

1 **Altered Osmotic Swelling Behavior of Proteoglycan-Depleted Bovine**
2 **Articular Cartilage Using High Frequency Ultrasound**

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11
12 Running Title: **Ultrasonic Assessment of Altered Cartilage Swelling**

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22

1 **Abstract**

2 Swelling behavior is an electrochemical mechanical property of articular cartilage. It
3 plays an important role in weight bearing and joint lubrication. In this study, the
4 altered transient and inhomogeneous swelling behavior of the degenerated articular
5 cartilage was observed and quantified in-situ using ultrasound. Three groups of
6 bovine patellar articular cartilage samples (n = 10 x 3) were obtained and digested by
7 trypsin for 10, 20, and 30 minutes respectively to mimick different levels of
8 degeneration. The osmotic free shrinkage and swelling behavior induced by changing
9 the concentration of the bathing saline solution from 0.15 M to 2 M and then back to
10 0.15 M, were characterized using high frequency ultrasound (central frequency = 35
11 MHz) before and after digestion. It was found that the degenerated cartilage
12 specimens showed a weaker shrinkage-swelling behavior compared with the normal
13 cartilage samples. However, no significant differences in the peak shrinkage or
14 swelling strains were observed between different groups. The absolute values of the
15 peak shrinkage strain significantly ($p < 0.05$) decreased by 45.4%, 42.1% and 50.6%
16 respectively after the trypsin digestion for 10, 20 and 30 minutes, but such
17 significance was not demonstrated for the peak swelling strains. Due to the potential
18 alterations in the collagen-PG matrix during trypsin digestion, the correlation between
19 the swelling strain and the shrinkage strain of the degenerated samples changed
20 slightly in comparison with the normal samples. The proposed ultrasound method has
21 been successfully used to measure the transient and inhomogeneous swelling behavior
22 of the degenerated articular cartilage and has the potential for the characterization of

1 osteoarthritis.

2

3 **Keywords:** Ultrasound, Articular cartilage, Osmotic shrinkage-swelling, Trypsin
4 digestion, Osteoarthritis.

5

6 **Introduction**

7 Articular cartilage covers the articulating bony ends in diarthrodial joints to provide a
8 good distribution of the load on the bone and good lubrication for joint movement.

9 Generally, the normal functions of articular cartilage can last for decades. However,
10 factors such as aging, repetitive stress over an extended period, excessive
11 weight-bearing stress, a sudden knee injury, and genetic factors may give rise to the
12 degeneration of articular cartilage. Untreated injuries will lead to further degeneration,
13 and even result in osteoarthritis (OA), one of the most common joint diseases.

14

15 Previous studies have been reported that articular cartilage as a multi-phasic hydrated
16 mixture is mainly composed of 5-10% proteoglycan (PG), 10-20% collagen and
17 60-80% water (Mow et al, 2005). PGs are bio-macromolecules negatively charged by
18 SO_3^- and COO^- (Maroudas, 1976). These negatively charged groups on the PGs
19 quantified as the fixed charge density (FCD) (Lai et al., 1991) attract the mobile
20 cations to generate a substantial Donnan osmotic pressure, one of the causes of
21 cartilage swelling (Lai et al., 1991). Therefore, the PG content of the articular
22 cartilage is suggested to contribute to its electromechanochemical behavior (Mow et

1 al., 2005). However, the swelling pressure in the cartilage tissue balances with the
2 collagen tension, so no swollen behavior could be detected under normal conditions
3 (Eisenberg and Grodzinsky, 1985; Lai et al., 1991; Maroudas, 1976; Maroudas et al.,
4 1986). This pre-swollen state of articular cartilage plays an essential role in the
5 biomechanical functions (Setton et al., 1998; Wang et al., 2002). When external forces
6 are exerted on articular cartilage, the swollen cartilage carries the forces like a cushion
7 (Mankin et al., 2000). The interstitial water also plays an important role in controlling
8 the mechanical behavior of articular cartilage, including permeability, strength,
9 stiffness and Young's modulus (Lai et al., 1991). When the tissue is under a pressure,
10 the interstitial water allows the deformation via shifting in and out of the tissue.
11 Meanwhile, the depth-dependent shape and orientation of collagen fibres are also
12 highly responsible for the mechanical properties of articular cartilage (Zhu et al.,
13 1993).

14
15 Therefore, component-level changes of the articular cartilage including the increase of
16 tissue hydration, the loss of PGs, and the damage of collagen fibrils have attracted
17 many research efforts and are claimed to be the earliest signs of cartilage degeneration
18 during OA (Armstrong and Mow, 1982; Martini, 2004; Sandy, 2003; Torzilli et al.,
19 1990). The damage of collagen network and the resultant decreases of PGs tend to
20 result in the alteration of cartilage swelling (Bank et al., 2000). Enzyme digestion
21 (Saarakkala et al., 2004; Laasanen et al., 2002, 2003b; Toyras et al., 2002; Zheng et al.,
22 2004), intra-articular injection of chemical reagents (Cherin et al., 1998; Hattori et al.,

1 2005a; Laurent et al., 2003; Saied et al., 1997), surgery (Batiste et al., 2004; Calvo et
2 al., 2004; Laurent et al., 2006; Song et al., 2006) and joint immobilization
3 (Narmoneva et al., 2002) have usually been employed to induce the pathological
4 changes in articular cartilage. It has been well agreed that the macromolecular
5 degradation catalyzed by proteolytic enzymes happens at the early- and mid-stages
6 of cartilage degeneration (Sandy, 2003), making it possible to experimentally observe
7 the cartilage degeneration process at an early stage.

8

9 Osmotic loading technique by varying the concentration of the bathing saline solution
10 provides a simple and useful method to investigate mechanical-combined swelling
11 (Eisenberg and Grodzinsky, 1985, 1987; Grodzinsky et al., 1981; Guilak et al., 1994;
12 Mow and Schoonbeck, 1984; Myers et al., 1984; Wang et al., 2002) and free swelling
13 behaviors of articular cartilage (Narmoneva et al., 1999, 2001; Setton et al., 1998;
14 Wang and Zheng, 2006). In the early studies, the water gains of different zones of
15 articular cartilage were weighted to indirectly quantify the swelling behavior
16 (Maroudas, 1976; Maroudas et al., 1986; Mow and Schoonbeck, 1984). Integrated
17 with tension and compression, the isometric swelling behaviors of cartilage strips with
18 a fixed length were examined by changing the bath ionic concentration (Eisenberg
19 and Grodzinsky, 1985, 1987; Grodzinsky et al., 1981; Guilak et al., 1994; Mow and
20 Schoonbeck, 1984). Recently, the equilibrium swelling strain of the full-thickness
21 cartilage was optically measured (Narmoneva et al., 1999) and the transient swelling
22 and shrinkage strains were obtained using ultrasound (Wang and Zheng, 2006). When

1 articular cartilage was degenerated, the OA cartilage showed a more obviously
2 inhomogeneous change in swelling strain (Maroudas, 1976; Narmoneva et al., 2001).
3 However, many previous studies only investigated the equilibrated swelling of
4 articular cartilage, it is still not clear how the transient swelling behavior is altered
5 after the degeneration. Clinically, the detection of transient phenomena of cartilage
6 within a short time will be more significant than the detection of equilibrated one,
7 which normally requires a long time to obtain a result.

8

9 Our previous study has demonstrated that it is feasible to use high-frequency
10 ultrasound to monitor the transient behavior of articular cartilage during the free
11 swelling or shrinkage process induced by the concentration change of the bathing
12 saline solution as well as the progressive enzyme digestion (Zheng et al., 2004). In our
13 recent study, the quantitative ultrasonic characteristics of the transient changes of
14 normal bovine patellar cartilage under the osmotic loading were investigated (Wang
15 and Zheng, 2006). In comparison with the water-gain weighting and optical imaging
16 methods, ultrasound can provide the transient and depth-dependent swelling
17 information of the intact cartilage tissue *in situ*. In this study, the ultrasound method
18 was used to probe and quantify the altered transient inhomogeneous swelling behavior
19 of the trypsin-treated articular cartilage in comparison with the normal tissues.

20

21 **Specimen and Method**

22 *Specimen Preparation*

1 Based on Noyes grading scale (Noyes and Stabler, 1989), fresh mature (1.5 ~ 2 years)
2 normal bovine patellae (n = 30) were selected. Their cartilage surfaces were intact and
3 smooth with no visible defects and irregularities. One cartilage-bone plug was
4 prepared from the medial upper quadrant of each patella by using a metal punch with
5 a diameter of 6.35 mm (Wang and Zheng, 2006). The specimens were randomly and
6 equally divided into three groups, Group 10min, Group 20min, and Group 30min,
7 which would be treated by trypsin for 10, 20, and 30 minutes, respectively. Specimens
8 were wrapped in wet gauze soaked with physiological saline, and stored at -20°C until
9 testing. All the samples were tested within one month. Before testing, the cylindrical
10 plug was cut into two parts. The 2/3 portion was used as the testing sample and the
11 1/3 portion reserved as the control for the histological assessment only.

12

13 *Ultrasound System*

14 The manually controlled 3D ultrasound swelling measurement system (USMS)
15 introduced in our previous study (Fig. 1, Wang and Zheng, 2006) was employed to
16 monitor the transient shrinkage and swelling behavior of articular cartilage in a
17 non-contact and non-destructive way. This system mainly included a focused
18 ultrasound transducer with a central frequency of 35 MHz, a focal length of 12.7 mm,
19 a focal zone diameter of 0.1 mm, and a focal zone depth of 0.95 mm (Model PI50-2,
20 Panametrics, Waltham, MA, USA), an ultrasound pulser/receiver (Model 5601A,
21 Panametrics, Waltham, MA, USA), and a computer with an 8-bit A/D converter
22 (Model CompuScope 8500PCI, Gage, Canada) and a custom-designed program

1 developed in our previous study (Zheng et al., 2001, 2004) for the ultrasound
2 measurement of motion and elasticity (UMME). The ultrasound pulser/receiver was
3 used to drive the ultrasound transducer and receive the ultrasound echoes reflected by
4 the tissue. The received ultrasound signals were digitized by the A/D card with a
5 sampling rate of 500 MHz. The corresponding resolution for the time-of-flight
6 measurement for ultrasound echoes was 2 ns. After the peak of the cross-correlation
7 was identified, the corresponding signal was locally upsampled by 10 times to achieve
8 a time resolution of 0.2 ns. Assuming an average ultrasound speed in articular
9 cartilage of 1675 m/s (Patil et al., 2004), the corresponding displacement resolution in
10 the tissue could approach to approximately 0.17 μm . The ultrasound signals were
11 displayed on the monitor in real time and automatically saved into the hard disk for
12 offline data analysis. A high correlation ($\text{ICC} > 0.98$) of the maximum strains
13 obtained in our previous study (Wang and Zheng, 2006) demonstrates a good
14 repeatability of our ultrasonic measurement.

15

16 During the test, the 2/3 portion of the cartilage-bone plug was fixed on the bottom of
17 the container, surrounded by rubber gel (Blu-Tack, Australia), and then submerged in
18 the saline solution (Fig. 1). The outer ring of the surface of the cartilage disc with a
19 width of approximately 0.6 mm was gently covered by the rubber gel. Therefore, the
20 diffusion of ions and water and the penetration of trypsin enzyme were not allowed
21 from the sides of the specimen. Adjusting the three micrometers on the translating
22 stage (Model R301MMX/2201MMXY, Ball Slide Positioning Stages, Deltron

1 Precision Inc.), the focused ultrasound beam was aligned into the central portion of
2 the cartilage specimen with the maximum echo amplitude (Wang and Zheng, 2006).

3

4 *Experiment Protocol*

5 All the experiments were carried out at room temperature ($21\pm 1^\circ\text{C}$). The 2/3 portion
6 specimens were thawed for three hours in physiological saline before tested using the
7 manually controlled 3D USMS. The experiment protocol was as follows.

8

9 *A. Normal shrinkage-swelling test*

10 As described in our previous study (Wang and Zheng, 2006), the free shrinkage and
11 swelling behavior was induced by changing the concentration of the bathing solution.

12 After the specimen was mounted and equilibrated, the physiological saline solution
13 was rapidly removed using an injection syringe and replaced with the 2 M saline
14 within 30 seconds. The cartilage sample was given one hour to reach a new
15 equilibrium, during which the dynamic contraction of the cartilage layer at different
16 depths caused by the Donnan osmotic loading was observed in the ultrasound signals.

17 After that, the bathing saline was quickly changed back to 0.15 M saline solution
18 within 30 seconds, and the corresponding one-hour swelling procedure was also
19 monitored.

20

21 *B. Enzyme digestion*

22 After normal shrinkage-swelling test, the 0.15 M NaCl solution was rapidly replaced

1 with 0.25% trypsin solution. The specimens of Group 10min, Group 20min and Group
2 30min were immersed in the enzyme solution for 10 minutes, 20 minutes and 30
3 minutes, respectively. After the digestion, the enzyme solution was rapidly removed
4 out of the container and the physiological saline solution was refilled in. The residual
5 enzyme digestion lasted for three hours and was followed by the post-digestion
6 shrinkage-swelling test.

7

8 *C. Post-digestion shrinkage-swelling test*

9 While the PG-depleted specimen was remained in the container, the bathing solution
10 was quickly replaced with 2 M NaCl solution and the dynamic contraction process
11 was observed by ultrasound as described above. Correspondingly, the swelling test
12 was also performed using the abovementioned protocol by changing the saline from 2
13 M to 0.15 M. Then the tested specimen was wrapped in wet gauze soaked with
14 physiological saline and store at -20°C until the histological evaluation.

15

16 *D. Histological evaluation*

17 After the whole ultrasonic monitoring was completed, both the control (1/3 portion)
18 and the trypsin-digested (2/3 portion) specimens were thawed for histological
19 evaluation. The specimens were first preserved and fixed in a 10% buffered formalin
20 (pH 7.0) for more than 4 hours at room temperature. Then, they were decalcified in a
21 10% ethylenediaminetetracetic acid (EDTA) solution using ultrasound-assisted
22 decalcificater (Guo et al., 2005) till the attached bone tissue could be easily cut using

1 a scalpel. The tissue sections, 4 μ m in thickness, were prepared using rotatory
2 microtome (Leica RM-2135, UK), stained with safranin O (SiGMA, CAT NO. F7258,
3 USA) and contra-stained with fast green (SiGMA, CAT NO. S-2255, USA) (Leung et
4 al., 1999). Sections were examined by a light microscopy imaging system including
5 microscope (ECLIPSE 80i, Nikon, Japan) and digital camera (DXM 1200C, Nikon,
6 Japan). The PG content corresponded to the area fraction of the safranin O stained
7 zone in the histological image (Leung et al., 1999).

8

9 *Parametric Measurement*

10 Five quantitative parameters were extracted from the shrinkage-swelling
11 measurements. They were the transient strain and the maximum (or peak) strain of the
12 full-thickness cartilage layer, the time to reach the peak value named as duration, the
13 equilibrium swelling strains at different depths, and the percentage change of
14 ultrasound speed in the cartilage tissue. In this study, the time shift (T) of the
15 ultrasound echoes was measured using cross-correlation algorithm (Ophir et al., 1991;
16 Zheng et al., 2001). The displacement (d) of the cartilage surface equals to the product
17 of the sound speed c_s in the saline (either 0.15 M or 2 M) and the time shift value
18 (Eq. 1).

$$19 \quad d = c_s * T / 2 \quad (1)$$

20 In consideration of the period (approximately 30 seconds) of changing the saline
21 solution, the compensation to the displacement measurement was performed (Wang
22 and Zheng, 2006). Then, the strain (ε) of the full-thickness cartilage layer can be

1 calculated using Eq. 2.

$$2 \quad \varepsilon = \frac{d}{h} \quad (2)$$

3 where h is the thickness of the cartilage layer and given by $h = c_{ac} \frac{T_{ac}}{2}$, where c_{ac} is
4 the sound speed in the cartilage tissue and T_{ac} denotes the corresponding
5 time-of-flight of the ultrasound echoes throughout the cartilage matrix during
6 shrinkage or swelling phase.

7

8 At equilibrium, the strain of each sub-layer equals to the displacement of the sub-layer
9 divided by its thickness. Considering the depth-dependence of the sound speed in
10 articular cartilage, the equilibrium strain (ε_i) of the i^{th} arbitrary layer (from depth x_i to
11 depth x_{i+1}) after compensation can be expressed by Eq. 3 (Wang et al., 2007).

$$12 \quad \varepsilon_i = \frac{T'_{x_{i+1}} - T'_{x_i}}{t_{x_{i+1}} - t_{x_i}} \quad (i = 1, 2, \dots, N) \quad (3)$$

13 where N is the number of the sub-layers. T'_{x_i} and $T'_{x_{i+1}}$ are the compensated time
14 shifts of the echoes from the tissue at depths x_i and x_{i+1} , respectively. t_{x_i} and
15 $t_{x_{i+1}}$ are the original flight times of ultrasound at depths x_i and x_{i+1} , respectively. Using
16 the sound speed in the saline measured in this study and the average sound speed in
17 articular cartilage (1675 ± 51 m/s and 1781 ± 48 m/s in 0.15 M and 2 M saline,
18 respectively) (Patil et al., 2004), the changed sound speed in articular cartilage could
19 be calculated from Eq. (4) (Wang and Zheng, 2006).

$$20 \quad c'_{ac} = \frac{d + c_{ac} * T_i}{T_{i+1}} \quad (4)$$

1 where c_{ac} and c'_{ac} are the sound speeds in articular cartilage at the moment of t_i and
2 t_{i+1} , respectively; T_i and T_{i+1} are the flight time of ultrasound in the cartilage matrix
3 at t_i and t_{i+1} , respectively. d is the displacement of the cartilage surface occurred
4 between t_i and t_{i+1} .

5

6 *Data Analysis*

7 Due to the relatively small number of samples in this study, the non-parametric
8 Wilcoxon's signed rank test was used for the statistical comparisons of paired samples.
9 Kruskal-Wallis test was used to test the statistical difference between groups with
10 different trypsin digestion periods. Spearman's correlation was used to test the
11 relationship between peak shrinkage strain and peak swelling strain. The statistical
12 analyses were conducted using SPSS software (V11.5, SPSS Inc., Chicago, USA).

13

14 **Results**

15 Fig. 2 shows the M-mode images of the ultrasound signals collected during swelling
16 and shrinkage processes before trypsin digestion. The deformations of the cartilage at
17 different depths, especially the superficial layer (indicated by white arrows in Fig. 2)
18 were dynamically indicated in the ultrasonic images. The digestion process was
19 clearly shown by the echoes generated at the interface between the digested and
20 undigested tissues (Fig. 3). These echoes formed an inclined streak of the digestion
21 front and can be observed. After digested with trypsin, the degenerated cartilage
22 specimens showed weaker shrinkage and swelling behaviors (Fig. 3c,d) in comparison

1 with the normal specimens (Fig. 2b,c). The average transient shrinkage or swelling
2 strains of the normal cartilage over the 10 specimens were compared with those of the
3 degenerated samples in Fig. 4.

4

5 The overshoot relaxation phenomena during the shrinkage and swelling phases were
6 observed in this study for both the normal and degenerated cartilage tissues. For the
7 degenerated cartilage, the mean overshoot amplitude of the shrinkage behavior
8 changed with a greater decrease than that of the swelling behavior (Fig. 4). The
9 absolute values of the peak shrinkage strain significantly ($p < 0.05$) decreased by
10 45.4%, 42.1% and 50.6% respectively after the trypsin digestion for 10, 20 and 30
11 minutes (Fig. 5a), but no significant difference between different groups was found (p
12 > 0.05). The peak swelling strains for the three groups did not significantly ($p > 0.05$)
13 change before and after the digestions and similarly no significant difference between
14 different groups was found ($p > 0.05$, Fig. 5b). Therefore, in view of all the samples
15 together, a significantly larger absolute value of the overall mean peak strain of
16 shrinkage (0.009 ± 0.003) was obtained compared with that of the swelling process
17 (0.004 ± 0.002) for the normal specimens ($p < 0.001$). After trypsin digestions, this
18 absolute value for the overall shrinkage strain significantly reduced to 0.005 ± 0.002
19 ($p < 0.05$) while that for the overall mean peak swelling strain insignificantly reduced
20 to 0.003 ± 0.001 ($p > 0.05$).

21

22 The statistical results of shrinkage duration and swelling duration between different

1 groups showed no significant change ($p > 0.05$, Fig. 6). For Group 20min and Group
2 30min, the duration did not change significantly ($p > 0.05$). However, the duration of
3 the 10-minute digested samples significantly decreased in comparison with the
4 corresponding normal samples ($p < 0.05$). Meanwhile, large standard deviations in the
5 duration measurement for both the normal and degenerated cartilage tissues were
6 observed.

7

8 Along the depth direction, the swelling strains of different layers (surface
9 (approximately 15% of the total thickness), middle (approximately 55%), and deep
10 layer (approximately 30%), Mow et al., 2005; Wang et al., 2007) were measured at
11 equilibrium. It was found that compared with the normal tissues of Group 30min, the
12 mean swelling strain for the degenerated tissues increased at the superficial layer,
13 decreased at the middle layer significantly ($p < 0.05$), and changed little at the deep
14 layer (Fig. 7a). However, for the other two groups digested with shorter time, the
15 strains of the three layers changed insignificantly ($p > 0.05$, Fig. 7b,c).

16

17 After the depletion of the PG content, the percentage change of the sound speed in
18 articular cartilage during both the shrinkage and swelling processes remained to be
19 exponentially dependent on the measurement time (Fig. 8), expressed as
20 $y = A + Be^{Ct}$. The overall speed change ($5.1 \pm 0.8 \%$ and $-4.9 \pm 1.2 \%$ for the
21 shrinkage and swelling processes, respectively) for the degenerated cartilage was
22 similar to the values ($5.2 \pm 1.5 \%$ and $-5.1 \pm 1.1 \%$) for normal samples. There was no

1 significant difference among the three groups ($p > 0.05$).

2

3 Fig. 9 shows the correlation between the peak swelling strain and the peak shrinkage
4 strain of the normal cartilage ($R = -0.45$, $p < 0.05$) and a similar but insignificant
5 correlation ($R = -0.315$, $p = 0.09$) was obtained for the trypsin-digested samples.

6

7 **Discussion**

8 This study systematically investigated the osmosis-induced shrinkage-swelling
9 behavior of the degenerated cartilages in comparison with the normal tissues using
10 ultrasound. It was found that the absolute value of the peak shrinkage strain of the
11 full-thickness cartilage layer decreased (45.4%, 42.1% and 50.6% for the three groups,
12 respectively) significantly after the PG content was digested by trypsin. The possible
13 reasons for a relatively less decrease for the 20-minute treated samples in comparison
14 with the other two groups might be as follows. (1) The interval of the digestion time
15 (10 minutes) was not enough to induce significant difference between groups. It may
16 be because of the 3-hour residual digestion in physiological saline performed in this
17 study, which appeared to weaken the difference in pure trypsin digestion between
18 different groups. Its effect will be investigated in our future study in details. (2) The
19 individual differences among specimens include cartilage thickness and PG
20 concentration. (3) The small number of specimens was used in this study. It is
21 suggested that a larger number of samples should be involved in the experiment to
22 reduce the effect of the individual differences between specimens and that a longer

1 digestion time should be designed to generate the degenerated specimens with
2 significant changes.

3 The result that the peak swelling strain only decreased insignificantly is similar to the
4 study of Narmoneva et al. (2001). They reported that the swelling strain of the mild
5 degenerated cartilage changed little in comparison with the normal tissue. However,
6 other previous studies have claimed that the increase in cartilage volumetric swelling
7 (or water gain) would be regarded as one of the early signs of OA (Maroudas et al.,
8 1986; Torzilli et al., 1990). Different digestion methods or severities of the
9 collagen-PG matrix might be responsible for this discrepancy. It was reported that
10 damage and loss of collagen fibres might result in stronger swelling behavior
11 (Narmoneva et al., 2001). Mankin et al. (2000) also suggested that the loss of PGs and
12 the limitation of the unchanged collagen network might be the determinative factors
13 in the decrease of swelling pressure and the loss or gain of water. According to earlier
14 study, no qualitative changes in the collagen network of the trypsin-digested cartilage
15 were found in polarized light microscopy images, although statistically significant
16 decrease of ultrasound reflection from the cartilage surface indicated the effect of
17 trypsin digestion on the collagen network (Nieminen et al., 2002). It has been known
18 that trypsin enzyme causes minor degeneration of collagen network (Harris et al.,
19 1972). However, the quantitative effect of collagen damage on the shrinkage and
20 swelling behavior has not been reported. In this study, the ultrasound M-mode image
21 showed that besides the inclined digestion trace of the additional echoes, the echoes
22 from the cartilage matrix shifted slightly, indicating that the progressive digestion did

1 not obviously influence the ultrasound scattering signals from the tissue. This was
2 consistent with the result of sound speed measurement. Some previous studies also
3 suggested that trypsin decomposed PG macromolecules but only caused a minor
4 change in the condition of the whole matrix, such as the impedance or density of the
5 cartilage matrix (Gu et al., 1999) and cartilage structure and composition (Lyyra et al.,
6 1999). Therefore, the damage of collagen network induced by trypsin has not been
7 quantitatively determined. Using collagenase enzyme tends to be an alternative
8 method to study the swelling behavior of the collagen-damaged articular cartilage.

9
10 Previous studies usually used the condition of articular cartilage in 2 M saline solution
11 as the reference configuration and did not regard it as a testing phase (Eisenberg and
12 Grodzinsky, 1985; Lai et al., 1991; Narmoneva et al., 1999). In the current study, not
13 only the swelling behavior but also the shrinkage (also known as de-swelling)
14 behavior was investigated. The result of the correlation between the peak swelling and
15 shrinkage strains demonstrated that the cartilage tended to swell with larger amplitude
16 if it had undergone a stronger shrinkage. Meanwhile, it was found for normal and
17 digested cartilage that there was a significant difference between the transient
18 responses during the shrinkage and swelling processes in the strain amplitude. This
19 difference might be caused by the special ‘overshoot-relaxation’ phenomenon which
20 had been discussed in our previous study (Wang and Zheng, 2006) and was also
21 observed in the current work and became insignificant after PGs were digested. The
22 cartilage surface layer with a collagen network may significantly limit cartilage

1 swelling (Setton et al., 1998), but it may also have slight limitation on shrinkage
2 behavior due to the osmotic pressure generated by the hypertonic bathing solution and
3 applied on the cartilage in an opposite direction compared with the swelling process.
4 In addition, another two factors should be taken into account in this study, the
5 equilibrating time after the bathing saline solution is changed and the time of solution
6 change. Many previous studies on free swelling of articular cartilage allowed
7 specimens with different shapes and sizes to reach equilibrium within approximately
8 one hour (Eisenberg and Grodzinsky, 1985, 1987; Flahiff et al., 2004; Myers et al.,
9 1984; Setton et al., 1998; Wang et al., 2002; Zheng et al., 2004). Some other studies
10 gave the specimens a longer time (e.g. 4 hours) to approach equilibrium (Flahiff et al.,
11 2002; Narmoneva et al., 1999). In this study, we noted that a majority of the
12 specimens were close to an equilibrium state after 60 minutes. It appeared that
13 cartilage specimens from different patella required different equilibrating time during
14 the free swelling. Although efforts had been made to change the solution as quickly as
15 possible, the time used to perform this might affect the swelling or shrinkage process.
16 It is obvious in Fig. 9 that the normal articular cartilage has a larger peak shrinkage
17 strain than the digested one for the same peak swelling strain, particularly for the
18 specimens with small peak swelling strains. The potential reason for the phenomenon
19 might be the damage of the collagen network during the trypsin digestion, which has
20 been report to have some degrees of effects to the collagen (Harris et al., 1972).
21 Because of the damage of collagen, the matrix is not able to shrink as strong as that in
22 the normal cartilage under the high saline concentration. Nevertheless, further studies

1 are required for a better understanding of the relationship between these two phases.

2

3 In addition, it was found that the transient shrinkage strain of the degenerated
4 cartilage become positive when the surface returns to the original position after
5 approaching the peak value (Fig 4b.). The possible reasons may be that the PG
6 depletion caused a decrease of the stiffness of the collagen-PG solid matrix (Mow and
7 Hung, 2001). The softer matrix might have excessive response to the relaxation from
8 the overshoot and gradually approach the original position at equilibrium. The
9 relatively larger overshoot amplitude of the shrinkage behavior might explain why
10 this kind of phenomenon was only found during the shrinkage phase.

11

12 The current result of the depth-dependence of the swelling-induced strains for the
13 degenerated samples was consistent with the result of a previous study on the swelling
14 strain of the damaged cartilage (Narmoneva et al., 2001). The non-uniform
15 distribution of the equilibrium swelling strain at different depths of the full-thickness
16 cartilage might be explained by the constraint of collagen network in the surface layer,
17 the distributions of PGs, collagen fibres and water, and the support of the subchondral
18 bone layer. It has been well known that the middle layer contained more PGs than the
19 surface layer (Mow et al., 2005; Narmoneva et al., 2001). Therefore, with the loss of
20 PGs, the swelling strain at the middle zone significantly reduced while the swelling
21 strain at the surface zone increased. The strain at the deep zone changed relatively
22 little probably because the deep zone was not digested by trypsin, which was

1 confirmed by both the histological and ultrasound measurements.

2

3 **Conclusion**

4 The “overshoot-relaxation” transient shrinkage-swelling behavior induced by
5 changing the concentration of the bathing solution for both normal and degenerated
6 cartilage tissues was observed using high-frequency ultrasound in this study. It was
7 found that the trace of the digestion front could be clearly tracked, and the peak
8 shrinkage strain decreased significantly while the peak swelling strain only had an
9 insignificant decrease after the cartilage tissues were digested by trypsin for 10 to 30
10 minutes. No significant difference in the percentage change of the ultrasound speed
11 during shrinkage and swelling phases was observed after the tissues were
12 trypsin-treated. The results demonstrated that PG depletion affected the shrinkage and
13 swelling behaviors of articular cartilage remarkably but had little effect on the
14 ultrasound speed. The proposed ultrasound method has been successfully used to
15 measure the transient swelling behavior of the OA-like articular cartilage. However,
16 the spontaneous OA is a process related to a multi-composition change of articular
17 cartilage too complex for the experimentally enzyme-treated articular cartilage to
18 stand for. Thus further studies are required to investigate the swelling behavior of the
19 spontaneous OA articular cartilage using our ultrasound method.

20

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2

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1 Fig. 1 Schematic of ultrasound swelling measurement system (USMS) monitoring the
2 transient changes of articular cartilage induced by osmotic loading. A fine copper wire
3 was attached under the transducer and approximately 1.5 mm from the surface of the
4 cartilage specimen to generate a calibration echo for the compensation of the effect of
5 temperature fluctuation on the measurement results (Wang and Zheng, 2006).

6

7 Fig. 2 The M-mode ultrasound images collected from a consistent site of one
8 specimen during different monitoring processes: (a) Equilibrium in 0.15 M saline; (b)
9 Shrinkage process after 0.15 M saline was replaced with 2M saline; (c) Swelling
10 process after 2 M saline was replaced with 0.15 M saline; (d) Histological image of
11 normal cartilage section stained with safranin O and fast green. The white arrows
12 indicate the deformations of articular cartilage during the beginning period of the
13 shrinkage and swelling phase.

14

15 Fig. 3 The M-mode ultrasound images collected from the same site as in Fig. 2 during
16 trypsin digestion and other post-digestion monitoring processes: (a) Trypsin digestion
17 after the 0.15 M saline was replaced with 0.25% trypsin solution; (b) Residual
18 digestion after trypsin solution was replaced with 0.15 M saline; (c) Shrinkage process;
19 (d) Swelling process; and (e) Histological image of the degenerated cartilage section.
20 The area stained with red color (dark in grey image) indicates the PG content reduced
21 in comparison with Fig. 2d. Black arrows show the digestion trace in (b-d) and the
22 interface of the digested and undigested tissues in (e). The white arrows indicate the

1 deformations of articular cartilage during the beginning period of the shrinkage and
2 swelling phase, which are with smaller amplitude than those in Fig. 2.

3

4 Fig. 4 The comparisons of the transient shrinkage (a) and swelling (b) strains between
5 the normal and degenerated cartilage tissues. The light grey and black solid curves
6 represent the mean strains measured before and after trypsin digestion, respectively.

7

8 Fig. 5 The comparisons of the peak shrinkage (a) and swelling (b) strains between the
9 normal and degenerated cartilage tissues. The error bars represent standard deviation
10 (n = 10). * Significant difference ($p < 0.05$ by Wilcoxon's signed rank test) between
11 the normal and degenerated samples.

12

13 Fig. 6 The comparisons of the shrinkage (a) and swelling (b) duration between the
14 normal and degenerated cartilage tissues. The error bars represent standard deviation
15 (n = 10).

16

17 Fig. 7 The mean equilibrium swelling strains at the deep, middle and surface layers of
18 the degenerated cartilage samples in comparison with normal samples. (a) Group
19 10min, (b) Group 20min and (c) Group 30min

20

21 Fig. 8 The comparison of the percentage change of ultrasound speed in articular
22 cartilage between the normal and degenerated samples. The error bar represents

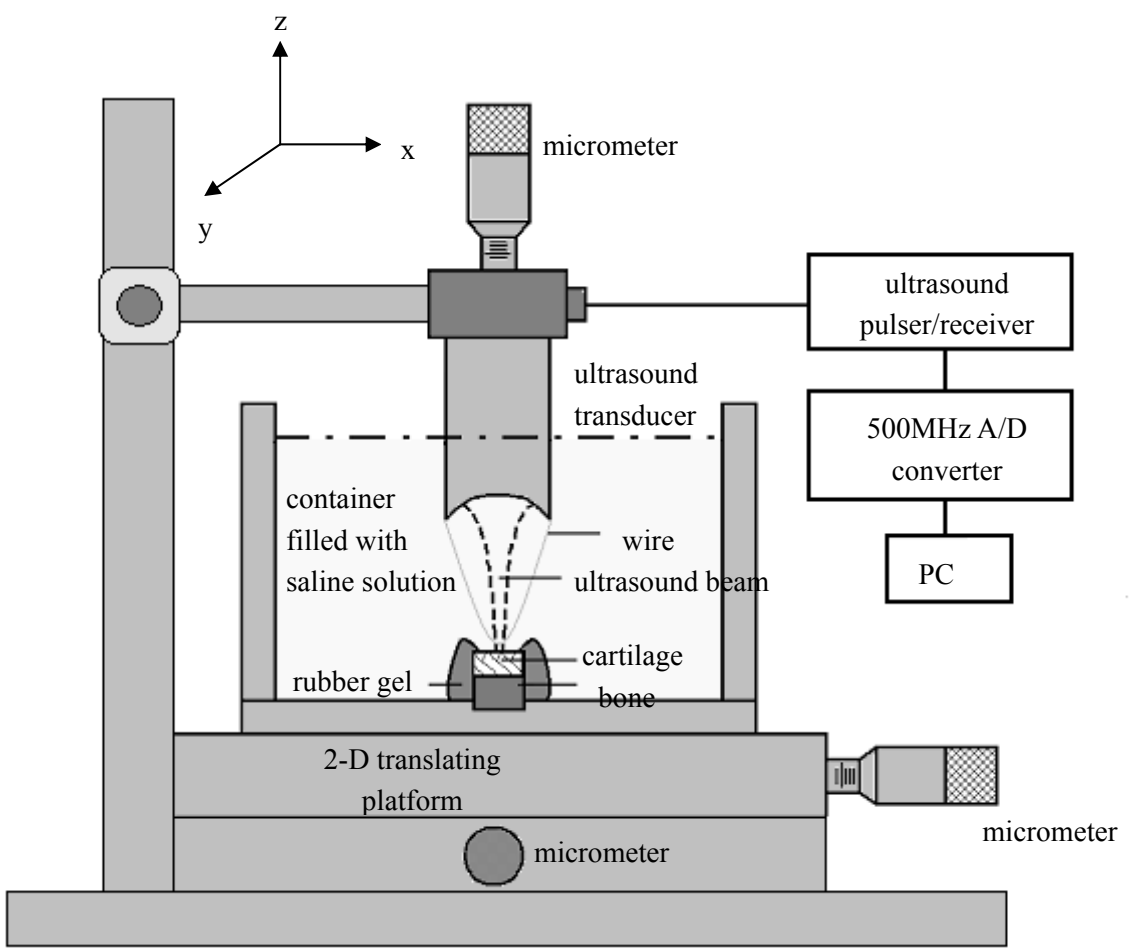
1 standard deviation ($n = 10$).

2

3 Fig. 9 Correlations between the peak shrinkage strain and the peak swelling strain for
4 the normal and degenerated specimens.

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Fig. 1

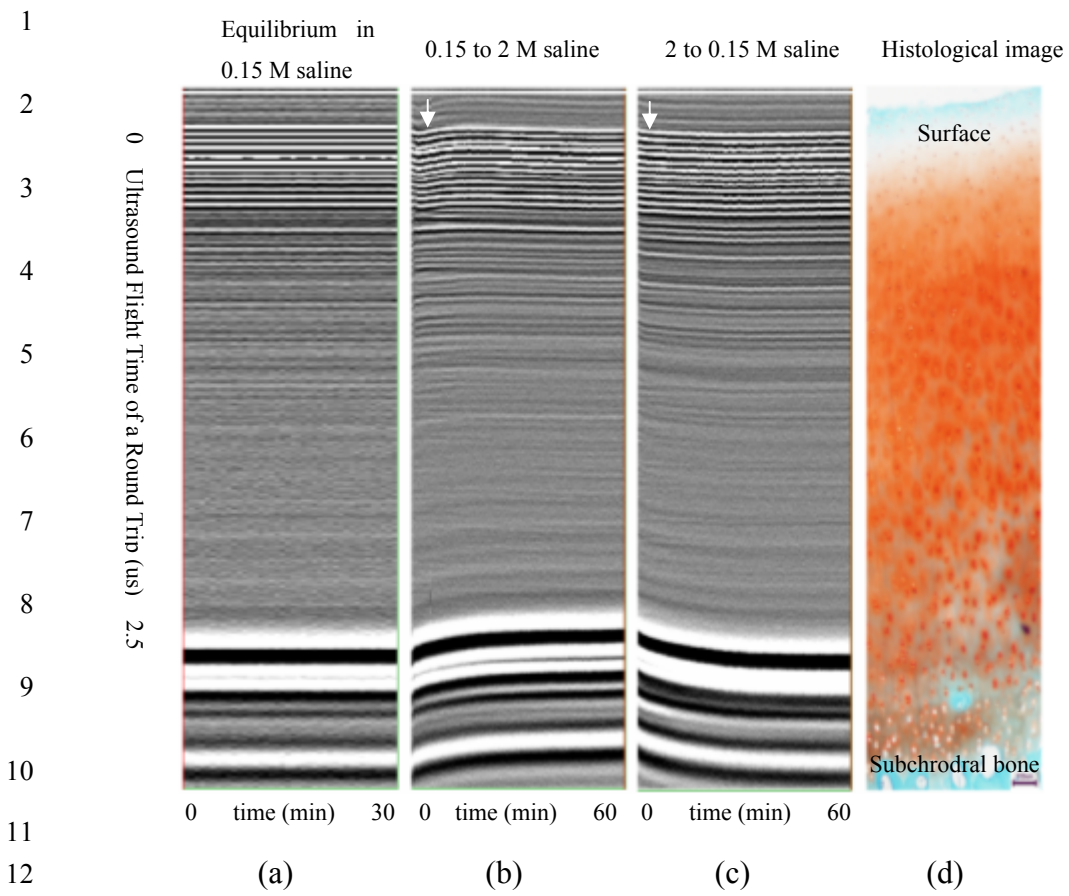


Fig. 2

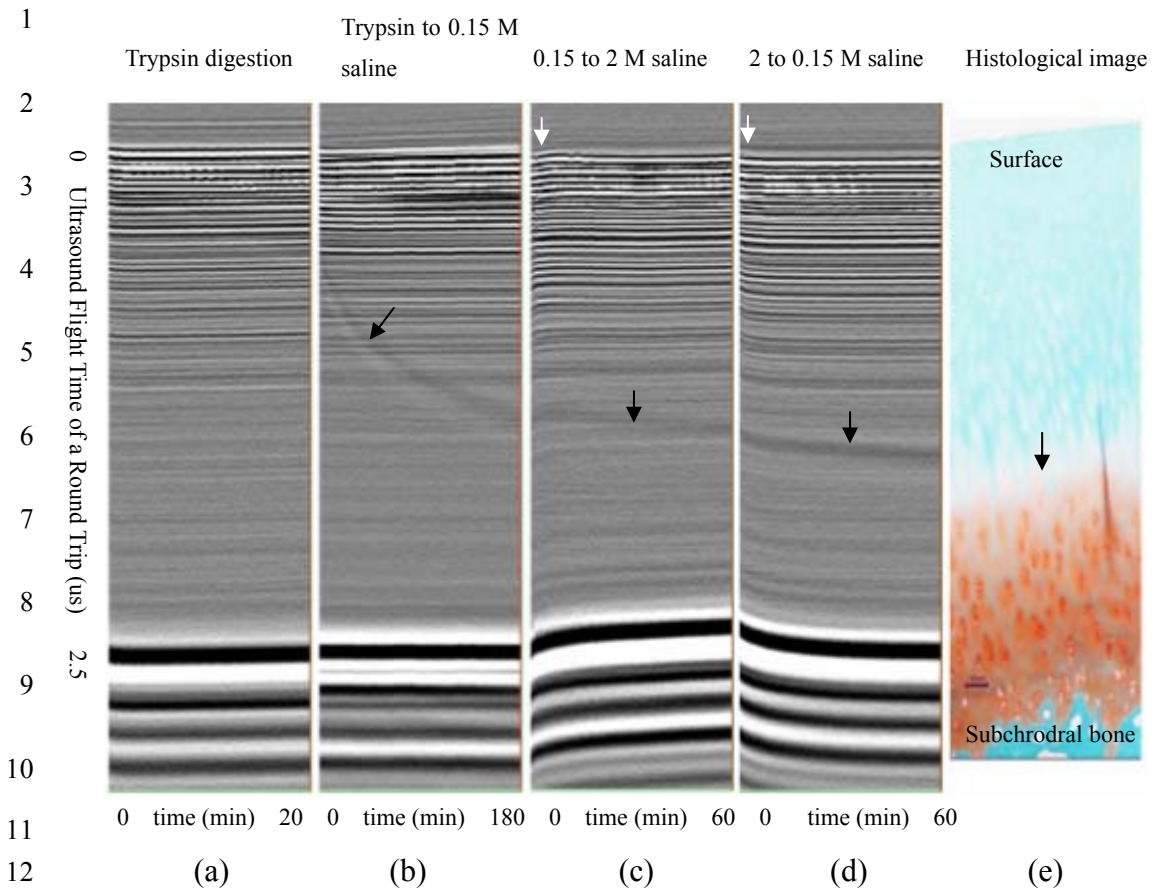
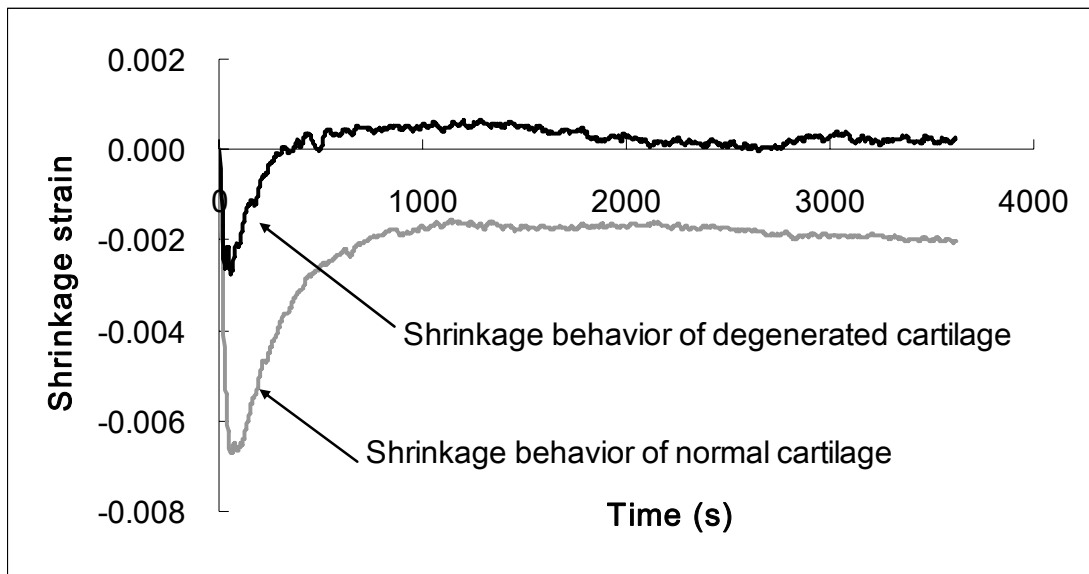


Fig. 3

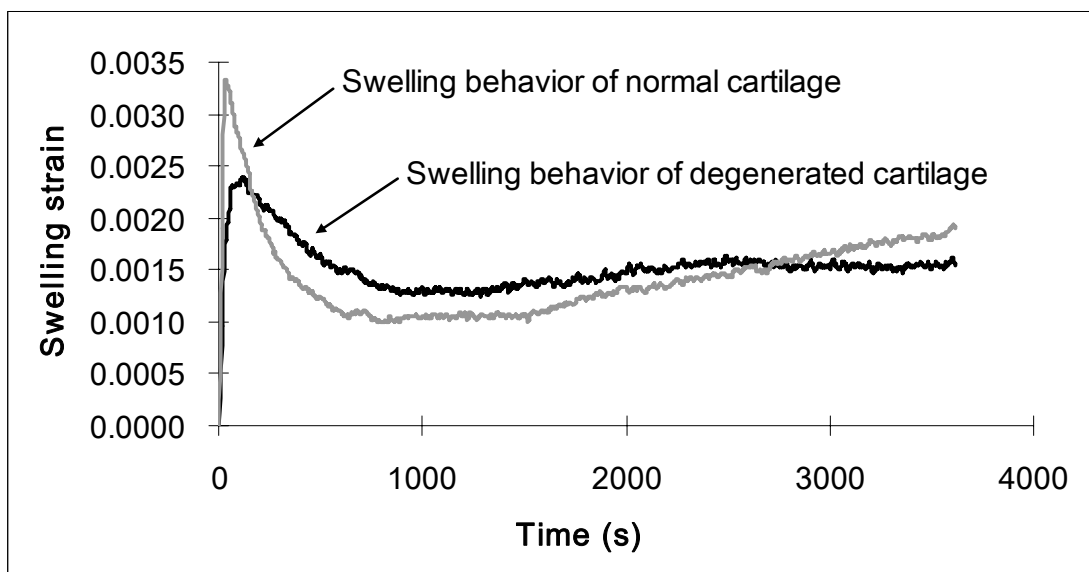
1



2

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(a)



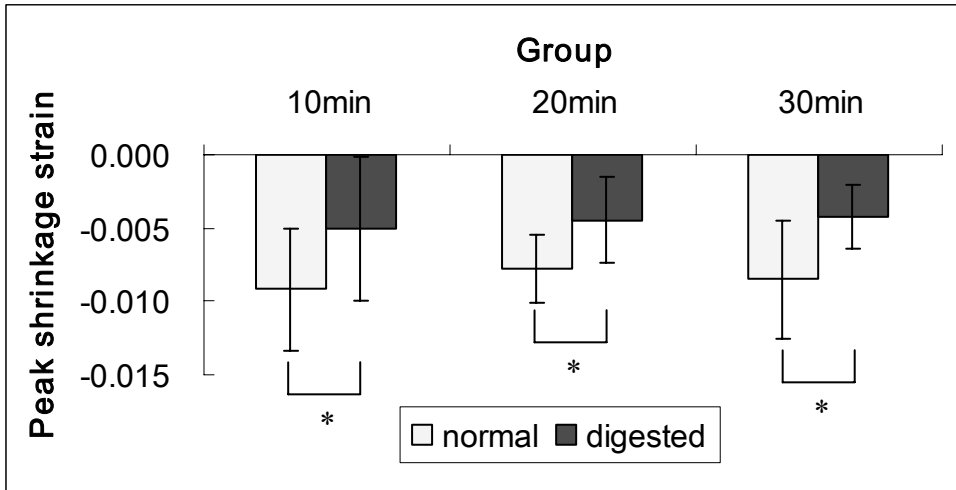
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(b)

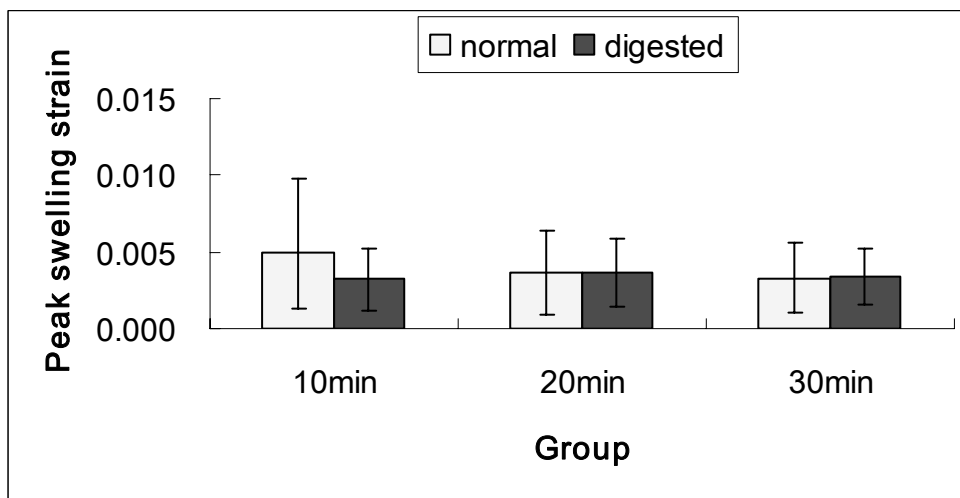
6 Fig. 4

7



(a)

1

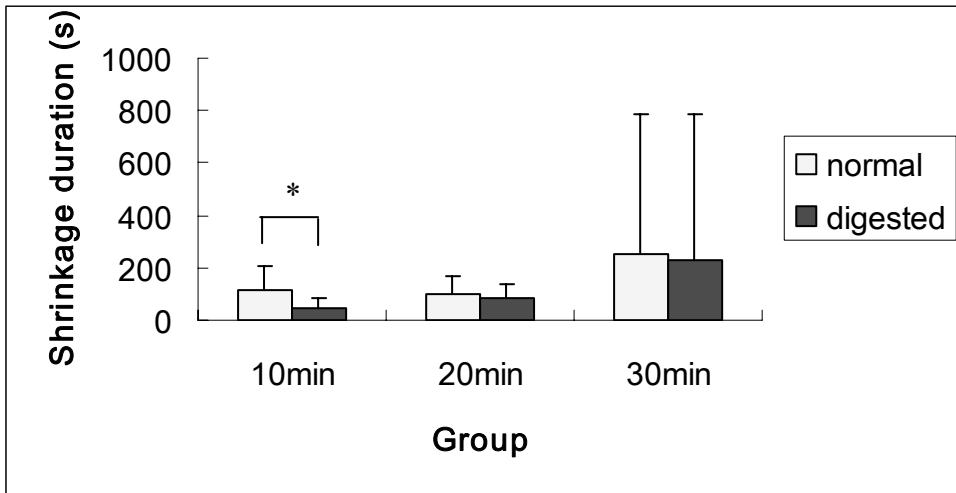


(b)

2

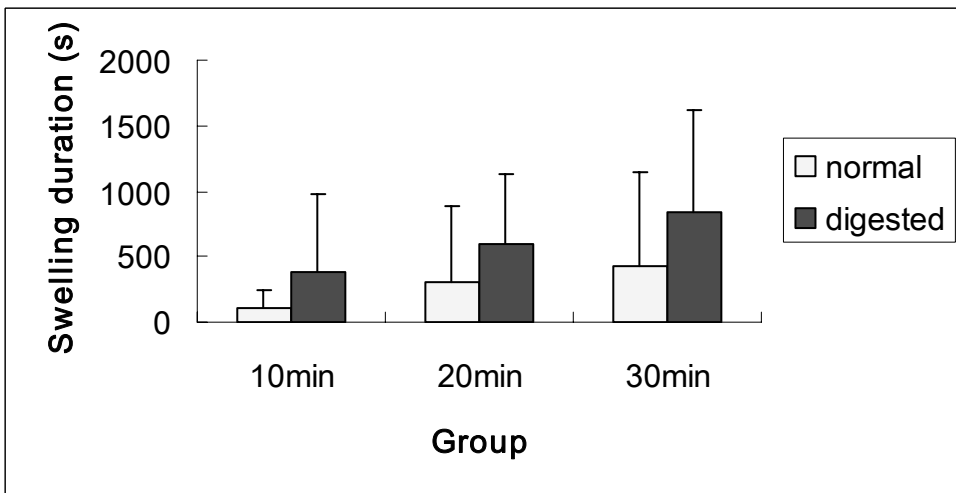
3 Fig. 5

1



2

(a)

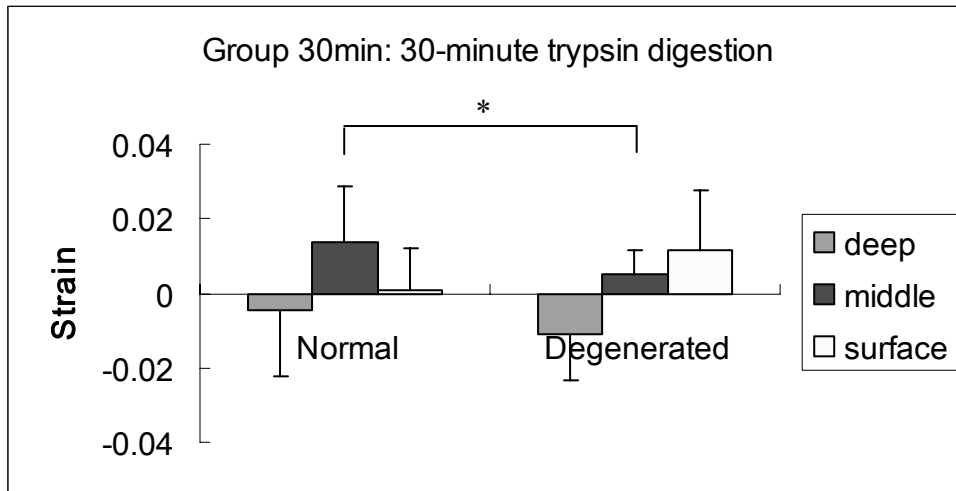


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(b)

4 Fig. 6

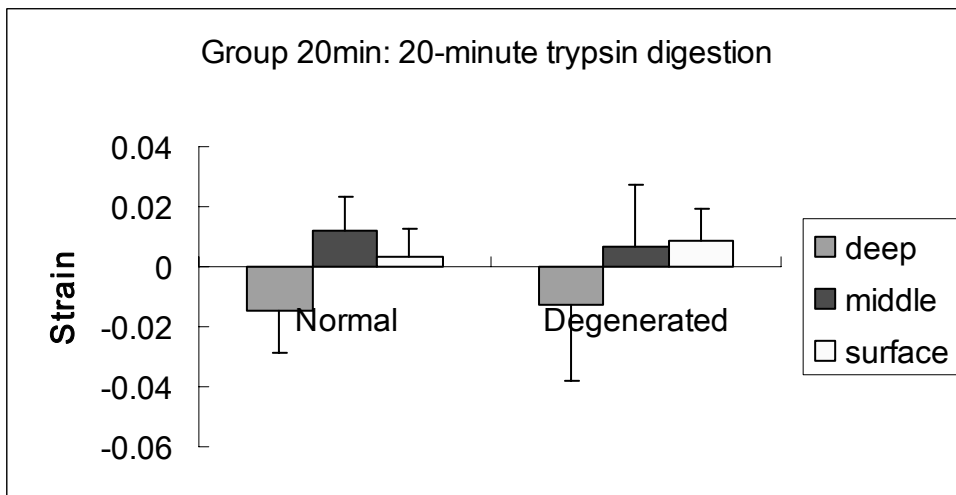
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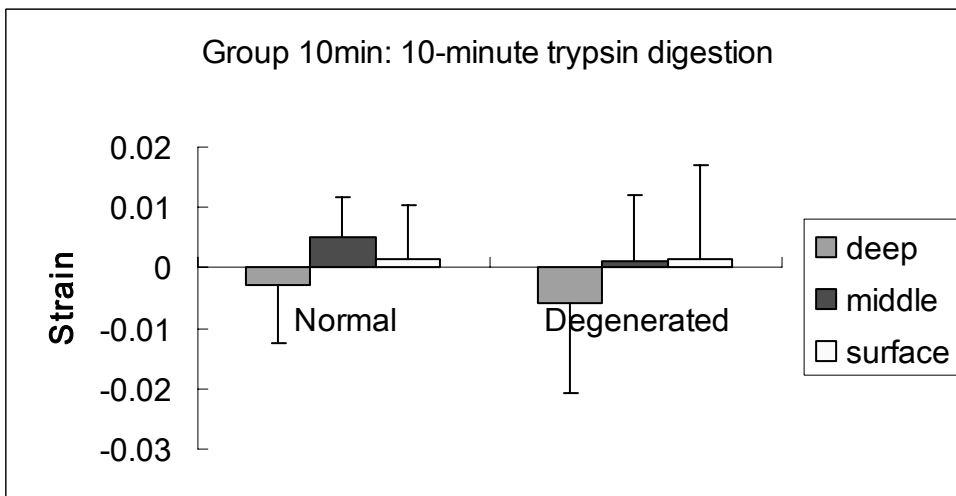
(a)



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(b)

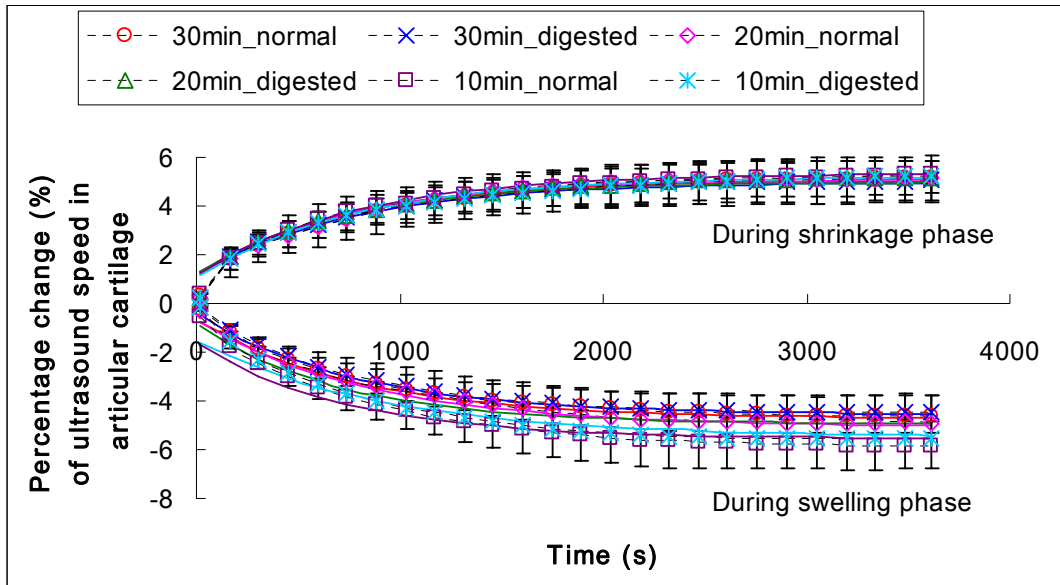


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(c)

7 Fig. 7

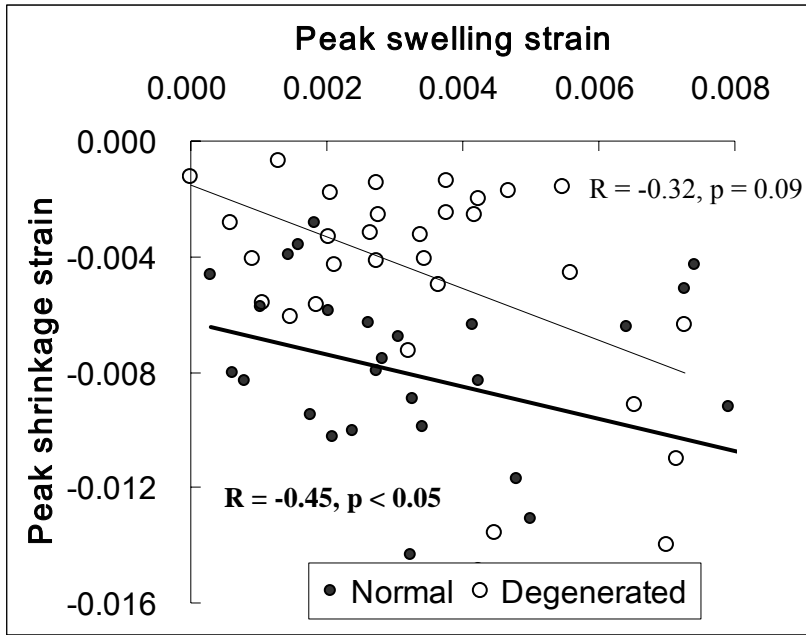


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2 Fig. 8

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3 Fig. 9

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