training duration**

310 *Paragraph Number 28* Among those who completed all of the
311 post-intervention assessments, the mean number of days taken to complete the 30
312 sessions of exercise training showed no significant difference among the three groups
313 ($P=0.729$) (Table 2). The maximum time interval (mean number of days) between two
314 training sessions was also similar among the three groups ($P=0.474$)(Table 2).

315

316 **Adverse events**

317 *Paragraph Number 29* One participant from the LWBV group reported mild
318 knee pain after WBV therapy and four reported fatigue (two each from the LWBV and
319 HWBV groups) (Figure 1). These five participants eventually dropped out of the study.

320

321 **Outcome measures**

322 **ITT analysis**

323 *Paragraph Number 30* In the ITT analysis, there was a significant time effect
324 for several muscle strength measures on the paretic side (i.e. isometric flexion and
325 extension at 70° , and concentric flexion) ($P < 0.01$; Table 3), TUG, 6MWT distance,

326 VO₂ during 6MWT, Mini-BESTest, ABC, and the PCS domain of the SF-12 (P <0.01;
327 Table 4). However, none of the variables showed significant time × group interaction
328 effect (P >0.01). No significant difference was identified in the knee MAS ($\chi^2 = 0.230$;
329 P = 0.891) or ankle MAS scores ($\chi^2 = 0.642$; P = 0.725) post-intervention among the
330 three groups. A separate analysis was also performed after removal of the dropouts
331 (i.e., on-protocol analysis), and similar results were found (not shown).

332

333 **Secondary analysis**

334 *Paragraph Number 31* In the secondary analysis, an attempted was made to
335 determine whether there was any significant association between the change score of
336 each outcome measure and their respective baseline values and other relevant factors
337 (e.g., training duration).

338

339 *LWBV group*

340 *Paragraph Number 32* A significant negative correlation was found between
341 the baseline scores and change scores for knee flexion eccentric strength (r = -0.509, P
342 = 0.006) in the paretic leg, indicating that the participants with poorer neuromuscular
343 function tended to have greater improvement in this outcome.

344

345 *HWBV group*

346 *Paragraph Number 33* There were significant negative correlations between
347 the change scores and their respective baseline scores for concentric flexion (r = -0.510,

348 P = 0.006) and extension strength ($r = -0.832$, $P < 0.001$), eccentric flexion ($r = -0.554$,
349 $P = 0.002$) and extension strength ($r = -0.554$, $P = 0.002$) of the paretic knee, and
350 isometric extension strength at 30° ($r = -0.500$, $P = 0.007$) and flexion concentric
351 strength ($r = -0.490$, $P = 0.008$) of the non-paretic knee. Thus, the participants with
352 poorer neuromuscular function tended to have greater improvements in these outcomes.

353

354 **DISCUSSION**

355 *Paragraph Number 34* This is the first randomized control trial (RCT) to
356 specifically evaluate the efficacy of different WBV intensities on body
357 functions/structures, activity, and participation in individuals with chronic stroke. The
358 key finding was that the addition of the 10-week WBV paradigm (i.e., LWBV and
359 HWBV protocols) to the leg exercise protocol (i.e., the CON protocol) was no more
360 effective in enhancing body functions/structures, activity and participation than leg
361 exercises alone in community-dwelling people with chronic stroke.

362

363 **Does WBV stimulation alone confer any additional benefits?**

364 *Paragraph Number 35* The first hypothesis of the present study was not
365 supported. The findings of this study revealed that certain leg muscle strength
366 variables showed significant time effects, indicating significant improvement after
367 the training period. However, the group \times time interaction effects were not significant,
368 indicating that adding WBV to the leg exercise protocol did not confer additional
369 therapeutic effects on the strength outcomes.

370 **Paragraph Number 36** This is in contrast with the results reported in a
371 number of studies that reported beneficial effects of WBV in older adults (18) and
372 stroke (20,31,35). Among the various outcomes, those related to muscle strength
373 were the most studied in previous WBV trials in older adults (18). A systematic
374 review and meta-analysis by Lau et al. (18) concluded that WBV significantly
375 improved certain aspects of leg muscle strength, including leg extension isometric
376 strength, knee extension dynamic strength, jumping height, and sit-to-stand
377 performance. Muscle strength was also the most studied variable among the various
378 outcomes in previous stroke WBV exercise trials (5,19,31). However, the efficacy of
379 WBV training on muscle strength in individuals with stroke remains controversial
380 (20). While some RCTs found positive effects of WBV on neuromotor outcomes
381 (35,37), others reported no significant effects (5,19,31).

382 **Paragraph Number 37** Although there may be many reasons for the
383 discrepancies in results between the current study and previous ones, one key issue
384 may be related to the design of the control group. Among the three studies that
385 involved a comparison group that performed exactly the same exercises as the WBV
386 group (5,19,31), all reported no significant between-group differences in muscle
387 strength outcomes after the intervention period. In contrast, the other studies that
388 reported results in favor of WBV involved a comparison group that engaged in
389 different activities (35, 37). Specifically, Tankisheva et al. (35) found a significantly
390 greater increase in isometric and isokinetic knee extension torque (240°/s) in the
391 paretic leg for the WBV group than the comparison group that engaged in habitual

392 physical activities. It is thus possible that the better outcomes in the WBV group were
393 related to the leg exercises performed while standing on the WBV device rather than
394 the WBV stimulation. In Tihanyi et al. (37), the WBV group (WBV plus conventional
395 rehabilitation) experienced a significantly greater improvement in eccentric and
396 isometric knee extension torque in both legs compared with the comparison group
397 that received conventional rehabilitative treatment only. The better improvement in
398 muscle strength reported in the WBV group could be attributable to the leg exercises
399 and the increased total treatment time rather than the WBV stimulation. Considering
400 the available evidence overall, no study has convincingly demonstrated any positive
401 effect of WBV alone on muscle strength in individuals with stroke. The results of this
402 study further showed that the WBV protocols used here did not confer additional
403 therapeutic effects on leg muscle strength above and beyond that induced by the
404 prescribed leg exercises.

405 ***Paragraph Number 38*** An alternative explanation of the lack of significant
406 effects on muscle strength is that the intensity of the WBV stimulation may not be high
407 enough. However, the intensity used here in the HWBV group (3.62g) was already
408 much higher than that used in a previous stroke WBV trial that showed no significant
409 effect (1.61g). Adding WBV of similar intensity to our LWBV protocol during the
410 performance of various leg training has been shown to augment the EMG activity of
411 major leg muscle groups by approximately 10-25% (21,23). Presumably, the HWBV
412 protocol used in this study would have induced a greater EMG response. Perhaps the
413 augmentation in muscle activation induced by WBV is not substantial enough to induce

414 a positive training effect on top of that induced by the leg exercises. Higher intensities
415 were not used in this study, as the high peak accelerations generated may pose potential
416 hazards (1,15).

417 **Paragraph Number 39** Another potential explanation of the non-significant
418 results is that the duration of the treatment program may have been too short at only 10
419 weeks. This duration was chosen based on previous studies in older adults that showed
420 strength gains after 6-10 weeks of WBV training (24). Perhaps individuals with stroke,
421 due to their more severe physical impairments, may require a longer treatment duration
422 before significant treatment effects can be detected.

423 **Paragraph Number 40** Finally, the overall non-significant results may be
424 related to the heterogeneity of the sample, and to the observation that WBV may be
425 beneficial only for a highly select group of individuals. The secondary analysis indeed
426 revealed that those with more severe deficits tended to gain more improvement from
427 WBV training. Perhaps future WBV studies should use a more homogeneous group of
428 people with more severe stroke deficits so that the effects of WBV can be better
429 delineated.

430 **Paragraph Number 41** Significant time effects were also detected for body
431 functions/structures (Mini-BESTest, ABC, and VO₂), activity (TUG, 6MWT distance),
432 and participation levels (physical health domain of SF-12), indicating that all three
433 groups experienced improvement in these outcomes after the 10-week training period.
434 However, the lack of a group × time interaction effect on these outcomes indicated that
435 the WBV stimulation itself did not confer any additional effects. As WBV did not pose

436 any significant effect on the muscle strength and balance variables (body
437 functions/structures), a significant treatment effect on the related outcomes at the
438 activity and participation levels, which often have multiple determinants, would not be
439 expected. Another possible explanation of the non-significant treatment effect on the
440 mobility outcomes may be that the WBV therapy did not involve any walking-related
441 activities. Only four studies have previously investigated the influence of WBV on
442 mobility function (TUG, 6MWT) (5,19,26), and only Merkert et al. (26) reported better
443 performance in the TUG test in the WBV group. However, their control group engaged
444 in conventional rehabilitation whereas the WBV group received additional WBV
445 training. Thus, the additional treatment time may be confounding. Only one study has
446 investigated the effect of WBV therapy on social participation, using the Stroke Impact
447 Scale, and found no significant effect compared with sham vibration (5). Taken
448 together, the present results generally concurred with previous studies in showing that
449 WBV itself does not induce improvement in mobility and participation outcomes.

450 **Comparison between low-intensity and high-intensity protocols**

451 *Paragraph Number 42* The second hypothesis of this study was also not
452 supported. Previous studies in patients with stroke found that increasing WBV
453 intensity led to increased neuromuscular activation of the leg muscles, as measured
454 by surface EMG (21). Based on these results, we postulated that the HWBV protocol
455 would lead to significantly better outcomes than those for the other two groups after
456 10 weeks of exercise training. However, the lack of a significant group \times time
457 interaction indicated that the two WBV intensities had no differential effects on the

458 measured outcomes. It is possible that the difference in WBV intensity between the
459 LWBV and HWBV protocols was not large enough. While the absolute difference in
460 intensity (expressed in units of Earth's gravity) was 2.01g, which was quite
461 substantial, it may not necessarily translate into a proportional difference in muscle
462 activation during training. As shown in a recent study on people with chronic stroke,
463 the relationship between WBV intensity and EMG response is not a linear one (23).
464 Further increasing the WBV intensity beyond a certain point may no longer
465 effectively increase EMG activity. In their study, it was shown that the increase in
466 WBV-induced EMG activity was disproportionately greater when the WBV intensity
467 increased from 0 g to 0.96 g. Further increasing the intensity to 1.61 g induced only a
468 modest increase in EMG activity (21).

469

470 **Limitations**

471 *Paragraph Number 43* This study has several limitations. First, the findings
472 should not be generalized to patients who are in the acute/subacute stage of recovery or
473 who have severe motor impairments, because the participants in the present study were
474 all in the chronic stage (onset more than 6 months) and had mild to moderate
475 impairments post-stroke. Second, the participants and the trainer were not blinded to
476 the group allocation, but it was difficult to achieve these in exercise trials. However, all
477 efforts were made to minimize any possible bias (e.g., assessor blinding, separate
478 exercise periods for the three groups, etc.). Third, no long-term follow-up assessment

479 was performed. The potential long-term beneficial or harmful effects of WBV remain
480 uncertain.

481

482 **Conclusion**

483 *Paragraph Number 44* In summary, while WBV therapy is safe and feasible
484 for patients with chronic stroke, the addition of the 10-week WBV paradigm (LWBV
485 and HWBV protocols) to the leg exercise protocol was no more effective in enhancing
486 body functions/structures, activity and participation than leg exercise training alone in
487 community-dwelling individuals with mild to moderate chronic stroke impairments.
488 Further studies are needed to investigate some fundamental questions, such as the
489 transmissibility of WBV signals, and how it varies with different WBV parameters and
490 exercises performed. Further studies should also explore the use of WBV in patients
491 with more severe stroke deficits.

492

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498

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621

622 **FIGURE LEGENDS**

623

624 Figure 1. CONSORT Flow Chart