

# **A new algorithm for obtaining the critical tube diameter and intercept factor of parabolic trough solar collectors**

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10

## **Abstract**

12 A new algorithm for obtaining the critical tube diameter and intercept factor of  
13 parabolic trough solar collectors (PTCs) under the condition of tube alignment error  
14 has been developed theoretically, which, compared with the Monte Carlo Ray Tracing  
15 (MCRT) method, reduces the computing time remarkably. The results produced by the  
16 proposed method comply very well with the results obtained by MCRT. The critical  
17 tube diameters for different alignment errors can be precisely calculated using the  
18 algorithm, which can also be used to explain well the variation of optical efficiency.  
19 Effects of structural parameters on the optical performance are also discussed  
20 comprehensively. It is revealed that the offset direction that is perpendicular to the  
21 focus-edge connection line is most likely to cause rays-escaping. There exists an

aperture width range (and also focal length range) in which the optical efficiency decreases with increasing offset angle, which is contrary to the conclusion presented in previous literature that the effects of X-direction offset ( $\alpha=0^\circ$ ) is greater than that in Y-direction ( $\alpha=90^\circ$ ). The proposed algorithm establishes the foundation for further geometric study on the coupling effects of multi-errors on PTCs' performance, and can also be used for quick calculation and analysis in practice.

**Keywords:** Parabolic trough solar collector; Algorithm; Critical tube diameter; Intercept factor; Optical efficiency; Tube alignment error.

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| <b>Nomenclature</b>  |  |                      |  |
|----------------------|--|----------------------|--|
| $a$                  | offset angle (°)   | $\eta_o$             | optical efficiency (%)   |
| $d_a$                | absorber tube outer diameter (m)   | $\Delta\eta_o$       | optical efficiency difference between the proposed method and MCRT (%) |
| $d_g$                | glass envelope outer diameter (m)  | $\theta$             | radial angular displacement of line source (rad)                       |
| $d_{\min}$           | critical tube diameter in ideal condition (m)                                  | $\theta_{in}$        | incident angle (rad)   |
| $d_{re,a}$           | critical tube diameter in tube alignment error condition (m)                   | $\theta'$            | radial angle of any point in the light cone (rad)                      |
| $e$                  | angle for auxiliary calculation (°)  | $\Delta\theta$       | angle span in which the absorber tube receives sunrays (°)             |
| $f$                  | focal length (m)   | $\varphi_s$          | circumferential angle of the point on the solar disk (rad)             |
| $l$                  | the height of light cone (m)   | $\rho_r$             | reflectivity of the parabolic reflector                                |
| $l_a$                | offset distance (m)  | $\tau_g$             | transmissivity of the glass envelope                                   |
| $l_{shade}$          | length of the shaded part of the reflector by absorber tube (m)                | $\psi$               | position angle of the point on the parabolic reflector (°)             |
| $W$                  | aperture width (m)   | $\psi_{rim}$         | rim angle (°)  |
| <b>Greek symbols</b> |  | <b>Abbreviations</b> |  |
| $\alpha_a$           | absorptivity of the absorber   | CSC                  | concentrating solar collector  |
| $\beta$              | angle in the light cone corresponding to the actual absorber tube radius (rad) | CSP                  | concentrating solar power  |
| $\beta'$             | angle in the light cone corresponding to the critical radius (rad)             | FVM                  | Finite Volume Method   |

|                  |  |      |                                  |
|------------------|--|------|----------------------------------|
| $\gamma$         | intercept factor   | HTF  | heat transfer fluid              |
| $\gamma_{shade}$ | intercept factor for the shaded part of the reflector by absorber tube | MCRT | Monte Carlo Ray Tracing          |
| $\delta$         | radial angle of the sun ( $\delta = 4.65\text{mrad}$ )                 | PTC  | parabolic trough solar collector |

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## 37 **1. Introduction**

38       Exploitation and utilization of renewable energy, especially solar energy, is a  
39 promising alternative solution to relieve the severe environmental and energy issues  
40 [1-3], which has attracted extensive attentions [4, 5]. The parabolic trough solar  
41 collector (PTC) technology is the most cost-effective and mature technology for  
42 utilization of solar energy in concentrating solar power (CSP) area [6-8]. It has also  
43 been applied in many other fields, such as industrial process heat production,  
44 desalination, drying, refrigeration and air-conditioning [9-14], showing great  
45 development prospects.

46       Incident solar rays are reflected by the parabolic reflector onto the receiver tube  
47 that is installed along the focal line, and then absorbed and converted to thermal  
48 energy, and transferred to the heat transfer fluid (HTF) flowing in the absorber tube.  
49 Thus, a good rays-concentrating process is of great significance to ensure a high  
50 performance for the PTC. There are numerous studies conducted on the optical  
51 performance of PTCs. During 1970s and 1980s, researchers [15-20] developed optical  
52 cone method combined with geometrical analysis for investigating PTCs' optical

53 performance, and found some basic properties. Grena [21, 22] developed a  
54 three-dimensional model based on the ray tracing recursive algorithm and further  
55 discussed the efficiency gain with an infrared-reflective film on the non-radiation part  
56 of the receiver. Khanna et al. [23] developed analytical expressions for both the  
57 circumferential and axial flux distribution on a bent absorber tube. Later, they  
58 validated their optical models using experimental results [24]. In recent years, with  
59 the development of computer technologies, the Monte Carlo Ray Tracing (MCRT)  
60 method has been widely used to study the optical characteristics of concentrating solar  
61 collectors (CSC) [25-28]. MCRT has high accuracy and flexibility. However, it has  
62 large computational complexity, needing long computing time and hence causing  
63 inconvenience for engineering application. Cheng et al. [29-31] developed a unified  
64 MCRT code for typical concentrating solar collectors (CSC) and investigated the  
65 optical performance of different PTCs based on the developed code. In their studies, a  
66 very important conclusion was drawn that the rays-escaping effect weakens the  
67 optical efficiency dramatically. Liang et al. [32] compared three optical models and  
68 presented that the model that uses Finite Volume Method (FVM) to determine photons  
69 distribution and changes photon energy by multiplying reflectivity, transmissivity and  
70 absorptivity has the shortest computing time. Guo et al. [33] discussed the influences  
71 of various operational conditions in terms of both heat loss and exergy loss. They  
72 argued that optical heat loss far outweighed the heat loss of receiver. Therefore, the  
73 high-quality of concentrating rays, which is usually represented by high optical

efficiency, is the basis to achieve a high operational performance for the PTC system.

Because of the finite size of solar disk, the solar beam are not parallel (light cone), forming a focal shape on the surface of the absorber tube after reflection. If the size of the focal shape is larger than the absorber tube diameter, rays will escape from around the tube, causing huge optical loss. This rays-escaping effect has been discussed in previous studies [30, 34] which proposed that the absorber tube diameter should be larger than the critical tube diameter to avoid rays-escaping. The critical tube diameter is the required minimum tube diameter that can receive all the reflected rays. The theoretical formulas for calculating critical diameter in both ideal and tracking error conditions were derived in previous literature [30, 32]. However, the critical diameter under the condition of tube alignment error has never been given. The widely used MCRT can just provide an approximation of the true critical diameter and cannot be used to analyze the variation of critical diameter with different optical errors and structural parameters. In addition, the MCRT has great computational complexity, needing long computing time, which is very inconvenient to be used for engineering calculation and analysis. This paper derives theoretically the formulas of critical diameter under the condition of tube alignment error. Based on the derived formulas, a simple algorithm for obtaining the intercept factor (or optical efficiency) is further developed, which, compared with MCRT, can reduce the computing time remarkably from hours to seconds. The results obtained by the proposed methods are consistent with that obtained by MRCT, verifying the accuracy

and reliability of the developed algorithm. The changing properties of the optical efficiency can be explained well by the derived critical diameter, which further proves mutually the accuracy of each other. The current work in this paper is the foundation of detailed geometric analysis which is what we are going to do in the next study on the coupling effects of multi-errors, such as tracking error, surface error, installation error and practical sun-shape, on PTC's performance. It is also an effective method that can be used to do quick calculation and analysis in engineering practice.

## **2. Physical model and algorithm description**

### ***2.1 Physical model***

As Fig. 1 shows, a parabolic trough solar collector (PTC) is made up of a parabolic reflector and a receiver tube which consists mainly of a metal absorber tube with selective absorbing coatings on its outer surface and a glass envelope. The annulus between the metal absorber and glass envelope is kept vacuum to reduce heat loss and protect the coatings from oxidation. Several important parameters, including aperture width ( $W$ ), focal length ( $f$ ), absorber tube outer diameter ( $d_a$ ), glass envelope diameter ( $d_g$ ), rim angle ( $\psi_{rim}$ ), and radial angle of the sun ( $\delta$  signifies the finite size of the solar disk) are shown in the figure as well. A Cartesian coordinate system OXYZ used in this paper is also established.

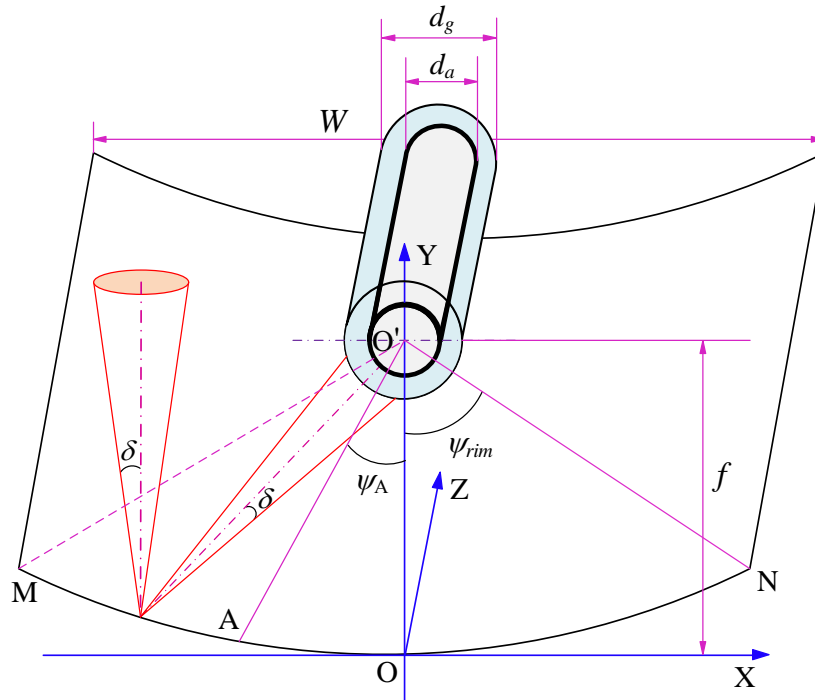


Fig. 1 Schematic of a PTC module

The SEGS LS-2 PTC module has been tested on the AZTRAK rotating test platform at Sandia National Laboratory (SNL), and detailed test data were collected [35]. In this study, the SEGS LS-2 PTC module is also used as the physical prototype for analysis, the major parameters of which are listed in Table 1.

Table 1 Parameters of SEGS LS-2 PTC module

| Parameter  | Value | Unit |
|------------|-------|------|
| $W$        | 5     | m    |
| $f$        | 1.84  | m    |
| $L_a$      | 7.8   | m    |
| $d_a$      | 0.07  | m    |
| $d_g$      | 0.115 | m    |
| $\alpha_a$ | 0.96  | ---  |
| $\rho_r$   | 0.93  | ---  |



## 2.2 Critical tube diameter

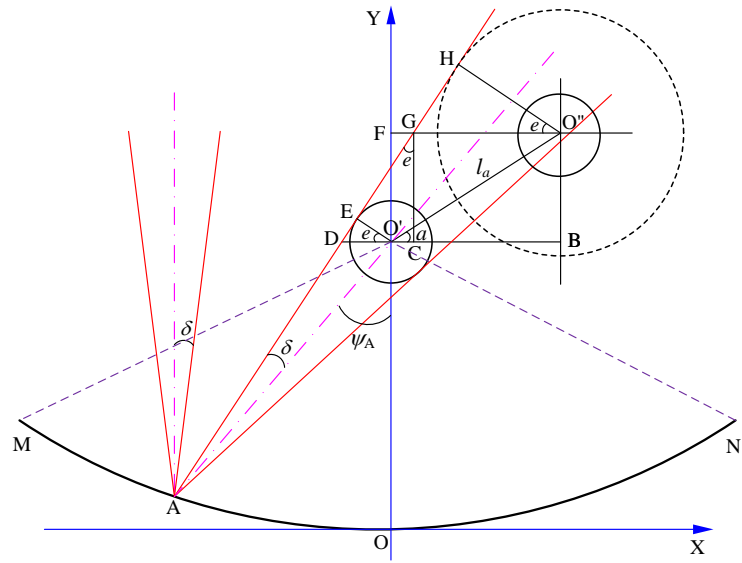
As mentioned above, the critical tube diameter is the required minimum diameter to avoid rays escaping which affects the optical performance of a PTC significantly. For ideal conditions (without any optical errors), the critical tube diameter for any point A (as shown in Fig. 1) on the parabolic reflector has been proposed in Refs. [30, 31], which is expressed by Eq. (1).

$$d_{\min} = 2 \cdot \left( \frac{x_A^2}{4f} + f \right) \cdot \sin \delta \quad (1)$$

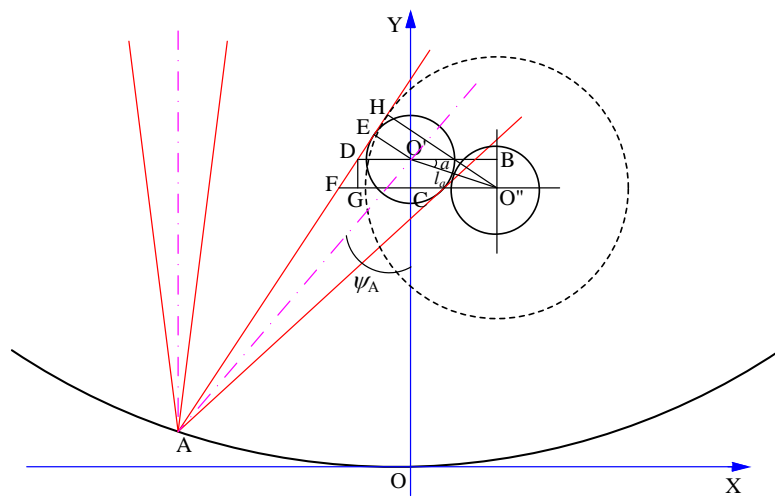
where  $x_A$  is the abscissa of point A. The position angle ( $\psi_A$ ) for point A is the angle between line  $\overline{AO'}$  and Y-axis, theoretically given by Eq. (2).

$$\psi_A = \begin{cases} \arcsin\left(\frac{|x_A|}{x_A^2/4f + f}\right) & 0^\circ < \psi_A \leq 90^\circ \\ 180^\circ - \arcsin\left(\frac{|x_A|}{x_A^2/4f + f}\right) & 90^\circ < \psi_A < 180^\circ \end{cases} \quad (2)$$

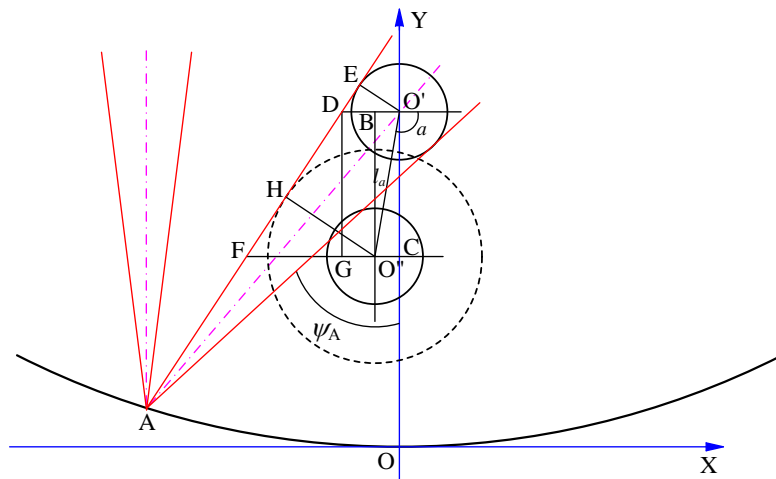
where  $|x_A|$  is the absolute value of the abscissa of point A. As Fig. 2 shows, point A is any point on the left half of the parabolic reflector ( $x_A \leq 0$ ) and line  $\overline{AO'}$  is the centerline of the reflected light cone. For simplicity, we define the clockwise direction as the right side of vector  $\overline{AO'}$ . In Fig. 2, point O' is the focus of the parabola, and the absorber tube is installed away from the focus with an offset distance ( $l_a$ ) and an offset angle ( $a$ ). The outermost rays of the reflected light cone are tangent to the dotted circle. Thus the diameter of the dotted circle is the critical diameter.



(a)



(b)



(c)

Fig. 2 Offset direction is on the right side of line  $\overline{AO'}$  for  $0^\circ < \psi_A \leq 90^\circ$ : (a)

$$0 < a \leq 90 - \psi_A, (b) -90 < a \leq 0, (c) -90 - \psi_A < a \leq -90$$

For  $0^\circ < \psi_A \leq 90^\circ$ , when the offset direction is on the right side of line  $\overline{AO'}$

(  $-90^\circ - \psi_A < a \leq 90^\circ - \psi_A$  ), it can be divided into three cases, which are

$0 < a \leq 90^\circ - \psi_A$ ,  $-90^\circ < a \leq 0$  and  $-90^\circ - \psi_A < a \leq -90^\circ$  (as shown in Fig. 2(a),

Fig. 2(b), Fig. 2(c) respectively ). Taking the case of  $0 < a \leq 90^\circ - \psi_A$  (as shown in

Fig. 2(a)) as an example, we present the detailed derivation process of the critical tube

diameter ( $d_{re,a}$ ), which is given as follow.

Easily, in Fig. 2(a), the auxiliary calculation angle ( $e$ ) can be given by Eq. (3).

$$e = \psi_A - \delta \quad (3)$$

The derivation process of critical tube diameter ( $d_{re,a}$ ) is given as follow:

$$d_{re,a} = 2 \times O''H = 2 \times O''G \times \cos(e) = 2 \times (O''F - GF) \times \cos(e)$$

$$= 2 \times (BO' - CO') \times \cos(e) = 2 \times [BO' - (CD - O'D)] \times \cos(e)$$

$$= 2 \times \left[ |O'O'' \times \cos(a)| - GC \times \tan(e) + \frac{EO'}{\cos(e)} \right] \times \cos(e)$$

$$= 2 \times \left[ |O'O'' \times \cos(a)| - O''B \times \tan(e) + \frac{EO'}{\cos(e)} \right] \times \cos(e)$$

$$= 2 \times \left[ l_a \times \cos(a) - l_a \times \sin(a) \times \tan(e) + \frac{d_{\min}}{2 \times \cos(e)} \right] \times \cos(e)$$

$$= 2 \times \left[ l_a \times \cos(a) \cos(e) - l_a \times \sin(a) \times \sin(e) + \frac{d_{\min}}{2} \right]$$

$$= 2 \times l_a \times \cos(a + e) + d_{\min}$$

Combined with Eq. (3),  $d_{re,a}$  can be expressed by Eq. (4).

$$d_{re,a} = 2 \times l_a \times \cos(a + \psi_A - \delta) + d_{\min} \quad (4)$$

Similarly, we can derive the expressions of  $d_{re,a}$  for the other two cases

( $-90^\circ < a \leq 0$  and  $-90^\circ - \psi_A < a \leq -90^\circ$ ), which actually are the same as Eq. (4).

Therefore, when the offset direction is on the right side of line  $\overline{AO'}$

( $-90^\circ - \psi_A < a \leq 90^\circ - \psi_A$ ), the mathematical expression of  $d_{re,a}$  is given by Eq. (5).

$$d_{re,a} = 2 \times l_a \times \cos(a + \psi_A - \delta) + d_{\min} \quad -90^\circ - \psi_A < a \leq 90^\circ - \psi_A \quad (5)$$

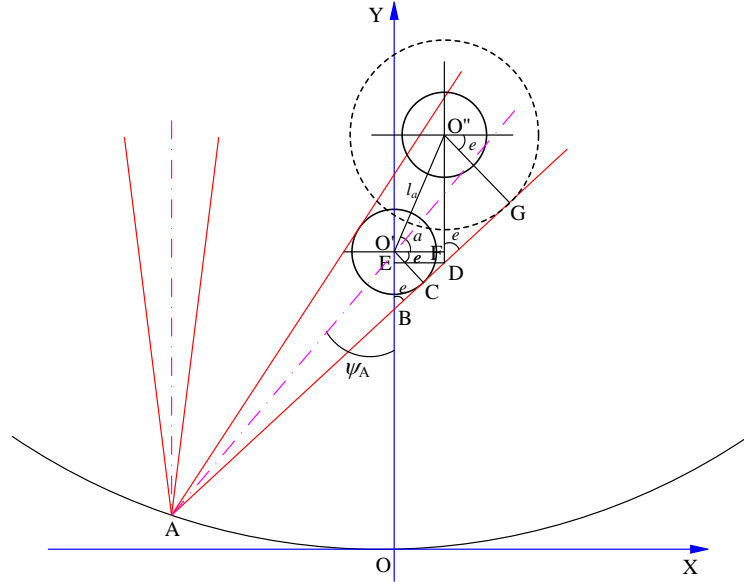
When the offset direction is on the left side of line  $\overline{AO'}$

( $90^\circ - \psi_A < a \leq 270^\circ - \psi_A$ ), it can also be divided into three cases, which are

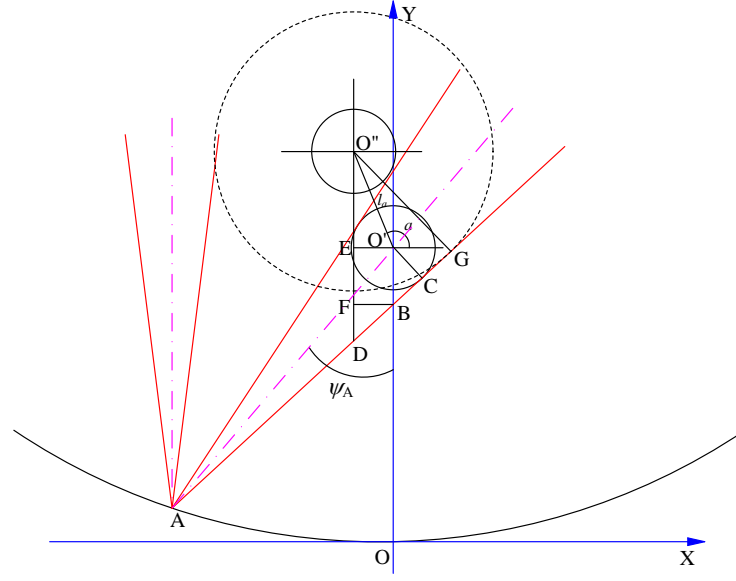
$90^\circ - \psi_A < a \leq 90^\circ$ ,  $90^\circ < a \leq 180^\circ$  and  $180^\circ < a \leq 270^\circ - \psi_A$  (as shown in Fig. 3(a),

Fig. 3(b), Fig. 3(c) respectively). Taking the case of  $90^\circ - \psi_A < a \leq 90^\circ$  (as shown in

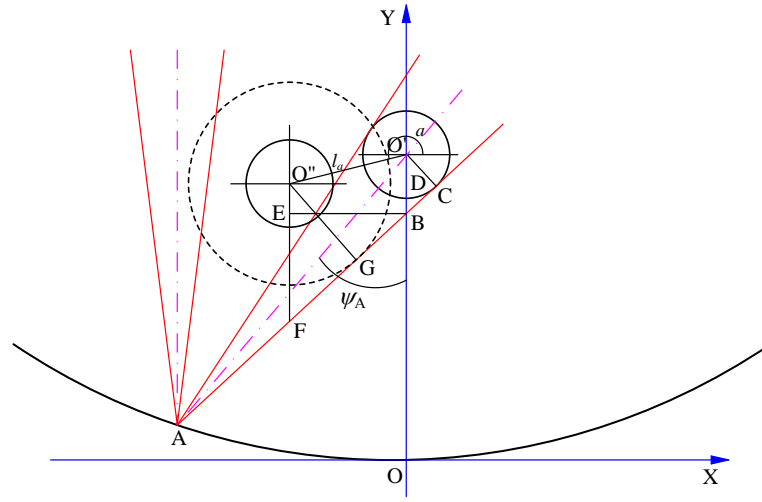
Fig. 3(a)) as an example, we give the detailed derivation of  $d_{re,a}$ .



(a)



(b)



(c)

Fig. 3 Offset direction is on the left side of line  $\overline{AO'}$  for  $0^\circ < \psi_A \leq 90^\circ$ : (a)

$90 - \psi_A < a \leq 90$ , (b)  $90 < a \leq 180$ , (c)  $180 < a \leq 270 - \psi_A$

Obviously, the auxiliary calculation angle ( $e$ ) in Fig. 3(a) can be given by Eq.

(6).

$$e = \psi_A + \delta \quad (6)$$

The derivation process of critical tube diameter ( $d_{re,a}$ ) is given as follow:

$$\begin{aligned}
d_{re,a} &= 2 \times O''G = 2 \times O''D \times \sin(e) = 2 \times (O''F + FD) \times \sin(e) \\
&= 2 \times (O''F + O'E) \times \sin(e) = 2 \times [O''F + O'B - EB] \times \sin(e) \\
&= 2 \times \left[ |O'O'' \times \sin(a)| + \frac{O'C}{\sin(e)} - ED \times \cot(e) \right] \times \sin(e) \\
&= 2 \times \left[ O'O'' \times \sin(a) + \frac{O'C}{\sin(e)} - O'F \times \cot(e) \right] \times \sin(e) \\
&= 2 \times \left[ l_a \times \sin(a) + \frac{d_{\min}}{2 \times \cos(e)} - |l_a \times \cos(a)| \times \cot(e) \right] \times \sin(e) \\
&= 2 \times \left[ l_a \times \sin(a) \times \sin(e) - l_a \times \cos(a) \cos(e) + \frac{d_{\min}}{2} \right] \\
&= -2 \times l_a \times \cos(a + e) + d_{\min}
\end{aligned}$$

Combined with Eq. (6),  $d_{re,a}$  can be expressed by Eq. (7).

$$d_{re,a} = -2 \times l_a \times \cos(a + \psi_A + \delta) + d_{\min} \quad (7)$$

The expressions of  $d_{re,a}$  for the other two cases ( $90^\circ < a \leq 180^\circ$  and  $180^\circ < a \leq 270^\circ - \psi_A$ ) can also be obtained by the same way, which actually are the same as Eq. (7). Therefore, when the offset direction is on the left side of line  $\overline{AO'}$  ( $90^\circ - \psi_A < a \leq 270^\circ - \psi_A$ ), the mathematical expression of  $d_{re,a}$  is given by Eq. (8).

$$d_{re,a} = -2 \times l_a \times \cos(a + \psi_A + \delta) + d_{\min} \quad 90^\circ - \psi_A < a \leq 270^\circ - \psi_A \quad (8)$$

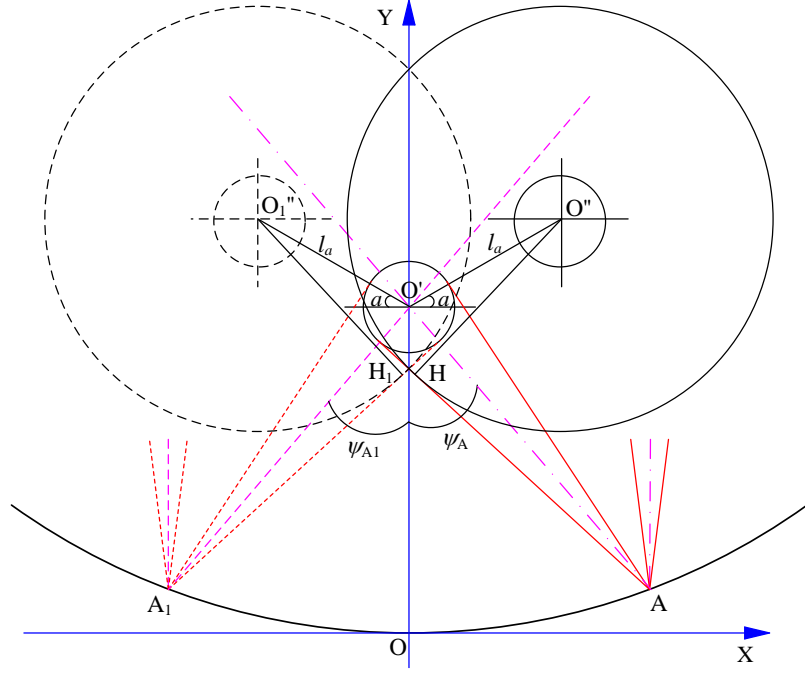


Fig. 4 The situation that point A is on the right half of the reflector ( $x_A > 0$ )

When point A is on the right half of the parabolic reflector ( $x_A > 0$ ), the critical tube diameter ( $d_{re,a}$ ) can be obtained similarly due to the symmetry of parabola. Fig. 4 shows the situation that point A is on the right half the parabolic reflector ( $x_A > 0$ ). Obviously, the critical diameter ( $d_{re,a}$ ) is equal to twice  $\overline{O''H}$  ( $d_{re,a} = 2 \times \overline{O''H}$ ). Point  $A_1$  and point A are symmetrical about Y-axis. From the figure, it can be clearly seen that  $\overline{O''H}$  is equal to  $\overline{O_1''H_1}$  ( $\overline{O''H} = \overline{O_1''H_1}$ ). Therefore, the critical diameter ( $d_{re,a}$ ) corresponding to  $a$  for  $x_A > 0$  is equal to the critical diameter corresponding to  $180^\circ - a$  for  $x_A < 0$ . Thus, using  $180^\circ - a$  replacing  $a$  in Eq. (5) and Eq. (8), we can obtain the critical diameter ( $d_{re,a}$ ) for  $x_A > 0$ , which is presented as follow:

When the offset direction is on the right side of line  $\overline{AO'}$  ( $\psi_A - 90^\circ < a \leq 90^\circ + \psi_A$ ), the range of  $180^\circ - a$  is  $90^\circ - \psi_A \leq 180^\circ - a < 270^\circ - \psi_A$ , satisfying the condition of Eq. (8). Thus,  $d_{re,a}$  can be calculated by Eq. (9).







242 rays will be received by the absorber tube, and thereby the higher the optical  
 243 efficiency ( $\eta_o$ ) will be. In this section, a new simple algorithm for obtaining intercept  
 244 factor ( $\gamma$ ) and optical efficiency ( $\eta_o$ ) under condition of tube alignment error will be  
 245 developed.

246 Fig. 6 shows the reflection process of sun rays. From the figure, it is clearly seen  
 247 that the solar disk can be viewed as consisting of countless line light sources which  
 248 are parallel to the axial direction (Z-axis direction) of the absorber. It can be easily  
 249 understood that a line light source on the solar disk will also form a line light on the  
 250 absorber tube after reflection. As a result, if we can obtain the intensity of the line  
 251 light source, the sun-shape (brightness of the solar disk) can be expressed just by the  
 252 radial angular displacement of line light source ( $\theta$ ), which will reduce the  
 253 computational complexity significantly. The derivation of the intensity of the line  
 254 light source is given as follow:

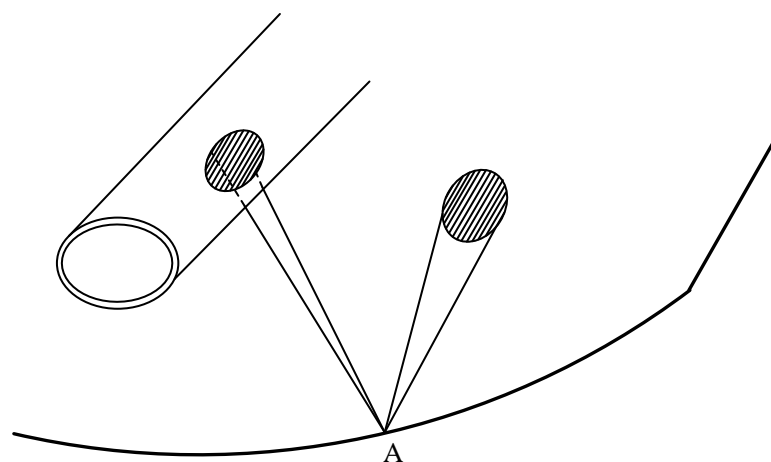


Fig. 6 The reflection process of sun rays

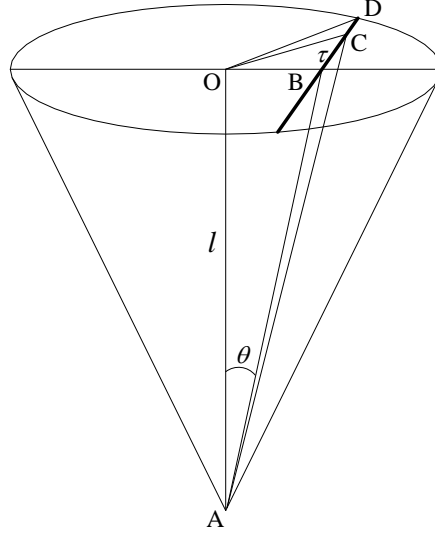


Fig. 7 Schematic of the light cone

Fig. 7 shows the schematic of the light cone. In the figure,  $AO=l$ ,  $\angle BAO=\theta$ ,

$BC=\tau$ . In  $\triangle AOB$ ,  $OB$  can be calculated by Eq. (14):

$$OB=AO \times \tan(\theta)=l \times \tan(\theta) \quad (14)$$

In  $\triangle OBC$ ,  $OC$  can be calculated by Eq. (15).

$$OC=\sqrt{OB^2+BC^2}=\sqrt{(l \tan(\theta))^2+\tau^2} \quad (15)$$

In  $\triangle AOC$ ,  $\angle OAC$  can be calculated by Eq. (16).

$$\angle OAC=\arctan\left(\frac{OC}{OA}\right)=\arctan\left(\frac{\sqrt{(l \tan(\theta))^2+\tau^2}}{l}\right) \quad (16)$$

Assuming that the relative light intensity of any point on the sun disk is  $\phi(\theta')$ ,

we can calculate the intensity of the line light source, given by Eq. (17).

$$\psi(\theta)=2 \times \int_{BD} \phi \left[ \arctan\left(\frac{\sqrt{(l \tan(\theta))^2+\tau^2}}{l}\right) \right] d\tau = 2 \times \int_0^{\sqrt{(l \tan(\delta))^2-(l \tan(\theta))^2}} \phi \left[ \arctan\left(\frac{\sqrt{(l \tan(\theta))^2+\tau^2}}{l}\right) \right] d\tau \quad (17)$$

Make  $\tau'=\frac{\tau}{l}$ , Eq. (17) will be transformed to Eq. (18).

$$\psi(\theta) = 2l \times \int_0^{\sqrt{(\tan(\delta))^2 - (\tan(\theta))^2}} \phi \left[ \arctan \left( \sqrt{(\tan(\theta))^2 + \tau'^2} \right) \right] d\tau' \quad (18)$$

Given that the radial angle of the sun disk is very small ( $\delta = 0.00465 \text{ rad}$ ), following formulas can be obtained:

$$\tan(\theta) = \theta, \quad \tan(\delta) = \delta$$

Consequently, Eq. (18) will be simplified to Eq. (19).

$$\psi(\theta) = 2l \times \int_0^{\sqrt{\delta^2 - \theta^2}} \phi \left( \sqrt{\theta^2 + \tau'^2} \right) d\tau' \quad (19)$$

Therefore, for any angle span ( $\Delta\theta$ ) in the light cone, the total energy can be calculated by Eq. (20).

$$\Phi(\Delta\theta) = \int_{\Delta\theta} \psi(\theta) d\theta \quad (20)$$

There are totally six cases of light concentration in the condition of tube alignment error, which are shown in Fig. 8(a) ~ Fig. 8(f) respectively. These six cases are listed as follows:

Case 1: The absorber cannot receive any rays;

Case 2: The absorber receives all rays;

Case 3: Partial rays escape from both sides of the absorber and the centerline of light cone does not cross the absorber;

Case 4: Partial rays escape from both sides of the absorber and the centerline of light cone crosses the absorber;

Case 5: Partial rays escape from one side of the absorber and the centerline of light cone does not cross the absorber;

Case 6: Partial rays escape from one side of the absorber and the centerline of

292 light cone crosses the absorber.

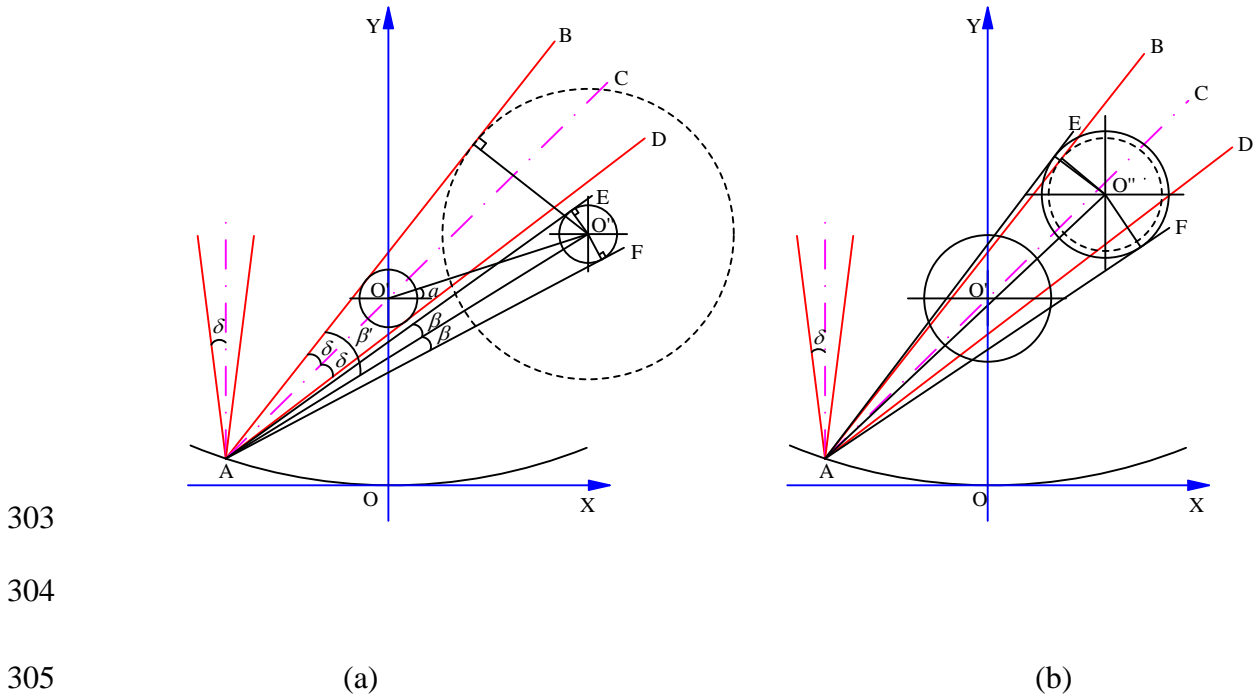
293 To obtain the received energy for each case, we should first calculate several  
 294 auxiliary parameters. As Fig. 8(a) shows,  $\angle EAO''$  ( $\beta$ ) and  $\angle BAO''$  ( $\beta'$ ) are the  
 295 angles in the light cone corresponding to the actual absorber tube radius ( $0.5d_a$ ) and  
 296 the critical radius ( $0.5d_{re,a}$ ) respectively. Obviously,  $\beta$  and  $\beta'$  can be calculated  
 297 by Eq. (21) and Eq. (22) respectively.

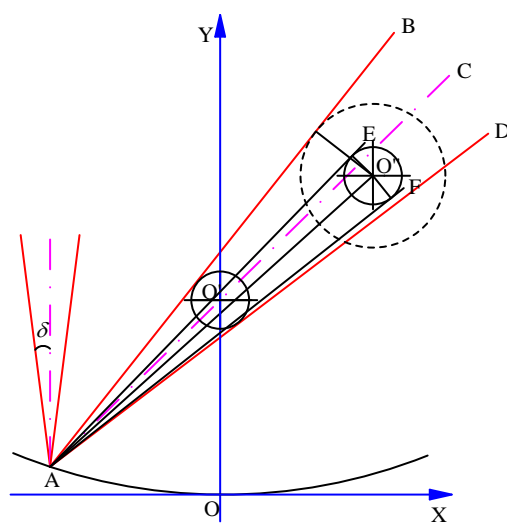
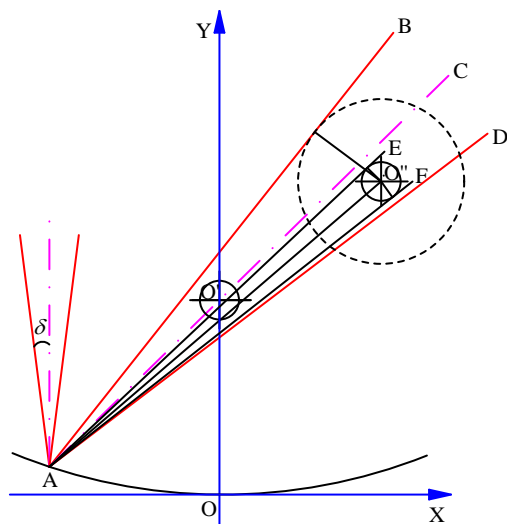
$$298 \quad \beta = \arcsin\left(\frac{d_a}{2 \times |AO''|}\right) \quad (21)$$

$$299 \quad \beta' = \arcsin\left(\frac{d_{re,a}}{2 \times |AO''|}\right) \quad (22)$$

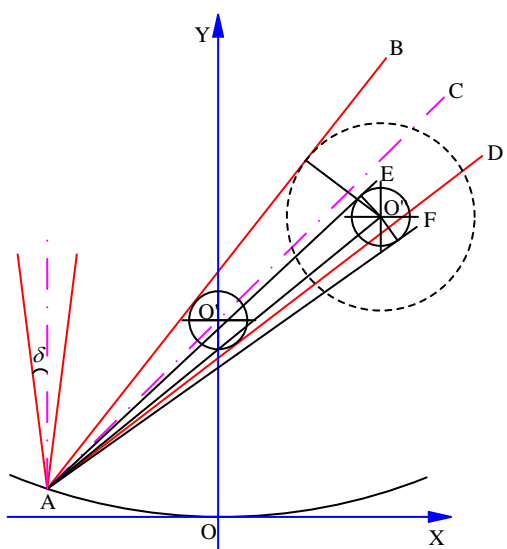
300 The coordinates of point A and point O'' are  $(x_A, \frac{x_A^2}{4f})$  and  
 301  $(l_a \cos(a), l_a \sin(a) + f)$ , respectively.

$$302 \quad |AO''| = \sqrt{(x_A - l_a \cos(a))^2 + \left(\frac{x_A^2}{4f} - l_a \sin(a) - f\right)^2} \quad (23)$$

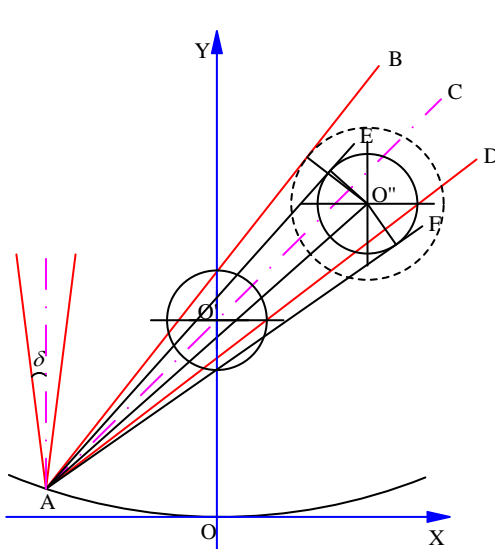




(c)



(e)



(f)

Fig. 8 Different cases for light concentration in the condition of tube alignment error: (a) case 1, (b) case 2, (c) case 3, (d) case 4, (e) case 5, (f) case 6

From Fig. 8(a) to Fig. 8(f), the required conditions that  $\beta$  and  $\beta'$  should satisfy for each case can be easily obtained, which are listed in Table 2. The energy received by the absorber for each case can correspondingly be calculated by using Eq.

317 (20). The calculation formulas are also listed in Table 2.

318 Table 2 Required conditions and calculation formulas of received energy for each

319 case

| Cases  | Required conditions  | Calculation formulas   |
|--------|--|--|
| Case 1 | $\beta' - \beta > 2\delta$   | $\Phi = 0$   |
| Case 2 | $\beta' - \beta \leq 0, \beta' + \beta \geq 2\delta$                             | $\Phi = 2 \times \int_0^\delta \psi(\theta) d\theta$   |
| Case 3 | $\beta' - \beta > \delta, \beta' + \beta \leq 2\delta$                           | $\Phi = \int_{\beta' - \beta - \delta}^{\beta' + \beta - \delta} \psi(\theta) d\theta$                                   |
| Case 4 | $\beta' - \beta > 0, \beta' - \beta \leq \delta, \beta' + \beta \leq 2\delta$    | $\Phi = \int_0^{\beta' + \beta - \delta} \psi(\theta) d\theta + \int_0^{\delta - (\beta' - \beta)} \psi(\theta) d\theta$ |
| Case 5 | $\beta' - \beta > \delta, \beta' - \beta \leq 2\delta, \beta' + \beta > 2\delta$ | $\Phi = \int_{\beta' - \beta - \delta}^\delta \psi(\theta) d\theta$  |
| Case 6 | $\beta' - \beta > 0, \beta' - \beta \leq \delta, \beta' + \beta > 2\delta$       | $\Phi = \int_0^{\delta - (\beta' - \beta)} \psi(\theta) d\theta + \int_0^\delta \psi(\theta) d\theta$                    |

320 As a result, the total energy received by absorber for the whole reflector can be

321 obtained by Eq. (24).

$$322 \quad E = \int_{-W/2}^{W/2} \Phi dx_A \quad (24)$$

323 Thus, the intercept factor ( $\gamma$ ) and the optical efficiency ( $\eta_o$ ) can be given by Eq.

324 (25) and Eq. (26) respectively.

$$325 \quad \gamma = \frac{E}{2 \times \int_{-W/2}^{W/2} \int_0^\delta \psi(\theta) d\theta dx_A} \quad (25)$$

$$326 \quad \eta_o = \gamma \times \rho_r \times \tau_g \times \alpha_a \times 100\% \quad (26)$$

From Eq. (25) and Eq. (26), it can be easily seen that the intercept factor ( $\gamma$ ) or the optical efficiency ( $\eta_o$ ) is only related to  $W$  and  $\Delta\theta$ . Compared with MCRT which has to consider simultaneously  $W$ ,  $z_A$ ,  $\theta'$  and  $\varphi_s$ , the computational complexity of the proposed algorithm is much smaller, reducing the computing time significantly. From Eq. (26), we can also know that the maximum optical efficiency will be obtained when the intercept factor is equal to 1 ( $\gamma=1$ ), which is given by:

$$\eta_{o,\max} = 1 \times 0.93 \times 0.95 \times 0.96 \times 100\% = 84.816\%$$

### 3. Results and discussion

In this study, the brightness of solar disk is viewed as uniform, and the reflectivity, transmissivity and absorptivity are independent of the incident angle. The effects of refraction of the glass envelope are ignored. The incident angle is zero. The accuracy of the developed algorithm is first validated in the following part, and the optical performance under the condition of tube alignment error is then discussed comprehensively based on the proposed method.

#### 3.1 Algorithm validation and effects of tube alignment error

Fig. 9 shows the variation of optical efficiency ( $\eta_o$ ) with offset angle ( $a$ ) for different offset distance ( $l_a$ ). It can be seen from the figure that the results of the proposed method comply very well with that of MCRT, verifying the accuracy of the proposed algorithm. The results show that  $\eta_o$  varies significantly with  $a$ , and has different variation trends for different  $l_a$ . From the figure, it is also seen that the curve of  $\eta_o$  is almost symmetrical about  $a=0^\circ$  for all  $l_a$ . When  $l_a$  is larger than



0.03m,  $\eta_o$  is smaller than the maximum (84.816%) for any  $a$ , indicating that rays escaping appears in this case. The reasons are shown in Fig. 10, which displays the variation of critical diameter ( $d_{re,a}$ ) with offset angle ( $a$ ) for different offset distance ( $l_a$ ). From the figure, it can be seen that when  $l_a$  is more than 0.03m,  $d_{re,a}$  is consistently larger than 0.07m which is the actual tube diameter for LS-2 PTC module (given in Table 1), causing rays escaping from around the absorber tube and consequently leading to optical loss. It can also be observed from Fig. 10 that when  $a$  is about  $68.38^\circ$  or  $-68.38^\circ$ ,  $d_{re,a}$  is the maximum for any  $l_a$ . As given in Table 1, the rim angle of LS-2 PTC module is  $68.38^\circ$  ( $\psi_{rim} = 68.38^\circ$ ). From Fig. 2(a), we can see that when  $a$  is  $68.38^\circ$  or  $-68.38^\circ$ , the offset direction ( $O'O''$ ) will be perpendicular to the connection line between focal point  $O'$  and edge point N or edge point M (defined as focus-edge connection line in this paper). Hence, it is concluded from above analyses that it is most likely to cause rays escaping effect in the case that the offset direction is perpendicular to the focus-edge connection line.

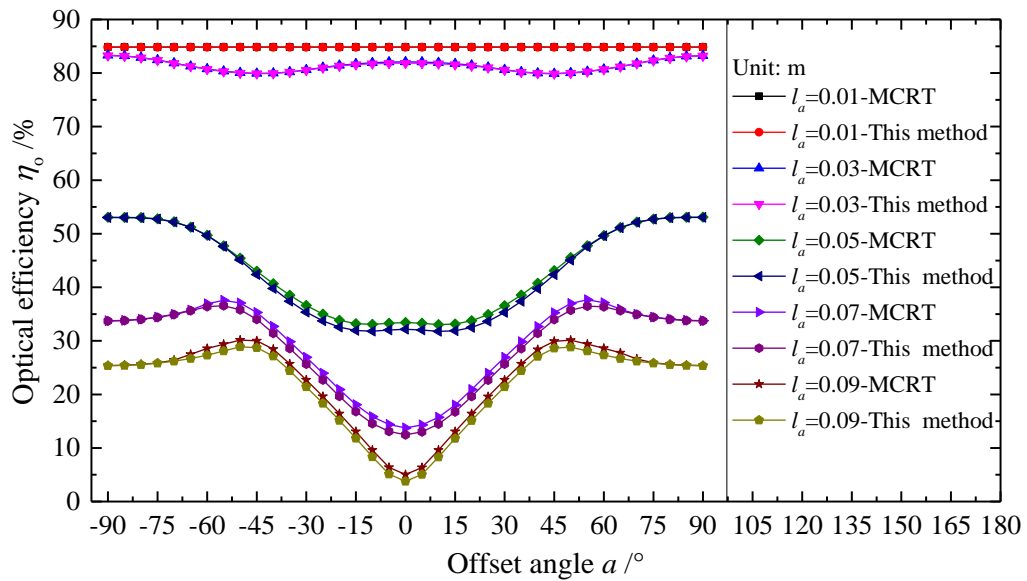


Fig. 9 Variation of optical efficiency ( $\eta_o$ ) with offset angle ( $a$ ) for different offset distance ( $l_a$ )

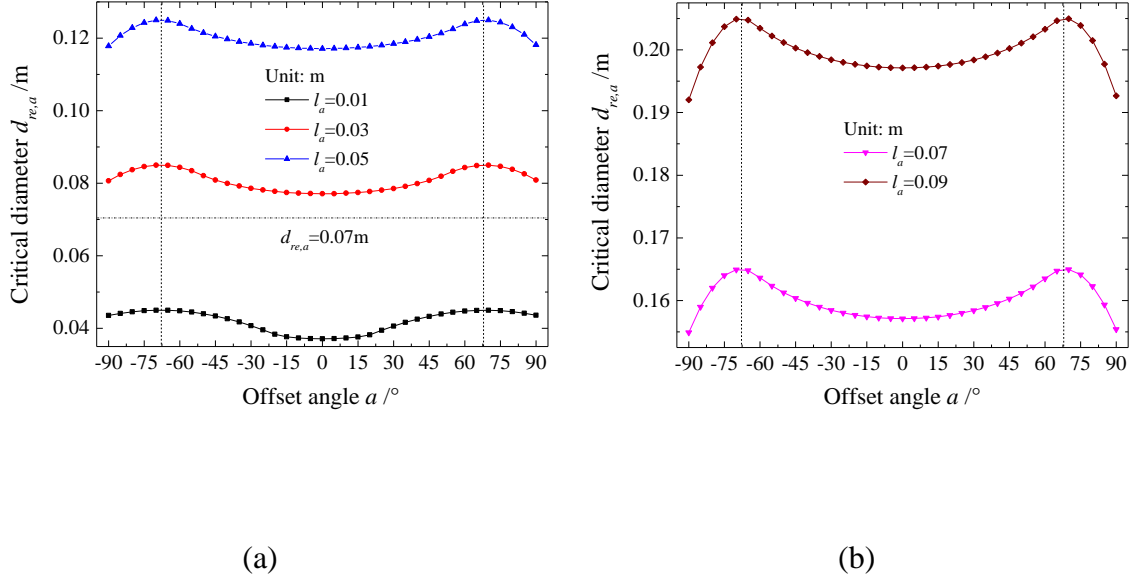


Fig. 10 Variation of critical diameter ( $d_{re,a}$ ) with offset angle ( $a$ ) for different offset distance ( $l_a$ ): (a)  $0.01 \leq l_a \leq 0.05$  (b)  $0.07 \leq l_a \leq 0.09$

Since MCRT takes into account the directly absorbed rays while the proposed method only counts the reflected rays,  $\eta_o$  obtained by MCRT would be larger than that obtained by the proposed method. Fig. 11 depicts the variation of the optical efficiency difference ( $\Delta\eta_o$ ) between the MCRT's results and the proposed method's results with offset angle ( $a$ ) for different offset distance ( $l_a$ ). From the figure, it is clearly seen that when  $l_a$  is 0.01m,  $\Delta\eta_o$  is very small (less than 0.05%), and when  $l_a$  is more than 0.03m, there is a span for  $a$  during which  $\Delta\eta_o$  will reach and maintain constantly at the maximum (about 1.28%). It is easily understood that  $\Delta\eta_o$  can be given by Eq. (27).

$$\Delta\eta_o = \frac{d_{re,a} \times \tau_g \times \alpha_a - l_{shade} \times \tau_g \times \alpha_a \times \rho_r \times \gamma_{shade}}{W \times \cos \theta_{in}} \times 100\% \quad (27)$$

where  $l_{shade}$  is the length of the shaded part of the reflector by absorber tube,  $\gamma_{shade}$  is the intercept factor for the shaded part of the reflector by absorber tube. When  $l_{shade}$  or  $\gamma_{shade}$  is zero, the maximum of  $\Delta\eta_o$  can be obtained, which is given as follow:

$$\Delta\eta_{o,max} = \frac{0.07 \times 0.96 \times 0.95}{5 \times \cos 0^\circ} \times 100\% = 1.28\%$$

The calculated result is completely consistent with the result shown in Fig. 11, further proving the accuracy of the proposed method. From Fig. 11, we can also observe that the larger  $l_a$  is, the larger the angle span corresponding to the maximum of  $\Delta\eta_o$  will be. The possible reason is that larger  $l_a$  will be easier to cause smaller intercept factor ( $\gamma_{shade}$ ), and hence more likely to result in the situation of  $\gamma_{shade} = 0$  (completely escaping of the rays reflected by the shaded area of the reflector).

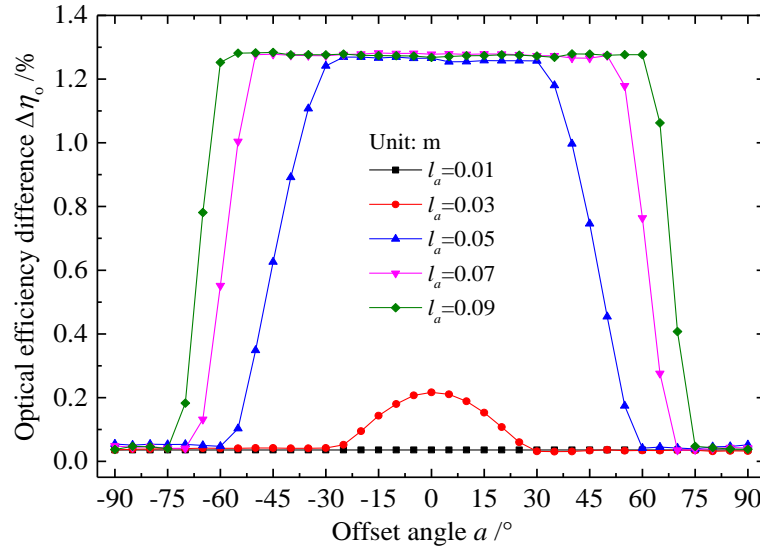


Fig. 11 Variation of optical efficiency difference ( $\Delta\eta_o$ ) with offset angle ( $\alpha$ ) for different offset distance ( $l_a$ )

Fig. 12 shows the variation of optical efficiency ( $\eta_o$ ) with offset distance ( $l_a$ ) for different offset angle ( $\alpha$ ). We can also clearly see from the figure that the results of the proposed method are well consistent with that of MCRT, proving again the

396 reliability and accuracy of the proposed algorithm. It is seen that  $\eta_o$  is kept  
 397 constantly at the maximum (84.816%) for small  $l_a$ , and then drops significantly with  
 398 further increasing  $l_a$  because of rays escaping effect. Fig. 13(a) shows the variations  
 399 of intercept factor ( $\gamma$ ) and optical efficiency difference ( $\Delta\eta_o$ ) between the MCRT's  
 400 results and the proposed method's results with offset distance ( $l_a$ ) for different offset  
 401 angle ( $a$ ). It shows that  $\gamma$  certainly has the same variation trend as  $\eta_o$ . For more  
 402 clarity, a partially enlarged view is shown in Fig. 13(b). It clearly displays the critical  
 403 value of  $l_a$  after which  $\eta_o$  drops rapidly for each  $a$ . It is easily seen that the  
 404 critical  $l_a$  for  $a=60^\circ$  is the smallest ( $l_a=0.0228\text{m}$ ) among the analyzed four offset  
 405 angles, demonstrating that it is easiest to cause rays escaping effect for  $a=60^\circ$ . It is  
 406 known from aforementioned analyses that the offset direction that is perpendicular to  
 407 the focus-edge connection line ( $a=68.38^\circ$ ) is most likely to cause rays escaping.  
 408 Therefore,  $a=60^\circ$  is the case that easiest cause rays escaping because  $60^\circ$  is closest to  
 409  $68.38^\circ$  compared to other three angles ( $0^\circ$ ,  $30^\circ$  and  $90^\circ$ ). It can also be seen from Fig.  
 410 13(a) that the smaller  $a$  is, the easier  $\Delta\eta_o$  reaches the maximum (1.28%),  
 411 indicating that X-direction ( $a=0^\circ$ ) will be more likely to cause optical efficiency  
 412 deviation from the actual value (gained by MCRT) than Y-direction does ( $a=90^\circ$ ). Fig.  
 413 14 shows the variation of critical diameter ( $d_{re,a}$ ) with offset distance ( $l_a$ ) for  
 414 different offset angle ( $a$ ). It can be easily seen that  $d_{re,a}$  increase constantly with the  
 415 increase of  $l_a$  for all  $a$ . When  $l_a$  is more than the critical value,  $d_{re,a}$  will be  
 416 larger than the actual tube diameter ( $d_a=0.07\text{m}$ ), causing rays escaping effect and

consequently leading to obvious decrease of  $\gamma$  (as shown in Fig.13). The critical value of  $l_a$  for each  $a$  shown in Fig. 14 is completely consistent with the result revealed in Fig. 13(b), verifying the accuracy of each other mutually.

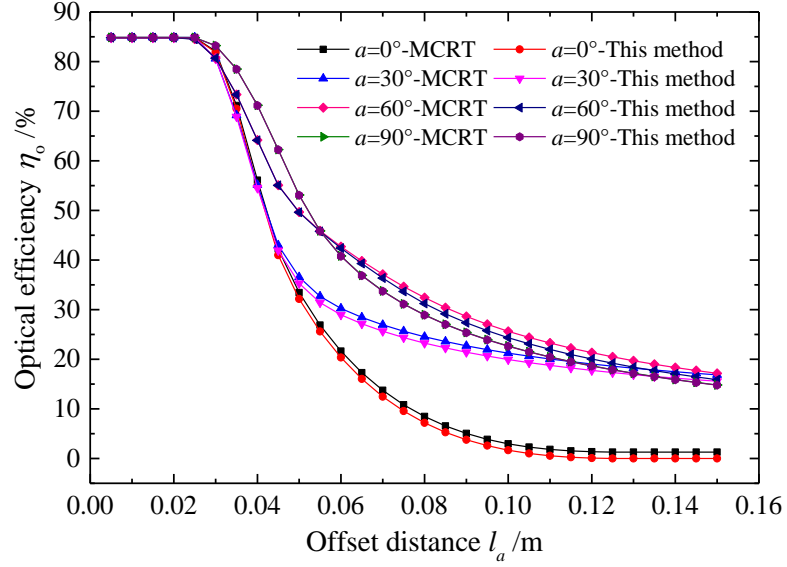
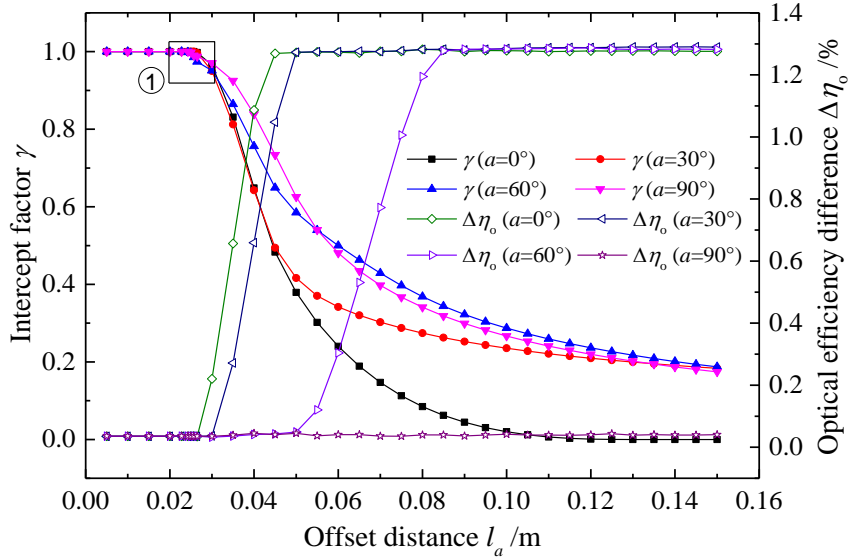
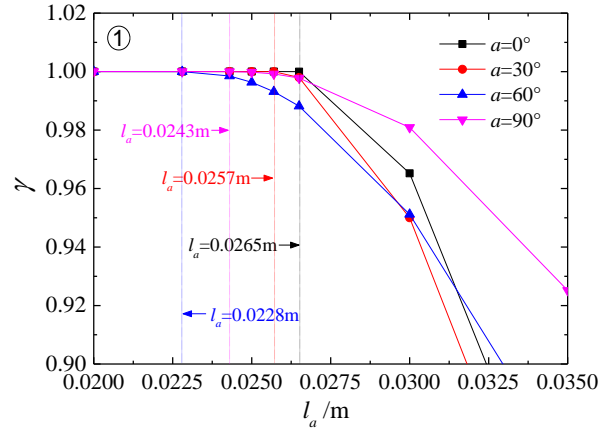


Fig. 12 Variation of optical efficiency ( $\eta_o$ ) with offset distance ( $l_a$ ) for different offset angle ( $a$ )

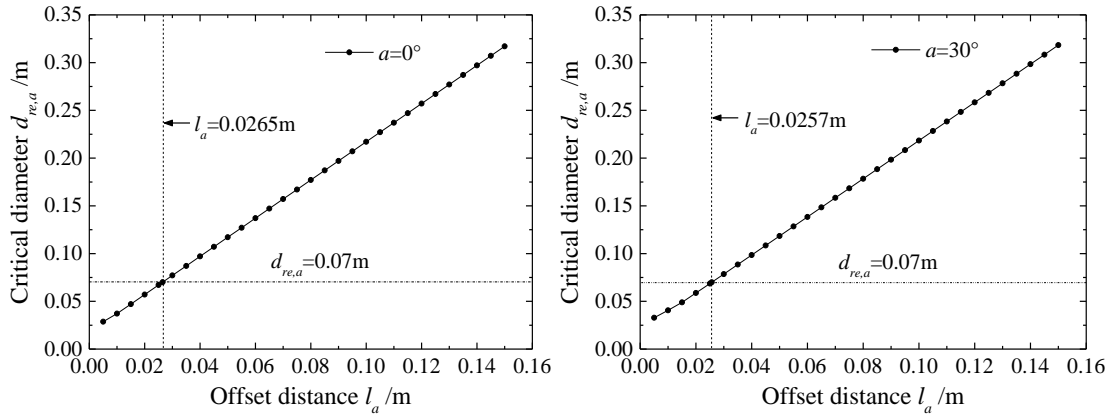


(a)



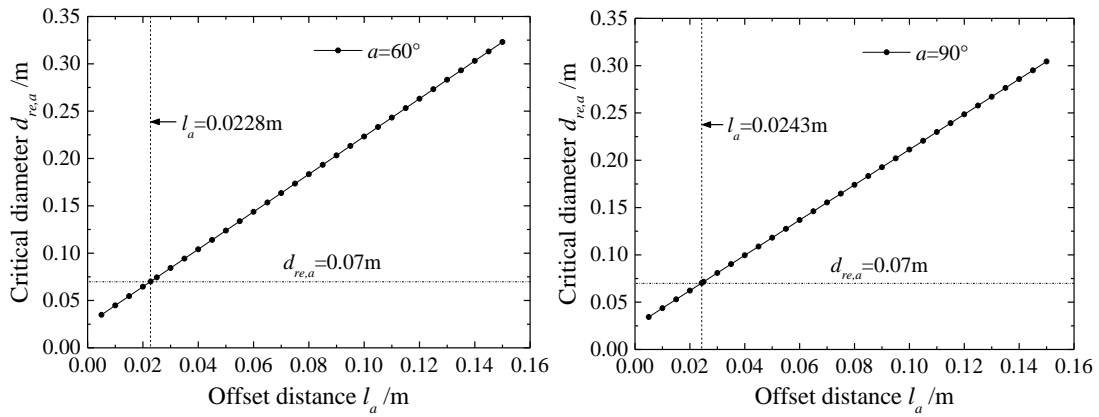
(b)

Fig. 13 Variations of intercept factor ( $\gamma$ ) and optical efficiency difference ( $\Delta\eta_o$ ) with offset distance ( $l_a$ ) for different offset angle ( $a$ )



(a)

(b)



(c)

(d)

Fig. 14 Variation of critical diameter ( $d_{re,a}$ ) with offset distance ( $l_a$ ) for different

offset angle ( $a$ ): (a)  $a=0^\circ$ , (b)  $a=30^\circ$ , (c)  $a=60^\circ$ , (d)  $a=90^\circ$

As for MCRT, the two main influencing factors of computing time is the computer hardware, especially the CPU and RAM, and the number of used rays. In this study, a computer with the CPU of Intel Core i7-3770 (3.4 GHz) and the RAM of 8.0 GB is used for simulation. According to relevant references [30, 31],  $5 \times 10^7$  rays were proved to be large enough to obtain accurate results. The greatest influencing factor of the proposed algorithm is the step size of the abscissa of point A ( $x_A$ ). In this study, the step size of  $x_A$  is 0.00005 which is very small, ensuring the accuracy of the results. Taking the case that the offset angle ( $a$ ) varies from  $-90^\circ$  to  $90^\circ$ , the value interval of which is  $5^\circ$  (there are totally 37 offset angles discussed.), and the offset distance ( $l_a$ ) is 0.01m as an example, we compare the required computing time of MCRT for five different numbers of rays with that of the proposed algorithm, as given in Table 3. It is clearly seen from Table 3 that the required computing time of MCRT for the discussed case is always more than 3 hours for all the discussed numbers of rays, whereas the computing time of the proposed algorithm is less than 4 seconds, indicating that the proposed algorithm has a remarkable advantage of saving time.

Table 3 Required computing time for MCRT and the proposed algorithm

| Number of rays |                | $3 \times 10^7$ | $4 \times 10^7$ | $5 \times 10^7$ | $6 \times 10^7$ | $7 \times 10^7$ |
|----------------|----------------|-----------------|-----------------|-----------------|-----------------|-----------------|
| Computing time | MCRT           | 3.29 h          | 4.40 h          | 5.48 h          | 6.58 h          | 7.69 h          |
|                | This algorithm | Less than 4 s   |                 |                 |                 |                 |

Note: 'h' represents 'hours' and 's' represents 'seconds'.

### 3.2 Effects of structural parameters of PTC in condition of tube alignment error

Fig. 15 shows the variation of optical efficiency ( $\eta_o$ ) with aperture width ( $W$ ) for different offset angle ( $a$ ) under condition of  $l_a = 0.03\text{m}$ . It can be clearly seen from the figure that when  $a=0^\circ$  or  $a=30^\circ$ ,  $\eta_o$  is less than the maximum optical efficiency ( $\eta_{o,\max}=84.816\%$ ) for any  $W$ , and there exists a aperture width range in which  $\eta_o$  is kept constantly at 84.816% for other three offset angles ( $45^\circ$ ,  $60^\circ$  and  $90^\circ$ ). The reasons are revealed in Fig. 16 which shows the variation of critical diameter ( $d_{re,a}$ ) with aperture width ( $W$ ) for different offset angle ( $a$ ). It is observed that the critical diameters ( $d_{re,a}$ ) for  $a=0^\circ$  and  $a=30^\circ$  are always larger than the actual tube diameter ( $d_a=0.07\text{m}$ ), causing rays escaping effect and hence resulting in optical loss for all  $W$ . Fig. 16 also shows that when  $W$  is less than a certain value (critical aperture width), the critical diameters ( $d_{re,a}$ ) for  $a=45^\circ$ ,  $a=60^\circ$  and  $a=90^\circ$  are less than 0.07m. The critical aperture widths for these three offset angles are 1.05m, 1.94m, and 3.73m respectively, which are exactly the same as the results shown in Fig. 15, proving that all the above derived formulas are accurate and reliable. From Fig. 15, we can also see that the optical efficiency ( $\eta_o$ ) has different variation trends for different offset angles ( $a$ ). This can also be well explained by the results displayed in Fig. 16. Taking  $a=30^\circ$  as an example, we can give following analyses. In Fig. 16,  $d_{re,a}$  increases with increasing  $W$  when  $W$  is less than 2.33m (exactly calculated by Eq. (11)), indicating that rays escaping becomes more serious with  $W$  increasing in



473 this range, leading to decreasing  $\eta_o$  (as shown in Fig. 15). Afterwards,  $d_{re,a}$   
 474 maintains constant till  $W=8.62\text{m}$  (exactly calculated by Eq. (12)), which demonstrates  
 475 that when  $W$  increases from  $2.33\text{m}$  to  $8.62\text{m}$ , the maximum of  $d_{re,a}$  just appears at  $W$   
 476  $=2.33\text{m}$ . Therefore, when  $W$  increases from  $2.33\text{m}$  to  $8.62\text{m}$ , the increase rate of rays  
 477 received by the absorber tube is greater than that of the rays escaping from the PTC  
 478 system, consequently causing increase of  $\eta_o$  (as shown in Fig. 15). When  $W$  is more  
 479 than  $8.62\text{m}$ ,  $d_{re,a}$  increases consistently with the increase of  $W$  (as shown in Fig. 16),  
 480 indicating that rays escaping becomes more serious with increasing  $W$ . As a result,  
 481  $\eta_o$  decreases constantly after  $W=8.62\text{m}$  (as shown in Fig. 15). It is also observed  
 482 from Fig. 15 that when  $W$  is more than  $7\text{m}$ ,  $\eta_o$  decreases with the increase of  $a$ ,  
 483 which is contrary to the conclusion given in previous literature [32, 36], which stated  
 484 that effects of X-direction offset ( $a=0^\circ$ ) was greater than that in Y-direction ( $a=90^\circ$ ).  
 485 From Fig. 16, we can also find that when  $a$  is less than  $90^\circ$ , there is an aperture width  
 486 range in which  $d_{re,a}$  maintains constant, which is different from the results obtained  
 487 in ideal or tracking error conditions that  $d_{re,a}$  increases with increasing  $W$  [30-32].

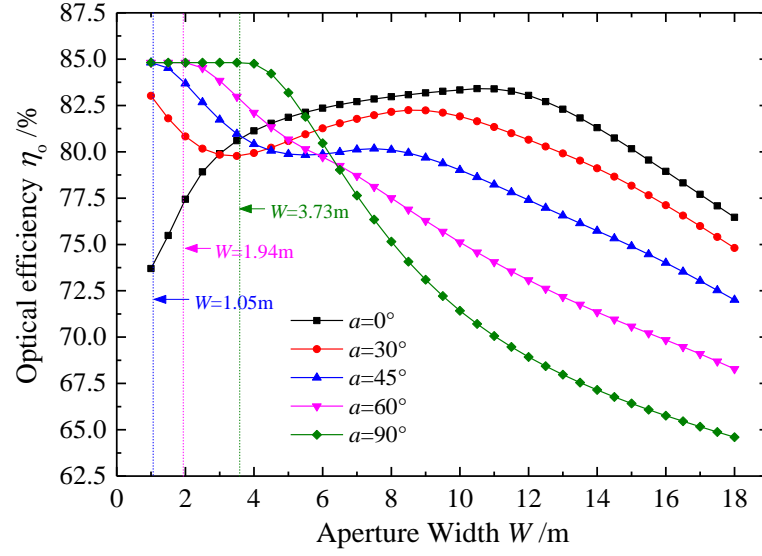


Fig. 15 Variation of optical efficiency ( $\eta_o$ ) with aperture width ( $W$ ) for different offset angle ( $a$ ) ( $l_a = 0.03$ m)

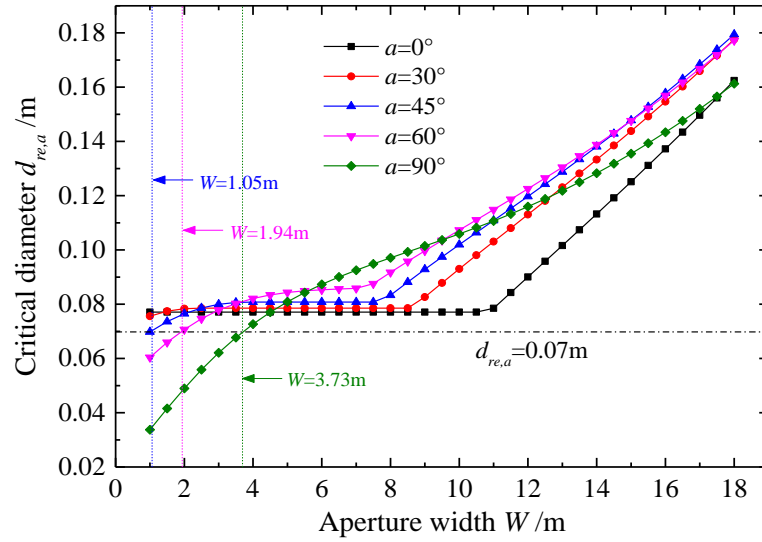


Fig. 16 Variation of critical diameter ( $d_{re,a}$ ) with aperture width ( $W$ ) for different offset angle ( $a$ ) ( $l_a = 0.03$ m)

Fig. 17 depicts the variation of optical efficiency ( $\eta_o$ ) with focal length ( $f$ ) for different offset angle ( $a$ ) under condition of  $l_a = 0.03$ m. It can be seen that there

exists a focal length range in which  $\eta_o$  maintains constant at the maximum ( $\eta_{o,\max} = 84.816\%$ ) for  $a=0^\circ$  and  $a=30^\circ$ . Whereas,  $\eta_o$  for other three offset angles ( $45^\circ$ ,  $60^\circ$  and  $90^\circ$ ) are always less than  $84.816\%$ . The reasons are shown in Fig. 18 which depicts the variation of critical diameter ( $d_{re,a}$ ) with focal length ( $f$ ) for different offset angle ( $a$ ). From Fig. 18, it can be observed that the diameter curves for  $a=0^\circ$  and  $a=30^\circ$  intersect with the curve of  $d_{re,a}=0.07\text{m}$ , while other diameter curves do not. This demonstrates that only the cases of  $a=0^\circ$  and  $a=30^\circ$  have the focal length range in which the absorber tube can receive all the reflected rays, having the maximum optical efficiency. Furthermore, the critical focal length shown in Fig. 17 are completely the same as that obtained from Fig. 18, proving their accuracy mutually.

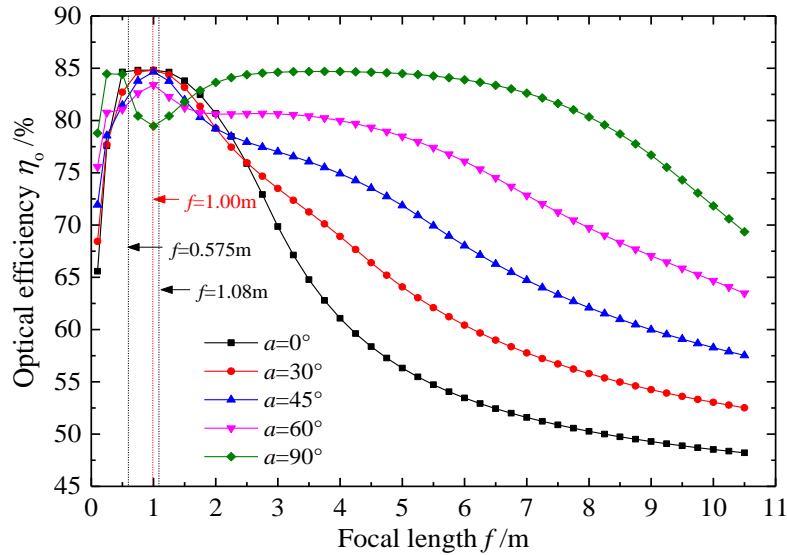


Fig. 17 Variation of optical efficiency ( $\eta_o$ ) with focal length ( $f$ ) for different offset angle ( $a$ ) ( $l_a = 0.03\text{m}$ )

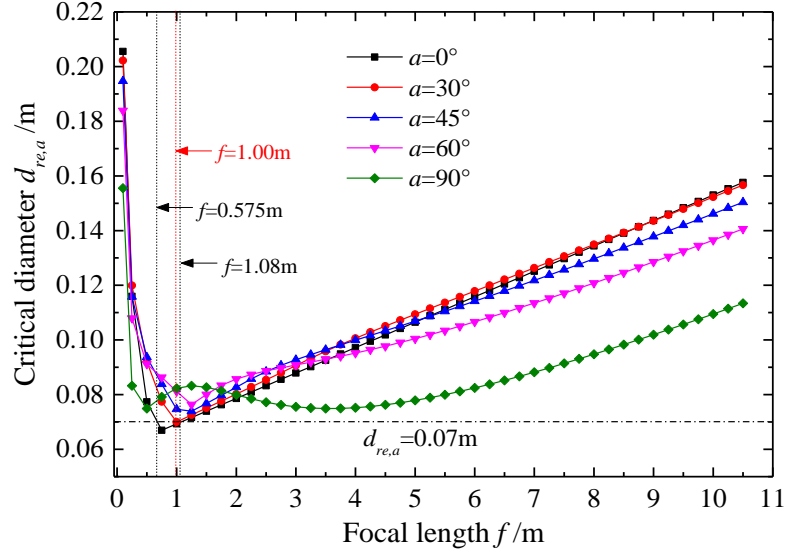
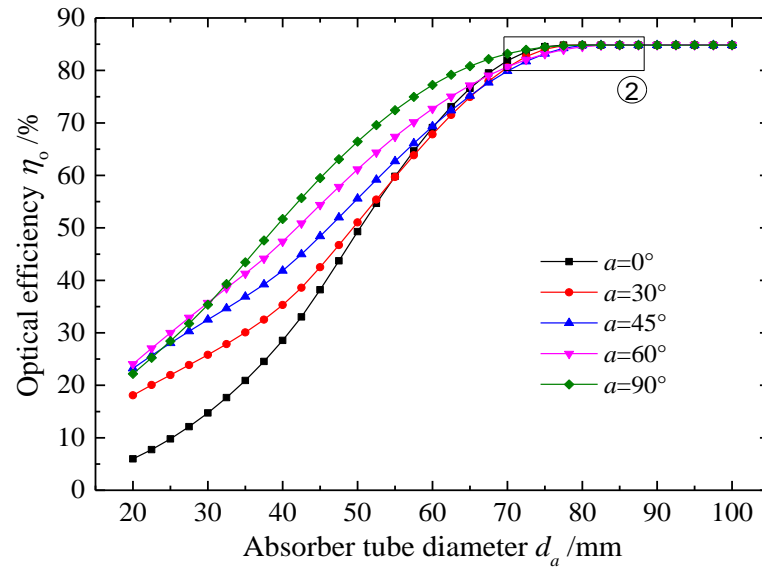


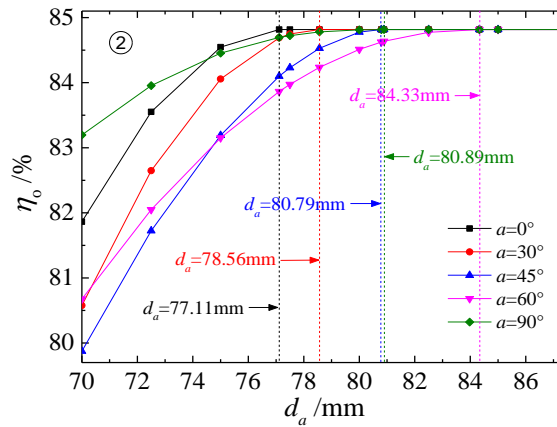
Fig. 18 Variation of critical diameter ( $d_{re,a}$ ) with focal length ( $f$ ) for different offset angle ( $a$ ) ( $l_a = 0.03\text{m}$ )

Fig. 19 shows the variation of optical efficiency ( $\eta_o$ ) with absorber tube diameter ( $d_a$ ) for different offset angle ( $a$ ) ( $l_a = 0.03\text{m}$ ). It can be clearly seen from Fig. 19(a) that  $\eta_o$  first increases with the increase of  $d_a$  and then maintains constant at 84.816% with further increasing  $d_a$ . This is because the larger  $d_a$  is, the less the rays escaping from around the tube are, causing larger  $\eta_o$ . When  $d_a$  is more than  $d_{re,a}$ ,  $\eta_o$  reaches the maximum and maintains constant. For more clarity, a partially enlarged view is shown in Fig. 19(b). It is easily seen from the figure that the critical diameter for  $a=60^\circ$  is the largest ( $d_{re,a}=84.33\text{mm}$ ) among the analyzed five offset angles, demonstrating that it is easiest to cause rays escaping effect for  $a=60^\circ$ , which further verifies the above conclusion that the offset direction which is perpendicular to the focus-edge connection line ( $a=68.38^\circ$ ) is most likely to cause rays escaping. Fig. 20 shows the variation of critical diameter ( $d_{re,a}$ ) with offset angle ( $a$ ) for  $l_a = 0.03\text{m}$ . It is obviously seen that the critical diameters ( $d_{re,a}$ ) for the five

analyzed offset angles ( $0^\circ$ ,  $30^\circ$ ,  $45^\circ$ ,  $60^\circ$  and  $90^\circ$ ) revealed in Fig. 20 are the same as that shown in Fig. 19. It can also be seen that the critical diameter ( $d_{re,a}$ ) for  $a=68.38^\circ$  is the maximum, complying very well with the conclusion that the offset direction which is perpendicular to the focus-edge connection line ( $a=68.38^\circ$ ) is most likely to cause rays escaping.



(a)



(b)

Fig. 19 Variation of optical efficiency ( $\eta_o$ ) with absorber tube diameter ( $d_a$ ) for different offset angle ( $a$ ) ( $l_a = 0.03\text{m}$ )

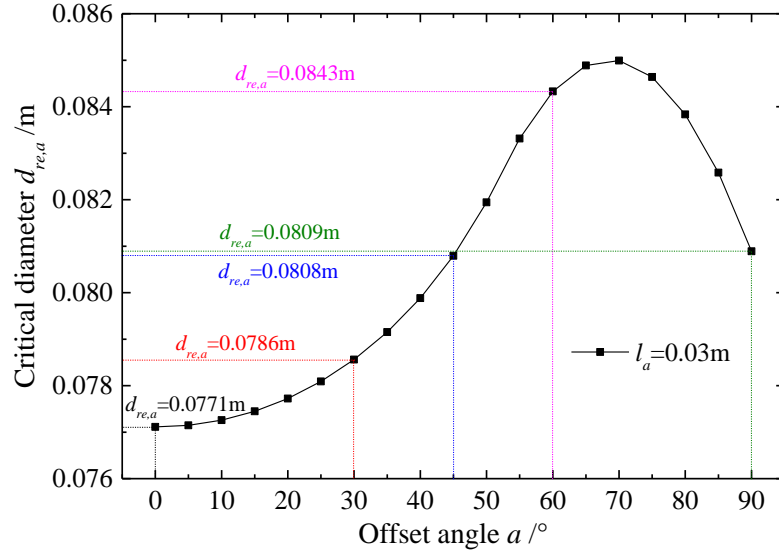


Fig. 20 Variation of critical diameter ( $d_{re,a}$ ) with offset angle ( $a$ ) for  $l_a = 0.03\text{m}$

Fig. 21 shows the variation of optical efficiency ( $\eta_o$ ) with aperture width ( $W$ )

for different offset distance ( $l_a$ ) under the condition of  $a=0^\circ$ . It can be seen that  $\eta_o$

are always less than the maximum ( $\eta_{o,\max}=84.816\%$ ) for  $l_a$  more than 0.03m. When

$l_a=0.01\text{m}$  or  $l_a=0.02\text{m}$ ,  $\eta_o$  is first kept constant at 84.816% and then decreases

with increasing  $W$ . The critical aperture width are 11.81m and 10.92m respectively.

Fig. 22 depicts the variation of critical diameter ( $d_{re,a}$ ) with aperture width ( $W$ ) for

different offset distance ( $l_a$ ) under the condition of  $a=0^\circ$ . It is clearly seen that the

larger  $l_a$  is, the larger  $d_{re,a}$  will be. It also shows that when  $l_a$  is more than 0.03m,

$d_{re,a}$  for all  $W$  are larger than 0.07m, causing rays escaping. As for  $l_a = 0.01\text{m}$  and

$l_a=0.02\text{m}$ , when  $W$  is less than the critical aperture width (11.81m and 10.92m

respectively),  $d_{re,a}$  are smaller than 0.07m. In this case, all the reflected rays will be

received by absorber tube, obtaining the maximum optical efficiency ( $\eta_{o,\max}$

$=84.816\%$ ). When  $W$  is more than the critical aperture width,  $d_{re,a}$  will be larger than

0.07m, leading to rays escaping and hence causing optical loss.

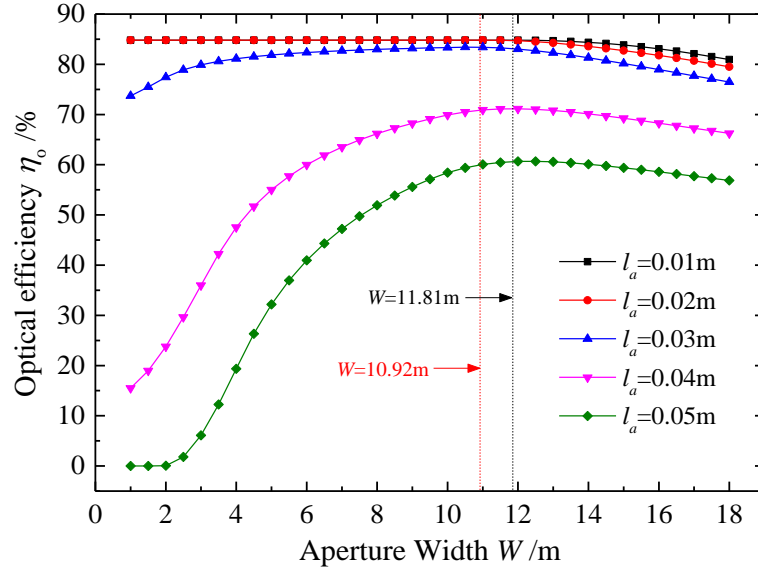


Fig. 21 Variation of optical efficiency ( $\eta_o$ ) with aperture width ( $W$ ) for different offset distance ( $l_a$ ) ( $a=0^\circ$ )

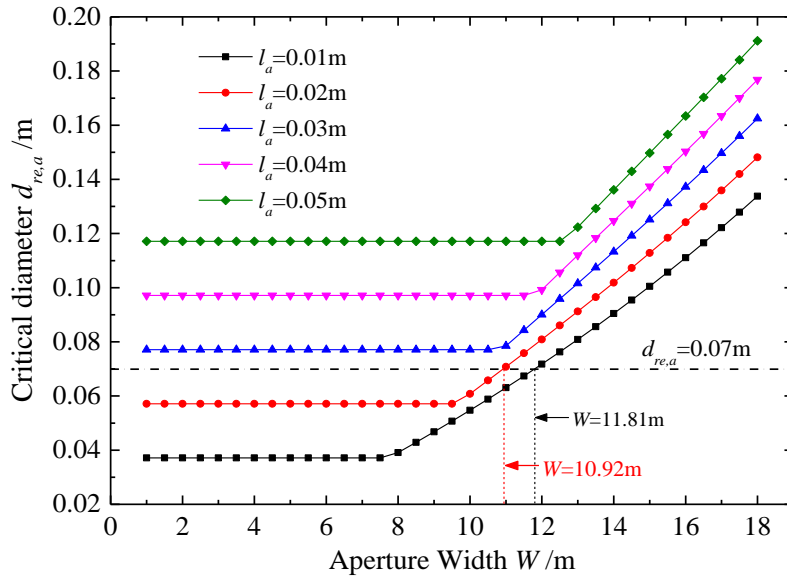
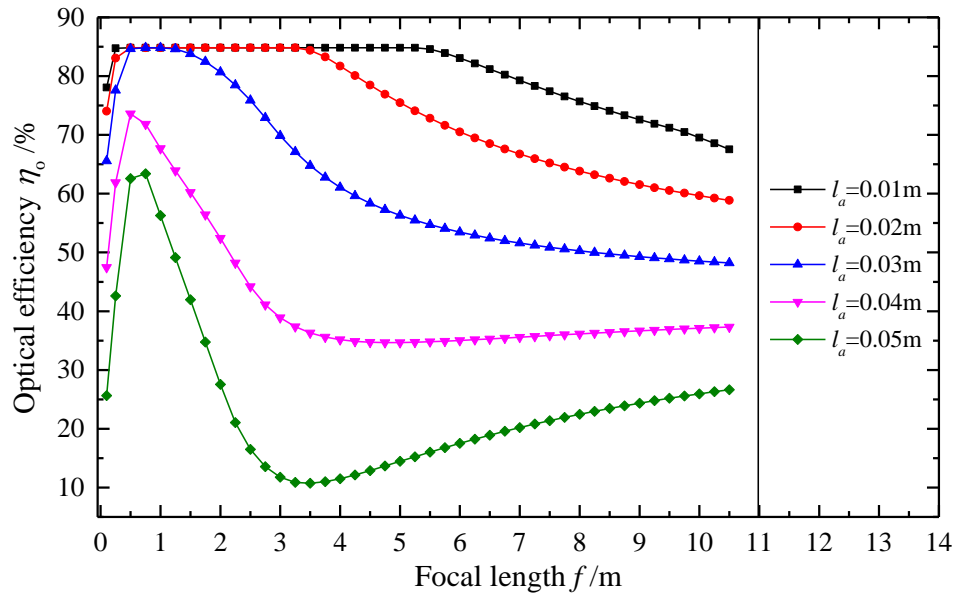


Fig. 22 Variation of critical diameter ( $d_{re,a}$ ) with aperture width ( $W$ ) for different offset distance ( $l_a$ ) ( $a=0^\circ$ )

Fig. 23 shows the variation of optical efficiency ( $\eta_o$ ) with focal length ( $f$ ) for different offset distance ( $l_a$ ) under the condition of  $a=0^\circ$ . It can be observed from the figure that when  $l_a$  is less than 0.03m, there exists a focal length range in which  $\eta_o$

564 maintains constant at the maximum (84.816%). Whereas,  $\eta_o$  is consistently less than  
565 84.816% for  $l_a$  more than 0.04m. Similarly, the reasons are shown in Fig. 24 which  
566 depicts the variation of critical diameter ( $d_{re,a}$ ) with focal length ( $f$ ) for different  
567 offset distance ( $l_a$ ). From Fig. 24, we can see that the critical diameter curves for  $l_a$   
568 =0.01m,  $l_a=0.02$ m and  $l_a=0.03$ m intersect with the curve of  $d_{re,a}=0.07$ m at two  
569 different points respectively, which means that there is a focal length range in which  
570  $d_{re,a}$  will be smaller than the actual tube diameter ( $d_a=0.07$ m). In this case, the  
571 absorber tube can receive all the reflected rays, ensuring the maximum of  $\eta_o$ .



572  
573 Fig. 23 Variation of optical efficiency ( $\eta_o$ ) with focal length ( $f$ ) for different  
574 offset distance ( $l_a$ ) ( $\alpha=0^\circ$ )



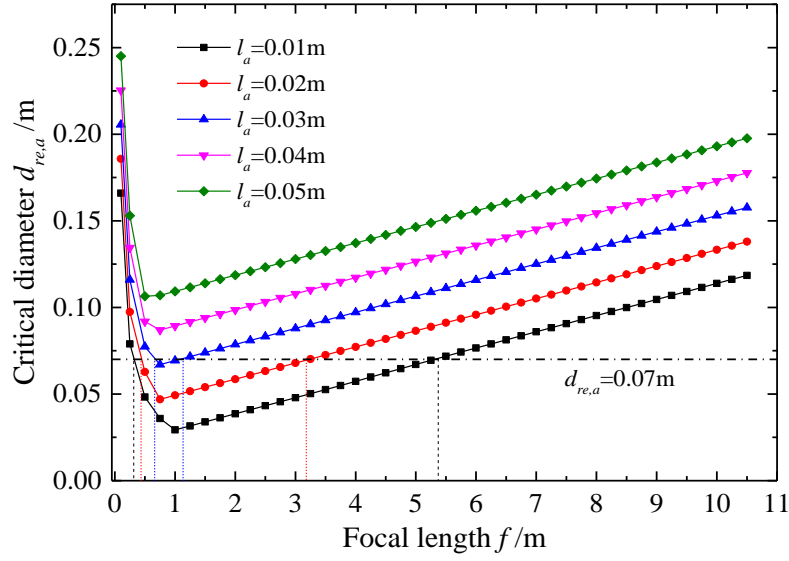


Fig. 24 Variation of critical diameter ( $d_{re,a}$ ) with focal length ( $f$ ) for different offset distance ( $l_a$ ) ( $a=0^\circ$ )

Fig. 25 shows the variation of optical efficiency ( $\eta_o$ ) with absorber tube diameter ( $d_a$ ) for different offset distance ( $l_a$ ) under condition of  $a=0^\circ$ . It is easily understood that the larger  $d_a$  is, the larger  $\eta_o$  will be, because of the fact that larger tube diameter can receive more reflected rays (reducing rays escaping effect). When  $d_a$  is more than the critical diameter ( $d_{re,a}$ ), the maximum optical efficiency (84.816%) will be obtained and kept constant afterwards. Fig. 26 depicts the variation of critical diameter ( $d_{re,a}$ ) with offset distance ( $l_a$ ) for  $a=0^\circ$ . It is clearly seen that  $d_{re,a}$  increases consistently with the increase of  $l_a$ . The critical diameters ( $d_{re,a}$ ) for the five analyzed offset distances (0.01m, 0.02m, 0.03m, 0.04m and 0.05m) revealed in Fig. 26 are completely the same as that shown in Fig. 25, proving mutually the accuracy of each other.

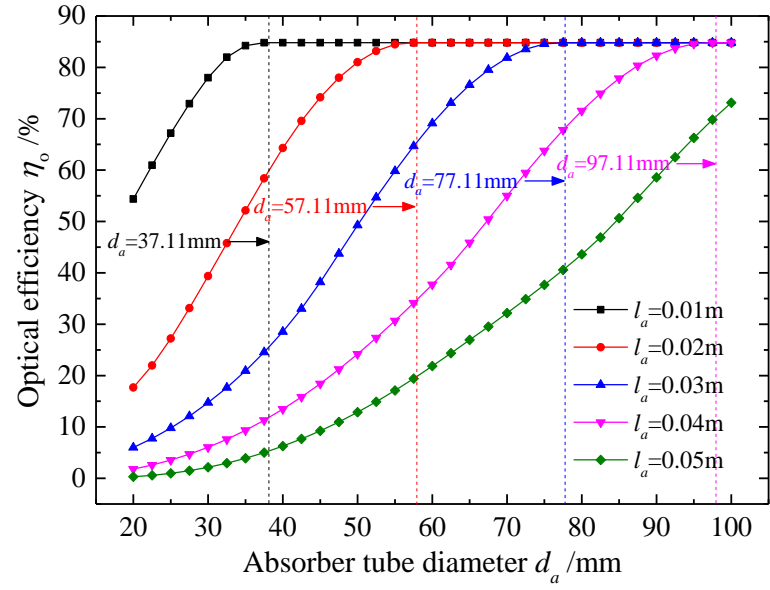


Fig. 25 Variation of optical efficiency ( $\eta_o$ ) with absorber tube diameter ( $d_a$ ) for different offset distance ( $l_a$ ) ( $a=0^\circ$ )

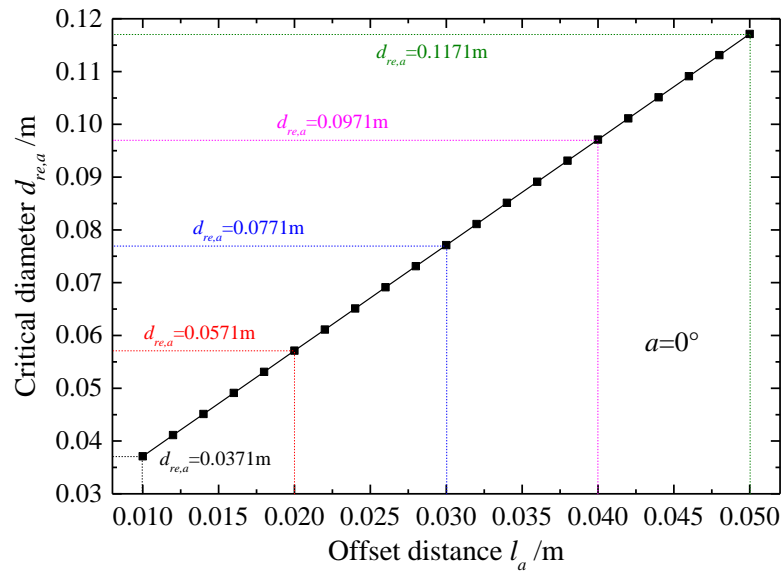


Fig. 26 Variation of critical diameter ( $d_{re,a}$ ) with offset distance ( $l_a$ ) for  $a=0^\circ$

#### 4. Conclusions

In this paper, an algorithm for obtaining critical tube diameter and intercept factor (optical efficiency) of the parabolic trough solar collector (PTC) under the condition of tube alignment error is proposed. The proposed algorithm, compared

with the widely used MCRT, reduces the computing time significantly from hours to seconds. The results obtained by the proposed method comply very well with that of MCRT, proving the accuracy and reliability of the proposed algorithm. The maximum optical efficiency difference between the proposed method and MCRT for LS-2 PTC module is 1.28%, which is caused by the direct insolation part. Critical tube diameters under different conditions of alignment error can be precisely calculated by using the derived formulas, which can also be used to explain very well the variation of optical efficiency (intercept factor). The proposed algorithm in this paper is the foundation of detailed geometric study which is our next work on the coupling effects of multi-errors, such as tracking error, surface error, installation error and practical sun-shape, on PTC's performance.

In addition, effects of structural parameters of the PTC (aperture width, focal length, and tube diameter) on optical performance under the condition of tube alignment error are also discussed in detail. It is revealed that the optical efficiency varies differently with structural parameters for different offset angles and offset distances. The offset direction which is perpendicular to the focus-edge connection line is not the direction that causes biggest optical loss, but the direction that is most likely to cause rays escaping. There is an aperture width (and also focal length) range in which the optical efficiency decreases with increasing offset angle, which is contrary to the conclusion presented in previous literature that effects of X-direction offset ( $\alpha=0^\circ$ ) was greater than that in Y-direction ( $\alpha=90^\circ$ ). Unlike the performance in

ideal or tracking error conditions that the critical diameter increases with the increase of aperture width, the critical diameter maintains constant in a certain range of aperture width under the condition that the offset angle is less than  $90^\circ$ . The proposed method can be conveniently used to determine the allowable installation error margin of the absorber tube, and can also be used for quick calculation and analysis in engineering practice.

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