

## A note on resource allocation scheduling with group technology and learning effect on a single machine

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### Abstract

In this paper, a single machine group scheduling with learning effect and convex resource allocation is studied. The goal is to find the optimal job schedule, the optimal group schedule, and resource allocations of jobs and groups. For the problem of minimizing the makespan subject to limited resource availability, it is proved that the problem can be solved in polynomial time under the condition that the setup time of groups are independent. For the general setup time of groups, a heuristic algorithm and a branch-and-bound algorithm are proposed respectively. The computational experiments show that the performance of the heuristic algorithm is fairly accurately in obtaining near-optimal solutions.

**Keywords:** Scheduling; Learning effect; Resource allocation; Group technology; Heuristic algorithm; Branch-and-bound algorithm

## 1 Introduction

In recent years, a lot of work has been done on production systems in which job processing times may be changing due to the phenomenon of learning and/or resource allocation. Extensive surveys of different scheduling models and problems involving learning and resource allocation (controllable processing times) can be found in Biskup (2008) and Shabtay and Steiner (2007). More recently, papers Niu et al. (2015), Shiao et al. (2015), Zhang and Wang (2015), and Xu et al. (2016) considered scheduling problems with learning effects. Niu et al. (2015) considered some scheduling problems in which the actual processing time of a job is a function of the sum of the function of the processing times of the jobs already processed and job position. They proved that some single machine scheduling problems and some special cases of flow shop scheduling problems can be solved in polynomial time. Shiao et al. (2015) considered a two-machine flow-shop scheduling with learning effects. For a two-agent case (i.e., to minimize the total completion

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time of the jobs from one agent, given that the maximum tardiness of the jobs from the other agent cannot exceed a bound), they proposed a branch-and-bound algorithm and several genetic algorithms. Zhang and Wang (2015) considered single-machine due window assignment scheduling with learning effect. Xu et al. (2016) considered an order scheduling with position-based learning effect. Papers Yang et al. (2014), Hsieh et al. (2015), Jiang et al. (2015), Herr and Goel (2016) considered scheduling problems with resource allocation (controllable processing times). Yang et al. (2014) considered single machine resource allocation scheduling problems with multiple due windows assignment. They proved that the problems can be solved in polynomial time respectively. Hsieh et al. (2015) considered unrelated parallel machine scheduling with discrete controllable processing times. Jiang et al. (2015) considered a hybrid flow shop scheduling problem with controllable processing times. Herr and Goel (2016) considered total tardiness minimization single machine scheduling problem with family setups and resource constraints. Papers Liu and Feng (2014), Lu et al. (2014), Wang and Wang (2014b), Yin et al. (2014), Li et al. (2015), Wang and Wang (2015), and He et al. (2016) considered scheduling problems with simultaneous considerations of learning effects and resource allocation. Liu and Feng (2014) considered flowshop scheduling with learning effect and convex resource-dependent processing times. For the two-machine no-wait case, they proved two cost functions can be solved in polynomial time. Lu et al. (2014) considered two due-date assignment problems with learning effect and resource-dependent processing times. Wang and Wang (2014b) considered common due-window assignment problems with learning effect and resource-dependent processing times. Li et al. (2015) considered slack due-window assignment problems with learning effect and resource-dependent processing times. Wang and Wang (2015) considered scheduling problems with job-dependent learning effect and convex resource-dependent processing times. He et al. (2016) considered scheduling problems with general truncated job-dependent learning effect and resource-dependent processing times.

On the other hand, the production efficiency can be increased by group technology, i.e., grouping various parts and products with similar designs and or production processes (Shabtay et al. (2010), Wang and Wang (2014a), Yin et al. (2014), Keshavarz et al. (2015)). Qin et al. (2016) considered flowshop scheduling with group technology and position-based learning effect. To the best of our knowledge, apart from the recent paper of Zhu et al. (2011), it has not been investigated the scheduling problems with simultaneous considerations of learning effects, resource allocation and group technology. *“The phenomena of learning effects, resource allocation and group technology occurring simultaneously can be found in many real-life situations. For example, in the chemical industry, the processing time of a chemical compound can be changed by increasing the amount of catalysts, which entails some extra costs (Wang and Cheng [2005]). Clearly, compressing jobs would be rational and possible only if the additional cost is*

compensated by the gains from job completion at an earlier time. The scheduling problem with controllable processing times is concerned with determining not only the job sequence but also the amount of compression for each job so as to minimize the total cost. On the other hand, the learning effects reflect that the workers become more skilled to operate the machines through experience accumulation, and group technology can increase the production efficiency. For this situation, considering these the job learning effects, resource allocation and group technology in job scheduling is both necessary and reasonable. (Wang et al. [2010], and Zhu et al. [2011]).” Zhu et al. (2011) considered single-machine group scheduling with resource allocation and learning effect, i.e., the linear resource allocation model:  $p_{ij}^A = p_{ij}r^{b_1}l^{b_2} - \beta_{ij}u_{ij}$ , the convex resource allocation model:  $p_{ij}^A = \left(\frac{p_{ij}r^{b_1}l^{b_2}}{u_{ij}}\right)^k$ ,  $u_{ij} > 0$ , and  $s_i^A = s_i r^{b_1}$ , where  $b_1 \leq 0$  and  $b_2 \leq 0$  denote the learning indices of the group and job learning effect respectively, and  $u_{ij}$  is the amount of a non-renewable resource allocated to job  $J_{ij}$ , with  $0 \leq u_{ij} \leq \bar{u}_{ij} < \frac{p_{ij}m^{b_1}(n_m)^{b_2}}{\beta_{ij}}$ , where  $\bar{u}_{ij}$  denote the maximum amount of resource allocated to job  $J_{ij}$  and  $\beta_{ij}$  is the positive compression rate of job  $J_{ij}$ ,  $k > 0$  is a given constant. They showed that the problems for minimizing the weighted sum of makespan and total resource cost remain polynomially solvable. In the real production management, the resource is precious, and the resource availability is limited, hence in this study, the results of Zhu et al. (2011) are extended, that is, the single machine group scheduling with learning effect and resource allocation is considered subject to limited resource availability .

The remainder of this article is organized as follows. Section 2 formulates the problem. Section 3 derives the properties of the optimal schedule for the problem and provide solution algorithms for them. Section 4 provides some numerical experiments. Section 5 gives some extensions. The last section contains some conclusions and suggests some future research topics.

## 2 Problem formulation

The model is described as follows.  $n$  independent jobs to be grouped into  $m$  groups, and to be processed on a single machine is considered. All jobs and the machine are available at time zero. It is assumed that there is no setup time between two consecutive jobs in the same group. However, a group setup time is required to process a group. It is also assumed that the processing of a job may not be interrupted. Let  $n_i$  be the number of jobs belonging to group  $G_i$ , thus,  $n_1 + n_2 + \dots + n_m = n$ , and  $J_{ij}$  denote the  $j$ th job in group  $G_i$ ,  $i = 1, 2, \dots, m; j = 1, 2, \dots, n_i$ . Let  $p_{ij}$  be the original (normal) processing time of job  $J_{ij}$ . As in Zhu et al. (2011), if the job  $J_{ij}$  is scheduled in group position  $r$  and internal job position  $l$ , the actual processing time of the

job is

$$p_{ij}^A = \left( \frac{p_{ij} r^{a_1} l^{a_2}}{u_{ij}} \right)^k, u_{ij} > 0, \quad (1)$$

where  $k > 0$  is a given constant,  $a_1 \leq 0$  and  $a_2 \leq 0$  denote the learning indices of the group and job learning effect respectively. As in Zhu et al. (2011), the actual setup time of group  $G_i$ , if it is scheduled in the  $r$ th group in the sequence, is given by

$$s_i^A = s_i r^{a_3}, \quad (2)$$

where  $s_i$  is the original (normal) setup time of group  $G_i$ ,  $a_3 \leq 0$  denotes the learning index of the group learning effect.

For a given schedule  $S$ , let  $C_{ij} = C_{ij}(S)$  denote the completion time of job  $J_{ij}$  in group  $G_i$  under schedule  $S$ , and  $C_{\max} = \max\{C_{ij} | i = 1, 2, \dots, m; j = 1, 2, \dots, n_i\}$  denote the makespan of a given schedule. Our goal is to find the job sequence  $\pi_i^*$  ( $i = 1, 2, \dots, m$ ), the optimal group sequence  $\zeta^*$ , and the resource allocation  $u^*$  so as to minimize  $C_{\max}$  subject to  $\sum_{i=1}^m \sum_{j=1}^{n_i} u_{ij} \leq U$ , where  $U > 0$  is a given real number and denotes the total resource amount spent on compression. Using the three-field notation (Graham et al. 1979), the problem can be denoted as  $1 | GT, C_{RALE}, \sum_{i=1}^m \sum_{j=1}^{n_i} u_{ij} \leq U | C_{\max}$ , where  $C_{RALE}$  means “convex resource allocation and learning effect”. Zhu et al. (2011) considered the problem  $1 | GT, C_{RALE}, a_3 = a_1 | \beta_1 C_{\max} + \beta_2 \sum_{i=1}^m \sum_{j=1}^{n_i} u_{ij}$ , where  $\beta_1$  and  $\beta_2$  are positive parameters decided by the decision-makers, they proved that the problem  $1 | GT, C_{RALE}, a_3 = a_1 | \beta_1 C_{\max} + \beta_2 \sum_{i=1}^m \sum_{j=1}^{n_i} u_{ij}$  can be solved in polynomial time.

### 3 Main results

Obviously, for the  $1 | GT, C_{RALE}, \sum_{i=1}^m \sum_{j=1}^{n_i} u_{ij} \leq U | C_{\max}$  problem, the optimal resource constraint is satisfied as equality, i.e.,  $\sum_{i=1}^m \sum_{j=1}^{n_i} u_{ij} = U$ .

**Lemma 1** *For the  $1 | GT, C_{RALE}, \sum_{i=1}^m \sum_{j=1}^{n_i} u_{ij} \leq U | C_{\max}$  problem, the optimal resource allocation can be determined as follows:*

$$u_{[i][j]}^* = \frac{(p_{[i][j]} i^{a_1} j^{a_2})^{\frac{k}{k+1}}}{\sum_{i=1}^m \sum_{j=1}^{n_i} (p_{[i][j]} i^{a_1} j^{a_2})^{\frac{k}{k+1}}} \times U \quad (3)$$

for  $i = 1, 2, \dots, m; j = 1, 2, \dots, n_i$ .

**Proof** For a given sequence, the Lagrange function is

$$L(\mathbf{u}, \lambda) = C_{\max} + \lambda \left( \sum_{i=1}^m \sum_{j=1}^{n_i} u_{[i][j]} - U \right)$$

$$= \sum_{i=1}^m s_{[i]} i^{a_3} + \sum_{i=1}^m \sum_{j=1}^{n_{[i]}} \left( \frac{p_{[i][j]} i^{a_1} j^{a_2}}{u_{[i][j]}} \right)^k + \lambda \left( \sum_{i=1}^m \sum_{j=1}^{n_{[i]}} u_{[i][j]} - U \right) \quad (4)$$

where  $\lambda$  is the Lagrangian multiplier. Deriving (4) with respect to  $u_{[i][j]}$  and  $\lambda$ , it has

$$\frac{\partial L(\mathbf{u}, \lambda)}{\partial u_{[i][j]}} = \lambda - k \times \frac{(p_{[i][j]} i^{a_1} j^{a_2})^k}{(u_{[i][j]})^{k+1}} = 0, \quad \forall i = 1, 2, \dots, m. \quad (5)$$

$$\frac{\partial L(\mathbf{u}, \lambda)}{\partial \lambda} = \sum_{i=1}^m \sum_{j=1}^{n_{[i]}} u_{[i][j]} - U = 0 \quad (6)$$

Using (5) and (6), it has

$$u_{[i][j]} = \frac{\left( k (p_{[i][j]} i^{a_1} j^{a_2})^k \right)^{1/(k+1)}}{\lambda^{1/(k+1)}} \quad (7)$$

and

$$\lambda^{1/(k+1)} = \frac{\sum_{i=1}^m \sum_{j=1}^{n_{[i]}} (k)^{1/(k+1)} (p_{[i][j]} i^{a_1} j^{a_2})^{k/(k+1)}}{U}. \quad (8)$$

From (7) and (8), for  $i = 1, 2, \dots, m$ , it has

$$u_{[i][j]}^* = \frac{(p_{[i][j]} i^{a_1} j^{a_2})^{\frac{k}{k+1}}}{\sum_{i=1}^m \sum_{j=1}^{n_{[i]}} (p_{[i][j]} i^{a_1} j^{a_2})^{\frac{k}{k+1}}} \times U.$$

□

Using Lemma 1 and substituting (3) into  $C_{\max}$ , it has

$$\begin{aligned} C_{\max} &= \sum_{i=1}^m s_{[i]} i^{a_3} + \sum_{i=1}^m \sum_{j=1}^{n_{[i]}} \left( \frac{p_{[i][j]} i^{a_1} j^{a_2}}{u_{[i][j]}} \right)^k \\ &= \sum_{i=1}^m s_{[i]} i^{a_3} + U^{-k} \left( \sum_{i=1}^m \sum_{j=1}^{n_{[i]}} (p_{[i][j]} i^{a_1} j^{a_2})^{\frac{k}{k+1}} \right)^{k+1} \\ &= \sum_{i=1}^m s_{[i]} i^{a_3} + U^{-k} \left( \sum_{i=1}^m \sum_{j=1}^{n_{[i]}} \mu_{[i][j]} \omega_{[i]j} \right)^{k+1}, \end{aligned} \quad (9)$$

where  $\mu_{[i][j]} = (p_{[i][j]})^{\frac{k}{k+1}}$  and  $\omega_{[i]j} = (i^{a_1} j^{a_2})^{\frac{k}{k+1}}$ .

The value  $i^{\frac{ka_1}{k+1}}$  is identical for any job  $J_{[i]j}$  within the group  $G_{[i]}$  of any possible position in  $\zeta$ . So

$$\omega_{ij} = j^{\frac{ka_2}{k+1}}, i = 1, 2, \dots, m; j = 1, 2, \dots, n_i, \quad (10)$$

can be used to calculate the position weight of jobs in group  $G_i$ .

**Lemma 2** *For the  $1|GT, C_{\max}, \sum_{i=1}^m \sum_{j=1}^{n_i} u_{ij} \leq U|C_{\max}$  problem, the optimal job sequence  $\pi_i^*$  within each group can be obtained by sequencing the jobs in non-decreasing order of  $p_{ij}$  (the smallest processing time (SPT) first rule).*

**Proof** For a given group  $G_i$  ( $i = 1, 2, \dots, m$ ), from (10),  $\omega_{ij} = j^{\frac{ka_2}{k+1}}$  ( $j = 1, 2, \dots, n_i$ ) is a decreasing function on  $j$  ( $a_2 \leq 0$ ), hence by the HLP rule (Hardy et al. (1967)), the result can be easily obtained.  $\square$

### 3.1 Case $a_3 = 0$

For the  $1|GT, C_{\max}, \sum_{i=1}^m \sum_{j=1}^{n_i} u_{ij} \leq U|C_{\max}$  problem, the complexity of determining the optimal group sequence is still remains an open question, so the special case  $a_3 = 0$  (i.e.,  $s_i^A = s_i$ ) should be considered.

**Lemma 3** *For the  $1|GT, C_{\max}, \sum_{i=1}^m \sum_{j=1}^{n_i} u_{ij} \leq U|C_{\max}$  problem, the optimal group sequence  $\zeta^*$  under the condition of  $a_3 = 0$ , can be obtained by solving a Linear Assignment Problem.*

**Proof** It is noted that the optimal sequence  $\pi_i^*$  of group  $G_{[i]}$  can be predetermined by Lemma 2, and the value  $\sum_{i=1}^m s_{[i]} i^{a_3} = \sum_{i=1}^m s_i$  is a constant number. Now it should be considered that how to determine the optimal group sequence. It should be defined that the binary variables  $x_{ir}$  such that  $x_{ir} = 1$  if group  $G_i$  is assigned to position  $r$  and  $x_{ir} = 0$  otherwise,  $i = 1, 2, \dots, m$  and  $r = 1, 2, \dots, m$ .

Let

$$A_{ir} = \sum_{j=1}^{n_i} (p_{i[j]} r^{a_1} j^{a_2})^{\frac{k}{k+1}}, \quad i, r = 1, 2, \dots, m. \quad (11)$$

Consequently, the optimal group sequence determining problem can be formulated as the following Assignment Problem:

$$\mathbf{AP} \quad \min \sum_{i=1}^m \sum_{r=1}^m A_{ir} x_{ir} \quad (12)$$

subject to

$$\sum_{r=1}^m x_{ir} = 1, \quad i = 1, 2, \dots, m, \quad (13)$$

$$\sum_{i=1}^m x_{ir} = 1, \quad r = 1, 2, \dots, m, \quad (14)$$

$$x_{ir} = 0 \text{ or } 1, \quad i, r = 1, 2, \dots, m. \quad (15)$$

□

Based on the above analysis, the problem 1  $\left| GT, CRALE, \sum_{i=1}^m \sum_{j=1}^{n_i} u_{ij} \leq U, a_3 = 0 \right| C_{\max}$  can be optimally solved by the following algorithm.

**Algorithm 1**

- Step 1.* Determine the initial internal job sequence  $\pi_i^*$  by using the SPT rule (Lemma 2).  
*Step 2.* Calculate all  $A_{ir}$  by Equation (11) with  $\pi_i^*$  for  $i = 1, 2, \dots, m$  and  $r = 1, 2, \dots, m$ .  
*Step 3.* Solve the Assignment Problem **AP** to determine the optimal group sequence  $\zeta^*$ .  
*Step 4.* Calculate the optimal resources allocation  $u_{[i][j]}^*(\zeta^*, \pi_1^*, \pi_2^*, \dots, \pi_m^*)$  by using Equation (3).

**Theorem 1** *Algorithm 1 solves the problem 1  $\left| GT, CRALE, \sum_{i=1}^m \sum_{j=1}^{n_i} u_{ij} \leq U, a_3 = 0 \right| C_{\max}$  in  $O(n^3)$  time.*

**Proof** Similarly to the proof of Zhu et al. (2011). □

**Example 1** This example provides an illustration of a 9-job and 3-group problem (i.e.,  $n = 9$  and  $m = 3$ ). Let  $n_1 = 2, n_2 = 3, n_3 = 4, a_1 = -0.3, a_2 = -0.25, a_3 = 0, k = 2, U = 50$  and the other parameters (i.e., the values  $p_{ij}$  and  $s_i$ ) be shown in Table 1.

**Solution.**

*Step 1.* From Lemma 2, it can be obtained that the internal job sequence  $\pi_1^* = (J_{11}, J_{12})$ ,  $\pi_2^* = (J_{21}, J_{23}, J_{22})$  and  $\pi_3^* = (J_{34}, J_{31}, J_{32}, J_{33})$ .

*Step 2.* The values  $A_{ir}$  (by Equation (11)) is given in Table 2.

*Step 4.* Solve the Assignment Problem **AP**, it can be obtained that the optimal group sequence is  $\zeta^* = (G_2, G_1, G_3)$  (see bold in Table 2). The overall schedule is  $S^* = (J_{21}, J_{23}, J_{22}; J_{11}, J_{12}; J_{34}, J_{31}, J_{32}, J_{33})$ , and  $C_{\max} = 6 + 5 + 8 + 7.4736 + 7.8743 + 9.0778 = 43.4257$ .

*Step 5.* From Equation (3), the optimal resources allocation  $u_{21}^* = \frac{(p_{[2][1]} i^{a_1} j^{a_2})^{\frac{k}{k+1}}}{\sum_{i=1}^m \sum_{j=1}^{n_i} (p_{[i][j]} i^{a_1} j^{a_2})^{\frac{k}{k+1}}} \times U = 5.1582, u_{23}^* = 5.3325, u_{22}^* = 5.6282; u_{11}^* = 6.5210, u_{12}^* = 8.7776; u_{34}^* = 4.1407, u_{31}^* = 4.2806, u_{32}^* = 4.5180, u_{33}^* = 5.6431$ .

Table 1. The data of Example 1

$G_i$	$G_1$		$G_2$			$G_3$			
$J_{ij}$	$J_{11}$	$J_{12}$	$J_{21}$	$J_{22}$	$J_{23}$	$J_{31}$	$J_{32}$	$J_{33}$	$J_{34}$
$p_{ij}$	7	13	4	6	5	5	6	9	4
$s_i$	6		5			8			

Table 2. The values  $A_{ir}$ 

	$i \setminus r$	1	2	3
$A_{ir} =$	$G_1$	8.5849	<b>7.4736</b>	6.8914
	$G_2$	<b>7.8743</b>	6.8550	6.3210
	$G_3$	11.3084	9.8446	<b>9.0778</b>

### 3.2 Case $a_3 \neq 0$

The complexity of the general problem  $1 \left| GT, CRALE, \sum_{i=1}^m \sum_{j=1}^{n_i} u_{ij} \leq U \right| C_{\max}$  remains an open question, it is conjectured that this general problem is NP-hard. From Lemma 2, the optimal job sequence within each group is scheduled by sequencing the jobs in non-decreasing order of  $p_{ij}$ . From Section 3.1, a heuristic algorithm for the general problem can be proposed.

#### Algorithm 2

*Step 1.* By using the SPT rule (Lemma 2) to determine the internal job sequence  $\pi_i^*$ .

*Step 2.1.* Calculate all  $A_{ir}$  ( $i, r = 1, 2, \dots, m$ ) by Equation (11) with  $\pi_i^*$ , and solve the Assignment Problem **AP** to determine the group sequence.

*Step 2.2.* Groups sequenced in non-decreasing order of  $s_i$ ;

*Step 2.3.* Groups sequenced in non-increasing order of  $s_i + \sum_{j=1}^{n_i} (p_{i[j]} j^{a_2})^{\frac{k}{k+1}}$ .

*Step 3.* From Steps 2.1-2.3, choose the better solution to determine the group sequence  $\zeta$ .

*Step 4.* Calculate the optimal resources allocation  $u_{[i][j]}^*(\zeta, \pi_1^*, \pi_2^*, \dots, \pi_m^*)$  by using Equation (3).

In order to solve the general problem  $1 \left| GT, CRALE, \sum_{i=1}^m \sum_{j=1}^{n_i} u_{ij} \leq U \right| C_{\max}$  optimally, a branch-and-bound algorithm is proposed. For the branch-and-bound algorithm, initialization, branching, and bounding are three major components. The initialization solution can be obtained by Algorithm 2, and a depth-first search is adopted in the branching procedure. The efficiency of a branch-and-bound algorithm depends largely on the effectiveness of calculating lower bounds for partial solutions. For each branching node of our problem, it is obvious that if  $s_i^A = s_i m^{a_3}$  for unscheduled groups, a minimum makespan can be achieved by Algorithm 1 (this is a lower bound for each branching node).

**Management insights:** Resource allocation scheduling problems with group technology and learning effect make the process of production decision very difficult. Like the problem considered, the theoretical results can minimize the makespan (cost) and improve the production efficiency.



## 4 Numerical experiments

Computational experiments are conducted to evaluate the effectiveness of the Algorithm 2 and the branch-and-bound algorithm, and these both algorithms are coded in VC++ 6.0 and ran on CPU Intel<sup>®</sup> Pentium<sup>®</sup> 4 2.4 GHz and 2GB RAM. For the experiments, the following parameters are generated from discrete uniform distribution: The original (normal) processing times  $p_{ij}$  and the setup times  $s_i$  are generated from the uniform distribution over the integers  $[1, 100]$ , respectively. The learning effects  $a_1$  and  $a_2$  are assigned with uniform distribution over the real numbers  $[-0.5, -0.1]$ . Test problems with  $U = 100$ ,  $n = 50$  and  $100$  jobs and with  $m=10$  and  $20$  families (each group must contain at least one job) are experimented. Moreover, to detect the learning effect  $a_3$  on the performance of an algorithm, three different types of learning rate are assigned, i.e.,  $a_3 = -0.4, -0.3, -0.2$ . For each combination of  $m$ ,  $n$  and  $a_3$ , 50 problem instances were randomly generated. A total of 600 problem instances are tested.

For the branch-and-bound algorithm, the average time, and the maximum time (in seconds) are recorded. In order to evaluate the performance of the heuristic algorithm (i.e., Algorithm 2), the average time, and the maximum time (in seconds), the average and maximum error percentage are recorded. The percentage error of the solution produced by the Algorithm 2 is calculated as

$$(C^{HA} - C^*)/C^* \times 100\%,$$

where  $C^{HA}$  is the makespan of the solution generated by the **Algorithm 2** and  $C^*$  is the makespan of the optimal schedule, which is obtained by a branch-and-bound algorithm. The results are summarized in Table 3. As can be see from Table 3, the the **Algorithm 2** performs very well in terms of the error percentages. The mean error percentage  $(C^{HA} - C^*)/C^* \times 100\%$  is less than 1% for all sizes of problems.

The performance of the heuristic algorithm (i.e., Algorithm 2) for  $n=400$  and  $1000$ ,  $m=30$  and  $60$  is also verified by the ratios  $(C^{HA} - LB)/LB \times 100\%$  (see Table 4), where  $LB$  can be obtained by Algorithm 1 if it is supposed that  $s_i^A = s_i m^{a_3}$ . As it can be seen from Table 4, the performance of Algorithm 2 is very effective. The average of the ratios  $(C^{HA} - LB)/LB \times 100\%$  in Table 4 are less than 1% for all  $a_3$ .

## 5 Extensions

Obviously, the above results can be extended to the following general models:

$$p_{ij}^A = \left( \frac{p_{ij} f(r) g(l)}{u_{ij}} \right)^k, u_{ij} > 0 \quad (16)$$

Table 3 Results for heuristic algorithm and branch-and-bound algorithm

$n$	$m$	CPU (s) of branch-and-bound algorithm						CPU (s) of Algorithm 2						Error percentage of Algorithm 2 (%)					
		$a_3 = -0.2$		$a_3 = -0.3$		$a_4 = -0.4$		$a_3 = -0.2$		$a_3 = -0.3$		$a_4 = -0.4$		$a_3 = -0.2$		$a_3 = -0.3$		$a_4 = -0.4$	
		mean	max	mean	max	mean	max	mean	max	mean	max	mean	max	mean	max	mean	max	mean	max
50	10	74	258	79	267	77	265	1.28	1.45	1.37	1.44	1.37	1.43	0.01	0.51	0.01	0.51	0.01	0.51
	20	402	2842	405	2867	403	2865	2.87	2.91	2.86	2.89	2.84	2.87	0.12	2.45	0.12	2.44	0.12	2.44
100	10	119	388	122	391	115	375	1.99	2.08	1.98	2.07	1.99	2.08	0.01	0.61	0.01	0.63	0.01	0.63
	20	574	3653	571	3615	569	3601	4.01	4.11	3.99	4.09	4.01	4.11	0.15	2.94	0.15	3.01	0.15	3.03

Table 4 Performance evaluation of Algorithm 2

$n$	$m$	CPU (s) of Algorithm 2						Error percentage of Algorithm 2 (%)					
		$a_3 = -0.2$		$a_3 = -0.3$		$a_3 = -0.4$		$a_3 = -0.2$		$a_3 = -0.3$		$a_3 = -0.4$	
		mean	max	mean	max	mean	max	mean	max	mean	max	mean	max
400	30	6.13	6.29	6.44	6.60	6.25	6.40	0.25	4.85	0.26	5.09	0.25	4.94
	60	14.89	15.25	14.18	14.53	14.44	14.80	0.57	11.20	0.60	11.77	0.58	11.41
1000	30	10.23	10.48	9.74	9.98	9.7845	9.99	0.39	7.70	0.41	8.08	0.39	7.70
	60	22.51	23.06	23.63	24.22	22.52	23.08	0.90	17.79	0.95	18.68	0.90	17.80

and

$$s_i^A = s_i h(r), \quad (17)$$

where  $f(r)$ ,  $g(l)$  and  $h(r)$  are the general non-decreasing and differentiable functions (learning curves), i.e.,  $1 = f(1) \geq f(2) \geq \dots \geq f(m)$ ,  $1 = g(1) \geq g(2) \geq \dots \geq g(n_i)$  and  $1 = h(1) \geq h(2) \geq \dots \geq h(m)$ .

## 6 Conclusions

This paper considered the single machine group scheduling problem with learning effect and resource-dependent processing times. If the setup times of groups have not learning effect, it is showed that the weighted combination of makespan and total resource cost minimization problem can be solved in polynomial time. For the general setup times, a heuristic algorithm and a branch-and-bound algorithm were given. The algorithms can also be easily applied to the problems with the deterioration (aging) effect (e.g.,  $a_1 > 0, a_2 > 0, a_3 > 0, 1 = f(1) \leq f(2) \leq \dots \leq f(m)$ ,  $1 = g(1) \leq g(2) \leq \dots \leq g(n_i)$  and  $1 = h(1) \leq h(2) \leq \dots \leq h(m)$ ). For future research, it is worthwhile to study other scheduling problems with deterioration (aging), learning effects, resource allocation and/or group technology (He and Sun [2012, 2015], Rudek and Rudek [2011, 2012]), for example, the job-shop scheduling problems, the parallel machine scheduling problems and other scheduling performance measures.

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