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Analysis of consolidation behavior for saturated sand-marine clay mixtures considering the inter-granular structure evolution

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24 **Abstract**

25 Laboratory tests on sand-marine clay mixtures reveal that the effect of sand mass fraction on
26 the overall consolidation behavior is negligible for a sand mass fraction of 20% or less.
27 Further increase of the sand fraction significantly affects the overall consolidation process. To
28 describe this behavior, a consolidation model is proposed within homogenization framework.
29 The sand-marine clay mixture is divided into two systems: a clay matrix system consisting of
30 the silts, clay particles and void space in the marine clay matrix, and an inclusion system
31 consisting of sand particles. The volume fraction of sand particles is adopted as the structure
32 variable, representing the inter-granular structure evolution of the sand-clay mixtures. Based
33 on some reasonable assumptions, the governing equations are formulated and the
34 consolidation problem is solved using Galerkin's weighted residual method within the finite
35 element framework. The proposed consolidation model has five principal parameters: four
36 intrinsic ones depending on the behavior of the pure clay matrix, and one structure parameter
37 related to the inter-granular structure. Only two conventional oedometer tests are needed for
38 the calibration of these model parameters. Comparison between the test data and the model
39 prediction reveals that the model can well reproduce the effect of sand fraction on the overall
40 consolidation behavior of the tested sand-marine clay mixtures.

41

42 **Keywords:** Consolidation; Sand-clay mixtures; Finite element method; Homogenization;
43 Marine clay

44 **Introduction**

45 A sand-clay mixture is an important engineered material which is being used and produced
46 in various projects. As a binary mixed material, it inherits some of the properties of the clay
47 matrix and the sand inclusions. The overall permeability is lower than that of the granular
48 material, and the compressibility is lower than that of the pure clay due to the incompressible sand
49 inclusions and their interactions. In some cases, the mentioned properties are favorable. E.g.,
50 due to the low permeability, it was adopted as engineered barriers to prevent the contaminants
51 from a nuclear waste (Pusch and Gray 1989; Graham, *et al.*, 1992; Kenney *et al.*, 1992;
52 Pandian *et al.*, 1995; de Magistris *et al.*, 1998; Sivapullaiah *et al.*, 2000). However, sometimes
53 the low permeability is not desirable. The sand percolation effect induces sand-clay binary
54 mixtures near the sand-clay interface in land reclamation (e.g., Tan *et al.*, 1994; Silva, 2016).
55 The soft marine deposits are covered by the mixture layer (see Fig. 1) which acts as the
56 drainage boundary of the underneath soft soil. Consequently, the consolidation process of the
57 marine clay is coupled with that of the sand-marine clay mixture.

58 The behavior of sand-clay mixtures was documented by many researchers. Most of them
59 focused on the permeability (Pandian *et al.*, 1995; Sivapullaiah *et al.*, 2000; Watabe *et al.*,
60 2011; Elkady *et al.*, 2015; Deng *et al.*, 2016) and compression behavior (Torfs, 1996; Kumar,
61 1996; Yin, 1999; Monkul *et al.*, 2005; Monkul and Ozden, 2007; Shi and Yin, 2017) based on
62 element tests. In some previous work, the compressibility and permeability of sand-clay
63 mixtures were estimated based on regression analysis (Yin, 1999; Sivapullaiah *et al.*, 2000;
64 Deng *et al.*, 2016). Hence, the consolidation process of a sand-clay mixture can be reproduced
65 using Terzaghi's consolidation theory by assuming a homogeneous soil element. However,
66 this method does not consider the intergranular structure evolution with increasing sand
67 fraction during consolidation process. A more reasonable approach is analyzing the
68 consolidation behavior within homogenization framework.

In this paper, a series of 1-D consolidation tests are performed on sand-marine clay mixtures considering different sand fractions. Correspondingly, a simple consolidation model is proposed and validated using the laboratory data.

Stress (strain) and state variables

The consolidation process is analyzed by dividing the binary mixtures into the marine clay matrix and the sand inclusions (Fig. 2). A marine clay matrix consists of silt particles, clay mineral particles and fluids filling the void space. For simplicity, a representative elementary volume (REV) of the mixture is divided into three parts: the volume of solid part in the clay matrix V_{sc} , including clay mineral particles and silts; the void space in the clay matrix V_v ; the volume of sand inclusions V_{ss} . The volume fraction of sand inclusions ϕ_s is given as

$$\phi_s = \frac{V_{ss}}{V_v + V_{sc} + V_{ss}} \quad (1)$$

Since the sand particles are significantly stiffer than the weak clay matrix, they are assumed to be incompressible. The volume of clay matrix decreases with increasing stress level. In this case, ϕ_s is a state variable which increases with the dissipation of excess pore water pressure.

It can be computed from the overall porosity of a mixture $n = \frac{V_v}{V_{sc} + V_{ss} + V_v}$ and the local

porosity of the clay matrix $n_c = \frac{V_v}{V_{sc} + V_v}$:

$$\phi_s = \frac{n_c - n}{n_c} \quad (2)$$

with the local and overall porosities as

$$n_c = \frac{e_c}{1 + e_c}; \quad n = \frac{e}{1 + e} \quad (3)$$

where e and e_c are the overall void ratio and the void ratio of the clay matrix, respectively.

The void ratios in Eq. (3) are related to the current strains (overall strain ε and local strain ε_c)

90 and the initial void ratios (overall void ratio e_0 and local void ratio e_{c0}):

$$91 \quad e = (1 + e_0)\exp(-\varepsilon) - 1; \quad e_c = (1 + e_{c0})\exp(-\varepsilon_c) - 1 \quad (4)$$

92 Substitution Eqs (3) and (4) into Eq. (2), one computes the stress dependent structure
 93 variable ϕ_s . Note that the strains in Eq. (4) are defined as logarithmic strains, and the current
 94 vertical strain ε can be calculated from the initial height of a sample and the settlement at an
 95 incremental stress level.

96 Significant difference in stiffness of the constituents leads to a nonuniform stress (strain)
 97 distribution (Harshin, 1983; Weng and Tandon, 1988; Kumar, 1996; Shi and Herle, 2017). To
 98 this end, the volume average scheme is adopted for the definition of stresses (strains)
 99 variables. Assuming a stress field $\sigma'(\mathbf{x})$ and a strain field $\varepsilon(\mathbf{x})$ within the REV. The overall
 100 effective stress and strain are defined as

$$101 \quad \sigma' = \frac{\int_{V_v + V_{sc} + V_{ss}} \sigma'(\mathbf{x}) dV}{V_v + V_{sc} + V_{ss}}; \quad \varepsilon = \frac{\int_{V_v + V_{sc} + V_{ss}} \varepsilon(\mathbf{x}) dV}{V_v + V_{sc} + V_{ss}} \quad (5)$$

102 with σ' and ε denoting the overall effective stress and strain, respectively; Similarly, the local
 103 effective stresses (in the clay matrix σ'_c and in the sand σ'_s) and local strain in the clay matrix ε_c
 104 can be defined using the volume average scheme.

105 From the definition of volume groups, one obtains the following relationship between the
 106 volume-average stresses (strain) of the constituents and the corresponding overall value:

$$107 \quad \sigma' = \frac{\int_{V_v + V_{sc} + V_{ss}} \sigma'(\mathbf{x}) dV}{V_v + V_{sc} + V_{ss}} = \frac{\int_{V_v + V_{sc}} \sigma'(\mathbf{x}) dV}{V_v + V_{sc} + V_{ss}} + \frac{\int_{V_{ss}} \sigma'(\mathbf{x}) dV}{V_v + V_{sc} + V_{ss}} = (1 - \phi_s)\sigma'_c + \phi_s\sigma'_s \quad (6a)$$

$$108 \quad \varepsilon = \frac{\int_{V_v + V_{sc} + V_{ss}} \varepsilon(\mathbf{x}) dV}{V_v + V_{sc} + V_{ss}} = \frac{\int_{V_v + V_{sc}} \varepsilon(\mathbf{x}) dV}{V_v + V_{sc} + V_{ss}} = (1 - \phi_s)\varepsilon_c \quad (6b)$$

109 The variables defined above are based on a representative volume element concept. The
 110 sand inclusions are assumed to be randomly distributed within the marine clay matrix.
 111 Numerical simulations (Tu *et al.*, 2005; González *et al.*, 2004; Shi and Herle, 2017) on this

type of configuration reveal that the REV (contains a statistically sufficient mechanisms) can be well represented by using only a limited number of stiff particles.

Intrinsic behavior of the clay matrix and a homogenization law for sand-clay mixtures

Modelling the compression and permeability of the constituents (marine clay matrix and sand inclusions in this paper) is fundamental for the consolidation analysis of sand-clay mixtures. Since the sand inclusions are incompressible, the overall consolidation process depends on that of the soft marine clay matrix.

Reference model for the pure marine clay

The compression behavior of remolded clays was investigated by many researchers (e.g., Hong *et al.*, 2010; Liu *et al.*, 2013; Shi and Herle, 2015; Zeng *et al.*, 2015; Horpibulsuk *et al.*, 2016). As suggested by Hong *et al.*, (2007), the compression curve of remolded clays can be divided into two distinct regimes: the suction preloading regime below the remolded yield stress σ'_{cy} and the post-yield regime beyond σ'_{cy} . Note that σ'_{cy} is related to the suction stress at the surface of the sample during its preparation. In this study, the remolded yield stress was estimated by extrapolating the post-yield curve to the initial void ratio e_{c0} . As shown in Fig. 3, it is assumed that the deformation is negligible when the stress levels are lower than the remolded yield stress:

$$\ln(1 + e_c) = \ln(1 + e_{c0}) \quad (7)$$

This assumption was introduced for the determination of the remolded yield stress of remolded soils (Hong, 2007, Hong *et al.*, 2010; Shi and Herle, 2016). In this paper, it provides an initial state of the marine clay matrix, which is located on the Normal Compression Line (with a void ratio of e_{c0} at the remolded yield stress σ'_{cy}). In general, the stiffness of the clay matrix is not infinite within the preyield stress range. However, the remolded yield stress of the marine matrix is very low (1.4 kPa). In this case, the above assumption may not lead to

significant error, and such a low stress level is not of interest in many field cases. Furthermore, the difference between the test data and the Normal Compression Line only exists in vicinity of the remolded yield stress, which diminishes with further increase of the consolidation stress level (Fig. 3). In the sequel, the consolidation model is proposed for the normal consolidation range (post-yield stress regime of the clay matrix).

The stiffness of the marine clay matrix shows a sharp decrease in the vicinity of σ'_{cy} , and the compression data beyond the remolded yield stress can be well represented by the compression law proposed by Butterfield (1979):

$$\ln(1 + e_c) = N_c - \lambda_c \ln \sigma'_c \quad (8)$$

Permeability of the pure marine clay

A linear relationship between logarithm of hydraulic conductivity and void ratio was given by Taylor (1948). Subsequent work (Leroueil *et al.*, 1990; Tavenas *et al.*, 1983a and 1983b; Zeng *et al.*, 2011) revealed that the linear e_c - $\ln k_c$ relationship was questionable for data with the strain exceeding 20%. To this end, a power relationship between k and e was adopted for the marine clay matrix in this study:

$$\ln k_c = A_c + \xi_c \ln e_c \quad (9)$$

where ξ_c is the slope of the permeability line in a double logarithmic k : e plane, and A_c is logarithm of permeability at a reference void ratio $e_c=1$. This equation was proposed by Mesri and Olson (1971) for the change of permeability during oedometer compression of remolded clays. It was validated by other researchers for various remolded and undisturbed clays (e.g., Somogyi, 1979; Carrier and Beckman, 1984; Pane and Schiffman, 1997; Dolinar, 2009; Zeng *et al.*, 2012).

158 Homogenization law for sand-clay mixtures

159 For a binary mixture consists of a soft clay matrix and rigid inclusions, the overall stiffness
160 of a mixture can only increase to several times that of the soft matrix (Hashin, 1983). This
161 postulation was verified by Tu *et al.* (2005) by using Finite Element Method. It indicates that
162 the overall stiffness can be formulated as a function of the local stiffness of the clay matrix.
163 Based on the test data and the analysis of the inter-granular structure, a simple
164 homogenization law was proposed by Shi and Yin (2017) using the tangent stiffness for
165 remolded sand-marine clay mixtures:

$$166 \quad \ln E = \eta(1 - \phi_s) \ln E_c \quad (10)$$

167 where E and E_c are the overall tangent stiffness of the mixture and that of the marine clay
168 matrix, respectively; η is a structure variable describing the inter-granular structure evolution,
169 which increases with increasing volume fraction of sand inclusions:

$$170 \quad \eta = \left(\frac{\tilde{\phi}_s}{\tilde{\phi}_s - \phi_s} \right)^\beta \quad (11)$$

171 where β controls the sensitivity of η on the sand fraction, $\tilde{\phi}_s$ is the maximum volume fraction
172 of the sand inclusions, which is related to the minimum void ratio of the sand skeleton e_{min} :

$$173 \quad \tilde{\phi}_s = \frac{1}{1 + e_{min}} \quad (12)$$

174 Governing equations

175 Based on the volume divisions in Fig. 2, the sand-marine clay mixtures can be divided into
176 two systems: the clay matrix system and the sand inclusion system. The sand inclusion system
177 consists of sand particles V_{ss} , and the clay matrix system is composed of the silts, clay
178 particles and the void space $V_{sc} + V_v$. The deformation of the sand-clay mixtures depends on
179 the constituents (clay matrix and sand inclusions). Since the sand inclusions are

180 incompressible (Kenney *et al.*, 1992; Mitchell, 1993; Revil *et al.*, 2002), the sand system can
 181 be regarded as a closed system (with no fluid and solid exchange with the matrix system). In
 182 this case, only the consolidation of the clay matrix system needs to be analyzed.

183 *Conservation of mass*

184 In this study, the continuum principles will be used to derive the balance equations. The
 185 procedure follows by coupling the balance equation of masses (including fluid and solid
 186 phases within the clay matrix) and Darcy's law. From the definition of clay matrix system, the
 187 balance equation of mass to the solid phase in the clay matrix leads to (de Boer and Ehlers,
 188 1986)

$$189 \quad \frac{\partial \tilde{\rho}_{cs}}{\partial t} + \frac{\partial}{\partial x} (\tilde{\rho}_{cs} v_{cs}) = \Theta \quad (13)$$

190 where, Θ is the rate of exchange of solid particles between the clay matrix and the sand
 191 inclusions, v_{cs} is the absolute velocity of the solid particles in the clay matrix, If one supposes
 192 that no disintegration of the sand particles occurs, the exchange term of vanishes, i.e., $\Theta = 0$.
 193 $\tilde{\rho}_{cs}$ denotes the partial density of solid particles in the clay matrix, which is expressed as

$$194 \quad \tilde{\rho}_{cs} = (1 - \phi_s) (1 - n_c) \rho \quad (14)$$

195 with ρ denoting the overall density of the sand-clay matrix. It can be computed from the
 196 particle densities of the clay matrix ρ_c and the sand inclusions ρ_s . From the conservation of the
 197 masses and volumes of the fine and coarse particles before and after mixing process, the
 198 overall particle density is deduced as

$$199 \quad \rho = \frac{\rho_c \rho_s}{\psi_s \rho_c + (1 - \psi_s) \rho_s} \quad (15)$$

200 Substitution of Eq. (14) into (13) leads to

$$201 \quad -\frac{\partial}{\partial t} [(1 - \phi_s) n_c] - \frac{\partial}{\partial x} [(1 - \phi_s) n_c v_{cs}] + \frac{\partial}{\partial t} (1 - \phi_s) + \frac{\partial}{\partial x} [(1 - \phi_s) v_{cs}] = 0 \quad (16)$$

202 Applying the same procedure to the fluid phase within the clay matrix, the corresponding
 203 conservation of mass can be expressed as

$$204 \quad \frac{\partial}{\partial t} [(1 - \phi_s)n_c] + \frac{\partial}{\partial x} [(1 - \phi_s)n_c v_{cv}] = 0 \quad (17)$$

205 where v_{cv} is the absolute velocity of the fluid in the clay matrix. Combining Eq. (16) and (17),
 206 the following equation can be obtained:

$$207 \quad \frac{\partial}{\partial x} [(1 - \phi_s)n_c(v_{cv} - v_{cs})] + \frac{\partial}{\partial x} [(1 - \phi_s)v_{cs}] + \frac{\partial}{\partial t} (1 - \phi_s) = 0 \quad (18)$$

208 A mathematical representation of pore water flow in clay mixtures can be formulated by
 209 combining the mass conservation of the solid (Eq. (18)) with Darcy's law. The pore water
 210 pressure in the clay matrix is related to the corresponding relative fluid velocity v_r with
 211 Darcy's law. Its mathematical description can be written as

$$212 \quad v_r = - \frac{k_c}{\gamma_w} \frac{\partial p_c}{\partial x} \quad (19)$$

213 where p_c is the excess pore water pressure in the clay matrix, γ_w is the unit weight of fluid.
 214 Since the sand inclusions are impermeable with no water holding capacity, the local excess
 215 pore water pressure p_c is the same as the overall value p of the mixture. The relative fluid
 216 velocity (apparent value) v_r in the clay matrix can be expressed as

$$217 \quad v_r = (1 - \phi_s)n_c(v_{cv} - v_{cs}) \quad (20)$$

218 Substitution of Eqs (19) and (20) into Eq. (18) gives

$$219 \quad - \frac{k_c}{\gamma_w} \frac{\partial^2 p}{\partial x^2} + (1 - \phi_s) \frac{\partial v_{cs}}{\partial x} + v_{cs} \frac{\partial}{\partial x} (1 - \phi_s) + \frac{\partial}{\partial t} (1 - \phi_s) = 0 \quad (21)$$

220 Lagrangian total derivative is introduced in this paper. It includes both the convective rate
 221 of change and local rate of change:

$$222 \quad \frac{d_s}{dt} (1 - \phi_s) = v_{cs} \frac{\partial}{\partial x} (1 - \phi_s) + \frac{\partial}{\partial t} (1 - \phi_s) \quad (22)$$

223 Note that in general $v_{cs} \frac{\partial}{\partial x} (1 - \phi_s) \ll \frac{\partial}{\partial t} (1 - \phi_s)$ (Valliappan and Khalili-Naghadeh, 1990)

224 for consolidation analysis. Thus, $\frac{d_s}{dt} (1 - \phi_s) \approx \frac{\partial}{\partial t} (1 - \phi_s)$. Eq. (21) can be rewritten as

$$225 \quad \frac{k_c}{\gamma_w} \frac{\partial^2 p}{\partial x^2} = (1 - \phi_s) \frac{\partial v_{cs}}{\partial x} + \frac{\partial}{\partial t} (1 - \phi_s) \quad (23)$$

226 One can see the physical meanings of the items by multiplying Eq. (23) with a unit mass (1
227 kg). The left side of Eq. (23) denotes mass flow out of the clay matrix; The right side
228 represents the mass change due to volume fraction of the clay matrix (second item) and the
229 corresponding deformation (first item).

230 *Equilibrium equation*

231 Since, the consolidation of sand-marine mixtures is considered as a quasi-static process, the
232 momentum related to the velocity and acceleration of the fluid can be omitted. Hence, the 1D
233 equilibrium equation for sand-clay mixtures is written as

$$234 \quad \frac{\partial \sigma}{\partial x} + \gamma = 0 \quad (24)$$

235 Where γ is the unit weight of sand-clay mixtures, and σ is the applied total stress. Note that γ
236 is a state variable which depends on the void ratio:

$$237 \quad \gamma = \frac{\rho g + e \gamma_w}{1 + e} \quad (25)$$

238 where g is the gravitational acceleration. The local total stress σ is composed of the effective
239 stresses σ' induced by soil particle interactions, and the pore water pressure p in void spaces
240 between soil particles. As state by Graham (1992), the effective stress in a sand-clay mixture
241 is divided into two parts: (1) The net inter-particle unit force σ'_1 between clay particles. It is a
242 combination of various physicochemical forces, e.g., repulsive forces arising from Coulomb
243 repulsion and osmotic forces and attractive forces arising from London-van der Waals forces

244 between clay particles. (2) physical contacts stress component σ'_2 , e.g., the point-point
 245 contacts between the sand inclusions. Based on a series of tests on sand-bentonite mixtures,
 246 Graham (1992) suggested that the behavior of sand-clay mixtures could be described by
 247 effective stresses $\sigma'=\sigma'_1 +\sigma'_2$ measured as the difference between pore water pressure p
 248 (measured on pressure transducer) and applied total stress σ , i.e., $\sigma'=\sigma-p$. Considering the
 249 effective stress concept, the one-dimensional equilibrium equation can be given as

$$250 \quad \frac{\partial \sigma'}{\partial x} + \frac{\partial p}{\partial x} + \gamma = 0 \quad (26)$$

251 Considering the constitutive relationship Eq. (26) is arranged as

$$252 \quad E \frac{\partial \varepsilon}{\partial x} + \frac{\partial p}{\partial x} + \gamma = 0 \quad (27)$$

253 with $E = \frac{d\sigma'}{d\varepsilon}$ denoting the overall tangent stiffness of sand-marine clay mixtures. The
 254 overall vertical strain ε depends on the overall displacement u of the sand-clay mixtures:

$$255 \quad \varepsilon = - \frac{\partial u}{\partial x} \quad (28)$$

256 Combining Eqs (27) and (28) yields

$$257 \quad - E \frac{\partial^2 u}{\partial x^2} + \frac{\partial p}{\partial x} + \gamma = 0 \quad (29)$$

258 The overall stiffness can be derived from the intrinsic compression model of the clay
 259 matrix and the homogenization law given in section 2. As mentioned above, the compression
 260 curve of the clay matrix can be divided into two distinct regimes. Since no deformation occurs
 261 in the clay matrix (Eq. (7)) within the pre-yield regime, the corresponding overall strain
 262 increment is negligible. If one supposes that the stress distribution is uniform within the pre-
 263 yield stress range, the overall remolded yield stress σ'_y equals the value of the clay matrix, i.e.,
 264 $\sigma'_y=\sigma'_{cy}$. After the effective stress exceeds the remolded yield stress of the clay matrix, the
 265 compression data follow the Normal Compression Line. From the reference compression of

the clay matrix (Eq. (8)), one obtains the corresponding tangent stiffness:

$$E_c = \frac{d\sigma'_c}{d\varepsilon_c} = \frac{\sigma'_c}{\lambda_c} \quad (30)$$

Substitution of Eq. (30) into the homogenization law (Eq. (10)), the incremental overall strain of sand-clay mixtures is deduced as

$$d\varepsilon = \left(\frac{\lambda_c}{\sigma'_c} \right)^{\eta(1-\phi_s)} d\sigma' \quad (31)$$

Substitution of Eq. (31) into (29) gives

$$-\left(\frac{\lambda_c}{\sigma'_c} \right)^{-\eta(1-\phi_s)} \frac{\partial^2 u}{\partial x^2} + \frac{\partial p}{\partial x} + \gamma = 0 \quad (32)$$

Simplified form of conservation equation

Eqs (23) and (32), are the governing equations for the mass conservation and equilibrium condition, respectively. They are four unknown variants, three volumetric ones (the overall displacement u , the absolute velocity of the soil particles in the clay matrix v_{cs} , the change of the volume fraction of sand inclusions $\frac{\partial \phi_s}{\partial t}$), and the excess pore water pressure p .

Given that the sand inclusions float in the clay matrix, a deformation of the clay matrix induces a rigid displacement of the sand inclusions. Therefore, the absolute velocity of the soil particles in the clay matrix v_{cs} , equals the corresponding velocity of the sand inclusions v_{ss} . The overall velocity of the soil particles of the sand-clay mixture v_s , is given by

$$v_s = (1 - \phi_s)v_{cs} + \phi_s v_{ss} = v_{cs} \quad (33)$$

Note that segregation may happen for sand-clay mixtures with a high initial water content, e.g., 5.0 times the corresponding liquid limit as investigated by previous researchers (Sridharan and Prakash, 1997, 2003; Tan *et al.*, 1990). In this case, the absolute velocity of the sand inclusions is higher than that of the marine clay matrix. However, the initial water

content of the tested marine clay matrix is only 1.39 times the corresponding liquid limit, in this case, the segregation can be neglected.

Differentiating the overall velocity leads to

$$\frac{\partial v_s}{\partial x} = \frac{\partial v_{cs}}{\partial x} = \frac{\partial^2 u}{\partial x \partial t} \quad (34)$$

Differentiation of Eq. (1) and considering incompressible sand inclusions, the increment of ϕ_s is given by

$$\frac{\partial \phi_s}{\partial t} = \frac{V_t \frac{\partial V_{ss}}{\partial t} - V_{ss} \frac{\partial V_t}{\partial t}}{V_t^2} = -\frac{\phi_s}{V_t} \frac{\partial V_t}{\partial t} \quad (35)$$

where $V_t = V_v + V_{sc} + V_{ss}$ is the total volume of the RVE. Considering Eq. (28), Eq. (35) can be rearranged as

$$\frac{\partial \phi_s}{\partial t} = \phi_s \frac{\partial \varepsilon}{\partial t} = -\phi_s \frac{\partial^2 u}{\partial x \partial t} \quad (36)$$

Substituting Eqs (34) and (36) into Eq. (23) gives

$$\frac{k_c}{\gamma_w} \frac{\partial^2 p}{\partial x^2} = \frac{\partial^2 u}{\partial x \partial t} \quad (37)$$

Boundary and initial conditions

The consolidation problem consisting of two governing equations (Eqs (32) and (37)) and two principal variables (overall displacement u and excess pore water pressure p) need to be solved in a predefined domain. The boundary and initial conditions for the problem should be defined. Supposing that there are three boundaries, Γ_1 , Γ_2 and Γ_3 , corresponding to the overall displacement, pore pressure and fluid flow, respectively. Note that the above partial boundaries give a full boundary of the consolidation problem Γ , i.e., $\Gamma = \Gamma_1 \cup \Gamma_2 \cup \Gamma_3$. Correspondingly, the boundary conditions are expressed as

$$u(x, t) = \bar{u}(x, t); x \in \Gamma_1, t \in [0, \infty) \quad (38)$$

$$p(x, t) = \bar{p}(x, t); x \in \Gamma_2, t \in [0, \infty) \quad (39)$$

$$k_c \frac{\partial p(x, t)}{\partial t} = \bar{q}(x, t); x \in \Gamma_3, t \in [0, \infty) \quad (40)$$

where $\bar{u}(x, t)$, $\bar{q}(x, t)$ and $\bar{p}(x, t)$ are overall displacement, fluid flow and pore pressure on the boundaries Γ_1 , Γ_2 and Γ_3 respectively. The initial pore water distribution on the complete boundary is given as

$$p(x, 0) = \bar{p}_0(x); x \in \Gamma \quad (41)$$

Combining Eqs (32), (37)-(41), the consolidation problem can be solved using Galerkin's weighted residuals method within the finite element concept. The solving procedures have been documented by many researchers for its application to consolidation analysis (e.g., Khaled *et al.*, 1984; Valliappan *et al.*, 1990), which will be not given in details in this study.

Model evaluation

Analysis of the experimental data

For producing sand-clay mixtures, a coarse sand material (sand inclusions) and a soft clayey soil (clay matrix) from Hong Kong Marine Deposits were used. The basic physical properties of the two materials are given in Table 1 (according to BS1377). The sand material has a minimum void ratio of 0.601 and a maximum one of 0.945, respectively. Water was added to the marine clay to reach a water content of 86.9%. Then, it was mixed with the sands homogeneously with various sand fractions (0%, 20%, 40%, 60%). The samples were consolidated at an initial stress of 1.7 kPa, then increased stepwise, from 2.5 kPa to 800 kPa. For a given stress increment, the settlement of the sample was recorded up to the end of primary consolidation. The saturation ratio defined as the ratio of the measured initial water content to the corresponding theoretical value (estimated by assuming a saturated condition) is higher than 0.98. For more details of producing the sand-marine clay mixtures, one can

331 refer to Shi and Yin (2017).

332 Fig. 4 shows the consolidation behavior of the sand-marine clay mixtures in terms of time
333 duration and degree of consolidation in semi-logarithmic plot. The consolidation curves with
334 different sand fractions show similar trend: the degree of consolidation increases with
335 increasing applied total stress at the same consolidation time, indicating a rapider dissipation
336 of the excess pore water pressure due to the decreasing thickness of the samples. The
337 influence of sand fraction on the consolidation behavior is shown in Fig. 5. It is seen that the
338 consolidation curve of the mixtures with a sand fraction of 20% is approximately close to that
339 of the pure marine clay. However, further increase of the sand fraction accelerates the
340 consolidation process. This phenomenon can be explained by the local stress distribution in
341 sand-clay mixtures, which is characterized by an incremental stress ratio μ_σ^c defined as

342
$$\mu_\sigma^c = \frac{d\sigma'_c}{d\sigma'} \quad (42)$$

343 From Eqs (6), (30) and (31), the stress ratio is derived:

344
$$\mu_\sigma^c = (1 - \phi_s)^{-1} \left(\frac{\sigma'_c}{\lambda_c} \right)^{1-\eta(1-\phi_s)} \quad (43)$$

345 Since the local stress in the clay matrix is lower than that in the sand inclusions, the
346 consolidation behavior of sand-clay mixtures is different from that of the corresponding clay
347 matrix. It is seen from Eq. (43) that the stress distribution is controlled by two variables, the
348 volume fraction of the sand particles ϕ_s and the structure parameter η .

349 For the tested sand-marine clay mixture with a sand mass fraction of 20%, the volume
350 fraction of the sand inclusions is close to 0.1 and the structure parameter η is approximately
351 close to 1 (Fig. 7), which means that the local stress in the clay matrix is relatively close to the
352 corresponding overall value (referring to Eq. (43)). Consequently, the corresponding
353 consolidation curve nearly overlap with that of the pure clay sample at the same surcharge
354 loading. However, the stress ratio decreases remarkably with further increase of sand fraction

(20% and 40% in this study), leading to a higher void ratio (Eq. (8)) and a higher permeability (Eq. (9)) of the clay mixtures.

The influence of sand mass fraction on the consolidation behavior of sand-clay mixtures depends on the clay minerals in the clay matrix. For the sand-clay mixtures with a clay matrix consisting of Nontronite or Kaolinite, the volume fraction may be much higher than 0.1 for a sand mass fraction of 20% and an initial water content of the clay matrix of $1.39w_L$. In this case, the stress distribution becomes less uniform, and the overall consolidation behavior may be much more different from that of the pure clay matrix. However, for the sand-clay mixtures with bentonite matrix, the liquid limit of the matrix may be as high as 600%. Consequently, even 50% sand mass fraction may not significantly affect the consolidation of the clay matrix in the mixtures (the corresponding initial volume fraction of the sand inclusions is relatively low due to the relatively high initial water content of the clay matrix).

Model parameters and calibration

There are five principal parameters for the consolidation model: A_c , ξ_c , N_c , λ_c and β . The first four parameters correspond to the intrinsic behavior of the clay matrix. A_c and ξ_c are permeability parameters of the clay matrix, which can be calibrated from permeability data of the pure clay; N_c and λ_c are intrinsic compression parameters of the clay matrix corresponding to the Normal Compression Line of the pure clay. β is a structure parameter related to the inter-granular structure evolution. It is suggested to calibrate this parameter from permeability data of a sand-clay mixture with a predefined sand fraction. Therefore, one needs to do at least two 1-D consolidation tests: one on the pure clay to estimate the intrinsic model parameters, and one on the sand-clay mixture for calibrating the structure parameter.

The permeability of the pure marine clay can be computed according to the corresponding compressibility and consolidation curves (from the definition of coefficient of consolidation):

$$k_c = \frac{\delta \varepsilon_c C_v \lambda_w}{\delta \sigma'_c} \quad (44)$$

where $\delta \varepsilon_c$ is the strain increment for a given effective stress increment $\delta \sigma'_c$, $C_v = 0.212h^2/t_{90}$, t_{90} is the time period corresponding to 90% of consolidation, which can be determined from the corresponding consolidation data, and h is the height of the sample. The computed data for the pure marine clay are shown in Fig. 6 in terms of permeability and void ratio on a double-logarithmic scale. It is seen that there is a good linear relationship between $\ln k$ and $\ln e$, which is consistent with Eq. (9). The model parameters are calibrated as shown in Fig. 6 and Fig. 8 (referring to Eq. (8), the compression data in Fig. 8 are from Shi and Yin (2017)). β was calibrated by trial and error method (Fig. 7). The model parameters are given in Table 1. It can be seen from Fig. 8 that the compression data of the sand-marine clay mixtures can be well reproduced by the compression model (Eqs (2)-(4), (11), (30), (31) and (43)).

Evaluation of the model

The consolidation data below 10 kPa are not included in the model evaluation, since the measurements may be not reliable at such small stress levels. The samples have a thickness of 1.9 cm. Both the bottom and top surfaces of the samples are free draining, and the bottom surface was fixed during the consolidation process. The boundary conditions in section 4.4 (Eqs (38) - (40)) are:

$$p(0, t) = 0; \sigma(0, t) = \sigma_n \quad (45)$$

$$u(1.9, t) = 0; p(1.9, t) = 0 \quad (46)$$

where σ_n is total stress corresponding to the load on the top surface of the samples. The mixture samples are supposed to have an uniform initial stress, with an effective stress of 1.4 kPa (corresponding to remolded yield stress of the marine clay matrix), $\sigma'_c(x, 0) = \sigma'_s(x, 0) = 1.4$ kPa. The initial void ratio of the marine clay matrix is 2.33. The overall void ratios of the samples are 1.84, 1.36 and 0.89, corresponding to the sand fractions of 20%, 40% and 60%,

403 respectively.

404 A three-nodes bar element is used in this study (see Fig. 9, δh denotes the element size),
405 which gives a linear variation of pore pressure and a quadratic variation of displacement. The
406 model was discretized with 20 elements and a surcharge load of 3.6 kPa was applied on the
407 top surface. Subsequent load was applied stepwise following steps of 5, 10, 25, 50, 100, 200,
408 400 and 800 kPa.

409 The consolidation tests of the sand-marine clay mixtures were simulated using the model
410 parameters listed in Table 1. The predicted consolidation curves at different stress levels are
411 shown in Fig. 10 together with the experimental data. It can be seen that the effect of sand
412 fraction on the consolidation behavior of the sand-marine clay mixtures can be well
413 reproduced by the proposed model: for a given consolidation time, the degree of consolidation
414 increases with increasing sand fraction. The prediction curves are approximately close to the
415 laboratory results for sand fractions of 20% and 40%. However, there is a slight difference
416 between the test data and model simulations for a sand fraction of 60%. This may be
417 explained by the inter-granular structure. Although the volume fraction of the sand inclusions
418 ($\psi_s = 60\%$) is lower than the minimum value $\tilde{\phi}_s$, there may be possibly direct contacts between
419 the sand inclusions, which induces partial force chains in the mixture. However, the contacts
420 and force chains are not stable, and the inter-granular structure would rearrange and adjust
421 itself to a new effective stress state. As a result, the stress is gradually transferred from the
422 sand inclusions to the clay matrix, which would slow the consolidation process of the clay
423 matrix.

424 Conclusions

425 In this paper, the consolidation behavior of saturated sand-clay mixtures is modelled within
426 homogenization framework. Capability of the model is evaluated based on the laboratory tests

427 on the remolded sand-marine clay mixtures. Based on the data analysis and validation of the
428 proposed model, the following conclusions are drawn:

429 (1) The consolidation curve of the sand-marine with a sand fraction of 20% is approximately
430 close to that of the pure marine clay. Further increase of the sand fraction significantly
431 accelerates the consolidation process. This is due to a remarkable decrease of the local stress
432 in the clay matrix with increasing sand fraction, which induces a higher void ratio and a
433 higher permeability of the clay matrix.

434 (2) As a binary material, the sand-marine clay mixture is divided into two systems: the matrix
435 system consisting of the silts, void space and clay particles in the marine clay matrix, and the
436 sand inclusion system consisting of sand material. Two governing equations, the mass of
437 conservation equation and the equilibrium equation, are derived based on a few reasonable
438 assumptions.

439 (3) The proposed consolidation model has five principal parameters, four intrinsic ones
440 depending on the behavior of the pure marine clay matrix, and one structure parameter related
441 to the inter-granular structure evolution. The parameters can be easily calibrated based on two
442 conventional consolidation tests.

443 (4) Comparison between the test data and the model prediction reveals that the model can
444 well reproduce the consolidation behavior of the tested sand-marine clay mixtures. A slight
445 overestimation of the degree of consolidation with a sand fraction of 60% may be induced by
446 possible contacts and force chains of the sand inclusions.

447

448 As presented in the text, several basic assumptions are adopted, which limits the application
449 of the proposed consolidation model:

450 (1) It is assumed that no deformation of the clay matrix occurs within the pre-yield stress
451 range. This assumption is used for estimating the remolded yield stress σ'_{cy} of the marine clay

matrix (see Fig. 3) and provides an initial state for the consolidation analysis. There may be some difference between the model prediction and the test data at very small stress levels, e.g., in vicinity of the remolded yield stress of the clay matrix ($\sigma'_{cy}=1.4$ kPa for Hong Kong marine clay). However, in many field cases, such a low stress level is not of interest.

(2) It is assumed that the absolute velocity of the soil particles in the clay matrix equals the corresponding velocity of the sand inclusions. The proposed model is not suitable for the clay matrix with a relatively high initial water content, which shows segregation during the compression tests. (Sridharan and Prakash, 1997, 2003; Tan *et al.*, 1990).

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Table 1: Basic physical properties of the tested materials

Materials	Liquid Limit	Plastic Limit	Density of particles	Clay	Silt	Sand
---	(%)	(%)	(Mg/m ³)	(%)	(%)	(%)
Sand	---	---	2.63	0	0	100
Clay	62.4	27.5	2.68	15	85	0

Table 2: Model parameters of the consolidation model for sand-marine clay mixtures

A_c	ξ_c	N_c	λ_c	β
m/s	m/s	---	---	---
-22.73	4.15	1.24	0.10	0.75

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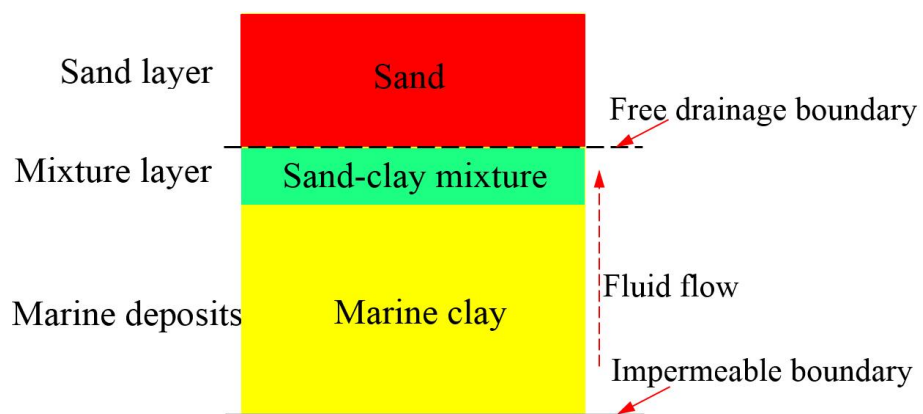


Figure 1: Schemetric profile of soil layers in a marine reclamation physical model

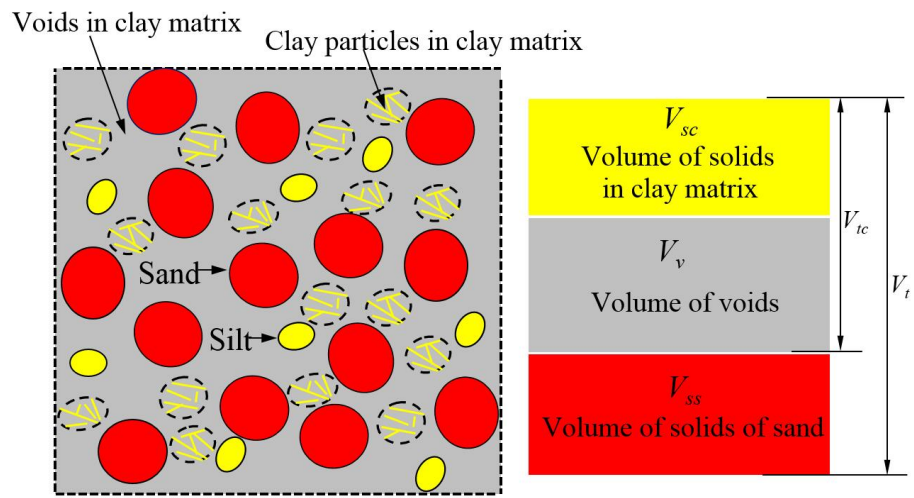


Figure 2: Volume divisions for RVE of remolded sand-clay mixtures (from Shi and Yin, 2017)

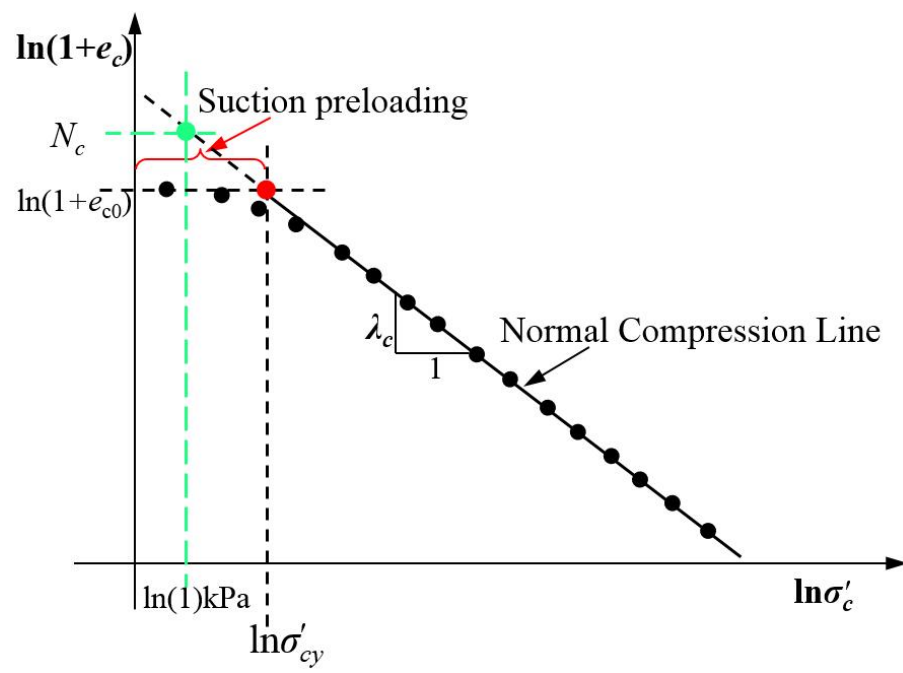
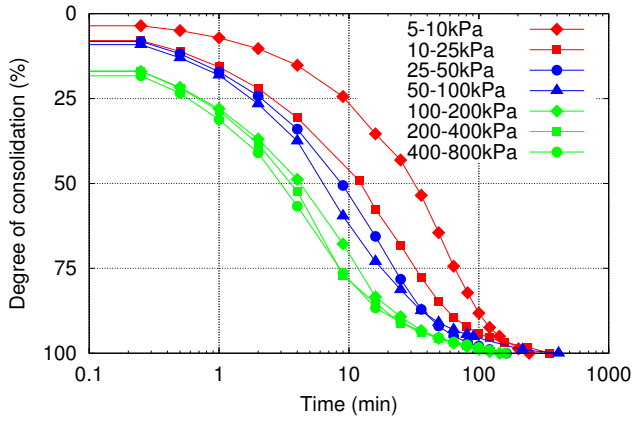
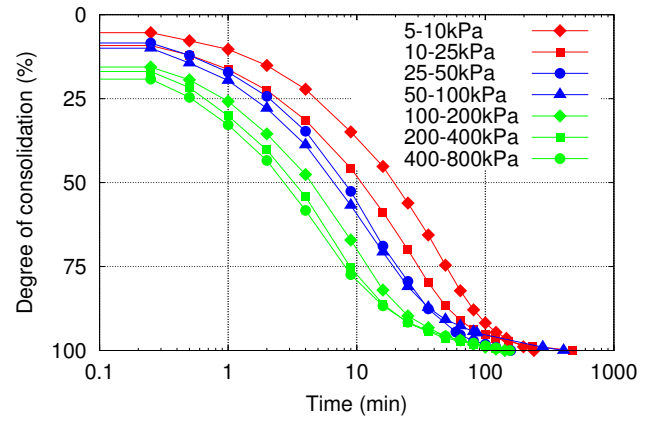


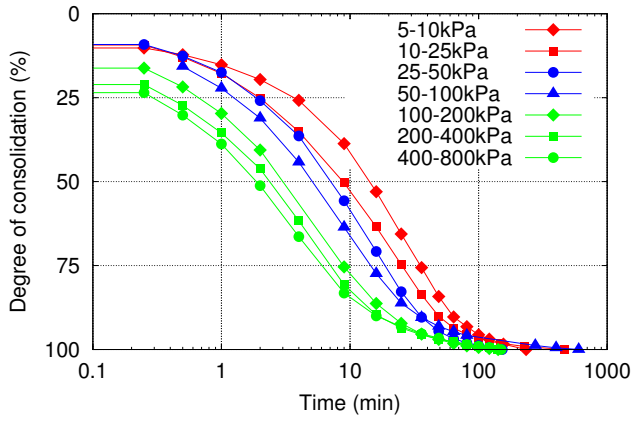
Figure 3: Schematic plot for the compression model of the pure marine clay



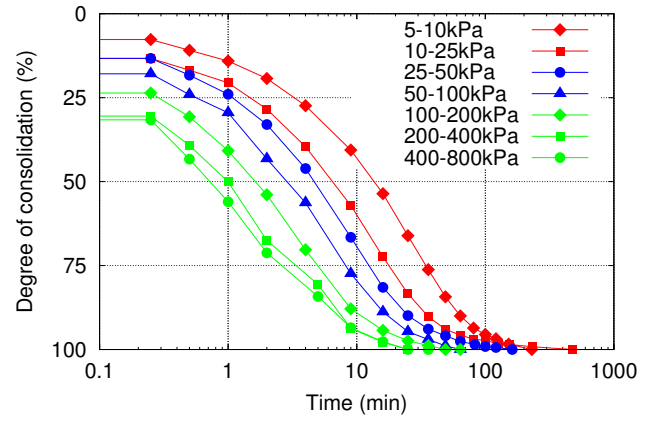
(a) $\psi=0\%$



(b) $\psi=20\%$

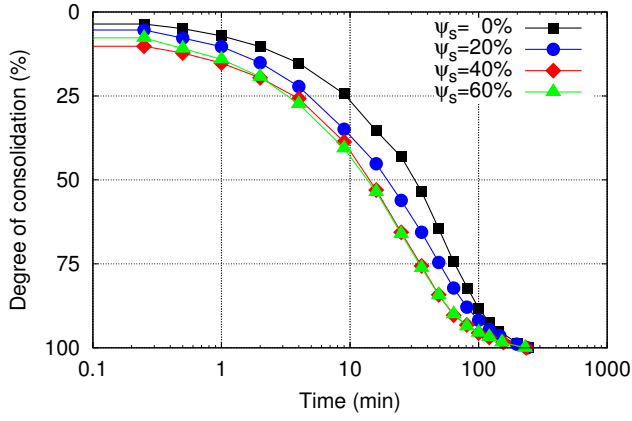


(c) $\psi=40\%$

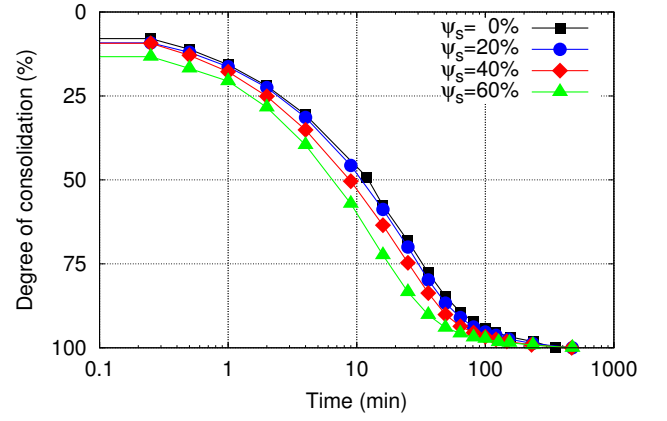


(d) $\psi=60\%$

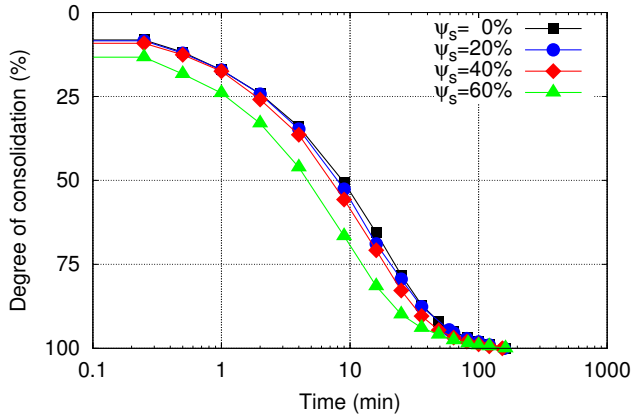
Figure 4: Consolidation curves of sand-marine clay mixtures with different sand fractions



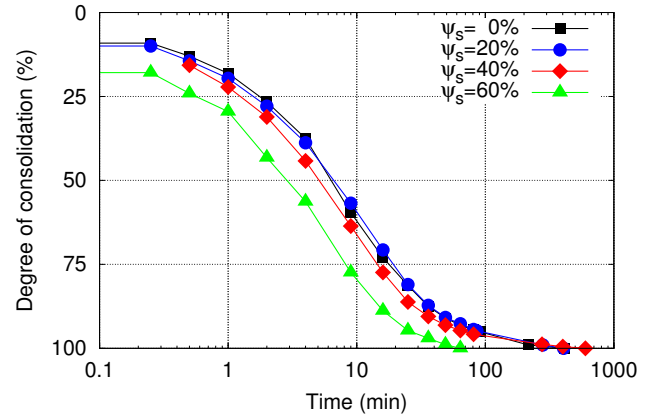
(a) $\sigma = 10$ kPa



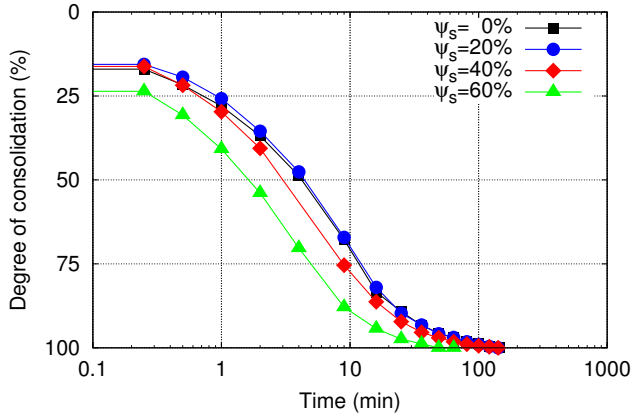
(b) $\sigma = 25$ kPa



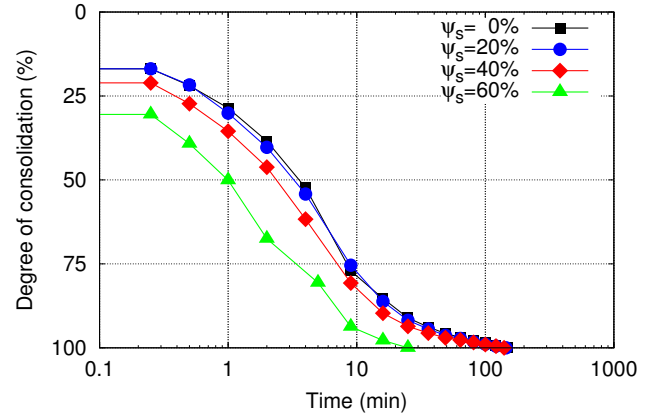
(c) $\sigma = 50$ kPa



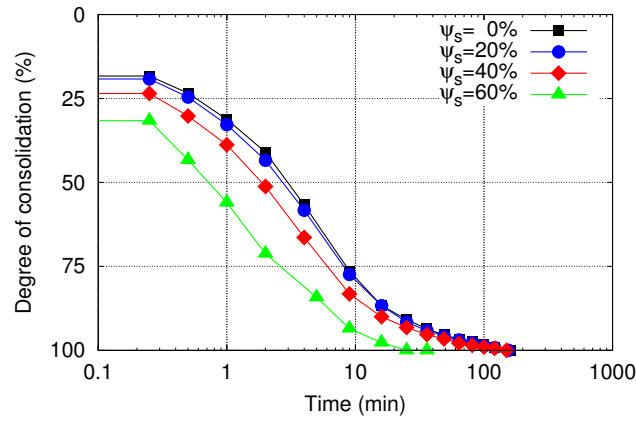
(d) $\sigma = 100$ kPa



(e) $\sigma = 200$ kPa



(f) $\sigma = 400$ kPa



(g) $\sigma = 800$ kPa

Figure 5: Consolidation curves of sand-marine clay mixtures at different stress levels

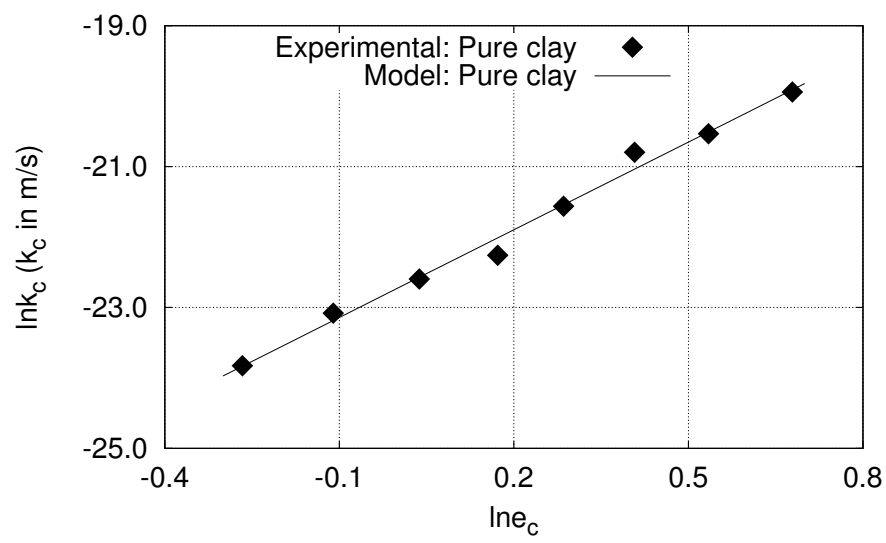


Figure 6: Calibration of intrinsic permeability parameters of pure marine clay

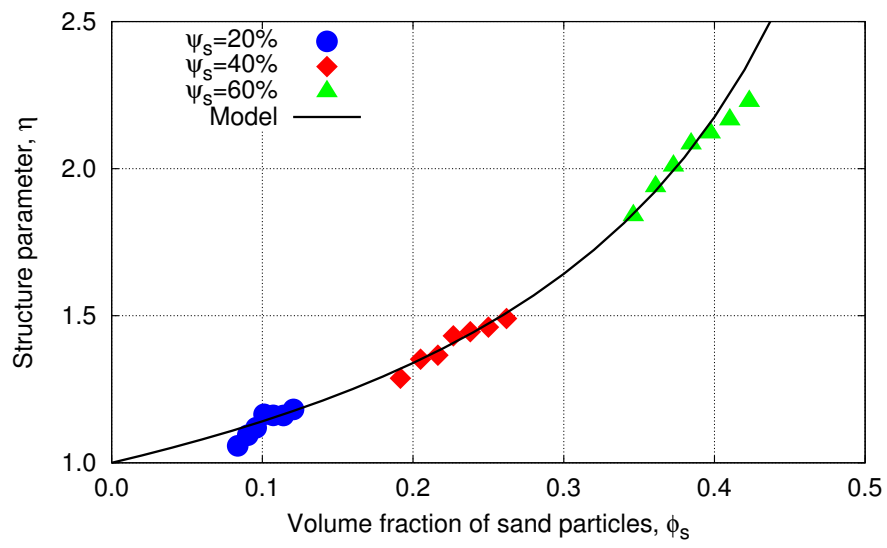


Figure 7: Calibration of structure parameter of sand-marine clay mixtures

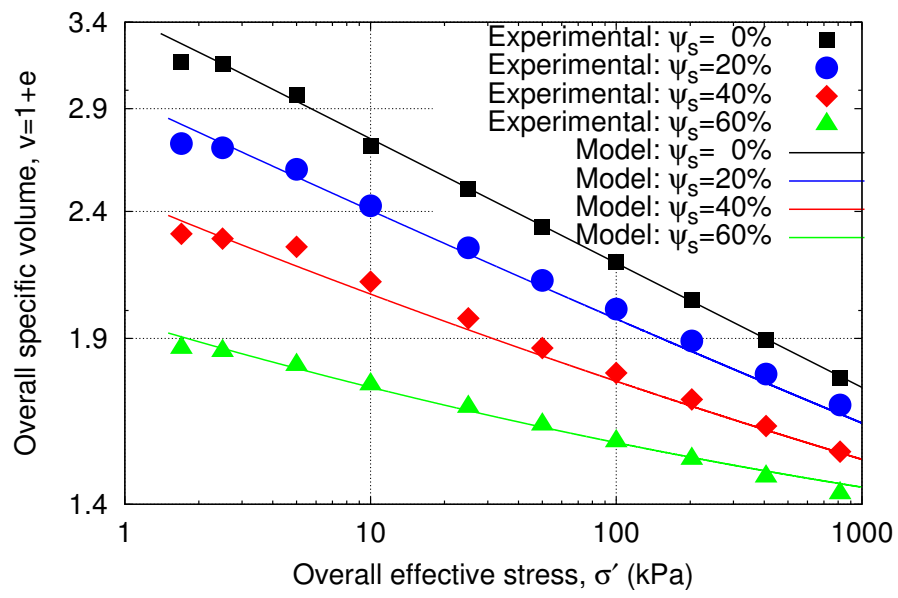


Figure 8: Calibration of intrinsic compression parameters of sand-marine clay mixtures

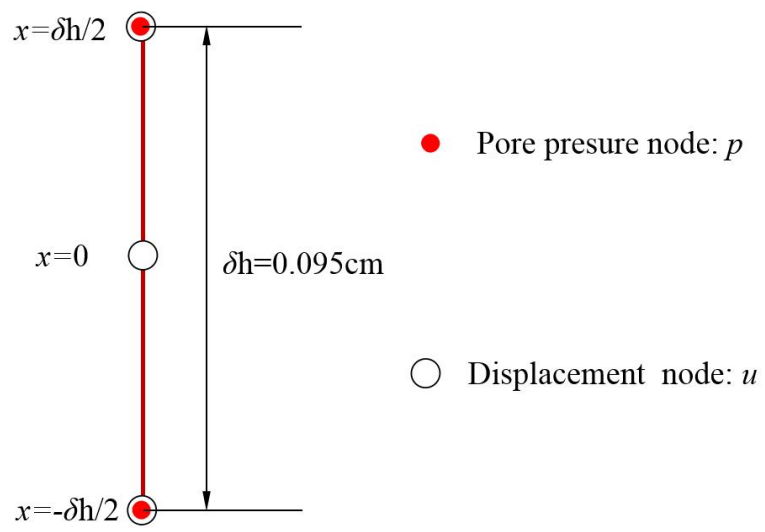
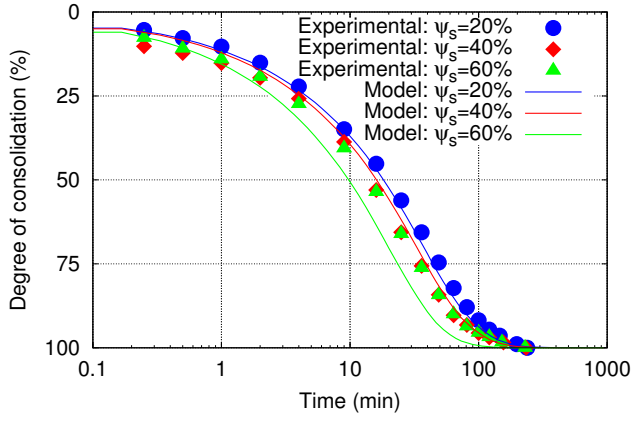
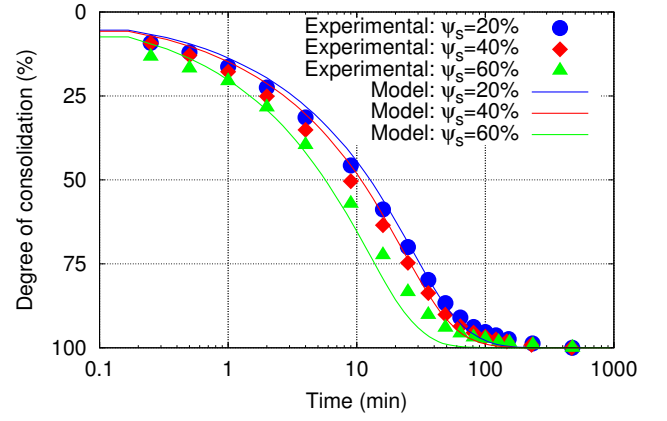


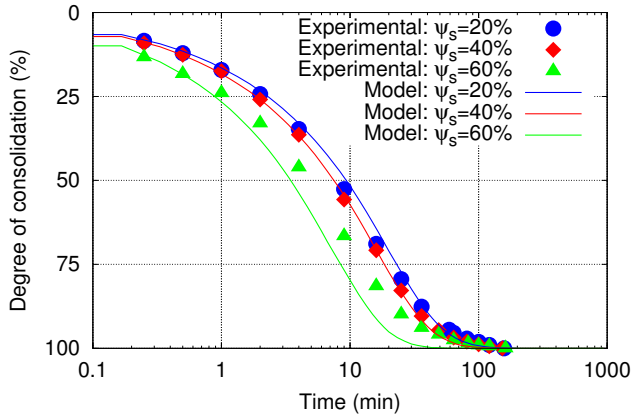
Figure 9: Finite element used for the 1-D consolidation analysis for sand-marine clay mixtures



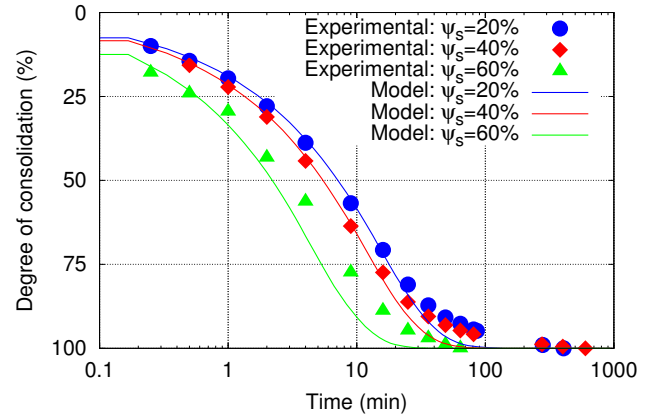
(a) $\sigma=10$ kPa



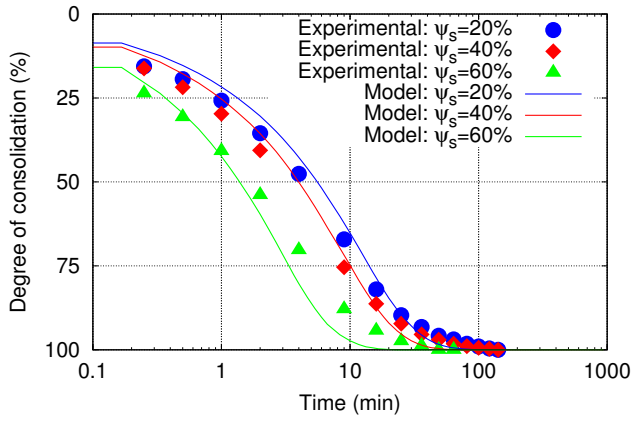
(b) $\sigma=25$ kPa



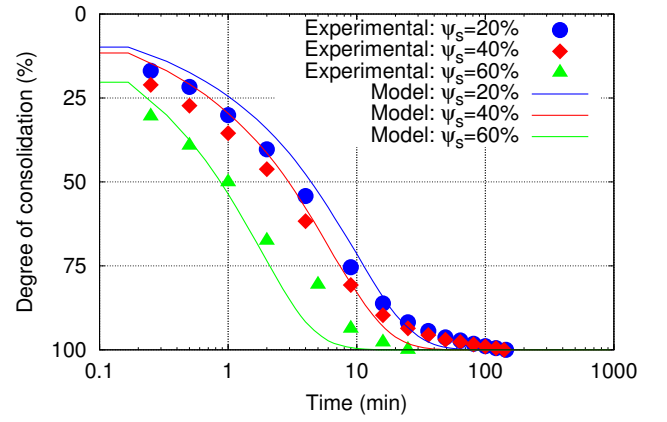
(c) $\sigma=50$ kPa



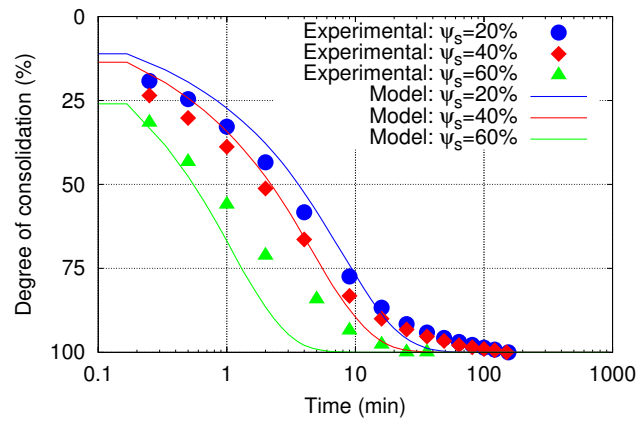
(d) $\sigma=100$ kPa



(e) $\sigma=200$ kPa



(f) $\sigma=400$ kPa



(g) $\sigma=800$ kPa

Figure 10: Comparison between the test data and simulated consolidation curves