1 Performance improvement of a direct carbon solid oxide fuel cell through

2 integrating an Otto heat engine

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12 **Abstract**: A novel system consisting of an external heat source, a direct carbon solid oxide fuel 13 cell (DC-SOFC), a regenerator and an air standard Otto cycle engine is proposed to improve 14 the performance of the DC-SOFC. Considering the electrochemical/chemical reactions, 15 ionic/electronic charge transport, mass/momentum transport and heat transfer, a 2D tubular 16 DC-SOFC model shows that the overall heat released in the cell can be smaller than, equal to 17 or larger than the heat required by the internal Boudouard reaction. Three different operating 18 modes of the proposed system are identified, and accordingly, analytical expressions for the 19 equivalent power output and efficiency of the proposed system are derived under different 20 operating conditions. The modeling results show that the Otto heat engine can effectively 21 recover the waste heat from the DC-SOFC for additional power production especially at large 22 operating current density. Comprehensive parametric studies are conducted to investigate the 23 effects of the different operating conditions of DC-SOFC on its performance and heat 24 generation. The effects of compression ratio, internal irreversibility factor and power 25 dissipation of the Otto heat engine on the system performance improvement are also studied.

Keywords: Solid oxide fuel cell; Carbon gasification; Air standard Otto heat engine;

- 28 Performance improvement; Parametric study
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1. Introduction

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The increasing global attention on energy crisis drives worldwide research interest in clean and high efficiency energy conversion devices, such as solid oxide fuel cells (SOFCs). SOFCs are all solid-state devices working at a high temperature (e.g. 800°C). A typical SOFC has a sandwiched structure with a dense electrolyte between a porous anode and a porous cathode [1-3]. Fuels (e.g. H₂) and oxidants (e.g. O₂) are supplied to the anode and cathode, respectively. Through electrochemical reactions, chemical energy in fuels and oxidants can be converted into electrical power directly with a high efficiency. As fuels and oxidants are separated by the dense electrolyte, the post-process of emission gases is relatively easy.

Apart from gas fuels like H₂ and CO, the utilization of solid carbons in SOFCs has received rising attention as these widely spreaded solid fuels have high volumetric energy density, which can easily be obtained at a low cost. There are two main methods for direct solid carbon utilization in the SOFCs. One is electrochemical oxidation of solid carbon that is in direct contact with the electrode catalyst (usually called as direct carbon fuel cell, DCFC) [1, 2], aiming for a high thermodynamic efficiency. However, this method yields a low power density due to difficult transport of solid carbon and poor contact between the carbon particles and the electrochemical reaction sites (triple phase boundaries: TPBs). The other method is solid carbon indirect utilization through an agent (usually called as direct-carbon solid oxide fuel cell, DC-SOFC), which converts solid carbon into gas fuel such as CO for the electrochemical reaction at the TPBs [3, 4]. Compared with the first method, the second method provides a much higher power density due to easy gas transport and good contact between gas fuel and the TPBs. With verifications of its mechanism [5, 6], catalysts are developed to further improve the performance of DC-SOFCs [7-10]. Besides, the concept of CO and electrical power cogeneration is proposed and analyzed. The results suggest that a much higher exergy efficiency can be achieved by the DC-SOFC than DCFC [11]. Different agents have also been compared to explore the application of DC-SOFC[12]. It is found that DC-SOFC with H₂O as gasification agent for H₂ and CO production could achieve higher performance than that with CO₂ agent due to fast gasification kinetics using H₂O agent and low activation loss associated with H₂ electrochemical oxidation. To further understand the detailed chemical/physical process in the DC-SOFCs, mathematical models are developed [13-16]. Our recent mathematical model analyses [17] prove the existence of heat balance in DC-SOFC when it operates at a relative low current density. When the DC-SOFC operates at a high current density to provide a large power density, the heat generated from the irreversible electrochemical losses and enthalpy change exceeds the heat demand by Boudouard reaction. Therefore, the efficiency of DC-SOFCs can be further improved at a wide range of operating current density by utilizing the waste heat [18].

Various thermodynamic cycles including Carnot cycle[19], Stirling cycle[20], Ericsson cycle[21], Brayton cycle[22], Rankine cycle[23], Braysson cycle[24] and Kalina cycle[25] have been used for the conversion of heat into power. Compared with these cycles, Otto cycle has interesting perspectives as the rapid combustion process takes place at a constant volume, which indicates an excellent potential to integrate with other systems. By applying the airstandard analysis on Otto cycle, a number of modeling works have been conducted on airstandard Otto cycle heat engines [26-29] to illustrate the thermodynamic aspects of engine performance. Gumus et al.[30] compared the performance of a reversible Otto cycle based on its maximum power, maximum power density and maximum efficient power, where they conclude that the design parameters at maximum efficient power conditions lead to more efficient engines than that at the maximum power condition. Besides, the maximum efficient power criterion may have a significant power advantage compared with maximum power density criterion. By considering multiple irreversible losses, Zhao et al. [31, 32] evaluated the performance of an irreversible Otto heat engine and determined the optimum criteria. Chen et al. [33] further evaluated the performance of the air-standard Otto cycle with different specific heats of working fluid [34, 35] by using different objective functions and heat transfer laws. Apart from aforementioned works focusing on Otto heat engine itself, there is another work done by Eldighidy [36], where an air-standard Otto cycle is proposed to harvest solar energy

for power generation. Obviously, it is a feasible method for the air-standard Otto cycle heat engine to harvest the waste heat from DC-SOFC for performance improvement. An up-to-date literature survey shows that there is no work reported on this subject.

In this work, a numerical model is developed to analyze the performance improvement of DC-SOFC by combining it with an air-standard Otto cycle heat engine. Based on the numerical analysis, three system operation modes are specified under different operating conditions. Besides, the performance of the proposed system is evaluated based on the output power and efficiency expressions. The advantages of the system are also demonstrated through numerical calculations. Finally, the effects of several design parameters and operating conditions on the performance of the system are discussed.

2. System description

As shown in Fig. 1 (a), the proposed system mainly consists of a DC-SOFC, an external heat source, an air standard Otto cycle heat engine and a regenerator. The DC-SOFC generates electrical power $P_{\rm SOFC}$ (W) by consuming solid carbon. To ensure the normal operation of the DC-SOFC, certain amount of heat Q (J S⁻¹) should be provided to DC-SOFC at low operating current density (DC-SOFC in the endothermic mode), and the corresponding heat and mass transfer are shown in Fig. 1 (b). Otherwise, the air standard Otto cycle heat engine should be connected to utilize the waste heat from the DC-SOFC for additional power ($P_{\rm Otto}$) production, and the corresponding heat and mass transfer are shown in Fig. 1 (c). It should be noted that the CO reformation process is inside the anode of the DC-SOFC, and the excess heat generated in the SOFC component is carried out by the outlet products to power the Otto engine for additional power generation. In calculation, partial differential equations for CO reformation and SOFC component are coupled in COMSOL MULTIPHYSICS[®]. The generated heat (an output variable from DC-SOFC) is then an input variable in the calculation of Otto engine. The regenerator in the system preheats the inlet solid carbon and gas by outlet high-temperature gases.

- For simplification, the following assumptions are adopted [15]
- Electrochemical reactions spatially take place at triple phase boundaries (TPBs), which are assumed to be uniformly distributed in the porous electrodes. Electronic
- and ionic conduction phases in the porous electrodes are continuous and
- homogeneous;
- Ionic and electronic charge transport processes take place in the PEN (Positive
- Electrode-Electrolyte-Negative electrode assembly), and the charge transfer
- reaction can take place at TPB sites throughout the porous electrode;
- All gases (CO, CO₂, O₂ and N₂) involved in the DC-SOFC are ideal gases and the
- gas flow is incompressible;
- Internal relaxation times in the adiabatic processes are negligible;
- Heat transfer irreversibility between the DC-SOFC and the Otto heat engine is
- neglected;
- Irreversibility in the two adiabatic processes is neglected;
- Working substance air of Otto heat engine is assumed to behave as an ideal gas.

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2.1 DC-SOFC

- In DC-SOFCs, solid carbon in anode chamber is gasified by CO₂ to generate CO molecules,
- which then diffuse into the porous anode and react with O²- ions at the TPB sites. The produced
- 131 CO₂ molecules in electrochemical reactions subsequently diffuse back to the anode chamber
- and continue the Boudouard reaction for CO generation. These processes repeat between the
- anode chamber and the porous anode for power generation as long as there is enough solid
- carbon in the anode chamber.
- A previously developed 2D numerical model is adopted to describe the electrochemical
- 136 /chemical reactions, ionic/electronic charge transport, mass/momentum transport and heat
- transfer in the tubular DC-SOFC.

2.1.1 Chemical reaction model

In anode chamber, the Boudouard reaction converts solid carbon into CO by consuming

140 CO_2 , i.e.,

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$$C + CO_2 = 2CO$$
. (1)

142 Its reaction rate can be calculated as [37]

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$$R_{\rm rb} = k_{\rm rb} \exp(-E_{\rm rb}/RT)c_{\rm CO_2}.$$
 (2)

144 2.1.2 Electrochemical reaction model

145 At anode TPB sites, CO molecules electrochemically react with O²⁻ ions and release

electrons, i.e.,

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$$CO + O^{2-} \rightarrow CO_2 + 2e^{-}$$
. (3)

The above mentioned O^{2-} ions are transported from cathode TPB sites, where O_2 molecules

are reduced into O²-, i.e.,

150
$$0_2 + 4e^- \rightarrow 20^{2-}$$
. (4)

The equilibrium potential (E_{CO}) for above reactions can be determined by [38]

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$$E_{\text{CO}} = E_{\text{CO}}^0 + \frac{RT}{2F} \ln \left[\frac{P_{\text{CO}}^L (P_{\text{O}_2}^L)^{1/2}}{P_{\text{CO}_2}^L} \right],$$
 (5)

- where R is the universal gas constant and F is Faraday constant. T is operating temperature and
- 154 P^L is local gas partial pressure. E_{CO}^0 is the standard potential (V), which can be calculated by

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$$E_{\text{CO}}^0 = 1.46713 - 0.0004527T.$$
 (6)

- For the calculation of the operating potential (E), both activation overpotential (η_{act}) and
- ohmic overpotential (η_{ohmic}) should be considered, i.e.,

158
$$E = E_{\text{CO}}^0 - \eta_{\text{act}} - \eta_{\text{ohmic}}$$
 (7)

- The relationship between current density and above two overpotential losses are described
- by Butler-Volmer equation (Eq. (8)) and ohm law (Eq. (9)), respectively [39], i.e.,

161
$$i = i_0 \left\{ \exp\left(\frac{\alpha n F \eta_{\text{act}}}{RT}\right) - \exp\left(-\frac{(1-\alpha)n F \eta_{\text{act}}}{RT}\right) \right\},$$
 (8)

162 and

$$163 i = -\sigma^{\text{eff}} \nabla(\phi), (9)$$

- where i is operating current density, i_0 is exchange current density, α is the electron transfer
- 165 coefficient and n is the number of transferred electrons per electrochemical reaction. σ^{eff} and
- ϕ are effective conductivity (S m⁻¹) and electric potential (V), respectively.

2.1.3 Mass and momentum transport model

- Mass and momentum transport are, respectively, calculated by the extended Fick's model (Eq.
- 169 (10)) and Navier-Stokes equation (Eq. (11)) [15], i.e.,

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$$N_m = -\frac{1}{RT} \left(\frac{B_0 y_m P}{\mu} \frac{\partial P}{\partial z} - D_m^{\text{eff}} \frac{\partial (y_m P)}{\partial z} \right) \qquad (m = 1, 2 ..., l),$$

- 171 (10)
- 172 and

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$$\rho \frac{\partial u}{\partial t} + \rho u \nabla u = -\nabla p + \nabla \left[\mu \left(\nabla u + (\nabla u)^T\right) - \frac{2}{3}\mu \nabla u\right] - \frac{\varepsilon \mu u}{k}, \qquad (11)$$

- where B_0 is the permeability (m²) of the porous electrodes, y_m is the mole fraction of
- 175 component m, μ is the gas viscosity (N m⁻¹ s⁻¹), D_m^{eff} is the overall effective diffusion
- 176 coefficient (m² s⁻¹) of component m, ρ (kg m⁻³) is the gas density and u (m s⁻¹) is the velocity
- 177 vector.

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2.1.4 Heat transfer model

The heat transfer process is described by the general heat balance equation [17]

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$$\rho C_p u \cdot \nabla T + \nabla \cdot (-\lambda_{\text{eff}} \nabla T) = Q, \tag{12}$$

- where C_p is the heat capacity, u is the fluid velocity field, λ_{eff} is the effective heat
- 182 conductivity and Q is the heat source term.
- The above model is validated by fitting simulation results (*I-V* characteristics) with

- experimental data and good agreement has been found in Ref. [17]. The DC-SOFC model is described by partial differential equations, which are handled by nonlinear finite elements
- method using commercial software COMSOL MULTIPHYSICS[®].
- The power output of the DC-SOFC is determined by

$$188 P_{SOFC} = V \times I, (13)$$

- where V(V) and I(A) are output voltage and current, respectively.
- 190 Considering the irreversible polarization losses and enthalpy changes in DC-SOFC, its
- 191 electrical efficiency

$$192 \eta_{SOFC} = \frac{P_{SOFC}}{P_{SOFC} + Q} (14)$$

- can be defined, where Q (J s⁻¹) is the overall heat released from the DC-SOFC, which is
- defined as positive in this paper. The heat Q can be further expressed as

195
$$Q = Q_{\rm e} - Q_{\rm c},$$
 (15)

- where Q_c (J s⁻¹) is the absorbed heat by the Boudouard reaction and Q_e (J s⁻¹) includes heat
- 197 released from the electrochemical reaction and overpotential losses. Thus, $Q_{\rm e}$ can be
- 198 calculated as

199
$$Q_{\rm e} = -T\Delta S \times \frac{I}{2F} + (E - V)I.$$
 (16)

When $Q_e = Q_c$, i.e., Q = 0, the thermal neutral current

$$I_{\rm tn} = \frac{Q_{\rm c}}{-T\Delta S/2F + E - V_{\rm tn}} \tag{17}$$

- can be obtained, where $V_{\rm tn}$ (V) is the thermal neutral voltage corresponding to $I_{\rm tn}$.
- Based on the above governing equations and parameters in Table 1, the relationship
- between Q and operating current density i (i = I/A, A m⁻²) are obtained as shown in Fig. 2.
- 205 It is seen that Q increases from negative to positive values with increasing current density.
- When $i < i_{tn}$, i.e., Q < 0, the heat produced due to the polarization losses and enthalpy
- 207 change of the electrochemical reaction is less than the heat required for the Boudouard reaction.
- Certain amount of heat |Q| should be supplied from the external heat source to the DC-SOFC
- 209 to maintain its operating temperature. In this case, the external heat source in Fig. 1(a) should
- be switched on while the air standard Otto heat engine is off. When $i = i_{tn}$, i.e., Q = 0, the

heat generation equals the heat consumption by Boudouard reaction in the DC-SOFC, which means the DC-SOFC can work in a thermally self-sustained manner. When $i > i_{tn}$, i.e., Q > 0, the heat generated exceeds the required heat for the Boudouard reaction. The air standard Otto heat engine should be switched on to utilize the waste heat from DC-SOFC for extra electrical power (P_{Otto}) generation. As also shown in Fig. 2, a larger i_{tn} is needed at a higher operating temperature to generate more heat for the endothermic Boudouard reaction. Less netheat is generated for a given current density at a higher operating temperature, as the faster Boudouard reaction at a higher temperature consumes more heat from DC-SOFC.

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2.2 Air standard Otto cycle heat engine

- 221 The air standard Otto cycle is composed of two adiabatic processes $(1\rightarrow 2 \text{ and } 3\rightarrow 4)$ and
- 222 two isochoric processes $(2\rightarrow 3 \text{ and } 4\rightarrow 1)$, as shown in Fig. 3. T_1 , T_2 , T_3 and T_4 are
- temperatures of the working substance at the state points 1, 2, 3 and 4. The heat flow from the
- DC-SOFC, Q, is provided to the Otto cycle heat engine at the volume V_2 . After producing
- power P_{Otto} , the remaining heat Q_{r} is released at the volume V_2 . Considering instantaneous
- adiabats, the period of the Otto cycle [40]

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$$\tau_t = t_{1V} + t_{2V} = K_1 (T_3 - T_2) + K_2 (T_4 - T_1)$$
 (18)

- can be calculated, where t_{1V} and t_{2V} are, respectively, the heating and cooling times; K_1
- 229 and K_2 are temperature-independent constants (s K⁻¹).
- From the first law of thermodynamics, the reversible work

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$$W_{\text{Otto},r} = C_{V_1} (T_3 - T_2) - C_{V_2} (T_4 - T_1)$$
 (19)

- done by the Otto cycle heat engine can be calculated, where C_{V_1} and C_{V_2} are the heat
- capacities of gases in the compression and power strokes, respectively.
- Thus, the reversible power is shown as

235
$$P_{\text{Otto},r} = \frac{W_{\text{Otto},r}}{\tau_t} = \frac{C_{V_1} (T_3 - T_2) - C_{V_2} (T_4 - T_1)}{K_1 (T_3 - T_2) + K_2 (T_4 - T_1)}.$$
 (20)

- If the reversible work with adiabats given by $TV^{(\gamma-1)} = \text{constant}$, then Eq. (20) can be
- further rewritten as [40, 41]

238
$$P_{\text{Otto},r} = \frac{C_{V_1} - C_{V_2} r^{(1-\gamma)}}{K_1 + K_2 r^{(1-\gamma)}},$$
 (21)

- where $\gamma = C_P / C_V$, C_P and C_V are, respectively, constant-pressure heat capacity (J K⁻¹) and
- constant-volume heat capacity (J K⁻¹), and $r = V_1/V_2$ is the compression ratio.
- 241 Considering a dissipation term represented by a friction force proportional to the velocity,
- the friction force

$$F_f = -\omega v = -\omega \frac{dx}{dt} \tag{22}$$

- can be obtained, where ω is the friction coefficient which takes into account the global losses,
- 245 v is the piston velocity, and x is the piston displacement. Then, the friction-related power
- 246 loss

$$247 P_f = -\omega \frac{dx}{dt} \frac{dx}{dt} = -\omega v^2 (23)$$

- can be calculated [41].
- 249 The piston mean velocity can be expressed as

250
$$\overline{\upsilon} = \frac{x_1 - x_2}{\Delta t_{12}} = \frac{x_2 (r - 1)}{\Delta t_{12}},$$
 (24)

- where x_2 is the piston position at minimum volume and Δt_{12} is the time spent in the power
- stroke. Counted the piston friction-like losses, the power output is reduced from $P_{\text{Otto,r}}$ to

253
$$P_{\text{Otto},P} = P_{\text{Otto},r} - P_f = \frac{C_{V_1} - C_{V_2} r^{(1-\gamma)}}{K_1 + K_2 r^{(1-\gamma)}} - b(r-1)^2,$$
 (25)

254 where
$$b = \frac{\omega x_2^2}{\left(\Delta t_{12}\right)^2}$$
.

- In addition to the piston friction-like losses, irreversible losses from mass transfer, friction,
- 256 eddy and other irreversible effects inside the cyclic working fluid should be considered. The
- 257 total irreversible effects within the working fluid can be characterized by the internal

258 irreversibility factor [40]

259
$$I_R = \frac{\Delta S_{W1}}{|\Delta S_{W2}|} = \frac{C_{V_1} \ln(T_3/T_2)}{C_{V_2} \ln(T_4/T_1)} = \frac{C_{V_1}}{C_{V_2}},$$
 (26)

- where ΔS_{W1} and ΔS_{W2} are entropy changes (J K⁻¹) along the hot isothermal branch and the
- 261 cold isothermal compression branch. The internal irreversibility factor is in the range of
- 262 $0 < I_R \le 1$.
- 263 Considering the finite-time evolution of the cycle's compression and power strokes, piston
- 264 friction-like losses and internal irreversibility of working fluid, the efficiency and power output
- of the air standard Otto heat engine are, respectively, given by [40-42]

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$$\eta_{\text{Otto}} = 1 - r^{1-\gamma} - \frac{b(r-1)^2}{C_{V_2}} (K_1 + K_2 r^{1-\gamma}),$$
 (27)

267 and

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$$P_{\text{Otto}} = Q \left[1 - r^{1-\gamma} - \frac{b(r-1)^2}{C_{V_2}} (K_1 + K_2 r^{1-\gamma}) \right], \tag{28}$$

where the relevant parameters for the air standard Otto cycle are summarized in Table 2.

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2.3 The regenerator

- The regenerator works as a heat exchanger continuously preheating the inlet solid carbon
- and air by the high-temperature outlet gases. The products are cooled down to the
- 274 environmental temperature with the solid carbon and oxygen being heated to the operating
- temperature of the DC-SOFC. According to the thermodynamic parameters given in Ref. [18],
- one can prove that

$$277 \qquad \Delta Q_{\text{CO}} - \left(\Delta Q_{\text{C}} + \Delta Q \mathbf{1}_{O_2}\right) > 0, \tag{29}$$

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$$279 \qquad \Delta Q_{\text{CO}_2} - \left(\Delta Q_{\text{C}} + \Delta Q 2_{\text{O}_2}\right) > 0, \tag{30}$$

$$280 \quad \text{where} \quad \Delta Q_{\text{CO}} = \overset{\bullet}{m} \int_{T_0}^T C_{\text{CO}} d\tau \; , \quad \Delta Q_{\text{C}} = \overset{\bullet}{m} \int_{T_0}^T C_{\text{C}} d\tau \; , \quad \Delta Q 1_{\text{O}_2} = 0.5 \overset{\bullet}{m} \int_{T_0}^T C_{\text{O}_2} d\tau \; , \quad \Delta Q 2_{\text{O}_2} = \overset{\bullet}{m} \int_{T_0}^T C_{\text{O}_2} d\tau \; ,$$

 $\Delta Q_{\text{CO}_2} = m \int_{T_0}^{T} C_{\text{CO}_2} d\tau$, m is the molar consumption rate of solid carbon, T_0 is the ambient temperature (K), C_j is the isobaric molar heat capacities (J mol⁻¹ K⁻¹) for species j (j= solid carbon, CO, O₂ or CO₂). As only CO and CO₂ are present in the anode outlet gas, we can conclude that the heat contained in the products is always enough to preheat the reactants to attain the operating temperature of the DC-SOFC regardless of its molar fraction of CO and CO₂ (the inlet N₂ in air is supposed to be preheated by the outlet N₂). Since some high-effectiveness regenerators have already been reported [43], it is appropriate to assume that the regenerator in Fig. 1 (a) performs perfect regeneration.

2.4 The performance of the proposed system

As the waste heat from DC-SOFC is used to preheat the activated carbon and air at the inlet of the cell, the heat loss from the DC-SOFC system to the environment is negligible against the electrical power ($P_{\rm SOFC}$). Adding the contribution of the air standard Otto cycle heat engine, the equivalent power output (P) and equivalent efficiency (η) of the proposed system can be, respectively, expressed as

$$P = \begin{cases} = P_{\text{SOFC}} & (i < i_{\text{tn}}) \\ = P_{\text{SOFC}} + P_{\text{Otto}} & (i \ge i_{\text{tn}}) \end{cases}, \tag{31}$$

297 and

3. Results and discussion

Based on the mathematical models above which have been well validated by the previous studies[15] and relevant parameters given in Table 1 and Table 2, the performance characteristics of the proposed system can be analyzed. The parameters are taken as default

ones unless they are specifically mentioned.

3.1 Performance characteristics of air standard Otto heat engine

The basic performance characteristics of the air standard Otto heat engine are shown in Fig. 4. As can be found in Fig. 4 (a), the efficiency (η_{Otto}) increases with increasing compression ratio (r) at different power dissipation (b) values at the beginning. After reaching the peak efficiency values, η_{Otto} decreases with a further increase in compression value. Besides, a higher efficiency and larger effective interval compression ratio can be achieved at smaller power dissipation value. When power dissipation is decreased to zero, the friction losses in the Otto heat engine can be negligible. In this situation, Eqs. (27) and (28) can be, respectively, reduced into

313
$$\eta_{\text{Otto}} = 1 - r^{1-\gamma} / I_R$$
, (33)

314 and

315
$$P_{\text{Otto}} = Q(1 - r^{1-\gamma}/I_R).$$
 (34)

As can be found in Fig. 4(b), the equivalent power density of the air standard Otto heat engine P_{Otto}^* increases with the increasing operating current density of the DC-SOFC i and the increasing internal irreversibility factor I_R . The effect of the internal irreversibility factor on its power output becomes more significant at larger operating current density as more heat is generated from DC-SOFC. With the internal irreversibility factor reaching 1, the Otto heat engine achieves its upper limit power density. In this situation, Eqs. (27) and (28) can be, respectively, reduced into

323
$$\eta_{\text{Otto}} = 1 - r^{1-\gamma} - \frac{b(r-1)^2}{C_{V_2}} (K_1 + K_2 r^{1-\gamma}),$$
 (35)

324 and

325
$$P_{\text{Otto}} = Q \left[1 - r^{1-\gamma} - \frac{b(r-1)^2}{C_{V_2}} \left(K_1 + K_2 r^{1-\gamma} \right) \right].$$
 (36)

3.2 Power density improvement of the proposed system

The equivalent power densities of the DC-SOFC, Otto heat engine and proposed system are compared at different operating temperatures as shown in Fig. 5, where $P_{\rm SOFC}^* = P_{\rm SOFC}/A$ and $P^* = P/A$ are the power densities for the DC-SOFC and the proposed system, respectively. When $i \le i_{\rm tn}$, the curves of $P^* \sim i$ and $P_{\rm SOFC}^* \sim i$ are overlapped as no waste heat is transferred to Otto heat engine for extra power production. In the range of $i > i_{\rm tn}$, $P_{\rm Otto}^*$ keeps growing with larger operating current density as more heat is provided from the DC-SOFC. While for the DC-SOFC, its power density $P_{\rm SOFC}^*$ first increases and then decreases with the increasing i. As a result, the power density is significantly improved in the proposed system and P^* increases to attain a maximum value with increasing i.

The elevated operating temperature improves the performance of the DC-SOFC by promoting its chemical/electrochemical reactivity and ionic conductivity. However, the power

The elevated operating temperature improves the performance of the DC-SOFC by promoting its chemical/electrochemical reactivity and ionic conductivity. However, the power density of the Otto heat engine at the same operating current density decreases with increasing operating temperature due to less waste heat supply, as shown by Fig. 2. As P_{SOFC}^* is more sensitive to the operating temperature than P_{Otto}^* , a higher operating temperature is preferred for the proposed system to obtain a higher output power density. For example, when the DC-SOFC works at a current density of 30000 A m⁻², the equivalent power density of the proposed system approximately increases from 5550 W m⁻² at 1073 K to 7950 W m⁻² at 1173 K, which are about 79.6% and 33.3% larger than that of the stand-alone DC-SOFC, respectively.

3.3 Efficiency characteristics of proposed system

Apart from power density, the equivalent efficiencies of the DC-SOFC, Otto heat engine and proposed system under different operating temperature are also compared as shown in Fig. 6. Similarly, the $\eta \sim i$ and $\eta_{\text{SOFC}} \sim i$ curves are overlapped in the region of $i \leq i_{\text{tn}}$ and the proposed system has the largest efficiency η when $i > i_{\text{tn}}$. The efficiency of the DC-SOFC is

quite high at small operating current density, where it reaches a peak efficiency of 100% at $i_{\rm tn}$ as DC-SOFC is thermally self-sustained. With a further increase in operating current density, $\eta_{\rm SOFC}$ decreases to less than $\eta_{\rm Otto}$ (which does not vary with the operating temperature). When the DC-SOFC works at a current density of 30000 A m⁻², the equivalent efficiency of the proposed system increases from 46.8% at 1073 K to 60.8% at 1173 K mainly due to the significant efficiency improvement of the DC-SOFC, whose efficiency increases from 26.4% at 1073 K to 45.4% at 1173 K. A higher operating temperature is thus more favored to obtain a higher efficiency of the proposed system.

3.4 Effect of distance between carbon layer and anode electrode

In DC-SOFCs, the distance between carbon layer and anode (D_{ce}) will increase over time due to the consumption of solid carbon, resulting in the change of z in Eq. (10). As a result, the gas transportation between carbon layer and anode significantly affects the power output and heat generation in the proposed system. As shown in Fig. 7, as the distance between carbon layer and anode is decreased from 559 μ m to 59 μ m, a significant output power density improvement of the proposed system is found. The peak power density of the proposed system increases from 5030 W m⁻² at 559 μ m to 6990 W m⁻² at 59 μ m. As analyzed in our previous papers [15, 17], a small distance between carbon layer and anode helps to maintain a high fuel concentration in anode, which brings in a high output power density. Meanwhile, more heat can be provided from the DC-SOFC at higher operating current density, allowing more power to be generated through Otto heat engine. As a result, higher power density and efficiency of the proposed system can be obtained at a smaller distance, and this effect becomes more pronounced at a larger current density.

3.5 Effect of compression ratio

The effect of compression ratio (r) on the performance of the proposed system appears only in the region of $i > i_{tn}$, as shown in Fig. 8. For a given current density, it is observed that

there exists peak values for the power density and efficiency of the proposed system with the change of compression ratio, indicating the existence of an optimum compression ratio. Based on the parameters given in Table 1 and Table 2, the optimum value for the compression ratio is found to be 9.26. Moreover, the optimum value for the compression ratio is closely related to the friction-like coefficient. The optimum value of compression ratio (r_{opt}) under different dissipation power (b) are listed in Table 3 by using the extremum condition $\partial \eta_{\rm Otto}/\partial r = 0$, from which a smaller r_{opt} can be found at a larger b. It can be also found from Fig. 8 that the effect of compression ratio on the performance of the proposed system becomes more significant as the operating current density increases.

3.6 Effect of internal irreversibility factor and dissipation power

The effects of internal irreversibility factor (I_R) and dissipation power (b) on the performance of the proposed system are shown in Fig. 9, where the involved values of compression ratio are assigned from Table 3 to maximize the efficiency of the Otto heat engine. In the region of $i > i_{\rm tn}$, significant effects of irreversibility factor and dissipation power can be found at large operating current density as more heat is provided from the DC-SOFC to the Otto heat engine. The power density and efficiency of the proposed system both increase with increasing I_R or decreasing b. When both the internal irreversible losses and the friction-like losses are negligible, the power density and efficiency of the proposed system can be drawn as the black square lines in Fig. 9. In this situation, Eqs. (27) and (28) can be reduced into

399
$$\eta_{\text{Otto}} = 1 - r^{1-\gamma}$$
, (37)

400 and

401
$$P_{\text{Otto}} = Q(1 - r^{1-\gamma}).$$
 (38)

4. Conclusions

The previously developed 2D tubular DC-SOFC model shows that the overall heat generated in the cell could be smaller than, equal to or higher than the heat demand by the internal Boudouard reaction. A novel hybrid system consists of a DC-SOFC, an external heat source, a regenerator and an Otto heat engine is proposed to improve the performance of the DC-SOFC. Based on the thermal characteristics of the DC-SOFC, three operation modes are presented. The analytical expressions for the proposed system under different operating conditions are derived to evaluate its performance. The results show that the proposed system is technically feasible and effective, and the equivalent power density of the proposed system could be increased by up to 80% compared to the stand-alone DC-SOFC. Comprehensive parametric studies show that there exists an optimum value for the compression ratio to maximize the efficiency of the Otto heat engine, and increasing the operating temperature, operating current density and internal irreversibility factor will increase the overall power density and efficiency of the proposed system. Furthermore, decreasing dissipation power and the distance between carbon layer and anode electrode are also benefit to improve the equivalent power density and efficiency of the DC-SOFC based hybrid system.

Acknowledgement

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Nomenclature

428 Abbreviation

427

CHP Combined heat and power

DC-SOFC Direct-carbon solid oxide fuel cell

LSM Strontium-doped lanthanum manganite

Otto Otto heat engine

SCCM Standard cubic centime per minute

SOFC Solid oxide fuel cell

TPB Triple phase boundary

YSZ Yttrium stabilized zirconium

429

430 Roman

b Power dissipation due to friction, W

 B_0 Permeability coefficient, m²

 c_{CO_2} Molar concentration of carbon dioxide, mol·m⁻³

 C_P is constant-pressure heat capacity, J K⁻¹

 C_v is constant-volume heat capacity, J K⁻¹

 C_{V_i} Heat capacity of the gases in the compression stroke, J·K⁻¹

 C_{V_0} Heat capacity of the gases in the power strokes, J·K⁻¹

 D_{ce} Distance between carbon layer and anode, μm

 $D_{\rm m}^{\rm eff}$ Effective diffusivity of species m, m²·s⁻¹

 $E_{\rm act}$ Activation energy, J·mol⁻¹

 E_{CO} Equilibrium potential for carbon monoxide oxidization, V

 $E_{\rm CO}^{0}$ Standard equilibrium potential for carbon monoxide oxidization, V

 $E_{\rm eq}$ Equilibrium Nernst potential, V

F Faraday constant, 96485 C·mol⁻¹

 F_f Friction force, N

 i_o Exchange current density, A·m⁻²

I Electrical current, A

 I_R Internal irreversibility factor

 K_1 : K_2 Constant temperature rate in Eq. (18), (s K^{-1})

n Number of electrons transferred per electrochemical reaction

 N_i Flux of mass transport, kg·m⁻³·s⁻¹

p (partial) Pressure, Pa

P Power output, W

 P_f Friction-related power loss, W

 $P_{\text{Otto},r}$ Reversible power of the Otto heat engine, W

r Compression ratio

R Gas constant, $8.314 \text{ J} \cdot \text{mol}^{-1} \cdot \text{K}^{-1}$

 R_{ce} Reaction rate of Boudouard reaction, mol·m⁻³·s⁻¹

 ΔS_{W1} Entropy change along the hot isothermal branch, J·K⁻¹

 ΔS_{w2} Entropy change along the cold isothermal compression branch, J·K⁻¹

 Δt_{12} Time spent in the power stroke, s

Temperature, K

 $T_{l\sim4}$ Temperatures of the working substance at the state points 1, 2, 3 and 4

u Velocity field, m³·s⁻¹

V Volume fraction

 V_1 Working substance volume along the constant-volume cooling branch,

 m^3

 V_2 Working substance volumes along the constant-volume heating branch,

 m^3

 $W_{\text{Otto.}r}$ Reversible work of the Otto heat engine, W

 x_2 Piston position at minimum volume, m

 y_k Molar fraction of component k

Greek letters

 α Charge transfer coefficient

 $\beta_{\rm H_2}$ Electrochemical kinetics parameter for $\rm H_2$

γ Ratio of specific heats

 ε Porosity

 η Efficiency

 η_{act} Activation polarization, V

 $\eta_{\rm ohmic}$ Ohmic polarization, V

κ Permeability, m²

 λ Thermal conductivity, W·m⁻¹K⁻¹

μ Dynamic viscosity of fluid, Pa·s

 θ Friction coefficient, J·s·m⁻²

ρ Fluid density, kg·m⁻³

σ Conductivity, S/m

τ Tortuosity

 τ_t Period of the Otto cycle, s

Ø Potential, V

Subscripts

an Anode

ca Cathode

CO Carbon monoxide

CO₂ Carbon dioxide

H2 Hydrogen

1 Ionic phase

O₂ Oxygen

r Reversible

s Electronic phase

Superscripts

Parameter at equilibrium conditions

eff Effective

L Local

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Table 1. Model parameters used in DC-SOFC [15, 44, 45].

Parameters	Value or expression	Unit					
Ionic conductivity							
$\sigma_{ m GDC}$	$\frac{100}{T} \times 10^{(6.66071 - \frac{5322.92}{T})}$	S m ⁻¹					
$\sigma_{ m YSZ}$	$3.34 \times 10^4 e^{\frac{-10300}{T}}$	S m ⁻¹					
Electronic conductivity							
$\sigma_{ m silver}$	σ_{silver} $\frac{1.59 \times 10^8}{(0.0038T - 0.1134)}$						
Porosity							
$arepsilon_{ m an}$	0.46						
$arepsilon_{ca}$	0.46						
Electrode volume fraction							
$V_{ m GDC}$	0.21						
$V_{ m silver}$	0.79						
TPB length of electrode							
Anode	2.14×10^{5}	$m^2 m^{-3}$					
Cathode	2.14×10^5	$m^2 m^{-3}$					
Tortuosity							
$ au_{an}$	3						
$ au_{ca}$	3						
Exchange current density							
i_0^{CO}	450	A m ⁻²					
$i_0^{O_2}$	400	A m ⁻²					

Charge transfer coefficient							
$lpha_{ ext{CO}}$	0.5						
$lpha_{ m O_2}$	0.5						
Equilibrium constant of	$k_{\rm rb} = 6 \times 10^{13}$	1/s					
Boudouard reaction							
Activation energy of Boudouard	$E_{\rm rb} = 248$	kJ mol ⁻¹					
reaction							

Table 2. Parameters used in Otto heat engine [46, 47].

Parameter	Value
Constant-volume heat capacity during the compression stroke, C_{V_1}	0.2988
(J K ⁻¹)	
Constant-volume heat capacity during the power stroke, C_{V_2} (J K ⁻¹)	0.4372
Constant temperature rate, K_1 (s K^{-1})	8.128×10 ⁻⁶
Constant temperature rate, K_2 (s K^{-1})	1.867×10 ⁻⁵
Compression ratio, r	9.26
Specific heat ratio, γ	1.4
Dissipation power due to friction b (W)	32.5

Table 3. Optimum value of compression ratio r_{opt} under different power dissipation b, the other parameters are as the same in Table 1 and Table 2.

<i>b</i> (W)	0	16.25	32.5	48.75
r_{opt}	-	12.48	9.26	7.80

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- performance of the proposed system at 1123 K.

588 Fig. 1.

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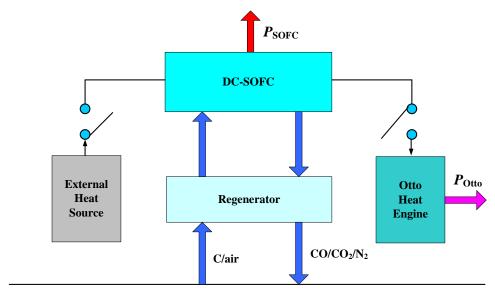


Fig. 1. (a) Schematic diagram of a DC-SOFC based system.

Exhaust gases

Heat Source

Heat input

DC-SOFC

Output Products

Fig. 1. (b) Schematic diagram of the heat and mass transfer process at small operating current density.

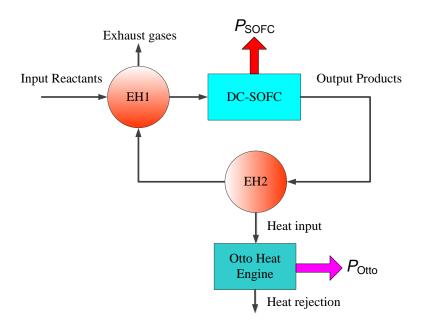


Fig. 1. (c) Schematic diagram of the heat and mass transfer process at large operating current density.

599 Fig. 2.

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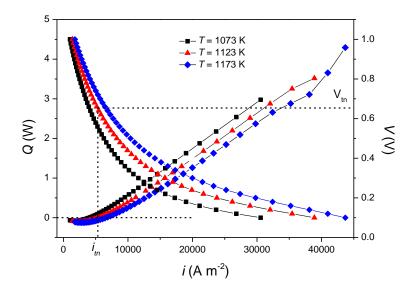


Fig. 2. The curves of Q and V versus current density under different operating temperature, where $i_{\rm tn} = I_{\rm tn}/A$, A is the polar plate area of the DC-SOFC, $V_{\rm tn}$ is the voltage corresponding to $i_{\rm tn}$.

605 Fig. 3.

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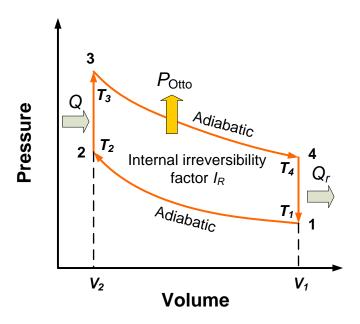
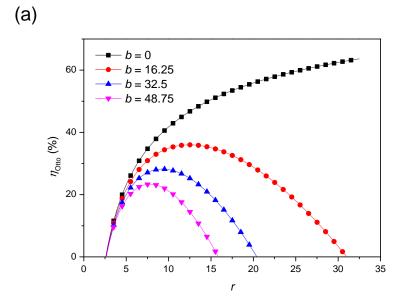


Fig. 3. The pressure-volume diagram of the internal irreversible Otto cycle.

610 Fig. 4.



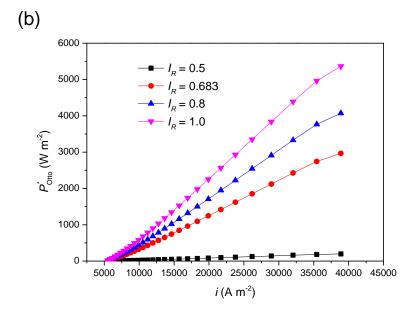
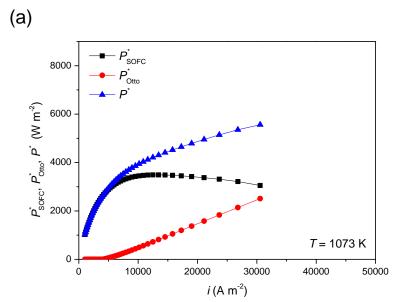
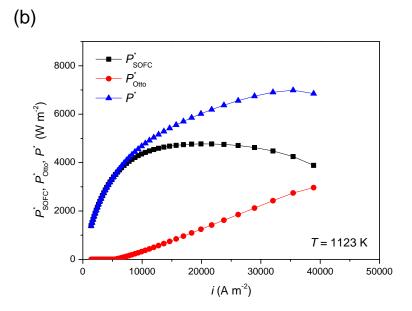


Fig. 4. The (a) efficiency, and (b) equivalent power density of the Otto heat engine under different operating conditions, where $P_{\text{Otto}}^* = P_{\text{Otto}} / A$ is the equivalent power density of the Otto heat engine.

617 Fig. 5.





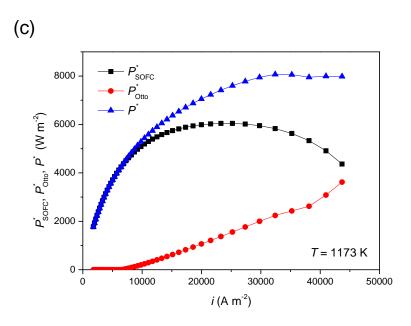
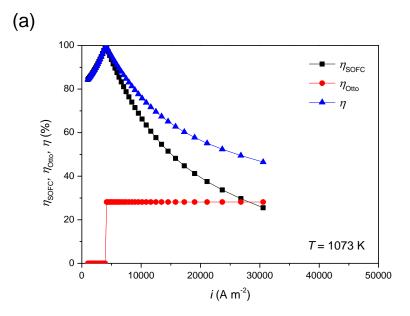
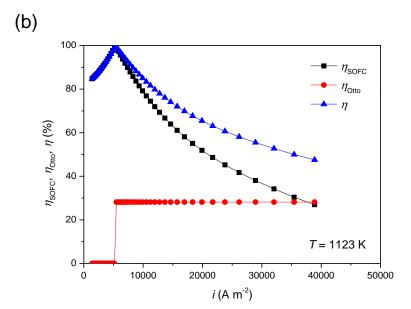


Fig. 5. Equivalent power densities of the DC-SOFC, Otto heat engine and proposed system at
(a) 1073 K, (b) 1123 K and (c) 1173 K.

624 Fig. 6.





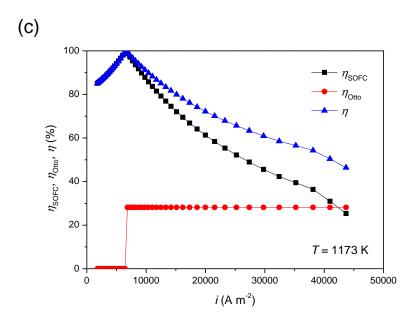


Fig. 6. Equivalent efficiencies of the DC-SOFC, Otto heat engine and proposed system at (a)
1073 K, (b) 1123 K and (c) 1173 K.

631 Fig. 7.

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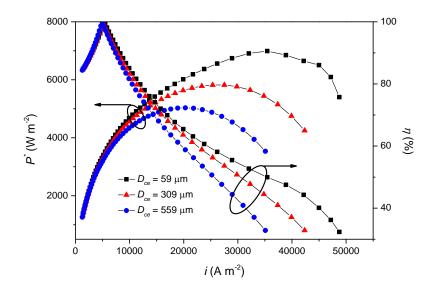


Fig. 7. Effects of distance between carbon layer and anode electrode on the performance of theproposed system at 1123 K.

636 Fig. 8.

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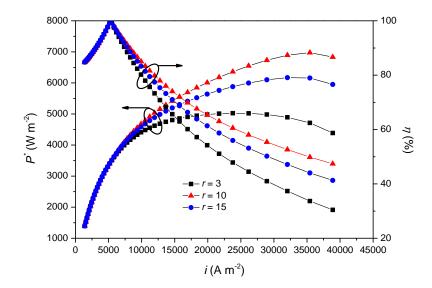


Fig. 8. Effects of compression ratio on the performance of the proposed system at 1123 K.

640 Fig. 9.

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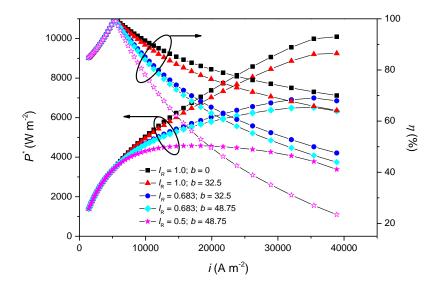


Fig. 9. Effects of compression ratio and power dissipation of the Otto heat engine on the performance of the proposed system at 1123 K.