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5	Ultrasonic degradation kinetics and rheological profiles of a food polysaccharide (konjac
6	glucomannan) in water
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16 Abstract

This study was carried out to evaluate the effects of power ultrasound (US) on the molecular 17 18 weight and rheological properties of a food polysaccharide, konjac glucomannan (KGM). Upon the exposure of KGM solution (1% w/v in water) to US at a relatively high power intensity (50 19 W/cm²), the apparent viscosity decreased rapidly from about 50 Pa·s to a negligible level within 20 10-20 min. The intrinsic viscosity ([n]) of KGM solution decreased gradually during the US 21 exposure with a time course closely fitted to the first-order polymer degradation kinetics 22 (random chain scission). The US treatment also caused a significant reduction of particle size 23 (Z_{ave}) of KGM aggregates and changes in the rheological properties including the decrease of 24 storage modulus (G') and loss modulus (G''), and the increase in phase angle (tan $\delta = G''/G'$). 25 Nevertheless, no change in primary structure was detected by Fourier transformation infrared 26 27 (FT-IR) analysis. The results suggested that high intensity US was an effective means for KGM degradation without significant structural destruction. 28

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30 Keywords: Polysaccharide; Ultrasonic degradation; Rheological properties

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32 **1. Introduction**

Power ultrasound (US) has been applied to a variety of physical and chemical processes such as cleaning, degassing, emulsification, dispersion, homogenization, extraction, and various chemical reactions, and especially for clean and safe processing of food and natural products. In addition to the beneficial effects of power US on the processing efficiency that have been widely evaluated in previous studies, recent attention has been paid to the novel and special effects of power US on the property and functionality of products, such as the rheological properties of food hydrocolloids (Ashokkumar, 2015; Soria & Villamiel, 2010; Zhang et al., 2013). In several recent studies, high-intensity US has been applied to the controlled degradation of natural polysaccharides such as xanthan, chitosan, starch, and pectin, leading to changes in water solubility, viscosity and other properties (Czechowska-Biskup et al., 2005; Guo et al., 2014; R. Li & Feke, 2015; Zhang et al., 2013).

Konjac glucomannan (KGM) is a water-soluble polysaccharide originated from the tuber of 44 plant Amorphophallus konjac C. Koch with an average MW about 1000 kDa, which is 45 composed of β -(1 \rightarrow 4) linked D-mannose and D-glucose in a molar ratio of 1.6:1 or 1.4:1 46 (Huang et al., 2002; Nishinari & Takahashi, 2003). KGM is widely used in the food industry, 47 mainly as a gelling and thickening agent in liquid foods owing to its thermo-irreversible 48 49 property (Herranz et al., 2012). Recently, KGM has been increasingly recognized as a bioactive dietary fiber for relieving constipation, improving gut microbiota, controlling body weight, and 50 lowering blood sugar, cholesterol and the risk of diseases such as type II diabetes, obesity and 51 cancer (Behera & Ray, 2016; Tester & Al-Ghazzewi, 2013). 52

Although the high MW of KGM is important for its application as a thickening agent, lower MW and lower viscosity are more favorable for the bioactive functions. As shown in previous studies, partially degraded or hydrolyzed KGM fractions have higher bioactivities such as antioxidant and probiotic activities (Al-Ghazzewi et al., 2007; Connolly et al., 2010; Wang et al., 2008). Various means have been used for partial depolymerization of KGM and other polysaccharides, such as chemical treatment (Li et al., 2009), enzyme hydrolysis (Jin et al., 2010; Li et al., 2004), ultrasonic treatment (Chen & Qian, 2008; Huang et al., 2006), and γirradiation (Prawitwong et al., 2007; Xu et al., 2007). The two previous studies (Chen & Qian,
2008; Huang et al., 2006) on ultrasonic degradation of KGM have all been on the optimal
conditions (e.g. US power, exposure period, temperature and KGM concentration) for rapid
reduction of liquid viscosity or intrinsic viscosity.

So far only a few preliminary studies have been documented on ultrasonic degradation of KGM and it is of theoretical and practical significance to characterize and quantify the degradation process kinetics and the related functional properties. This study was conducted to investigate the process kinetics of ultrasonic degradation of KGM dissolved in water and the effects of power US on its molecular structure and rheological properties.

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70 2. Materials and methods

71 2.1. Materials and ultrasonic treatment of KGM

Konjac glucomannan (KGM) was purchased from Hubei Konson Konjac Gum Co., Ltd. and 72 used without further purification and pretreatment. The purity of glucomannan was above 90%. 73 74 All other chemicals were analytical grade obtained from reliable and licensed suppliers. Power US was generated with a Model VCX 750 processor of 20 kHz frequency (Sonics & Materials 75 Inc., Newton, USA). A probe horn with tip diameter of 13 mm was used in this study. For 76 ultrasonic treatment, 150 mL of KGM solution (10 g/L in DI water) was filled in a plastic 77 bottle, and the US probe was inserted into the solution about 3 cm deep. The KGM sample 78 bottle was immersed in ice-water during the treatment to avoid overheating. The US treatment 79 was performed for selected time periods (2, 5, 10, 20, 40 and 60 min) at 40% or 80% amplitude 80 by adjusting the parameters on the controller panel. The corresponding actual US power 81

intensities (powers per unit area of the probe tip) transmitted into the solution at these two
amplitudes were 24 and 50 W/cm², respectively, which were obtained by the calibration curve
between amplitude and power intensity from our previous study (Cheung et al., 2015). After
the US treatment, the KGM sample solution was freeze dried and stored in a desiccator at room
temperature before analysis.

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88 *2.2. Viscosity measurement*

Apparent viscosity of KGM sample solutions before and after ultrasonic treatment was 89 90 measured at 25°C with a rotational viscometer (Lab-line Instruments Inc., Melrose Park, IL, USA). The instrument was operated according to the manufacturer's instruction manual to 91 maintain the torque range of 40-60% by adjusting the rotational speed of spindle for each 92 93 sample. Intrinsic viscosity [ŋ] was determined by serial dilution method (Yan et al., 2009) measured with an Ubbelohde viscometer (0.5-0.6 mm capillary diameter) at 25 ± 0.1 °C. The 94 freeze-dried samples were dissolved in 0.2 mol/L of NaCl solution, stirred overnight, and then 95 filtered through a Watman No. 1 paper to remove insoluble components. The addition of NaCl 96 to the sample solution was to avoid polymer adsorption on the wall of viscometer capillary. 97

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99 2.3. Molecular weight analysis

The MW distribution of KGM samples was analyzed by gel permeation chromatography (GPC) on a Waters HPLC equipment connected with a Waters refractive index detector (RI) and a Wyatt DAWN laser scattering detector. A solution of 0.9% NaCl containing 0.02% NaN₃ was used as the mobile phase flowing at 0.8 mL/min and 30°C. The KGM samples were also dissolved in this solution at 0.05 mg/mL, and centrifuged at 18,000 rpm for 10 min before injection into the GPC system. Average particle size (Z_{avg}) was determined by Zetasizer 3000HSA (Malvern Instruments, Ltd.). The KGM samples were diluted 500 times with DI water, centrifuged at 18,000 rpm for 10 min, and then measured at 25°C and a scattering angle of 90°. The chemical structure of KGM was determined by a Nicolet Avatar 360 Fourier transformation infrared (FT-IR) spectrometer with samples blended and pressed into tablets with KBr.

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112 2.4. Rheological measurement

All the rheological properties including the flow behavior with increasing shear rate and 113 frequency sweep tests were measured with a rheometer (AR2000, TA Instruments, Newcastle, 114 115 UK) at a constant temperature of 25°C. The KGM sample solution for the rheological measurement was prepared in DI water at 1.0% (w/v) and equilibrated to room temperature for 116 30 min before measurement. Dynamic oscillatory measurements were performed using parallel 117 plates (40 mm diameter, 1 mm gap), with a frequency sweep from 0.1 to 100 rad/s at 20% 118 strain, which was chosen to assure linear viscoelastic conditions. The samples were covered 119 with a thin layer of paraffin oil to prevent moisture loss by evaporation. The storage modulus 120 (G') and loss modulus (G'') as well as the loss tangent (tan $\delta = G''/G'$) were recorded. 121

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123 **3. Results and discussion**

124 *3.1. Viscosity reduction and kinetics of KGM degradation by US*

Fig. 1a shows the apparent viscosity of KGM solution as a function of time during US

treatment at two power levels of 24 and 50 W/cm². At both US power levels, the apparent viscosity of KGM solution decreased dramatically with time. At higher US power (50 W/cm²) the apparent viscosity fell steeply from the initial value of about 50 Pa·s to a minimal level (similar to that of water) within 15 min of US exposure. At lower US power level (24 W/cm²), the apparent viscosity dropped rapidly to about 5 Pa·s in the first 20 min and it decreased slowly in the remaining period to a very low level.

As shown in Fig. 1b, the intrinsic viscosity $[\eta]$ of KGM decreased continuously during the 132 60-min US treatment at both US power levels, and more rapidly at higher power intensity. In 133 134 contrast with the sharp drop of apparent viscosity to a very low level in the very early period of US treatment, the reduction of $[\eta]$ proceeded gradually and continuously without reaching 135 a steady value during the 60-min US treatment. This disparity suggests different process 136 137 mechanisms for the reduction of apparent viscosity and intrinsic viscosity by the US treatment. The decrease in $[\eta]$ was equivalent to the decrease in average MW, thus could be regarded as 138 an indication of KGM degradation by the US treatment. The reduction of apparent viscosity 139 was not only attributed to polymer degradation (breakage of polymer chain or glycosidic 140 linkage) but also to the disruption of aggregation. 141

As for other natural polysaccharides (Zhang et al., 2013; Zhou & Ma, 2006), it is assumed that the US degradation of KGM mainly occurs by the scission of main-chain linkage and the reaction follows first-order kinetics for random chain session mechanism (the rate proportional to concentration or total number of bonds) (Wu et al., 2008). The rate constant k is represented by,

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$$1/M_t - 1/M_0 = kt/m$$
 (1)

where M_t and M_0 are the weight-average molar mass at time *t* and zero of US treatment, respectively, and *m* the molar mass of monomer. With the substitution of Mark-Houwink equation ($[\eta]=k_{\rm MH}\times M^{\alpha}$) into Eq.1, the following relationship between $[\eta]$ and *t* is derived,

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$$[\eta]_t^{-1/\alpha} - [\eta]_0^{-1/\alpha} = (k/k_{\rm MH}^{1/\alpha})t/m$$
 (2)

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where $[\eta]_t$ and $[\eta]_0$ are the intrinsic viscosities at time *t* and zero, respectively, and $k_{\rm MH}$ and α are the constants of Mark-Houwink equation. Based on Eq. 2, $(k/k_{\rm MH}^{1/\alpha})/m$ can be estimated from the slopes of $([\eta]_t^{-1/\alpha} - [\eta]_0^{-1/\alpha})$ against *t* in Fig. 2. As the $k_{\rm MH}$ and α used in this study were 0.0596 and 0.73 (Li et al., 2006), the degradation rate constant *k* of KGM to the molar mass of monomer *m* under ultrasonic treatment at different power intensities are calculated. As shown in Table 1, the experimental data were fitted closely to the linear equation with R^2 values close to unity.

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164 *3.2. Molecular weight distributions*

Table 2 shows the molecular weight and polydispersity (M_w/M_n) parameters of KGM after the US treatment. The values of M_w and M_w/M_n decreased with the increase in US power level or treatment time. The results indicate that US treatment caused partial degradation of KGM and the degradation was more significant at a higher US power intensity. The decrease in polydispersity index M_w/M_n implied that the KGM became more uniform in MW distribution with higher US power intensity and longer treatment time.

172 *3.3. Particle size analysis*

Fig. 3 shows the change of Z_{avg} diameter of KGM aggregates in water after US treatment. A 173 notable increase in Z_{avg} diameter immediately appeared after the US treatment for about 2.5 174 min, and the magnitude of increase was much higher at lower US power of 24 W/cm². 175 However, the Zavg diameter exhibited a steady decrease throughout the remaining period (5-60 176 min) of US treatment, i.e. from 539.4 to 343.6 nm at 24 W/cm² and from 411.8 to 276.2 nm at 177 50 W/cm², respectively. The shear forces created when cavitation bubbles collapse is most 178 179 probably responsible for the changes in structures and properties of polysaccharides and their aggregates in solution (Camino et al., 2009). Although the shear forces produced by power US 180 usually promote the dispersion of polysaccharide aggregates leading to particle size reduction, 181 182 it can also induce the aggregation of biopolymers such as proteins in aqueous solution through chemical and physical effects on the biopolymer molecules (Stathopulos et al., 2004). 183

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185 *3.4 Rheological properties of US-treated KGM*

As shown in Figs. 4a-b, the native KGM in water exhibited the typical shear-thinning fluid behavior of a decreasing apparent viscosity with shear rate. The shear-thinning property of KGM solution was significantly dampened with 5 min US treatment at both power levels and was completely diminished with US treatment for 40 min at 24 W/cm² or 10 min at 50 W/cm². Eventually, the US treated KGM solution turned to a Newtonian fluid with a very low viscosity close to that of water. Therefore, power US treatment caused dramatic changes in the rheological properties of KGM solution as reported previously (Jin et al., 2014). Figs. 5a-b present the profiles of storage modulus G' and loss modulus G'' from frequency sweep test of KGM solutions (1% w/v) after various periods of US treatment at 24 and 50 W/cm². As seen from these two figures, both G' and G'' of KGM solutions were decreased after the US treatment, due probably to the breakage of KGM polymer chain. The tan δ value (tan δ = G''/G') of US treated KGM was much higher than the native KGM (Fig. 5c). Perhaps the native KGM dissolved in water formed a relatively elastic dispersion (solid property) and turned to a viscous dispersion (fluid property) after the US treatment.

Overall, the results in this section suggest that the US treatment caused the weakening of the intermolecular interactions such as hydrogen bond and other non-covalent bonds between KGM molecules in an aqueous solution as well as the intramolecular interactions between the KGM polymer chains.

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205 *3.5. Structure characteristics of KGM*

Fig. 6 shows the FTIR spectra of native and US treated KGM, all of which had virtually 206 identical characteristic absorption peaks. The broad band at around 3429 cm⁻¹ can be assigned 207 to the -OH in KGM, and the stretching and bending vibration absorption peaks at around 2924 208 and 1383 cm⁻¹ to C-H (Xu et al., 2007). The peak at 1736 cm⁻¹ is attributed to the stretching C 209 = O in acetyl groups (Prawitwong et al., 2007). The intense peak at 1640 cm⁻¹ is ascribed to the 210 intramolecular hydrogen bonds and the peak at 1023 cm⁻¹ to the stretching C-O, and the 211 absorbance bands at around 874 and 807 cm⁻¹ are characteristic of mannose in KGM (Chen et 212 al., 2008). The high similarity of IR spectra of US treated KGM to native KGM implies that 213 the repeating units and primary structure of KGM were not affected by the US treatment. 214

216 **4. Conclusions**

The experimental results have shown several major effects of power US on the properties of 217 KGM dissolved in water, including a dramatic reduction of apparent viscosity, average MW, 218 and aggregate particle size and changes in rheological characteristics. US power and treatment 219 period were two major factors affecting the rate of KGM polymer degradation and the property 220 changes. The time course of degradation followed the first-order kinetics for random chain 221 scission. US treatment did not cause notable destruction of the primary structure of KGM and 222 223 was only effective for moderate degradation so that the degraded KGM fractions still retained a relatively high average MW in the order of 10⁵ with a more uniform MW distribution. 224 Therefore, power US is a useful means for viscosity reduction and partial degradation of KGM. 225 226 For better understanding of the degradation mechanisms, it is worthwhile to investigate the specific sites of chain cession on the KGM molecule and the contributions of mechanical forces 227 and/or free radicals arising from cavitation activities to the KGM degradation. 228

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Table 1 Degradation kinetic constants and linear regression coefficients R² of KGM under

318 different US power intensities.

US power (amplitude)	Rate constant (k/m)	R^2
24 W/cm ² (40%)	1.035×10 ⁻⁵	0.9881
50 W/cm ² (80%)	5.481×10 ⁻⁵	0.9951

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Table 2 Molecular characteristics of KGM solution treated at different US powers and periods.

US power	24 W/cm ²		50 W/cm ²	
US period	10 min	40 min	10 min	40 min
M _w ×10 ⁻⁵	5.735	5.033	4.096	1.646
M_w/M_n	1.227	1.212	1.275	1.180

323 **Figure captions**

- Fig. 1 Effect of US treatment on (a) apparent viscosity and (b) intrinsic viscosity of KGM solution (10 g/L; viscosity measured at 25°C) (Error bars: standard deviations of triplicate measurements).
- Fig. 2 The relative changes of $[\eta]^{-1/\alpha}$ of KGM solution caused by US treatment at two power intensities.
- Fig. 3 Variation of Z_{avg} diameters as a function of US treatment time at two power intensities
- 330 (Error bars: standard deviations of triplicate measurements).
- Fig. 4 Effect of US treatment on steady-state flow curves (a, b) of KGM solution (1% w/v).
- Fig. 5 Changes caused by US treatment of (a) storage modulus (G'), (b) loss modulus (G''),
- and (c) phase angle (δ) of KGM solution with angle frequency.
- Fig. 6 FT-IR spectra of native and US-treated KGM.
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Fig. 6 FT-IR spectra

Highlights

- ► Ultrasound (US) was effective for partial degradation of konjac glucomannan (KGM).
- ► US power and treatment period were major factors affecting the degradation.
- ► High-intensity US treatment caused dramatic reduction of KGM solution viscosity.
- ► US degradation of KGM followed the first-order polymer degradation kinetics.