Exploration on the Reverse Calculation Method of Groundwater Velocity By Means of the Moving Line Heat Source

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Abstract The research on the influence that groundwater exerts on borehole ground heat exchanger 12 has been made progress. However, the investigation on how to obtain the groundwater velocity is a 13 little. According to the line heat source model with groundwater flow, a new methodology is 14 explored to obtain the value and direction of groundwater velocity while it flows through borehole. 15 Some points are distributed around borehole and they have the same distance to the center line of 16 borehole, and the temperature responses of these points are significant parameters which lay firm 17 foundation for reverse-reasoning. The reverse-reasoning calculation can be conducted by 18 establishing objective function. The comparisons of temperature responses between theoretical 19 results and the simulative recorded data are made. The impact degree of groundwater flow can be 20 displayed and then the velocity is estimated. Differences among points' temperature responses are 21 made full use of to respectively indicate the direction and value ranges of velocity. The relativity 22 between the points' location and the velocity intensity is investigated and then some cases are 23 chosen as the trials to verify the rationality of reverse calculation method. To a large extent, the 24 research work of this paper provides theoretical guidance or computing mode for getting velocity of 25 groundwater. The methodology can be employed for obtaining the velocity in actual engineering 26 projects or other cases. 27

Keywords: ground heat exchanger, groundwater, reverse calculation, objective function,
 squared deviation, line source.

squared deviation, line

Nomenclature

Greek symbols rectangular coordinate (m) x, y, zX, Y, Zdimensionless rectangular coordinate time (s) τ angle of groundwater velocity heating rate per meter line heat source (W m⁻¹) φ q_1 Θ dimensionless excess temperature thermal conductivity (W m⁻¹ K⁻¹) k θ excess temperature (K) distance between point and borehole center (m) r thermal diffusivity $(m^2 s^{-1})$ а Superscript specific heat (J kg⁻¹ K⁻¹) \mathcal{C}_{p} Fourier number integration parameter Fo initial temperature (K) t₀ *Subscripts* temperature (K) t value of groundwater velocity (m/s) и i infinite line source seepage model dimensionless value of groundwater velocity U *rec* obtain based on simulative recorded data Green function with groundwater convection Р cal obtain based on calculation model sum of squared deviation S 1,2,3 order number of points L dimensionless distance

30 **1. Introduction**

The ground source heat pump (GSHP) system avails itself of underground medium to achieve 31 thermal discharge and heat absorption respectively in summer and in winter, and underground heat 32 exchange occurs between ground heat exchangers (GHEs) and the surrounding medium. It is 33 commonly believed that GHEs are significant components of the whole system, and their heat 34 transfer performance greatly determines the behavior of GSHP technology. Currently the relevant 35 36 models of GHEs are based on pure conduction; a large number of scholars and engineering technologists have realized that groundwater seepage exerts a considerable degree impact on 37 thermal transmission performance of GHEs, and these researchers suggested a given mass of 38 39 qualitative analysis. However, a little investigation on this problem has been done due to the 40 calculation complexity. In addition, it is difficult to comprehend the local groundwater velocity and 41 therefore the seepage intensity cannot be obtained even if mathematical models are employed. Borehole GHEs with the depth range from 60 m to 200 m are widely adopted in the GSHP 42 engineering projects [1] and the groundwater seepage phenomenon exists more or less in such a 43 deep strata, especially in coastal areas or groundwater rich areas where the groundwater can flow 44 through underground medium. The heat transfer performance of GHEs can be improved by 45 46 groundwater seepage due to convection; the stronger the seepage, the better the improvement 47 degree to heat transfer process. In particular, the unbalance of endothermic and exothermic accumulation of GHEs can be alleviated so that the design size of GHEs is reduced. 48

49 At present, the research on calculation models of borehole GHEs with groundwater flow has been made progress. Firstly, the energy equations including the Green function were applied to obtain the 50 51 transient temperature response caused by the line source [2,3]. Secondly, the heat transfer period of borehole GHEs is regarded as a complicated and unsteady process. Thirdly, conduction and 52 53 convection synthetically constitute the heat exchange style during the time scale which is usually from months to years [4]. There is no doubt that groundwater seepage alleviates heat accumulation 54 around GHEs. Accordingly, heat transfer performance can be improved. As for groundwater, it can 55 ensure the sustainability of borehole GHEs even the velocity is low[5]; it can exert influence on the 56 heat transfer of energy pile and improve the corresponding performance either [6]; the coupled 57 conduction and groundwater advection from GHE to the surrounding soil have been studied, and 58 the heat transfer performance is better than that of only pure conduction[7]. However, the test for 59 groundwater velocity is difficult because the velocity always has minor order of magnitude and the 60

61 underground structure is complicated; the specific value and orientation of velocity are hardly 62 obtained. Thus, some calculations or analyses with the help of mathematical models cannot provide 63 convincing basis, which means that how favorable to heat transfer performance is the groundwater 64 flow cannot be shown. Accordingly, it is necessary to explore how to obtain relatively accurate 65 groundwater velocity.

According to the existing models, a new reverse calculation method is proposed to estimate the 66 value and orientation of groundwater velocity. Groundwater flows through borehole GHE and 67 68 convection action has non-ignorable influence on the distribution of temperature field [8]. If the temperature responses of some points locating near the borehole GHE can be recorded, the 69 70 comparisons between recorded data and the temperature response obtained by mathematical models 71 can be made, the recorded data are those measured values. The objective function is established and 72 it aims at comparing the difference between recorded data and theoretical data. Although the accurate velocity is unknown at first, as the iteration proceeds, that is, velocity can be selected 73 74 continually from the pre-set range sufficiently covering all the possible velocities, the accurate 75 velocity can be determined while the difference reaches the minimal value. Thereby, this is a novel reverse calculation method to acquire groundwater velocity. The experimental data need to be 76 recorded are temperatures of some points which are close to borehole, the thermal resistors can be 77 78 installed at these points and the data collecting instrument is employed to obtain the corresponding 79 data. The fluid inside U-tube of borehole circulates to emit heat and therefore the temperature response outside borehole can be achieved, but it is not necessary to take fluid temperature into 80 account. 81

The application significance of the reverse calculation method is to obtain the groundwater velocity by way of testing some points' temperatures, and then the heat transfer performance of borehole GHE can be analyzed while groundwater flows through it.

The study combined with computer programming is conducted in the process of exploration. It is conceivable that the concrete values and orientation of groundwater velocity are respectively achieved. Once the problem of getting velocity is solved, the improvement degree caused by groundwater flow to heat transfer performance of borehole GHEs can be vividly expressed. As a result, the design size of borehole GHEs is reduced so that the initial cost of the whole GSHP system is reduced [9]. From what has been analyzed above, it is necessary to explore the reverse calculation method to obtain the velocity.

92 **2. Interpretation of methodology**

93 2.1. The schematic diagram of distributing points

Underground hydraulic gradient leads to groundwater seepage [10]. The greater the gradient, the 94 larger the velocity value, and the orientation of seepage rests with gradient direction. Groundwater 95 flows along three-dimensional directions or even in rough-and-tumble manner sometimes, but 96 97 basically the gradient direction is at one plane and therefore the two-dimensional seepage should be taken into account. Three points with the same radius r to the center of borehole are set around 98 99 borehole GHE to fulfill the reverse-reasoning, and the 120-degree intersection angle between every two neighboring points is defined. It is clear that the effect is better if added points with well-100 101 distributed intersection angle are arranged around borehole, because this can ameliorate reversereasoning result. However, the arrangement difficulty is increased in case a number of points are 102 103 selected near borehole underground, and the temperature response difference between neighboring 104 points is tiny when groundwater flows through borehole. Accordingly, it is suggested that three 105 points are chosen to test and verify the reverse calculation effect, and the diagram is shown in Fig.1.



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- 107

Fig.1 The schematic diagram on distributing points

108 **2.2. The applicable calculation models**

The mode mentioned in Fig.1 is the necessary precondition of conducting reverse-reasoning. Then, the theoretical calculation models should be reported. Borehole GHE are usually deemed as the line heat sources and therefore the moving line source model is taken into consideration while groundwater flows through borehole [11]. If one point source with the coordinate (x', y', z') emits heat from the time τ' , when groundwater presents the intersection angle φ with the positive direction of x-axis, the temperature response at any point (*x*, *y*, *z*) except heat source can be displayed by the form of Green function in the event of groundwater flow, i.e. Eq.(1):

116
$$P(x, y, z, \tau; x', y', z', \tau') = \frac{1}{8[\pi a(\tau - \tau')]^{3/2}} \cdot \exp\left\{-\frac{[x - x' - u\cos\varphi(\tau - \tau')]^2 + [y - y' - u\sin\varphi(\tau - \tau')]^2 + (z - z')^2}{4a(\tau - \tau')}\right\}$$
(1)

117 Accordingly, the analytical solution of the infinite moving line source model is listed in Eq.(2) and 118 q_1 denotes the heat transfer quantity per meter borehole GHE.

119
$$\theta_{i} = \frac{q_{i}}{\rho c} \int_{0}^{\tau} d\tau \int_{-\infty}^{\infty} \frac{1}{8 \left[\pi a(\tau - \tau') \right]^{3/2}} \cdot \exp \left[-\frac{\left[x - x' - u \cos \varphi(\tau - \tau') \right]^{2} + \left[y - y' - u \sin \varphi(\tau - \tau') \right]^{2} + \left(z - z' \right)^{2}}{4a(\tau - \tau')} \right] dz'$$
(2)

120 where $\theta_i = t - t_0$, t and t_0 are transient temperature and initial temperature, respectively.

121 The line source locates at z-axis and thus the Eq.(2) can be changed into Eq.(3).

122
$$\theta_{i} = \frac{q_{l}}{4\pi k} \int_{0}^{\tau} \frac{1}{(\tau - \tau')} \exp\left[-\frac{\left[x - u\cos\varphi(\tau - \tau')\right]^{2} + \left[y - u\sin\varphi(\tau - \tau')\right]^{2}}{4a(\tau - \tau')}\right] d\tau'$$
(3)

123 The expression of Eq.(3) in cylindrical coordinate is shown in Eq.(4).

124
$$\theta_{i} = \frac{1}{4\pi} \int_{0}^{\tau} \frac{1}{(\tau - \tau')} \exp\left[-\frac{\left[r\cos\beta - u\cos\varphi(\tau - \tau')\right]^{2} + \left[r\sin\beta - u\sin\varphi(\tau - \tau')\right]^{2}}{4a(\tau - \tau')}\right] d\tau'$$
(4)

125 where β is the angle from the positive direction to the point location, *r* is the radius between point 126 location and borehole center.

127 To reduce the number of parameters and simplify the expression, non-dimensional parameters are 128 introduced such as: $\Theta_i = k \theta_i / q_1$, U = u r / a, $Fo = a\tau / r^2$. The dimensionless formula is shown in 129 Eq.(5).

130
$$\Theta_{i} = \frac{1}{4\pi} \int_{0}^{F_{o}} \frac{1}{(F_{o} - F_{o}')} \exp\left[-\frac{\left[\cos\theta - U\cos\varphi(F_{o} - F_{o}')\right]^{2} + \left[\sin\theta - U\sin\varphi(F_{o} - F_{o}')\right]^{2}}{4\left(F_{o} - F_{o}'\right)}\right] dF_{o}' \qquad (5)$$

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132

133 **2.3** The objective function and reverse-reasoning procedure

The temperature response data of these points with the time can be recorded if three points have 134 135 been distributed. The range needs to be respectively set for value and orientation as the specific velocity is unknown. For example, the range is usually from 10⁻⁶ m /s to 10⁻²m /s on the basis of 136 local geological information, therefore this range can be set in advance for value-scale of velocity. 137 The angle can be defined from -180° to 180° although the accurate angle is unknown, so clear it is 138 139 that the intersection angle must be at this range. Because the test data are recorded at regular intervals, continuous iteration from the range of value and direction of groundwater velocity is done 140 141 in the process of reverse-reasoning. Given that the difference between recorded data and calculation results achieves the minimum [12], the corresponding value and direction are respectively the actual 142 143 data of groundwater. The expression of objective function is shownin Eq.(6)

144
$$S = \sum_{i=1}^{n} \left(\Theta_{cal,i} - \Theta_{rec,i} \right)^2$$
(6)

145 where $\Theta_{cal,i}$ and $\Theta_{rec,i}$ denote the non-dimensional temperatures of the model and the recorded data, respectively. Because $\Theta_{rec, i} = k\theta_i / q_1 = k(t - t_0) / q_1$, the non-dimensional value can be achieved if 146 the transient temperature, initial temperature, thermal conductivity and heat transfer quantity per 147 meter borehole GHE are obtained. Initial temperature can be taken note before running of GHEs, 148 149 and transient temperature can be recorded at regular time intervals [13-15], obviously there are nvalues from No.1 to No.n. Thermal conductivity k is obtained by thermal test equipment and q_1 can 150 be calculated according to relevant parameters, the sample of underground medium can be put in 151 the laboratory directly to observe and measure the corresponding thermophysical parameters by test 152 153 instruments.

154 Three points are set around borehole and three objective functions are respectively established. If all the functions can achieve the minimum, then the corresponding value and direction are the 155 actual cases. To be more specific, the values or directions meeting the minimum of only one point 156 function may lead to many choices, that is, some different values and directions can let objective 157 158 function of one point reach the minimum. Three objective functions are set and all of them arrive at the minimum; the acceptable velocity range for every point function maybe different with each 159 160 other, but the intersection of three velocity ranges can produce the ultimate single velocity if only three ranges occur simultaneously. Eq.(2) is a binary function with two independent variables U and 161 162 φ ; S can make first order partial derivatives respectively towards parameter U and φ , and the 163 corresponding signs can be indicated respectively by S^{U} and S^{φ} [16]. Thus, the necessary 164 conditions for realizing the minimum of *S* are shown in Eq.(7).

165
$$\begin{cases} S^{U} = 0 \\ S^{\varphi} = 0 \end{cases}$$
(7)

It should be admitted that Eq.(7) is the necessary condition rather than the sufficient condition, and the velocities fulfilling Eq.(7) are named as stationary points. The stationary points which simultaneously satisfy the Eq.(7) of every different point maybe single or at a minor range. The detailed expressions of S^{U} and S^{φ} are respectively shown in Eq.(8) and Eq.(9).

$$S^{U} = 2\sum_{i=1}^{n} (\Theta_{ad,i} - \Theta_{rec,i}) \Theta_{ad,U} = 2\sum_{i=1}^{n} \left(\frac{1}{4\pi} \int_{0}^{F_{0}} \frac{1}{(Fo_{i} - Fo')} \exp\left[\frac{\left[X - U\cos\varphi(Fo_{i} - Fo')\right]^{2} + \left[Y - U\sin\varphi(Fo_{i} - Fo')\right]^{2} \right]}{4(Fo_{i} - Fo')} dFo' - \Theta_{rec,i} \right).$$

$$170 \qquad \frac{1}{4\pi} \int_{0}^{F_{0}} \frac{1}{(Fo_{i} - Fo')} \exp\left[\frac{\left[X - U\cos\varphi(Fo_{i} - Fo')\right]^{2} + \left[Y - U\sin\varphi(Fo_{i} - Fo')\right]^{2} \right]}{4(Fo_{i} - Fo')} \right].$$

$$\frac{2 \cdot \left[X - U\cos\varphi(Fo_{i} - Fo')\right] \cdot \cos\varphi(Fo_{i} - Fo') + 2 \cdot \left[Y - U\sin\varphi(Fo_{i} - Fo')\right] \cdot \sin\varphi(Fo_{i} - Fo')}{4(Fo_{i} - Fo')} dFo'$$

$$(8)$$

$$S^{\varphi} = 2\sum_{i=1}^{n} (\Theta_{cal,i} - \Theta_{rec,i}) \Theta_{cal,i,\varphi} = 2\sum_{i=1}^{n} \left\{ \frac{1}{4\pi} \int_{0}^{F_{0_{i}}} \frac{1}{(Fo_{i} - Fo')} \exp\left[-\frac{\left[X - U\cos\varphi(Fo_{i} - Fo') \right]^{2} + \left[Y - U\sin\varphi(Fo_{i} - Fo') \right]^{2} \right]}{4(Fo_{i} - Fo')} dFo' - \Theta_{rec,i} \right\}.$$

$$171 \qquad \frac{1}{4\pi} \int_{0}^{F_{0_{i}}} \frac{1}{(Fo_{i} - Fo')} \exp\left[-\frac{\left[X - U\cos\varphi(Fo_{i} - Fo') \right]^{2} + \left[Y - U\sin\varphi(Fo_{i} - Fo') \right]^{2} \right]}{4(Fo_{i} - Fo')} \right].$$

$$\frac{-2 \cdot \left[X - U\cos\varphi(Fo_{i} - Fo') \right] \cdot U\sin\varphi(Fo_{i} - Fo') + 2 \cdot \left[Y - U\sin\varphi(Fo_{i} - Fo') \right] \cdot U\cos\varphi(Fo_{i} - Fo')}{4(Fo_{i} - Fo')} dFo'$$

$$\frac{-2 \cdot \left[X - U\cos\varphi(Fo_{i} - Fo') \right] \cdot U\sin\varphi(Fo_{i} - Fo') + 2 \cdot \left[Y - U\sin\varphi(Fo_{i} - Fo') \right] \cdot U\cos\varphi(Fo_{i} - Fo')}{4(Fo_{i} - Fo')} dFo'$$

172 In addition to objective function mentioned in Eq.(6), another way is to set the total sum of 173 squared deviations of three points, i.e. Eq.(10).

174
$$S = \sum_{i=1}^{n} (\Theta_{cal,i,l} - \Theta_{rec,i,l})^{2} + (\Theta_{cal,i,2} - \Theta_{rec,i,2})^{2} + (\Theta_{cal,i,3} - \Theta_{rec,i,3})^{2}$$
(10)

However, there is just one function shown in Eq.(10), thus the possibility of producing an error may be higher than that of respectively establishing object function of every point. The velocity obtained by function of every point can be verified with each other if functions are respectively set up, therefore the intersection is more accurate than the result of only function and it is suggestedthat three functions are respectively established.

In theory, the actual velocity can be achieved by reverse-reasoning according to the first order partial derivatives, but the values and directions of velocities which conform to Eq.(7) may not be single. Maybe some cases can be found and then the single concrete actual case cannot be confirmed. For this reason, the second order partial derivatives are employed; firstly, S^{UU} means the second order partial derivative towards U; secondly, $S^{U\varphi}$ is the second order partial derivative towards U and φ mixed; thirdly, $S^{\varphi\varphi}$ delegates the second order partial derivative towards φ . The detailed expansion equations are respectively shown in Eq.(11), Eq.(12) and Eq.(13).

$$S^{UU} = 2\sum_{i=1}^{n} \left\{ \frac{\frac{1}{4\pi} \int_{0}^{F_{\theta_{i}}} \frac{1}{(Fo_{i} - Fo')} \exp\left[-\frac{\left[X - U\cos\varphi(Fo_{i} - Fo')\right]^{2} + \left[Y - U\sin\varphi(Fo_{i} - Fo')\right]^{2}}{4(Fo_{i} - Fo')}\right] \cdot \frac{1}{4F_{\theta_{i}}} \right\}^{2} + \frac{\left[X - U\cos\varphi(Fo_{i} - Fo')\right] \cdot \cos\varphi + \left[Y - U\sin\varphi(Fo_{i} - Fo')\right] \cdot \sin\varphi}{2} dFo' \right] + \frac{1}{4\pi} \int_{0}^{F_{\theta_{i}}} \frac{1}{(Fo_{i} - Fo')} \exp\left[-\frac{\left[X - U\cos\varphi(Fo_{i} - Fo')\right]^{2} + \left[Y - U\sin\varphi(Fo_{i} - Fo')\right]^{2}}{4(Fo_{i} - Fo')}\right] dFo' - \Theta_{rec,i}\right] \cdot \left\{\frac{1}{4\pi} \int_{0}^{F_{\theta_{i}}} \frac{1}{(Fo_{i} - Fo')} \exp\left[-\frac{\left[X - U\cos\varphi(Fo_{i} - Fo')\right]^{2} + \left[Y - U\sin\varphi(Fo_{i} - Fo')\right]^{2}}{4(Fo_{i} - Fo')}\right] \cdot \left[\frac{\left[X - U\cos\varphi(Fo_{i} - Fo')\right]^{2} + \left[Y - U\sin\varphi(Fo_{i} - Fo')\right]^{2}}{4(Fo_{i} - Fo')}\right] \cdot \left[\frac{\left[X - U\cos\varphi(Fo_{i} - Fo')\right]^{2} + \left[Y - U\sin\varphi(Fo_{i} - Fo')\right]^{2}}{4(Fo_{i} - Fo')}\right] \cdot \left[\frac{\left[X - U\cos\varphi(Fo_{i} - Fo')\right]^{2} + \left[Y - U\sin\varphi(Fo_{i} - Fo')\right]^{2}}{4(Fo_{i} - Fo')}\right] \cdot \left[\frac{1}{4\pi} \int_{0}^{F_{\theta_{i}}} \frac{1}{(Fo_{i} - Fo')} \exp\left[-\frac{\left[X - U\cos\varphi(Fo_{i} - Fo')\right]^{2} + \left[Y - U\sin\varphi(Fo_{i} - Fo')\right]^{2}}{4(Fo_{i} - Fo')}\right] \cdot \left[\frac{1}{4\pi} \int_{0}^{F_{\theta_{i}}} \frac{1}{(Fo_{i} - Fo')} \exp\left[-\frac{\left[X - U\cos\varphi(Fo_{i} - Fo')\right]^{2} + \left[Y - U\sin\varphi(Fo_{i} - Fo')\right]^{2}}{4(Fo_{i} - Fo')}\right] \cdot \left[\frac{1}{4\pi} \int_{0}^{F_{\theta_{i}}} \frac{1}{(Fo_{i} - Fo')} \exp\left[-\frac{\left[X - U\cos\varphi(Fo_{i} - Fo')\right]^{2} + \left[Y - U\sin\varphi(Fo_{i} - Fo')\right]^{2}}{4(Fo_{i} - Fo')}\right] \cdot \left[\frac{1}{4\pi} \int_{0}^{F_{\theta_{i}}} \frac{1}{(Fo_{i} - Fo')} \exp\left[-\frac{\left[X - U\cos\varphi(Fo_{i} - Fo')\right]^{2} + \left[Y - U\sin\varphi(Fo_{i} - Fo')\right]^{2}}{4(Fo_{i} - Fo')}\right] + \frac{1}{4\pi} \int_{0}^{F_{\theta_{i}}} \frac{1}{(Fo_{i} - Fo')} \exp\left[-\frac{\left[X - U\cos\varphi(Fo_{i} - Fo')\right]^{2} + \left[Y - U\sin\varphi(Fo_{i} - Fo')\right]^{2}}{4(Fo_{i} - Fo')}\right] + \frac{1}{4\pi} \int_{0}^{F_{\theta_{i}}} \frac{1}{(Fo_{i} - Fo')} \exp\left[-\frac{\left[X - U\cos\varphi(Fo_{i} - Fo')\right]^{2} + \left[Y - U\sin\varphi(Fo_{i} - Fo')\right]^{2}}{4(Fo_{i} - Fo')}\right] + \frac{1}{4\pi} \int_{0}^{F_{\theta_{i}}} \frac{1}{(Fo_{i} - Fo')} \exp\left[-\frac{\left[X - U\cos\varphi(Fo_{i} - Fo')\right]^{2} + \left[Y - U\sin\varphi(Fo_{i} - Fo')\right]^{2}}{4(Fo_{i} - Fo')}\right] + \frac{1}{4\pi} \int_{0}^{F_{\theta_{i}}} \frac{1}{(Fo_{i} - Fo')} \exp\left[-\frac{1}{(Fo_{i} - Fo')} \exp\left[-\frac{1}{(Fo_{i} - Fo')}\right] + \frac{1}{(Fo_{i} - Fo')} \exp\left[-\frac{1}{(Fo_{i} - Fo')} + \frac{1}{(Fo_{i} - Fo')} \exp\left[-\frac{1}{(Fo_{i} - Fo')} + \frac{1}{(Fo_{i} - Fo')} + \frac{1}{(Fo_{i} - Fo$$

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$$S^{tip} = 2\sum_{i=1}^{n} \left\{ \frac{1}{4\pi} \int_{0}^{F_{0}} \frac{1}{(Fo_{i} - Fo)} \exp\left[\frac{\left[X - U\cos\varphi(Fo_{i} - Fo)^{2} \right]^{2} + \left[Y - U\sin\varphi(Fo_{i} - Fo)^{2} \right]^{2}}{4(Fo_{i} - Fo)} \frac{YU\cos\varphi - XU\sin\varphi}{2} dFo^{2} \right] \right\}$$

$$= \frac{1}{4\pi} \int_{0}^{F_{0}} \frac{1}{(Fo_{i} - Fo)} \exp\left[-\frac{\left[X - U\cos\varphi(Fo_{i} - Fo)^{2} \right]^{2} + \left[Y - U\sin\varphi(Fo_{i} - Fo)^{2} \right]^{2}}{4(Fo_{i} - Fo)} \right]^{2} \frac{X\cos\varphi - U(Fo - Fo) + Y\sin\varphi}{2} dFo^{2} \right]$$

$$= \left\{ \frac{1}{4\pi} \int_{0}^{F_{0}} \frac{1}{(Fo_{i} - Fo)} \exp\left[-\frac{\left[X - U\cos\varphi(Fo_{i} - Fo)^{2} \right]^{2} + \left[Y - U\sin\varphi(Fo_{i} - Fo)^{2} \right]^{2}}{4(Fo_{i} - Fo)^{2}} \right] dFo^{2} - \Theta_{recd} \right\}$$

$$= \left\{ \frac{1}{4\pi} \int_{0}^{F_{0}} \frac{1}{(Fo_{i} - Fo)} \exp\left[-\frac{\left[X - U\cos\varphi(Fo_{i} - Fo)^{2} \right]^{2} + \left[Y - U\sin\varphi(Fo_{i} - Fo)^{2} \right]^{2}}{4(Fo_{i} - Fo)^{2}} \right] \frac{YU\cos\varphi - XU\sin\varphi}{2} \right\}$$

$$= \left\{ \frac{1}{4\pi} \int_{0}^{F_{0}} \frac{1}{(Fo_{i} - Fo)} \exp\left[-\frac{\left[X - U\cos\varphi(Fo_{i} - Fo)^{2} \right]^{2} + \left[Y - U\sin\varphi(Fo_{i} - Fo)^{2} \right]^{2}}{4(Fo_{i} - Fo)^{2}} \right] \frac{YU\cos\varphi - XU\sin\varphi}{2} \right\}$$

$$= \left\{ \frac{1}{4\pi} \int_{0}^{F_{0}} \frac{1}{(Fo_{i} - Fo)} \exp\left[-\frac{\left[X - U\cos\varphi(Fo_{i} - Fo)^{2} \right]^{2} + \left[Y - U\sin\varphi(Fo_{i} - Fo)^{2} \right]^{2}}{4(Fo_{i} - Fo)^{2}} \right] \frac{Y\cos\varphi - XU\sin\varphi}{2} \right\}$$

189

$$S^{qp} = 2\sum_{i=1}^{n} \left\{ \frac{1}{4\pi} \int_{0}^{F_{0}} \frac{1}{(Fo_{i} - Fo')} \exp\left[\frac{\left[X - U\cos\varphi(Fo_{i} - Fo')\right]^{2} + \left[Y - U\sin\varphi(Fo_{i} - Fo')\right]^{2}}{4(Fo_{i} - Fo')}\right] \cdot \frac{YU\cos\varphi - XU\sin\varphi}{2} dFo'\right]^{2} \right\}^{2}$$
(13)
+
$$\left\{ \frac{1}{4\pi} \int_{0}^{F_{0}} \frac{1}{(Fo_{i} - Fo')} \exp\left[\frac{\left[X - U\cos\varphi(Fo_{i} - Fo')\right]^{2} + \left[Y - U\sin\varphi(Fo_{i} - Fo')\right]^{2}}{4(Fo_{i} - Fo')}\right] dFo' - \Theta_{rex,i}}\right\} \cdot \left\{ \frac{1}{4\pi} \int_{0}^{F_{0}} \frac{1}{(Fo_{i} - Fo')} \exp\left[\frac{\left[X - U\cos\varphi(Fo_{i} - Fo')\right]^{2} + \left[Y - U\sin\varphi(Fo_{i} - Fo')\right]^{2}}{4(Fo_{i} - Fo')}\right] \cdot \left[\frac{YU\cos\varphi - XU\sin\varphi}{2}\right] dFo' - \Theta_{rex,i}}{2} \right\} - \left\{ \frac{1}{4\pi} \int_{0}^{F_{0}} \frac{1}{(Fo_{i} - Fo')} \exp\left[\frac{\left[X - U\cos\varphi(Fo_{i} - Fo')\right]^{2} + \left[Y - U\sin\varphi(Fo_{i} - Fo')\right]^{2}}{4(Fo_{i} - Fo')}\right] \cdot \left[\frac{YU\cos\varphi - XU\sin\varphi}{2}\right] dFo' - \Theta_{rex,i}}{2} \right\} - \left\{ \frac{1}{4\pi} \int_{0}^{F_{0}} \frac{1}{(Fo_{i} - Fo')} \exp\left[\frac{\left[X - U\cos\varphi(Fo_{i} - Fo')\right]^{2} + \left[Y - U\sin\varphi(Fo_{i} - Fo')\right]^{2}}{4(Fo_{i} - Fo')}\right] \cdot \frac{YU\cos\varphi - XU\sin\varphi}{2} \right] dFo' - \Theta_{rex,i}}{2} \right\}$$

191 This is a method of finding extreme values and it is equal to recognized steepest descent method. 192 The single velocity may be found after adopting the first order partial derivative. It is not necessary 193 to employ the second order partial derivative if this case appears. However, some velocities adapt 194 themselves to the conditions of the first order partial derivative, that is, several different velocities 195 or a range of velocities appear and therefore the single velocity cannot be confirmed. Afterwards, 196 these stationary points should be brought into the formulas of the second order partial derivative, 197 such as: S^{UU} (stationary points) = A, $S^{U\varphi}$ (stationary points) = B and $S^{\varphi\varphi}$ (stationary points) = C. The 198 extremum of Eq.(6) appears in case $A^*C-B^2 > 0$. On the one hand, the minimum of Eq.(6) can be

achieved if A > 0 is true; on the other hand, the maximum exists when A < 0 [17]. In general, it is easy to further limit the velocities if the second order partial derivatives of three points are utilized simultaneously. Therefore, the groundwater velocity can be determined according to both the first and the second order partial derivatives.

203 One fact is that the two parameters U and φ are respectively discrete variables rather than continuous variables; it is difficult to let the both S^{U} and S^{φ} achieve the zero absolutely. However, 204 these two values can reach a very minor value maybe nearly zero, therefore a minor value such as 205 0.01 or 0.005 and so on can be set for S U and S $^{\varphi}$; this minor value can be constantly reduced to 206 further narrow the range of U and φ . The values for U and φ maybe confirmed or limited to a minor 207 rang in such a way, and then the second order partial derivatives of three points are all employed to 208 209 find the actual U and φ . Admittedly, the worst case is that the single U and φ cannot be determined 210 after utilizing both the first and the second order partial derivatives, which means there still exists a minor range. The only method is that the remaining numerical values of the range are put into Eq.(6) 211 212 of three points if this case occurs, the corresponding results can be acquired by using these values 213 one by one. These calculation results are compared with each other to find the minimum and then 214 the corresponding U and φ are the final findings. The underground thermal conductivity can be obtained by thermal physical tester which is an instrument that has been widely employed in the 215 216 engineering projects.

3. The analysis on relevant characteristics

218 **3.1** The relativity between points radius and velocity intensity

219 The distance from the points to the borehole center is worthy discussing because this problem has an influence on the test and calculation result. It is possible to explore the relationship between this 220 221 distance and velocity intensity by way of relevant equations. Because the angle of groundwater seepage is from -180° to 180°, in the process of analyzing the relativity some angles can be selected 222 223 for discussing. The analysis is based on 15° interval within the angle range and then some angles such as -180°, -165° and so on are employed. It is advisable that the dimensionless value of velocity 224 225 should be from 0.1 to 3.0 through investigation. The velocity can attain the value of 10 while it is too large, and it is nearly equal to 0 if the groundwater seepage is too weak [18]. Accordingly, the 226 range from 0.1 to 3.0 meets the reasonable range of dimensionless groundwater velocity. Because U227 is equal to ur / a, the product of actual velocity value and point radius should be at the range from 228

0.1*a* to 3*a*. On the one hand, the temperature response difference of three points is minor even little 229 if the value of U is less than 0.1, which is unfavorable for conducting reverse-reasoning. On the 230 other hand, the temperature response is weak due to the groundwater convection when U is greater 231 than 3.0. The range from 0.1 to 3.0 is proven to be suitable for all the angles of groundwater 232 seepage. To exhibit the temperature response of three points, two extreme values of U, that is, 0.1 233 234 and 3.0, are chosen to illustrate the relevant circumstances shown in Fig.2. Because the angles with 15° interval between -180° and 180° are all tested and every angle has the corresponding range of 235 236 velocity, the range from 0.1 to 3.0 is the intersection of all ranges and therefore 0° orientation of groundwater velocity is employed to display the temperature responses of three points. 237





Fig.2 The temperature responses of three points when U adopts two extreme values

There is a belief that other circumstances are between these two cases, the relativity between points' radius and velocity intensity can be summarized, that is, their product should be in the range between 0.1a and 3.0a.

3.2 The research on flex points of the temperature response curves

According to the temperature response curves given in Fig.2, the temperature responses of points firstly go through the process of increasing slope and then they keep the slope decreased continuously, at last all temperature responses attain the stable states, which means the last status is steady. It is significant to find the flex points because the temperature response degree at different stage can be understood [19]. From the perspective of mathematics, the so-called flex points are those points at which the concave-convex deformation occurs in function curves. If one function

has both the first order and the second order derivative in a certain coordinate interval for 250 251 independent variable, the function curve presents concave while the second order derivative keep positive value, or else the negative value of the second order derivative lead to the convex trend of 252 function curve. Therefore, the location which makes the second order derivative be equal to zero is 253 titled flex point. The Eq.(5) is taken into account for investigating the relationship between the flex 254 255 point and the time, the parameter Fo is independent variable and Θ_i delegates the function value. 256 The first order derivative towards Fo is firstly conducted and the corresponding formula is shown in Eq.(14) 257

258
$$\Theta_{cal,i}^{Fo} = \frac{1}{4\pi} * \frac{1}{Fo} * \exp\left[-\frac{\left(\cos\theta - U * \cos\phi * Fo\right)^2 + \left(\sin\theta - U * \sin\phi * Fo\right)^2}{4Fo}\right]$$
(14)

After that, the second order derivative is obtained in Eq.(15) based on Eq.(14).

$$\Theta_{\alpha \ell j}^{FoFo} = \frac{1}{4\pi} \cdot \frac{1}{Fo^{2}} \cdot \exp\left[\frac{\left(\cos\theta - U \cdot \cos\varphi Fo\right)^{2} + \left(\sin\theta - U \cdot \sin\varphi Fo\right)^{2}}{4Fo}\right] + \frac{1}{16\pi} \cdot \frac{1}{Fo} \cdot \exp\left[\frac{\left[\cos\theta - U \cdot \cos\varphi Fo\right]^{2} + \left[\sin\theta - U \cdot \sin\varphi Fo\right]^{2}}{4Fo}\right]}{4Fo}\right] \cdot (15)$$

$$\left[\frac{2U \cdot \cos\varphi Fo \cdot \left(\cos\theta - U \cdot \cos\varphi Fo\right) + \left(\cos\theta - U \cdot \cos\varphi Fo\right)^{2}}{Fo^{2}} + \frac{2U \cdot \sin\varphi Fo \cdot \left(\sin\theta - U \cdot \sin\varphi Fo\right) + \left(\sin\theta - U \cdot \sin\varphi Fo\right)^{2}}{Fo^{2}}\right]$$

261 Sometimes Fo cannot fulfill the zero value of the second order derivative, in this case the method of bisection is employed in the positive and negative boundaries of the second order derivative, 262 consequently the approximate Fo can be acquired. The explorations on the relationship between 263 flex point and the time for three points are all conducted. It can be summarized that the flex point 264 location is only related with the velocity value U of groundwater and is hardly affected by 265 groundwater seepage angle φ . This conclusion is the same no matter which point around borehole is 266 used for calculating and analyzing. The relevant curve demonstrating the process which Fo changes 267 with U is shown in Fig.3. To conveniently and clearly unfold the correlation between U and Fo, the 268 horizontal coordinate takes Lg(U) as the objective while Fo is regarded as the value of longitudinal 269 coordinate. The phenomenon is Fo of flex point remains nearly the same while the velocity 270 intensity is not large enough, but Fo of flex point drops rapidly if U achieves a certain order of 271 magnitude. 272





Fig.3 The variation trend which Fo changes with Lg (U)

Another significant coordinate concerning the correlation between velocity value U and the product of flex point's U and Fo can be established, and the detailed information is shown in Fig.4. Lg(U) is still employed as the horizontal coordinate meanwhile product of U and Fo is used as longitudinal coordinate. It is reported in Fig.4 that L increases with U until arrive at stable state, it firstly experienced the process that slope keeps increasing and then went into the decreasing slope stage. From this phenomenon, the Fo of the flex point is inversely proportional to U while the groundwater seepage velocity attains enough intensity.





Fig.4 The variation of the product of U and F o with Lg (U)

3.3 The relationship between the time of reaching half of stable temperature response and the velocity intensity

The groundwater seepage has a convection impact on thermal exchange process and the 286 temperature response will arrive at steady state eventually [20]. Both the stable response value and 287 the time needed for achieving a steady state depend on the velocity intensity. The temperature 288 289 response increases promptly in the early period according to the response curves above, thereby the response data of this stage is more effectual than those of late period to conduct reverse-reasoning. 290 291 The response curves keep the slope increasing and thus the differences of these data calculated or 292 recorded at set internal are evident. The clearer the data difference at different moment, the better 293 the reverse-reasoning result. On the whole, the half value of temperature response can be selected to observe the corresponding time. Because the whole response curves experience the stage with 294 295 increasing slope and then goes through the decreasing slope period, the time needed for attaining half of stable temperature response is far less than that of achieving another half temperature rise. 296 297 From another view, the data recorded from the initial moment to the time of attaining the first half 298 temperature rise is more valuable to realize reverse-reasoning. Therefore, it is necessary to observe 299 the relations between the time of the half of stable temperature response and the velocity intensity, 300 and the corresponding curve is shown in Fig.5.



301 302

Fig.5 Fo of reaching the half of stable temperature response changes with U

The relevant calculation and exploration on three points were conducted while different seepage angles were assigned to groundwater, it should be noted that the variation trend is only related with the velocity intensity and is hardly affected by seepage angle or point location.

4. Preliminary judgment on orientation and value of groundwater velocity

307 4.1 The determination on range of angle of groundwater seepage

The orientation of groundwater seepage is also from -180° to 180° and the seepage angle has an important influence on temperature field around borehole GHE [21,22]. The following figures give a brief image on the temperature field's difference that orientation induced. There are three examples for the orientation such as 0°, 45° and 90°. The diagrams and the corresponding temperature distributions are revealed in Fig.6 and Fig.7, respectively. The temperatures of three points change with the orientation of groundwater.





Fig.6 The diagram of different groundwater orientations



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Though the accurate direction cannot be obtained at that time, it is necessary to make a brief judgment on the orientation range before making a reverse-reasoning calculation. Considering that there are totally three points distributed around borehole, the temperature response differences of three points can be fully used to roughly estimate the orientation scope. Comparisons of three 322 points' temperature responses always change with the groundwater orientation; the influence degree 323 of groundwater convection on different points changes in case the groundwater orientation is 324 adjusted. Fig.8 reveals the orientation range according to the temperature difference of every two 325 points while velocity employs a certain value.





Fig.8 The influence of groundwater orientation on temperature differences of three points

Fig.8 shows that temperature responses' differences of three points are controlled to a certain extent by the orientation of groundwater flow, and the orientation effect becomes obvious gradually with the strengthening of velocity intensity. Accordingly, the preliminary orientation range of groundwater seepage can be judged and this can provide convenience for next reverse-reasoning.

4.2 The estimation on velocity intensity according to ratio of the maximal response to the minimal response

The value and orientation of groundwater velocity have effects on the temperature response of 334 every point, that is, the response differences of points rest with the velocity. It is beyond question 335 336 that the difference between the maximum response and the minimum response can show the intensity of seepage while orientation is constant [23, 24], thus the ratio of the maximum to the 337 minimum is a significant parameter which can be adopted to estimate the velocity. The ratio 338 increases with the velocity intensity U and the variation of seepage angles has little impact on the 339 ratio. The relevant curves describing the relationship between the ratio and the velocity intensity are 340 shown in Fig.9. Fig.9 shows that the ratio of the maximal temperature response to the minimal case 341 change with the velocity. Θ_{max} and Θ_{min} are the maximal and the minimal dimensionless 342 temperature responses, respectively, and U is the dimensionless velocity of groundwater. 343





Fig.9 The variation trend of the ratios with U

Because the locations of three points are fixed and the orientation range of velocity is between -346 180° and 180°, the orientation from -180° to 0° and from 0° and 180° are symmetrical in terms of 347 exerting influence on temperature responses of three points. Different angles from the range 348 between 0° and 180° can sufficiently prove the problem, that is, it is not necessary to select angles 349 from -180° to 0° to analyze the orientation impact due to the symmetry, and some cases such as 0° , 350 30°, 45° and so on are chosen. At last, it can be certified that the difference of orientation has little 351 influence on the ratio of the maximal response to the minimal response. A clear fitting formula is 352 summarized to report the relationship between ratio and U, and it is given in Fig9 and Eq. (16). 353

354

$$ratio = 0.31776 + 0.87555U + 1.06117 U^2 + 0.58003 U^3 + 0.11425 U^4$$
(16)

5. The calculation trials

Some samples should be employed to validate the reverse calculation method, the temperature 356 response of different times can be calculated according to Eq.(5) if the two important parameters U357 and φ of groundwater seepage are given. Firstly, U and φ can be respectively set as 0.1 and 45° at 358 random, and then the response variation trend of three points with the time can be obtained. There is 359 no actual experiment to record data at present but the simulative experimental data can be adopted, 360 that is, the recorded data can be simulated by software though there is no actual data. It is 361 universally acknowledged that there must be deviation or error between the theoretical data and the 362 363 experimental recorded data, and the degree of deviation or error may be big or small. Commonly, the experimental recorded data fluctuates around theoretical data, accordingly the simulative 364

recorded data can be achieved by simulation software though no actual experiment has been done until nowadays. Based on the curves obtained by means of the theoretical model i.e. Eq.(5), the random errors generated by software can be added on these theoretical values of three points and therefore the scatter diagram of simulative recorded data can be formatted, and the detailed information is shown in Fig.10.



370 371 372

373 The theoretical curves are depicted based on the calculation results while different times are put into Eq.(5), and the simulative recorded data deviate from theoretical values and fluctuate to a 374 certain extent. There are no actual experimental data but these simulative discrete scatters are 375 similar to the experiment data [25-27]. Because the test time of these references is short and the 376 data of only one point outside borehole is recorded, the references' data cannot be employed. 377 Therefore, these scatters can be utilized as the simulative experimental data as the data can be 378 379 recorded during the period of experiment while the time intervals are set. The random error with a relatively obvious degree is exerted on the theoretical value and thus there are a series of discrete 380 variable that can be used to delegate the experimental recorded data. This is enough to simulate the 381 actual experimental data. The accuracy of reverse calculation method can be more satisfied if the 382 383 experiment time is long enough to record adequate data. By means of reverse-reasoning, the data that can let Eq.(6) achieve the minimum include U =0.1 and φ =42°, 43°, 44°, 45° and 46°. The 384 accurate value for the velocity intensity i.e. U can be obtained, but the exact orientation cannot be 385 determined. However, the reverse-reasoning calculation have limited the orientation range to a very 386 small scope; the median should be selected to diminish the estimation error of orientation of 387 388 groundwater flow and thus 44° is derived. As a result, the effect of this example is satisfied though the last result is not entirely accurate; the value of groundwater velocity can be obtained correctly 389

and the relative tolerance of groundwater orientation is only 2%.

Secondly, another example is still employed to further verify the methodology and this case is under the condition of U = 1.0 and $\varphi = 30^{\circ}$. The corresponding figures describing the temperature responses are shown in Fig.11.



394 395 396

406

Fig.11 The temperature responses of both theoretical calculation and simulative recorded data ($U=1.0, \varphi=30^{\circ}$)

The degree of random error is larger than that of the first example and the results include several cases. There are five matches for U and φ , that is, $(U=1.0, \varphi=30)$, $(U=1.01, \varphi=29)$, $(U=1.01, \varphi=30)$, $(U=1.01, \varphi=31)$ and $(U=1.02, \varphi=29)$. The match is not single but the range for U and φ are almost confined to a small scale, the medial values for U and φ are still preferred chosen. Thus, U=1.01and $\varphi=30$ are the final results and the results of reverse calculation method are nearly equal to the actual cases. Therefore, the relative tolerance of groundwater value is only 1% and the groundwater orientation can be obtained correctly.

404 The last but not the least, the third example endows 0.5 and 60° respectively to U and φ , and the 405 corresponding temperature responses are shown in Fig.12.



407 Fig.12 The temperature responses of both theoretical calculation and simulative experimental data 408 $(U=0.5, \varphi=60^{\circ})$

Fig.12 shows an obvious deviation between theoretical data and simulative recorded data, the ultimate finding after reverse-reasoning calculation indicates that the accurate values can be achieved, which means the calculation result is single, that is, U=0.5 and $\varphi = 60^{\circ}$. Accordingly, the relative tolerance of this example is 0.

413 These examples prove that the reverse calculation method is reasonable. In the past, the inverse model of finite element method was employed to analyze the heat transfer problems; however, this 414 inverse method uses adaptive meshing to conduct numerical simulation, and the values of a large 415 416 number of meshes are iterated to find the final objects by means of inverse calculation [28]; this must increase the difficulty and therefore the time interval of process is long. Compared with this 417 existing inverse model, the method introduced of this paper is more concise because only three 418 419 points' temperature responses are needed, thus the time interval is shorter obviously. Accordingly, 420 the methodology provides a sufficient theoretical basis for the prediction of groundwater velocity in any GSHP engineering project. Thermal resistors are installed at the points to record the 421 temperatures. The PT 100 with the grade "A" or the PT 1000 can be used because their accuracies 422 can attain around ± 0.15 °C or better, that is to say, the accuracy of measurement can meet the 423 424 requirement of conducting reverse-reasoning methodology. If the accuracy is less than this kind of accuracy of measurement, the effect of reverse calculation is affected. 425

426 **6. Conclusions**

427 The paper describes a detailed reverse calculation method for groundwater velocity. According to 428 the moving line source model theory that describes the temperature response of borehole GHE 429 under the condition of groundwater flow, the objective functions are established and then the method of getting extremum of multivariate function is employed, the first and the second order 430 partial derivative are taken into consideration to lay a firm foundation for seeking the accurate 431 velocity. Based on the temperature response curves of three points distributed around borehole 432 GHE, the relevant characteristics involved in seepage phenomenon are analyzed and investigated. 433 How to effectively employ three points is a noteworthy problem and therefore the influence that 434 groundwater seepage exerts on every point can be explored in detail. The ranges of orientation and 435 intensity of groundwater velocity can be preliminary confirmed to supply convenience for further 436 discussion, and the serviceability of the reverse-reasoning can be verified to some extent. It should 437 be admitted that added points well-distributed around borehole can produce better calculation result, 438 but this will increase difficulty in setting points in actual engineering projects, in addition, this will 439

lead to more complex procedure in terms of calculation. The trials are conducted to verify the 440 441 methodology and three examples are employed while U and φ are endowed different values. It can be proven that the reverse calculation method is reasonable, and three points are enough to ensure 442 the accuracy of methodology when the intersection angles between every two neighboring points 443 444 are equal to each other and the random errors are adopted to simulate the experimental recorded data. The actual experiments have not been done for the moment and this is the next work for us. 445 The content of this paper introduces a methodology and therefore provides a theory basis for next 446 447 experiment or actual application in engineering projects. As long as the intensity U and orientation φ of groundwater velocity are calculated by reverse calculation method, the contribution which 448 449 groundwater makes to improving heat transfer performance of GHEs can be comprehended; this 450 can further promote the development of GSHP technology.

451 452

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