#### Workshop on Stance and Discourse

Typological, Functional and Diachronic Perspectives

7<sup>th</sup> - 9<sup>th</sup> May, 2012 The Hong Kong Polytechnic University

Oranized by:





# Evidential marking and the upgrading and downgrading of epistemic strengths in Mandarin conversations

Ying YANG and Foong Ha YAP Hong Kong Polytechnic University

#### **Evidential types**

(de Haan 2001; Willett 1988)

Direct evidence

```
我剛才看見他了。
wǒ gāngcái kànjiàn tā le
1SG just.now see 3SG PERF
T saw him just now.'
```

Reportative/hearsay evidence

```
聽說 你 要 離開香港。

tīngshuō nǐ yào líkāi xiānggǎng
hearsay 2SG FUT leave HongKong
'(I) heard you're leaving Hong Kong.'
```

Inferential evidence ---- epistemic modality

裡面 肯定 有 人。

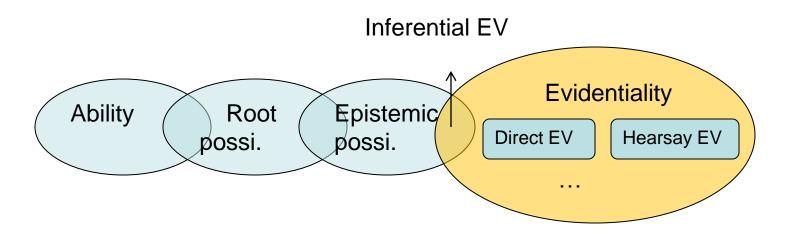
limiàn <mark>kěnding</mark> yŏu rén

inside must COP people

There must be someone inside.

# Evidentiality vs. Epistemic modality

- "Evidentiality is a category in its own right, and not a subcategory of any modality" (Aikhenvald 2004:7)
- "Evidential distinctions are part of the marking of epistemic modality" (Willet 1988:52)
- Epistemic modality, in the diachronic pathway, often developed extended uses of inferential functions. It is this inferential uses of epistemic modality that intersect with evidentiality.



## What is Evidentiality?

• Narrow definition: obligatory markers that are used to encode a speaker's source/type of information (e.g. Aikhenvald 2004; Faller 2002; Lazard 2001; de Haan 2001).

• Broader definition: narrow definition+ the degree of the information's reliability, probability or certainty, as well as speaker's access to information (Tournadre & LaPolla forthcoming; Cornillie 2009; Rooryck 2001; Ifantidou 2001; Palmer 1986).

#### Previous research on evidentiality

- (1) Sources/types of information (Aikhenvald 2004; Faller 2002; Lazard 2001; de Haan 2001)
- (2) Expressions of speaker's attitude towards the information (Chafe 1986, Givón 1982, Palmer 1986)
- (3) Socio-interactional meanings (Kim 2011, 2005; Fox 2003, Kamio 1997; Hill &Irvine 1993)

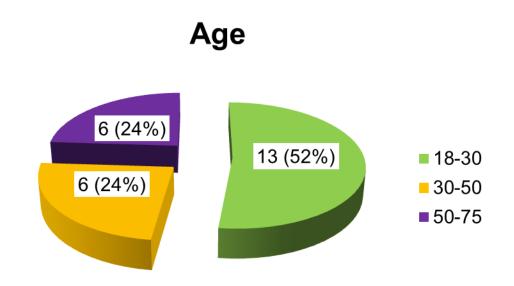
## Research questions

• What types of evidential strategies are found in Mandarin conversations?

• How do these evidential strategies interact with each other in Mandarin conversational discourse?

#### Data

25 Mandarin audio and video taped conversational interviews (≈30 mins.\*25=750 mins.)





- 01 IR: 最後 這 個 =

  zuìhòu zhè ge =

  last this CL

  'The last one...'
- 02 IE: = 哎呀? 日本的 嘛: 日本的 神廟 .
  = aiya ? rìběn de ma rìběn de shénmiào .
  PRT Japan ATTR SFP Japan ATTR shrine
  'Come on, Japanese, Japan's shrine.'
- 03 IR: 哎 ? 為什麼 你 就 ai ? wèishénme nǐ jiù PRT why 2sG just
  'Oh? Why could you (recognize it so quickly)?'
- 04 IE: 哎. 動畫片 里 經常 看 [ 嘛 : ai . dònghuàpiān lǐ jīngcháng kàn [ ma : prt animation inside often see '(I've) seen (this) a lot in (Japanese) animations.'

05 IR: Direct evidential

Marks a high degree of the speaker's commitment to the assertion. (Li & Thompson 1982; Li 2006)

[ hhh 哪些 - 哪些 - 哪些 - 哪些 地方 = [ hhh năxie - năxie năxie năxie dîfang = which which which which part (You can tell from) which part?'

06 IE: = 這 個 建築物 經常 是 在 神廟 的 入口處 啊 = zhè gè jiànzhùwù jīngcháng shì zài shénmiào de rùkǒuchù a this CL architecture often COP at shrine ATTR entrance 'Quite often this appears at the entrance place of a shrine.'

07 IR: 嗯

èn

PRT

'Yeah.'

08 IE: 日本 的 廟會 的 入口處 就 有 rìběn de miàohuì de rùkǒuchù jiù yǒu Japan ATTR shrine ATTR entrance right.on have

這樣 的 - 這樣 的 建築 . zhèyàng de - zhèyàng de jiànzhù . this.kind ATTR this ATTR architecture

<sup>&#</sup>x27;Japanese shrines' entrance place do have this kind of architectures.'

# reinforce the initial epistemic strength





- 01 IE: 是 門 下面 的 那 個 塾 的 £墊子 £ shì <u>mén</u> xiàmiàn de nà ge diàn de £ diànzi £ COP gate beneath ATTR that CL put.underneath ATTR cushion '(It) is that cushion thing underneath a gate.'
- 02 古代 的 那 個 城 門
  gǔdài de nà ge chéng mén
  ancient ATTR that CL city gate

  Zero marking
- 那個下面的 > 那個東西 < nà ge xiàmiàn de > nà ge dōngxi < that CL beneath ATTR that CL thing 'That cushion thing underneath an ancient city gate'
- 04 或者 某 個 <u>建築物</u> 下面 (.) 伸出來 的 那 一 小 坨 £ 東西 £ huòzhě mǒu gè <u>jiànzhùwù</u> xiàmiàn (.) shēnchūlái de nà yī xiǎo tuó £ dōngxi £ or some CL architecture beneath stretch ATTR that one little CL thing 'Or something stretching out from an (ancient) architecture.'

?你看它离 06 IE: 它 很 近 nĭ kàn tā lí hěn jìn 3sg very close\PR7 2sg see 3sg from ground Assertive particle 馬上 就到 吧 07 地上 măshàng jiù dào dìshang le ba almost just arrive ground PERF SFP 'You see, it's so close to the ground, nearly touches to the ground.' 08 IR: 那 你 覺得 上面 是 UROT nĭ juéde shàngmiàn shì mén a inferential evidential then 2sg think above COP gate Q 'So you think the above is a gate?' 09 IE: (2.9) 啊 也 有 道理 好像 沒 = yě yŏu dàoli <mark>hăoxiàng</mark> méi 14 PRT also have reason seem NEG 'Uh... (your logic) also makes sense, seems that there's not...'

0

Direct evidential

05 IR: 為什麼

why

'Why?'

wèishénme ne

SFP

- 10 = 不上面 不一定 是 門 啊 上面 可能 是 牆 啊 bù shàngmiàn bù yídìng shì mén a shàngmiàn kěnéng shì qiáng a no above NEG must COP gate SFP above may COP wall SFP 'No, the above part is not necessarily a gate, could be a wall.'
- 11 IR: 那 牆: 怎麼 會 伸出 這麼 個 £ 一 個 £ 的 這 個 呢 nà qiáng: zěnme huì shēnchū zhème yī gè £ yī gè £ de zhè ge ne then wall why FUT stretch such one CL one CL ATTR this CL Q 'Then how could a wall has those stretching parts?'
- 12 IE: 哦 那 就 是 房簷 我 看 不 清楚
  o nà jiù shì fángyán wǒ kàn bù qīngchǔ
  PRT that just COP eave 1sG see NEG clear

13 它离 地 有 多 高
tā lí dì yǒu duō gāo
3sG from ground have how high

Direct evidential

inferential evidentials

'Well, so an eave? I can't see clearly how far it is from the ground'

## Mitigate the initial epistemic strength

• Initial claim without evidential marking Seek reasons Neutral IR Overt evidential IE • Direct evidential marking + common ground strategy • Challenge with interrogative • Disaffiliative IR • Negotiate with inferential evidentials IE Retreat-defend Challenge with Interrogative • Disaffiliative IR • Back down, provide another alternative • Recruit overt evidential (negate) as face-saving device

16

01 IE: 我 覺得 我 要是 想 去的 話 wǒ juéde wǒ yàoshì xiǎng qù de huà 1sG feel 1sG if want to ATTR word 'I think if I want to travel...'



02 IR: 嗯 èn PRT 'Yeah.'

03 IE: 可能 (.) 嗯 (.) 在 亞洲 範圍 之 內 .

kěnéng (.) en (.) zài yàzhōu fànwéi zhī nèi
may PRT in Asia range NOM within
'Maybe, well, within Asia...'

04 IR: 嗯 èn PRT 'Yeah.'

05 IE: 我想 <u>去</u>£新加坡 £ hhh wǒ xiǎng qù £ xīnjiāpō £ 1sG want to Singapore 'I would like to go to Singapore.' 06 IR: 嗯 ? 那 跟 香港 有 什麽 (.) £ 特別 大 的 £ én ? nà gēn xiānggǎng yǒu shénme (.) £ tèbié dà de £ PRT that with Hong have what special big ATTR Kong

'Oh? Any big difference between that (Singapore) and Hong Kong?'

會 比

Indicate speaker's reservation

07 IE: 他們 說 那邊 好像 (.) 好像 人 會 比 這邊 少 一點 吧: tāmen shuō nàbian hǎoxiàng (.) hǎoxiàng rén hùi bǐ zhèbian shǎo yīdiǎn ba : 3PL say there seem people FUT compare here less a.little SFP 'They said there (Singapore) seems...seems to be less crowded compared with here (HK).'

on.the.whole FUT compare Singapore need crowd a.little\_ here 'Here (HK) on the whole is more crowded than Singapore.' Hearsay evidential [hhh 那 你 £ 是 去 旅行 的 還 是 看 少 一點 的 的 £ hhh 09 IR: [ hhh nà nǐ £ shì qù lǚxíng de hái shì kàn shǎo yīdiǎn de £ hhh rén de that 2sg COP go travel ATTR or COP see less a.little ATTR people ATTR 'Then you travel there for viewing or seeing less people?'

新加坡 要 擁擠 一點

xīnjiāpō yào yōngjǐ yīdiǎn °°

10 IE: £ 因爲 £ 他們 那 (.) 他們 說 那邊 就 是 (.) £ yīnwèi £ tāmen nà (.) tāmen shuō nàbian jiù shì (.) because 3PL that 3PL say there just COP

這邊

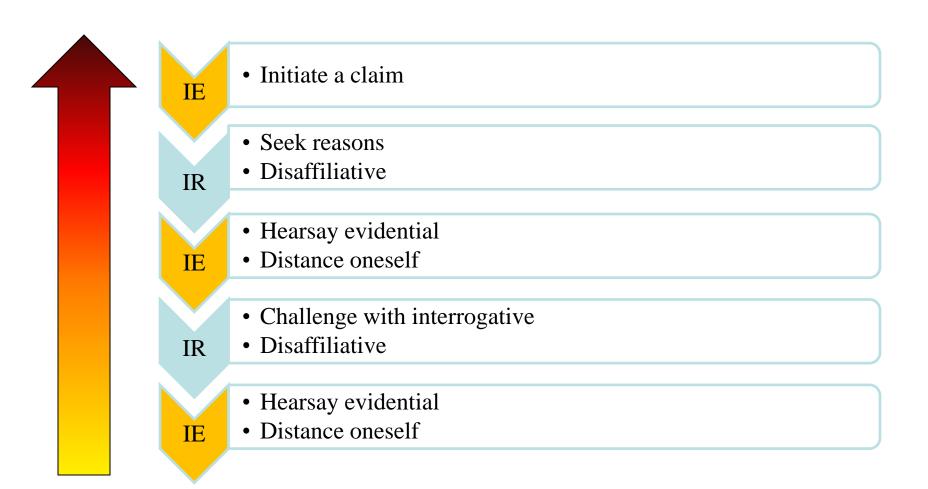
「整體

zhèbian [ zhěngtǐ hùi bǐ

08

- 11 嗯 (.) 美食 £ 比較 多 嘛 £
  en (.) měishí £ bǐjiào duō ma £
  PRT cuisine comparatively many SFP
  'Cause they...there...they said there's, well, a lot of cuisine there.'
- 12 IR: 哦 :: 啊 . 這 我 還 蠻 意外 的 (.)
  o :: a . zhè wǒ hái mán yìwài de (.)
  PRT PRT this 1sg INTE a.little.bit out.of.expectation ATTR
- 你 說 你 想 去新加坡 (.) 旅行
  nǐ shuō nǐ xiǎng qù xīnjiāpō (.) lǚxíng
  2sG say 2sG want to Singapore travel
  'Okay, yeah, this is sort of out of my expectation, you said you would like to go to Singapore.'

# Modify the initial epistemic strength



#### Answers to RQs

# Different evidential strategies in Mandarin conversations

- (1). Zero-evidential marking;
- (2). Direct evidentials such as (ni) kan '(as you can) see';
- (3). Inferential evidentials such as *haoxiang* 'seems/looks like' and *kending* 'must';
- (4). Hearsay evidential (tamen)shuo 'they say'

#### Answers to RQs—contd.

# How do these evidential strategies interact with each other in Mandarin conversational discourse?

- To negotiate the source and reliability of the expressed information.
- To modulate (reinforce & mitigate) interlocuter's epistemic strength.

#### Acknowledgment

This study is funded by the Research Grants Council of Hong Kong through a General Research Grant (GRF PolyU Project No. 551310, 2010-2013) entitled "Stance Marking Strategies in Asian Languages: Diachronic, Typological and Discourse Perspectives".

• PI: Foong Ha Yap (Hong Kong Polytechnic University)

Co-I's: Shoichi Iwasaki (UCLA)

Frantisek Kratochvil (Nanyang Technological University, Singapore)

Mark Post (Bern University, Switzerland)

Randy LaPolla (Academia Sinica, Taiwan)

Seongha Rhee (Hankuk University of Foreign Studies, Seoul)

#### References:

- Aikhenvald, A. (2004). Evidentiality. Oxford: Oxford University Press.
- Cornillie, B. (2009). Evidentiality and epistemic modality: On the close relationship between two different categories. In J. L. Mackenzie., A-M. Simon-Vandenbergen & G. Thompson (Eds.), *Functions of Language* (16:1, pp. 44-62). Amsterdam: John Benjamins.
- De Haan, F. (2001). The relationship between modality and evidentiality. In: R. Müller & M. Reis (eds.) *Modalität und Modalverben im Deutschen*, pp. 201-216. Hamburg: Buske.
- Faller, M. T. (2002). Semantics and Pragmatics of Evidentials in Cuzco Quechua. Doctoral Dissertation, Dept. of Linguistics, Stanford University.
- Fox, B. A. (2001). Evidentiality: Authority, responsibility, and entitlement in English conversation. *Journal of Linguistic Anthropology*, 11(2): 167-92.
- Hill, J. & Irvine, J. (eds) (1993). *Responsibility and Evidence in Oral Discourse*. Cambridge: Cambridge University.
- Ifantidou, E. (2001). Evidentiality and Relevance. Amsterdam/Philadelphia: Benjamins.
- Kamio, A (1997). Evidentiality and some discourse characteristics in Japanese. In: Kamio A (ed.) *Directions in Functional Linguistics*. pp. 145-171. Amsterdam: Benjamins.

#### References—contd.:

- Kim, S. M. (2005). Evidentiality in achieving entitlement, objectivity, and detachment in Korean conversation. *Discourse Studies*, 7(1): 87-108.
- Kim, S.M. (2011). Negotiating epistemic rights to information in Korean conversation: An examination of the Korean evidential marker *–tamye*. *Discourse Studies*, 13(4): 435-459.
- Lazard, G. (2001). On the grammaticalization of evidentiality. *Journal of Pragmatics*, 33(3): 359-367.
- Li, B. (2006). Chinese Final Particles and the Syntax of the Periphery. The Netherlands: Leiden University.
- Li, N. C. & Thompson, S. A. (1981). Mandarin Chinese. Berkeley and Los Angeles: University of California Press.
- Palmer, F.R. (1986). *Mood and Modality*. Cambridge: Cambridge University.
- Rooryck, J. (2001). Evidentiality. Part I, GLOT 5(4):125-133.
- Willett, T. (1988). A cross-linguistic survey of grammaticalization of evidentiality. *Studies in Language*, 12 (1): 51-97.
- Hsieh, C-L. (2011). Evidentiality in Chinese newspaper reports: subjectivity/objectivity as a factor. *Discourse Studies*, 10(2): 205–229.
- Tang, L.L. (2010). *Evidentiality and Chinese modals*. Unpublished doctoral dissertation, Dept.of Chinese, Translation and Linguistics, City University of Hong Kong.
- Tournadre, N. & LaPolla, R. (Forthcoming). The Oxford Handbook of Evidentiality. Oxford, UK: Oxford University.