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**A NOVEL NONCONTACT ULTRASOUND INDENTATION SYSTEM
FOR MEASUREMENT OF TISSUE MATERIAL PROPERTIES
USING WATER JET COMPRESSION**

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Running Title: **Ultrasound Water Jet Indentation System**

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7 **Abstract**

8 This study is aimed to develop a novel noncontact ultrasonic indentation system
9 for measuring quantitative mechanical properties of soft tissues, which are increasingly
10 important for tissue assessment and characterization. The key idea of this method is to
11 use a water jet as an indenter to compress the soft tissue while at the same time as a
12 medium for an ultrasound beam to propagate through. The use of water jet indentation
13 does not require a rigid compressor in front of the focused high frequency ultrasound
14 transducer to compress the tissue, so that the additional attenuation caused by the rigid
15 compressor and the strong echoes reflected from its surfaces can be avoided. The
16 indentation deformation was estimated from the ultrasound echoes using a cross-
17 correlation algorithm and the indentation force was calculated from the water pressure
18 measured inside the water pipe. Experiments were performed on uniform tissue-
19 mimicking phantoms with different stiffness. The Young's moduli and Poisson's ratios of
20 these phantoms were measured using a uniaxial ultrasound compression system. The ratio
21 of the indentation pressure to the tissue relative deformation was obtained from the water
22 indentation. This ratio was well correlated with the Young's modulus ($r=0.87$). The
23 results also demonstrated that the water indentation approach could differentiate materials
24 with different stiffness in a combined phantom (288 kPa and 433 kPa). This novel
25 noncontact water indentation approach could be potentially used for the measurement of

the elasticity of small samples and with a fast scanning speed. (E-mail: ypzheng@ieee.org)

Keywords: ultrasound, high-frequency ultrasound, indentation, ultrasound indentation, nanoindentation, noncontact, tissue, water jet.

INTRODUCTION

To measure or image the mechanical properties of tissues has been attracting increasing research efforts during the recent decades. The stiffness of soft tissues may change under different pathological situations, such as sclerous cancer, edema, degeneration, fibrosis and pressure sore (Mridha and Odman 1986, Garra et al. 1997). Normal tissues may also have different stiffness, which is important information for tissue characterization. The mechanical properties of tissues can have different values depending on whether they are measured *in vivo* or *in-vitro* and *in situ* or as an excised specimen (Fung 1981, Mow and Hayes 1997). For the lack of quantitative tools for *in vivo* assessment of living tissue, manual palpation has been the primary technique for tissue stiffness assessment for many years in clinic. However, it strongly relies on personal experiences and cannot provide a quantitative measurement of changes in tissue stiffness.

Many mechanical methods, such as indentation, compression, tension and torsion, are available quantitatively to measure the mechanical properties of soft tissues. Among them, indentation is one of the most frequently used approaches. It does not require special preparation for the specimens. Moreover, indentation can determine the material properties of soft tissues *in situ* or *in vivo*. Theoretical analysis of general indentation problems with various idealizations of the physical model has been conducted for about a century. Some mathematical solutions have also been reported for thin-layer soft tissues

1 and materials using different mechanical model (Waters 1965; Hayes et al. 1972; Mak et
2 al. 1987; Mow et al. 1989; Chicot et al. 1996; Yu and Blanchard 1996; Sakamoto et al.
3 1996; Haider and Holmes 1997). The indentation depth, the shape and size of the indenter,
4 tissue thickness and the boundary conditions are critical factors in the calculation of
5 tissue mechanical properties (Jurvelin et al. 1990; Suh and Spilker 1994; Zhang et al.
6 1997; Yang 2003).

7 Several generations of indentation instruments have been developed for the
8 assessment of tissue mechanical properties, especially for articular cartilage (Kempson et
9 al. 1971; Hori and Mockros 1976; Mow et al. 1989; Newton et al. 1997; Arokoski et al.
10 1994, 1999; Athanasiou et al. 1995, 1999; Shepherd and Seedhom 1997). These
11 mechanical indentation apparatuses employ a load cell to measure the loading force and a
12 displacement transducer (LVDT) to record the tissue deformation according to the
13 displacement of the indenter. Cylindrical flat-ended and spherical indenters have been
14 used to perform contact loading on soft tissues. The structures of these mechanical
15 indentation instruments are complicated and not convenient for *in vivo* measurement. In
16 addition, these instruments employ a needle probe to penetrate into the cartilage to
17 measure the tissue thickness, which is important information for the estimation of
18 stiffness. Destruction of the tissue structure at the site of measurement restricts the further
19 use of the specimen. For clinical application, similar but portable indentation instruments
20 have been developed for the quantification of cartilage stiffness under arthroscopic
21 control (Lyyra et al. 1995), *in vivo* analysis of residual limb tissues (Pathak et al. 1998),
22 plantar tissue stiffness measurement in patients with diabetes mellitus and peripheral
23 neuropathy (Klaesner et al. 2002), stiffness estimation of spinal tissues (Kawchuk and
24 Fauvel 2001) and heel pad stiffness assessment (Rome and Webb 2000). These
25 indentation apparatuses use the obtained force/deformation (F/D) curves to indicate the

1 tissue stiffness. However, the obtained stiffness may depend on the tissue thickness,
2 which cannot be measured using these instruments.

3 During recent decades, ultrasound techniques together with compression or
4 indentation have been successfully used for the measurement or imaging of the
5 mechanical properties of soft tissues (Wilson and Robinson 1982; Ophir et al. 1991,
6 Zheng and Mak 1996, Hsu et al. 1998, Adam et al. 1998, Suh et al. 2001, Kawchuk et al.
7 2000, Laasanen et al. 2002, Han et al. 2003). The ultrasound indentation apparatuses can
8 simultaneously measure the tissue thickness and the tissue deformation *in vivo*
9 noninvasively. A load cell or a strain gauge integrated with a flat-ended ultrasound
10 transducer is used to collect the load applied on the tissue. In ultrasound indentation, the
11 ultrasound transducer also serves as the indenter. The accuracy of ultrasonically-
12 measured displacement during indentation has been earlier investigated (Zheng and Mak
13 1996, Kawchuk and Elliott 1998, Kawchuk et al. 2000). Compared with other methods,
14 such as those using optical (Jurvelin et al. 1997) and needle probe (Mow et al. 1989)
15 based methods, ultrasound-based techniques have proven to be most suitable for *in vivo*
16 tissue thickness measurement, because of their noninvasiveness. Ultrasound indentation
17 is easy to use for *in vivo* stiffness measurement and it has been widely used for
18 assessment of normal limb tissues (Zheng and Mak 1999a, 1999b), residual limb tissues
19 (Zheng et al. 1999), diabetic foot tissue (Zheng et al. 2000a, Hsu et al. 2000), fibrotic
20 neck tissue induced by radiotherapy (Zheng et al. 2000b; Leung et al. 2002), spinal
21 tissues (Kawchuk et al. 2001) and articular cartilage (Suh et al. 2001; Laasanen et al.
22 2002).

23 Present ultrasound indentation techniques utilize an unfocused transducer as the
24 indenter to compress the soft tissue. Direct contact between the transducer and the
25 specimen makes it difficult for them properly to compress small tissue specimens, due to

1 the relatively large size of the transducer. Moreover, the reported ultrasound indentation
2 device typically operated in the ultrasound frequency range of 2 MHz to 10 MHz. The
3 resolution is not sufficient for the measurement and imaging of the mechanical properties
4 of tissues with fine structures, such as articular cartilage (Zheng et al. 2002), corneal
5 tissues (Hollman et al. 2002) or skin (Zheng et al. 2004). To achieve ultrasound
6 indentation measurement at a microscopic level, ultrasound transducers with high
7 frequency have to be used. An additional rigid compressor or indenter between the
8 concave-faced focused transducer and the specimen is necessary for present techniques.
9 The rigid indenter will attenuate the ultrasound signal significantly and generate multiple
10 reflection signals which could overlap with signals from the specimen (Zheng et al. 2002,
11 2004). In addition, a contact compression or indentation could not allow for a fast
12 scanning for a region of tissues. Accordingly, it is very necessary to develop a new
13 method to achieve noncontact ultrasound indentation so that we can 1) perform proper
14 loading on small specimen, 2) use high frequency ultrasound without the problem of high
15 attenuation and 3) conduct a fast scanning to map tissue properties. Water jet acoustic
16 coupling is well known in the nondestructive evaluation field for quality control (Birks
17 and Green 1991; Zhao et al. 2003). Our approach is based on this technique. The key idea
18 is to use a water jet simultaneously as the indenter and the medium for ultrasound to
19 propagate through, so as to measure or map the mechanical properties of tissues. The
20 potential of this noncontact ultrasound indentation system quantitatively to measure the
21 phantoms with different stiffness is demonstrated in the following sections.

22 23 24 **MATERIALS AND METHODS**

25 **Water indentation system**

26 A noncontact ultrasound indentation system was constructed (Fig. 1), using a

1 water jet as an indenter. As shown in Fig. 1, a bubbler (B120, GE Panametrics, Inc., West
2 Chester, OH, USA) was used to eject a water jet by controlling the water flow. The
3 diameter of the water-ejecting nozzle was 1.94 mm. A 20 MHz focused ultrasound
4 transducer (model V316B, GE Panametrics, Inc., West Chester, OH, USA) was fixed
5 with the bubbler, i.e., the water ejector and the focused ultrasound beam could propagate
6 through the bubbler when it was full of water as coupling medium. The transducer and
7 the bubbler were installed to a three-dimensional translating device (Parker Hannifin
8 Corporation, Irvine, CA, USA) which was used to adjust the distance from the nozzle to
9 the specimen surface and later was used to perform one-dimensional or two-dimensional
10 scanning over the tissue. Specimens were placed on a rigid platform within a water
11 container. An outlet on the side of the container was used to control the water level. A
12 pressure sensor (EPB-C12, Entran Devices, Inc., Fairfield, NJ, USA) was used to
13 measure the water pressure within the water pipe. A load cell (ELFS-T3M, Entran
14 Devices, Inc., Fairfield, NJ, USA) located under the platform could sense the overall
15 force applied on the specimen.

16 An ultrasound pulser/receiver (model 5052 UA, GE Panametrics, Inc. West
17 Chester, OH, USA) was utilized to drive the ultrasound transducer and to amplify the
18 received signal. The ultrasound echoes reflected from the specimen were digitized by a
19 high speed A/D converter with a sampling rate of 2 GHz (Gage CS82G, Gage Applied
20 Technologies, Inc., QC, Canada). The pressure and the force were collected by a data
21 acquisition card (DAQ 6024E, National Instruments Corp. Austin, TX, USA). Figure 2
22 shows the system diagram. A program has been developed in Microsoft VC++ to control
23 the 3D translating device and collect, process and display the ultrasound signal, together
24 with the force and the pressure, in real time during the indentation process. The user
25 interface of the software is shown in Fig. 3. The acquisition of the A-mode ultrasound,

1 force and pressure data was synchronized by the program. All the data could be recorded
2 in a file for further off-line analysis. The deformation of the specimen under water jet
3 indentation was estimated from the ultrasound echoes using a cross-correlation algorithm
4 (Zheng et al. 2002). With the high-speed A/D converter, when the sampling frequency of
5 500 MHz was selected, the sensitivity of the time measurement was 2 ns. The theoretical
6 sensitivity of the deformation determined by the water indentation system was better than
7 4 μm (Zheng et al. 2004). By using the 24-bit A/D converter to digitize the force and
8 pressure signals, the accuracy of force was better than 1 μN within the 10 N range and the
9 accuracy of pressure was better than 0.05 Pa within the 350 kPa range.

10 The pressure sensor was used to measure and monitor the water pressure within the
11 water pipe. It was calibrated using a blood pressure meter with a range of 45 kPa and at a
12 sensitivity of 0.13 kPa. The load cell could sense the overall force applied on the platform
13 that was directly related to the force applied on the specimen by the water jet. It was
14 calibrated by using an electronic balance with a range of 1 N and at a sensitivity of 0.1
15 mN. Both the pressure and the force were used to derive the pressure applied on the
16 specimen by the water jet. The relationship between the overall force applied on the
17 platform and the pressure within the water pipe was studied when the distance from the
18 nozzle to the surface of the platform was fixed at approximately 5 mm, according to the
19 focal length of the focused ultrasound transducer. A linear regression was used to study
20 their relationship.

21 22 **Phantom preparation**

23 It has been reported that tissue-mimicking phantoms made from silicones or gels
24 have similar mechanical properties with soft tissues and their shapes, sizes and
25 compositions are easier to control (Hall et al. 1997). In our experiments, Rhodia RTV

573 (Rhodia Inc. CN7500, Cranbury, NJ, USA) and Wacker M4648 and M4640 silicones (Wacker Chemicals Hong Kong Ltd., HK, China) were used to make phantoms with different stiffness (Fig. 4). The uniform phantom was made from one kind of silicone and was supposed to be homogeneous. The combined phantom was made from two kinds of silicones, which had different stiffness at different areas. The sizes of the uniform phantoms were $1 \times 1 \times 0.5 \text{ cm}^3$ (width \times length \times height), $1 \times 1 \times 1 \text{ cm}^3$, $1 \times 1 \times 1.5 \text{ cm}^3$. The combined phantoms had a height of 0.5 cm and a diameter of 2.2 cm.

Experiment design for the uniform phantom

To demonstrate the feasibility and the function of the noncontact ultrasound indentation system, experiments were performed on phantoms and the pressure/deformation curves were obtained. Galbraith and Bryant (1989) reported that the results of the indentation analysis were unaffected if the lateral dimension of the tissue was three or more times larger than the radius of the indenter. In this study, the lateral dimension of the phantom ($1 \times 1 \text{ cm}^2$) was more than five times the radius of the water indenter and the indentation was usually performed at the center of the phantom. During the test, the phantom was placed at the center of the platform and was gently fixed at edges by four screws. The position of the ultrasound transducer to the phantom surface was adjusted according to the height of the phantom, so as to keep a constant initial distance between the transducer and the phantom surface. The focal point of the transducer was placed approximately at the surface level of the phantom. The distance between the nozzle and the surface of the specimen was 5 mm. The container was full of water before loading and a constant water level was kept during the test. In each loading and unloading cycle, the water pressure within the water pipe was changed at a rate of

1 approximately 45 kPa/s and from 0 to 180 kPa, which corresponds to the local
2 deformation from 0 to approximately 0.4 mm for the softest phantom that we used. This
3 process was repeated for three cycles in each test. Each phantom was indented three
4 times. A total of 27 pieces of uniform phantoms were tested.

6 **1-D scanning on the combined phantom**

7 One-dimensional scanning experiment was conducted on the combined phantom,
8 using the three-dimensional translating device. The phantom was first scanned by the
9 ultrasound transducer at a moving rate of 1 mm/s when the pressure was kept as 0 kPa.
10 Then it was scanned again along the same line after the pressure changed to 58 kPa. The
11 deformation of the phantom at each site along the scanned line was obtained.

13 **Measurement of mechanical properties of phantoms**

14 As a reference of the experimental results of the water indentation, the
15 compressive properties of the phantoms were measured using a mechanical testing device
16 as shown in Fig. 5 (Zheng et al. 2002). The axial displacement was monitored by a
17 displacement transducer-linearly variable differential transformer (LVDT) and the lateral
18 deformation of the phantom was measured by an unfocused ultrasound transducer (5
19 MHz, model V316B, GE Panametrics, Inc. West Chester, OH, USA) during the axial
20 compression. Similar technique for measuring the lateral displacement of articular
21 cartilage has been reported by Fortin et al. (2003). Ultrasound coupling gel was used
22 between the ultrasound transducer and the phantom for ultrasound beam propagation. It
23 was also used in the interface between the phantom and the compressor and that between
24 the phantom and the platform, serving as lubrication. The phantom was placed at the
25 center of the platform and kept perpendicular to the ultrasound transducer by moving the

phantom to obtain a maximal ultrasound echo reflected from the two opposite surfaces of the phantom. The ultrasound echoes were tracked using the cross-correlation algorithm to estimate the lateral deformation of the phantom during compression. The uniaxial force applied on the phantom was measured by a load cell (ELFS-T3M, Entran Devices, Inc., Fairfield, NJ, USA, calibrated for a range of 10 N). The axial displacement, force and ultrasound echoes were recorded synchronously by the program. The compressive Young's modulus and Poisson's ratio of the phantoms could be thus obtained.

The compressive Young's modulus of the phantom was calculated using eqn (1):

$$E = \frac{F/A}{\Delta L/L_0} \quad (1)$$

where E is the compressive Young's modulus of the phantom, F is the axial force applied on the phantom, A is the surface area of the phantom, ΔL is the axial deformation and L_0 is the initial height of the phantom.

The Poisson's ratio calculation was based on the lateral deformation estimated from the ultrasound echoes and the axial deformation measured by LVDT (eqn (2)). A similar approach for the measurement of Poisson's ratio of articular cartilage using optical detection has been reported earlier (Jurvelin et al. 1997).

$$\nu = \frac{\Delta W/W_0}{\Delta L/L_0} = \frac{|W_1 - W_0|/W_0}{|L_1 - L_0|/L_0} \quad (2)$$

where $W_0 = \frac{1}{2}t_1 \cdot c_{phantom}$, $W_1 = \frac{1}{2}t_2 \cdot c_{phantom}$, $\Delta W = W_1 - W_0 = \frac{1}{2}(t_2 - t_1) \cdot c_{phantom}$, where ν is the Poisson's ratio of the phantom, ΔW is the lateral deformation, ΔL is the axial deformation, W_0 and L_0 are the initial width and height of the phantom, t_1 and t_2 are the time of flight of ultrasound between the two lateral faces of the phantom before and after

compression, respectively, and $c_{phantom}$ is the speed of sound in phantom. The meanings of these variables are shown in Fig. 6.

The test for each phantom included loading and unloading phases. The test was repeated three times on each phantom. The compression rate was kept at approximately 45 $\mu\text{m/s}$ and the total deformation was up to 10%. Only the data with deformation less than 3% were used to calculate the Poisson's ratio and Young's modulus, corresponding to the 3% local strain of the phantoms under water indentation. According to the stress/strain curves of the phantoms obtained from compression, they were in the linear elastic region for the 3% deformation.

RESULTS

The mechanical properties of the phantoms were found to be dependent on the applied strain. Therefore, the Young's moduli and Poisson's ratios were measured at the strain according to the indentation depth on each phantom by using the noncontact water indentation system. The results showed a good repeatability with $ICC=0.99$ (intraclass correlation coefficient, SPSS Inc. Chicago, IL, USA) for the measurement of Young's moduli and $ICC=0.98$ for the measurement of Poisson's ratio, respectively. The Young's modulus of the phantoms ranged from 273 to 522 kPa and their Poisson's ratio ranged from 0.26 to 0.44. It was noted that the phantoms could have different moduli, even when they were made from the same silicone materials.

The calibration measurements indicated highly linear responses for both pressure and force transducers ($R^2 = 0.999$ for the pressure sensor; $R^2 = 0.998$ for the load cell). Moreover, it was found that there was a linear relationship ($R^2 = 0.99$) between the force applied on the platform collected by the load cell and the water pressure within water

1 pipe measured by the pressure sensor (Fig. 7). Such a relationship will help us to
2 investigate the pressure applied on the phantom surface. At present, the average pressure
3 at the interface was calculated by the overall force divided by the initial contact area at
4 the interface.

5 Figure 8a shows the pressure/deformation curves during the loading and
6 unloading cycles applied on the phantom A and Fig. 8b shows the relationship between
7 the pressure and the surface deformation of the phantom. The relationship between the
8 deformation of the phantom and the applied water pressure loading was linear and the
9 data were fitted using linear regression (average $R^2 = 0.99$). The obtained slope, i.e., the
10 ratio of the applied pressure to the relative deformation, was defined as the stiffness ratio
11 of the phantoms, with a unit of kPa. The measurements of the stiffness ratio of the
12 phantoms showed a good repeatability ($ICC > 0.99$) for the three tests. The stiffness ratio
13 of the phantoms ranged from 1582 to 4269 kPa.

14 A good linear relationship between the compressive Young's moduli and the
15 stiffness ratios was found with the correlation coefficient $r = 0.87$ ($n = 27$) (Fig. 9). This
16 result demonstrated that the ultrasound water indentation system had the ability
17 quantitatively to measure the phantoms with different stiffness. We estimated the
18 Young's modulus, E_w , from the obtained relationship between the stiffness ratio and the
19 compressive Young's modulus, E , of the phantoms. A Bland-Altman plot (Fig. 10) was
20 added to give indication of the size of errors between E_w and E . The mean difference
21 \bar{d} was 0.02 kPa and the standard deviation s was 35.43 kPa. From the Bland-Altman plot,
22 most of the differences lay between $\bar{d} - 2s$ and $\bar{d} + 2s$. The result was acceptable for
23 clinical applications (Bland and Altman, 1986). The thickness of the phantom was also
24 significantly ($P < 0.001$, linear regression by SPSS) correlated with the Young's modulus.

Moreover, significant correlation between the stiffness ratios and the square of Poisson's ratios was found ($P = 0.04$). However, the extraction of the intrinsic mechanical properties of the test materials from the water indentation needs further investigations.

Figure 11a shows the comparison of the M-mode ultrasound images obtained under two different pressures (0 and 58 kPa). It could be observed that the surface of the combined phantom was not flat. Figure 11b is the deformation distribution along the scanned line derived from Fig. 11a. The result showed that, under a certain pressure, the deformation of the region made from material A was larger than that made from material B, i.e., the region made from material A was softer than that made from material B. The Young's moduli of these two regions were 288 ± 2 and 433 ± 5 kPa, respectively. The measured deformation distribution under a certain pressure well demonstrated the stiffness distribution of the combined phantom. We used this preliminary result to demonstrate the feasibility of using the water indentation to map the distribution of the stiffness ratio of soft tissues.

DISCUSSION AND CONCLUSION

A noncontact ultrasound indentation system using water jet has been developed for the assessment of tissue mechanical properties. Preliminary results have demonstrated that this novel system was feasible quantitatively to measure silicone phantoms with different stiffness. As a water jet was used simultaneously as the indenter and the medium for coupling the ultrasound beam, the system could obtain better ultrasound echoes using focused high frequency ultrasound in comparison with the contact methods, where rigid indenters or compressors were used to compress the tissue. It could also conduct proper loading on small specimen, because of the small dimension of the water indenter. Moreover, this water jet indentation system demonstrated its potential to

1 conduct fast scanning on specimens to map the deformation distribution.

2 In our experiments, a 20 MHz focused ultrasound transducer was used and the
3 system could achieve high axial resolution of the measurement because the deformation
4 of phantom under water indentation was determined from ultrasound echoes. For 20 MHz
5 ultrasound using in this study, two cycles of damping period and an ultrasound speed of
6 1480 m/s (Krautkramer and Krautkramer 1969), the theoretical axial resolution was
7 approximately 58 μm (Bushong and Archer 1991, Foster et al. 2000). The focal length of
8 the transducer was 19.05 mm, and the diameter of the active element of this transducer
9 was 3.175 mm. Therefore, the f-number (focal length/crystal diameter) was 6. The
10 theoretical lateral resolution was 0.44 mm (Foster et al. 2000). The resolutions could be
11 further improved by using higher frequency ultrasound. According to the numerical
12 simulation results, Righetti et al. (2002, 2003) and Srinivasan et al. (2003) reported that
13 the lateral and axial resolution limits of the strain images obtained using ultrasound were
14 on the order of the sonographic lateral resolution and the ultrasonic wavelength,
15 respectively. In the future study on the small specimen of soft tissues, a focused 50 MHz
16 ultrasound transducer will be used and it can achieve a theoretical axial resolution of 35
17 μm , and lateral resolution of 90 μm (Zheng et al. 2004). With further improvement of the
18 system, it is feasible to perform nanoindentation (Pethica et al. 1983) using the water
19 indentation. In the present study, we have only focused on the ultrasound echoes reflected
20 from the phantom surface, from which the overall deformation was derived. For the
21 tissues with multilayer or inhomogeneous structures, echoes from different depths can be
22 tracked during water indentation so as potentially to map the tissue stiffness in the depth
23 direction. Further experiments are required to validate the stiffness distribution using
24 proper techniques such as the optical elastomicroscopy system (Huang et al. 2004).

25 In our experimental set-up, daily tap water ($24.2 \pm 0.2^\circ\text{C}$) was used for the water

1 indentation system. We are planning to use a water pump, so that the water flow can be
2 better controlled. As the medium for ultrasound propagation was flowing during the
3 water indentation, the effect of the flow rate on the ultrasound speed had to be
4 investigated. Our result showed that the maximal change of the speed of sound through
5 water jet was less than 0.04% (0.6 m/s change for a speed of 1480 m/s) when the pressure
6 was changed from 0 to 180 kPa. The result suggested that the effect of water flow for
7 ultrasound speed could be neglected during the water indentation with the pressure up to
8 180 kPa. The water jet formed from the tap water might contain some amount of air
9 bubbles. The backscatter induced by these bubbles may attenuate the ultrasonic beam that
10 propagates through the water jet. It has been reported that the attenuation caused by the
11 air bubbles increases rapidly as the frequency is increased (Ophir and Parker 1989, Naito
12 et al. 1998, Phillips et al. 1998). However, the effects of the bubbles to the test proposed
13 in this study could be negligible according to our measurement. No dramatic attenuation
14 and scattering was caused by the air bubbles in the set-up used in this study. For the 20
15 MHz ultrasonic beam, we noted that the ultrasound echo from a steel plate located at the
16 focal zone was attenuated by less than -0.6 dB when the water flow was adjusted from 0
17 to the maximum value that used in this study. Such a small attenuation to the echo was
18 negligible in this study, as the main information involved in the calculation of the
19 deformation was the flight-time of the echo reflected from the surfaces of the phantom.

20 During our experiments, the ratio between the pressure and the relative
21 deformation curves was used as the index of the material stiffness. The stiffness ratio had
22 a good linear relationship (with correlation coefficients larger than 0.8) with the
23 measured compressive Young's modulus. This correlation may not be good enough for a
24 very precise measurement. However, the result demonstrated the feasibility of the new
25 ultrasound water jet system to measure the stiffness of the phantoms quantitatively. The

1 result of Bland-Altman plot (Fig. 10) demonstrated that the results were acceptable for
2 clinical applications (Bland and Altman, 1986).

3 However, the extraction of the intrinsic mechanical properties from the
4 noncontact water indentation needs further investigation. As previous investigations have
5 suggested (Waters, 1965; Hayes et al. 1972; Mak et al. 1987; Mow et al. 1989; Chicot et
6 al. 1996; Yu and Blanchard, 1996; Sakamoto et al. 1996; Haider and Holmes, 1997), the
7 Young's modulus derived from indentation test using a cylindrical indenter is related to
8 the Poisson's ratio of the material, stress distribution, indentation depth and tissue
9 thickness. Moreover, when soft tissues are tested for the measurements of mechanical
10 properties, the nonlinearity, viscoelasticity, nonhomogeneity and anisotropy should be
11 carefully considered for the mechanical properties' extraction. In this study, as the first
12 step, we tested silicone phantoms with uniform structures. The applied deformation was
13 within 3% and the loading cycle was completed within 4 s, so as to reduce the effects of
14 nonlinearity and viscoelasticity. We used the slope of the pressure/ relative deformation
15 curve instead of the pressure/ deformation curve to correlate with the Young's modulus,
16 since we found that the thickness of the phantoms was also well correlated with their
17 stiffness. Moreover, significant correlation between the stiffness ratio and square of
18 Poisson's ratio was also found. These observations will benefit us further to investigate
19 the extraction of intrinsic material parameters from the water indentation results.
20 However, we were not able yet to obtain a suitable equation precisely to describe the
21 relationship between the Young's modulus and the Poisson's ratio, tissue thickness, as
22 well as the applied pressure and the indentation depth using our experimental data.

23 The major cause of the discrepancy between the stiffness ratios measured using the
24 water jet indentation method and the Young's moduli measured using the compression
25 method may be the simplification in modeling the interaction between the water jet and

1 the phantom surface. It is expected that the deformation profile as well as the pressure
2 distribution along the interacting interface keeps changing as the increase of the water jet
3 pressure. We have tried different methods to measure the deformation profile and the
4 pressure distribution. However, no satisfactory results have been obtained yet. In addition
5 to improving our experimental approaches, we are also planning for the numerical
6 analysis of the interaction between the water jet and the tissue. Finite element analysis is
7 an effective tool and it has been employed to study the indentation problem (Galbraith
8 and Bryant 1989; Jurvelin et al. 1990; Zhang et al. 1997; Lu and Zheng 2004). Using
9 finite element analysis, we expect that the effects of the variations of the water jet radius,
10 the distance from the nozzle to the phantom surface, the phantom thickness and the
11 Poisson's ratio and the fluid-solid coupling motion can be studied. The interaction
12 between the water jet and the tissue can be better investigated.

13 This noncontact ultrasound water indentation system has many potential
14 applications. With further improvement of the system and better understanding about the
15 water jet-tissue interaction, we expect that the water indentation approach could be
16 potentially used quantitatively to assess the stiffness of body tissues for clinical diagnosis,
17 such as skin cancer, burn status, cornea condition, blood vessel stiffening, articular
18 cartilage degeneration etc. It could also be employed to perform indentation on small
19 specimens in microscopic levels for tissue and material characterization for small animal
20 tissues, bioengineered tissues, semiconductor materials, thin films and so on, where
21 nanoindentation is widely used. Moreover, the potential fast scanning feature of the water
22 indentation makes it easy to conduct scanning on tissues with large area.

23 24 25 **Acknowledgements**

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Figure Captions

Fig. 1. Diagram of the noncontact ultrasound indentation system using water jet compression. The water jet is used as an indenter and focused high-frequency ultrasound is employed to monitor the deformation of the soft tissue. The dimensions of the important components are: nozzle diameter 1.94 mm, water supply pipe diameter 3 mm, platform diameter 24 mm, load cell diameter 12.7 mm, ultrasound transducer active

1 element diameter 3.175 mm and the distance from the nozzle to tissue approximately 5
2 mm.

3
4 Fig. 2. The water indentation and data collection diagram of the noncontact ultrasound
5 water indentation system.

6
7 Fig. 3. The user interface of the signal processing software. The left column is the control
8 panel, showing various parameters. In the main window, the lower right window displays
9 the real-time ultrasound signal reflected from the sample surface, the lower left windows
10 shows the force sensed by the load cell or pressure measured by the pressure sensor, the
11 upper left window shows the M-mode ultrasound signals and the upper right window is
12 the deformation extracted from the ultrasound signal using the cross-correlation tracking
13 algorithm.

14
15 Fig. 4. Phantoms made from three kinds of silicones were prepared for the experiments.
16 (a) The uniform phantoms with dimensions $1 \times 1 \times 0.5 \text{ cm}^3$ (width \times length \times height), (b)
17 $1 \times 1 \times 1 \text{ cm}^3$ and (c) $1 \times 1 \times 1.5 \text{ cm}^3$. (d) The combined phantom with a height of 0.5 cm
18 and a diameter of 2.2 cm.

19 Fig. 5. Diagram of the system which was used to measure the compressive Young's
20 modulus and Poisson's ratio of the phantoms. A load cell was used to measure the
21 uniaxial force applied on the phantom. An ultrasound transducer was used to estimate the
22 lateral deformation of the phantom, while the LVDT was used to measure the axial
23 deformation.

Fig. 6. Schematic diagram for the estimation of the Poisson's ratio of the uniform phantom. t_1 and t_2 are the times-of-flight of ultrasound from the two parallel surfaces of the phantom before and after compression, respectively.

Fig. 7. The relationship between the force applied on the platform and the pressure measured within the water pipe. The equation shows the result of a linear regression.

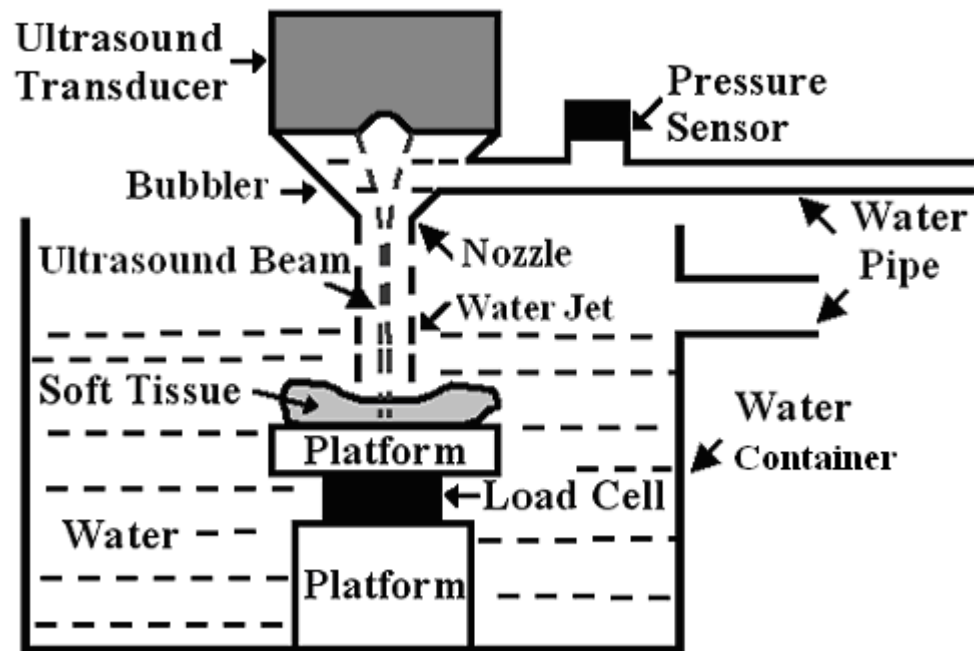
Fig. 8 (a). Pressure-deformation curves obtained during the loading and unloading cycles applied on one uniform phantom. (b) The relationship between the pressure measured within the water pipe and the relative deformation of the phantom.

Fig. 9. The correlation between the compressive Young's modulus and the stiffness ratio obtained using the ultrasound water indentation ($r=0.87$, $n=27$).

Fig. 10. Bland-Altman plot to test the agreement between the estimated Young's modulus by water indentation and the measured modulus by compression.

Fig. 11. A typical result of one-dimensional scanning on the combined phantom. (a) Comparison of the M-mode ultrasound images obtained under two different pressures. (b) The deformation distribution along the scanned line as derived from (a).

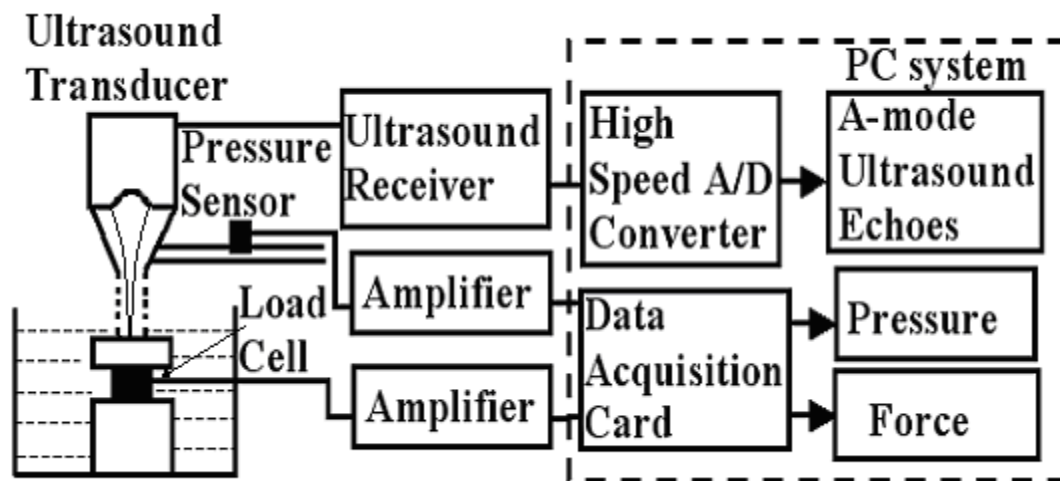
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Figure 1.

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Figure 2.

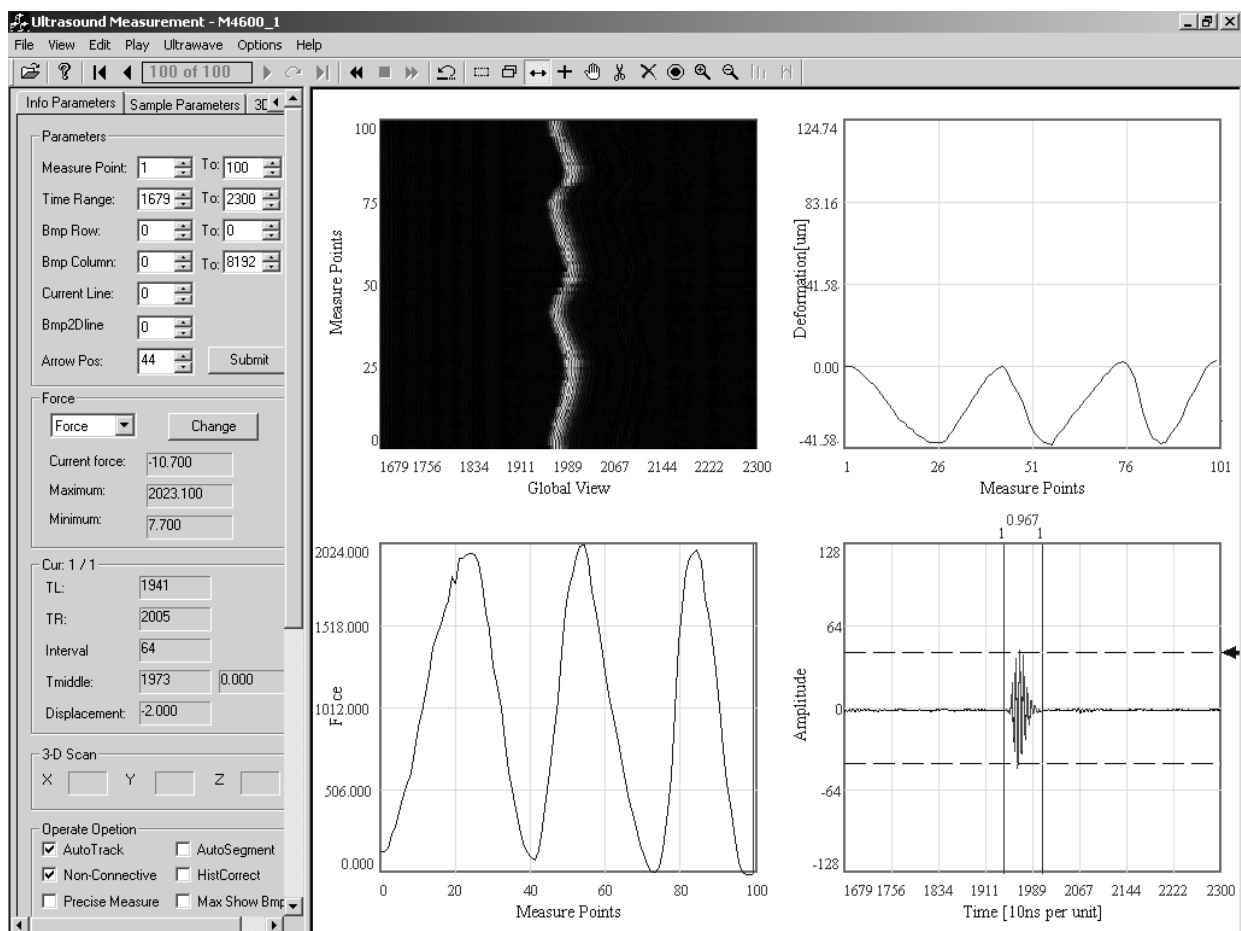


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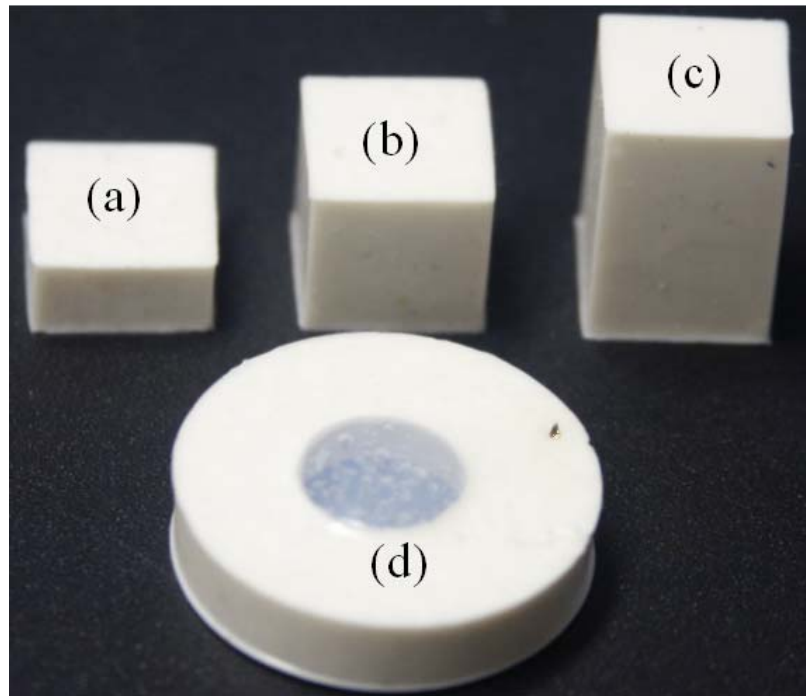


Figure 4.

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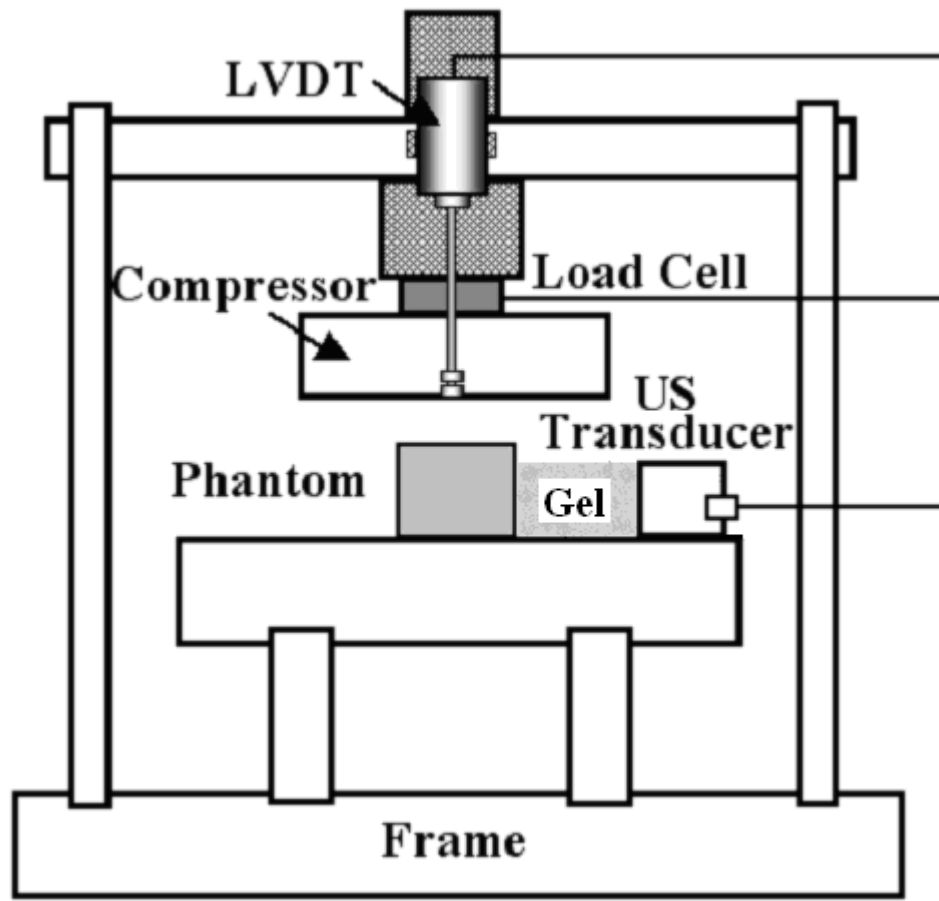


Figure 5.

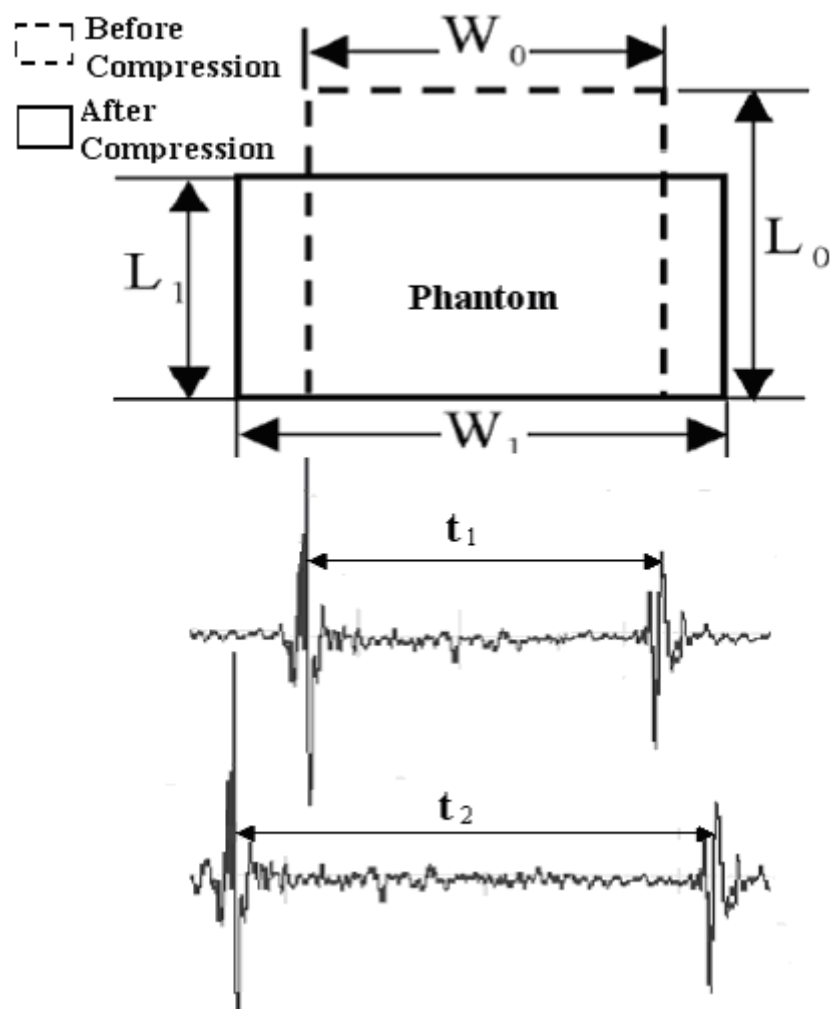


Figure 6.

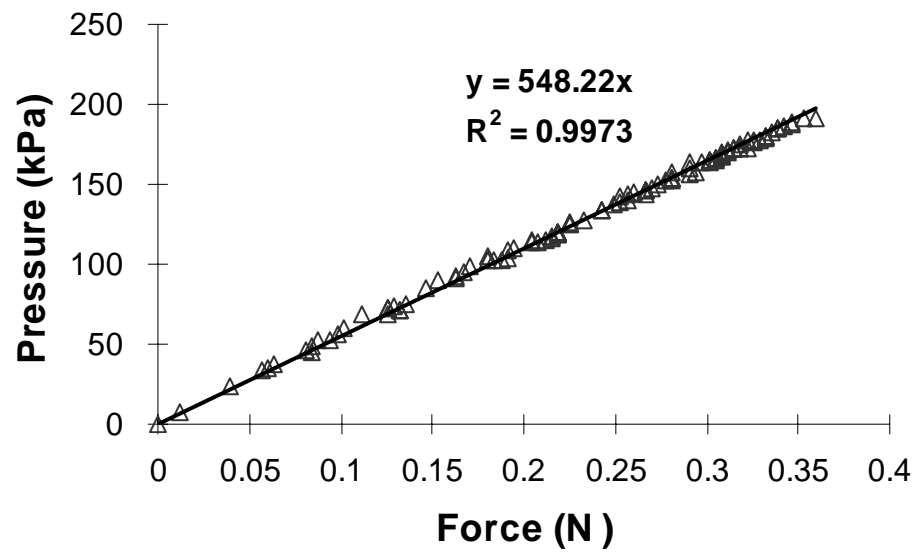


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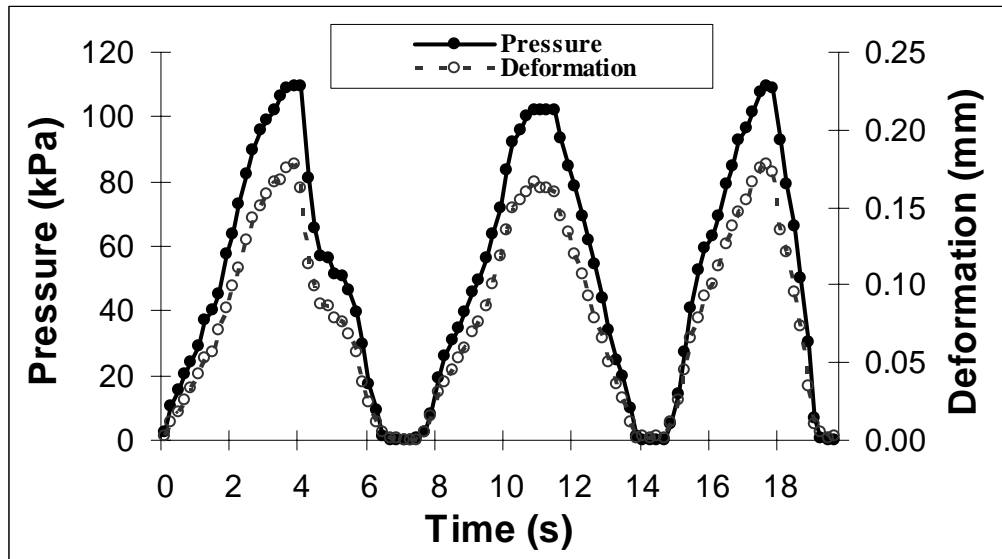


Figure 8 (a).

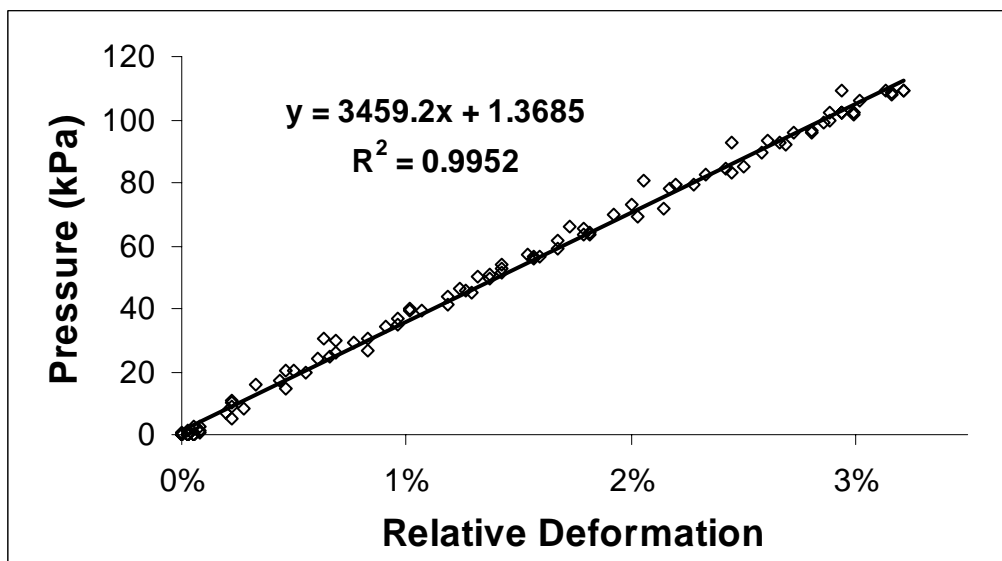


Figure 8 (b).

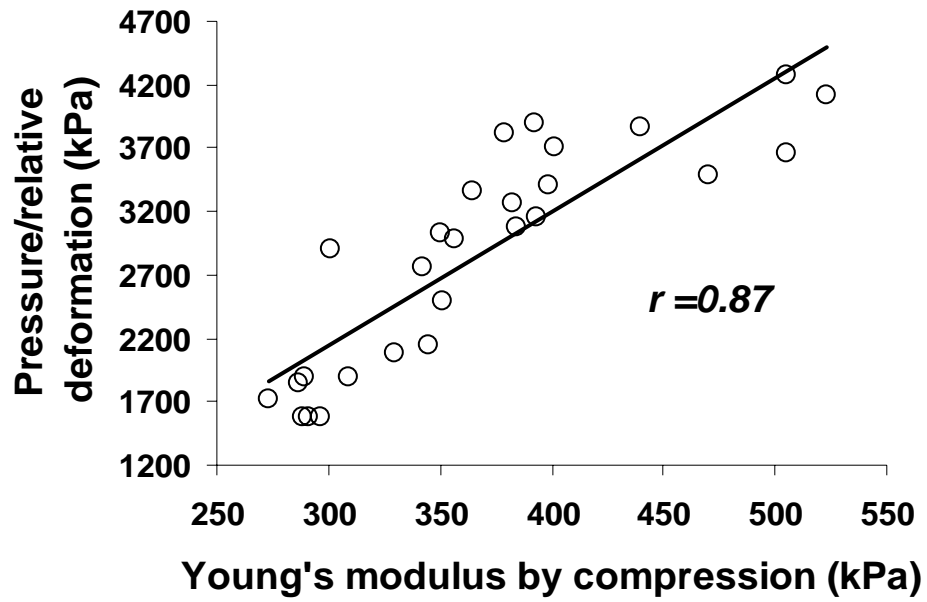


Figure 9.

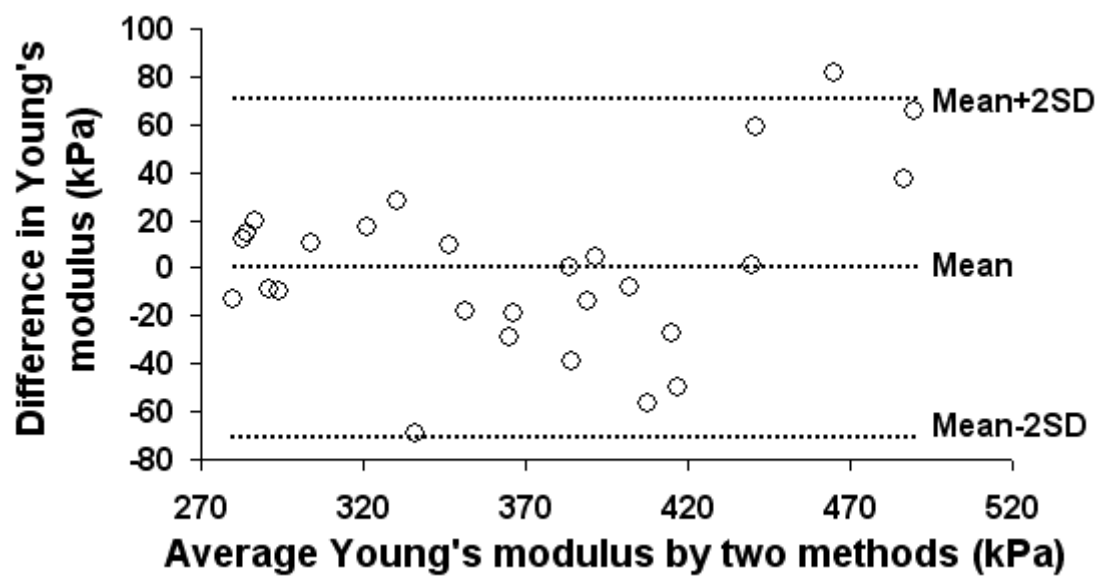


Figure 10.

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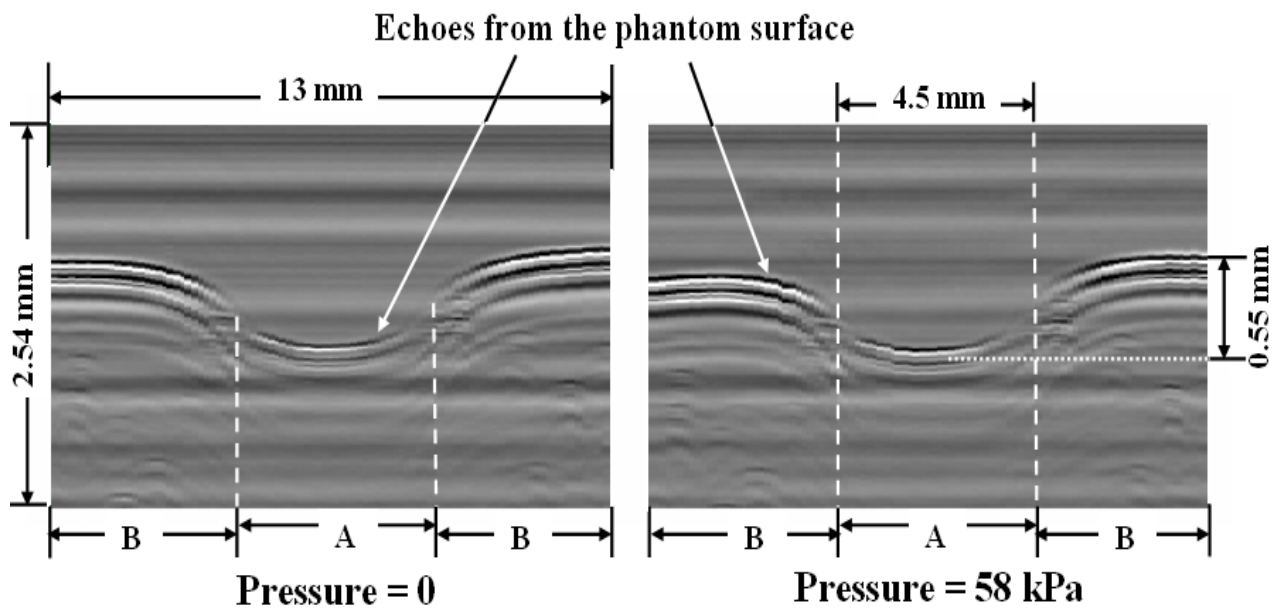


Figure 11 (a).

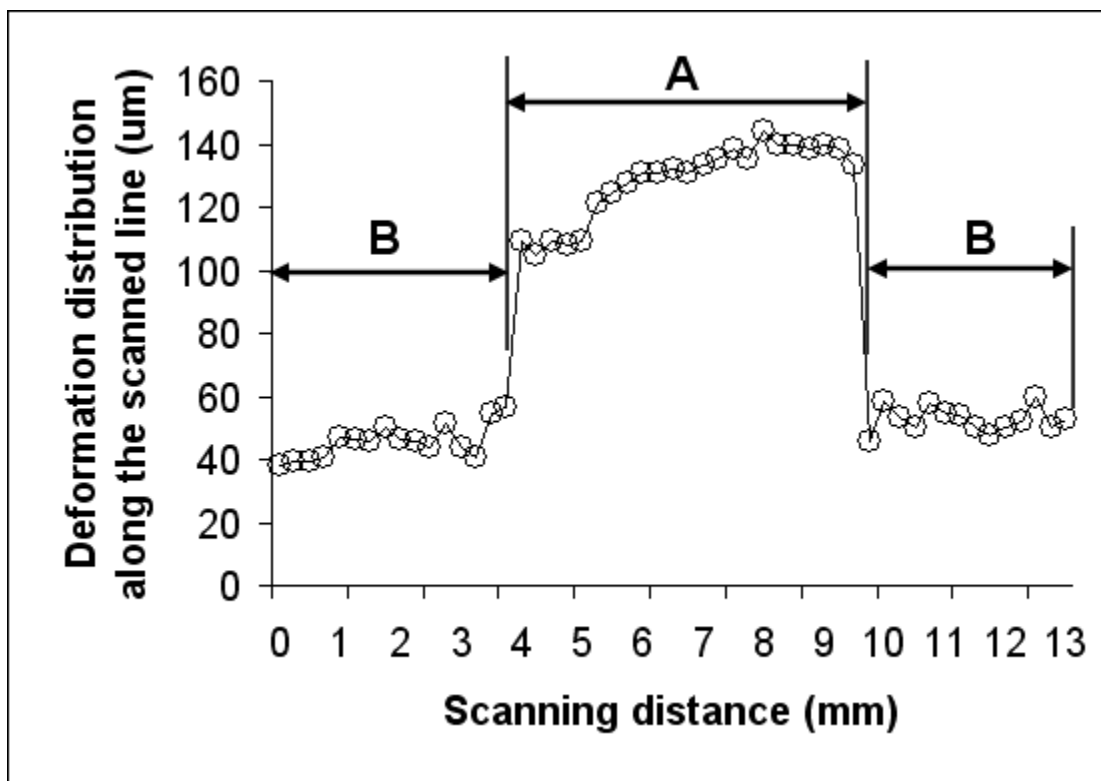


Figure 11 (b).