

## Exploring oxygen electrocatalytic activity and pseudocapacitive behavior of $\text{Co}_3\text{O}_4$ nanoplates in alkaline solutions

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**Abstract:** Cobalt-based materials are regarded as important materials for oxygen reduction and evolution reactions (ORR and OER) in metal-air batteries and supercapacitors. However, the activity of oxygen electrocatalysis and the pseudocapacitive performance of cobalt oxide ( $\text{Co}_3\text{O}_4$ ) in the reported works are usually inconsistent. To explore this issue, a series of  $\text{Co}_3\text{O}_4$  nanoplates are fabricated using different calcination temperatures, and their morphologies, microstructures, and electrochemical behaviors in alkaline solutions are systemically characterized. It is found that the high calcination temperature destroys the hexagonal nanoplate morphology and leads to decreased surface areas and pore volumes. In addition, the activity of  $\text{Co}_3\text{O}_4$  nanoplates toward the ORR and OER and the capacitance values decrease with an increase of the calcination temperature. From the ORR/OER activity and specific capacitance normalized by the specific and electrochemical surface area, the intrinsic electrochemical performance may be correlated to

the surface oxidation states of Co and O. The high calcination temperature leads to the high amounts of adsorbed oxygen species and  $\text{Co}^{3+}$  atoms on the surface, which may be the key for the high intrinsic ORR and OER activity based on the electrochemical surface area. However, the apparent performance is insufficient due to the greatly reduced surface area. For the pseudocapacitive performance, on the contrary, the greater amount of  $\text{Co}^{2+}$  on the surface is favorable. Hence, the inconsistent electrochemical performance of  $\text{Co}_3\text{O}_4$  may originate from different geometries and surface oxidation states on the surface, which are affected by the calcination temperatures in the synthesis process. This work provides insights into the design and optimization of non-precious materials in electrochemical systems, and offers a feasible strategy to improve the performance by using a low-temperature calcination method.

**Keywords:** cobalt oxide; calcination temperature; oxygen electrocatalysis; pseudocapacitive behavior

## 1. Introduction

The advancement in electrochemical energy storage systems requires low-cost and efficient power sources [1]. Although lithium-ion batteries have been widely used in various applications, the investigations on other energy conversion and storage systems are in urgent demand, such as metal-air batteries, fuel cells, and supercapacitors. Among them, metal-air batteries have attracted great attention [2–5]. They use metal directly (e.g., Li, Na, Zn) as one electrode, which has a high theoretical capacity [6]; and the other reactant, oxygen, is absorbed from the air rather than occupying the mass and volume of the battery, enhancing the energy density [7,8]. Their working mechanisms during discharge and charge involve the dissolution and deposition of metal on the negative (metal) electrode and the oxygen reduction and evolution reactions (ORR and OER) on the positive (air) electrode [9]. However, one of the key obstacles for the

commercialization is the sluggish ORR/OER kinetics, which results in large overpotentials during discharge and charge and induces corrosions when the charge potentials are high [10]. Consequently, the battery exhibits limited energy density, low energy efficiency, and poor cycling life. Although Pt and Ir are recognized as the best electrocatalysts for the ORR and OER, respectively, the insufficient stability and high price, unfortunately, impede their wide application [11]. Therefore, the development of bifunctional catalysts with high ORR/OER activity, high stability, and low cost is essential for metal-air batteries [12].

Transition metal-based materials have been investigated for a long time [13–18], among which cobalt-based materials are regarded as important catalysts for oxygen electrocatalysis [19–23]. Even though nanostructured engineering strategies such as element-doping and carbon-compositing have been applied to enhance the electrochemical properties of cobalt-based catalysts [24–26], cobalt oxide ( $\text{Co}_3\text{O}_4$ ) is still the most common materials used in metal-air batteries due to its good performance [27–30]. However, after careful examinations of the reported works, inconsistent results focusing on the electrochemical performance of  $\text{Co}_3\text{O}_4$  in alkaline solutions have been found in two aspects. The first one is that concerning the ORR and OER activity, the performance between  $\text{Co}_3\text{O}_4$  and another cobalt-based catalyst  $\text{Co}(\text{OH})_2$  is controversial. For example, Zhou et al. found that the ultrathin porous  $\text{Co}_3\text{O}_4$  nanoplates calcined at 250 °C exhibited better OER performance than the pristine  $\text{Co}(\text{OH})_2$  nanoplates [31]. However, an entirely contrary conclusion was reported by Lee et al., in which  $\text{Co}(\text{OH})_2$  hexagonal nanoplates show even greater activity in both ORR and OER than  $\text{Co}_3\text{O}_4$  that calcined at 400 °C [32]. Zong et al. found that although the mesoporous  $\text{Co}_3\text{O}_4$  nanosheets have higher ORR and OER activity than  $\text{Co}(\text{OH})_2$  nanosheets when normalized by the geometric surface area, the conclusion is reversed when normalized by the electrochemically active surface area [33]. The

second aspect is the pseudocapacitive performance of  $\text{Co}_3\text{O}_4$ . Specifically, Wu et al. electrodeposited ultrathin porous  $\text{Co}_3\text{O}_4$  nanosheets on Ni foam and found the redox peaks related to the redox reactions for  $\text{OH}^-$  ions with  $\text{Co}_3\text{O}_4$  [34,35]. Based on the high pseudocapacitance of  $\text{Co}_3\text{O}_4$ , a Zn- $\text{Co}_3\text{O}_4$  battery was built and exhibited a high voltage of 1.78 V, an energy density of  $241 \text{ Wh kg}^{-1}$ , and capacity retention ratio of 80% after 2000 cycles [36]. Through utilizing the activity toward oxygen reactions and the pseudocapacitive behavior of  $\text{Co}_3\text{O}_4$ , a hybrid Zn battery combining Zn- $\text{Co}_3\text{O}_4$  and Zn-air batteries was developed in our previous work, which delivered a discharge voltage of 1.85 V, a capacity of  $792 \text{ mAh g}_{\text{Zn}}^{-1}$ , and remarkable cycling stability with high energy efficiency [37–39]. However, using a similar material composed of  $\text{Co}_3\text{O}_4$  nanosheets on carbon cloth, a rechargeable Zn-air battery showed solely the ORR and OER processes without pseudocapacitive behaviors [33]. Besides  $\text{Co}_3\text{O}_4$  nanosheets,  $\text{Co}_3\text{O}_4$  nanowire array grown onto the stainless steel mesh was applied in Zn-air batteries, but only voltage plateaus corresponding to the ORR and OER were exhibited and no pseudocapacitance was ever demonstrated [40].

From the above discussion, the inconsistent results make it hard to select suitable cobalt-based catalysts for electrochemical systems and hinder the rational design of novel transition-metal-based materials. Therefore, this work aims at exploring the oxygen electrocatalytic activity and pseudocapacitive behavior of  $\text{Co}_3\text{O}_4$  in alkaline solutions to clarify the inconsistent results. We first fabricated  $\text{Co}(\text{OH})_2$  nanoplates using a hydrothermal reaction and then converted them to  $\text{Co}_3\text{O}_4$  nanoplates using different calcination temperatures [41]. Their compositions, morphologies, and microstructures were characterized in detail. Then, the ORR and OER activity and the pseudocapacitive performance of  $\text{Co}(\text{OH})_2$  and  $\text{Co}_3\text{O}_4$  nanoplates in alkaline solutions were examined. Moreover, the oxidation states of Co and O on the surface of  $\text{Co}_3\text{O}_4$  was

analyzed from X-ray photoelectron spectroscopy. The relationship between the electrochemical performance, the geometrical properties, and the oxidation states on the surface was further discussed.

## **2. Experimental**

### **2.1 Fabrication of Co(OH)<sub>2</sub> and Co<sub>3</sub>O<sub>4</sub> nanoplates**

Co(OH)<sub>2</sub> nanoplates were synthesized using a reported approach [42,43]. First, 0.16 mol of KOH was dissolved in 35 mL of distilled water to form a KOH solution. Then, 5 mL of 4.0 M Co(NO<sub>3</sub>)<sub>2</sub>·6H<sub>2</sub>O aqueous solution was slowly dropped into it within 5 min. After continuous stirring for 30 min, the suspension was transferred into a Teflon-lined autoclave, which was heated at 100 °C for 12 h. After cooling naturally, the precipitate was washed thoroughly and dried at 60 °C overnight. The obtained powder was sintered in air at a given temperature (from 150 to 750 °C) for 3 hours with a heating rate of 2 °C min<sup>-1</sup>.

### **2.2 Physicochemical characterization**

The morphologies of Co(OH)<sub>2</sub> and Co<sub>3</sub>O<sub>4</sub> nanoplates were observed by a transmission electron microscope (TEM, JEOL 2100F). The compositions were examined by X-ray diffraction (XRD, Rigaku Smartlab) using a Cu-K $\alpha$  source at 45 keV. The X-ray photoelectron spectroscopy (XPS) spectra were measured by PHI 5600 multi-technique system. The thermogravimetric analysis (TGA) of Co(OH)<sub>2</sub> nanoplates was conducted in the air atmosphere from 100 to 800 °C at a heating rate of 10 °C min<sup>-1</sup>. The nitrogen adsorption/desorption isotherms were measured on ASAP 2020. The specific surface areas were calculated by the Brunauer-Emmert-Teller (BET) method, and pore volumes and sizes were estimated from the desorption isotherms using the Barrett-Joyner-Halenda (BJH) method.

### **2.3 Electrochemical characterization**

The electrochemical properties were characterized in a three-electrode cell by a Solartron SI 1287 potentiostat. To prepare the ink solution, 4 mg of prepared nanoplates **or commercial Pt/C and Ir/C catalysts**, 2 mg of Vulcan carbon (XC-72), and 20  $\mu\text{L}$  of Nafion solution (5 wt%) were mixed into 380  $\mu\text{L}$  of isopropanol, and then ultrasonicated for 30 minutes. After that, 4  $\mu\text{L}$  of the homogeneous ink was carefully dripped onto a polished glassy carbon electrode (**diameter: 5 mm, surface area: 0.2  $\text{cm}^2$** ) with a loading of 0.2  $\text{mg cm}^{-2}$ , and dried completely as the working electrode. A graphite wire and a Hg/HgO electrode were applied as the counter and reference electrodes, respectively.

To test the ORR activity, the electrolyte (0.1 M KOH) was first saturated by oxygen. Linear sweep voltammetry (LSV) was conducted at 5  $\text{mV s}^{-1}$  within the potential from 0.2 to  $-0.6$  V (vs. Hg/HgO) using the rotation speeds ranging from 400 to 2500 rpm. The Koutecky-Levich equation was used to get the number of electrons transferred ( $n$ ):

$$j^{-1} = j_k^{-1} + (0.2nFD_{\text{O}_2}^{2/3}v^{-1/6}C_{\text{O}_2}\omega^{1/2})^{-1} \quad (1)$$

where  $j$  and  $j_k$  are the measured and kinetic current densities, respective,  $F$  is the Faraday constant,  $D_{\text{O}_2}$  is the diffusion coefficient of  $\text{O}_2$  ( $1.86 \times 10^{-5} \text{ cm}^2 \text{ s}^{-1}$ ),  $v$  is the kinetic viscosity ( $1.01 \times 10^{-2} \text{ cm}^2 \text{ s}^{-1}$ ),  $C_{\text{O}_2}$  is the bulk concentration of  $\text{O}_2$  ( $1.21 \times 10^{-6} \text{ mol cm}^{-3}$ ), and  $\omega$  is the rotation speed (rpm).

The OER activity was tested in a 1.0 M KOH aqueous solution. LSV for OER polarization was at 5  $\text{mV s}^{-1}$  within the potential from 0.2 to 0.7 V (vs. Hg/HgO) using the rotation speed of 1600 rpm. Cyclic voltammetry (CV) was measured from 0.2 to 0.7 V (vs. Hg/HgO) at various scan rates, and the measured currents were normalized by the catalyst loading. The following equation was used to calculate the specific capacitance value ( $C$ ) [44]:

$$C = \frac{1}{ms\Delta V} \int IdV \quad (2)$$

where  $m$  is the mass loading of the active material,  $s$  is the scan rate,  $\Delta V$  is the potential range, and  $I$  is the corresponding current density. The double-layer capacitance ( $C_{DL}$ ) formed at the electrode/electrolyte interface was determined by carrying out the CV scans in the nonreactive potential window at the scan rate from 10 to 60 mV s<sup>-1</sup>. By plotting the difference in the cathodic and anodic current densities versus the scan rates, the  $C_{DL}$  value was calculated from the slope [45]. All potentials were eventually calibrated to a reversible hydrogen electrode (RHE) scale.

### 3. Results and discussion

#### 3.1 Characterization of Co(OH)<sub>2</sub> and Co<sub>3</sub>O<sub>4</sub> nanoplates

Figure 1a displays the TEM image of the synthesized Co(OH)<sub>2</sub>, from which an approximate hexagonal plate morphology with the length from 100 to 150 nm is presented, similar as previously reported [31]. The selected area electron diffraction (SAED) pattern (Figure 1a inset) demonstrates its polycrystalline nature. From the high-resolution image in Figure 1b, the nanoplate was composed of nanoparticles. When examining the porous structure, a typical type IV isotherm is presented in Figure 1c, and the measured specific surface area is 61.65 m<sup>2</sup> g<sup>-1</sup>. Figure 1c inset shows the pore width distribution, and it is found that most pores are distributed within the range of 2–10 nm with an average value of 3.3 nm. The XRD pattern in Figure 1d indicates that all diffraction peaks are well indexed to  $\beta$ -Co(OH)<sub>2</sub> (JCPDS #74-1057) [46]. From the TGA curve of Co(OH)<sub>2</sub> nanoplates in Figure S1, we selected different annealing temperatures from 150 to 750 °C to treat Co(OH)<sub>2</sub> [46,47], and the results are presented in following sections.

The TEM images of the nanoplates calcined at various temperatures are presented in Figure 2, and the XRD patterns are presented in Figure 3. At the temperature of 150 °C, the nanoplates

maintain the hexagonal plate morphology, but the porous structure becomes more obvious when compared to the pristine  $\text{Co}(\text{OH})_2$  nanoplates (Figure 2a). The XRD result indicates that at this calcination temperature, the nanoplates are a combination of  $\text{Co}(\text{OH})_2$  and  $\text{CoO}(\text{OH})$  (JCPDS #26-1107), which caused by the partial oxidation at the low temperature. When the calcination temperature is 200 °C, the porous structure composed of nanoparticles is clearly observed (Figure 2b), and no peaks corresponding to  $\text{Co}(\text{OH})_2$  or  $\text{CoOOH}$  can be detected. Instead, the peaks are indexed to the spinel  $\text{Co}_3\text{O}_4$  (JCPDS #42-1467), indicating the that  $\text{Co}(\text{OH})_2$  was completely converted into  $\text{Co}_3\text{O}_4$ . The similar finding has been reported by Tong et al, in which  $\text{Co}(\text{OH})_2$  was decomposed to  $\text{Co}_3\text{O}_4$  at 200 °C [48]. To further confirm the formation of  $\text{Co}_3\text{O}_4$ , the high-resolution TEM image shows the lattice fringes of 0.14 and 0.28 nm (Figure S2a), which correspond to the (440) and (220) planes of  $\text{Co}_3\text{O}_4$ , respectively. The SAED pattern in Figure S2b also coincides with the diffraction of  $\text{Co}_3\text{O}_4$ . At a higher calcination temperature of 250 °C, as shown in Figure 2c, the pores formed during the calcination process become more clear, and the diffraction peaks corresponding to  $\text{Co}_3\text{O}_4$  become taller. When increasing the calcination temperature to 350 °C, the pores in the nanoplate become larger, and in some nanoplates the edges blurred (Figure 2d). From the XRD pattern in Figure 3, the diffraction peaks become much taller and narrower. Hence, the higher temperature improves the crystallinity of the nanoplates. Previous research has indicated that the near hexagonal shape of  $\text{Co}_3\text{O}_4$  nanoplates can be well preserved at a calcination temperature below 500 °C, but collapsed when the temperature was above 600 °C. We further increased the calcination temperature to 550 °C, as shown in Figure 2e, the hexagonal shape is hardly observed. It is also interesting to find that the porous structure with many visible pores disappeared; instead, large pores with the diameters higher than 20 nm are presented. The similar observation has been reported by Qiu et



al. in which  $\text{Co}(\text{OH})_2$  nanosheets could be converted into  $\text{Co}_3\text{O}_4$  nanorings by calcination in air at 600 °C [49]. At an even higher calcination temperature of 750 °C, the resultant products do not present the nanoplate morphology but become dense particles, as illustrated in Figure 2f. In addition, the particle size increases, which may be caused by the fusion of small nanoparticles at such a high temperature [12]. The XRD patterns in Figure 3 show that the diffraction peaks became much taller when the calcination temperature further increased, indicating the improved crystallinities of the  $\text{Co}_3\text{O}_4$  nanoplates. Hence, starting from the calcination temperature of 200 °C,  $\text{Co}(\text{OH})_2$  nanoplates were converted to  $\text{Co}_3\text{O}_4$ . We denoted the resultant products as  $\text{Co}_3\text{O}_{4-x}$  where  $x$  represents the calcination temperature, and their properties are further investigated.

The nitrogen adsorption-desorption isotherms of the as-prepared  $\text{Co}_3\text{O}_4$  nanoplates are shown in Figure 4. For  $\text{Co}_3\text{O}_4$  nanoplates calcined at the temperature from 200 to 350 °C, the nitrogen adsorption-desorption isotherms can be categorized as type II with obvious type H3 hysteresis loops. With an increase of the calcination temperature, the hysteresis loops gradually disappear. The BET surface areas are calculated to be 101.15, 90.25, 83.71, 76.01, and 71.72  $\text{m}^2 \text{g}^{-1}$  for the  $\text{Co}_3\text{O}_4$  nanoplates calcined at 200, 250, 350, 550, and 750 °C, respectively. Hence, compared to that of  $\text{Co}(\text{OH})_2$  nanoplates, the surface area of  $\text{Co}_3\text{O}_4$  nanoplates is larger, but it decreases with an increase of the calcination temperature [31]. The corresponding pore volumes and sizes are shown in Figure S3. Most pores are within the range of 2 to 10 nm for all  $\text{Co}_3\text{O}_4$  nanoplates. For  $\text{Co}_3\text{O}_4$  nanoplates calcined at 200 °C, the pore size distribution exhibits a narrow peak at 3.1 nm, indicating the uniform pore size. When the calcination temperature increases, the peak becomes wider, which means the non-uniformity of pore sizes increased. The pore volumes of  $\text{Co}_3\text{O}_4$  nanoplates calcined at 200, 250, 300, 350, 550, and 750 °C are 0.409, 0.378, 0.353, 0.177, and 0.141  $\text{cm}^3 \text{g}^{-1}$ , respectively. Hence, a higher calcination temperature leads to a lower

specific surface area and smaller pore volume, which is consistent with our TEM observations and previous investigations [42]. These differences in Co<sub>3</sub>O<sub>4</sub> nanoplates calcined at different temperatures will result in different electrochemical properties.

### 3.2 Electrochemical activity for oxygen electrocatalysis

The ORR activity of Co(OH)<sub>2</sub> and Co<sub>3</sub>O<sub>4</sub> nanoplates in the O<sub>2</sub>-saturated 0.1 M KOH solution is presented in Figure 5a and 5b. Co(OH)<sub>2</sub> nanoplates exhibit a Tafel slope of 143.1 mV dec<sup>-1</sup>, and a limiting current density of 4.78 mA cm<sup>-2</sup>. For Co<sub>3</sub>O<sub>4</sub> nanoplates, at the calcination temperature of 200 °C, the Tafel slope is reduced to 108.1 mV dec<sup>-1</sup>, and the sample presents an enhanced limiting current density of 5.32 mA cm<sup>-2</sup>. This value is high among reported Co-based nanoplate catalysts (Table S1) [32,41], and also close to that of commercial 20% Pt/C catalyst (Figure S4a). It is also interesting to find that for the transition state of the sample that is calcined at 150 °C, the ORR activity is between that of Co(OH)<sub>2</sub> and Co<sub>3</sub>O<sub>4</sub> (Figure S4b). With an increase of the calcination temperature, the Tafel slope gradually increases (Figure 5b), and the limiting current density decreases (Figure 5c). Especially, the Co<sub>3</sub>O<sub>4</sub> nanoplates calcined at 550 °C exhibits a similar Tafel slope to that of Co(OH)<sub>2</sub> nanoplates, and the limiting current density of the Co<sub>3</sub>O<sub>4</sub> nanoplates calcined at 750 °C is even lower than that of Co(OH)<sub>2</sub> nanoplates. Thus, the activity of Co<sub>3</sub>O<sub>4</sub> nanoplates toward the ORR is correlated to the calcination temperature and decreases with an increase of the calcination temperature. The LSV curves of Co(OH)<sub>2</sub> and Co<sub>3</sub>O<sub>4</sub> nanoplates at different rotating rates are shown in Figure S5, and the corresponding Koutecky-Levich plots are shown inset. In Lee's work, Co(OH)<sub>2</sub> has a four-electron pathway (~3.3) but CoO and Co<sub>3</sub>O<sub>4</sub> have a two-electron pathway (2.6–2.7) for the ORR [32]. In our case, the number of electrons transferred for Co(OH)<sub>2</sub> nanoplates is around 3.6, while those for Co<sub>3</sub>O<sub>4</sub> nanoplates calcined at 200 to 350 °C are all close to 4.0. Even for Co<sub>3</sub>O<sub>4</sub> calcined at a high

temperature of 750 °C, the number is also ~3.2 (Table S2). The result indicates that all samples may have a four-electron process. The stability of Co<sub>3</sub>O<sub>4</sub> nanoplates calcined at 200 °C is tested through maintaining the potential of 0.3 V (vs. RHE) for 5 h. As shown in Figure S6a, 87.7% of the initial current can be preserved. After the stability test, we also used TEM to characterize the nanoplates. From the TEM image in Figure S6b, the hexagonal nanoplate morphology is well maintained, demonstrating the remarkable ORR stability.

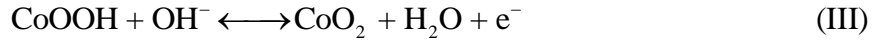
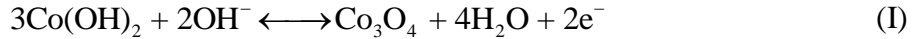
The OER activity of Co(OH)<sub>2</sub> and Co<sub>3</sub>O<sub>4</sub> nanoplates was investigated in 1.0 M KOH solution. Figure 5d shows that Co(OH)<sub>2</sub> nanoplates deliver a Tafel slope of 58.0 mV dec<sup>-1</sup> (Figure 5e) and an overpotential of 366.8 mV at the current density of 10 mA cm<sup>-2</sup>. This overpotential is only of 60 mV higher than that of Ir/C (Figure S7a) and even lower than that of the reported RuO<sub>2</sub> catalyst (370 mV) [50], demonstrating the high OER activity. When the calcination temperature is 150 °C, as shown in Figure S7b, different from the behaviors in the ORR performance, the OER activity of the transition state decreases. When Co(OH)<sub>2</sub> is fully converted to Co<sub>3</sub>O<sub>4</sub> (200 °C), the OER activity further reduced. This result agrees well with the previous study that Co(OH)<sub>2</sub> has higher OER activity than Co<sub>3</sub>O<sub>4</sub> [32]. Comparatively, the Co<sub>3</sub>O<sub>4</sub> nanoplates exhibit the Tafel slopes of around ~55 mV dec<sup>-1</sup>, but the overpotential at 10 mA cm<sup>-2</sup> increases with the calcination temperature, as listed in Table 1. Hence, it can be concluded that similar to the activity toward the ORR, the activity of Co<sub>3</sub>O<sub>4</sub> nanoplates toward the OER decreases with an increase of the calcination temperature. From a recent report [50], the factors for the OER activity in Co(OH)<sub>2</sub> and Co<sub>3</sub>O<sub>4</sub> catalysts include surface area, electrochemical surface area, surface lattice planes, and oxidation state. In our study, Co<sub>3</sub>O<sub>4</sub> calcined at 200 °C has a higher BET surface area than Co(OH)<sub>2</sub>, but the OER activity is lower. To elucidate the reason, we examined the electrochemical surface area by determining the

double-layer capacitance ( $C_{DL}$ ) formed at the electrode/electrolyte interface (Figure S8). The  $C_{DL}$  values for  $\text{Co}(\text{OH})_2$  and  $\text{Co}_3\text{O}_4$  are 21.2 and 14.5  $\text{mF cm}^{-2}$ , respectively. Thus, the higher electrochemical surface area may be responsible for the higher OER activity of  $\text{Co}(\text{OH})_2$ . Actually, the similar behavior has been reported in Lee's work, in which the specific surface area of  $\text{Co}_3\text{O}_4$  nanoplates is higher than that of  $\text{Co}(\text{OH})_2$  due to the nanopore formation after heat treatment, but the OER activity is lower [32]. Another explanation is that the OER activity of metal hydr(oxy)oxide is dependent on the bond strength of  $\text{M}-\text{OH}$ , which should be neither too strong nor too weak [51]. Since the oxygen electrocatalysis on metal oxides begins with protonation of the surface metal centers to form  $\text{M}-\text{OH}$ , the higher OER activity of  $\text{Co}(\text{OH})_2$  than  $\text{Co}_3\text{O}_4$  can possibly be due to the removal of a kinetic step in the overall scheme of reactions [28]. However,  $\text{Co}_3\text{O}_4$  can be reversibly converted to  $\text{CoO}_2$ , while  $\text{Co}(\text{OH})_2$  nanoparticle can form  $\text{CoOOH}$  species with a negligible conversion to  $\text{CoO}_2$  species [50]. In addition, Zhong et al. found that the first LSV curve of  $\text{Co}(\text{OH})_2$  has a larger anodic peak than the following cycles, indicating the irreversible oxidation of  $\text{Co}(\text{OH})_2$  to  $\text{CoOOH}$ , which is further confirmed by XRD and Raman analyses [33]. Thus,  $\text{Co}(\text{OH})_2$  suffers from poor stability in the electrochemical charge-transfer reactions. For  $\text{Co}_3\text{O}_4$  nanoplates calcined at 200 °C, we measured the OER stability through maintaining the current density of 10  $\text{mA cm}^{-2}$  for 5 h, from which the overpotential increases 0.041 V (Figure S9a). After the test, the hexagonal nanoplate morphology is also preserved (Figure S9b), showing the good OER stability.

### 3.3 Pseudocapacitive behavior

As shown in the inset of Figure 5d, a small peak appears prior to the OER process, which is correlated to the pseudocapacitive behaviors. The CV curves of  $\text{Co}(\text{OH})_2$  and  $\text{Co}_3\text{O}_4$  nanoplates in the potential range of 0.2 to 0.7 V (vs.  $\text{Hg}/\text{HgO}$ ) at various scan rates are displayed in Figure

**S10**, and their comparisons at the scan rate of 40 mV s<sup>-1</sup> are shown in Figure 6a. The shapes are non-rectangular, which reveals the reversible redox reactions, and the anodic (positive current density) and cathodic (negative current density) peaks represent the oxidation and reduction processes, respectively. Previous work has illustrated that cobalt oxides can undergo electrochemical charge-transfer reactions of Co<sup>2+</sup> ↔ Co<sup>3+</sup> ↔ Co<sup>4+</sup> in alkaline solutions [34,35]:



which involve the redox couples of Co<sub>3</sub>O<sub>4</sub>/Co(OH)<sub>2</sub>, Co<sub>3</sub>O<sub>4</sub>/CoOOH, and CoOOH/CoO<sub>2</sub>. Here, the peaks corresponding to each redox couple are not obvious, and instead, only one couple of peaks is presented. The similar phenomena have also been reported [39,52], which may be caused by surface modification and/or different alkaline concentrations [53,54]. The CV shapes of Co<sub>3</sub>O<sub>4</sub> nanoplates calcined at different temperatures are similar, but the current density of the peak remarkably decreases with an increase of the calcination temperature. In addition, Co(OH)<sub>2</sub> nanoplates exhibit a different CV shape, which may be caused by the introduction of Reaction I. For Co(OH)<sub>2</sub> and Co<sub>3</sub>O<sub>4</sub> nanoplates, the anodic scans of CV curves are not entirely symmetric with the corresponding cathodic scans, indicating that the redox process is kinetically irreversibility owing to the polarization and ohmic resistance [55–57]. When the scan rate increases, the currents increase accordingly in all samples, and the shapes are well maintained (Figure **S11**), indicating the good rate capability. For Co(OH)<sub>2</sub> nanoplates, the capacitance value is calculated to be 416 F g<sup>-1</sup> at 10 mV s<sup>-1</sup> and decreases to 339 F g<sup>-1</sup> at 100 mV s<sup>-1</sup>. For Co<sub>3</sub>O<sub>4</sub> nanoplates calcined at 200 °C, the capacitance value is 526 F g<sup>-1</sup> at 10 mV s<sup>-1</sup>, higher than that of the Co(OH)<sub>2</sub> nanoplates. However, when the calcination temperature increases from 250 to 750

°C, the capacitance values of Co<sub>3</sub>O<sub>4</sub> nanoplates dramatically decrease (Figure 6b), which are 298, 151, 80, and 37 F g<sup>-1</sup>, respectively (Table 1). Thus, for Co<sub>3</sub>O<sub>4</sub> nanoplates calcined at the temperature higher than 350 °C, the capacitance is almost negligible. For reported Co<sub>3</sub>O<sub>4</sub>-based catalysts used for the ORR and OER, the calcination temperatures are generally higher than 350 °C [27,29]. Consequently, good performance toward ORR and OER but no pseudocapacitive behaviors is presented in most reported Zn-air batteries [27,29,58–61].

### 3.4 Performance comparisons

To investigate the mechanism of electrochemical property changes of Co<sub>3</sub>O<sub>4</sub> nanoplates calcined at different temperatures, we calculated the current densities in the ORR (at 0.3 V vs. RHE) and OER (at 1.65 V vs. RHE) and the capacitance based on the BET [62] and electrochemical surface areas [33]. As listed in Table 2, when the calcination temperature increases, the resultant normalized current density based on the BET areas in the ORR process exhibits an increase firstly till the calcination temperature reaches 550 °C, and then starts to decrease. This phenomenon may be explained as follows: although the current density decreases with the calcination temperature, the specific surface area decreases as well. Consequently, the normalized current density reaches the maximum value at a high temperature. When based on the electrochemical surface area ( $C_{DL}$ ), interestingly, the normalized current density keeps increasing with an increase of the calcination temperature. The similar behaviors can be observed in the OER performance, in which the normalized current density based on the BET area decreases with an increase of the calcination temperature, but the value based on the electrochemical surface area shows a reversed trend. However, for the normalized capacitance, no matter based on the BET or electrochemical surface areas, the sample calcined at the lowest temperature demonstrates the largest value.

To elucidate the possible determining factor for the oxygen electrocatalysis and pseudocapacitive performance, the surface oxidation states and electronic structure of  $\text{Co}_3\text{O}_4$  nanoplates calcined at different temperatures are further analyzed using XPS. Figure 7a shows the spectra of O 1s, which can be decomposed into three components, including the superoxide ions (531.8 eV), peroxide ions (530.6 eV), and lattice oxygen (529.5 eV) [63]. The percentage of adsorbed oxygen species ( $\text{O}_2^-$ ,  $\text{O}^-$ ) and lattice oxygen ( $\text{O}_{\text{lat}}$ ) are listed in Table S3, and their ratios are listed in Table 2. The percentages of lattice oxygen in all samples are around 60%, but for the samples calcined at higher temperatures (500 and 750 °C), the values are smaller. This may be caused by the increase of oxygen vacancies due to the high calcination temperature [64]. Figure 7b shows the spectra of Co 2p, in which the peaks located at around 781.2 eV and 796.5 eV are attributable to  $\text{Co}^{2+}$ , and the peaks at 794.7 eV and 779.8 eV are assigned to  $\text{Co}^{3+}$  [65–67]. As the oxidation state of Co is reported to be a determining factor of the activity [63], we calculated the surface atomic ratios of  $\text{Co}^{2+}/\text{Co}^{3+}$  in  $\text{Co}_3\text{O}_4$  nanoplates calcined at different temperatures based on the fitted curves of Co 2p<sub>3/2</sub> peak. As listed in Table 2, the largest  $\text{Co}^{2+}/\text{Co}^{3+}$  ratio is found for the  $\text{Co}_3\text{O}_4$  nanoplates calcined at 200 °C, and the ratio decreases with an increase of the calcination temperature, suggesting that the low-temperature calcination is beneficial for the formation of  $\text{Co}^{2+}$  [68]. From the XPS results, the high calcination temperature leads to the high amounts of adsorbed oxygen species and  $\text{Co}^{3+}$  atoms on the surface, which may be the key for the high intrinsic ORR and OER activity based on the electrochemical surface area [69,70]. However, the apparent performance is insufficient due to the greatly reduced surface area. For the pseudocapacitive performance, on the contrary, the greater amount of  $\text{Co}^{2+}$  on the surface is favorable. Considering the apparent overall activity, the low-temperature calcination of  $\text{Co}_3\text{O}_4$  is found to be an effective method to enhance the electrochemical performance.

## 5. Conclusions

In this study, we have explored the electrochemical performance of  $\text{Co}_3\text{O}_4$  in alkaline solutions to explain the reported inconsistent results.  $\text{Co}_3\text{O}_4$  nanoplates are fabricated from  $\text{Co}(\text{OH})_2$  nanoplates using different calcination temperatures, and their morphologies, microstructures, and electrochemical behaviors in alkaline solutions are systemically characterized. The pristine  $\text{Co}(\text{OH})_2$  nanoplates have a hexagonal nanoplate morphology with the specific surface area of  $61.65 \text{ m}^2 \text{ g}^{-1}$ . When converted to  $\text{Co}_3\text{O}_4$  nanoplates, the specific surface areas decrease from  $101.15$  to  $71.72 \text{ m}^2 \text{ g}^{-1}$  when the calcination temperature increases from  $200$  to  $750^\circ\text{C}$ , and the high calcination temperatures ( $> 550^\circ\text{C}$ ) destroy the hexagonal morphology. The ORR/OER activity was examined in alkaline solutions. For the ORR activity, the limiting current density is  $4.78 \text{ mA cm}^{-2}$  for the  $\text{Co}(\text{OH})_2$  nanoplates, and changes from  $5.32$  to  $4.32$  for the  $\text{Co}_3\text{O}_4$  nanoplates calcined at the temperature from  $200$  to  $750^\circ\text{C}$ . Hence, the ORR activity of  $\text{Co}_3\text{O}_4$  can be either higher or lower than that of  $\text{Co}(\text{OH})_2$ , which depends on the calcination temperature. For the OER activity, at the current density of  $10 \text{ mA cm}^{-2}$ ,  $\text{Co}(\text{OH})_2$  nanoplates exhibit an overpotential of  $366.8 \text{ mV}$ , while the overpotential of  $\text{Co}_3\text{O}_4$  nanoplates increases from  $390.1$  to  $425.7 \text{ mV}$  when the calcination temperature increases from  $200$  to  $750^\circ\text{C}$ . Hence, similar to the ORR activity, the OER activity of  $\text{Co}_3\text{O}_4$  nanoplates decreases with an increase of the calcination temperature. Moreover, the capacitance value of  $\text{Co}_3\text{O}_4$  nanoplates calcined at  $200^\circ\text{C}$  reaches  $526 \text{ F g}^{-1}$ , but dramatically decreases with the calcination temperature, and becomes almost negligible when calcined at the temperature higher than  $350^\circ\text{C}$ . From the ORR/OER activity based on the BET and electrochemical surface areas, we found that the resultant normalized current density based on the BET areas in the ORR process exhibits an increase firstly till the calcination temperature reaches  $550^\circ\text{C}$ , and then starts to decrease. When



based on the electrochemical surface area, the normalized current density keeps increasing with an increase of the calcination temperature. The similar behaviors can be observed in the OER performance, in which the normalized current density based on the BET area decreases with an increase of the calcination temperature, but the value based on the electrochemical surface area shows a reversed trend. However, for the normalized capacitance, no matter based on the BET or electrochemical surface areas, the sample calcined at the lowest temperature demonstrates the largest value. From the XPS results, the high calcination temperature leads to the high amounts of adsorbed oxygen species and  $\text{Co}^{3+}$  atoms on the surface, which may be the key for the high intrinsic ORR and OER activity based on the electrochemical surface area. For the pseudocapacitive performance, on the contrary, the greater amount of  $\text{Co}^{2+}$  on the surface is favorable. Hence, the inconsistent electrochemical performance of  $\text{Co}_3\text{O}_4$  may originate from different geometries and oxidation states on the surface, which are affected by the calcination temperatures in the synthesis process. Considering the apparent overall activity, the low-temperature calcination of  $\text{Co}_3\text{O}_4$  is found to be an effective method to enhance the electrochemical performance. This work provides insights into the design and optimization of non-precious materials in electrochemical systems, and offers a feasible strategy to improve the performance by using low-temperature calcination.

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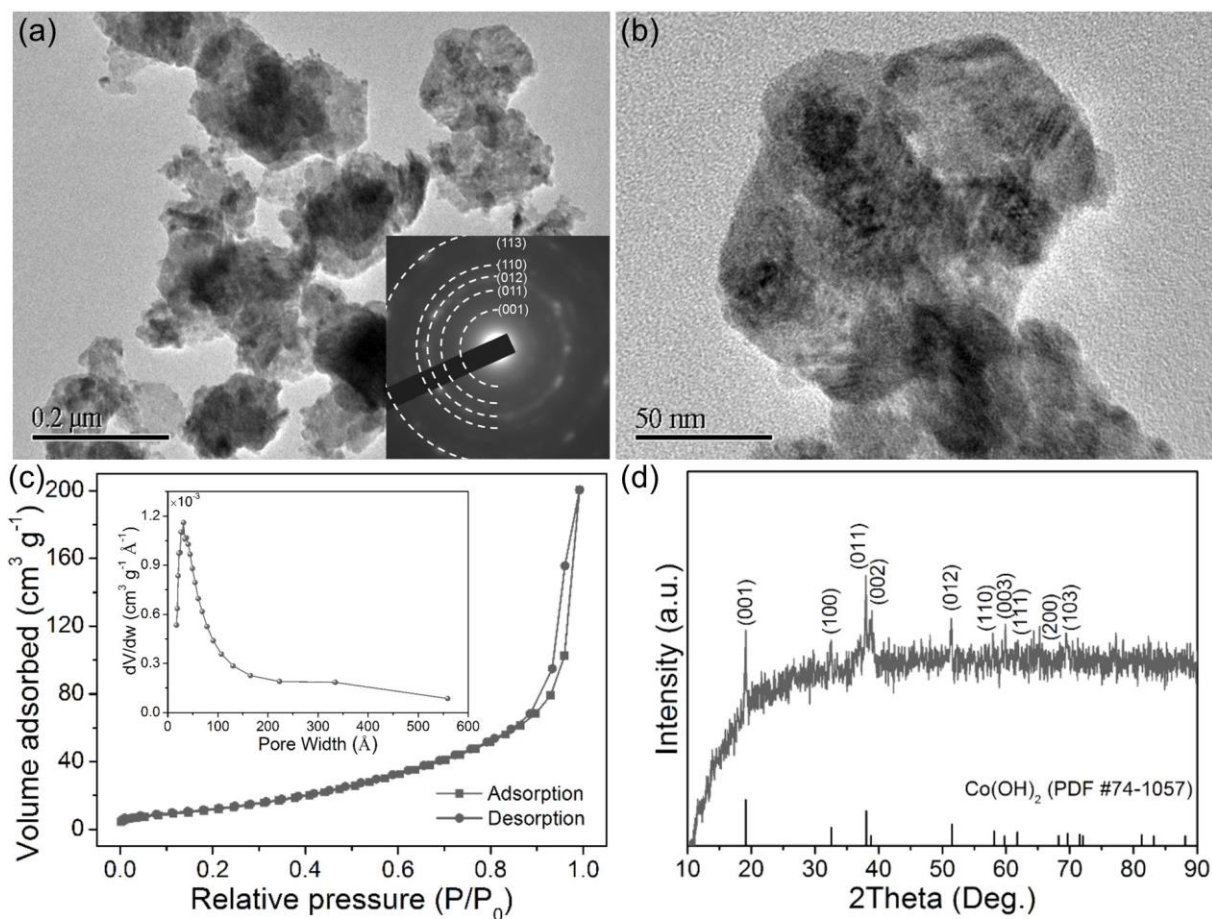


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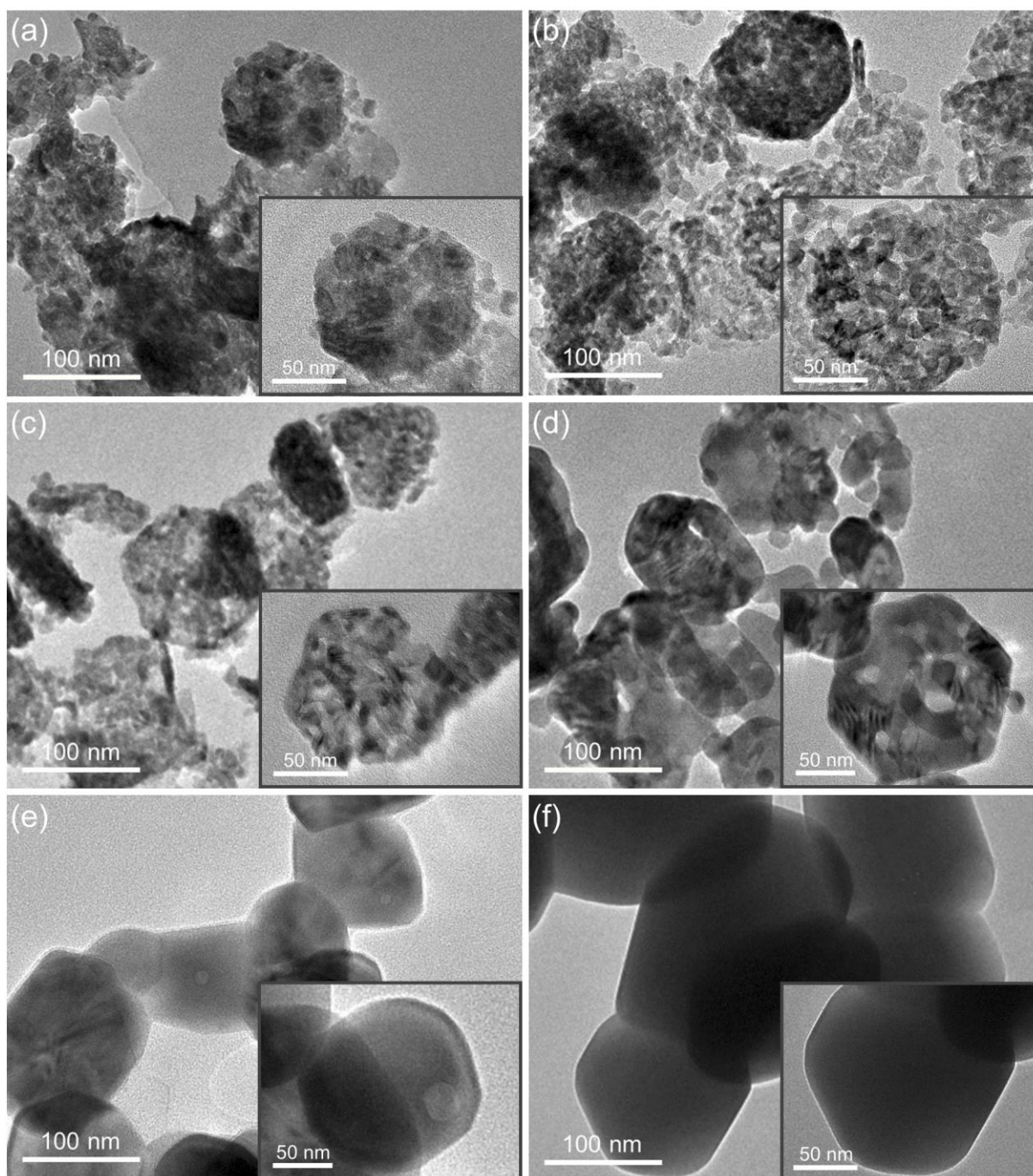
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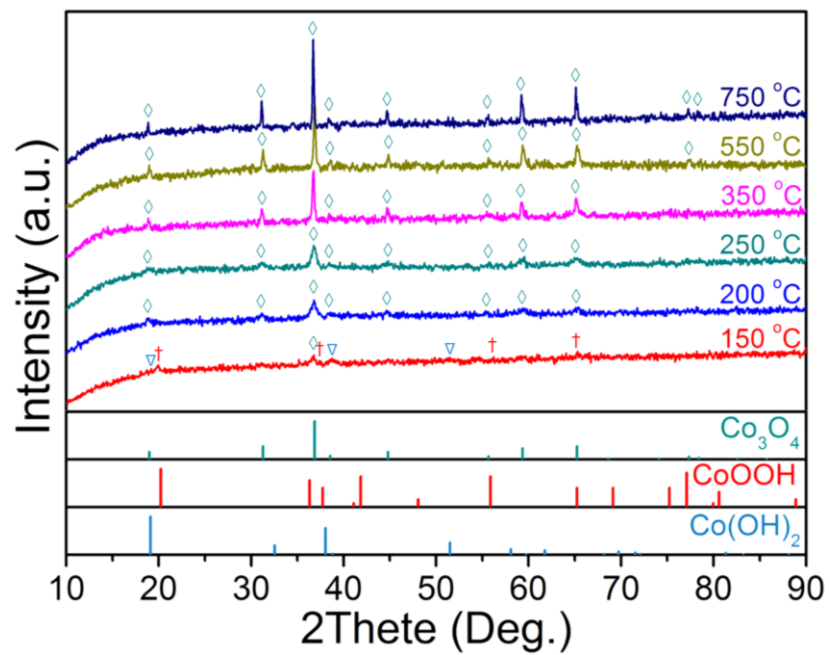
## Figures



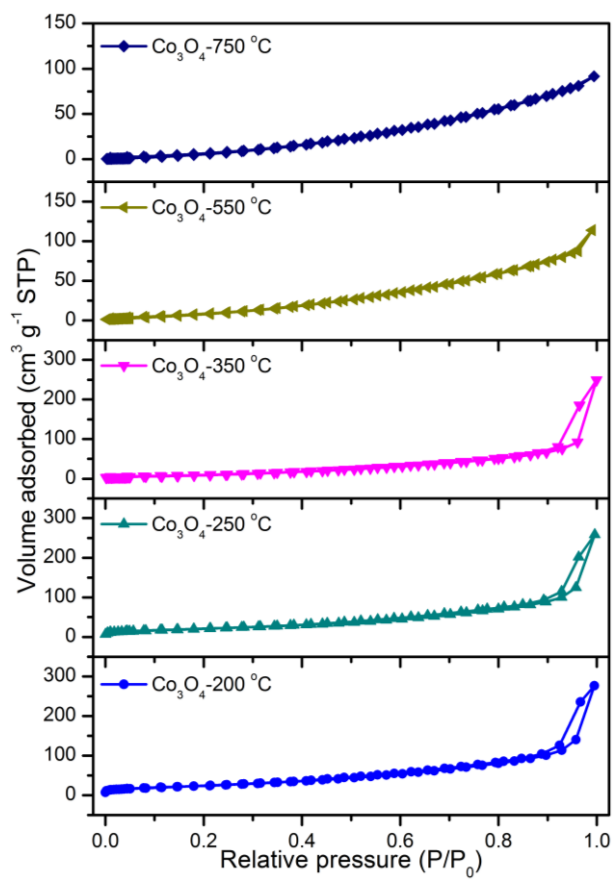
**Figure 1.** Characterization of  $\text{Co(OH)}_2$  nanoplates. (a) TEM image, the inset shows the SAED. (b) High-magnification TEM image. (c) Nitrogen adsorption-desorption isotherms, and the inset shows the pore size distribution. (d) XRD pattern.



**Figure 2.** TEM images of the nanoplates calcined at different temperatures, and the insets show the TEM images with a high magnification: (a) 150 °C, (b) 200 °C, (c) 250 °C, (d) 350 °C, (e) 550 °C, and (f) 750 °C.

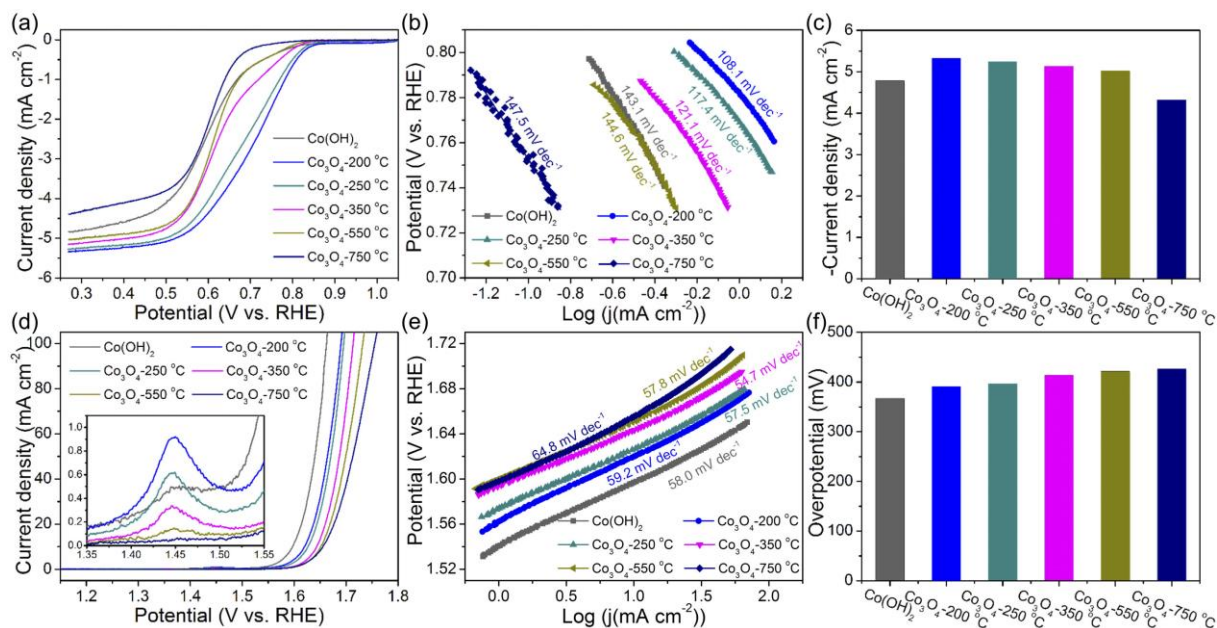


**Figure 3.** XRD patterns of the nanoplates calcined at different temperatures.

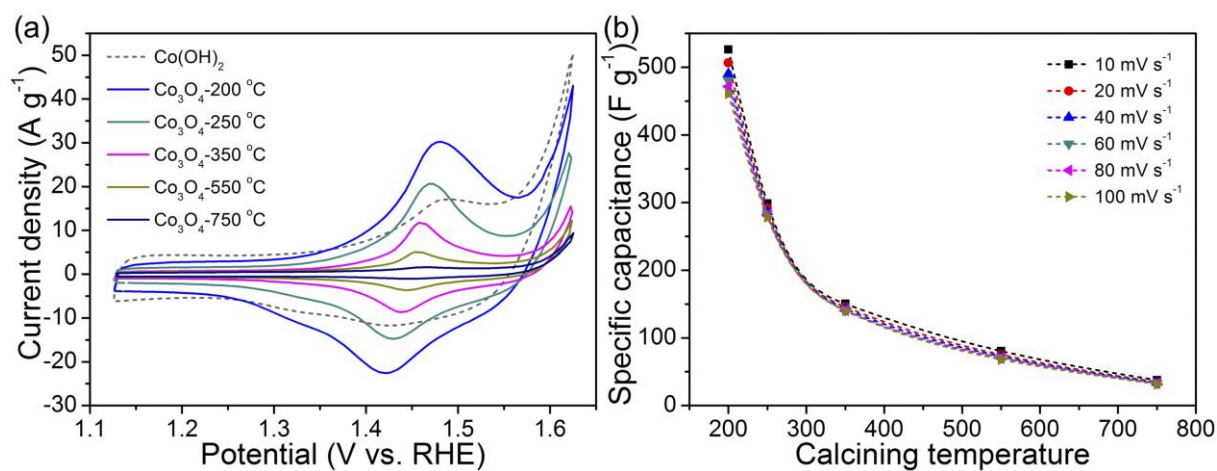


**Figure 4.** Nitrogen adsorption-desorption isotherms of  $\text{Co}_3\text{O}_4$  nanoplates calcined at different temperatures.



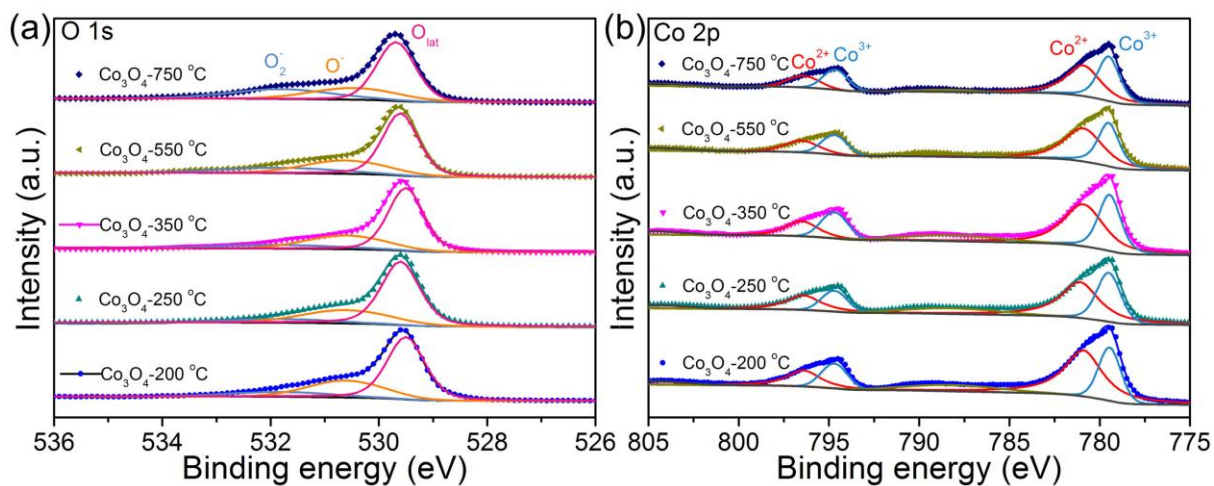


**Figure 5.** The electrochemical activity of  $\text{Co(OH)}_2$  and  $\text{Co}_3\text{O}_4$  nanoplates for oxygen electrocatalysis. (a) LSV curves for ORR the polarization in the  $\text{O}_2$  saturated 0.1 M KOH solution at a scan rate of  $5 \text{ mV s}^{-1}$  and a rotation speed of 1600 rpm. (b) Tafel plots for the ORR polarization. (c) Limiting current densities (0.3 V vs. RHE) (d) LSV curves for the OER polarization in 1.0 M KOH solution at a scan rate of  $5 \text{ mV s}^{-1}$ . (e) Tafel plots for the OER polarization. (f) Overpotential at the current density of  $10 \text{ mA cm}^{-2}$ .



**Figure 6.** Pseudocapacitive behaviors in 1.0 M KOH at a scan rate of  $40 \text{ mV s}^{-1}$ . (b) The specific capacitance of  $\text{Co}_3\text{O}_4$  nanoplates calcined at different temperatures.





**Figure 7.** XPS spectra of (a) O 1s and (b) Co 2p of  $\text{Co}_3\text{O}_4$  nanoplates calcined at different temperatures.

## Tables

**Table 1.** Summary of geometric properties and electrochemical properties of Co<sub>3</sub>O<sub>4</sub> nanoplates calcined at different temperatures

Calcination temperature (°C)	Specific surface area (m <sup>2</sup> g <sup>-1</sup> )	Pore volume (cm <sup>3</sup> g <sup>-1</sup> )	Electrochemical surface area (C <sub>DL</sub> , mF cm <sup>-2</sup> )	Limiting current density in the ORR (at 0.3 V vs. RHE, -mA cm <sup>-2</sup> )	Overpotential at 10 mA cm <sup>-2</sup> in the OER (mV)	Specific capacitance at 10 mV s <sup>-1</sup> (F g <sup>-1</sup> )
200	101.15	0.409	14.5	5.32	390.1	526.3
250	90.25	0.378	8.2	5.24	396.0	298.7
350	83.71	0.353	3.9	5.13	413.2	150.6
550	76.01	0.177	2.9	5.01	421.0	80.6
750	71.72	0.141	2.0	4.32	425.7	37.4

**Table 2.** Summary of normalized electrochemical properties and surface oxidation states of Co<sub>3</sub>O<sub>4</sub> nanoplates calcined at different temperatures

Calcination temperature (°C)	Normalized current density at 0.3 V vs. RHE		Normalized current density at 1.65 V vs. RHE		Normalized capacitance at 10 mV s <sup>-1</sup> (F m <sup>-2</sup> )		Ratio of Co <sup>2+</sup> /Co <sup>3+</sup>	Ratio of O <sub>lat</sub> /O <sub>ad</sub>
	Based on	Based on	Based on	Based on	Based on	Based on		
	BET area	C <sub>DL</sub> (-mA	BET area	C <sub>DL</sub> (-mA	BET area	C <sub>DL</sub>		
	(-A m <sup>-2</sup> )	mF <sup>-1</sup> )	(A m <sup>-2</sup> )	mF <sup>-1</sup> )	(F m <sup>-2</sup> )			
200	0.26	0.37	1.61	2.27	5.20	3.63	2.12	1.53
250	0.29	0.64	1.44	3.17	3.31	3.64	1.58	1.59
350	0.31	1.32	0.79	3.34	1.80	3.86	1.56	1.80
550	0.33	1.73	0.64	3.35	1.06	2.78	1.48	1.28
750	0.30	2.16	0.58	4.18	0.52	1.87	1.24	1.18